## **RESEARCH ARTICLES**

# Germline miRNA DNA Variants and the Risk of Colorectal Cancer by Subtype

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MicroRNAs (miRNAs) regulate up to one-third of all protein-coding genes including genes relevant to cancer. Variants within miRNAs have been reported to be associated with prognosis, survival, response to chemotherapy across cancer types, *in vitro* parameters of cell growth, and altered risks for development of cancer. Five miRNA variants have been reported to be associated with risk for development of colorectal cancer (CRC). In this study, we evaluated germline genetic variation in 1,123 miRNAs in 899 individuals with CRCs categorized by clinical subtypes and in 204 controls. The role of common miRNA variation in CRC was investigated using single variant and miRNA-level association tests. Twenty-nine miRNAs and 30 variants exhibited some marginal association with CRC in at least one subtype of CRC. Previously

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Additional Supporting Information may be found in the online version of this article.

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reported associations were not confirmed (n = 4) or could not be evaluated (n = 1). The variants noted for the CRCs with deficient mismatch repair showed little overlap with the variants noted for CRCs with proficient mismatch repair, consistent with our evolving understanding of the distinct biology underlying these two groups. © 2016 The Authors Genes, Chromosomes & Cancer Published by Wiley Periodicals, Inc.

### INTRODUCTION

MicroRNAs (miRNAs) are a large group of noncoding RNAs, discovered in 1993 in Caenorhabditis elegans, now documented in many other organisms. They act in trans- upon cis-regulatory elements in target messenger RNAs, affecting protein translation (Lee et al., 1993; Wightman et al., 1993). MiR-NAs are located in introns of other genes and intergenic regions of the genome. Genes encoding miRNAs account for approximately 2% of the coding genes, and regulate up to 30% of all proteincoding genes, notably regulating pathways relevant to cancer such as cell growth, differentiation, and apoptosis. MiRNAs can function as tumorsuppressor genes or oncogenes, depending on whether deleted or overexpressed (Hayashita et al., 2005; Esquela-Kerscher and Slack, 2006; Croce, 2009; Medina et al., 2010; Salzman and Weidhaas, 2013). Approximately 50% of miRNAs are in fragile regions of the genome that are often deleted, amplified, or misexpressed in cancers (Calin and Croce, 2006). MiRNAs are collated in a publicly available database, miRbase (http://www.mirbase.org/index. shtml). The most recent version (21; accessed May 12, 2015) contains 28,645 entries representing hairpin precursor miRNAs, expressing 35,828 mature miRNA products, in 223 different species. For Homo sapiens, 1,881 unique miRNAs are listed.

The sequence of an individual miRNA, on average 20–24 nucleotides long, determines its target, complementary to a portion of the 3' UTR of the target gene's mRNA. Nucleotides 2–7 from the 5' end of the miRNA are the major determinants of target selection for inhibition of expression. MiR-NAs and target sites are highly conserved through evolution (Chen and Rajewsky, 2006) and single nucleotide polymorphisms (SNPs) located within miRNA are uncommon but do exist. Recent studies report associations between specific SNPs and prognosis, survival, response to chemotherapy across cancer types, *in vitro* parameters of cell growth, and risks for the development of cancer (Srivastava and Srivastava, 2012).

Exploration of an association between miRNA variants and colorectal cancer (CRC) has been limited. In this study, we estimated the frequency of germline SNPs and small insertions or deletions (indels) in miRNA using a targeted sequencing

procedure. Association with CRC was evaluated for five categories of individuals diagnosed with CRC compared with controls. We also compared our findings with published literature on CRC associated with miRNA variants.

#### MATERIALS AND METHODS

#### **Study Samples**

Study samples were from the Colon Cancer Family Registry (Colon CFR), described in detail elsewhere (Newcomb et al., 2007) and at http:// coloncfr.org. Between 1997 and 2012, the Colon CFR recruited families via both population-based probands, recently diagnosed CRC cases from state or regional cancer registries in Australia, the USA, and Canada as well as clinic-based probands enrolled from multiple-case families referred to family-cancer clinics in the same countries. Samples in this study were collected from the Australasian Colorectal Cancer Family Registry (Melbourne, Victoria, Australia), Hawaii Family Registry of Colon Cancer (Honolulu, HI), Mayo Colorectal Family Registry (Rochester, MN), Ontario Familial Colorectal Cancer Registry (Toronto, Ontario, Canada), Seattle Familial Colorectal Cancer Registry (Seattle, WA), and University of Southern California Consortium (Los Angeles, CA). Mismatch repair (MMR) status for all tumors was established, as previously described (Ait Ouakrim et al., 2015). All participants provided informed consent. Protocols were approved by the Institutional Review Board at each site.

#### Sequencing

MiRBase was used to identify 1,424 miRNAs for sequencing of the entire pre-miRNA (http://www.mirbase.org/, build 17; see Supporting information).

#### **Bioinformatics Analysis**

Details of bioinformatics analysis are shown in the Supporting information.

#### **Quality Control**

Comprehensive quality control (QC) identified poor quality samples and potential sequencing

#### GERMLINE MIRNA DNA VARIANTS AND COLORECTAL CANCER

Original, <i>n</i>	Passed quality control, n	Quality control and European <sup>a</sup> , <i>n</i>
		204
165	163	113
95	91	91
147	147	129
288	285	229
234	234	206
602	602	335
1,076	1,070	734
1,271	1,265	899
	165 95 147 288 234 602 1,076	165     163       95     91       147     147       288     285       234     234       602     602       1,076     1,070

TABLE I. Numbers of Individuals Within Different Categories Used in Analyses

<sup>a</sup>European subset defined as samples with >80% European ancestry based on STRUCTURE.

<sup>b</sup>Combination of FCCTX/pMMR linkage, Young Onset, and unselected cases.

batch effects. We investigated per-sample percent duplicated reads, coverage of the capture region, variant calling quality and depth, variant call-rate in the capture region, heterozygosity rate, transition:transversion ratio, and sex verification using PLINK/SEQ v0.10 (https://atgu.mgh.harvard.edu/ plinkseq/index.shtml) and PLINK v1.9 (https:// www.cog-genomics.org/plink2). Sample contamination was visually inspected by plotting the fraction of ALT reads at common variant positions against the 1000 Genomes Project allele frequency; samples displaying more than three bands or a "shotgun pattern" indicate probable contamination or poor quality DNA. Pedigree Relationship Statistical Test-Plus was used to identify related samples and population stratification was evaluated using STRUCTURE software (Patterson et al., 2006; Price et al., 2006). Samples with <90% of the capture region covered at 10X, call rate within the capture region <95%, suspected sample contamination, unexpected familial relationships, and <80% European ancestry were excluded from analysis. For variant quality filtering, we included GATK VQSR filtering tranche 99.0 and above. Polymorphic variants mapping to five or more locations in the genome, those with call rate <95%, monomorphic, and those with Hardy-Weinberg equilibrium P value <1E-5 in our controls were excluded.

#### Analysis

Six case–control analyses were performed, comparing five CRC case sets and all cases combined to the combined group of controls. For CRC cases for whom tumor testing had been conducted, each was categorized as having deficient or proficient DNA mismatch repair tumors (dMMR and pMMR, respectively) (Lindor et al., 2002). We defined five categories of cases: those with dMMR tumors for which no germline mutation could be identified (dMMR); familial colorectal cancer type X cases (Lindor et al., 2006) combined with those from other pMMR multi-case-CRC families from a prior linkage study, not otherwise specified (FCCTX/pMMR linkage); pMMR CRC diagnosed before age 50 years (Young Onset); those for which no tumor had been available for MMR characterization and no causal MMR gene mutation had been found by sequencing ("unselected" [referring to tumor MMR status which was unknown as no tumor was available for testing]); and a combined group (Likely pMMR) that included nonoverlapping cases from the FCCTX/ pMMR linkage, Young Onset, and unselected cases (Table 1). To increase power, "controls: included non-carrier spouses and MMR carriers, the cause of whose CRC is considered known. We used principal components analysis as implemented in the SNPRelate R package (Zheng et al., 2012) to evaluate sample eigenvectors as covariates to adjust for possible population stratification. The SNPs used for principal components analysis included approximately 2,000 common (MAF >5%), independent (linkage disequilibrium  $r^2 < 0.4$ ), autosomal SNPs. None of the top eigenvectors was associated with case-control status indicating that no population stratification adjustment was necessary.

We performed both single-SNP- and miRNAlevel analyses using an extension to commonly used gene-based statistics to allow for known pedigree relationships (Schaid et al., 2013). For miRNA-level tests, analyses were conducted using both a burden test (most powerful if variants in a gene have effects in the same direction) and kernel statistic (most powerful if variants have effects in opposite directions). Variants were weighted using beta density weights of (1, 25), with rare variants receiving a higher weight. False discovery rate was calculated using the R package *Q*-value (Storey et al., 2015) and considering all case–control comparisons.

Our approach allows for both pedigree data, for example, multiple cases from a family as well as unrelated subjects and takes a retrospective view treating the trait as fixed and genotypes as random, allowing complex and undefined ascertainment of pedigrees as is typical for many of the pedigrees included in our study.

We conducted a literature search for miRNA variants reported to be associated with altered risk for CRC. The frequency of these variants was determined in all our subtypes and controls.

#### RESULTS

A total of 1,436 individuals with CRC and 95 unaffected spouse controls were selected (Table 1). Those with CRC included 165 CRCs in individuals with known MMR germline mutations (which were used as mutation-positive controls). After removing samples failing QC, unexpected duplicate results, cryptically related individuals, and non-European ancestry, 1,103 subjects were included in the analysis. Final comparison groups were All cases (n = 899), with case subsets including dMMR (n = 129), FCCTX/pMMR Linkage (n = 229), Young Onset (n = 206), unselected (n = 335), and Likely pMMR (n = 734) cases. Spouses and affected MMR carriers served as controls (n = 204) for case-control comparisons.

A total of 1,316 variants in 689 miRNA passed QC filters and variants in 575 miRNAs were polymorphic in European samples and were included in analysis. Three hundred eighty miRNA had more than a single variant available for analysis and were included in miRNA-level analyses, while 242 variants in 195 miRNA with MAF >1% were analyzed for single-variant association. The average number of variants per miRNA included in miRNA-level analysis was 3.1 (range 2-24) with over half (n = 210, 55%) of miRNA including only two variants. Considering multiple testing, none of the miRNA-level tests was statistically significant (minimum miRNA-level P value = 0.003, false discovery rate = 0.41). MiRNA exhibiting a marginal positive association in at least one CRC subtype are presented in Table 2 where "marginal association" is defined as a higher frequency of rare variants in cases (positive-burden statistic) and a kernel statistic P value <0.10. Single variants meeting these same criteria are presented in Table 3. The miRNA location of the variant and the frequency of that variant in public databases is included in Table S1. The frequency of variants with minor allele frequency >1% in our controls matched that of the 1000 Genomes Project well (Fig. S1).

Analysis of the same CRC subtypes using only the spousal controls was conducted but did not substantively change results (results not shown). The decision to combine the MMR positive DNAs with the spouse controls was based on the reasonable hypothesis that CRC in individuals with known MMR deficiency was explained by the germline mutation and the probability of other contributing factors approximates that of the general population.

Five miRNA variants were found in the literature reporting altered risks for CRC including rs11614913 (miRNA196a2), rs2910164 (miR-NA146a), rs4938723 (miRNA34b/c), rs2292832 (miRNA149), and rs3746444 (miRNA499; Table S2). Our results did not confirm these associations in the four variants we could evaluate. The fifth variant, rs4938723 in miRNA34b/c, had no coverage in our dataset, perhaps indicating a failure in the sequencing capture.

#### DISCUSSION

In this observational case-control study, we sought to evaluate whether variants that occur in miRNA genes were associated with CRC. Of the 1,424 different miRNAs studied, 29 miRNAs and 30 variants exhibited some marginal association in at least one subtype of CRC. No variant was associated with all subtypes of CRC (Tables 2 and 3). The miRNAs of interest (albeit marginally significant) were not found in previous studies. It is notable that our subgroup with definite dMMR tumors exhibited association with miRNAs that had little overlap with the miR-NAs associated with the other predominantly pMMR groups. This is not unexpected based upon knowledge of the fundamentally different underlying biology of the dMMR group, recently reaffirmed by new definitions of consensus molecular subtypes (Guinney et al., 2015). We acknowledge that we had limited power to detect overlap. Therefore, although lack of overlap may be consistent with different biology, it does not confirm it. The results of the present study do support the importance of conducting research that does not ignore the well-defined molecular heterogeneity of CRC.

Five miRNA variants have been associated with altered risk for CRC in some but not all studies

TABLE 2. MiRNA Genes Exhibiting Marginal Increased Rare-Variant Frequency<sup>a</sup> in Cases Versus Controls<sup>b</sup>

FCCTX/pMMR

Minimum Q-value<sup>d</sup> 0.410 FDR -0.3347 -0.9554 -0.6683 0.0985 0.0826 0.1349 0.1349 0.4333 0.1658 Signed P value<sup>c</sup> 0.0735 0.2638 0.0965 0.3937 0.0887 0.1675 0.1260 0.0387 0.3162 0.2206 0.2206 0.1187 0.2404 0.1187 0.1187 0.2206 0.1230 0.5887 kernel I I pMMR cases (n = 734)Number variants ę 4 ω 4 4 Т 4 Ь m 1 2 m ŝ m 2 2 2 9 4 Ь 4 Ь -0.5867 0.0258 0.0254 0.0699 -0.7588 -0.8359 0.1349 0.3512 0.0132 0.0132 0.1682 0.0107 0.0094 0.6089 0.4335 0.1349 0.0980 Signed P value<sup>c</sup> 0.0421 0.2112 0.1093 0.6552 0.4872 0.1349 0.1349 0.4872 Unselected cases kernel 0.4872 I I (n = 335)Number variants ð σ -0.6346 0.0913 -0.3654 -0.8113 -0.7596 -0.7596 Young Onset cases 0.0058 0.0476 Signed 0.4114 0.0432 0.4817 0.1425 kernel P value<sup>c</sup> 0.1346 0.1170 0.1564 0.1137 0. I 425 0.1425 0.3865 0.0778 I I I I (n = 206)Number variants ð 1 T 1 -0.1764 -0.3837 0.0462 0.1382 -0.7932 0.7498 0.4219 0.1440 0.4868 Signed 0.1898 0.2811 0.2811 0.4153 kernel P value<sup>c</sup> 0.3373 0.7297 0.0731 0.1720 0.0731 0.1720 0.0731 0.1720 0.2864 0.9663 linkage cases (n = 229)I I I I I Number variants ę 4 С L 0 2 P value<sup>c</sup> 0.0049 0.2606 0.0766 0.0748 0.0648 0.0518 0.0770 0.0283 0.0283 0.1398 Signed 0.0255 0.3276 0.0852 0.2301 0.2301 **0.0477** 0.0283 0.7436 0.8337 0.7416 kernel 0.2151 I I I I I dMMR cases (n = 129)Number variants of All cases (n = 899)0.5971 Signed P value<sup>c</sup> 0.0606 0.0684 0.1125 0.1125 0.2919 0.2308 0.0385 0.0884 0.1108 0.0640 0.4849 0.1228 0.9413 0.4868 0.2572 0.0884 0.0802 0.1861 0.2487 0.3635 0.1443 0.1277 0.0981 0.0981 0.7210 kernel 0.0884 0.0981 0.1122 Number variants ę 4 9 5 m 2 m œ 9 31007109 01518132 01533138 32763398 41005020 34884763 53726807 65212028 47730278 68649293 56216194 0080316 62627418 91360820 43603033 6558828 46197039 8451335 33578300 62550947 62550950 62551003 62551006 62551313 38240378 62551201 2140285 6558821 9826263 Stop 01533060 41004950 31006999 65211928 01511493 34884695 32763287 53726665 43602943 47730198 3578178 \$2550836 58649200 56216084 0080234 62627346 91360750 46196970 8451258 62550833 62550889 \$2550892 62551196 38240278 6558758 52551084 2140195 6558767 9826202 Start Chromosome chr18 chrl4 chrl7 chrl9 chr20 chr20 chr20 chr20 chr20 chrl 6 chrl7 chr20 chrll chrl4 chr20 chr20 chr22 chr1 chr2 chr4 chr5 chr9 chr9 chrll chr21 chr2 chr5 chr6 chr8 hsa-mir-1294 MIR381HG MIR I 289-2 MIR4289 **MIR4520A MIR4520B** MIR941-3 **4IR I 29-2 MIR3679** MIR3190 MIR941-4 4IR941-4 **MIR3192** 4IR941-3 **4IR941-4 MIR941-3 MIR I 262 4IR216A MIR3138 MIR4465 MIR4470** MIR 199B **MIRI225 MIR4743** MIR499A MIR612 MIR656 **4IR3687 1IR658** miRNA

Marginal evidence defined as sign of the burden statistic multiplied by kernel statistic P value in [0, 0.10].

<sup>b</sup>The control group consists of 91 spousal controls and 113 participants with known mismatch repair gene mutations (n = 204 total). Highlighted (bold) boxes = p value <0.10.

Highlighted (bold) boxes = p v<sup>1</sup>FDR, false discovery rate.

							Signed kernel P value	el P value			
						dMMR	FCCTX/pMMR	Young Onset	Unselected	DMMR	
miRNA	Chromosome	POS <sup>c</sup>	REF <sup>d</sup>	ALT	All cases $(n = 899)^{f}$	cases $(n = 129)^{f}$	linkage cases $(n = 229)^{f}$	cases $(n = 206)^{f}$	$cases (n = 335)^{f}$	cases $(n = 734)^{f}$	Minimum FDR
MIR216A	chr2	56216090	۷	F	0.102	0.142	0.320	0.138	0.117	0.090	- Q-value <sup>s</sup> 0.271
MIR663B	chr2	133014587	υ	⊢	0.488	0.016	-0.630	-0.665	0.331	0.955	0.271
MIR 1258	chr2	180725568	⊢	υ	0.519	0.027	0.821	-0.780	0.759	0.787	0.271
MIR4268	chr2	220771223	υ	⊢	0.222	0.407	0.064	0.745	0.403	0.230	0.271
MIR4789	chr3	175087408	υ	⊢	0.099	-0.525	0.016	0.091	0.224	0.046	0.271
hsa-mir-1294	chr5	153726769	۲	U	0.265	0.241	0.789	0.088	0.459	0.250	0.271
hsa-mir-3144	chr6	120336327	υ	∢	0.166	0.643	0.033	0.852	0.191	0.123	0.271
MIR4467	chr7	102111936	ს	∢	0.062	0.289	0.034	0.076	0.289	0.062	0.271
MIR3622A:MIR3622B	chr8	27559214	ს	∢	0.104	0.066	0.278	0.117	0.271	0.138	0.271
hsa-mir-1302-7	chr8	142867668	ATGT	∢	0.022	0.012	0.107	0.026	0.009	0.021	0.271
MIR4669	chr9	137271318	υ	∢	0.008	0.173	0.109	0.003	0.030	0.007	0.271
MIR3689A	chr9	137742206	υ	⊢	0.050	0.354	0.049	0.248	0.038	0.043	0.271
MIR 1908	chrll	61582708	⊢	υ	0.841	0.016	-0.636	-0.424	0.885	-0.713	0.271
MIR612	chrll	65211940	υ	∢	0.930	0.745	-0.174	0.043	-0.590	-0.942	0.271
MIR492	chrl 2	95228286	ט	υ	0.199	0.078	0.077	0.905	0.435	0.284	0.271
hsa-mir-300:MIR300	chrl4	101507727	υ	⊢	0.235	0.778	0.213	0.074	0.619	0.198	0.271
MIR381HG	chrl4	101513795	υ	⊢	0.042	0.138	0.095	0.003	0.305	0.048	0.271
MIR656	chr14	101533093	υ	⊢	0.118	0.087	0.115	0.110	0.369	0.119	0.271
MIR4513	chrl 5	75081078	ט	∢	0.189	0.087	0.314	-0.798	0.095	0.324	0.271
MIR 184	chrl 5	79502168	ט	⊢	0.251	0.511	0.479	0.749	0.097	0.336	0.271
MIR I 225	chrl 6	2140262	⊢	D T	0.567	0.047	0.913	-0.988	0.868	0.831	0.271
MIR4520A:MIR4520B	chrl 7	6558808	ט	∢	0.104	0.241	0.279	-0.766	0.012	0.127	0.271
MIR423	chrl 7	28444183	۲	υ	0.059	0.168	0.833	0.203	0.006	0.088	0.271
MIR4745	chrl9	804959	υ	⊢	0.718	-0.759	-0.614	0.079	0.941	0.605	0.271
MIR3190:MIR3191	chrl9	47730272	۲	υ	0.186	0.811	-0.398	-0.043	0.00008	0.126	0.155
MIR4751	chrl9	50436371	ט	∢	0.121	0.092	0.148	0.382	0.243	0.139	0.271
MIR4754	chrl 9	58898193	υ	⊢	0.001	0.164	0.003	0.018	0.00100	0.0005	0.271
MIR3192	chr20	18451325	⊢	υ	0.036	0.135	0.085	0.182	0.009	0.036	0.271
hsa-mir-941-3:MIR941-2:MIR941-4	chr20	62551298	υ	⊢	0.264	0.071	0.567	0.174	0.672	0.445	0.271
<b>MIRLET7BHG</b>	chr22	46487011	ט	∢	0.104	0.034	0.159	0.259	0.313	0.123	0.271
<sup>a</sup> Marginal evidence defined as sign of the burden statistic multiplied by kernel statistic P value in [0, 0.10].	he burden statistic mu	ıltiplied by kerne	statistic <i>F</i>	value in	[0, 0.10].						

<sup>b</sup>The control consists of 91 spousal controls and 113 participants with known mismatch repair gene mutations. <sup>c</sup>POS, genomic position. <sup>d</sup>REF, reference allele. <sup>e</sup>ALT, alternate allele. <sup>g</sup>FDR, false discovery rate. Minimum FDR Q-value for each variant. FDR Q-value was calculated considering all case-control comparisons simultaneously.

TABLE 3. Individual miRNA Variants Exhibiting Marginal Positive Association<sup>a</sup> in Cases Versus Controls<sup>b</sup>

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(Table S3). We looked specifically at these five variants: one was not well captured in our dataset so could not be evaluated, but the other four were not different between cases and controls. It is notable that the majority of the published studies to date were conducted in Asian populations whereas our study was restricted to Europeans; it is possible these variants are in linkage disequilibrium with an ethnic-specific risk factor or that our study was underpowered to detect a modest association. Other investigators also report non-replication of these miR-NAs in CRC cohorts of European ancestry (Hezova et al., 2012; Vinci et al., 2013; Kupcinskas et al., 2014). In addition, expression levels for these miRNAs were not reported to differ in CRC across the newly described consensus molecular subtypes of CRC (Guinney et al., 2015). Larger studies with careful attention to ethnic selection are needed to assess the validity of all observations.

One strength of this study was the ability to evaluate across well-characterized subsets of CRC cases (dMMR, pMMR, Young Onset, etc.) for whom other major germline mutations had been sought but were not found. Second, coverage of miRNAs was broad due to the inclusion of nearly all the miRNAs known at the time the study was initiated Overall, the quality of the sequencing reads was high and 1,123 miRNAs could be evaluated. One weakness was our limited sample size and the number of controls. However, our control allele frequencies matched frequencies in the 1000 Genomes European Project well (Fig. S1). Another weakness is the absence of functional studies to follow-up on our current findings, which is beyond the scope of this short report.

This study identified a list of miRNAs for which there was a suggestion of association with CRC, which varied by molecular subtype. These findings argue for additional testing in a larger study. We have provided an assessment using a European sample of four of the miRNA variants reported by others to be associated with CRC and were not able to confirm those associations even though our numbers were comparable to the discovery reports.

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