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# Association of Donanemab Treatment With Exploratory Plasma Biomarkers in Early Symptomatic Alzheimer Disease A Secondary Analysis of the TRAILBLAZER-ALZ Randomized Clinical Trial

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**IMPORTANCE** Plasma biomarkers of Alzheimer disease may be useful as minimally invasive pharmacodynamic measures of treatment outcomes.

**OBJECTIVE** To analyze the association of donanemab treatment with plasma biomarkers associated with Alzheimer disease.

**DESIGN, SETTING, AND PARTICIPANTS** TRAILBLAZER-ALZ was a randomized, double-blind, placebo-controlled clinical trial conducted from December 18, 2017, to December 4, 2020, across 56 sites in the US and Canada. Exploratory biomarkers were prespecified with the post hoc addition of plasma glial fibrillary acidic protein and amyloid- $\beta$ . Men and women aged 60 to 85 years with gradual and progressive change in memory function for at least 6 months were included. A total of 1955 participants were assessed for eligibility. Key eligibility criteria include Mini-Mental State Examination scores of 20 to 28 and elevated amyloid and intermediate tau levels.

**INTERVENTIONS** Randomized participants received donanemab or placebo every 4 weeks for up to 72 weeks. The first 3 doses of donanemab were given at 700 mg and then increased to 1400 mg with blinded dose reductions as specified based on amyloid reduction.

MAIN OUTCOMES AND MEASURES Change in plasma biomarker levels after donanemab treatment.

**RESULTS** In TRAILBLAZER-ALZ, 272 participants (mean [SD] age, 75.2 [5.5] years; 145 [53.3%] female) were randomized. Plasma levels of phosphorylated tau<sub>217</sub> (pTau<sub>217</sub>) and glial fibrillary acidic protein were significantly lower with donanemab treatment compared with placebo as early as 12 weeks after the start of treatment (least square mean change difference vs placebo, -0.04 [95% Cl, -0.07 to -0.02]; *P* = .002 and -0.04 [95% Cl, -0.07 to -0.01]; *P* = .01, respectively). No significant differences in plasma levels of amyloid- $\beta$  42/40 and neurofilament light chain were observed between treatment arms at the end of treatment. Changes in plasma pTau<sub>217</sub> and glial fibrillary acidic protein were significantly correlated with the Centiloid percent change in amyloid (Spearman rank correlation coefficient [*R*] = 0.484 [95% Cl, 0.359-0.592]; *P* < .001 and *R* = 0.453 [95% Cl, 0.306-0.579]; *P* < .001, respectively) following treatment. Additionally, plasma levels of pTau<sub>217</sub> and glial fibrillary acidic protein were significantly (*R* = 0.399 [95% Cl, 0.278-0.508], *P* < .001 and *R* = 0.393 [95% Cl, 0.254-0.517]; *P* < .001, respectively).

**CONCLUSIONS AND RELEVANCE** Significant reductions in plasma biomarkers pTau<sub>217</sub> and glial fibrillary acidic protein compared with placebo were observed following donanemab treatment in patients with early symptomatic Alzheimer disease. These easily accessible plasma biomarkers might provide additional evidence of Alzheimer disease pathology change through anti-amyloid therapy. Usefulness in assessing treatment response will require further evaluation.

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he hallmark pathological findings in Alzheimer disease (AD) are amyloid plaques and neurofibrillary tangles due to the accumulation of amyloid- $\beta$  (A $\beta$ ) peptide, and aggregation of tau protein, respectively.<sup>1</sup> Extensive research on AD biomarkers aims to allow timely detection and accurate diagnosis of AD.<sup>2,3</sup> In addition to imaging and cerebrospinal fluid (CSF) biomarkers, blood measurements, including phosphorylated tau (pTau), glial fibrillary acidic protein (GFAP), neurofilament light chain (NfL), and Aβ, are being explored as biomarkers of AD.<sup>3,4</sup> Plasma pTau<sub>217</sub> is elevated in pathologically and clinically diagnosed patients with AD and typically not in other common neurodegenerative dementias.<sup>5-7</sup> GFAP is a marker of glial activation, and plasma levels are elevated in AD, as well as in cognitively normal individuals with amyloid-positive positron emission tomography (PET) results.<sup>8,9</sup> Plasma levels of NfL, a marker of neurodegeneration, are correlated with CSF NfL levels in AD and with the severity of postmortem neurofibrillary tangle pathology.<sup>10,11</sup> Levels of the A  $\beta$  42/40 ratio in plasma are reduced in AD.  $^{12,13}$ When plasma pTau<sub>217</sub> and A $\beta$  42/40 assay results are combined, the accuracy of identifying amyloid-positive PET results in cognitively unimpaired individuals is improved.<sup>14</sup>

Donanemab, an antibody specific for the N-terminal pyroglutamate A $\beta$  epitope that is only present in amyloid plaques, is being investigated for the treatment of AD.<sup>15-17</sup> In the TRAILBLAZER-ALZ phase 2 registration study, donanemab demonstrated robust amyloid plaque reduction as measured on PET in patients with early symptomatic AD.<sup>17</sup> Donanemab was superior to placebo in the primary outcome, showing 32% slowing of disease progression from baseline to 76 weeks in the score on the Integrated Alzheimer's Disease Rating Scale (iADRS).<sup>17</sup> The aim of the present exploratory, post hoc analysis is to assess the correlation of donanemab treatment with plasma levels of the biomarkers pTau<sub>217</sub>, GFAP, NfL, and A $\beta$  42/40 in patients with early symptomatic AD.

#### Methods

#### **Patients and Study Design**

TRAILBLAZER-ALZ was a randomized, double-blind, placebocontrolled phase 2 study conducted from December 18, 2017, to December 4, 2020, at 56 sites in the US and Canada. Written informed consent was obtained from participants or their legal representatives and from study partners. The protocol (Supplement 1) was reviewed and approved by study site ethical review boards. The study was conducted according to international ethics guidelines, including the Declaration of Helsinki<sup>18</sup> and Council for International Organizations of Medical Sciences International Ethical Guidelines and applicable International Council for Harmonization Good Clinical Practice guidelines.

Participants included were aged 60 to 85 years, diagnosed with early symptomatic AD (prodromal AD or AD with mild dementia), met elevated amyloid and intermediate tau PET-based criteria, and had Mini-Mental State Examination scores of 20 to 28.<sup>17</sup> Participant race and ethnicity were col-

#### **Key Points**

Question Does donanemab induce changes in plasma biomarkers?

Findings In this secondary analysis of the TRAILBLAZER-ALZ randomized clinical trial, plasma levels of phosphorylated tau<sub>217</sub> and glial fibrillary acidic protein were significantly lowered with donanemab treatment compared with placebo, whereas no significant changes in plasma levels of amyloid  $\beta$  42/40 and neurofilament light chain were observed between treatment arms at the end of the study. Changes in plasma phosphorylated tau<sub>217</sub> and glial fibrillary acidic protein were positively correlated with change in brain amyloid plaques.

Meaning These plasma biomarkers are promising candidates for use as pharmacodynamic measures of the effect of donanemab and potentially other anti-amyloid therapies in early symptomatic Alzheimer disease.

lected by self report and have already been described in the primary publication by Mintun et al.<sup>17</sup>

Participants included in this analysis were 1:1 randomized to receive intravenous donanemab or placebo every 4 weeks for up to 72 weeks. The first 3 doses of donanemab were given at 700 mg and then increased to 1400 mg. A blinded dose change occurred at weeks 24 and 52 based on amyloid PET scan results.<sup>17</sup> If amyloid levels ranged from 11 to 25 Centiloids (CL) on a single scan, donanemab was decreased to 700 mg. If amyloid levels were less than 11 CL on a single scan or less than 25 CL on 2 consecutive scans, donanemab dosing was stopped and participants received placebo. Eligibility criteria and study design of TRAILBLAZER-ALZ were previously reported.<sup>17</sup>

#### PET

The effect of donanemab on brain amyloid and tau pathology was assessed using the specific PET tracers <sup>18</sup>F-florbetapir (detecting amyloid plaque at baseline and weeks 24, 52, and 76), and <sup>18</sup>F-flortaucipir (detecting tau neurofibrillary tangles at baseline and week 76). Additional PET details can be found in the eMethods in Supplement 2.

#### **Plasma Assays**

Plasma samples were collected at baseline and weeks 12, 24, 36, 52, 64, and 76. Due to the quantity of plasma collected, not all analyses were able to be completed for every participant at every time point. Plasma  $pTau_{217}$  was measured using a custom Simoa-HD-X assay (Quanterix) made specifically for Eli Lilly and Company. The Simoa Neurology 4-Plex E Advantage Kit (Quanterix) was used for plasma levels of GFAP, NfL, A $\beta$ 40, and A $\beta$ 42. Additional plasma assay details can be found in the eMethods in Supplement 2.

#### **Statistical Analyses**

Plasma pTau<sub>217</sub>, GFAP, NfL, and A $\beta$  42/40 values were log<sub>10</sub> transformed to normalize the data. Mixed models with repeated measurements were conducted to compare the change from baseline values by treatment arms at each scheduled visit. The mixed models with repeated measurements included fixed categorical effects of treatment, visit,



Figure 1. Baseline Plasma Phosphorylated Tau<sub>217</sub> (pTau<sub>217</sub>) Levels Correlated With Baseline Amyloid and Tau Positron Emission Tomography (PET) End Points



and treatment-by-visit interaction and were adjusted for continuous effect of age and corresponding baseline values. Overall, 74% of participants were apolipoprotein (APOE) ɛ4 carriers and adding APOE ɛ4 status to the models produced no evidence of interaction, so APOE ɛ4 status was not included in the model. Minimal clinically important difference (MCID) on iADRS change has been reported as 5-point or 9-point deterioration for individual participants with mild cognitive impairment and AD with mild dementia, respectively.<sup>19</sup> A marginal logistic regression model was conducted to assess the association between pTau<sub>217</sub> change with the odds of having MCID across the follow-up visits. The model was adjusted for baseline iADRS scale, pTau<sub>217</sub>, and age. The intraparticipant correlation was adjusted using general estimating equation method. Scatterplots were generated to describe the relationships and associations between biomarkers, and Spearman rank correlation coefficients were provided to quantify these relationships and associations. All analyses were exploratory or post hoc and not corrected for multiplicity. The data analysis for this paper was generated using SAS software, version 9.4 (SAS Institute). Statistical significance was set at a 2-sided P value of .05.

## Results

## Participants

Of 1955 participants assessed for eligibility, 272 men and women aged 60 to 85 years were randomized (eFigure 1 in Supplement 2). The mean (SD) age was 75.2 (5.5) years, and 145 (53.3%) were female. Baseline characteristics of participants included in these analyses are listed in eTable 1 in Supplement 2. Additional study details were previously reported.<sup>17</sup>

## Baseline Plasma Biomarker Level Correlation With Baseline Amyloid and Tau PET End Points

Baseline plasma pTau<sub>217</sub> levels were positively associated with both baseline amyloid plaque level as measured by amyloid PET imaging (Spearman rank correlation coefficient [*R*] = 0.148 [95% CI, 0.019-0.271]; *P* = .02) (**Figure 1**A), and global tau deposition as measured by tau PET imaging (*R* = 0.378 [95% CI, 0.262-0.483]; *P* < .001) (Figure 1B). However, baseline plasma levels of GFAP, NfL, and A $\beta$  42/40 were not significantly correlated with baseline amyloid or tau PET end points (eFigure 2 in Supplement 2).

## Change in Plasma Biomarker Levels Following Donanemab Treatment

A significant decrease in plasma pTau<sub>217</sub> levels compared with placebo was observed after 12 weeks of donanemab treatment and continued throughout the 76 weeks of the study (Figure 2A and eTable 2 in Supplement 2). Mean plasma pTau<sub>217</sub> levels decreased by 23% from baseline after donanemab treatment. In contrast, mean placebo plasma pTau<sub>217</sub> levels continued to rise by 6% from baseline to the end of the study. A significant decrease in plasma GFAP levels was observed after 12 weeks of donanemab treatment compared with placebo and continued throughout the 76 weeks of the study (Figure 2B and eTable 2 in Supplement 2). Mean plasma GFAP levels decreased by 12% from baseline after donanemab treatment whereas with placebo, mean levels continued to rise by 15% from baseline to the end of the study. There was no significant difference in plasma NfL levels between treatment arms at the end of the study (Figure 2C and eTable 2 in Supplement 2). At 76 weeks, mean plasma NfL levels were increased 15% from baseline after donanemab treatment compared with 19% for placebo. To determine whether amyloid levels increase in the plasma as donanemab reduces amyloid plaque



Figure 2. Change in Plasma Levels Following Donanemab Treatment



Least square (LS) mean change from baseline in plasma phosphorylated tau<sub>217</sub> (pTAU<sub>217</sub>) (A), glial fibrillary acidic protein (GFAP) (B), neurofilament light chain (NfL) (C), and amyloid-B (AB) 42/40 (D) for placebo and donanemab. Plasma values were log<sub>10</sub> transformed. Error bars indicate standard error. The dotted line indicates baseline

<sup>b</sup>P<.001 vs placebo.

<sup>c</sup> P < .05 vs placebo.

levels in the brain, we measured plasma AB 42/40 levels. However, there was no significant difference in plasma AB 42/40 levels between treatment arms at the end of the study (Figure 2D and eTable 2 in Supplement 2). At 76 weeks, mean plasma AB 42/40 levels were increased 4% from baseline with donanemab treatment vs 2% for placebo. Of note, there was a significant increase in the A $\beta$  42/40 ratio with donane mb treatment compared with placebo at week 36 only.

We further explored the significant reductions in plasma levels of pTau<sub>217</sub> and GFAP by separating data from participants who were switched from donanemab to placebo at 24 weeks due to amyloid levels below 11 CL and those who continued receiving donanemab treatment. At the end of the study, plasma levels of  $\mathrm{pTau}_{217}$  (eFigure 3A and eTable 3 in Supplement 2) and GFAP (eFigure 3B and eTable 3 in Supplement 2) were significantly lower in participants treated with donanemab compared with placebo, regardless of stopping or continuing treatment. The results from participants who stopped donanemab treatment at 24 weeks reveal that the effect of donanemab on plasma levels of pTau217 and GFAP

persist up to 1 year beyond the end of treatment (eFigure 3A-B in Supplement 2). Further, the decrease in plasma levels of pTau<sub>217</sub> and GFAP in participants who continued treatment was not significantly greater than those who stopped treatment at 24 weeks (eFigure 3A-B and eTable 3 in Supplement 2). The reductions in plasma levels of pTau<sub>217</sub> and GFAP observed with donanemab treatment are independent of amyloid-related imaging abnormalities-E; significant reductions compared with placebo were still observed when those with amyloid-related imaging abnormalities-E were removed from analysis (eFigure 3C-D and eTable 3 in Supplement 2).

# **Correlations of Change in Plasma Biomarker Levels** With Change in Amyloid PET End Point

The change in plasma pTau<sub>217</sub> was positively correlated with the percent change in amyloid plaque (CL units) observed at 76 weeks (R = 0.484 [95% CI, 0.359-0.592]; P < .001) (Figure 3A). Additionally, the change in plasma GFAP was positively correlated with the percent change in amyloid plaque Figure 3. Correlations of Change in Plasma Phosphorylated tau<sub>217</sub> (pTau<sub>217</sub>) and Glial Fibrillary Acidic Protein (GFAP) With Change in Amyloid Positron Emission Tomography (PET) End Points



Percent change in anyloid PET levels from baseline to 76 weeks compared with change in plasma levels of  $pTau_{217}$  (placebo n = 85; donanemab n = 84) (A) and GFAP (placebo n = 66; donanemab n = 66) (B) from baseline to 76 weeks. Plasma levels were  $log_{10}$  transformed. Linear regression of all data points, regardless of treatment, is shown in light blue. Spearman rank was used for correlation coefficient.

observed at 76 weeks (*R* = 0.453 [95% CI, 0.306-0.579]; *P* < .001) (Figure 3B).

## Correlations of Change in Plasma pTau<sub>217</sub> and GFAP Levels With Change in Tau PET Imaging

Regionally, we found significant positive correlations between change in plasma pTau<sub>217</sub> and change in frontal tau standardized uptake value ratio (SUVR) (R = 0.243 [95% CI, 0.092-0.383]; P = .002) (**Figure 4**A) and change in temporal tau SUVR (R = 0.177 [95% CI, 0.023-0.323]; P = .02) (Figure 4B). There were no significant correlations between change in plasma pTau<sub>217</sub> and change in parietal tau SUVR (Figure 4C) or occipital tau SUVR (Figure 4D). Globally, there was a significant positive correlation between change in plasma pTau<sub>217</sub> and change in tau SUVR (R = 0.170 [95% CI, 0.016-0.317]; P = .03) (Figure 4E). Correlations between change in plasma GFAP and change in tau PET imaging were not statistically significant (eFigure 4 in Supplement 2).

## Correlations of Plasma pTau<sub>217</sub> Levels With Plasma GFAP Levels

At baseline, plasma levels of  $pTau_{217}$  and GFAP were significantly correlated (R = 0.399 [95% CI, 0.278-0.508]; P < .001) (**Figure 5**A). The positive correlation at baseline persisted through week 76, the end of the study (R = 0.393 [95% CI, 0.254-0.517]; P < .001) (Figure 5B). Additionally, the changes in plasma levels of  $pTau_{217}$  and GFAP from baseline to 76 weeks were also significantly correlated (R = 0.475 [95% CI, 0.334-0.596]; P < .001) (Figure 5C).

# Correlations of Plasma Biomarkers With Volumetric Magnetic Resonance Imaging

There were no significant correlations between baseline pTau<sub>217</sub>, GFAP, NfL, or A $\beta$  42/40 with baseline whole brain, ventricular, or hippocampal volumes (eTable 4 in Supplement 2).

When looking at change in plasma biomarker vs change in volumetric magnetic resonance imaging (MRI), only NfL showed a significant correlation with change in whole brain volume (R = -0.1710 [95% CI, -0.3205 to -0.0132]; P = .03) (eTable 4 in Supplement 2).

#### **Relationship of Plasma Biomarkers With iADRS**

There were no significant correlations between plasma pTau<sub>217</sub>, GFAP, NfL, or A $\beta$  42/40 and baseline iADRS (eTable 5 in Supplement 2). Only change in plasma NfL showed a significant correlation with change in iADRS (*R* = -0.182 [95% CI, -0.322 to -0.035]; *P* = .02) (eTable 5 in Supplement 2). However, the marginal logistical analysis, classifying individual participants as exhibiting a meaningful worsening (MCID) on iADRS, or not, showed that pTau<sub>217</sub> change at 24 weeks was positively associated with the odds of exhibiting an iADRS MCID (coefficient estimate, 0.704 [95% CI, 0.022-1.386]; *P* = .04) (eTable 6 in Supplement 2). That is, the decline in pTau<sub>217</sub> values is associated with lower odds of within-patient change in iADRS consistent with meaningful worsening. The analyses on GFAP did not show any association (eTable 6 in Supplement 2).

## Discussion

Exploratory, post hoc analysis of plasma biomarkers from the TRAILBLAZER-ALZ study demonstrated that donanemab treatment reduces plasma levels of  $pTau_{217}$  and GFAP compared with placebo. Further, the changes in plasma  $pTau_{217}$  and GFAP significantly correlate with percent change in amyloid plaque level as measured by amyloid PET imaging. These results are consistent with, and extend, findings of changes in CSF and plasma biomarkers with other anti-A $\beta$  antibodies.<sup>20-22</sup> They add weight to the hypothesis that reduction of amyloid by anti-A $\beta$  antibodies could have downstream effects consistent with dis-



Figure 4. Correlations of Change in Plasma Phosphorylated Tau<sub>217</sub> (pTau<sub>217</sub>) With Change in Tau Positron Emission Tomography (PET) End Points

Change in tau PET levels compared with change in plasma pTau<sub>217</sub> levels from baseline to 76 weeks in frontal (A), temporal (B), parietal (C), and occipital (D) lobes as well as globally (E). Plasma levels were log<sub>10</sub> transformed. Linear regression of all data points, regardless of treatment, is shown in light blue. Spearman rank was used for correlation coefficient. There were 78 individuals in the placebo group and 83 in the donanemab group. SUVR indicates standardized uptake value ratio.

ease modification and suggest that fluid biomarkers could have a role in monitoring response to treatments (at least as a group measure of target engagement/amyloid clearance) in future clinical trials. Using blood-based biomarkers as a minimally invasive way to assess disease is an emerging topic in AD research.<sup>3</sup> In a 2020 report, plasma  $pTau_{217}$  was able to distinguish between AD and other neurodegenerative diseases with similar accuracy



Figure 5. Correlations of Plasma Phosphorylated tau<sub>217</sub> (pTau<sub>217</sub>) Levels With Plasma Glial Fibrillary Acidic Protein (GFAP) Levels

Plasma GFAP levels compared with plasma pTau<sub>217</sub> levels at baseline (placebo n = 103, donanemab n = 104) (A), 76 weeks (placebo n = 78, donanemab n = 83) (B), and change from baseline to 76 weeks (placebo n = 67, donanemab n = 69) (C). Plasma levels were log10 transformed. Linear regression of all data points, regardless of treatment, is shown in light blue. Spearman rank was used for correlation coefficient.

to CSF and PET imaging measures.<sup>7</sup> Our results showing baseline plasma pTau<sub>217</sub> levels are correlated with both amyloid and tau PET end points are in line with previously published results. These associations demonstrating statistical significance in our data are especially striking considering the study enrollment criteria restricted participants to those who had elevated amyloid and intermediate tau level determined by PET, thereby limiting the dynamic range of the data. Our analyses further revealed plasma pTau<sub>217</sub> levels were significantly reduced with donanemab treatment compared with placebo, and the reduction persisted to the end of the study even in participants who switched to placebo at 24 weeks after achieving the target level of amyloid reduction.

GFAP is an important regulator of astrocytic function that is associated with activated astrocytes in AD and other inflammatory conditions.<sup>23</sup> Previous studies using a similar Simoabased assay found plasma GFAP levels are positively associated with amyloid PET.<sup>9,24</sup> Although we saw plasma GFAP levels decrease with donanemab treatment, we did not see a significant correlation between baseline plasma GFAP levels and baseline amyloid or tau PET end points. However, as with plasma pTau<sub>217</sub>, changes in plasma GFAP significantly correlated with change in amyloid PET level at 76 weeks. Unlike plasma pTau<sub>217</sub>, changes in plasma GFAP were not significantly correlated with changes in tau PET signal, but there were correlations between plasma GFAP and plasma pTau<sub>217</sub> both at baseline and end point/change from baseline. These results are consistent with studies suggesting GFAP reflects activation of astrocytes in response to amyloid in AD.<sup>25</sup>

In a head-to-head comparison of different plasma A $\beta$  42/40 assays, Simoa immunoassays, as used in this study, were less accurate than mass spectrometry-based assays.<sup>26</sup> However, even when using a mass spectrometry-based assay, there was not a good correlation between plasma A $\beta$  42/40 and amyloid PET results within the subset of amyloid-positive participants.<sup>27</sup> Therefore, it is not surprising that we did not see significant baseline correlations since our study includes only amyloid PET-positive participants. Further, lack of assay precision could hinder our ability to detect small changes in plasma A $\beta$  42/40 levels following treatment. While we do see greater normalization of plasma A $\beta$  42/40 levels with donanemab compared with placebo, significant differences only occurred at 1 time point. Using a more precise assay in future studies may reveal significant drug effects on plasma A $\beta$  42/40 levels.

Plasma NfL levels continued to increase in both groups with no significant differences in plasma NfL levels between placebo and donanemab treatment arms. One possible explanation for the lack of significant effect of donanemab/amyloid reduction on plasma NfL is that factors unrelated to amyloid/AD may contribute significantly to plasma NfL values. Plasma NfL is a nonspecific marker of neurodegeneration and elevated levels can be attributed to many neurological conditions.<sup>28</sup> Plasma NfL levels also increase with age in cognitively normal individuals as well as those with AD.  $^{\rm 29}\,{\rm Fur-}$ ther, plasma NfL levels increase with age, independent of  $A\beta$ status, and among individuals with cognitive impairment, NfL was not significantly associated with amyloid PET status but did increase in association with myocardial infarction and hypertension.<sup>30</sup> Similarly, longitudinal change was increased in patients with elevated tau or neurodegeneration at baseline, regardless of baseline amyloid status.<sup>31</sup> Thus, changes in NfL may reflect the intensity of neuronal injury that may occur independent of Aβ pathology<sup>31</sup> or as a downstream effect of AB pathology. A second consideration relevant to the present finding is that we do not presently know the time lag between onset of A<sup>β</sup> pathology and downstream neurodegeneration, but it may be significant. Thus, NfL changes over a 76-week trial, such as the present, may reflect the impact of the amyloid pathology that was present at baseline rather than the impact of removing amyloid over the course of the trial.

In contrast to the associations among changes in plasma pTau<sub>217</sub> and GFAP with PET, the only significant relationship between plasma biomarkers and MRI measures was a modest correlation between increasing NfL and decreasing whole brain volume. The absence of other correlations may in fact reflect weaknesses in global MRI measures; regional measures, eg, of cortical thickness, might be more sensitive. MRI volume results may also be confounded by decreased amyloid plaque volume.<sup>17</sup> The association of MRI volume change with NfL and lack of relationship with the presumed amyloid-driven plasma markers (pTau<sub>217</sub>, GFAP) again suggests that increases in NfL and atrophy may be sufficiently downstream that the 76week NfL/MRI result is reflective of amyloid status at the start of the trial, rather than amyloid removal during the trial. Future studies will need to investigate later time points after the initiation of treatment.

PET and plasma biomarker data each provide unique information on insoluble pathology and soluble proteoforms.<sup>32</sup> Understanding how the information from each relates to each other is of great importance to the field in how they should be used in the future. The results of the present study align with recent studies supporting the hypothesis that A $\beta$  accumulation facilitates an increase in plasma pTau<sub>217</sub>, which reflects an ongoing process of tau misfolding, mislocalization, and hyperphosphorylation that are precursors to tau aggregation and deposition in neurofibrillary tangles.<sup>33</sup> Increased pTau<sub>217</sub> levels have been detected early in disease in cognitively unimpaired,  $A\beta$ -positive individuals, even before tau is detectable on PET imaging.<sup>5,34</sup> The present results suggest  $A\beta$  may be important in facilitating the increase in plasma pTau<sub>217</sub> prior to tau aggregation. Thus, we see a relatively modest correlation between plasma pTau<sub>217</sub> and amyloid PET at baseline, since all participants were amyloid PET positive as defined by inclusion criteria. Plasma pTau<sub>217</sub> levels drop rapidly, by 12 weeks, following donanemab treatment. As  $A\beta$  is removed and plasma pTau<sub>217</sub> generation is impeded, tau deposition is expected to slow, but deposition from tau fibrils already present may continue for some time after  $A\beta$  reduction. Hence, it could be expected that the correlation between change in tau PET and change in plasma pTau<sub>217</sub> is weaker than correlation between change in amyloid PET and change in plasma pTau<sub>217</sub>.

We see plasma GFAP levels drop with similar timing as plasma pTau<sub>217</sub> levels and plasma levels of both are significantly correlated with each other at the beginning and end of the study. Therefore, our GFAP results seem to support a similar hypothesis in which plasma GFAP is elevated in response to Aβ accumulation. In AD, amyloid plaques are infiltrated by reactive astrocytes, which have increased expression of GFAP.35 Accordingly, removal of amyloid plaques should reduce the activation state of the astrocytes, leading to decreased plasma GFAP levels with donanemab treatment. We observed a weaker correlation between change in plasma GFAP and change in tau PET compared with the correlation between plasma GFAP and change in amyloid PET, consistent with the hypothesis that elevations of GFAP in AD are more directly related to the presence of pathological amyloid than to presence of aggregated deposited tau, which may be further downstream of AB and on a separate parallel pathway from GFAP.

The primary outcome of the TRAILBLAZER-ALZ clinical trial was change from baseline in the iADRS score. As previously reported, donanemab significantly slowed disease progression as measured by the iADRS score.<sup>17</sup> We do not see associations between change in iADRS and change in plasma pTau<sub>217</sub> or GFAP, except a borderline significant association between plasma pTau<sub>217</sub> change at 24 weeks with the marginal odds of exhibiting a meaningful worsening (MCID) on iADRS. The lack of significant associations may be due in part to the small number of participants and the variability of the assays. Systematic factors may contribute to the observed absence of correlation. Specifically, the participants with the highest baseline assay values have the largest room to show reductions, eg, in plasma pTau<sub>217</sub> and GFAP, but these same participants also should be expected to have generally higher levels of ongoing pathology (ie, abnormal tau phosphorylation and inflammation) and thus would be expected to have a greater degree of cognitive decline, confounding potential correlation between reduction in plasma pTau<sub>217</sub> or GFAP levels and slower cognitive decline. Additionally, in a relatively short trial, rate of pathology reduction/time to clearance may be more important than the amount of change seen at end point. Finally, despite our best efforts, change in cognitive functional scales may not be linearly related to clinical impact; a given amount of change may have greater clinical importance to a patient earlier in disease than a patient later in disease.<sup>19</sup> Modeling the relationship between plasma pTau<sub>217</sub> and MCID iADRS score showed a modest but statistically significant association between of plasma pTau<sub>217</sub> change at 26 weeks and the odds of an individual achieving a minimally clinically important decline. Given that this analysis was performed post hoc, and the result is not corrected for multiplicity, it should be considered only as hypothesis generating. However, it serves as a reminder of the potential complexity in interpreting correlations between biomarker and clinical change. Longer follow-up and larger studies are needed to further explore whether changes in the plasma biomarkers are associated with slowing of cognitive decline.

#### Limitations

The exploratory, post hoc analyses reported here have several additional limitations. Importantly, the small trial size limits the power of the analyses and further makes it difficult to interpret effects in subgroups, eg, as a function of age, APOE ɛ4 status, or early stopping vs continuation of donanemab therapy. The conclusions drawn from this data need to be confirmed in a larger trial. Additional analyses such as the impact

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Author Contributions: Dr Pontecorvo had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Concept and design: Pontecorvo, Dage, Sims, Mintun.

Acquisition, analysis, or interpretation of data: Pontecorvo, Lu, Burnham, Schade, Dage, Shcherbinin, Collins, Mintun. Drafting of the manuscript: Pontecorvo, Lu, Sims.

Critical revision of the manuscript for important intellectual content: Pontecorvo, Lu, Burnham, Schade, Dage, Shcherbinin, Collins, Mintun. Statistical analysis: Lu, Burnham. Obtained funding: Mintun. Administrative, technical, or material support: Schade, Shcherbinin, Collins, Mintun. Supervision: Collins, Sims, Mintun.

Conflict of Interest Disclosures: Drs Pontecorvo, Lu, Burnham, Schade, Shcherbinin, Collins, Sims, Mintun, and Dage are minor stockholders of Eli Lilly and Company. Dr Burnham reported a patent for 20140086836, a method for detection of a neurological disease, issued. Dr Dage reported personal fees, nonfinancial support, and research support from Eli Lilly and Company; personal fees from AlzPath Inc, Genotix Biotechnologies Inc, Gates Ventures, Karuna Therapeutics, and Cognito; nonfinancial support from ADx Neurosciences; grants from Roche Diagnostics outside the submitted work; and is an inventor on patents associated with the pTau217 assay used in this work. Drs Shcherbinin, Sims, and Mintun have a patent for Eli Lilly and Company relevant to this research. No other disclosures were reported.

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Role of the Funder/Sponsor: Eli Lilly and Company designed the study: were involved in design and conduct of the study: collection, management, analysis, and interpretation of the data; preparation, review, and approval of the manuscript; and decision to submit the manuscript for publication.

Meeting Presentation: Some data from this study were presented at the Alzheimer's Association International Conference; July 29, 2021; Denver, Colorado, and virtual; Clinical Trials on Alzheimer's Disease; November 10, 2021, Boston, Massachusetts and virtual; American Academy of Neurology; April 5, 2022; virtual.

#### Data Sharing Statement: See Supplement 3.

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of race and ethnicity on plasma biomarkers also needs to be addressed in larger trials.

There are caveats to our correlation analyses as well. The biomarker analyses are exploratory and thus not corrected for multiple testing. Correlation coefficients are small as the dynamic range of the data are limited due to inclusion criteria limiting participants to those who had elevated amyloid and intermediate tau levels. Data from the phase 3 study, TRAILBLAZER-ALZ2, of donanemab in early symptomatic AD will be important to confirm the results for all the plasma biomarkers analyzed here with a greater sample size.

## Conclusions

In summary, the present study showed plasma biomarker improvement following treatment in exploratory analyses and suggests that amyloid reduction driven by anti-A $\beta$  antibodies influences downstream effects potentially associated with disease modification.

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