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## ***PDGFRA* and *KIT* Mutation Status and Its Association With Clinicopathological Properties, Including DOG1**

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Most of the gastrointestinal stromal tumors (GISTs) have gain-of-function mutations in the *KIT* gene, which can be used as a prognostic marker for the biological behavior of tumors, predictive marker for the response of tyrosine kinase inhibitors, and diagnostic marker. Researchers have focused on *PDGFRA* mutations because of both their prognostic and predictive potential and DOG1 positivity for diagnosis on GISTs. The aim of this study is to investigate the effect DOG1, *PDGFRA*, and *KIT* mutations on the prediction of the outcome for GIST management. Polymerase chain reaction was performed for *KIT* gene exons 9, 11, 13, and 17 and *PDGFRA* gene exons 12 and 18 with the genomic DNA of 46 GIST patients, and amplicons were sequenced in both directions. Immunocytochemical stainings were done by using primary antibodies. Molecular analysis revealed that the *KIT* mutation was observed in 63% of all cases, while the *PDGFRA* mutation was observed in 23.9% of cases. Significant relationships were found between age and *KIT* mutation, tumor location and *KIT* mutations, and tumor location and *PDGFRA* mutations ( $p \leq 0.05$ ). DOG1 positivity was detected in 65.2% of all GISTs and DOG1-positive cells had a higher *KIT* mutation ratio than DOG1-negative cells ( $p \leq 0.05$ ). *KIT* gene exon 11 mutations in DOG1-positive cells was higher than DOG1-negative cells ( $p \leq 0.05$ ). Conversely, *KIT* gene exon 13 mutations were higher in DOG1-negative cells than DOG1-positive cells ( $p \leq 0.05$ ). In this study, *KIT* mutation frequency was found similar with the European population; conversely, *PDGFRA* mutation frequency was similar with an Asian-Chinese-based study. *KIT/PDGFRA* mutations and tumor location can be used for the prediction of tumor behavior and the management of disease in GISTs. DOG1 positivity might be a candidate marker to support *KIT* and *PDGFRA* mutations, due to the higher DOG1 positivity in *KIT* exon 11 mutant and stomach- and small intestine-localized GISTs.

**Key words:** Gastrointestinal stromal tumors (GISTs); *KIT* gene; *PDGFRA* gene; Mutations; DOG1

### **INTRODUCTION**

Gastrointestinal stromal tumors (GISTs) are the most common mesenchymal tumors of the gastrointestinal tract. They originate from the interstitial cells of Cajal (1). Immunocytochemical staining with an antibody against KIT (CD117) led to the discovery that the KIT protein is characteristically expressed in most GISTs (2). Nearly all GISTs have gain-of-function mutations in the *KIT* gene (approximately 90%), and they are the major

cause of GISTs. The *KIT* gene encodes a transmembrane receptor, the KIT protein, for the cytokine known as stem cell factor. The intracytoplasmic part of the KIT protein functions as a tyrosine kinase. Therefore, mutations in the *KIT* gene cause constant activation of the receptor tyrosine kinase and increased proliferation and survival due to constant receptor activation (3). GISTs were previously thought to be resistant to cancer chemotherapy, and they were associated with poor prognosis due to the lack

of effective therapeutic options, until imatinib mesylate, a selective inhibitor of tyrosine kinases, including KIT, platelet-derived growth factor receptors (PDGFRs), and BCR-ABL, was found to be effective against chemotherapy-resistant GISTs. Researchers characterized platelet-derived growth factor receptor- $\alpha$  (*PDGFRA*) mutations in a small group of GISTs with the wild-type *KIT* gene, and this alternative oncogenic mechanism over *PDGFRA* has been confirmed by other researchers. Imatinib can bind and inhibit *PDGFRA* (4).

Previously, it was declared that both *KIT* and *PDGFRA* mutations have prognostic and predictive potential. *KIT* mutations were associated with aggressive tumor behavior and poor clinical outcome in GISTs; on the other hand, *PDGFRA* mutations were identified with a clinically benign outcome, but prognostic results have not been integrated into a risk classification scheme (5). Furthermore, both *KIT* and *PDGFRA* mutations have significance for the prediction of response to imatinib (6).

According to generally accepted experience, immunohistochemical staining and gene analysis are considered useful for diagnosis, because nearly 95% of GISTs express CD117 (KIT protein, which stains positively for KIT in immunohistochemistry) and often harbor mutations of a gene that encodes a type III receptor tyrosine kinase (either *KIT*, approximately 90%, or *PDGFRA*, approximately 5%). Although CD117 positivity on immunohistochemistry has been considered the gold standard for GIST diagnosis, recent studies have shown that some of these tumors can be negative for CD117 and other markers, such as CD34, S-100, and smooth muscle actin (SMA); therefore, certain diagnosis is often challenging (7). If the tumor is negative for CD117 but is positive for CD34, a histological diagnosis is possible. However, if the tumor is negative for CD117, CD34, S-100, and SMA, making a definitive diagnosis is often challenging. Discovery of GIST-1 (*DOG1*) has received considerable attention as a useful molecule for the diagnosis of GIST, even in *KIT*-negative GISTs. *DOG1*, a membrane channel protein, is known to be overexpressed in GIST. Several publications suggest that *DOG1* is more specific and sensitive for the diagnosis of GIST than CD117. Espinosa et al. (8) reported that *DOG1*-positive staining yielded in 87% of all scorable GIST, whereas CD117 was positive in 74%. Other studies showed that *DOG1* positivity was found in 97.8% of scorable GISTs (9,10). In recent years, new systems have been investigated, such as "recurrence risk scoring," which shows the targeted agents that are useful in patients. Several studies have explored the ability of Ki-67 to predict the malignant potential of GISTs (11). Some authors believe that mitotic index reflects the M phase of mitosis only, but Ki-67 also defines the proliferation of cells in the  $G_1$ , S, and  $G_2$  phases and therefore can be used as an objective criterion in the evaluation of GIST malignancy (11,12).

With molecular studies, GISTs patients carrying *KIT* gene exon 9 are more likely to show resistance, whereas those with tumors carrying mutations of exon 11 are more likely to show a good response. Similar clinical benefits appear in patients with exon 13 mutations as those with exon 11 mutations, and in vitro studies suggest that exon 17 mutations are resistant to both imatinib and sunitinib (13). The results of these studies provide information about the prognostic factors and their importance in GIST management. The aim of this study is to investigate new and more practical markers, such as *DOG1* and *PDGFRA* mutations and *KIT* mutations, to predict the outcome in GIST patients and observe importance in the management of the disease.

## MATERIALS AND METHODS

### *Patients and Clinical Evaluation*

A total of 46 formalin-fixed and paraffin-embedded (FFPE) GIST samples were used for this retrospective study, which was conducted with the ethical approval of the Clinical Research Ethics Committee of Dokuz Eylul University School of Medicine. Cases with sufficient tumor tissue for molecular analysis were chosen from archive of the Pathology Department of Dokuz Eylul University Hospital. All samples were diagnosed as GIST with immunohistochemical positivity for CD117. Sections (5  $\mu$ m) were cut from each FFPE sample containing at least 75% tumor tissue.

### *Immunohistochemistry*

In the study group of patients, sections were prepared from paraffin blocks, and histological sections (5  $\mu$ m) of paraffin-embedded materials were used for immunohistochemistry. The primary antibodies used were as follows: CD117, CD34, SMA, S-100, desmin, Ki-67, and *DOG1* (Table 1). Sections were deparaffinized in xylene and hydrated in a graded series of alcohol. Staining was performed using automatic immunohistochemistry staining equipment (Lab Vision Autostainer; Thermo Scientific) and evaluated by pathologists (14). Diffuse- or focal-stained specimens were accepted as positive.

### *Molecular Analysis*

**DNA Extraction.** Genomic DNA was extracted from FFPE tumor sections from each sample using a QIAamp DNA FFPE Tissue Kit (Qiagen, Hilden, Germany) following the manufacturer's instructions. Tumor tissue sections were deparaffinized by serial treatment with xylene and a graded series of ethanol and digested with proteinase K.

**The Amplification of Target Exons and DNA Sequencing.** For the screening of known and unknown exons, exons 9, 11, 13, and 17 of *KIT* and exons 12 and 18 of *PDGFRA* were amplified using polymerase chain reaction (PCR; Tag

**Table 1.** Antibodies Used for Immunohistochemistry

Antigen	Antibody	Dilution
CD117	Polyclonal (Dako, Denmark)	1:400
CD34	Monoclonal (Clone QBend/10, Neomarkers, USA)	1:200
SMA	Monoclonal (Clone 1A4, Dako, Denmark)	1:400
S-100	Polyclonal (Spring Bioscience Corp., USA)	1:200
Desmin	Polyclonal (Spring Bioscience Corp., USA)	1:200
Ki-67	Monoclonal (Clone SP6, Neomarkers, USA)	1:200
DOG1	Monoclonal (Clone K9, Novocastra, UK)	1:100

DNA Polymerase-dNTPack; Roche Diagnostics GmbH Mannheim, Germany) with specifically designed primers (Table 2). PCR amplicons were purified using a specific purification kit (High Pure PCR Product Purification Kit; Roche Diagnostics) and sequenced in both the forward and reverse directions (DTCS-Quick Start Kit; Beckman Coulter, USA) with the same primer sets and an automatic genetic analysis system (GenomeLab™ GeXP Genetic Analysis System; Beckman Coulter). The generated DNA sequences were analyzed with GenomeLab Software, version 5.1 (Beckman Coulter) and specific bioinformatics tools. DNA sequences were aligned using the Basic Local Alignment Search Tool (BLAST) (<http://blast.ncbi.nlm.nih.gov/Blast.cgi>). The numbering of specific mutations was referenced from the Ensemble Database (<http://www.ensembl.org>). The reference sequences used to describe the mutations were ENST00000288135 and ENST00000257290 for the *KIT* and *PDGFRA* genes, respectively.

*Statistical Analysis*

SPSS (Version 21.0; SPSS, Inc., Chicago, IL, USA) software was used for statistical analysis. The following parameters were analyzed: patient age and gender, tumor type (primary, metastatic), tumor location (gastric, small

and large intestine, esophagus, omentum-periton, others), histological cell type (spindle, epitheloid, mixed), tumor size, and mitotic index in 50 HPF, CD117, CD34, Ki-67, SMA, S-100, desmin, DOG1, *KIT*, and *PDGFRA* gene molecular status. Chi-square and Fisher tests as a univariate analysis were used to analyze associations between variables. A value of  $p < 0.05$  was considered to be statistically significant.

**RESULTS**

*Clinicopathological Features of GIST Cases*

In this study, we analyzed 46 tumor tissues from GIST patients. Demographic and clinicopathological data of patients are shown in Table 3. All tumors presented pathological features consistent with GIST, and all of them expressed CD117. The median age was 64.5 (range: 25–84). It was determined that a greater number of GIST cases were observed in females (56.5%) in comparison with males (43.5%). The same trend toward increased frequency of GIST was noted in older patients (>50 years, 87%) in comparison with younger patients (≤50 years, 13%). The majority of the tumor samples were primary (84.8%) in origin, while only few cases were metastatic (15.2%). Most of the tumor samples were located on the

**Table 2.** Primers Used for Target Exon Amplification of *KIT* and *PDGFRA* Genes

Target Gene/Exon	Primers (5′–3′)	Melting Point ( $T_m$ )	Amplicon (bp)
<i>KIT</i>			
Exon 9	F: GACATTTTCTGTTGATTATGAACCTC	55.1	405
	R: CATGGTCAATGTTGGAATGAAC	54.6	
Exon 11	F: CCAGAGTGCTCTAATGACTGAGA	54.2	281
	R: AAACAAAGGAAGCCACTGGA	56.6	
Exon 13	F: TACTGCATGCGCTTGACATC	56.4	263
	R: TAATCTAGCATTGCCAAAATCA	52.6	
Exon 17	F: CATCATTC AAGGCGTACTTTTG	55.6	327
	R: TGCAGGACTGTCAAGCAGAG	56.6	
<i>PDGFRA</i>			
Exon 12	F: TCCAGTCACTGTGCTGCTTC	55	272
	R: AAGACTCCCTTTTCCCTTGC	55	
Exon 18	F: ACCATGGATCAGCCAGTCTT	55	251
	R: GGTCAGGCTCATCCTCCTTCA	55	

**Table 3.** Frequency of *KIT* and *PDGFRA* Mutations in the Current Group and Population-Based Studies

Target Gene/Exon	Current Study [n=46; % (n)]	Population-Based Studies [% (n)]				
		Poland (n=427) (13)	Iceland (n=56) (14)	France (n=492) (15)	Italy (n=54) (16)	Portuguese (n=78) (17)
<i>KIT</i> gene						
Total <i>KIT</i> mutant	63 (29)	69.3 (296)	87.5 (49)	70.7 (347)	74 (40)	56 (44)
Exon 9	10.9 (5)	7.3 (31)	10.7 (6)	5.5 (27)	19 (10)	5 (4)
Insertion	6.5 (3)	0		0	0	
Substitution	4.3 (2)	0		0	0	1.3 (1)
Duplication	0	7.3 (31)		5.5 (27)	19 (10)	3.8 (3)
Exon 11	45.7 (21)	61.1 (261)	76.8 (43)	63.2 (311)	52 (28)	51 (40)
Deletion	30.4 (14)	34 (145)	46.4 (26)	30.9 (152)	20.4 (11)	30.7 (24)
Substitution	10.9 (5)	15.5 (66)	28.6 (16)	21.5 (106)	26 (14)	19.2 (15)
Deletion-substitution	2.2 (1)	0	0		0	0
Insertion	2.2 (1)	0	0		0	1.2 (1)
Duplication	0	7 (30)	1.8 (1)	3.7 (18)	5.6 (3)	0
Complex	0	4.7 (30)	0	7.1 (35)		0
Exon 13	15.2 (7)	0.5 (2)	0	1.4 (6)	4 (2)	0
Substitution	15.2 (7)	0.5 (2)			4 (2)	0
Exon 17	19.6 (9)	0.5 (2)	0	0.6 (3)	0	0
Substitution	19.6 (9)	0.5 (2)			0	0
<i>PDGFRA</i> gene						
Total <i>PDGFRA</i> mutant	23.9 (11)	12.9 (55)	5.4 (3)	15 (73)	13 (7)	6.4 (5)
Exon 12	15.2 (7)	0.2 (1)	1.8 (1)	2 (11)	0	2.6 (2)
Substitution	15.2 (7)				0	1.3 (1)
Deletion		0			0	1.3 (1)
Exon 18	17.4 (8)	11.9 (51)	3.6 (2)	12 (60)	13 (7)	3.8 (3)
Substitution	17.4 (8)				7.4 (4)	3.8 (3)
Deletion		0			5.6 (3)	0
No mutation detected	13.1 (6)	17.8 (76)	7.1 (4)	14.2 (72)	13 (7)	37 (29)

In the population-based studies, reference numbers for each country are shown in parentheses.

stomach (43.5%), followed by the small intestine (34.8%), large intestine (4.3%), esophagus (4.3%), omentum-periton (4.3%), and other locations (8.7%). Histological examination under a microscope revealed that most of the cases were of the spindle cell type (82.6%), followed by mixed type (10.9%) and epithelioid (6.5%). The mitotic index in 50 high-power fields revealed that a larger number of cases had <5 mitosis/50 HPF (60.9%), while the remaining cases showed ≥5 mitosis/50 HPF (9.1%) (Table 3).

#### Mutational Status and Its Correlation With Clinicopathologic Characteristics

Molecular analysis revealed that the *KIT* mutation was observed in 63% of all cases, while the *PDGFRA* mutation was observed in 23.9% of them (Table 4); 13.1% of cases were wild type (WT) for both the *KIT* and *PDGFRA* genes. Among cases with the *KIT* mutation, 10.9% of them had exon 9 mutations, 45.7% of them had exon 11 mutations, 15.2% of them had exon 13 mutations, and 19.6% of them had exon 17 mutations. The number of exon 11 mutations was remarkably higher than those of

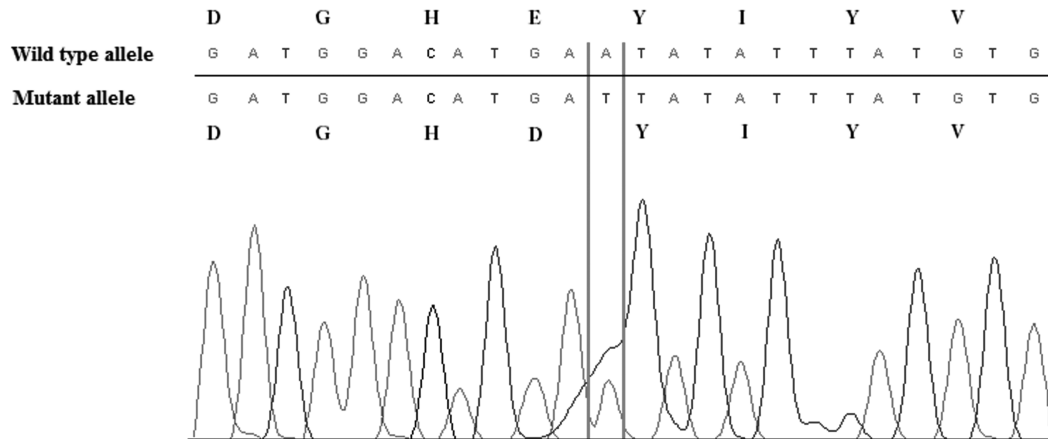
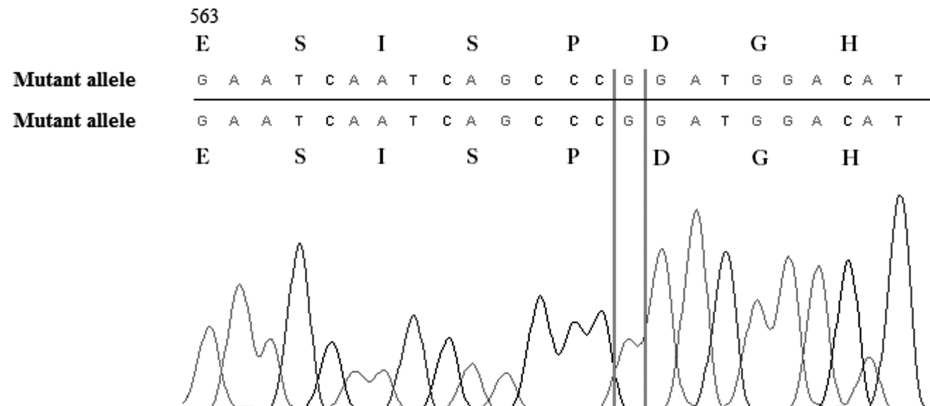
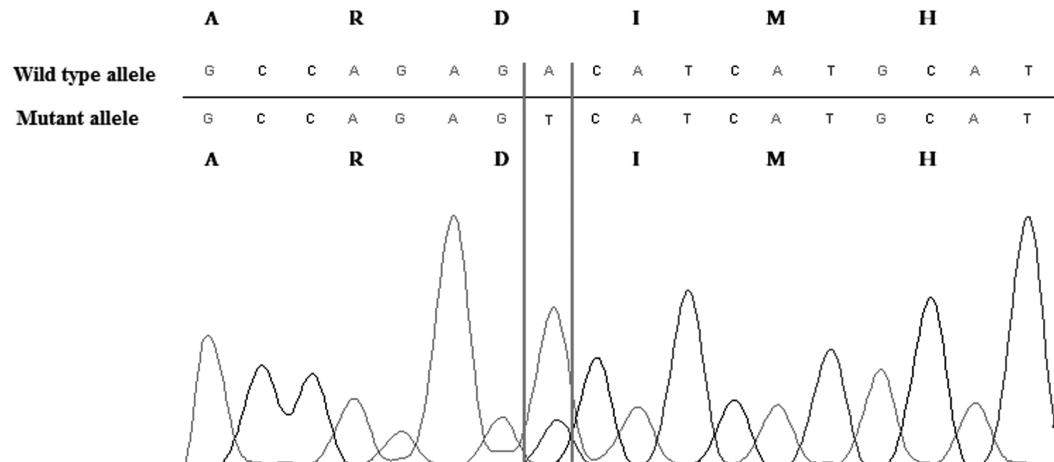
the other *KIT* mutations. Deletions were the most common type of mutation in exon 11 (30.4%). Substitutions (10.9%), deletion-substitutions (2.2%), and insertions (2.2%) were also observed in exon 11. Among cases with *PDGFRA* mutations, 15.2% of them had exon 12 mutations (Fig. 1A, B), and 17.4% of them had exon 18 mutations (Fig. 1C). Only substitutions were observed in the exon 12 and exon 18 regions of *PDGFRA*.

According to the statistical analysis between gender/age/tumor type/tumor location/histologic type/tumor size/mitosis/risk group and mutational status, significant relationships were found between age and *KIT* mutation, tumor location and *KIT* mutations, and tumor location and *PDGFRA* mutations (Table 3). The *KIT* mutation rate was significantly higher in the old patient group (>50) than in the young patient group (≤50) ( $p=0.02$ ). The *KIT* mutation rate in the stomach (70%) and small intestine-localized (75%) tumors was significantly higher than those of other tumors ( $p=0.07$ ). The *PDGFRA* mutation rate was significantly higher in the same tumor groups [stomach (85%), small intestine (81.3%)] ( $p=0.08$ ).

**Table 4.** Clinicopathological Characteristics of GIST Patients According to the *KIT* and *PDGFRA* Mutation Status

Clinicopathologic Parameters (Total Samples <i>n</i> = 46)	% ( <i>n</i> )	<i>KIT</i>		<i>p</i>	<i>PDGFRA</i>		<i>p</i>
		Wild Type [% ( <i>n</i> )]	Mutation [% ( <i>n</i> )]		Wild Type [% ( <i>n</i> )]	Mutation [% ( <i>n</i> )]	
Gender				0.29			0.57
Female	56.5 (26)	42.3 (11)	57.7 (15)		76.9 (20)	23.1 (6)	
Male	43.5 (20)	30 (6)	70 (14)		75 (15)	25 (5)	
Age				<b>0.02</b>			0.13
>50	87 (40)	30 (12)	70 (28)		80 (32)	20 (8)	
≤50	13 (6)	<b>83.3 (5)</b>	16.7 (1)		50 (3)	50 (3)	
Tumor type				0.22			0.51
Primary	84.8 (39)	33.3 (13)	66.7 (26)		76.9 (30)	23.1 (9)	
Metastatic	15.2 (7)	57.1 (4)	42.9 (3)		71.4 (5)	28.6 (2)	
Tumor location				0.07			0.08
Stomach	43.5 (20)	30 (6)	70 (14)		85 (17)	15 (3)	
Small intestine	34.8 (16)	25 (4)	75 (12)		81.3 (13)	18.8 (3)	
Large intestine	4.3 (2)	100 (2)	0		0	100 (2)	
Esophagus	4.3 (2)	100 (2)	0		50 (1)	50 (1)	
Omentum-periton	4.3 (2)	50 (1)	50 (1)		0	100 (2)	
Others	8.7 (4)	50 (2)	50 (1)		100 (4)	0	
Histological type				0.67			0.91
Spindle	82.6 (38)	39.5 (15)	60.5 (23)		76.3 (29)	23.7 (9)	
Mixed type	10.9 (5)	20 (1)	80 (4)		80 (4)	20 (1)	
Epithelioid	6.5 (3)	33.7 (1)	66.7 (2)		66.7 (2)	33.3 (1)	
Tumor size				0.11			0.24
<5.25 cm	50 (23)	26.1 (6)	73.9 (17)		82.6 (19)	17.4 (4)	
≥5.25 cm	50 (23)	47.8 (11)	52.2 (12)		69.6 (16)	30.4 (7)	
Mitosis/50 HPF				0.23			0.44
<5	60.9 (28)	42.9 (12)	57.1 (16)		78.6 (22)	21.4 (6)	
≥5	39.1 (18)	27.8 (5)	72.2 (13)		72.2 (13)	27.8 (5)	
Risk group				0.51			0.48
High	67.4 (31)	35.5 (11)	64.5 (20)		74.2 (23)	25.8 (8)	
Low	32.6 (15)	40 (6)	60 (9)		80 (12)	20 (3)	
CD117							
Negative	0	0	0		0	0	
Positive	100 (46)	37 (17)	63 (29)		76.1 (35)	23.9 (11)	
CD34				0.23			<b>0.02</b>
Negative	26.1 (12)	50 (6)	50 (6)		50 (6)	50 (6)	
Positive	73.9 (34)	32.4 (11)	67.6 (23)		<b>85.3 (29)</b>	14.7 (5)	
SMA				0.17			0.49
Negative	41.3 (19)	26.3 (5)	73.7 (14)		78.9 (15)	21.1 (4)	
Positive	58.7 (27)	44.4 (12)	55.6 (15)		74.1 (20)	25.9 (7)	
S-100				0.62			0.23
Negative	58.7 (27)	37 (10)	63 (17)		70.4 (19)	29.6 (8)	
Positive	41.3 (19)	36.8 (7)	63.2 (12)		84.2 (16)	15.8 (3)	
Desmin				0.63			0.37
Negative	82.6 (38)	36.8 (14)	63.2 (24)		73.7 (28)	26.3 (10)	
Positive	17.4 (8)	37.5 (3)	62.5 (5)		87.5 (7)	12.5 (1)	
Ki-67				0.59			0.44
Negative	69.6 (32)	37.5 (12)	62.5 (20)		78.1 (25)	21.9 (7)	
Positive	30.4 (14)	35.7 (5)	64.3 (9)		71.4 (10)	28.6 (4)	

Tumors with <10% of positive cells were considered as negative for all markers except DOG1. Diffuse- or focal-stained specimens were accepted as positive.

**A*****PDGFRA* Gene Exon 12- p.E571D (Missense mutation, Heterozygote)****B*****PDGFRA* Gene Exon 12- p.P567P (Silent mutation, Homozygote)****C*****PDGFRA* Gene Exon 18- p.D842V (Missense mutation, Heterozygote)**

**Figure 1.** *PDGFRA* gene mutations in exon 12 and 18. (A) The missense mutation of p.E571D (heterozygote) is one of the most common mutations in exon 12. (B) The silent mutation of p.P567P (homozygote). (C) The missense mutation of p.D842V (heterozygote) is one of the most common mutations in exon 18.

*Mutational Status and Its Correlation With the Expression of Immunohistochemical Parameters, Including DOG1*

All cases were immunopositive for CD117. There were no statistically significant relationships between CD117, SMA, S-100, desmin, and Ki-67 immunoreactivity and mutation status (Table 5). CD34 staining was detected in 73.9% of all cases. The mutational status had the following distribution: *KIT* mutations were detected in 67.6% of all cases, and *PDGFRA* mutations were detected in 14.7% of all patients. CD34-positive cells presented a greater wild-type character for the *PDGFRA* gene than CD34-negative cells ( $p \leq 0.05$ ) (Table 5). DOG1 staining was detected in 65.2% of all cases (Fig. 2). Diffuse and strong staining was observed in most of the cases; however, focal staining was also observed in some cases. The mutational status had the following distribution for DOG1-positive cells: *KIT* mutations were detected in 73.3% of all cases, and *PDGFRA* mutations were detected in 23.3% of them. According to the statistical analysis, it was observed that DOG1-positive cells had a higher *KIT* mutation ratio than DOG1-negative cells (75.9%;  $p = 0.04$ ) (Table 6). Additionally, the number of exon 11 mutations in DOG1-positive cells was higher than in DOG1-negative cells (81%;  $p = 0.04$ ). Conversely, DOG1-positive cells were wild type at exon 13 (71.8%;  $p = 0.04$ ).

## DISCUSSION

In recent years, the inhibition of tyrosine kinases has played an important role in the pathogenesis of GIST. Observations and research conducted in recent years have indicated changes in the prognosis and the response of tyrosine kinase inhibitors due to *KIT* and *PDGFRA* gene mutations; in this context, *KIT* and *PDGFRA* mutations and their role in GIST pathogenesis and management have been the most important targets (15,16).

Mutations in *KIT* and *PDGFRA* genes cause the activation of tyrosine kinase and uncontrolled tyrosine kinase activation; therefore, proliferation of cells and survival are increased due to constant receptor activation. Both *KIT* and *PDGFRA* mutations have a prognostic role for the biological behavior of tumors and a predictive role for the response of tyrosine kinase inhibitors (3).

In this study, we retrospectively collected and reviewed data from 46 GIST patients according to the sufficiency of archival tumor tissue. We collected information regarding patients' clinicopathological and demographic characteristics and focused on the mutation data of *KIT* and *PDGFRA* genes. Therefore, we have evaluated the spectrum, frequency, and prognostic effect of *KIT* and *PDGFRA* mutations in GIST in terms of clinicopathological parameters, including DOG1, which is a promising marker for GIST diagnosis.

In the literature, *KIT* and *PDGFRA* mutations are variable. Generally, the frequency of the *KIT* gene mutation was reported to be between 38.5% and 87.5%, and *KIT* mutations were associated with aggressive tumor behavior and poor clinical outcome in GISTs (15–17). In our study, the overall mutation frequency for the *KIT* gene was 63%, which is comparable to frequencies observed in a population study from Poland (69.3%) and France (70.7%) (16,18) (Table 4).

*KIT* gene exon 9 and 11 mutations are very important due to their effect on tumor behavior and response of imatinib. Exon 11 mutations were found to be more common in the aggressive type, and a correlation was observed between *KIT* gene exon 11 mutations and poor clinical results compared with WT GISTs (19).

In terms of imatinib response, patients with exon 11 mutations have higher partial response than the other patients who are treated with 400 mg/day. Patients with exon 9 mutations had significantly longer PFS when treated with imatinib 800 mg/day than others. Therefore, *KIT* gene exon 9 mutations are the only predictive marker for the 800 mg/day high-dose imatinib therapy (20).

In this study, the mutation frequency of *KIT* exon 9 and 11 mutants accounted for 10.9% and 45.7% of GISTs, respectively. The frequency of the exon 9 mutation is in agreement with Mediterranean population-based studies, specifically Italian (11%) and Portuguese (9%) (21,22). However, the frequency of the exon 9 mutation is generally higher than in other European countries, such as Poland (7.3%) and France (5.5%) (16,18). The reported frequencies of the mutations in exon 11 are relatively variable in the literature, and our results are slightly lower than those reported in some of the previous studies (Italian, 67%; Portuguese, 91%; Polish, 61.1%; French, 63.2%) (16,18,21,22). These discrepancies may mostly reflect the methodological (pathological or molecular methods) and material differences (fresh or formalin-fixed paraffin-embedded tissue) but, at the same time, can reflect the population-based variations due to ethnicity. Because there has been no multinational study on the relationship between mutations in the *KIT* gene and ethnicity, it is difficult to evaluate the effects of the ethnicity on these mutations. However, GISTs patients with carrying *KIT* gene exon 9 are more likely to show resistance, whereas those with tumors carrying mutations of exon 11 are more likely to show a good response. For possible resistance and survival, it was an advantage to have higher levels of the exon 11 mutation in our group.

Regardless of the type of mutation, these alterations were clustered in a small region between codon 552 and codon 586, as previously described. Mutation type in exon 11 is also important for outcomes. Deletions on exon 11 of the *KIT* gene have been reported to be more aggressive and metastatic than substitutions. These deletions may

**Table 5.** DOG1 Expression Status and Correlation With Mutational Status of KIT and PDGFRA Genes

Target Gene/Exon	% (n)	DOG1 Negative [% (n)]	DOG1 Positive [% (n)]	p
Total	100 (46)	34.8 (16)	65.2 (30)	
<i>KIT</i> gene				
Overall				
Wild type	37 (17)	52.9 (9)	47.1 (8)	<b>0.04</b>
Mutant	63 (29)	24.1 (7)	<b>75.9 (22)</b>	
Exon 9				
Wild type	89.1 (41)	39 (16)	61 (25)	0.1
Mutant	10.9 (5)	0	100 (5)	
Exon 11				
Wild type	54.3 (25)	48 (12)	52 (13)	<b>0.04</b>
Mutant	45.7 (21)	19 (4)	<b>81 (17)</b>	
Exon 13				
Wild type	84.8 (39)	28.2 (11)	<b>71.8 (28)</b>	<b>0.04</b>
Mutant	15.2 (7)	71.4 (5)	28.6 (2)	
Exon 17				
Wild type	80.4 (37)	37.8 (14)	62.2 (23)	0.32
Mutant	19.6 (9)	22.2 (2)	77.8 (7)	
<i>PDGFRA</i> gene				
Overall				
Wild type	76.1 (35)	34.3 (12)	65.7 (23)	0.58
Mutant	23.9 (11)	36.4 (4)	63.6 (7)	
Exon 12				
Wild type	84.8 (39)	33.3 (13)	66.7 (26)	0.46
Mutant	15.2 (7)	42.9 (3)	57.1 (4)	
Exon 18				
Wild type	82.6 (38)	36.8 (14)	63.2 (24)	0.42
Mutant	17.4 (8)	25 (2)	75 (6)	

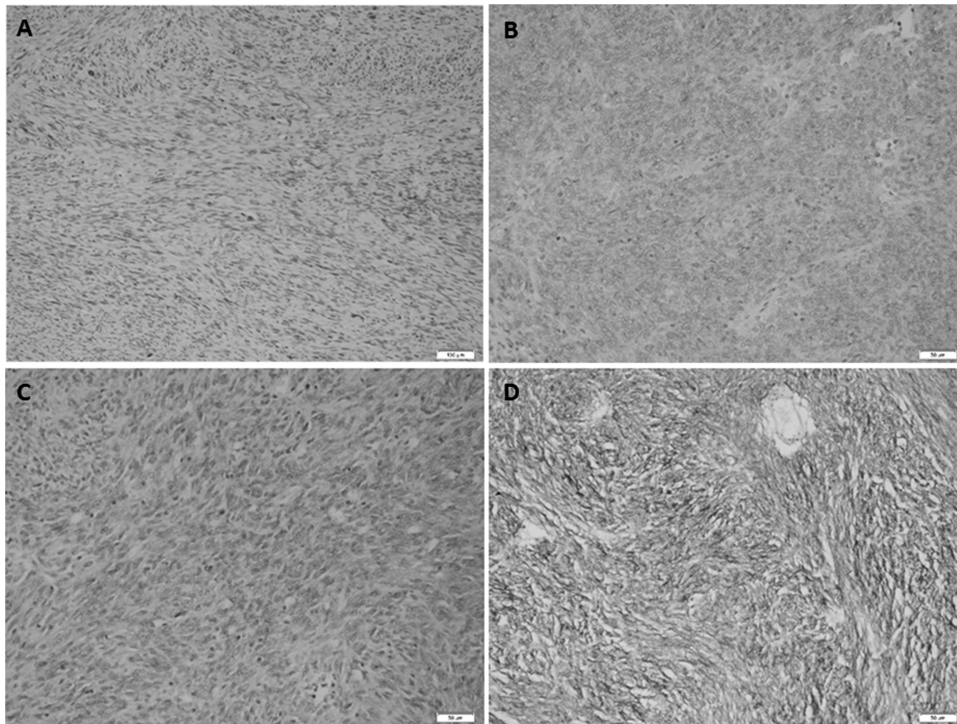
mostly effect survival (23–25). Patients with *KIT* gene exon 11 deletion/insertion have shorter recurrence-free survival (RFS) than patients with tumors missense mutations, which are more frequent in favorable outcome, low-risk GIST (26). In our group, 30.4% of the patients had deletions, and 10.9% of them had substitutions. The higher frequency of deletions may be the main reason for the shorter overall survival in our group. Most of the deletions were found on the W557 and K558 codons. Several studies noted that this deletion represents a significant adverse factor for patients' outcome (27).

Mutations in tyrosine kinase domains on exon 13 and exon 17 were found at higher frequencies, 15.2% and 19.6%, respectively; 15.2% of cases exhibited p.K642E mutations in exon 13, and 19.6% of cases exhibited p.D820A mutations in exon 17, which play a role in the resistance of the tyrosine kinase inhibitor imatinib. Higher mutation frequency on exon 13 and 17 is presenting the reason of drug resistance and resistance-related shorter survival. After analyzing the relationship between mutational status and clinicopathological/demographic parameters, the association between age and *KIT* gene mutations was observed to have statistical significance ( $p=0.02$ ), as

expected. It is well known that the accumulation of age-related mutations can be observed in cancerous tumors due to general problems in DNA repair mechanisms. However, patients older than 50 present wild-type characteristics for the *PDGFRA* gene. This may explain why *PDGFRA* mutations are mostly found in cases with the wild-type *KIT* gene. In the literature, some papers, such as that of Wozniak and colleagues (28), suggested that stomach and intestinal tumors have significantly higher frequencies of the *KIT* and *PDGFRA* mutations. In our group, stomach- and small intestine-localized tumors exhibited a higher mutation frequency for the *KIT* gene and lower mutation frequency for the *PDGFRA* gene. The association was also reported between *KIT* gene exon 9 mutations and small intestine location and patients with exon 9 mutations have poorer outcomes compared with other patients (29).

*PDGFRA* gene mutations were reported to be between 5.4% and 20% in the literature (16,17,30). *PDGFRA* mutations have prognostic and predictive value. *PDGFRA* exon 18 mutant tumors have a lower chance of metastasis when compared with *KIT* exon 9 and 11 mutant and *PDGFRA* exon 12 mutant tumors. They have epitheloid morphology and low mitotic count (31). In the





**Figure 2.** Spectrum of DOG1 immunoreactivity in gastrointestinal stromal tumors. (A) Negative (original magnification 100×), (B) weak–cytoplasmic (original magnification 200×), (C) moderate–cytoplasmic (original magnification 200×), (D) strong–cytoplasmic and membranous (original magnification 200×).

present study, *PDGFRA* was mutated in 23.9% of cases. Among *PDGFRA* mutants, changes in exon 12 were identified in 15.2% of cases, including all cases with substitutions. The determined substitutions were as follows: p.K552R, p.E556K, p.E557G, p.W559\*, p.E571D, p.E571X, p.I573 M, p.Y574D, and p.Q579L. Moreover, we observed silent mutations, such as a base substitution in exon 12 (CCA>CCG) at codon 567 (P567P) in 87% of cases (Fig. 1A, B). Due to the same protein sequence, this substitution did not affect the mutation frequency. Some researchers have also reported this silent substitution in their GIST patient groups (32,33). It is still interesting to observe this change in nearly all cases. It may be characteristic of our population. On exon 18, 17.4% of the cases had mutations. All cases showed substitutions; 2.2% of cases had p.R822H mutation, and 15.2% of them had the p.D842V mutation (Fig. 1C). There was a large variation between the frequencies of the *PDGFRA* mutation (5.4–20%) in different studies, which can be at least partially explained by the material, methodology, or ethnicity. In our group, *PDGFRA* mutation frequency is comparable with Zheng et al.'s study, the *PDGFRA* mutation frequency was found as 20% in their Chinese GIST patient-based group (30). Miettinen et al. also established the frequency to be as high as 22.6% in a gastric series of GISTs (23). In this study, overall *PDGFRA* mutation

frequency was determined as 23%. Stomach-localized GIST tumors were 43.5% over all cases, but interestingly, only three of them had *PDGFRA* mutation. Further studies should be done with larger patient groups.

In the literature, it was reported that approximately 12–15% of GIST were WT for either kinases *KIT* and *PDGFRA* (6). In our group, 13.1% of cases were WT for both the *KIT* and *PDGFRA* genes. WT-GISTs should be analyzed for further gene mutations such as succinate dehydrogenase (*SDH*). Loss of *SDH* results with the accumulation of succinate, inhibitors of DNA demethylation enzymes and causes turning on of oncogenic phenotypes with the hypermethylation phenotype and loss of genes (34).

A clear diagnosis was established by immunostaining tissue specimens for CD117, CD34, SMA, desmin, S-100, and Ki-67. Approximately 80–95% of GISTs show positive staining for CD117, while the other 5–20% exhibit negative staining. If the tumor is negative for CD117 but positive for CD34, a histological diagnosis is possible (3). CD34 is also an important adhesion molecule, and cells expressing CD34 (CD34-positive cells) are normally found in mesenchymal cells, endothelial cells, endothelial progenitor cells, and cells in soft tissue tumors, such as GISTs. In our group, CD34-positive cells had the wild-type *PDGFRA* gene ( $p=0.02$ ). However, if the

**Table 6.** Clinical and Pathological Characteristics of GIST Patients According to the DOG1 Positivity

Clinicopathologic Parameters (Total Samples, <i>n</i> = 46)	% ( <i>n</i> )	DOG1 Negative [% ( <i>n</i> )]	DOG1 Positive [% ( <i>n</i> )]	<i>p</i>
Total	100 (46)	34.8 (16)	65.2 (30)	
Gender				0.35
Female	56.5 (26)	42.3 (11)	57.7 (15)	
Male	43.5 (20)	25 (5)	75 (15)	
Age				0.16
>50	87 (40)	30 (12)	70 (28)	
≤50	13 (6)	66.7 (4)	33.3 (2)	
Tumor type				0.68
Primary	84.8 (39)	33.3 (13)	66.7 (26)	
Metastatic	15.2 (7)	42.9 (3)	57.1 (4)	
Tumor location				<b>0.022</b>
Stomach	43.5 (20)	35 (7)	<b>65 (13)</b>	
Small intestine	34.8 (16)	12.5 (2)	<b>87.5 (14)</b>	
Large intestine	4.3 (2)	100 (2)	0	
Esophagus	4.3 (2)	100 (2)	0	
Omentum-periton	4.3 (2)	50 (1)	50 (1)	
Others	8.7 (4)	50 (2)	50 (1)	
Histological type				0.061
Spindle	82.6 (38)	36.8 (14)	63.2 (24)	
Mixed type	10.9 (5)	0	100 (5)	
Epitheloid	6.5 (3)	66.7 (2)	33.3 (1)	
Tumor size				0.75
<5.25 mm	50 (23)	30.4 (7)	69.6 (16)	
≥5.25 mm	50 (23)	39.1 (9)	60.9 (14)	
Mitosis/50HPF				0.53
<5	60.9 (28)	39.3 (11)	60.7 (17)	
≥5	39.1 (18)	27.8 (5)	72.2 (13)	
Risk group				0.52
High	67.4 (31)	38.7 (12)	61.3 (19)	0.52
Low	32.6 (15)	26.7 (4)	73.3 (11)	
CD117				
Negative	0	0	0	
Positive	100 (46)	34.8 (16)	65.2 (30)	
CD34				0.06
Negative	26.1 (12)	58.3 (7)	41.7 (5)	
Positive	73.9 (34)	26.5 (9)	73.5 (25)	
SMA				0.76
Negative	41.3 (19)	31.6 (6)	68.4 (13)	
Positive	58.7 (27)	37 (10)	63 (17)	
S100				0.52
Negative	58.7 (27)	33.3 (9)	66.7 (18)	
Positive	41.3 (19)	36.8 (7)	63.2 (12)	
Desmin				0.42
Negative	82.6 (38)	31.6 (12)	68.4 (26)	
Positive	17.4 (8)	50 (4)	50 (4)	
Ki-67				0.59
Negative	69.6 (32)	34.4 (11)	65.6 (21)	
Positive	30.4 (14)	35.7 (5)	64.3 (9)	

tumors are negative for CD117, CD34, S-100, and SMA, similar to our patient, a definitive diagnosis is often challenging. However, recently DOG1 has received considerable attention as a useful molecule for the diagnosis of GIST. DOG1, a membrane channel protein, is known to

be overexpressed in GIST. Because the sensitivity and specificity of DOG1 are higher than those of CD117, positive staining for DOG1 has been reported, even in CD117-negative GIST (12,35). In our group, 65.2% of all cases were DOG1 positive, and 34.8% of them were

negative. DOG1 exhibited +1 positivity in 13% of all cases, +2 in 37% of cases, and +3 in 15.2% of cases. West et al. (9) showed that the frequency of DOG1 positivity was 97.8% and CD117 positivity, and Miettinen et al. (36) showed that DOG1 positivity was 94.8% and CD117 positivity was 94.9%. With these results, the frequency of DOG1 positivity observed in our study was lower than all of these groups (DOG1 positivity 65.2%, CD117 positivity 100%).

Approximately half of the patients with DOG1 negativity are CD117 positive in different reports, whereas 36% to 46% of KIT-negative cases are DOG1 positive. Therefore, DOG1 can identify a significant part of GIST with *KIT* and *PDGFR* mutations. Nearly 66% of CD117-positive samples showed a strong DOG1 expression in the literature. This study showed that 65.2% of CD117-positive samples showed a strong DOG1 expression. Under this information, our DOG1 results were not different from the literature. But it should be kept in mind in this evaluation the variability of DOG1 expression can be mostly caused by different monoclonal antibodies used for immunohistochemistry techniques and different characteristics of the tumor specimens (8,37).

According to our results, it is clear that CD117 is still the most sensitive marker for the diagnosis of GIST. A relationship could not be found between CD117 and DOG1, which was diagnosed via immunohistochemical methods because all cases showed CD117 immunopositivity. However, an association was found between the existence of the *KIT* mutation and DOG1 positivity ( $p=0.04$ ). DOG1 positivity was higher in *KIT* mutant cases. The same relationship was observed between mutation of exon 11 of *KIT* and DOG1 ( $p=0.04$ ). DOG1 positivity can be used as an alternative immunohistochemical marker for the analysis of *KIT* gene exon 11 mutations. Stomach- and small intestine-localized tumors have also higher DOG1 positivity than other localized tumors ( $p=0.022$ ). The overall *KIT* mutation and the existence of *KIT* exon 11 mutation were also higher for these stomach- and small intestine-localized tumors; therefore, the association between *KIT* mutations and DOG1 positivity may originate from the location. Moreover, *KIT* exon 13 mutant cases presented DOG1 negativity ( $p=0.04$ ). Exon 13 mutations are indicated as the reason for resistance to tyrosine kinase inhibitors. DOG1 negativity may be an indicator for the prediction of the possible resistance to tyrosine kinase inhibitors.

### CONCLUSION

Most of the GISTs harbor activating mutations either in the *KIT* or *PDGFRA* genes, whereas a small group of GISTs is WT. The role of *KIT* and *PDGFRA* mutations in GIST pathogenesis and management have revealed them as the most important targets for the management

of disease and diagnosis. Mutation status can predict the response to treatment with tyrosine kinase inhibitors and give insights as a prognostic factor for the nature of tumors. Therefore, to know the differences on the mutation status of *KIT* and *PDGFRA* genes is crucial for effective disease management. Investigation of DOG1, *KIT*, and *PDGFRA* mutations together for the prediction of outcome in GIST patients is rare in the literature. This study brings three markers together and observes their importance in GIST. This study is also the first report on the molecular analysis of *KIT* and *PDGFRA* genes together from Turkish patients. In this study, *KIT* mutation frequency was found to be similar with European population-based literature. However, *PDGFRA* mutation frequency (23.9%) was higher than the indicated in the literature (5.4–20%); the mutation results were close to a Chinese population-based study (*PDGFRA* mutation frequency was 20%) (30). Further studies should be done with larger patient groups. In our group, stomach- and small intestine-localized tumors exhibited a higher mutation frequency for the *KIT* gene and lower mutation frequency for the *PDGFRA* gene; therefore, *KIT* and *PDGFRA* mutations and tumor location can be used for the prediction of tumor behavior and the management of disease in GISTS.

DOG1 is used as a marker for differential diagnosis in many studies, regardless of oncogenic mutations of either kinases *KIT* and *PDGFRA*, but to avoid the variability of DOG1 results in GIST diagnosis and management processes it should be kept in mind DOG1, CD117, *KIT*, and *PDGFRA* mutations should be evaluated together (8,37). However, the prognostic role of DOG1 is still unclear. In contrast with limited previous studies (8,36,38), our data showed that DOG1 expression has significant relation with overall existence of *KIT* gene mutation, *KIT* gene exon 11 mutation, and stomach/small intestine location of tumor, although a significant correlation was demonstrated with DOG1 negativity and existence of *KIT* gene exon 13 mutation. DOG1 positivity might be a candidate marker to support *KIT* mutations.

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