CASE SERIES



# Distinct Serum and Vitreous Inflammation-Related Factor Profiles in Patients with Proliferative Vitreoretinopathy

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Received: March 6, 2020 / Published online: April 9, 2020  $\ensuremath{\mathbb{C}}$  The Author(s) 2020

### ABSTRACT

*Introduction*: Proliferative vitreoretinopathy (PVR), which is regulated by growth factors and cytokines, is the leading cause of failure in vitreoretinal surgery. In this study, we aimed to investigate the role of the human serum and vitreous inflammation-related factors in the development of proliferative vitreoretinopathy (PVR).

*Methods*: Blood and vitreous samples were obtained from patients undergoing pars plana vitrectomy. Inflammation-related factors were detected using an immunology multiplex assay on a Luminex<sup>®</sup> xMAP<sup>®</sup> platform. Patients with PVR and rhegmatogenous retinal detachment (RRD) were compared with macular hole (MH)

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Joint Shantou International Eye Center of Shantou University and The Chinese University of Hong Kong, Shantou, China or epiretinal membrane (ERM) patients without any other ocular or systemic disease.

**Results**: Thirty-six serum samples and 34 vitreous samples were obtained. Thirty-one different growth factors and cytokines were detected in serum samples. However, none of the circulating growth factors and cytokines were found to be different from the controls. Ten different growth factors and cytokines were measured in the vitreous samples. The concentration levels of PDGF-AA, TGF- $\alpha$ , VEGF, IL-6, IL-8, and TNF $\beta$ were found to have significantly increased in the vitreous of PVR patients.

**Conclusion:** Our study found that none of the circulating inflammation-related factors were changed in PVR or RRD patients, indicating the absence of a system inflammatory biomarkers to predict the development of proliferative vitreo-retinopathy. As a supplement to previous research, the concentrations of PDGF-AA, TGF- $\alpha$ , VEGF, IL-6, IL-8, and TNF $\beta$  were significantly upregulated in the vitreous of PVR patients. These factors should be considered for preventing PVR.

**Keywords:** Cytokine; Inflammation; Ophthalmology; Proliferative vitreoretinopathy; Rhegmatogenous retinal detachment; Serum; Vitreous

Z. Huang

#### **Key Summary Points**

Proliferative vitreoretinopathy (PVR), which is regulated by growth factors and cytokines, is the leading cause of failure in vitreoretinal surgery. Therefore, new therapeutic targets or early detection and monitoring of biomarkers are needed to prevent PVR.

While the role of local retinal inflammation in the development of PVR is documented, we aimed to assess not only the cytokine profile in the vitreous but also the growth factors and cytokine profile in serum to discover new diagnostic markers for evaluating treatment response in PVR patients.

Our study for the first time reported the levels of circulating inflammation-related factors in PVR or RRD patients.

Our findings show that none of the inflammation-related factors were significantly different in serum, indicating that PVR was only a local retinal inflammation.

As a supplement to previous research, the concentrations of PDGF-AA, TGF- $\alpha$ , VEGF, IL-6, IL-8, and TNF $\beta$  significantly increased in the vitreous fluid of PVR patients.

## INTRODUCTION

Rhegmatogenous retinal detachment (RRD), which is caused by the detachment of the photoreceptor layer from the retinal pigment epithelium, occurs in about 1 in 20,000 people per year [1]. With the improvement of instrumentation and surgical techniques, the cure rate for RRD has improved. However, 5–10% of patients with RD may develop proliferative vitreoretinopathy (PVR), which is the leading cause of vitreoretinal surgery failure and severely impairs visual outcome [2].

Disruption of several inflammation-related factors in the vitreous has been found to be associated with the development and progression of PVR [3]. Inflammatory factors could mediate a wound-healing response involving cell migration, proliferation, epithelial-mesenchymal transformation (EMT), and matrix synthesis, which could result in PVR. Growth factors, such as transforming growth factor  $\beta$ -1 (TGFβ-1), -2, -3, vascular endothelial growth factor (VEGF), and platelet-derived growth factor (PDGF), were found to be significantly upregulated in RRD and PVR patients and proved to be involved in the formation of extracellular matrix (ECM) [4-6]. Other inflammatory cytokines, such as interleukin-6 (IL-6), IL-8, tumor necrosis factor  $\alpha$  (TNF $\alpha$ ), monocyte chemotactic protein-1 (MCP-1), macrophage inflammatory protein-1 (MIP-1), and interferoninducible 10-kDa protein (IP-10), were also upregulated in the vitreous of RRD patients [7–9]. The levels of IL-8 and TGFβ-3 were related to the extent of retinal detachment [5]. The upregulation of growth factors and cytokines, such as TGFB, VEGF, PDGF, IL-6, IL-8, and TNFa, was proposed as a marker for the formation of PVR [2]. However, no specific factors were found for PVR [10].

Therefore, new therapeutic targets or early detection and monitoring of biomarkers are needed to prevent PVR. Inflammatory factors and retinal imaging could be valuable in monitoring the treatment effect. However, the acquisition of vitreous fluids is an invasive procedure. A circulating biomarker may be more accessible. In this study, we aimed to assess not only the growth factors and cytokine profile in serum but also the cytokine profile in the vitreous to discover possible diagnostic markers for evaluating treatment response in PVR patients.

### METHODS

#### **Subjects**

This is a prospective consecutive case series. This study conformed to the tenets of the Declaration of Helsinki and was approved by the institutional research board of Zhongshan Ophthalmic Center, Sun Yat-sen University. Blood samples for this study were obtained from patients before surgery, and vitreous samples were collected during pars plana vitrectomy in Zhongshan Ophthalmic Center after obtaining informed consent from each patient. Inclusion criteria included all patients undergoing standard three-port pars plana vitrectomy with a single surgeon (Shaochong Zhang) for indications of primary RRD or primary PVR (no prior surgery for RRD) secondary to RRD and epiretinal membranes (EM) or macular hole (MH). Patients with EM or MH were used as control. Patients with systemic diseases (i.e., diabetes, immunologic diseases, infections), uveitis, glaucoma, or any other conditions that might influence the cytokine levels in serum or vitreous were excluded. The extent of PVR was classified according to the Retina Society Terminology Committee, which grades the appearance of PVR into four groups [11]. Primary RRD was defined as early PVR (stage A and B), which combined mild vitreous opacities, retinal stiffening, and curled hole edges.

For serum preparation, 5 ml peripheral blood was collected into EDTA tubes before surgery, kept still for over 30 min, and then centrifuged at 3000 rpm for 10 min at 4 °C. The supernatant was transferred into a sterile cryogenic vial. All the serum specimens were frozen (-80 °C) until use.

**Collection of Human Samples** 

For vitreous preparation, 200-500 µl undiluted vitreous fluid was collected at the time of pars plana vitrectomy, depending on the different intraocular pressure of each patient. The vitreous fluid was collected with a 2-ml syringe before intraocular infusion using a vitreous cutter. All vitreous specimens were placed on ice right away and transferred into sterile tubes. After centrifugation for 10 min at 4 °C to avoid red blood cells or other confounding cell material, the vitreous sample was transferred to another sterile tube and kept at -80 °C until assav.

#### **Inflammation-Related Factor Analysis**

All the samples were detected using a MILLI-PLEX MAP Human Cytokine/Chemokine Magnetic Bead Panel (Millipore-HCYTMAG-60K-PX38) on a Luminex platform according to the manufacturer's instructions. Twenty-five microliters of serum or vitreous fluid per well was used for analysis. Thirty-one and ten different analytes were examined in serum and vitreous samples, respectively.

Serum sample	Control (MH/EM)	RRD	PVR
Number of patients	13	11	12
Age (mean $\pm$ SD)	64.38 ± 6.90	$44.64 \pm 14.08$	$56.00 \pm 11.68$
Gender			
Female	9, 69%	3, 27%	2, 17%
Male	4, 31%	8, 73%	10, 83%
Duration of the symptoms (days) mean (range)	488.46 (30–1460)	17.09 (7-60)	77.00 (10-210)
PVR grade (range)	_	A–B	C-D

Table 1 Characteristics of the study population

MH macular hole, EM epiretinal membrane, PVR proliferative vitreoretinopathy, RRD rhegmatogenous retinal detachment

	Control (pg/ml) mean ± SD	RRD (pg/ml) mean ± SD	PVR (pg/ml) mean ± SD	F	p value (intergroup)
EGF	$143.35 \pm 93.59$	$156.00 \pm 89.80$	$142.58 \pm 82.16$	0.082	0.92
FGF-2	$108.22 \pm 105.77$	75.99 ± 32.59	$63.28 \pm 24.91$	1.47	0.25
TGFα	$6.24 \pm 4.87$	$8.94 \pm 5.33$	$7.04 \pm 4.86$	0.90	0.42
VEGF	$179.31 \pm 189.48$	$268.59 \pm 403.13$	$84.90 \pm 109.75$	1.30	0.29
TNFα	$21.60 \pm 9.89$	$19.28 \pm 9.19$	$20.08 \pm 6.62$	0.22	0.80
Eotaxin	$126.09 \pm 53.16$	$109.18 \pm 67.44$	$124.08 \pm 60.79$	0.27	0.77
GM-CSF	$1.65 \pm 0.72$	$1.35 \pm 0.60$	$2.37 \pm 4.56$	0.45	0.64
IFNa2	$0.87 \pm 1.76$	$3.46 \pm 4.91$	$3.35 \pm 6.74$	1.13	0.33
IFNγ	$2.60 \pm 4.22$	$1.53 \pm 0.79$	$5.69 \pm 8.15$	1.86	0.17
GRO	$876.11 \pm 223.72$	$1094.18 \pm 370.09$	$813.84 \pm 246.69$	3.13	0.06
MDC	$985.78 \pm 221.09$	$1013.55 \pm 343.62$	923.59 ± 465.64	0.18	0.83
sCD40L	6289.78 ± 2387.03	7584.09 ± 3110.73	$6756.08 \pm 1632.71$	0.86	0.43
IP-10	$178.55 \pm 85.40$	$124.36 \pm 58.95$	$155.25 \pm 45.22$	2.00	0.15
MCP-1	$608.95 \pm 142.99$	$456.12 \pm 156.01$	$517.05 \pm 126.18$	3.12	0.06
MIP-1a	$7.47 \pm 11.66$	$3.17 \pm 4.19$	$6.64 \pm 5.81$	0.91	0.41
MIP-1β	$50.03 \pm 26.58$	$47.34 \pm 31.35$	$53.03 \pm 31.22$	0.11	0.90
IL-1a	$0.20 \pm 0.21$	$0.13\pm0.09$	$0.18\pm0.30$	0.26	0.77
IL-1β	$1.00 \pm 0.53$	$0.85\pm0.34$	$1.32 \pm 1.72$	0.58	0.57
IL-2	$1.18\pm0.22$	$1.18\pm0.22$	$1.73\pm1.83$	1.06	0.36
IL-3	$0.45 \pm 0.19$	$0.43\pm0.08$	$0.38\pm0.04$	0.94	0.40
IL-4	$2.21 \pm 5.30$	$3.65 \pm 5.18$	$1.19\pm2.95$	0.83	0.45
IL-5	$0.76 \pm 0.21$	$0.62 \pm 0.17$	$0.69 \pm 0.32$	1.07	0.36
IL-6	$0.57\pm0.88$	$0.27\pm0.23$	$0.88 \pm 2.15$	0.60	0.56
IL-7	$2.06 \pm 1.41$	$2.90 \pm 3.49$	$1.61 \pm 0.63$	1.08	0.35
IL-8	$9.81\pm8.38$	$6.55 \pm 5.97$	$8.04 \pm 14.47$	0.30	0.74
IL-9	$0.46 \pm 0.21$	$0.45\pm0.19$	$0.48\pm0.27$	0.06	0.95
IL-10	$2.51 \pm 5.44$	$1.88\pm3.96$	$0.53\pm0.15$	1.21	0.31
IL-12 p70	$2.03 \pm 4.33$	$0.72 \pm 1.34$	$0.61 \pm 0.43$	1.05	0.36
IL-13	$0.22 \pm 0.29$	$0.18\pm0.28$	$0.10\pm0.07$	0.79	0.46
IL-15	$1.56 \pm 0.75$	$1.18\pm0.31$	$1.34\pm0.40$	1.50	0.24
IL-17A	$11.95 \pm 23.61$	$4.70 \pm 7.66$	$3.00 \pm 3.41$	1.26	0.30

Table 2 Overview of 31 inflammatory factors from serum samples

RRD rhegmatogenous retinal detachment, PVR proliferative vitreoretinopathy

Vitreous sample	Control (MH/EM)	PVR
Number of patients	10	24
Age (mean $\pm$ SD)	$63.40 \pm 5.83$	$49.12 \pm 16.03$
Gender		
Female	6, 50%	9, 38%
Male	6, 50%	15, 62%
Duration of the symptoms (days) mean (range)	376.50 (30-1460)	51.38 (4-240)
PVR grade (range)	_	C-D

 Table 3 Characteristics of the study population

MH macular hole, EM epiretinal membrane, PVR proliferative vitreoretinopathy

#### **Statistical Analysis**

SPSS (version 25.0) was used for all statistical analyses. The box plot was used to describe the dispersion of each group. Statistical significance was determined by independent sample t-test between two groups or by one-way analysis of variance (ANOVA) among three groups. To determine whether the data were normally distributed, we used the Shapiro-Wilk test. We used post-hoc Fisher's least significant difference (LSD) for normally distributed data. If the data were not normally distributed, the posthoc Tamhane's T2 was used. P < 0.05 was considered statistically significant. Extreme outliers  $(> upper quartile + 3 \times interquartile$ range) were excluded from statistical analysis.

### RESULTS

#### Comparisons of Circulating Inflammation-Related Factor Profiles

In total, 36 serum samples were obtained from patients. Patients with MH or EM were chosen as the control group. Patient characteristics are listed in Table 1. To analyze the different growth factors and cytokine profiles between RRD without PVR and severe PVR, we divided patients into three groups (Table 1). Four different growth factors, 12 non-interleukin cytokines, and 15 interleukins were analyzed and compared in the serum sample of the RRD, PVR, and control group (MH or EM) patients (Table 2). None of the 31 factors were found to be significantly different, which indicated that RRD and secondary PVR might be a local inflammation.

#### Comparisons of Intravitreal Inflammation-Related Factor Profiles

To further study the abnormal intravitreal inflammatory factor profiles in the vitreous of patients with severe PVR, 34 vitreous samples were obtained from patients with MH, EM, and PVR. Patient characteristics are listed in Table 3.

Five different growth factors and five cytokines were measured in the vitreous samples. These factors were involved in the cell proliferation, EMT process, and inflammation. The concentration levels of PDGF-AA, TGF- $\alpha$ , VEGF, IL-6, IL-8, and TNF $\beta$  (Table 4) were significantly increased in the vitreous of PVR patients compared with MH or EM patients (Figs. 1, 2).

### DISCUSSION

Previous studies have documented circulating monocytes extravasated rapidly from the vasculature and lining the vitreal surface of the retina following induced RD [12, 13]. Monocyte/macrophage infiltration and activity

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	Control (pg/ml) mean ± SD	PVR (pg/ml) mean ± SD	p value
EGF	$0.78 \pm 0.28$	$1.01 \pm 0.57$	0.23
FGF-2	5.91 ± 0.99	$6.27 \pm 1.14$	0.39
PDGF-AA	$64.22 \pm 59.82$	$179.90 \pm 151.92$	0.027
TGFα	$0.96 \pm 0.14$	$3.07 \pm 2.19$	0.00
VEGF	$3.22 \pm 0.34$	$5.54 \pm 4.32$	0.015
IL-1β	$0.29 \pm 0.053$	$0.32 \pm 0.037$	0.10
IL-6	$1.25 \pm 2.50$	$13.38 \pm 18.19$	0.004
IL-8	$2.81 \pm 3.85$	$18.75 \pm 17.37$	0.00
TNFα	$0.77 \pm 0.061$	$1.12\pm0.81$	0.19
TNFβ	$0.18 \pm 0.013$	$0.19\pm0.015$	0.038

Table 4 Overview of ten inflammatory factors from vitreous samples

*PVR* proliferative vitreoretinopathy



Fig. 1 Five different growth factors were measured in the vitreous fluid samples. PDGF-AA, TGF- $\alpha$ , and VEGF were significantly increased in the vitreous fluid of

proliferative vitreoretinopathy (PVR) patients compared with macular hole (MH) or epiretinal membrane (EM) patients. \*p < 0.05, \*\*\*p < 0.001, NS not significant



Fig. 2 Five different cytokines were measured in the vitreous fluid samples. IL-6, IL-8, and TNF $\beta$  were significantly increased in the vitreous fluid of proliferative

contributed to inflammation in the progress of PVR. In our study, 31 inflammation-related factors were all found to be insignificantly different in the blood of RRD and PVR patients compared with controls, indicating that no circulating biomarkers could help predict PVR from an early stage of RRD. RRD and secondary PVR might be a local "inflammatory condition." In our collected vitreous samples, six of the ten measured inflammation-related factors were significantly upregulated in PVR patients. As a supplement to previous research works, the concentrations of TGF-a and TNFB were found upregulated in our study. The evolution of RRDinduced PVR involved a tissue trauma repair process, which is triggered by the detachment of neuroretina [2]. The photoreceptors started degeneration as soon as the detachment and continued remodeling or apoptosis as long as the photoreceptor layer was detached [14]. In addition. Müller cells. endothelial cells. microglia, astrocytes, and pericytes start to proliferate after retinal detachment [15]. Previous studies

vitreoretinopathy (PVR) patients compared with macular hole (MH) or epiretinal membrane (EM) patients. \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, NS not significant

indicated that RRD could lead to significant upregulation in a number of growth factors and cytokines in the vitreous. These factors mediated the retinal trauma repair process, which results in PVR. Therefore, finding a circulating biomarker can facilitate the monitoring of the therapeutic effect of the surgery and the prevention of PVR.

Retinal diseases, such as diabetic retinopathy (DR), have been proved to have specific important circulating inflammatory factors. Studies have proved that diabetic macular edema (DME) results from both systemic and local inflammation [16]. TNF $\alpha$  was proposed as an important circulating inflammatory factor in serum in DR [17]. The TNF $\alpha$  level was associated with the risk of non-proliferative DR and DME [18, 19]. IL-6 was also upregulated in both serum and vitreous fluid, which was correlated with DME and progressions of DR [20, 21]. In this study, we aimed to discovered a specific circulating inflammatory factor to predict the severity of the PVR just like the findings in PDR- related studies, which would provide new clinical indicators for the evaluation of the therapeutic effect of PVR. However, we found that the RRD or primary PVR did not affect the levels of inflammatory factors in the blood. This is probably because PDR is a systemic chronic disease and PVR is a local acute inflammation.

Levels of PDGF-AA, TGF-a, VEGF, IL-6, IL-8, and TNFB were significantly increased in the vitreous of PVR patients in our study. While the other four inflammatory factors (including EGF, FGF-2, IL-1 $\beta$ , and TNF $\alpha$ ) were not significantly increased, none were decreased. Among them, PDGF, VEGF, IL-6, and IL-8 have been reported to increase in PVR patients. Like in previous studies, TGF- $\alpha$  and TNF $\beta$  were found upregulated in our study. TGF- $\alpha$  is a member of the EGF family, and it binds to EGF receptor (EGFR). TGF-a is reported to be involved in keratinocytes or neural cell proliferation [22, 23], prostate tumorigenesis [24], and angiogenesis [25]. TGF- $\alpha$  enhanced corneal epithelial cell migration by promoting the internalization of EGFR [22]. TGF- $\alpha$  induced EMT in prostate cancer cells [24]. Consistently, this evidence suggests that TGF-a might play a role in PVR formation by promoting both migration and EMT. Secreted by lymphocytes, TNFβ is a member of the TNF superfamily and homologous with TNF $\alpha$  [26]. TNF $\beta$  is involved in proinflammatory cascade signaling and apoptotic pathways, which play an important role in inflammatory joint diseases [27, 28]. In our research, TGF- $\alpha$  and TNF $\beta$  were significantly upregulated in PVR patients compared with controls, which indicated that TGF- $\alpha$  and TNF $\beta$ might participate in the PVR process. Further experiments are needed to clarify the role of TGF- $\alpha$  and TNF $\beta$  in PVR.

### CONCLUSIONS

In summary, our findings show that all the inflammation-related factors were not significantly different in serum, indicating that PVR was only a local retinal inflammation. Adding to previous research, the concentrations of PDGF-AA, TGF- $\alpha$ , VEGF, IL-6, IL-8, and TNF $\beta$ 

significantly increased in the vitreous of PVR patients.

#### ACKNOWLEDGEMENTS

*Funding.* This study was supported by the Fundamental Research Funds of the State Key Laboratory of Ophthalmology (30306020240020312) and Natural Science Foundation of Guangdong Province of China (2019A1515010189). No funding or sponsorship was received for the rapid service fees of this article. The open access fees were funded by the study sponsor.

*Authorship.* All named authors meet the International Committee of Medical Journal Editors (ICMJE) criteria for authorship for this article, take responsibility for the integrity of the work as a whole, and have given their approval for this version to be published.

**Disclosures.** Yao Ni, Yingyan Qin, Zijing Huang, Fangyuan Liu, Shaochong Zhang, and Zhaotian Zhang declare that they have no conflict of interest.

*Compliance with Ethics Guidelines.* The study was approved by the Institutional Review Board of Zhongshan Ophthalmic Center (ZOC) affiliated to Sun Yat-sen University (Guangzhou, China) and performed in accordance with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. Informed consent was obtained from all individual participants included in the study.

**Data Availability.** The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

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