A Case of Progressive Multifocal Leukoencephalopathy in a Fumaric Acid-Treated Psoriasis Patient With Severe Lymphopenia Among Other Risk Factors

Sinah Engel¹*, Lara S Molina Galindo¹*, Stefan Bittner¹, Frauke Zipp¹ and Felix Luessi¹

¹Department of Neurology, Focus Program Translational Neuroscience (FTN), and Immunotherapy (FZI), Rhine-Main Neuroscience Network (rmn2), University Medical Center of the Johannes Gutenberg University, Mainz, Germany.

Journal of Central Nervous System Disease Volume 13: 1-6 © The Author(s) 2021 Article reuse guidelines: sagepub.com/journals-permissions DOI: 10.1177/11795735211037798 **SAGE**

ABSTRACT

Progressive multifocal leukoencephalopathy (PML) is a potentially fatal condition caused by a brain infection with JC polyomavirus (JCV), which occurs almost exclusively in immunocompromised patients. Modern immunosuppressive and immunomodulatory treatments for cancers and autoimmune diseases have been accompanied by increasing numbers of PML cases. We report a psoriasis patient treated with fumaric acid esters (FAEs) with concomitant hypopharyngeal carcinoma and chronic alcohol abuse who developed PML. Grade 4 lymphopenia at the time point of PML diagnosis suggested an immunocompromised state. This case underscores the importance of immune cell monitoring in patients treated with FAEs, even more so in the presence of additional risk factors for an immune dysfunction.

KEYWORDS: progressive multifocal leukoencephalopathy, psoriasis, fumaric acid esters, carcinoma

TYPE: Case Report

DECLARATION OF CONFLICTING INTERESTS The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article

FUNDING The author(s) received no financial support for the research, authorship, and/or

ETHICAL/CONSENT STATEMENT The patient in this manuscript has given written informed consent to publication of his case details

CORRESPONDING AUTHOR: Felix Luessi, Department of Neurology, University Medical Center of the Johannes Gutenberg University Mainz, Langenbeckstrasse 1, Mainz 55131, Germany. Email: luessi@uni-mainz.de

Case Report

A 52-year-old man presented to our neurological department in March 2020 reporting progressive limb ataxia and cognitive impairment including disorientation, attention and memory deficits, and reduced speech fluency since January 2020. Cranial magnetic resonance imaging (MRI) showed multifocal T2whyperintense white-matter lesions without contrastenhancement, edema, or restricted diffusion predominantly involving the cerebellum (Figure 1). Cerebrospinal fluid (CSF) analysis revealed an elevation of protein levels with presence of CSF-specific oligoclonal IgG-bands and an increased CSF/ serum albumin quotient as a marker of blood-brain barrier disruption, whereas the cell count was normal. The detection of 144 copies/mL of the JC virus (JCV) in CSF by polymerase chain reaction prompted the diagnosis of progressive multifocal leukoencephalopathy (PML).

During the previous 24 months, the patient's psoriasis was being treated with fumaric acid esters (FAEs) (Fumaderm®). During this time, lymphocyte counts were below 500/mm³ in all routine measurements (Figure 2). At the time of PML diagnosis, the lymphocyte count was 147/mm³ consistent with severe grade 4 lymphopenia, whereas the leukocyte count was within normal ranges. Furthermore, the patient had been diagnosed with hypopharyngeal carcinoma in July 2018, for which he received chemotherapy with 5-fluorouracil and cisplatin

(from August 2018 until October 2018 with adjuvant radiation in weeks 1 and 5), nivolumab (3 cycles from April 2019 until May 2019), and docetaxel (6 cycles from July 2019 until October 2019). The clinical history included chronic alcohol and nicotine abuse (Figure 2).

After the PML diagnosis, FAE therapy was discontinued immediately. In addition, we initiated an off-label treatment attempt with mefloquine 250 mg per week and mirtazapine 15 mg per day based on *in vitro* data showing antiviral effects^{1,2} and observations from several case reports (see Table 1). We stress that this treatment regimen has not convincingly prolonged survival or reduced disability in clinical trials so far.³⁻⁶

During this course of treatment, there was a gradual rise of lymphocyte counts without clinical evidence of immune reconstitution inflammatory syndrome; CSF analysis 3 weeks after PML diagnosis already showed a slight decrease in JCV DNA levels (117 copies/mL). Clinically, the patient experienced improvement of cognitive function, but significant limb ataxia persisted. MRI follow-up examination revealed regression of the cerebellar lesions (Figure 1). Serum neurofilament light chain (sNfL) levels were 149.9 pg/mL at PML diagnosis and constantly declined over the follow-up measurements 1, 2, and 3 months after diagnosis (Figure 2). There were no serum samples available to assess sNfL levels prior to PML diagnosis. sNfL was shown to serve as a non-specific biomarker of PML in



Creative Commons Non Commercial CC BY-NC: This article is distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 License (https://creativecommons.org/licenses/by-nc/4.0/) which permits non-commercial use, reproduction and distribution of the work without further permission provided the original work is attributed as specified on the SAGE and Open Access pages (https://us.sagepub.com/en-us/nam/open-access-at-sage).



Figure 1. Magnetic resonance imaging findings over time. Upper row: T2-weighted axial images show hyperintense white-matter lesions in the cerebellum. Middle row: Axial T1 gadolinium (Gd)-weighted images show no Gd-enhancement. Lower row: Axial diffusion weighted image shows no restricted diffusion.



Figure 2. Overview of the patient's history and serum neurofilament light chain values. Leukocyte counts are displayed as a blue line. Lymphocyte counts are displayed as a violet line. Clinical events (black arrows) are indicated. Duration of treatments is depicted with lines of different colors on the top of the diagram. Serum neurofilament light chain levels are displayed as a red line.

natalizumab-treated multiple sclerosis (MS) patients^{7,8} discriminating patients with PML from those without PML with high accuracy in a retrospective study.⁷ A recent study in a

prospective cohort confirmed that sNfL levels can identify natalizumab-treated MS patients who will develop PML with a sensitivity of 67% and specificity of 80%.⁹ These results

rcome	ital recovery om clinical imptoms, imission of MRI sions, decrease CSF JCV DNA er and in sNfL vels	elopment of ML-IRIS flowed by artial clinical covery	elopment of ML-IRIS, illowed by artial remission clinical signs od MRI findings	ital recovery ith mild-to- oderate sistdual mptoms	ital recovery	ital recovery
PML TREATMENT OU	Discontinuation of Par FAE treatment fr and off-label use s of mirtazapine fe and mefloquine le in	Discontinuation of Dev FAE treatment P and off-label use fc of mirtazapine p. and mefloquine re	Discontinuation of Dev FAE treatment P and off-label use fc of mirtazapine p and mefloquine o	No information Par available ⁿ r	Discontinuation of Par FAE treatment and off-label use of mirtazapine, mefloquine, and immunoglobulins	Discontinuation of Par FAE treatment and off-label use of mirtazapine and mefloquine
DURATION OF LYMPHOPENIA PRIOR TO PML ONSET	18 months	5 years	2 years	20 months	No information available	16 months
GRADE OF LYMPHOPENIA AT PML ONSET	4	ო	ო	5 5	5	N
DTHER MMUNOSUPPRESSIVE TREATMENTS WITHIN 5 YEARS PRIOR TO PML DNSET	Chemotherapy with 5- fluorouracil and cisplatin (terminated 17 months prior to PML onset), nivolumab (last cycle 10 months prior to PML onset), and docetaxel (terminated 5 months prior to PML onset)	None	Methotrexate (terminated 3 years prior to PML onset)	Prednisolone and methotrexate (terminated 3.5 years prior to PML onset)	Efalizumab (terminated 3 years prior to PML onset)	None
DURATION OF FAE TREATMENT PRIOR TO PML ONSET	2 years	5 years	3 years	3 years	3 years	2.5 years
UNDERLYING CONDITIONS WITH IMMUNOCOMPROMISING POTENTIAL	Psoriasis, hypopharyngeal carcinoma, and alcohol abuse	Psoriasis	Psoriasis	Psoriasis, and pulmonary sarcoidosis	Psoriasis, and superficial spreading melanoma	Psoriasis, and adenocarcinoma of the rectum 8 years earlier (adjuvant radiochemotherapy with 5- fluorouracil)
AGE, SEX	52-year-old male	42-year-old female	74-year-old male	60-year-old female	Male patient, no information on age available	68-year-old male
CASE REFERENCE	Present case report	van Oosten et al (2013) ²⁷	Ermis et al (2013) ²⁸	Buttmann et al (2013), Sweetser et al (2013) ^{29,30}	Stoppe et al (2014), Sweetser et al (2013) ^{15,30}	Bartsch et al (2015) ¹²

Engel et al

ued.	
Contin	
able	

CASE REFERENCE	AGE, SEX	UNDERLYING CONDITIONS WITH IMMUNOCOMPROMISING POTENTIAL	DURATION OF FAE TREATMENT PRIOR TO PML ONSET	OTHER IMMUNOSUPPRESSIVE TREATMENTS WITHIN 5 YEARS PRIOR TO PML ONSET	GRADE OF LYMPHOPENIA AT PML ONSET	DURATION OF LYMPHOPENIA PRIOR TO PML ONSET	PML TREATMENT	OUTCOME
Nieuwkamp et al (2015) ¹³	64-year-old female	Psoriasis	2 years	None	۵	1 month	Discontinuation of FAE treatment and off-label use of mirtazapine, mefloquine, and steroids	Deceased
Dammeier et al (2015) ³¹	53-year-old female	Psoriasis	1.5 years	None	2-3	No information available	Discontinuation of FAE treatment and off-label use of mirtazapine and mefloquine	Stable disease course with only mild residual deficits at 7- month follow-up
Hoepner et al (2015) ³²	69-year-old male	Psoriasis, and monoclonal gammopathy	4 years	None	2-3	At least 18 months	Discontinuation of FAE treatment and off-label use of mirtazapine and mefloquine	Development of mild PML-IRIS followed by partial recovery
Elsner et al (2020) ³³	58-year-old female	Psoriasis	4 years	No information available	No information available	No information available	Discontinuation of FAE treatment and antiviral treatment (not specified)	Persisting global aphasia and recurrent seizures
Case series by Gieselbach et al (2017) ¹⁴	57-year-old male	Psoriasis	4 years	No information available	1-3	4 years	No information available	Survived
Case series by Gieselbach et al (2017) ¹⁴	50-year-old female	Psoriasis	9 years	No information available	2-3	6 years	No information available	Survived
Case series by Gieselbach et al (2017) ¹⁴	71-year-old male	Psoriasis	1.5 years	No information available	m	No information available	No information available	Survived
Case series by Gieselbach et al (2017) ¹⁴	64-year-old female	Psoriasis, breast carcinoma (10 years prior to PML)	.5 years	Cyclophosphamide	n	No information available	No information available	Survived
Abbreviations: CSF: ^a Grade 1 lymphopeni	cerebrospinal fluic ia = 800–100 cells	d, DNA, desoxyribonucleic acid; FAE s per mm ³ . Grade 2 lymphopenia = {	E, fumaric acid ester; 500–800 cells per m	l IRIS, immune reconstitution inflamn m ³ . Grade 3 lymphopenia = 500–20	natory syndrome; JCV, 0 cells per mm ³ , Grade	JC virus; PML, progre 4 Ivmphopenia <200	ssive multifocal leukoenc cells per mm ³ .	sephalopathy.

highlight the value of sNfL as a biomarker in clinical practice to monitor the occurrence and early recognition of PML.

This patient had numerous risk factors for developing PML, but he exhibited severe lymphopenia for approximately 18 months (minimal lymphocyte count 48/mm³) before emergence of neurological deficits. Lymphopenia is a known side effect of FAE and is more likely to occur with older age.¹⁰ FAE therapy should be terminated if the lymphocyte count drops below 500/mm³ (corresponding to grade 3 and grade 4 lymphopenia) as the risk for opportunistic infections increases.¹¹ Previous reports of PML in FAE-treated psoriasis patients show low lymphocyte counts in all patients (Table 1), although some patients only had grade 1 or grade 2 lymphopenia at the time point of PML diagnosis.^{12,13} Nevertheless, marked lymphocyte reduction is a modifiable risk factor in the prevention of PML and the existing recommendations for regular lymphocyte monitoring upon FAE therapy should be followed rigorously.

A second aspect that has likely contributed to the immunocompromised state along with PML development in this patient is the presence of concomitant hypopharyngeal carcinoma with various chemotherapeutic treatments. Remarkably, three of the previously published FAE-treated psoriasis patients who developed PML also had a history of concomitant malignancy; two of them received chemotherapy.^{12,14,15} It is therefore difficult to separate the contributing effect of the malignancy on immune dysfunction from the effect its chemotherapy exhibits.

The previous treatment with the PD-1 inhibitor nivolumab in this patient is a topic of special interest since checkpoint inhibitors are currently discussed as a promising therapeutic option for the treatment of PML. They are believed to target pathways that are involved in immune exhaustion and reinvigorate antiviral immunity by restoring the anti-JCV activity of CD4⁺ and CD8⁺ T cells.¹⁶ In several case reports and a smallscope case series, PD-1 inhibitor treatment with nivolumab or pembrolizumab was associated with clinical improvements in some patients.¹⁷⁻²⁰ However, there are also reports of patients who developed PML after treatment with nivolumab,²¹ and cases where PML deteriorated despite treatment with pembrolizumab.^{22,23} Additional analyses revealed that checkpoint inhibitors seem to be most promising in patients with some detectable anti-JCV cellular immune response before treatment, whereas advanced PML and profound immune compromise are associated with a poor treatment response.²³ Of note, our patient's chronic alcohol abuse might represent an additional risk factor for PML development.²⁴⁻²⁶

Taken together, we believe that the case reported here represents an illustrative example of the complexity of FAE treatment in patients exposed to situations of generalized immunosuppression such as chemotherapies. In retrospect, it is evident that this patient had an increased risk for the development of an opportunistic infection in general, and for PML in particular. Likewise, it is also indisputable that the occurrence of hypopharyngeal carcinoma required an effective treatment. However, the initiation of chemotherapy should have prompted the reevaluation of FAE treatment, especially when the lymphocyte count dropped to critical levels. From our point of view, this highlights the need to educate FAE-prescribing physicians about lymphocyte monitoring and PML and emphasizes that they must closely coordinate their immunotherapy with other physicians involved such as the oncologist.

Acknowledgments

The authors thank Cheryl Ernest for proofreading the manuscript.

ORCID iDs

Sinah Engel D https://orcid.org/0000-0002-9051-9062 Felix Luessi D https://orcid.org/0000-0003-4334-4199

REFERENCES

- Brickelmaier M, Lugovskoy A, Kartikeyan R, et al. Identification and characterization of mefloquine efficacy against JC virus in vitro. *Antimicrob Agents Chemother*. 2009;53(5):1840-1849.
- Elphick GF, Querbes W, Jordan JA, et al. The human polyomavirus, JCV, uses serotonin receptors to infect cells. *Science*. 2004;306(5700):1380-1383.
- Blankenbach K, Schwab N, Hofner B, Adams O, Keller-Stanislawski B, Warnke C. Natalizumab-associated progressive multifocal leukoencephalopathy in Germany. *Neurology*. 2019;92(19):e2232-e2239.
- Clifford DB, Nath A, Cinque P, et al. A study of mefloquine treatment for progressive multifocal leukoencephalopathy: results and exploration of predictors of PML outcomes. *J Neurovirol.* 2013;19(4):351-358.
- Stefoski D, Balabanov R, Waheed R, Ko M, Koralnik IJ, Sierra Morales F. Treatment of natalizumab-associated PML with filgrastim. *Ann Clin Transl Neurol.* 2019;6(5):923-931.
- Tan IL, McArthur JC, Clifford DB, Major EO, Nath A. Immune reconstitution inflammatory syndrome in natalizumab-associated PML. *Neurology*. 2011;77(11): 1061-1067.
- Dalla Costa G, Martinelli V, Moiola L, et al. Serum neurofilaments increase at progressive multifocal leukoencephalopathy onset in natalizumab-treated multiple sclerosis patients. *Ann Neurol.* 2019;85(4):606-610.
- Gaiottino J, Norgren N, Dobson R, et al. Increased neurofilament light chain blood levels in neurodegenerative neurological diseases. *PLoS One*. 2013;8(9): e75091.
- Fissolo N, Pignolet B, Rio J, et al. Serum neurofilament levels and pml risk in patients with multiple sclerosis treated with natalizumab. *Neurol Neuroimmunol Neuroinflamm* 2021;8(4):e1003.
- Longbrake EE, Naismith RT, Parks BJ, Wu GF, Cross AH. Dimethyl fumarateassociated lymphopenia: risk factors and clinical significance. *Mult Scler J Exp Transl Clin.* 2015;1:2055217315596994.
- Nast A, Gisondi P, Ormerod AD, et al. European S3-guidelines on the systemic treatment of psoriasis vulgaris–update 2015–short version–EDF in cooperation with EADV and IPC. J Eur Acad Dermatol Venereol. 2015;29(12):2277-2294.
- Bartsch T, Rempe T, Wrede A, et al. Progressive neurologic dysfunction in a psoriasis patient treated with dimethyl fumarate. *Ann Neurol.* 2015;78(4):501-514.
- Nieuwkamp DJ, Murk J-L, Cremers CHP, et al. PML in a patient without severe lymphocytopenia receiving dimethyl fumarate. N Engl J Med. 2015;372(15): 1474-1476.
- Gieselbach R-J, Muller-Hansma AH, Wijburg MT, et al. Progressive multifocal leukoencephalopathy in patients treated with fumaric acid esters: a review of 19 cases. J Neurol. 2017;264(6):1155-1164.
- Stoppe M, Thomä E, Liebert UG, et al. Cerebellar manifestation of PML under fumarate and after efalizumab treatment of psoriasis. J Neurol. 2014;261(5): 1021-1024.
- Cortese I, Reich DS, Nath A. Progressive multifocal leukoencephalopathy and the spectrum of JC virus-related disease. *Nat Rev Neurol.* 2021;17(1):37-51.
- Cortese I, Muranski P, Enose-Akahata Y, et al. Pembrolizumab treatment for progressive multifocal leukoencephalopathy. N Engl J Med. 2019;380(17): 1597-1605.
- Rauer S, Marks R, Urbach H, et al. Treatment of progressive multifocal leukoencephalopathy with pembrolizumab. N Engl J Med. 2019;380(17):1676-1677.

- Walter O, Treiner E, Bonneville F, et al. Treatment of progressive multifocal leukoencephalopathy with nivolumab. N Engl J Med. 2019;380(17): 1674-1676.
- Hoang E, Bartlett NL, Goyal MS, Schmidt RE, Clifford DB. Progressive multifocal leukoencephalopathy treated with nivolumab. J Neurovirol. 2019;25(2): 284-287.
- 21. Martinot M, Ahle G, Petrosyan I, et al. Progressive multifocal leukoencephalopathy after treatment with nivolumab. *Emerg Infect Dis.* 2018;24(8):1594-1596.
- Küpper C, Heinrich J, Kamm K, Bücklein V, Rothenfusser S, Straube A. Pembrolizumab for progressive multifocal leukoencephalopathy due to primary immunodeficiency. *Neurol Neuroinflamm.* 2019;6(6):e628.
- Pawlitzki M, Schneider-Hohendorf T, Rolfes L, et al. Ineffective treatment of PML with pembrolizumab: exhausted memory T-cell subsets as a clue? *Neurol Neuroinflamm*. 2019;6(6):e627.
- Acket B, Guillaume M, Tardy J, Dumas H, Sattler V, Bureau C. Progressive multifocal leukoencephalopathy in a patient with alcoholic cirrhosis. *Gastroenterol Clin Biol.* 2010;34(4-5):336-338.
- Gheuens S, Pierone G, Peeters P, Koralnik IJ. Progressive multifocal leukoencephalopathy in individuals with minimal or occult immunosuppression. J Neurol Neurosurg Psychiatry. 2010;81(3):247-254.

- Grewal J, Dalal P, Bowman M, Kaya B, Otero JJ, Imitola J. Progressive multifocal leukoencephalopathy in a patient without apparent immunosuppression. J Neurovirol. 2016;22(5):683-687.
- van Oosten BW, Killestein J, Barkhof F, Polman CH, Wattjes MP. PML in a patient treated with dimethyl fumarate from a compounding pharmacy. N Engl J Med. 2013;368(17):1658-1659.
- Ermis U, Weis J, Schulz JB. PML in a patient treated with fumaric acid. N Engl J Med. 2013;368(17):1657-1658.
- Buttmann M, Stoll G. Case reports of PML in patients treated for psoriasis. N Engl J Med. 2013;369(11):1081.
- Sweetser MT, Dawson KT, Bozic C. Manufacturer's response to case reports of PML. N Engl J Med. 2013;368(17):1659-1661.
- Dammeier N, Schubert V, Hauser T-K, Bornemann A, Bischof F. Case report of a patient with progressive multifocal leukoencephalopathy under treatment with dimethyl fumarate. *BMC Neurol.* 2015;15:108.
- Hoepner R, Faissner S, Klasing A, et al. Progressive multifocal leukoencephalopathy during fumarate monotherapy of psoriasis. *Neurol Neuroimmunol Neuroinflamm*. 2015;2(3):e85.
- Elsner P. Insufficient laboratory monitoring and progressive multifocal leukoencephalopathy on fumaric acid ester therapy for psoriasis. *J Dtsch Dermatol Ges.* 2020;18(4):367-368.