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# Hospital contribution to variation in rates of vaginal birth after cesarean

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# Abstract

**Objective:** To determine the influence of delivery hospital on the rate of vaginal birth after cesarean (VBAC).

**Study Design:** This retrospective cohort study used claims data from Blue Cross and Blue Shield of Michigan. Women with a prior cesarean and a singleton livebirth between 2012 and 2016 were included. We calculated the hospital-specific risk-standardized VBAC rates and median odds ratio as a measure of variation.

**Result:** Hospital-level adjusted rates varied nearly 10-fold (3.7%-35.5%). Compared to the lowest volume hospitals (1<sup>st</sup> quartile), the likelihood of VBAC increased for those in the 2<sup>nd</sup> (adjusted OR 2.75 [95% CI 1.23-6.17]), 3<sup>rd</sup> (adjusted OR 3.73 [95% CI 1.59-8.75]), and 4<sup>th</sup> quartiles (adjusted OR 2.9 [95% CI 1.11-7.72]). The median OR suggested significant variation by hospital after adjustment.

**Conclusion:** The delivery hospital itself explains a large amount of the variation in rates of VBAC after adjustment for patient and hospital characteristics.

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#### Introduction

Nearly one-third of births in the United States in 2015 were cesarean deliveries [1]. Historically, offering trial of labor after cesarean (TOLAC) has decreased the population-level cesarean rate [2]. After peaking in the mid-1990s, the rate of vaginal birth after cesarean (VBAC) in the United States is now around 9-12%, and many facilities have stopped offering TOLAC [2, 3].

While patient characteristics influence the likelihood of a successful VBAC, the reduction in VBAC rates over time is primarily driven by changes in the number of women attempting TOLAC [4, 5]. Factors associated with lower rates of TOLAC and VBAC include limited understanding of risks and benefits of TOLAC, perceived provider preference, physician cognitive traits, and provider call schedules [6-8]. The type of obstetric care provider may also play a role [9].

The reduction of repeat cesarean is an explicit Healthy People 2020 goal, but there is no sustained systematic effort to achieve this metric [2, 10]. There is limited recent data on variation in VBAC across institutions, although VBAC rates ranged from 0-45% across California hospitals with a median VBAC rate of 5% [11, 12]. Similar hospital-level variation has been demonstrated in other obstetric outcomes including "low-risk" cesarean delivery (2.4-36.5%) and episiotomy [13, 14]. Hospital factors previously associated with VBAC include obstetric volume, level of neonatal care, rural location, and teaching status [12, 15].

Our objective was to assess VBAC rates and the relationship between delivery hospital and VBAC among a large, contemporary population. We hypothesized that after adjustment for patient and hospital-level characteristics, there is a significant and clinically meaningful variation in VBAC between hospitals.

## Materials and Methods

We performed a retrospective study using Blue Cross Blue Shield of Michigan (BCBSM) Preferred Provider Organization claims data from 2012-2016 for women receiving maternity services at one of the 66 Michigan Value Collaborative (MVC)-participating hospitals that provide those services. MVC is a voluntary statewide consortium funded by BCBSM and comprised of acute care hospitals with the goal of improving patient outcomes through data analytics and collaborative learning. The participating hospitals account for the majority of maternity care hospitals in the state of Michigan (66/83, 79.5%, Figure 1).

Claims were obtained for women with BCBSM Preferred Provider Organization coverage and contained the complete 30- and 90-day episode payments for obstetrical care, as well as diagnostic and procedural codes. The claims-based algorithm for identification of episodes of care has previously been validated [16]. BCBSM provided the claims data but did not contribute to the design or analysis of the study. Based on the study period, both ICD-9 and ICD-10 diagnostic codes were used. This study used de-identified patient information for the purpose of quality assurance and improvement, which meets criteria for not regulated status by the University of Michigan Institutional Review Board–Medical (HUM00133809).

We identified women who had a history of cesarean delivery (ICD-9 654.20, 654.21, 654.23; ICD-10 O34219, O34311, O34212, O34219, O3421) and a delivery episode of a singleton livebirth during the study period. Only women older than 18 years of age were included based on our data use agreement. We excluded women based on ICD-9 and ICD-10 codes if their index delivery included codes for malpresentation (breech, transverse, shoulder), placenta previa, or vasa previa because these women were not medically eligible for TOLAC. Amongst this cohort, VBAC was identified using the following CPT codes: 59400, 59409, 59410, 59610, 59612, and 59614. The VBAC rate was calculated as the number of women with VBAC divided by the number of women with a history of prior cesarean delivery. ICD codes do not allow ascertainment of parity or prior number or type of cesarean deliveries.

We obtained patient characteristics including age, obesity, diabetes, and hypertension [4, 17]. Race and ethnicity were not available. Maternal ICD codes for fetal conditions that are known prior to delivery and may affect VBAC were obtained including excessive growth/ large for gestational age and fetal growth restriction. Urban/rural status of the facility was based on the American Hospital Association (AHA)/Centers for Medicare and Medicaid Services designation. Annual delivery volume by hospital was obtained from the Michigan Department of Health & Human Services. The quartile rank, with 1<sup>st</sup> quartile being lowest volume, was based on average annual total births from 2012-2016 across all MVC hospitals. Obstetric and neonatal care levels were obtained from the 2015 voluntary annual AHA survey, which was representative of the care levels over the 5-year study period. Obstetric care level is designated in three tiers. Level 1 "provides services for uncomplicated maternity and newborn cases"; level 2 "provides service for all uncomplicated and most complicated cases"; and level 3 "provides services for all serious illnesses and abnormalities." Neonatal levels of care were treated as separate variables because a single facility could provide care in both an intermediate care unit and an intensive care unit. Teaching hospital status was based on the presence of a residency program in obstetrics and gynecology, which was determined by cross-matching the lists of Michigan hospitals in MVC and those known to have a residency program by the American College of Obstetricians and Gynecologists in 2016. Hospital websites were also reviewed to verify existence of a residency program.

To examine the trend in observed VBAC rates over time in the entire cohort, we used ordinary least squares regression to test for a significant trend between 2012 and 2016 using episode year as the independent variable.

Of the 66 MVC-participating hospitals that provided maternity services during the study period, 54 also had available AHA data and were therefore included in the subsequent analyses. We performed bivariate analyses of patient and hospital factors considered to be associated with VBAC using Chi-square or Fisher's exact tests for categorical variables, and analysis of variance for continuous variables. Normality of variables was assessed by reviewing skew, kurtosis, and quantile-quantile plots to examine the need for non-parametric testing.

Clinically and statistically relevant (p<0.05) patient and hospital characteristics were considered in a stepwise logistic regression model. A hierarchical multivariable logistic regression model with hospital random effects was fit to account for clustering of deliveries within hospitals and avoid overestimation of the significance of statistical associations. Using the final model, we calculated the hospital-specific risk-standardized VBAC rates using methodology from the Centers for Medicare and Medicaid Services [18]. Risk and reliability-adjustment provides better estimates for low-volume hospitals, as outcome rates are "shrunk" towards the average to minimize extreme values due to small sample size. We calculated Clopper-Pearson 95% CIs on the risk and reliability-adjusted hospital-specific VBAC rate. To illustrate variation in rates and identify outliers with respect to the MVC reference rate, we graphed hospitals on a caterpillar plot. If a 95% CI did not cross the statewide reference VBAC rate, that hospital was considered a statistical outlier.

The intraclass correlation coefficient was measured to determine the percentage of variation in VBAC attributable to the delivery hospital. Given the limitations of this measure in interpreting the proportion of variance explained for dichotomous outcomes (such as VBAC versus repeat cesarean), the median odds ratio (OR) was also calculated. The median OR is a more interpretable measure of the level of variation due to delivery hospital [19]. The median OR is always greater than or equal to one; however, the further it is from one indicates stronger hospital-level differences. The median OR was chosen as a measure of hospital variation as the value is easily interpretable on an odds ratio scale, which can be compared to patient- and hospital-level factors' adjusted odds ratios [20]. This analytic technique has been used previously to study hospital- and regional-level variation in clinical outcomes [21, 22]. Since deliveries are clustered in hospitals, we constructed an 80% interval OR for significant hospital-level variables to determine if a given factor had a significant impact on the variation in VBAC rate [19]. If the 80% interval OR crosses one, then that factor has minimal influence on the variation in VBAC rate. This is a complementary measure to the adjusted OR [20]. Model fit was assessed with the C-statistic. All statistical analyses were performed using Statistical Analysis System (SAS Version 9.4, Cary, NC). All statistical tests were two-sided and performed with  $\alpha$ =0.05. Code availability: risk and reliability adjustment, median OR, and 80% interval OR available by request to corresponding author.

#### Results

During the study period, there were 14,012 deliveries among women with a history of cesarean that occurred at 66 maternity care hospitals. Of those, 1709 (12.2%) were VBAC. Amongst the 66 maternity care hospitals, eight (12.1%) had no VBACs in the five-year period. The observed VBAC rate among hospitals with at least one VBAC was 0.6-24.8%. Overall, the incidence of VBAC increased over time by 1.1% per year, from 10.2% in 2012 to 14.0% for 2016 (p=0.01,  $R^2$  0.91, Figure 2).

Fifty-four hospitals had AHA survey results regarding level of obstetric and neonatal care and were included in the subsequent analyses. There were 12,526 deliveries among women with a history of cesarean at those hospitals (89.4% of the total population). Women who had a VBAC were younger (p=0.002) and less likely to be obese (p<0.001) than women who

had a repeat cesarean (Table 1). Medical comorbidities including diabetes (p<0.001), chronic hypertension (p<0.001), pre-eclampsia (p=0.001), and gestational hypertension (p<0.001) were less frequent among women who had a VBAC. VBAC was less likely in pregnancies complicated by large for gestational age (0.9% vs. 3.0%, p<0.001); there was no relationship between growth restriction and mode of delivery in our population (p=0.40).

At urban hospitals, the VBAC rate was 13.0% over the study period versus 6.6% in rural hospitals (p<0.001). The VBAC rate increased across quartiles of delivery volume (3.0% vs. 7.6% vs. 12.2% vs. 14.0%, p<0.001). VBAC was also more common at teaching hospitals (14.6%) than at non-teaching hospitals (10.0%, p<0.001). VBAC was more frequent in hospitals with neonatal intermediate (14.0 vs. 11.9%, p=0.003) and intensive (14.3 vs. 8.7%, p<0.001) care units, respectively. The VBAC rate increased with higher level obstetric care (7.6% vs. 10.5% vs. 14.7%, p<0.001).

Observed VBAC rates across the 54 hospitals ranged from 0% to 24.8%, with 7 hospitals having 0 VBAC in the study period. Risk and reliability-adjusted VBAC rates ranged from 3.7% (95% exact CI 1.6-6.2%) to 35.5% (95% exact CI 2.9%-81.4%, Figure 3). The mean adjusted VBAC rate across the cohort was 12.4%.

The obstetric and hospital factors included in the multivariable hierarchical model are shown in Table 2. Teaching status and urban-rural designation were not retained in the model. Increasing age, obesity, diabetes, hypertensive disorders, and large for gestational age were all associated with decreased odds of VBAC. Both adjusted OR and 80% interval OR are shown for hospital factors included in the model. Only annual delivery volume remained significantly associated with VBAC in the multivariate analysis, with increasing adjusted odds ratios for VBAC for higher volume quartiles. Compared to the 1<sup>st</sup> quartile, the 3<sup>rd</sup> quartile for delivery volume had an adjusted OR of 3.73 (95% CI 1.59-8.75) and the 80% interval OR did not cross one—suggesting that volume significantly drives variation in VBAC. The adjusted OR for the 2<sup>nd</sup> and 4<sup>th</sup> quartile of delivery volume demonstrated a significant association between volume and VBAC, but the 80% interval OR was not significantly associated with VBAC or variation in VBAC rates.

To assess the effect of the delivery hospital on likelihood of VBAC after adjusting for patient and hospital-level factors, we calculated the intraclass correlation coefficient and the median OR. The intraclass correlation coefficient of the null model including only the random effects of the delivery hospitals was 16.2%, which was reduced to 9.9% in the fully-adjusted model. The median OR was 1.77. In other words, if two patients with identical obstetric characteristics presented for TOLAC to two random hospitals, we would expect the woman at the hospital with higher VBAC propensity to have 77% greater odds of VBAC than the woman at the other hospital.

## Discussion

In this large cohort of commercially insured women, we determined that the delivery hospital itself explains a significant degree of the variation in VBAC rates between hospitals,

after adjusting for measurable patient and hospital characteristics. We found a ten-fold difference in risk-adjusted rates of VBAC across a region, yet measured hospital factors besides delivery volume did not contribute significantly to that variation.

The degree of variation that is attributable to the delivery hospital in our study is impressive. The odds of VBAC is 77% higher at a randomly-selected hospital compared to a lower-performing hospital. Using a similar statistical approach, other studies have found the odds of sepsis mortality increased approximately 20% by hospital, and the odds of mortality after out-of-hospital cardiac arrest increased 40% depending on county [20, 21].

There is an opportunity to increase access to VBAC given that variation in VBAC rates is likely driven by differences in institutional and organizational characteristics between hospitals. Hospital outliers with very high rates of VBAC may have practices that could be studied and adopted by institutions with lower VBAC rates. There are several specific areas that may increase TOLAC and VBAC. Standardization of counseling about the risks and benefits of TOLAC could minimize the influence of perceived provider preference and increase the likelihood of TOLAC [6]. Hospitals could alter staffing models to include night float or midwifery services given these models have been associated with increased VBAC rates [8, 9, 12]. The success of regional referral centers for neonatal care may also provide a model for increasing access to TOLAC [2, 22]. There is also an imperative to monitor maternal and fetal outcomes—particularly at outlier institutions—so that the goal is not only to increase VBAC, but to improve maternal and neonatal outcomes without increasing harms.

This study has several strengths. We had a large sample size with data from diverse facilities across a region. We included women with medical conditions associated with decreased success of VBAC (e.g., preeclampsia), as these conditions are not contraindication to TOLAC, and these women were excluded from a prior analysis of hospital variation in VBAC [12]. There is extremely limited data on VBAC rates or variation across facilities in the past 10 years, so providing data on changing VBAC rates through 2016 is a useful contribution [12, 23]. Our statistical approach is also a strength. The median OR is readily interpretable on a familiar odds ratio scale and takes into account both known and random effects of the delivery hospitals. It is thus easier to compare the hospital effects to clinical factors that are known to alter risk of VBAC as opposed to presenting the attributable percent of variation. This method has been used in health care quality literature to assess regional or facility-level variation in important clinical outcomes but is novel in obstetric literature [20, 21].

The limitations of this study are primarily related to the nature of claims data. We were unable to ascertain intended mode of delivery and can comment only on actual route of delivery. Parity and the number of prior cesarean deliveries is not identifiable by ICD codes, and these factors may alter who is a candidate for TOLAC at different hospitals. More detailed patient information such as race, ethnicity, and labor characteristics were not available to more fully adjust for odds of VBAC. There is also under-coding for some comorbidities, particularly obesity. The availability of anesthesia is not readily discernible from our data sources; however, the level of obstetric care may be a proxy. Per the American

College of Obstetricians and Gynecologists practice bulletin, TOLAC can be offered in facilities that are at least level 1 (basic obstetric care) [2]. Lastly, the women included in this study all had commercial insurance, so our results may not be generalizable to all populations.

In this study, we found that delivery hospital significantly influences the VBAC rate. Fundamental questions, including 1) should it matter where a woman delivers her baby?; and 2) is the delivery hospital the woman's choice? need to be addressed in the context of a public health goal of decreasing the cesarean rate. There is an urgent need to identify and disseminate institutional practices associated with increased access to TOLAC and VBAC in order to reverse the trend of increasing repeat cesarean deliveries and concomitant maternal morbidity. Future research on implementation of those models and assessment of maternal and neonatal outcomes is warranted.

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Figure 1. Selection of hospitals included in analyses of vaginal birth after cesarean across the state of Michigan (2012-2016)

AHA, American Hospital Association; MVC, Michigan Value Collaborative; VBAC, vaginal birth after cesarean



#### Figure 2. Rate of vaginal birth after cesarean (2012-2016)

Observed delivery counts are shown in bars on the primary axis with repeat cesarean in dark gray and VBAC in light gray. The observed VBAC rate is shown in the line on the secondary axis (black line). There was a significant increase in VBAC rate over time by linear regression (p=0.01).

VBAC, vaginal birth after cesarean



Figure 3. Risk and reliability-adjusted rates of vaginal birth after cesarean

The 54 hospitals responding to the American Hospital Association survey are ranked from left to right by lowest to highest adjusted VBAC rate. The error bars demonstrate the 95% exact CI. The solid gray line is the mean VBAC rate. Nine statistical outliers are identified (\*); four are below the mean and five are above the mean.

VBAC, vaginal birth after cesarean

#### Table 1.

Demographic, obstetric, and hospital characteristics by mode of delivery

Characteristics	Repeat Cesarean (n=10 979)	VBAC (n=1547)	P-value
Maternal age, years	$32.5\pm4.7$	$32.1\pm4.5$	0.002
Obesity	913 (8.3)	51 (3.3)	< 0.001
Diabetes <sup>1</sup>	1890 (17.2)	140 (9.1)	< 0.001
Chronic hypertension	438 (4.0)	24 (1.6)	< 0.001
Pre-eclampsia	300 (2.7)	21 (1.4)	0.001
Gestational hypertension	391 (3.6)	27 (1.8)	< 0.001
Large for gestational age	332 (3.0)	14 (0.9)	< 0.001
Fetal growth restriction	50 (0.5)	4 (0.3)	0.40
Annual delivery volume (quartile)			< 0.001
1st (281-534 births)	574 (5.2)	18 (1.2)	
2nd (549-995 births)	1247 (11.4)	102 (6.6)	
3rd (996-1975 births)	2875 (26.2)	401 (25.9)	
4th (1989-7657 births)	6283 (57.2)	1026 (66.3)	
Hospital location			< 0.001
Urban	9860 (89.8)	1468 (94.9)	
Rural	1119 (10.2)	79 (5.1)	
Teaching hospital	5421 (49.4)	927 (59.9)	< 0.001
Level of obstetric care			< 0.001
Level 1	1893 (17.2)	156 (10.1)	
Level 2	3199 (29.1)	375 (24.2)	
Level 3	5887 (53.6)	1016 (65.7)	
Neonatal intensive care	7058 (64.3)	1174 (75.9)	< 0.001
Neonatal intermediate care	2380 (21.7)	388 (25.1)	0.003

Data presented as mean  $\pm$  standard deviation, or n (%). P-values are calculated using analysis of variance,  $\chi^2$  test, or Fisher's exact test.

VBAC, vaginal birth after cesarean

<sup>1</sup>Gestational and pre-existing diabetes

# Table 2.

Adjusted odds ratio and 80% interval odds ratio of patient and hospital-level factors on vaginal birth after cesarean

Factors	Odds Ratio (95% CI)	P-value	80% Interval OR <sup>1</sup>
Maternal age, years	0.98 (0.97-0.99)	<0.001	
Obesity	0.58 (0.41-0.84)	0.003	
Diabetes <sup>2</sup>	0.65 (0.52-0.81)	<0.001	
Chronic hypertension	0.42~(0.28-0.64)	<0.001	
Pre-eclampsia	0.50 (0.32-0.78)	0.002	
Gestational hypertension	0.52 (0.35-0.78)	0.002	
Large for gestational age	$0.32\ (0.18-0.55)$	<0.001	
Annual delivery volume (quartile)			
1 <sup>st</sup> (281-534 births)	reference	reference	reference
2 <sup>nd</sup> (549-995 births)	2.75 (1.23-6.17)	0.02	0.92-8.17
3 <sup>rd</sup> (996-1975 births)	3.73 (1.59-8.75)	0.003	1.25-11.07
4 <sup>th</sup> (1989-7657 births)	2.92 (1.11-7.72)	0.03	0.98-8.69
Level of obstetric care ${}^{\mathcal{J}}$			
Level 1	reference	reference	reference
Level 2	1.02 (0.55-1.92)	0.08	0.34-3.04
Level 3	1.07 (0.51-2.23)	0.18	0.36-3.17
Neonatal intensive care	1.95 (0.89-4.27)	0.09	0.66-5.80
Neonatal intermediate care	1.60 (0.92-2.77)	0.09	0.54-4.75

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CI, confidence interval; OR, odds ratio

 $^{I}_{0.000}$  interval OR only computed for hospital-level factors to assess effect on variation in vaginal birth after cesarean

<sup>2</sup>Gestational and pre-existing diabetes

<sup>3</sup>Level 1 "provides services for uncomplicated maternity and newborn cases"; Level 2 "provides service for all uncomplicated and most complicated cases"; Level 3 "provides services for all serious illnesses and abnormalities