

## **HHS Public Access**

Author manuscript *Nat Genet*. Author manuscript; available in PMC 2016 February 01.

Published in final edited form as:

Nat Genet. 2015 August ; 47(8): 955-961. doi:10.1038/ng.3331.

# A method to predict the impact of regulatory variants from DNA sequence

Dongwon Lee<sup>1</sup>, David U. Gorkin<sup>1,3</sup>, Maggie Baker<sup>1</sup>, Benjamin J. Strober<sup>2</sup>, Alessandro L. Asoni<sup>2</sup>, Andrew S. McCallion<sup>1</sup>, and Michael A. Beer<sup>1,2</sup>

<sup>1</sup>McKusick-Nathans Institute of Genetic Medicine, Johns Hopkins University, Baltimore, Maryland, United States of America

<sup>2</sup>Department of Biomedical Engineering, Johns Hopkins University, Baltimore, Maryland, United States of America

### Abstract

Most variants implicated in common human disease by Genome-Wide Association Studies (GWAS) lie in non-coding sequence intervals. Despite the suggestion that regulatory element disruption represents a common theme, identifying causal risk variants within indicted genomic regions remains a significant challenge. Here we present a novel sequence-based computational method to predict the effect of regulatory variation, using a classifier (gkm-SVM) which encodes cell-specific regulatory sequence vocabularies. The induced change in the gkm-SVM score, deltaSVM, quantifies the effect of variants. We show that deltaSVM accurately predicts the impact of SNPs on DNase I sensitivity in their native genomic context, and accurately predicts the results of dense mutagenesis of several enhancers in reporter assays. Previously validated GWAS SNPs yield large deltaSVM scores, and we predict novel risk SNPs for several autoimmune diseases. Thus, deltaSVM provides a powerful computational approach for systematically identifying functional regulatory variants.

Sequence variation in DNA regulatory elements is hypothesized to contribute substantially to risk for common diseases. Variants associated with human disease by GWAS predominantly lie in non-coding genomic regions<sup>1</sup> and occur within putative regulatory

<sup>3</sup>Current address: Ludwig Institute for Cancer Research, University of California San Diego, La Jolla, California, United States of America

URLs

### Author Contributions

### **Financial Interests Statement**

Users may view, print, copy, and download text and data-mine the content in such documents, for the purposes of academic research, subject always to the full Conditions of use:http://www.nature.com/authors/editorial\_policies/license.html#terms

Correspondence to: Michael A. Beer (mbeer@jhu.edu), Andrew S. McCallion (andy@jhmi.edu). <sup>3</sup>Current address: Ludwig Institute for Cancer Research, University of California San Diego, La Jolla, California, United States of

Dongwon Lee and David U. Gorkin contributed equally to this work.

All gkm-SVM weights and source code used in this study are available at http://www.beerlab.org/deltasvm. CADD, GWAVA, and eQTL data was downloaded from http://cadd.gs.washington.edu, ftp://ftp.sanger.ac.uk/pub/resources/software/gwava/, and http:// eqtl.uchicago.edu/RNA\_Seq\_data/results.

MAB, ASM, DL, and DUG designed the study and wrote the paper. DUG and MB performed the experiments and analyzed the data. DL and MAB developed the computational algorithms and analyzed the data. BJS and AL contributed computational analysis. DL and DUG contributed equally to this work.

The authors declare no competing financial interests.

elements far more often than expected by chance<sup>2,3</sup>, suggesting that disruption of regulatory function is a common mechanism by which non-coding sequence variants contribute to human disease. Linkage disequilibrium (LD), and the absence of regulatory vocabularies, complicates the discrimination of regulatory risk variants from other variation within disease-associated intervals. Therefore there is a pressing need for methods to predict the impact of regulatory sequence variation, expediting targeted functional validation and the exploration of disease-implicated pathways. However, few formal computational methods have been developed to predict the impact of Single Nucleotide Polymorphisms (SNPs) on regulatory element activity<sup>4,5</sup>.

Regulatory elements modulate the expression of their target genes through direct binding of sequence-specific transcription factors (TFs)<sup>6</sup>. While consensus on the mechanisms of regulatory element activity is emerging, we lack a predictive model capable of (1) specifying the cell types and environmental conditions under which an element would modulate the expression of its target gene(s), and (2) describing how specific mutations to that sequence would influence its activity. Here, we develop a computational model that addresses the latter: given a regulatory element active in a specific cell type, compute the effect of a given DNA sequence variation within the element. When trained on a set of putative regulatory sequences, our established gapped k-mer Support Vector Machine (gkm-SVM)<sup>7</sup> identifies sequence features within these regulatory regions which determine their cell-type dependent activity. We then use this gkm-SVM to quantify the effect of sequence changes within regulatory elements via a metric we term deltaSVM (See overview in Fig. 1). In this systematic, quantitative approach we leverage high quality catalogs of human regulatory elements, generated using DNase I Hypersensitivity, distinctive histone modifications, and TF binding<sup>8,9</sup>. For example, if the gkm-SVM is trained on DNaseI Hypersensitive Sites (DHSs), it identifies the sequence features that determine chromatin accessibility in the corresponding cellular context. Our method is, however, blind to extant databases or binding motif data, and consequently can uncover novel motifs, combinatorial constraints, and key accessory factors, and quantify the significance of their individual contributions to regulatory element activity.

### Results

### Model training and validation

We previously demonstrated that a properly trained SVM can predict cell-type specific regulatory elements from primary genome sequence alone<sup>7,10–12</sup>. To test whether this SVM-based approach could be adapted to predict the functional consequence of sequence variation within regulatory elements, we first took advantage of a large set of dsQTLs (DNase I Sensitivity Quantitative Trait Loci) identified in a collection of human Lymphoblastoid Cell Lines (LCLs)<sup>13–15</sup>. These are SNPs within putative regulatory regions (marked by DNase I Hypersensitivity) and are associated with altered DNase I sensitivity therein. We first trained a gkm-SVM on the top DHSs in the LCL GM12878<sup>8</sup>. The gkm-SVM produces a scoring function characterized by a set of weights quantifying the contribution of each possible 10-mer to a region's DNase I sensitivity in GM12878 cells. We then can calculate deltaSVM, the predicted impact of any Single Nucleotide Variant

(SNV) on chromatin accessibility in LCLs, by summing the change in weight between alleles for each of the ten 10-mers encompassing the SNV, as shown in Fig. 2a for the dsQTL rs4953223<sup>13</sup>. Here, the indicted SNP allele disrupts a NF- $\kappa$ B binding site, which in our model reduces the strong positive contribution of several 10-mers. Two neighboring SNPs do not make significant changes to the weights, as shown graphically in Fig. 2b, and the score of each allele is the sum of the weights across this region. Similarly, we can extend this method to INDELs and multiple substitutions by summing weights across all affected bases.

To systematically assess the ability of deltaSVM to predict the impact of SNPs on DNase I sensitivity, we compared deltaSVM to the set of dsQTLs<sup>13</sup>, quantified by the effect-size, beta. The correlation between deltaSVM and effect-size for the 579 SNPs within 100 bp of a DHS is highly significant, with a Pearson correlation coefficient C = 0.721 (t-distribution P = 7.68e-94) (Fig. 2c). This correlation falls off rapidly with distance (Supplementary Figure 1), thus our analysis is consistent with local action of dsQTLs. However, if our predictions are accurate, deltaSVM analyses on non-dsQTL SNPs should also yield low scores in order to limit false positive predictions. We chose a 50x larger negative set of non-dsQTL SNPs with comparable levels of DNase I sensitivity as a negative set, since there are typically 50–100 SNPs within a single LD block<sup>15</sup>. In Fig. 2d we show the Receiver Operating Characteristic (ROC) curve, plotting True Positive rate (TP/P) vs. False Positive rate (FP/P), and in Fig. 2e we show the Precision-Recall (PR) curve, plotting precision (true positives over predicted positives, TP/PP = TP/(TP+FP)) vs. recall (TP/P), for our method (gkm-SVM deltaSVM) compared to four other methods<sup>4,5,10,16</sup>.

Here, as is typically the case for genomic predictions where the search space is large, the lower left corner of the ROC curve, where the FP rate is low, has the most dramatic effect on the accuracy (precision) of the predictions<sup>17</sup>. At a recall of 10%, the gkm-SVM predictions are 55.9% accurate, ~5x more accurate than deltaSVM based on smaller 6-mers (kmer-SVM)<sup>10</sup> as shown in Fig. 2e, because while the kmer-SVM can predict full regions very accurately by averaging many weights, the kmer weights needed to evaluate SNPs are determined from a small set of support vectors and are noisy. By contrast the gkm-SVM reduces the false positive rate significantly by using much more statistically robust gapped-kmer weights<sup>18</sup>. Additionally, in comparison to conservation (GERP score<sup>16</sup>), and to two recently published methods integrating functional genomic datasets to predict the deleteriousness of noncoding variants (CADD<sup>4</sup> and GWAVA<sup>5</sup>) the gkm-SVM is 10x more accurate than any of these existing methods at 10% recall (Fig. 2e).

Three key features contribute to our dramatically improved accuracy. First, we train gkm-SVM on set of regulatory elements whose activity is specific to the relevant cell type. Second, this large training set (thousands) includes both positive and negative elements to statistically determine the DNA sequence elements required for activity, rather than relying on the precise state of any specific regulatory element in a specific assay. Thirdly, we identify a complete catalog of both *positive* and *negative* sequence features, as many SNPs result in a significant deltaSVM based on what the variant changes *to*, rather than what it *was* in the reference/assayed genome. In our discriminative approach, gkm-SVM identifies these negative sequence elements by their presence in the negative set and their absence in

the positive set. This is critical for accurately assessing the effect of variants, as many of our predicted functional SNPs modulate intermediate strength binding sites.

Ultimately we are interested in how a variant modulates the expression of its target genes. 125 of 579 dsQTLs are also eQTLs<sup>19</sup> (variants associated with differential gene expression), but some dsQTLs are anti-correlated with eQTLs<sup>13</sup>. Both classes of dsQTLs are strongly positively correlated with deltaSVM (Fig. 3a–c). Thus surprisingly, but consistent with earlier analysis<sup>13</sup>, we find that as 22% of the dsQTLs become more accessible, they repress target gene expression. We also analyzed the relationship between deltaSVM and evolutionary sequence conservation. Interestingly, although bases predicted to either reduce or increase DNase I sensitivity when mutated are more conserved than bases predicted to be neutral, we found that negative deltaSVM bases are much more conserved than positive deltaSVM bases (Fig. 3d and Supplementary Figure 2).

### gkm-SVM predicts functional impact of enhancer variants

To directly test the ability of our SVM-based approach to predict the functional consequence of sequence variation on enhancer activity, we first turned to well-characterized enhancers of the pigmentation genes *Tyr* and *Tyrp1*<sup>20,21</sup> (Fig. 4a,b). We trained a melanocyte-specific gkm-SVM on a large set of putative melanocyte enhancers marked by EP300 and H3K4me1<sup>12</sup>, and scored all possible SNVs in the *Tyr* and *Tyrp1* enhancers, selecting and synthesizing more than 40 SNVs, across a range of deltaSVM scores, and tested each variant independently in luciferase reporter assays. For both enhancers, deltaSVM is strongly correlated with the observed difference in luciferase reporter activity between mutant and wild-type enhancer constructs (Pearson C = 0.778, P < 2e-5 for *Tyr*, and C = 0.529, P < . 0095 for *Tyrp1*; Fig. 4c,d).

Despite their depth, our analyses of the *Tyr* and *Tyrp1* enhancers tested only a subset of all possible variants therein, and relied on *in vitro* reporter assays. Therefore, we turned to a dataset in which all possible variants within a 259 bp liver-specific enhancer of the *ALDOB* gene were tested using a massively parallel reporter assay *in vivo* in mouse liver<sup>22</sup>. We trained a gkm-SVM on large set of putative liver enhancers marked by DNase I hypersensitivity and H3K4me1 signal in adult mouse liver<sup>23</sup>. We then compared deltaSVM for each of the tested mutant regions to the observed functional output. Again we see a very high correlation (C = 0.630, P < 3.24e-81) between the predicted impact of the mutation using our sequence-based model and the observed change in enhancer activity relative to wild-type sequence (Fig. 5a). If we further use the "aggregate score" model<sup>22</sup> averaging deltaSVM for each of the 3 possible base substitutions, this correlation reaches C = 0.691 (Supplementary Figure 3).

We next asked how deltaSVM performed predicting functional variants in diverse sets of enhancers. We analyzed data from another massively parallel reporter assay using targeted mutation of enhancers predicted to be active in K562 and HepG2 cells<sup>24</sup>. For each wild-type construct that was expressed significantly in either cell line, we separately scored all 1 bp and motif scrambling mutations using a gkm-SVM trained on K562 and HepG2 DHS regions<sup>8</sup>, and compared the measured expression change to the predicted deltaSVM score in each cell line (Fig. 5b,c). For both datasets again we find high correlation (C = 0.626, P <

1.34e-31 for K562 and C = 0.646, P < 3.84e-34 for HepG2). Since all elements were tested and scored in both cell types, this high correlation underscores the accuracy of deltaSVM's cell-type specific predictions and is further supported by the low correlation of deltaSVMs scores from gkm-SVMs trained on non-relevant cell-types (Table 1).

### Causal SNP predictions for human disease

In a final test of its potential, we asked whether deltaSVM could predict the functional consequences of studied disease-associated sequence variants. We compared deltaSVM values for three experimentally validated SNPs, each of which has been shown to alter expression leading to increased disease risk or pertinent traits: Rfx6 (rs339331, prostate cancer)<sup>25</sup>, Bcl11a (rs1427407, fetal hemoglobin levels)<sup>26</sup>, and *Sort1* (rs12740374, LDL cholesterol levels)<sup>27</sup>. We trained three separate gkm-SVMs with DHSs from cell lines appropriate to each phenotype (LNCaP, mouse MEL, and HepG2 hepatocytes). We then scored all loci with deltaSVM trained on all three cell-types. In each case, we found that the validated SNPs are only scored higher than flanking SNPs when deltaSVM was trained on the appropriate cell type (Fig. 6a). Specifically, the SORT1 variant only has high deltaSVM when trained on HepG2 cells, relevant for liver, as shown in the 3rd column of Figure 6a.

Since the set of these validated regulatory SNPs is limited, we next examined 413 SNPs associated with 11 autoimmune diseases enriched in T helper cell type 1 (Th1) H3K27Ac regions<sup>28</sup>. We similarly trained a gkm-SVM on Th1 DHSs<sup>8</sup>, and for each disease associated locus, scored the lead SNPs and an additional 2700 SNPs in tight LD (as defined in methods), and random SNPs including equivalent size flanking sets as a control. An example locus in *BACH2*, associated with several autoimmune diseases, is shown in Fig. 6b. We identified high scoring deltaSVM SNPs for 17 independent disease associations (Table 2), which we predict to be expression perturbing SNPs with high confidence (P < .02), while at this threshold random sampling produced 8 SNPs (Fig. 6c, Supplementary Figure 4). Most of these high scoring SNPs are not the lead SNP, and thus represent novel predictions for the causal SNP.

### Discussion

One of the most significant challenges facing contemporary genetics and precision medicine is the interpretation of noncoding sequence variation. This challenge remains in the face of significant advances in genome sequence technologies that now make possible the generation of huge quantities of noncoding sequence data. Despite the wealth of evidence linking noncoding sequence variation to disease risk, we have been left wanting in our ability to directly interpret primary noncoding sequence data and hence to infer the biological consequences of disease-associated variation. Our efforts in this study take significant strides in creating a framework within which we can computationally develop and utilize regulatory lexicons, making robust predictions of the consequences of variation in functional noncoding sequence modules.

To ensure very high confidence predictions, we have limited our initial analyses to the highest deltaSVM scores in Table 2. However, comparison with validated SNPs (Fig. 6a) shows that many more moderate deltaSVM scoring SNPs will also perturb regulatory

activity, but likely with relatively diminished effect. In this sense our random control sampling is highly conservative, as the positive loci are all known to be associated with disease. The high accuracy and low false positive rate of deltaSVM (Fig. 2e) is crucial to identify these causal SNPs with high accuracy. Together with our observations at lymphoblastoid dsQTLs, the *Tyr* and *Tyrp1* enhancers, the *ALDOB* enhancer analyses and those performed in K562, and HepG2, these results clearly demonstrate that deltaSVM can broadly predict the empirically measured, cell-type specific functional consequences of enhancer sequence variants, given an appropriate training substrate.

Our results strongly support the hypothesis that non-coding disease associated SNPs that disrupt DNase HS/enhancer function do so directly through modulation of local TF-DNA interactions, leading to concomitant changes in chromatin state and gene expression. Many of these sequence determinants are recognizable as TF binding sites. Additionally gkm-SVM is also in principle capable of capturing sequence determinants of structural properties of TF-DNA interactions e.g. constrained sequence flanking TFBS that may contribute to the activity or stability of the enhancer/promoter regulatory complex. Precise variant evaluation requires an accurate assessment of the relative contribution of moderate and weak binding sites or other variants which affect chromatin accessibility, which we estimate requires at least ~1000 training elements and a robust classifier. We have shown that these sequence features are robust predictors of chromatin accessibility, and are also predictive of gene expression changes when enhancer/promoter connections are established. But chromatin accessibility is sometimes negatively correlated with gene expression changes, and our results suggest that enhancer/enhancer and enhancer promoter interactions at a larger scale will ultimately determine a sequence variant's impact on gene expression.

In its application, both gkm-SVM and deltaSVM reinforce the recognized importance of cell type, developmental time, and biological state when connecting disease mechanisms to molecular events. Here, the biological state defines a set of active nuclear TFs in the cell, which in turn map sequence features to their target regulatory elements through combinatorial binding. It's understandable therefore that the predictive power of deltaSVM for a given variant indicted in any given disease process is heavily dependent on the availability a biologically appropriate substrate on which to train the gkm-SVM. Consistent with this expectation, we have demonstrated that deltaSVM predictions are highly cell type dependent, i.e. deltaSVM from weights trained on one cell type are weak predictors of expression changes in other cell types (Table 1). Further, deltaSVM only identifies the validated disease associated SNPs shown in Fig. 6a if trained on an appropriate cell type. While the ENCODE<sup>8,23</sup> and Roadmap<sup>9</sup> projects have provided a wealth of such training data, our results indicate that future progress in common disease etiology will be greatly facilitated by coupling sequence based computational analysis with the generation of functional genomics data targeting disease relevant developmental stages and cell types. What we provide here is evidence that such integration of computational and diseaseinformed biological and strategies can be used to illuminate the roles played by noncoding regulatory variation in disease.

### **Materials and Methods**

### gkm-SVM and deltaSVM

We trained a gkm-SVM by following previously reported methods with minor modifications<sup>7,10–12</sup>. Briefly, we first defined a positive training set by using publically available DnaseI-seq and ChIP-seq datasets, as discussed in greater detail below. We then generated a negative training set by randomly sampling from the genome equal number of regions that match length, GC and repeat fractions of the positive set. To remove false negative regions as much as possible, we excluded any regions with P < 1e-5 (MACS<sup>43</sup>) from sampling. We then trained a gkm-SVM with default parameters (l = 10, k = 6, and d = 3 with truncated filter), and measured the classification performance using ROC curves with five-fold cross validation. Scaling of performance with gkm-SVM feature length is shown in Supplementary Figure 5. To calculate deltaSVM, 10-mer SVM scores were used as a proxy for weights. We generated the final weights by averaging gkm-SVMs trained on five independently generated 1x negative sets. When we compare deltaSVM between different training sets we normalize weights by the standard deviation of the weight distribution, but we have reported raw weights here for simplicity. This correction is typically a small effect (< 50%).

### Training set for DNasel Hypersensitive regions in lymphoblastoid cell lines

GM12878 DNaseI-seq peaks were first defined by MACS<sup>43</sup> (P < 1e-9) for each replicate independently. We then chose peaks that were consistently found in both replicates. These peaks were further trimmed and 300 bp central DHSs that maximize the DNase I hypersensitive signals were determined. We also excluded any regions with repeats > 70% and regions overlapping with dsQTLs, to avoid possible overfitting when scoring dsQTLs. We ultimately obtained 22,384 300 bp DHSs as the positive training set.

### Training set for mouse melanocyte enhancers

To train gkm-SVM appropriate for Tyr and Tyrp1 enhancers in mouse melanocytes, we determined 4,337 EP300 bound regions in the mouse melanocyte cell line melan-Ink4a-Arf <sup>12</sup> as the positive training set by following the above protocol with some adjustments (MACS P < 0.002). Promoter proximal regions and repeats were excluded from the training set. Since this positive set is much smaller than the others, we generated 10x larger negative sets in order to obtain more robust weights for deltaSVM analysis.

### Training set for mouse liver enhancers

Similar to the training set for DHSs in LCLs, we defined a positive training set (n = 19,590) relevant to the ALDOB enhancer by integrating DNaseI-seq and H3K4me1 ChIP-seq on adult mouse liver tissue<sup>23</sup>. To specify liver enhancers, we additionally excluded all promoter proximal DHSs (defined as regions with distances to the nearest known transcription start sites (TSS) < 2 kbp) from the training set, after determining the 300 bp core DHSs as described above. We further selected DHSs that overlap with H3K4me1 ChIP-seq peaks, which are well-known markers for enhancer activity<sup>44,45</sup>, and defined these as the positive training set.

### DeltaSVM analysis of dsQTL SNPs

We used the dsQTL tables and the raw data files downloaded from the GEO database (accession number GSE31388) to define the positive and control sets of dsQTL SNPs. Because association alone does not necessarily imply the causation due in part to LD problem, we further applied more stringent rules to determine the most likely causal dsQTL SNPs. We first restricted to 1,296 SNPs within their associated 100 bp DHSs to ensure that the changes in DNase I sensitivities are physically linked to the changes in their DNA sequences. We also applied a more strict association P-value threshold (P < 1e-5) to reduce false positive associations, finally resulting in 579 SNPs. As a control SNP set, we generated a 50x larger set of common random SNPs (N = 28,950; minor allele frequency > 5%) sampled only from the top 5% DHS regions that had been used to identify dsQTLs in the previous study<sup>13</sup>. To reduce false negative SNPs, we excluded from sampling any DHSs that had been found to be significantly associated with any of the dsOTL SNPs. Weights from gkm-SVM and kmer-SVM trained on the GM12878 DHSs were then used to calculate deltaSVM scores. We confirmed that training a gkm-SVM on negative sequences constrained to match the positive sequences distance to TSS distribution did not affect overall performance (Supplementary Figure 6). We further confirmed that using negative dsQTL control SNPs constrained to match the positive dsQTL distance to TSS and LD distribution did not affect overall performance (Supplementary Figure 7). As a comparison, we considered three different scoring metrics; Combined-Annotation-Dependent Depletion (CADD<sup>4</sup>), Genome-Wide Annotation of Variants (GWAVA<sup>5</sup>), and conservation scores (Genomic Evolutionary Rate Profiling: GERP<sup>16</sup>). We downloaded pre-computed CADD scores for all 1000 Genome variants, from which the scores for the dsQTLs and control SNPs were extracted. We also extracted the corresponding GWAVA scores from the precalculated table downloaded from the GWAVA website. We analyzed all three different GWAVA models (region, tss, and unmatched) and chose the best one (region), as determined by AUC, for the main analysis. The GERP scores were also extracted from the same GWAVA result files. To do a fair comparison, we only considered SNPs for which all the five scores are available, resulting in 574 positive SNPs and 27,735 control SNPs. The entire prediction results are available in Supplementary Table 1. eQTL beta was calculated using quantile-normalized gene expression from the eOTL website.

### Melanocyte Luciferase Assay and deltaSVM analysis

We selected 22 and 23 SNVs for functional testing in the Tyr (mm10 coordinates chr7: 87508164–87508388; 226 bp) and Tyrp1 (mm10 coordinates chr4:80819561–80819851; 291 bp), respectively. These SNVs were randomly selected as follows: 10 SNVs in each enhancer predicted to reduce the enhancer's activity (negative deltaSVM), 4 SNVs in each enhancer predicted to increase the enhancer's activity (positive deltaSVM), 4 in each enhancer SNVs predicted to have a neutral impact on the enhancer's activity (deltaSVM near 0), and 4 (Tyr) or 5 (Tyr) additional SNVs that overlap with key motifs identified in previous reports<sup>20,21</sup>. Reference and SNV enhancer sequences were synthesized (Genewiz; South Plainfield, NJ), verified by sanger sequencing, and cloned into a luciferase reporter plasmid containing a minimal promoter and a luciferase reporter gene. For each SNV, we performed 4 biological replicates (each with an independent plasmid DNA clone) in order to

in the plasmid backbone or

control for differences that might arise from random mutations in the plasmid backbone or from variation in the quality of plasmid preps. We transfected each reporter plasmid into the mouse melanocyte cell line melan-Ink4a-Arf, and measured luciferase activity 24 hours later using the Dual-Luciferase Reporter Assay System (Promega; Madison, WI). We compared the activity of each variant enhancer sequence to the activity of the reference sequence (normalized to 1), and were thus able to quantitate the impact of each SNV on the enhancer's activity. The entire deltaSVM predictions and the luciferase assay results are provided as Supplementary Table 2 and 3, respectively.

### deltaSVM Analysis of Massively Parallel Reporter Assays

To compare with exhaustive single nucleotide mutagenesis of the ALDOB enhancer<sup>22</sup>, we trained a gkm-SVM on adult mouse liver DHS as described above and scored each single nucleotide variant with deltaSVM and compared them with its measured *in vivo* expression changes (Supplementary Table 4)<sup>22</sup>. To compare with the directed mutagenesis of putative K562 and HepG2 enhancers<sup>24</sup>, we trained K562 an HepG2 specific gkm-SVMs on the top 10000 500 bp DHS regions in K562 and HepG2 cells<sup>8</sup>, after excluding regions that were DHS in more than 30% of human ENCODE cell lines, or near promoters (< 2 kb from TSS), against an equal size GC and repeat matched training set. We compared deltaSVM and the expression change for pair of mutant wild-type constructs for each wild-type construct significantly expressed in either cell line (mean normalized expression>3.5) which yielded 175 wild-type constructs and 277 mutant constructs: 102 of these are single base pair mutations and 175 are motif scrambling (8–17 bp changed) (Supplementary Table 5). For the motif scrambling mutations we summed all 10-mer scores spanning the mutated motif.

### Training set for validated enhancers

For each appropriate cell line, we trained on the top 10,000 500 bp DHS regions, after excluding regions that were DHS in more than 30% of human/mouse ENCODE cell lines/ tissues, or near promoters (< 2 kb from TSS), against an equal size GC and repeat matched training set. The cell lines chosen were human LNCaP<sup>8</sup> for *Rfx6*, mouse erythroleukemia (MEL)<sup>23</sup> cells for *Bcl11a*, and HepG2<sup>8</sup> cells for *Sort1*.

### Scoring of Autoimmune variants

We selected 11 autoimmune traits enriched in Th1 H3K27Ac as shown in Fig 3 of Ref. <sup>28</sup>. We made predictions for 413 lead SNPs associated with 11 autoimmune diseases enriched in Th1 H3K27Ac regions (T1D: Type 1 Diabetes, CRO: Crohn's Disease, MS: Multiple Sclerosis, CEL: Celiac Disease, PBC: Primary Biliary Cirrhosis, RA: Rheumatoid Arthritis, Allergy, ATD: Autoimmune Thyroid Disease, UC: Ulcerative Colitis, VIT: Vitiligo, SLE: Systemic Lupus Erythematosus)<sup>28</sup>. We trained a gkm-SVM on the top 10,000 500 bp Th1 DHS regions, after excluding regions that were DHS in more than 30% of human ENCODE cell lines, or near promoters (< 2 kb from TSS), against an equal size GC and repeat matched training set. We scored the lead SNP and all flanking off-lead candidates in LD as defined by (R<sup>2</sup> > .5 and PICS<sup>28</sup> probability > .0275), yielding 3113 total SNPs. Since the significance of the maximum deltaSVM score in a locus will depend on the number of SNPs in that locus, as a random control we scored random SNPs and equal size flanking sets. To

determine the cutoff, we first determined  $2^{nd}$  percentile deltaSVM score from 10,000 random permutations for each number of flanking SNPs (1~30), and then calculated mean and standard deviation of the 100 repeated experiments as the final cutoff. We identified 17 high scoring deltaSVM SNPs which we predict to be expression perturbing SNPs with high confidence (P < .02), while at this threshold random sampling produced 8 SNPs (binomial test P < 0.004, Supplementary Figure 4). deltaSVM scores for all 3113 SNPs are provided as Supplementary Table 6.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

### Acknowledgments

This research was supported in part by US National Institutes of Health grant R01 NS62972 to ASM, and by grant R01 HG007348 to MAB.

### References

- Hindorff LA, et al. Potential etiologic and functional implications of genome-wide association loci for human diseases and traits. Proc Natl Acad Sci U S A. 2009; 106:9362–9367. [PubMed: 19474294]
- Maurano MT, et al. Systematic Localization of Common Disease-Associated Variation in Regulatory DNA. Science. 2012; 337:1190–1195. [PubMed: 22955828]
- 3. Gusev A, et al. Partitioning heritability of regulatory and cell-type-specific variants across 11 common diseases. Am J Hum Genet. 2014; 95:535–552. [PubMed: 25439723]
- 4. Kircher M, et al. A general framework for estimating the relative pathogenicity of human genetic variants. Nat Genet. 2014; 46:310–315. [PubMed: 24487276]
- Ritchie GRS, Dunham I, Zeggini E, Flicek P. Functional annotation of noncoding sequence variants. Nat Methods. 2014; 11:294–296. [PubMed: 24487584]
- Hardison RC, Taylor J. Genomic approaches towards finding cis-regulatory modules in animals. Nat Rev Genet. 2012; 13:469–483. [PubMed: 22705667]
- Ghandi M, Lee D, Mohammad-Noori M, Beer MA. Enhanced Regulatory Sequence Prediction Using Gapped k-mer Features. PLoS Comput Biol. 2014; 10:e1003711. [PubMed: 25033408]
- 8. ENCODE Consortium. An integrated encyclopedia of DNA elements in the human genome. Nature. 2012; 489:57–74. [PubMed: 22955616]
- Bernstein BE, et al. The NIH Roadmap Epigenomics Mapping Consortium. Nat Biotechnol. 2010; 28:1045–1048. [PubMed: 20944595]
- Lee D, Karchin R, Beer MA. Discriminative prediction of mammalian enhancers from DNA sequence. Genome Res. 2011; 21:2167–2180. [PubMed: 21875935]
- Fletez-Brant C, Lee D, McCallion AS, Beer M. A kmer-SVM: a web server for identifying predictive regulatory sequence features in genomic data sets. Nucleic Acids Res. 2013; 41:W544– W556. [PubMed: 23771147]
- Gorkin DU, et al. Integration of ChIP-seq and machine learning reveals enhancers and a predictive regulatory sequence vocabulary in melanocytes. Genome Res. 2012; 22:2290–2301. [PubMed: 23019145]
- Degner JF, et al. DNase\_I sensitivity QTLs are a major determinant of human expression variation. Nature. 2012; 482:390–394. [PubMed: 22307276]
- The 1000 Genomes Project Consortium. A map of human genome variation from population-scale sequencing. Nature. 2010; 467:1061–1073. [PubMed: 20981092]
- The International HapMap Consortium. A haplotype map of the human genome. Nature. 2005; 437:1299–1320. [PubMed: 16255080]

- Davydov EV, et al. Identifying a High Fraction of the Human Genome to be under Selective Constraint Using GERP++ PLoS Comput Biol. 2010; 6:e1001025. [PubMed: 21152010]
- Lee, Dongwon; Beer, Michael A. Genome Analysis: Current Procedures and Applications. Poptsova, MS., editor. Horizon Scientific Press; 2014.
- Ghandi M, Mohammad-Noori M, Beer MA. Robust k-mer frequency estimation using gapped kmers. J Math Biol. :1–32.10.1007/s00285-013-0705-3
- 19. Pickrell JK, et al. Understanding mechanisms underlying human gene expression variation with RNA sequencing. Nature. 2010; 464:768–772. [PubMed: 20220758]
- 20. Murisier F, Guichard S, Beermann F. A conserved transcriptional enhancer that specifies Tyrp1 expression to melanocytes. Dev Biol. 2006; 298:644–655. [PubMed: 16934245]
- Murisier F, Guichard S, Beermann F. The tyrosinase enhancer is activated by Sox10 and Mitf in mouse melanocytes. Pigment Cell Res Spons Eur Soc Pigment Cell Res Int Pigment Cell Soc. 2007; 20:173–184.
- 22. Patwardhan RP, et al. Massively parallel functional dissection of mammalian enhancers in vivo. Nat Biotechnol. 2012; 30:265–270. [PubMed: 22371081]
- Yue F, et al. A comparative encyclopedia of DNA elements in the mouse genome. Nature. 2014; 515:355–364. [PubMed: 25409824]
- 24. Kheradpour P, et al. Systematic dissection of regulatory motifs in 2000 predicted human enhancers using a massively parallel reporter assay. Genome Res. 2013; 23:800–811. [PubMed: 23512712]
- 25. Huang Q, et al. A prostate cancer susceptibility allele at 6q22 increases RFX6 expression by modulating HOXB13 chromatin binding. Nat Genet. 2014; 46:126–135. [PubMed: 24390282]
- Bauer DE, et al. An Erythroid Enhancer of BCL11A Subject to Genetic Variation Determines Fetal Hemoglobin Level. Science. 2013; 342:253–257. [PubMed: 24115442]
- Musunuru K, et al. From noncoding variant to phenotype via SORT1 at the 1p13 cholesterol locus. Nature. 2010; 466:714–719. [PubMed: 20686566]
- Farh KKH, et al. Genetic and epigenetic fine mapping of causal autoimmune disease variants. Nature. 2015; 518:337–343. [PubMed: 25363779]
- 29. Jin Y, et al. Genome-wide association analyses identify 13 new susceptibility loci for generalized vitiligo. Nat Genet. 2012; 44:676–680. [PubMed: 22561518]
- 30. Barrett JC, et al. Genome-wide association study and meta-analysis find that over 40 loci affect risk of type 1 diabetes. Nat Genet. 2009; 41:703–707. [PubMed: 19430480]
- Franke A, et al. Genome-wide meta-analysis increases to 71 the number of confirmed Crohn's disease susceptibility loci. Nat Genet. 2010; 42:1118–1125. [PubMed: 21102463]
- Barrett JC, et al. Genome-wide association defines more than 30 distinct susceptibility loci for Crohn's disease. Nat Genet. 2008; 40:955–962. [PubMed: 18587394]
- International Multiple Sclerosis Genetics Consortium (imsgc). Analysis of immune-related loci identifies 48 new susceptibility variants for multiple sclerosis. Nat Genet. 2013; 45:1353–1360. [PubMed: 24076602]
- Dubois PCA, et al. Multiple common variants for celiac disease influencing immune gene expression. Nat Genet. 2010; 42:295–302. [PubMed: 20190752]
- 35. Parkes M, et al. Sequence variants in the autophagy gene IRGM and multiple other replicating loci contribute to Crohn's disease susceptibility. Nat Genet. 2007; 39:830–832. [PubMed: 17554261]
- 36. Hinds DA, et al. A genome-wide association meta-analysis of self-reported allergy identifies shared and allergy-specific susceptibility loci. Nat Genet. 2013; 45:907–911. [PubMed: 23817569]
- Mells GF, et al. Genome-wide association study identifies 12 new susceptibility loci for primary biliary cirrhosis. Nat Genet. 2011; 43:329–332. [PubMed: 21399635]
- 38. Trynka G, et al. Dense genotyping identifies and localizes multiple common and rare variant association signals in celiac disease. Nat Genet. 2011; 43:1193–1201. [PubMed: 22057235]
- 39. Eyre S, et al. High-density genetic mapping identifies new susceptibility loci for rheumatoid arthritis. Nat Genet. 2012; 44:1336–1340. [PubMed: 23143596]
- 40. Cooper JD, et al. Seven newly identified loci for autoimmune thyroid disease. Hum Mol Genet. 2012; 21:5202–5208. [PubMed: 22922229]

- 41. Gourraud PA, et al. A genome-wide association study of brain lesion distribution in multiple sclerosis. Brain J Neurol. 2013; 136:1012–1024.
- 42. Liu JZ, et al. Dense fine-mapping study identifies new susceptibility loci for primary biliary cirrhosis. Nat Genet. 2012; 44:1137–1141. [PubMed: 22961000]
- 43. Zhang Y, et al. Model-based Analysis of ChIP-Seq (MACS). Genome Biol. 2008; 9:R137. [PubMed: 18798982]
- 44. Heintzman ND, et al. Distinct and predictive chromatin signatures of transcriptional promoters and enhancers in the human genome. Nat Genet. 2007; 39:311–318. [PubMed: 17277777]
- 45. Heintzman ND, et al. Histone modifications at human enhancers reflect global cell-type-specific gene expression. Nature. 2009; 459:108–112. [PubMed: 19295514]



### Figure 1. Overview of our deltaSVM method

[left] The first step in calculating deltaSVM is to train a gkm-SVM classifier using a positive training set of putative regulatory sequences (identified by DNase I hypersensitivity, for example) and a negative training set of matched negative control sequences. The gkm-SVM generates a regulatory sequence vocabulary – a weighted list of all possible 10-mers, where each 10-mer receives an SVM weight that quantifies its contribution to the prediction of regulatory function. [right] After training, this regulatory sequence vocabulary can be used to score the predicted impact of any sequence variant on regulatory activity, as shown here for a single nucleotide substitution in a melanocyte enhancer of the *Tyrp1* enhancer.



**Figure 2. deltaSVM can accurately predict SNPs associated with DNaseI Hypersensitivity** (**a**) An example of a deltaSVM calculation using a known dsQTL SNP (rs4953223). (**b**) 10-mer gkm-SVM scores across the dsQTL locus containing rs4953223 are shown. Only the functional SNP produces dramatic changes in gkm-SVM scores. (**c**) Effect sizes of dsQTL SNPs from Ref. <sup>13</sup> are well correlated with their deltaSVM scores. (**d**–**e**) deltaSVM predicts dsQTLs with far greater accuracy than existing methods. Discriminative powers are compared between various methods using 50x larger control SNP set. (**d**) ROC curve. (**e**) Precision-Recall curve.



Figure 3. deltaSVM is strongly positively correlated with dsQTL effect size, and positively or negatively correlated with eQTL effect size depending on the sign of the correlation of dsQTL and eQTL

Degner et al reported that 16% of the dsQTLs were also eQTLs, but that 30% of the eQTL dsQTLs were anti-correlated with the expression change. Our predictions are consistent with this observation: (a) deltaSVM is always positively correlated with dsQTL effect size (beta), (b) but because eQTL beta and dsQTL beta are anti-correlated 30% of the time, (c) deltaSVM and eQTL beta are only correlated (positively and negatively) if we treat the activating dsQTLs (red) and repressive dsQTLs (blue) separately. (d) Bases predicted to reduce the activity of functional regions are evolutionarily constrained.

Lee et al.



Figure 4. deltaSVM accurately predicts change in luciferase expression in targeted mutagenesis of Tyr and Tyrp1 melanocyte enhancers

(**a**,**b**) Base by base evaluation of all possible substitutions as scored by deltaSVM. Black circles mark substitutions that were tested in luciferase assays. Orange bars show positions of the previously characterized binding sites. (**c**,**d**) Correlation of deltaSVM prediction and observed normalized luciferase expression. Blue circles indicate previously tested binding site<sup>20,21</sup>. Error bar is one standard deviation of the changes in luciferase expression (4 biological replicates per variant).

Lee et al.



# Figure 5. deltaSVM accurately predicts change of expression in massively parallel reporter assays

(a) Correlations of deltaSVM predictions and observed *in vivo* mutation effect size in the ALDOB enhancer in mice<sup>22</sup>. (b) Correlation of deltaSVM and mutated enhancers in K562 cells<sup>24</sup>. (c) Correlation of deltaSVM and mutated enhancers in HepG2 cells<sup>24</sup>.

а 	Prostate Cancer	Fetal Hemoglobin	LDL Cholesterol	
LNCaP deltaSVM	0 2 4 6 8 rs3907920 rs7744438 rs339331 rs9489059 rs9489059 rs9489050 rs9489050 rs9481679	ns111575474 6 8 Ins111575474 6 8 Ins111575474 6 8 Ins112105713 6 Ins112105713 6 Ins12105713 6 Ins1209488 6 Ins1209488 6 Ins12105713 6 Ins121057 6 Ins121052953 6 Ins12620513 6 Ins12620515 Ins12620515 Ins12620515 Ins12620515 Ins12620515 Ins12620515 Ins12620515 Ins12620515 Ins12620515 Ins12620515 Ins12620515 Ins12620515 Ins12620515 Ins12620515 Ins12620515 Ins12620555 Ins12620555 Ins12620555 Ins126205555 Ins1262055555 Ins1262055555555555555555555555555555555555	0 2 4 6 8 rs11102967 rs1277917 1 rs12779374 rs660240 rs8332016 rs623301 1	b Autoimmune
MEL deltaSVM	0 1 2 3 4 5 6 rs3907920 1 2 3 4 5 6 rs7744438 rs339331 1 15 rs9489059 1 15 rs9481679 1 17148850 1 1714850 1 17148	0 1 2 3 4 5 6 rs111575474 rs1427407 rs1427407 rs112105713 rs1296293 rs696293 rs606173 rs7606173	0 1 2 3 4 5 6 rs11102967 rs1277917 rs12740374 rs60240 rs680240 rs680240 rs680240 rs680240 rs680240	SNPs SNPs SNPs SNPs SNPs C 20 25 20 25 753008 Fresh454802 Fresh4802 15 75202119 Magnetic Fresh4802 15 15 15 15 15 15 15 15 15 15
HepG2 deltaSVM	0 1 2 3 4 5 6 7   rs3907920 rs3907920 rs1744438 rs39331 rs393331 rs1744438   rs329489056 rs9489056 rs117148850 rs117148850 rs117148850   rs9481679 rs117748850 rs117148850 rs117148850	0 1 2 3 4 5 6 7   rs111575474 rs74958177 rs111575474 rs74958177   rs1427407 rs7599488 rs7599488   rs112105713 rs7599488 rs7599488   rs112105713 rs7599488 rs112105713   rs112105713 rs14952933 rs149529953   rs149529953 rs7606173	0 1 2 3 4 5 6 7 rs1102967 rs1277917 rs12740374 rs620240 rs620240 rs629301 rs646776	Predicted Expected

### Figure 6. deltaSVM only identifies validated causal SNPs when trained on the appropriate cell type

(a) Three validated GWAS SNPs from Rfx6 (1st column), Bcl11a (2nd column), and Sort1 (3<sup>rd</sup> column) and flanking negative SNPs were each scored with deltaSVM trained on all three relevant cell-types. The validated SNPs are properly identified from among flanking SNPs when trained on the appropriate cell type (red) but not other cell types (blue). (b,c) Scoring autoimmune GWAS loci with deltaSVM trained on Th1 yields high confidence

Nat Genet. Author manuscript; available in PMC 2016 February 01.

а

rs6908626

٦

causal SNPs listed in Table 2. BACH2 locus is shown in (**b**) as an example. Error bar in (**c**) is one standard deviation of the expected binomial distribution.

Author Manuscript

Cell-type specificity of deltaSVM predictions

We compare weights trained on all five cell types to the measured expression changes in all five cell lines. P-values are in parenthesis. Although some TFs are active in more than one cell type, we generally observe that deltaSVM predictions are cell-type specific.

Gkm-SVM	LCL-dsQTL	Tyr	Tyrp1	Aldob	K562 enhancers	HepG2 enhancers
GM12878 DHS	0.721 (7.68e-94)	0.302 (0.172)	0.117 (0.595)	0.112 (0.00256)	0.204 (0.00062)	0.201 (0.00076)
Mouse melan-a EP300	0.245 (2.19e-9)	0.78 (2.0e-5)	0.53 (0.0095)	0.147 (7.42e-5)	$0.204\ (0.00062)$	$0.194\ (0.00116)$
Mouse liver DHS	0.131 (0.00157)	0.282 (0.203)	0.056 (0.798)	0.630 (3.24-e81)	-0.329 (2.04e-8)	0.551 (2.07e-23)
K562 DHS	0.581 (1.45e-53)	0.390 (0.0726)	0.104 (0.638)	0.092 (0.0137)	0.626 (1.34e-31)	-0.042 (0.483)
HepG2 DHS	0.518 (3.84e-41)	0.551 (0.00791)	$0.166\ (0.450)$	0.547 (1.01e-57)	-0.184 (.0021)	0.646 (3.84e-34)

Table 2

# deltaSVM predicted causal autoimmune SNPs

Scoring autoimmune GWAS loci with deltaSVM trained on Th1 yields predictions for high confidence causal SNPs.

		>	TOTIC I INTO DAT	Fredicied SIMF FUSION	Totalica		· - ·	
3308	rs860475	chr8	133929917	133929799	-118	TG	16.797	29
372571	rs4697651	chr4	10726853	10721433	-5420		13.978	29
0673	rs4380994	chr9	4291747	4282536	-9211	GLIS3	13.591	30
18425	rs7748720	chr6	20728731	20689945	-38786	<b>CDKAL1</b>	13.177	31,32
32645	rs1250568	chr10	81048611	81045280	-3331	ZMIZ1	11.039	33
0552	rs1250568	chr10	81058027	81045280	-12747	ZMIZ1	11.039	34
01047	rs6665749	chr1	191559356	191577594	18238		10.646	35
7685	rs2797685	chr1	7879063	7879063	0	PER3	10.411	31
:993-T	rs962993	chr10	9053132	9053132	0		10.008	36
31468	rs3771317	chr2	191538562	191543962	5400	NAB1	666.6	37
3008	rs72928038	chr6	90809639	90976768	167129	BACH2	9.787	38
128038	rs72928038	chr6	90976768	90976768	0	BACH2	9.787	39, 40, 3
976	rs485789	chr3	159729059	159730148	1089	AK097161	8.782	
1724	rs733724	chr6	105223864	105223864	0	HACE1	7.360	41
4921	rs3024921	chr2	191943272	191943272	0	STAT4	6.931	42
	975-1 31468 3008 28038 976 724 4921	993-1 rs902993 31468 rs3771317 3008 rs72928038 28038 rs72928038 724 rs733724 4921 rs3024921	995-1 rs962995 chr10 31468 rs3771317 chr2 3008 rs72928038 chr6 28038 rs72928038 chr6 72976 rs485789 chr3 724 rs733724 chr6 4921 rs3024921 chr2	995-1   rs962995   chr10   9055152     31468   rs3771317   chr2   191538562     3008   rs772928038   chr6   90809639     28038   rs722928038   chr6   90976768     28036   rs72928038   chr6   90976768     28037   rs72928038   chr6   90976768     28038   rs773924   chr6   90976768     724   rs733724   chr6   105223864     4921   rs3024921   chr2   191943272	903-1   rs902993   chr10   9035132     31468   rs3771317   chr2   191538562   191543962     3008   rs772928038   chr6   90809639   90976768     28038   rs722928038   chr6   90976768   90976768     28036   rs72928038   chr6   90976768   90976768     28036   rs72928038   chr6   90976768   90976768     28037   rs72928038   chr6   90976768   90976768     976   rs72928038   chr3   159729059   159730148     974   rs733724   chr6   105223864   105223864     4221   rs3024921   chr2   191943272   191943272	993-1   rs90.2935   cint 0   903.113   0     31468   rs3771317   chr2   191538562   191543962   5400     3008   rs772928038   chr6   90809639   90976768   167129     3008   rs72928038   chr6   90976768   909776768   167129     28038   rs72928038   chr6   90976768   90976768   0     28038   rs72928038   chr6   90976768   90976768   0     78038   rs72928038   chr6   90976768   90976768   0     7804   rs73224   chr6   105223864   105223864   0     724   rs733724   chr6   105223864   105223864   0     421   rs3024921   chr2   191943272   191943272   0	993-1   rs90.2935   ctrr 10   903.5132   903.5132   0   .     31468   rs3771317   chr2   191538562   191543962   5400   NAB1     3008   rs372928038   chr6   9080639   90976768   167129   BACH2     28038   rs72928038   chr6   90976768   90976768   0   BACH2     28038   rs72928038   chr6   90976768   90976768   0   BACH2     28038   rs72928038   chr6   90976768   90976768   0   BACH2     976   rs732928038   chr6   105753864   165730148   1089   AK097161     724   rs733724   chr6   105223864   105223864   0   HACE1     4921   rs3024921   chr2   191943272   0   STAT4	903-1   1590.2995   cirr10   9035132   9035132   9035132   9035132   10.008     31468   1s3771317   chr2   191538562   191543962   5400   NAB1   9.999     3008   1s372928038   chr6   9080639   90976768   167129   BACH2   9.787     28038   1s72928038   chr6   9080639   90976768   0   BACH2   9.787     28038   1s72928038   chr6   90976768   90976768   0   BACH2   9.787     28038   1s72928038   chr6   90976768   90976768   0   BACH2   9.787     28038   1s72928038   chr6   90976768   90976768   0   BACH2   9.787     976   1s485789   chr3   159729059   159730148   1089   AK097161   8.782     724   1s733724   chr6   105223864   105223864   0   ACEI   7.360     4921   1s3024921   chr2   191943272   191943272   0   STAT4   6.931