SPSS 25.0, and p < 0.05 was considered significant.

and Chien-Tai Hong

and Parkinson's disease (PD).

age, sex, and disease duration.

motor symptom, Parkinson's disease

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Parkinson's disease (PD) is the second most

common neurodegenerative disease.¹ The diag-

nosis of PD is mainly based on the onset of

motor symptoms, and its progression is deter-

mined by clinical manifestations such as cogni-

tive impairment, gait imbalance, and motor

fluctuation.² The lack of precise biomarkers

limits the early diagnosis and prognosis of PD,

thus beleaguering the anticipated success of

numerous clinical trials.³ For neurodegenerative

Abstract

Neurofilament light chain level in plasma

Chen-Chih Chung*, Lung Chan*, Jia-Hung Chen, Oluwaseun Adebayo Bamodu

extracellular vesicles and Parkinson's disease

Background: Neurofilament light chain (NfL) is essential for axonal maintenance and reflects

neuronal damage. Extracellular vesicles (EVs), especially exosomes, secreted by cells into the

blood, are emerging as novel biomedical research platforms of physiological and pathological

neurological controls were recruited, and their clinical motor symptoms and cognitive function

Results: The isolated plasma EVs were validated according to size and the presence of specific

surface markers. Compared with the neurological control group, the levels of plasma EV NfL

in patients with PD were not significantly different (PD: 9.42 ± 3.89 , control: 9.53 ± 3.62 pg/mL

plasma, p = 0.71). On the other hand, plasma EV NfL in patients with PD trendwise correlated

with the severity of akinetic rigidity (p = 0.05). PD patients with optimal EV NfL (lowest quartile)

had 6.66 ± 2.08 lower Unified Parkinson's Disease Rating Scale-III score after adjustment for

Conclusion: Plasma EV NfL levels did not distinguish patients with PD from the neurological

symptoms within the PD patients, especially with akinetic rigidity, was noted. Further clinical

validation of the blood EV NfL by a longitudinal follow-up study of PD patients is warranted.

Keywords: akinetic rigidity, exosome, extracellular vesicle, neurofilament light chain, NfL,

control group. The possible correlation between plasma EV NfL with the severity of motor

processes. The present study investigated the possible association between plasma EV NfL

Methods: One hundred and sixteen patients with mild to moderate PD and 46 non-PD.

were evaluated. Plasma EVs were isolated using an exoEasy kit, and immunomagnetic

reduction assay was used to assess EV NfL level. Statistical analysis was performed using

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Introduction

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diseases, cerebrospinal fluid (CSF) is considered

the best bio-sample for identifying and validat-

ing novel biomarkers because it directly reflects

the condition of neurons in the central nervous

system. However, lumbar puncture, which is the

only method of obtaining CSF, is a moderately

invasive procedure and is unpopular in many

countries. Given the ease of access, peripheral

blood is an ideal biomarker source; however, the

blood-brain barrier (BBB) restricts the release

of the cellular contents of neurons into the

1

bloodstream, thus underscoring the incongruity of blood-borne and neuron-specific biomarkers.⁴ This is more so as detection of circulating PD-specific proteins or nucleotides is difficult owing to their low levels in the blood.

Extracellular vesicles (EVs) are cell-released, non-replicating, lipid bi-layered small particles containing numerous bio-materials, including proteins and nucleotides.⁵ Recently, a type of EV called exosome (30-100 nm in diameter), found in most human bio-fluids, has been the focus of increased interest and research, with accruing evidence of exosomes' potential role in intercellular communication and/or the clearance of cellular waste.5 Encapsulated with the lipid bi-layer membrane, exosomes can cross the BBB, establishing a communications link between the neurons and blood.^{6,7} Furthermore, their high structural integrity, functional stability, and low thermolability prevent degradation.8 There is increasing documentation of the association of varied concentrations of plasma and serum exosomal cargoes, including proteins and micro-RNA, with several neurological diseases, thus highlighting the promise of exosomes as neuropathological biomarkers.⁸⁻¹³

Neurofilament light chain (NfL) is a neuronal cytoplasmic protein responsible for maintaining the cytoskeletons of axons. An increase of NfL levels in the CSF and blood reflects the degree of axonal damage in neurological diseases of different etiologies, including inflammatory, traumatic, degenerative, and cerebrovascular.¹⁴ For patients with PD, blood and CSF NfL are not always significantly elevated compared with the general population; this may likely be because the loss of myelinated axons is not pertinent to the early stages of the disease.¹⁵ Against the background of its limited diagnostic role, CSF NfL can predict progressive supranuclear palsy progression, a Parkinson-plus syndrome conferring a worse prognosis than typical PD.¹⁶ Interestingly, exosomes are rich in NfL. Circulating neuron-derived exosomes from individuals with HIV infection and cognitive impairments exhibited significantly higher levels of NfL.¹⁷ However, there is a paucity of studies investigating blood EVs in patients with PD. In the present study, we investigated and document the association between EV NfL in the peripheral blood and PD.

Materials and methods

Study participants

This study was approved by the Joint Institutional Review Board of Taipei Medical University numbers N201609017 (Approval and N201801043). In total, 162 participants (116 patients with PD and 46 non-PD controls) were enrolled in this study. Diagnosis of PD was based on the UK Parkinson's Disease Society Brain Bank Diagnostic Criteria.¹⁸ Only patients with mild to moderate PD, defined as stage I to III PD according to the Hoehn and Yahr scale, were included. The non-PD, neurological controls were recruited from the neurology outpatient clinic during the same period as PD patients. During recruitment, age/sex match was neither intended nor anticipated. The inclusion criteria for controls were: (i) patients above 45 years old, (ii) undergoing regular neurological outpatient clinic follow-up, and (iii) willing and able to sign the informed consent. The exclusion criteria were (i) subjects without dementia [defined as minimental state test (MMSE) <26], (ii) without other neurodegenerative diseases, and (iii) without psychiatric or major systemic diseases such as malignancies and chronic kidney disease. Their major neurological diagnosis included history of transient ischemic attack or minor ischemic stroke without neurological sequelae (n=20), chronic dizziness and vertigo (n=13), chronic low back pain and sciatica (n=8), chronic headache, (n=3), and diabetic polyneuropathy, (n=2). Their conventional vascular risk factors included hypertension (n=25), hyperlipidemia (n=23), and diabetes (n=9).

Clinical assessments

All participants were interviewed to obtain their baseline demographic data. The cognitive function of all study participants was assessed by trained nurses using the Taiwanese versions of the MMSE and Montreal Cognitive Assessment (MoCA). All PD patients were evaluated using Parts I, II, and III of the Unified Parkinson's Disease Rating Scale (UPDRS) during outpatient visits. The time between the most recent dose of anti-PD medication and the assessment of UPDRS Part III was not recorded; patients with PD were assumed to be in their "on" time. Within the UPDRS-III, akinetic rigidity and tremor scores were calculated based on the previous literature.¹⁹

Isolation, concentration, and validation of EVs

For the isolation of EVs, venous blood samples were collected from all study participants. Whole blood was centrifuged at $13,000 \times g$ for 20 min to isolate plasma. Next, EV isolation and purification from 1 mL of plasma from each participant was performed using the exoEasy Maxi Kit (#76064; QIAGEN Inc., Germantown, MD, USA) strictly following the manufacturer's instructions. The last step of EV isolation was elution of the EV from the column. Typically, 400μ L of eluent was obtained.

The isolated EVs were confirmed to be exosomes based on the detection of tetraspanins, cluster of differentiation (CD)-9, CD-63, CD-81, multivesicular body synthesis proteins, tumor susceptibility gene (TSG)-101, heat shock protein (HSP)-70, and the absence of mitochondrial protein and cytochrome c. The size distribution of isolated EVs was determined by nanoparticle tracking. For the Western blot analyses, antibodies against CD9 (ab92726; Abcam plc, Cambridge, UK), CD63 (ab59479; Abcam plc, Cambridge, UK), anti-CD81 antibody (ab109201; Abcam), TSG-101 (GTX118736; GeneTex Inc., Irwine, CA, USA), cytochrome c (ab110325; Abcam plc, Cambridge, and HSP-70 (NBP1-77456, UK), Novus Biologicals LLC, CO, USA) were used at a 1:1000 dilution. Nanoparticle tracking and characterization were performed using the NanoSight NS300 Instrument (Malvern Panalytical Ltd, Malvern, UK), following the manufacturer's instructions.

NfL level assessment by immunomagnetic reduction assay

The reagents used to assay the biomarkers were antibody-biofunctionalized magnetic nanoparticles dispersed in phosphate-buffered saline solution. The core of magnetic nanoparticles was iron (II, III) oxide (Fe₃O₄). NfL immunomagnetic reduction (IMR) reagent (#MF-NFL-0060; MagQu Co., Ltd, New Taipei City, Taiwan) was conjugated with the specific antibody against NfL protein (sc-20012; Santa Cruz Biotechnology Inc., Santa Cruz, CA, USA). The averaged values of the hydrodynamic diameters of the antibody-functionalized magnetic nanoparticles were approximately 55 nm. The concentration of each reagent was about 10 mg Fe/mL.

For NfL IMR assay, $60\,\mu$ L of reagent was mixed with $60\,\mu$ L of 10-fold diluted EV solution sample.

After that, each mixture was placed into a superconducting quantum interference device (SQUID)-based Immuno-Magnetic Analyzer (Model: XacPro-S361, MagQu Co., Ltd, New Taipei City, Taiwan) to determine the timedependent alternating current (AC) magnetic susceptibility. The association between the magnetic nanoparticles and the targeted protein molecule resulted in reduced magnetic susceptibility, which was detected by the high-superconducting transition temperature(Tc) SQUID magnetometer as the IMR signal. The IMR signal thus reflects the concentration targeted of the protein. Measurements were conducted in duplicates for each biomarker per sample. The reported concentration of each biomarker was the mean value of duplicated measurements. Consistent with the instruction from MagQu Co., Ltd, the IMR assay detection limit was 3.3 fg/mL.

Statistical analysis

All statistical analyses were performed using IBM SPSS (IBM Corp.; released 2017; IBM SPSS Statistics for Windows, Version 25.0; IBM Corp., Armonk, NY, USA). Chi-square (χ^2) test was used to compare the gender distribution between patients with PD and the neurological control group. Pearson's γ^2 test was used to compare PD patients' sex distribution within different groups of plasma EV NfL. A non-parametric Mann-Whitney U test was used to compare the plasma EV NfL levels and other continuous variables between PD and non-PD, neurological control patients. Spearman's rank correlation was used to investigate the associations between the clinical scale scores and plasma EV NfL level in PD patients. One-way analysis of variance and analysis of covariance with Dunnett's post-hoc analysis were used to compare the severity of motor symptoms between PD patients with low to high plasma EV NfL (first, second, third, and fourth quartiles). Multivariable logistic regression with partial regression analysis was used to investigate the association between optimal plasma EV NfL (lowest quartile) with the severity of PD symptoms adjusted for age, sex, and disease duration. A p-value < 0.05was considered statistically significant.

Results

Characterization of plasma EVs

For characterization of the isolated plasma EVs and confirmation of their exosomal nature, their



Figure 1. Characterization of isolated plasma extracellular vesicles (EVs). (A) Representative western blot images of the differential expression of EV markers CD63, CD9, CD81, and TSG101 in the isolated EVs from controls and patients with Parkinson's disease (PD). Serum and SH-SY5Y cell lysate were set as the controls. Cytochrome c and HSP-70 served as negative and loading control of EV, respectively; and (B) nanoparticle tracking analysis of size distribution of the isolated EVs from controls and patients with PD. EV, extracellular vesicle; NfL, neurofilament light chain.

expression of widely recognized exosome markers CD63, CD9, CD81, TSG101, HSP-70, and cytochrome c was probed. At the same time, we found the non-expression of mitochondrial protein cytochrome c in both PD and neurological control samples confirmatory (Figure 1A). These results indicated the exosomal nature of the isolated EVs and revealed the relative abundance of the exosomes in patients with PD and their control counterparts. Furthermore, the exosomal nature of the isolated plasma EVs was validated using IMR-aided nanoparticle tracking. In both the non-PD neurological controls and patients with PD, the sizes of the harvested EVs were approximately 100 nm (Figure 1B), thus corroborating earlier results indicating that the harvested plasma EVs were predominantly exosomes.

Clinico-demographic data of study participants

The baseline clinico-demographic data is presented in Table 1. The recorded inter-group difference in age $(67.04 \pm 7.03 \text{ versus } 69.66 \pm 8.41)$ or number of female participants (18 versus 62) between the non-PD neurological control group and patients with PD was statistically non-significant. Consistent with the exclusion of persons with dementia (MMSE<26) from the neurological control group, patients with PD had lower MMSE and MoCA scores, thus exhibiting significantly worse cognitive function than their non-PD neurological control counterparts (p < 0.001). The mean duration of PD was 2.71 ± 2.48 years in the PD patients. Compared with the non-PD neurological control group, the plasma EV NfL in patients with PD was not significantly different (PD, 9.42 ± 3.89 pg/mL *versus* control, 9.53 ± 3.62 pg/mL plasma, p=0.71). The detailed distribution of blood EV NfL in the non-PD neurological control and PD patients is presented in Supplemental material Figure S1 online.

Plasma EV NfL level is positively associated with the akinetic rigidity score

Probing for likely clinical relevance of plasma EV NfL, we further investigated the associations between plasma EV NfL level and several clinicodemographic parameters in patients with PD, namely, age, disease duration, severity of motor symptoms (UPDRS-III scores, akinetic rigidity score, and tremor score), and cognitive function. Plasma EV NfL exhibited mild positive correlation with disease duration (r=0.20, p=0.04) but no correlation with age (r=0.05, p=0.62) or cognitive function (MMSE: r=0.06, p=0.56; MoCA: r=0.04, p=0.70). Regarding the severity of motor symptoms, plasma EV NfL was trendwise associated with the akinetic rigidity score (r=0.18, p=0.05) but not the tremor score (Table 2 and Supplemental Figure S2). When adjusted for age, the association between plasma EV NfL with disease duration was non-significant (p=0.09, Supplemental Table S1), and the trendwise association with akinetic rigidity score remained (p = 0.05, Supplemental Table S2).

Table 1. Clinico-demographic data and plasma extracellular vesicle (EV) neurofilament light chain (NfL) level in patients with Parkinson's disease (PD) and neurological-control group. Chi-square test was used to compare the gender distribution between non-PD neurological control with PD patients, and non-parametric Mann-Whitney U test was used to compare the continuous variables between PD and non-PD neurological control patients.

	Non-PD neurological control	PD	p value				
Number of patients	46	116	-				
Age (years)	67.04 ± 7.03	69.66±8.41	0.11				
Female	18 (39.1%)	62 (53.4%)	0.12				
Disease duration (years)	-	2.71 ± 2.48	-				
MMSE	28.41 ± 1.23	24.18 ± 6.36	<0.001*				
MoCA	24.13 ± 3.07	20.43 ± 6.02	<0.001*				
UPDRS-I	-	2.48 ± 2.00	-				
UPDRS-II	-	7.92 ± 5.82	-				
UPDRS-III	-	22.47 ± 9.85	-				
EV NfL (pg/mL plasma)	9.42 ± 3.89	9.53 ± 3.62	0.71				
*p<0.05.							

1MSE, Mini-Mental State Examination; MoCA, Montreal Cognitive Assessment; UPDRS, Unified Parkinson's Disease Rating Scale.

Table 2. Correlations between plasma extracellular vesicle (EV) neurofilament light chain (NfL) level and clinical manifestations in patients with Parkinson's disease. Statistical analysis was conducted by Spearman's rank correlation.

		Age (years)	Disease duration (years)	UPDRS-III	Akinetic rigidity score	Tremor score	MMSE	MoCA
EV NfL level	Correlation coefficient	0.047	0.202	0.16	0.183	0.075	0.056	0.037
	<i>p</i> value	0.62	0.04*	0.09	0.05	0.42	0.56	0.70

*p < 0.05.

MMSE, Mini-Mental State Examination; MoCA, Montreal Cognitive Assessment; UPDRS, Unified Parkinson's Disease Rating Scale.

Optimal plasma EV NfL is associated with fewer motor symptoms in patients with PD

Having demonstrated an association between plasma EV NfL and motor symptoms, we divided the patients with PD into four groups based on the quartiles of the plasma EV NfL levels (Q1-Q4) and performed inter-quartile analyses. Analysis of the UPDRS-III total score revealed the significant variation in the overall UPDRS-III total score across the quartile groups after adjusting age, sex, and disease duration (p for trend = 0.018) (Figure 2A). In the post-hoc analysis, a trendwise difference of the UPDRS-III score between Q1 to Q2,

Q3 and Q4 was noted (Supplemental Table S3). In parallel analysis, the overall variations in the akinetic rigidity score for the four groups were significant after adjusting age, sex, and disease duration (p for trend=0.035) (Figure 2B). Post-hoc analysis revealed that compared with the concurrent lowest plasma EV NfL (Q1), PD patients within plasma EV NfL in Q2, Q3, and Q4 were associated with a trend of higher mean akinetic rigidity scores (Supplemental Table S3). The age, sex, and disease duration were not significantly different for the four groups (Supplemental Tables S4 and S5). After adjustment for these



Figure 2. Plasma extracellular vesicles (EVs) neurofilament light chain (NfL) quartile-stratified motor symptoms severity in patients with Parkinson's disease (PD). Box and dot plots of the differential (A) Unified Parkinson's Disease Rating Scale (UPDRS)-III total scores; and (B) mean akinetic rigidity scores, for Q1, Q2, Q3, and Q4 plasma EV NfL. Statistical analysis was conducted by the analysis of covariance with the adjustment of age, sex, and disease duration. The partial residual plots demonstrate the relationship between the levels of EV NfL (Q1 or Q2–4) and the UPDRS-III (C) and akinetic rigidity (D) scores given that age, sex and disease duration are adjusted by the multivariable regression model.

confounding factors of motor symptoms, the total UPDRS-III and akinetic rigidity score for PD patients with optimal plasma EV NfL (lowest quartile, Q1) were 6.66 ± 2.08 (p = 0.002) and 0.28 ± 0.09 (p = 0.003) lower than in the Q2–Q4 group, respectively (Figure 2C and D; also see Supplemental Tables S6 and S7).

Discussion

EVs are secreted by most mammalian cells. There is accruing evidence that the EV content, especially exosome cargoes, often mirrors the intracellular environment. For instance, the phosphorylation level of exosomal insulin receptor substrate-1 was strongly associated with cellular insulin resistance,²⁰ and elevated levels of exosomal pathogenic β -amyloid, tau, and α -synuclein proteins has been implicated in the pathogenesis and progression of neurodegenerative diseases.²¹ The intracellular accumulation of disease-causing proteins and ensuing pathogenic signals often correlate with the disease onset; this is even more so with exosomes which share their origin with and cargo diseasecausing proteins, thus positioning exosomes as early indicators of disease.²² Concordant with the above, it was recently shown that phosphorylated tau and A β 1-42 in circulating neuron-derived exosomes predict conversion from mild cognitive impairment to Alzheimer's disease (AD).²³

NfL is a neuron-specific protein responsible for the maintenance of the axonal structure. Axonal lesions, serving as indicators of neuronal damage, characterize various neurological diseases, including stroke, trauma, and multiple sclerosis.²⁴ In neurodegenerative diseases, the progressive loss of central nervous system neurons results in the loss of myelinated axons. Neurofilament degradation causes NfL to enter the CSF and blood.

Consistent with this, compared with normal controls, higher blood NfL levels have been documented in individuals with AD.25 The last two decades have been characterized by continued investigation of NfL in the CSF of PD patients since it was first found to be elevated in patients with atypical parkinsonism (multiple system atrophy and progressive supranuclear palsy) compared with PD.26 The use of CSF NfL to distinguish PD from atypical parkinsonism has subsequently been confirmed by other large-scale studies.^{27,28} Compared with controls, CSF NfL from the PD patients was significantly higher.^{28,29} Although the diagnostic accuracy is mild, the CSF NfL is a promising predictor of PD progression.^{29,30} Regarding blood NfL, plasma NfL is also increased in PD patients compared with controls. Setting the cut-off value of plasma NfL at 12.34 pg/mL, the sensitivity and specificity of the PD prediction model were 53.2% and 90.5%, respectively.³¹ Similar to CSF NfL, plasma NfL also correlates with the disease progression, especially the postural instability gait disorder subtype.^{31–33} The present study found no significant difference between plasma EV NfL in PD patients and their control counterparts. For the PD patients, this study demonstrated that plasma EV NfL level is associated with the severity of motor symptoms, where optimal plasma EV NfL level (lowest quartile) is associated with less severe motor symptoms, especially akinetic rigidity. The akinetic rigidity symptoms of PD, compared with tremor, indicate rapid progress of disease and exhibit more severe α -synuclein pathology.³⁴ Recently, there was report of patients with PD of the akinetic rigidity subtype exhibiting higher CSF NfL than those of the tremor-dominant subtype.³⁰ This association possibly stems from the greater CNS pathology in the akinetic rigidity subtype of PD, which impairs the integrity of neurons and increases the release of NfL though EV. The apparent overlap between the plasma EV NfL levels in PD patients and the control group undermines its potential implications. However, our findings demonstrate its correlation with disease severity, providing a basis for the future study of EV NfL in PD patients.

The limited success of most PD trials attributable to the lack of biomarkers of disease progression³⁵ accentuates another strength of the present study – identifying a functional association between plasma EV NfL and the severity of motor symptoms in patients with PD, especially the akinetic rigidity symptoms. Akinetic rigidity, rather than tremor, has been shown to correlate more with striatal neurodegeneration.²⁹ The association between the optimal plasma EV NfL level and mild severity of motor symptoms, especially akinetic rigidity, indicates the possible association of plasma EV NfL with the severity of neurodegeneration in PD. Compared with CSF, the harvest of plasma EVs requires minimally invasive venipuncture, which is generally tolerable for the population. Compared with peripheral blood NfL, the EV NfL is directly associated with the neuronal cell-of-origin, which contrasts the damaged/destroyed axon origin of blood NfL. Since the destruction of the axon is characteristic of late stage neurodegeneration, the assessment of EV NfL may provide early intracellular information, and inform medical management decisions in advance. Other EV proteins have also been assessed as potential biomarkers of PD. As with the application of CSF NfL,^{27,28} the EV NfL, in conjunction with other pathognomonic proteins, may form a panel for diagnosis and prediction of PD and its progression, respectively.

The present study has some limitations. First, owing to the small number of cases and the singlecenter nature of the study, for generalizability, a larger multi-center cohort may be required to validate further the association between plasma EV NfL and PD demonstrated herein. Second, plasma total EVs were used in the present study instead of neuron-derived exosomes. However, as NfL is neuron-specific, a neuronal origin is assumed for the detected NfL. Third, the present study investigated only a selected panel of PD-associated proteins. However, other contents of EVs, especially the PD-pathognomonic proteins such as α -synuclein in the blood EVs, were not investigated.

Nevertheless, it is noteworthy that α -synuclein is abundant in red blood cells,³⁶ and may lead to a confounding bias in measurement. Although the statistical significance was found between the severity of motor symptoms, especially the akinetic rigidity, the correlation was low; the heterogenicity of PD and the small case number are possible reasons. In addition, the assessment of the motor symptoms during the outpatients clinic visit without medication withdrawal also introduces a probable confounder, namely, the effects of dopaminergic agents. The present study adjusted for age, sex, and disease duration in the regression model to minimize their impact and obtain the net association. Another limitation is the lack of association with cognitive function, another indicator of PD progression. As the present study recruited individuals with early-stage PD (mean disease duration <3 years), their cognitive impairment may not meet the detection threshold even as assessed by MoCA. Finally, some of the controls may have already fallen into the category of mild cognitive impairment (MCI), although their MMSE scores were \geq 26. Such MCI status probably results from the early stage of neurodegeneration, which causes the alteration of plasma EV NfL.

In conclusion, there was no statistically significant difference between the plasma EV NfL level in PD patients and controls. For the PD patients, plasma EV NfL level was slightly correlated with the severity of PD motor symptoms, and the optimal plasma EV NfL was associated with mild motor symptoms severity. Longitudinal studies of plasma EV NfL in patients with PD are warranted to further validate the correlation of NfL with the neurodegeneration in PD.

Author contributions

Study conception and design: C-CC, LC, JHC, C-TH. Data acquisition and analysis: C-CC, LC, C-TH. Data interpretation: C-CC, OAB, C-TH. Manuscript writing and revision: C-CC, OAB, C-TH. Provision of resources and administrative oversight: C-TH. All authors read and approved the final version of the manuscript.

Conflict of interest statement

The authors declare that there is no conflict of interest.

Ethics approval and consent to participate

This study was approved by the Joint Institutional Review Board of Taipei Medical University (TMU-JIRB approval no. N201609017 and N201801043)

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Supplemental material

Supplemental material for this article is available online.

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