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# Polysorbate-80 surface modified nano-stearylamine BQCA conjugate for the management of Alzheimer's disease†

 Pavan Kumar Chintamaneni,<sup>ID</sup> ab Praveen Thaggikuppe Krishnamurthy<sup>ID</sup> \*a and Sai Kiran S. S. Pindiprolu<sup>ID</sup> ac

Acetylcholinesterase (AChE) inhibitors such as donepezil, galantamine and rivastigmine are used for the management of dementia in Alzheimer's Disease (AD). These drugs elevate endogenous acetylcholine (ACh) levels at the M<sub>1</sub> muscarinic receptor in the brain to achieve therapeutic benefits. However, their side effects, such as nausea, vomiting, dizziness, insomnia, loss of appetite, altered heart rate, etc., are related to non-specific peripheral activation of M<sub>2</sub>–M<sub>5</sub> muscarinic subtypes. It is logical, therefore, to develop drugs that selectively activate brain M<sub>1</sub> receptors. Unfortunately, the orthosteric site homology among the receptor subtypes does not permit this approach. An alternative approach is to use positive allosteric modulator (PAM) of M<sub>1</sub> receptors like benzyl quinolone carboxylic acid (BQCA). PAMs although devoid of M<sub>1</sub> agonist activity, however, when bound, enhance the binding affinity of orthosteric ligand, ACh. The current challenge with PAMS is their low brain half-life, permeability, and higher elimination rates. This study reports active targeting of brain M<sub>1</sub> receptors using surface modified nano lipid–drug conjugates (LDC) of M<sub>1</sub> PAM, BQCA, to treat AD. Polysorbate-80 (P-80) surface modified stearylamine (SA)-BQCA conjugated nanoparticles (BQCA-SA-P80-NPs) were prepared by conjugating BQCA to SA, followed by the formation of nanoparticles (NPs) using P-80 by solvent injection method. The BQCA-SA-P80-NPs are near-spherical with a particle size (PS) of 166.62 ± 1.24 nm and zeta potential (ZP) of 23.59 ± 0.37 mV. In the *in vitro* cytotoxicity (SH-SY5Y cells) and hemolysis assays, BQCA-SA-P80-NPs, show acceptable safety and compatibility. In mice, Alzheimer's model, BQCA-SA-P80-NPs significantly prevent STZ induced changes in memory, neuronal Aβ<sub>1–42</sub>, p-Tau, APP, NF-κB, and BACE levels and neuronal cell death, when compared to untreated disease control and naive BQCA treated group. Further, BQCA-SA-P80-NPs significantly improve the therapeutic efficacy of AChE inhibitor, donepezil (DPZ), indicating its potentiating effects. *In vivo* biodistribution studies in mice show selective accumulation of BQCA-SA-P80-NPs in the brain, suggesting an improved brain bioavailability and reduced peripheral side effects of BQCA. The study results demonstrate that BQCA-SA-P80-NPs can improve brain bioavailability and therapeutic efficacy of BQCA in AD.

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## 1. Background

Alzheimer's Disease (AD) is a neurodegenerative disorder which drastically affects memory, social and behavioural skills.<sup>1</sup> It is the 6<sup>th</sup> leading cause of death in the United States and is the 6<sup>th</sup> foremost cause of mortality in older people aged above 65 years in the world. As per the reports of the Alzheimer's Association

2015, around 5.7 million people will be living with Alzheimer's related dementia in the year 2018, and this number will increase to 7.1 and 13.8 million by the year 2025 and 2030, respectively.<sup>2</sup> In AD, β amyloid proteins and tau proteins accumulate, ventricles enlarge, and progressive degeneration of neurons of the basal forebrain cholinergic system and cortex is observed.<sup>3</sup> The brain expression, cellular localization, and knockout mice model studies suggest that of all the muscarinic receptor subtypes M<sub>1</sub> receptor is widely expressed in brain regions involved in memory and cognition, and these areas are significantly affected in AD.<sup>4–9</sup> To date, the most efficient strategy to manage AD is through enhancing endogenous ACh levels. FDA approved acetylcholine esterase inhibitors (AChEIs) such as donepezil, rivastigmine, and galantamine<sup>10–12</sup> serve this purpose. The inhibition of AChE by these agents results in a significant increase of ACh levels at both central and

<sup>a</sup>Department of Pharmacology, JSS College of Pharmacy (JSS Academy of Higher Education & Research), Ooty, The Nilgiris, Tamil Nadu-643001, India. E-mail: [praveenk7812@gmail.com](mailto:praveenk7812@gmail.com); Tel: +91-7598223850

<sup>b</sup>Department of Pharmaceutics, Raghavendra Institute of Pharmaceutical Education and Research (RIPER), Anantapuramu, 51572, Andhra Pradesh, India

<sup>c</sup>Department of Pharmacology, Aditya Pharmacy College, Surampalem, East Godavari 533 437, Andhra Pradesh, India

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peripheral cholinergic receptor sites. This leads to non-selective activation of muscarinic ACh receptors (mAChRs).<sup>13,14</sup> This non-selective activation is associated with several unacceptable peripheral side effects such as nausea, vomiting, dizziness, insomnia, loss of appetite, altered heart rate, *etc.*<sup>11,15,16</sup> Specific brain targeting of these AChEIs will not help due to the presence of other mAChRs throughout the brain.<sup>17</sup> Successful management of AD, therefore, requires agents that can specifically activate M<sub>1</sub> receptors in the brain. Unfortunately, due to the high degree of receptor homology among mAChRs, it is challenging to develop M<sub>1</sub> specific compounds.<sup>18,19</sup> Alternately, positive allosteric modulators (PAMs) of M<sub>1</sub> AChRs such as benzyl quinolone carboxylic acid (BQCA) can help in specific activation of M<sub>1</sub> AChRs.<sup>20–22</sup> PAMs through their selective binding at allosteric site of M<sub>1</sub> mAChR lead to conformational changes in M<sub>1</sub> mAChR. This facilitates enhanced binding of the orthosteric ligand, ACh, to the M<sub>1</sub> mAChR, thus, selectively increasing the actions of endogenous ACh on M<sub>1</sub> receptor over M<sub>2</sub>–M<sub>5</sub> receptor subtypes. PAMs, however, suffer from low brain half-life and faster elimination rates.<sup>22–24</sup> The brain bioavailability of PAMs can be significantly improved by formulating them as lipid drug conjugates (LDCs).<sup>25</sup> LDCs improve the lipophilic characteristics of a drug and have high drug loading capacity.<sup>26,27</sup> They offer ideal particle size (100–200 nm), permit surface modifications, improve stability of the drug, and sustain drug release.<sup>28,29</sup> In this study, we report active targeting of brain M<sub>1</sub> receptors using polysorbate 80 (P-80) surface modified nano-stearylamine-BQCA (BQCA-SA-P80-NPs) and also demonstrate that BQCA-SA-P80-NPs improve brain bioavailability and therapeutic efficacy of BQCA in mice model of AD.

## 2. Methods

### 2.1. Synthesis of stearyl amine-BQCA conjugate (BQCA-SA-LDC)

In a three-necked round bottom flask, BQCA (0.37 g, 0.12 mmol) was mixed with dry DMF (3 ml) for 10 minutes on a magnetic stirrer. To this, EDC (0.03 g, 0.15 mmol) was added and stirred for 30 min at room temperature. Following this, HOBT (0.02 g, 0.15 mmol) was added and stirring continued for 1 h. After that, stearyl amine (0.27 g, 0.10 mmol) and triethylamine (0.36 mmol) were added, and the reaction mixture was stirred continuously for 16 h. The reaction was monitored by thin-layer chromatography (TLC). After completion of the reaction, water (10–20 ml) was added to precipitate the product. The precipitated product was filtered and washed 5-times with water (10

ml). The obtained solid was purified on a silica gel column (60–120 mesh silica gel), using chloroform and methanol (93 : 7) as a mobile phase (Fig. 1).

### 2.2. Preparation of BQCA-SA-P80 NPs

The solvent injection method was employed to prepared BQCA-SA-P80 NPs from BQCA-SA LDC.<sup>30</sup> BQCA-SA LDC (50 mg) lipid bulk, surfactant, and a surface modifying agent P80, and isopropyl alcohol (IPA).<sup>30,31</sup> Briefly, BQCA-SA LDC (50 mg) was dissolved in IPA (3 ml), and heated to 70 °C for 15 min on a water bath. This mixture was then rapidly injected (using a syringe) to an aqueous solution of P80 under stirring. The obtained mixture was homogenized (Ika-Ultra Turrax®, T25) at 1000 rpm for 10 min, to get a coarse emulsion. The coarse emulsion was probe sonicated (LABSONIC®M, Sartorius Ltd, Mumbai, India) to form BQCA-SA-P80 NPs. The resulting nanoemulsion was then stirred for 5 min and purged with inert nitrogen to evaporate the solvent. For imaging studies, rhodamine 6G (Rh) loaded stearyl amine-BQCA conjugate nanoparticles (Rh-BQCA-SA-P80-NPs) were prepared as above by adding Rh (0.4 mg) to lipid phase.<sup>32</sup>

### 2.3. Optimization of BQCA-SA-P80 NPs using box Behnken design

The BQCA-SA-P80 NPs formulation was optimized by employing a 3 factor and 3 level Box-Behnken Design (BBD), with 3 central points and 15 experimental runs using Minitab@18.1 statistical software (Minitab Ltd. Coventry, United Kingdom). The concentration of the surfactant P80 (% w/v) (A), homogenization amplitude (%) (B) and the homogenization time (min) (C) are independent variables at low (–1), medium (0) & high (+1) levels. Particle size (PS), and zeta potential (ZP) are dependent variables or responses (Table 1). By using the quadratic mathematical equation, the model was validated.<sup>33,34</sup>

### 2.4. Characterization of BQCA-SA-P80-NPs

**2.4.1. Particle size (PS), zeta potential (ZP) and polydispersity index (PDI).** PS, ZP, and PDI of BQCA-SA-P80-NPs were measured using Zetasizer (Anton Parr Litesizer™500, Kalliope software). The PS and PDI measured by analyzing the fluctuations in DLS by photon correlation spectroscopy technique, electrophoretic light scattering technique was used to determine ZP or surface charge of nanoparticles. All the measurements were performed three times, and the obtained results were expressed as the mean size of particle ± SD.<sup>35</sup>

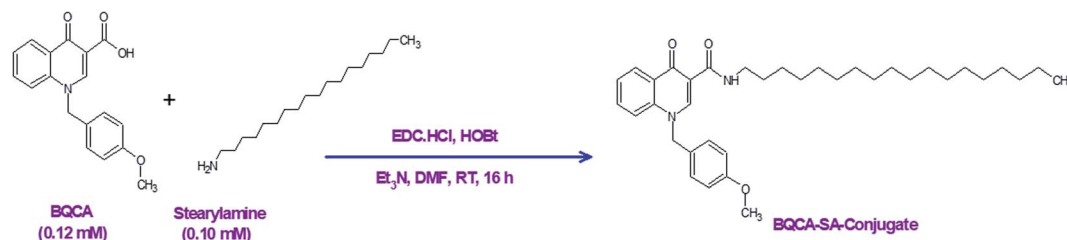


Fig. 1 Synthesis of the BQCA-SA-conjugate.

Table 1 Independent variables and responses used for BBD

Factors	Levels		
	Low level (−1)	Medium level (0)	High level (+1)
Independent variables			
A: Surfactant concentration (% w/v)	0.5	1.5	2.5
B: Sonication amplitude (%)	60	80	100
C: Sonication time (min)	3	6	9
Dependent variables/responses			Constraints
Particle size			Minimize
Zeta potential			Maximize

**2.4.2. Surface morphology.** The surface morphology of the BQCA-SA-P80-NPs was characterized by scanning electron microscopy (SEM) (JSM-5510, JEOL Ltd, Tokyo, Japan) and transmission electron microscopy (TEM) (TEM, JEOL JEM-1200EX, Tokyo, Japan).

**2.4.3. Determination of drug content.** BQCA-SA-P80-NPs (10 mg) was mixed with amidase solution (10  $\mu$ M, 10 ml), and incubated for 24 h. To the above mixture, 1 ml of acetone : methanol (1 : 1) solution was added to precipitate proteins. The mixture was then passed through membrane filter (0.25  $\mu$ m), and suitably diluted and was analyzed using the developed LS-MS method.

## 2.5. *In vitro* drug release studies for an optimized batch of BQCA-SA-P80-NPs

The study was performed on the suspension of nanoparticles within 24 h of preparation using a dialysis membrane (molecular weight cut off 12 000–14 000 Daltons) (ESI<sup>†</sup>).<sup>34</sup>

## 2.6. Hemolysis assay

The hemocompatibility of BQCA-SA-P80-NPs was studied by hemolysis assay (ESI<sup>†</sup>).<sup>36</sup>

## 2.7. Cytotoxicity assay

Cytotoxicity of BQCA-SA-P80-NPs to SH-SY5Y neuronal cells was studied by SRB assay (ESI<sup>†</sup>).<sup>33</sup>

## 2.8. *In vivo* efficacy studies

**2.8.1. Animals.** Adult male Swiss albino mice (25–30 g) were maintained in the central animal house of JSS College of Pharmacy, Ooty. Animals were housed in standard laboratory conditions (22  $\pm$  2 °C, light: dark cycle of 12:12 h) with normal pellet diet and water ad libitum. (IAEC Approval No. JSSCP/IAEC/OT/PhD/Ph.Cology/02/2017–18).

**2.8.2. Induction of AD.** Streptozotocin (STZ) was freshly prepared by dissolving in aCSF (30 mg ml<sup>−1</sup>). Mice were anesthetized with ketamine, xylazine cocktail [2 ml ketamine (50 mg ml<sup>−1</sup>) and 0.8 ml xylazine (20 mg ml<sup>−1</sup>), sterile water upto 10 ml; inject 0.1 ml per 10 g mice, i.p.]. Mice were then carefully placed in the stereotaxic frames, and the head was locked to prevent

movement during the experiment. STZ (3 mg kg<sup>−1</sup>) was injected with Hamilton syringe at a flow rate of 0.5 ml min<sup>−1</sup> using a stereotaxic apparatus. aCSF, instead of STZ was injected for the sham group using the same procedure.<sup>37,38</sup>

**2.8.3. Treatment schedule.** 14 days post-surgery; the animals were divided into 6 groups of 6 each. Groups 1 and 2 received treatment with the vehicle (saline, 1.0 ml kg<sup>−1</sup> i.p.), group 3 received naïve Donepezil (DPZ) solution (3 mg kg<sup>−1</sup> i.p.), group 4 received naïve BQCA (10 mg kg<sup>−1</sup> i.p.), group 5 and 6 received BQCA-SA-P80-NPs 5, and 10 mg kg<sup>−1</sup> i.p., respectively, group 7 received BQCA-SA-P80-NPs (5 mg kg<sup>−1</sup>, i.p.) and Donepezil solution (1 mg kg<sup>−1</sup>, i.p.). The treatment was given daily for 21 days. All the animals were subjected to the behavioural studies during the study period, and biochemical and histological studies were performed at the end of the study.

**2.8.4. Morris water maze test (MWM) effect on acquisition and reversal learning.** The apparatus consists of a large circular tank of (140 cm) diameter, painted black with non-toxic paint. The tank was filled with opaque water containing milk (10 ml in 100 ml) and was maintained at a temperature of 26  $\pm$  1 °C.<sup>39</sup> A platform of (14 cm diameter, 26.7 cm tall) was placed in the tank, such that it is 14 mm below the surface of the water after that, at the start of each trail of the acquisition phase, mice were placed in the tank at any one of the four entry points (East, West, North, and South) facing the wall of the tank, the trails were semi-randomized such that no trail had the same entry point as the earlier trail. However, the order was maintained constant across. In each trial, mice were given a time of 90 s (cut-off) to locate the platform. During which, if the mice fail to locate the platform, it was manually guided to the hidden platform, after which the mice were allowed to stay on the hidden platform for 20 s. Trails for the whole group were completed before the next trial began. Acquisition trails were carried out for 12 days. In addition to acquisition training, animals were tested for spatial memory using probe trails on day 6 and day 12, where the platform was removed, and the animals were allowed to explore the tank for 60 seconds to locate the platform. During the probe trial, the number of times the animal has crossed the hidden platform was noted. After 60 s time period, animals were returned to cages.<sup>40,41</sup> After 3 days rest period after the acquisition phase, the reversal-

learning task was performed for 5 days, where the platform location was changed to the opposite side of the acquisition phase, and thereby accessing the cognitive flexibility. A 3 day rest period was allowed between the acquisition phase and reversal. At the end of the reversal study, another probe trial was performed. During the entire period of study, the positions of cues remained unchanged, the location of the platform remained in the southwest quadrant throughout the acquisition phase. At the end of each day of training, the mice remained in warming cages for 10 min, allowing them to dry completely and then were returned to either their home cage.<sup>40–42</sup>

**2.8.5. Biochemical and histopathological analysis.** At the end of the study, animals were culled by isoflurane anaesthesia, and their brains were harvested.

**2.8.5.1. Preparation of homogenate.** The brain tissue (0.5 g) homogenized in ice-cold PBS (5.0 ml, 0.1 M, pH 7.4) to obtain 10% w/v homogenate. The homogenate was then centrifuged at 10 000 rpm for 20 min at 4 °C. The supernatant was used for the biochemical estimations.

**2.8.5.2. Estimation of A $\beta$ <sub>42</sub> levels.** The levels of A $\beta$ <sub>42</sub> present in the mice brain were estimated using A $\beta$ -42 ELISA kit (Ther-mofisher Scientific, Chennai, India)

**2.8.5.3. Estimation by western blotting.** The levels of APP, tau, NF- $\kappa$ B, BACE after treatment with BQCA-SA-P80-NPs was analyzed by western blotting.<sup>43</sup>

**2.8.6. Histopathology studies.** The brain tissue was fixed in a mixture of formaldehyde (40%), glacial acetic acid, and methanol (1 : 1 : 8). The tissues were cut into 3 mm thickness, and blocks were embedded in paraffin. Sections 4 and 5  $\mu$ m thick were cut in the coronal plane and stained with hematoxylin and eosin (HE) and Cresyl Violet (CV) staining. The photomicrographs of the slides were recorded with a Motic Digital microscope assisted with Motic Images 2000 ver 1.3 software.

**2.8.7. Bio-distribution study non-invasive imaging.** Mice were injected with Rh-BQCA-SA-P80 (10 mg kg<sup>-1</sup>, i.p.) and Rh-BQCA-SA-NPs (10 mg kg<sup>-1</sup>, i.p.), at predetermined intervals of 0, 0.5 and 24 h fluorescent intensity was measured using IVIS, Massachusetts, USA. The images were analyzed using live imaging software (Xenogen, Alameda-ver 4.3.1, USA).

## 2.9. Statistical analysis

All the results were expressed as mean  $\pm$  SEM. Statistical analysis was carried out using one way ANOVA followed by Dunnett's multiple comparison test by using graph pad prism.  $p < 0.05$  was considered significant. (Graph Pad Prism, Version 6, Graph Pad Software Inc., La Jolla, USA).

## 3. Results

### 3.1. Structural characterization of BQCA-SA LDC

The structure of the synthesized BQCA-SA LDC (Fig. S2†) was confirmed by <sup>1</sup>HNMR, <sup>13</sup>CNMR, FTIR and LCMS (Fig. S4–S7†)

**3.1.1. Appearance.** White solid mp. 198–204 °C; <sup>1</sup>NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  10.04 (bs, 1H), 8.94 (s, 1H), 8.53 (d,  $J = 7.6$  Hz, 1H), 7.61 (t,  $J = 7.5$  Hz, 1H), 7.46 (d,  $J = 8.4$  Hz, 2H), 7.11 (d,  $J = 7.9$  Hz, 2H), 6.86 (d,  $J = 8.1$  Hz, 2H), 5.41 (s, 2H), 8.77 (s, 3H), 3.47 (q,  $J = 6.4$  Hz, 2H), 1.38–1.17 (m, 32H), 0.93–0.82 (m, 3H) ppm. <sup>13</sup>C NMR

(100 MHz, CDCl<sub>3</sub>):  $\delta$  176.8, 194.9, 159.7, 148.3, 139.3, 132.7, 128.1, 127.7, 127.3, 126.1, 125.0, 116.7, 114.7, 112.2, 57.2, 55.3, 39.4, 31.9, 29.7, 29.6, 29.4, 29.3, 27.2, 22.7, 14.1 ppm. FT-IR (KBr) 3048, 2955, 2922, 2852, 1741, 1658, 1609, 1522, 1495, 1468, 1242, 1177, 1045, 755, 720. HRMS:  $m/z$  calculated 561.4056 (M + 1), found 561.4048 (M + 1).

### 3.2. Design and optimization of BQCA-SA-P80-NPs

Experimental batches of BQCA-SA-P80-NPs for different combinations of surfactant concentration, sonication amplitude, and sonication time (independent variables) were prepared based on the Box-Behnken experimental design predicted by Minitab@18.1 statistical programs (Minitab Ltd. Coventry, United Kingdom). The results obtained were fed into the software and were analyzed. The effects of independent variables on PS (nm) and ZP (mV) were observed by response analysis of variance (ANOVA) table (Tables S2–S4†), Pareto charts, main effects plots and 3D surface plots (Fig. S8–S13†).

The selection of optimized formulation was achieved based on desirability criteria (near to 1), where the software predicted that surfactant concentration of 2.24% w/v, sonication amplitude of 75% and sonication time fo 9 min is required to achieve BQCA-SA-P80-NPs having PS of 163.85 nm (desirability value-1.000) and ZP of 22.90 mV (desirability value-0.8916). The composite desirability value was found to be 0.946 (Fig. S14†). Based on the predictions a new batch of BQCA-SA-P80-NPs formulation was prepared, where the experimental results gave BQCA-SA-P80-NPs with PS of 166.62  $\pm$  1.24 nm and ZP of 23.59  $\pm$  0.37 mV with a prediction error of 1.69  $\pm$  0.75, and 3.03  $\pm$  1.63 respectively (Table S5†).

### 3.3. Characterization of BQCA-SA-P80-NPs

The physicochemical parameters of the optimized batch of BQCA-SA-P80-NPs was given Table 2. The particle size analysis, SEM and TEM studies revealed that BQCA-SA-P80-NPs are near monodisperse and have a spherical shape (Fig. 2).

### 3.4. *In vitro* drug release studies for an optimized batch of BQCA-SA-P80-NPs

*In vitro* drug release studies for the naïve BQCA and BQCA-SA-P80-NPs performed using aCSF as media, in the presence of amidase, in a dialysis bag. It was observed that the release of naïve BQCA from the solution was much faster than from BQCA-SA-P80-NPs. Naïve BQCA released almost completely (98.79  $\pm$  1.55%) released from the solution by the end of 8<sup>th</sup> hour. However, the BQCA-SA-P80-NPs showed a biphasic release pattern, *i.e.*, an initial

Table 2 Physicochemical parameters of the optimized batch of BQCA-SA-P80-NPs<sup>a</sup>

Parameter	Value
PS (nm)	166.62 $\pm$ 1.24
ZP (mV)	23.59 $\pm$ 0.37
PDI	0.397 $\pm$ 0.21
Drug content (%)	97.24 $\pm$ 2.14

<sup>a</sup> The values are mean  $\pm$  S.D., ( $n = 3$ ).

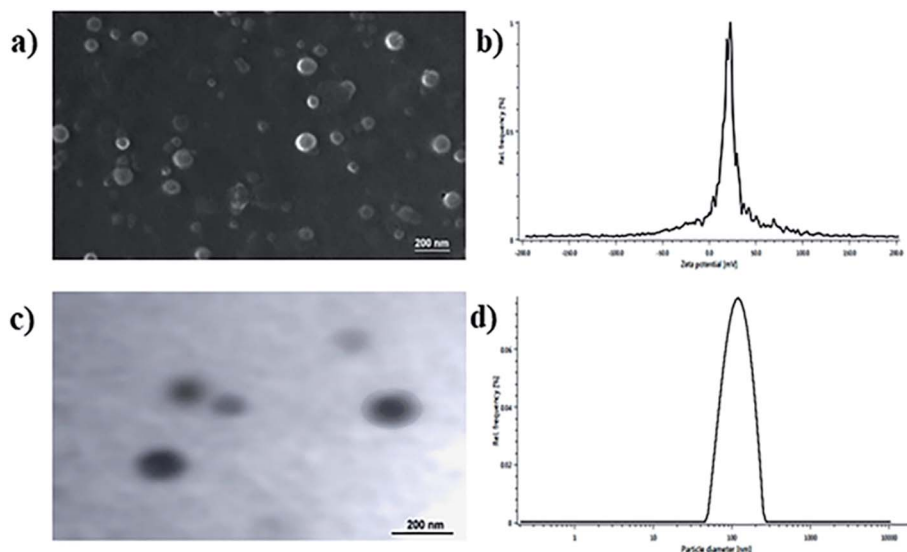


Fig. 2 (a) SEM image of optimized BQCA-SA-P80-NPs; (b) TEM image of optimized BQCA-SA-P80-NPs; (c) particle size distribution of optimized BQCA-SA-P80-NPs; (d) zeta potential curves for optimized BQCA-SA-P80-NPs.

burst release till the 4<sup>th</sup> h followed by sustained release up to 48 h ( $86.31 \pm 2.27\%$ ) (Fig. S15<sup>†</sup>). The data obtained from dissolution studies were fitted into various release kinetic models (Fig. S16–S19<sup>†</sup>). Based on the  $R^2$  and ' $n$ ' values, it was found that the release of BQCA from BQCA-SA-P80-NPs follows first-order release kinetics and the non-Fickian mode of drug release (Table S8<sup>†</sup>).

### 3.5. Hemolysis assay

The results were given in Fig. 3. The results show that BQCA-SA-P80-NPs when incubated at concentrations of 5–500  $\mu\text{g ml}^{-1}$ , show minimal hemolysis. The highest incubated concentration of 500  $\mu\text{g ml}^{-1}$ , showed only a minimum of  $1.12 \pm 0.11\%$  (mean  $\pm$  SD) hemolysis. This is within the permitted limits of 5%.

### 3.6. Cytotoxicity assay

The results were given in Fig. 4. The results show that BQCA-SA-P80-NPs when incubated at concentrations of 5–500  $\mu\text{g ml}^{-1}$  show minimal cytotoxicity to SH-SY5Y. BQCA-SA-P80-NPs show  $85.0 \pm 6.6\%$  (mean  $\pm$  SD), cell viability even at 500  $\mu\text{g ml}^{-1}$  (48 h of incubation).

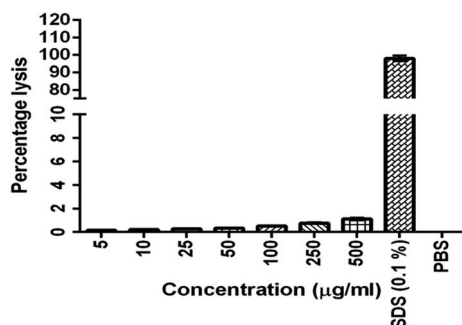


Fig. 3 *In vitro* hemolysis assay for optimized BQCA-SA-P80-NPs.

### 3.7. Bio-distribution studies using non-invasive imaging

The results were given in Fig. 5(a). From the bioluminescence studies, it was evident that Rh-BQCA-SA-P80-NPs accumulated explicitly in the brain compared to Rh-BQCA-SA-NPs formulation at 24 h.

### 3.8. *In vivo* efficacy studies

**3.8.1. Morris water maze test-effect on acquisition and reversal learning latency.** The results were given in Fig. 5(b–d). Administration of STZ to disease control animals has significantly increased the acquisition and reversal latency when compared to sham control animals ( $p < 0.05$ ). All the treatment groups significantly reduced the STZ induced increase in the acquisition and reversal latency time, when compared to disease control animals ( $p < 0.05$ ). Among the treatment groups, group 7 treated with a combination of BQCA-SA-P80-NPs (5  $\text{mg kg}^{-1}$ , i.p.) and DPZ (1  $\text{mg kg}^{-1}$ , i.p.) shows highest activity followed by group-6 and group-5 treated with BQCA-SA-LDC-NPs, high (10  $\text{mg kg}^{-1}$ , i.p.) and low (5  $\text{mg kg}^{-1}$ , i.p.) respectively. Group 3 and 4 treated with naïve DPZ (3  $\text{mg kg}^{-1}$ , i.p.) and naïve BQCA (10  $\text{mg kg}^{-1}$ , i.p.) show only a moderate effect.

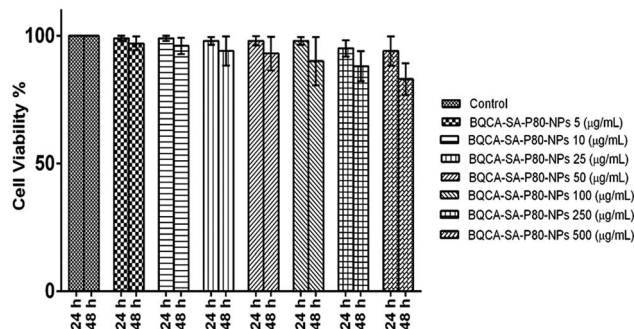


Fig. 4 *In vitro* cytotoxicity studies for optimized BQCA-SA-P80-NPs.

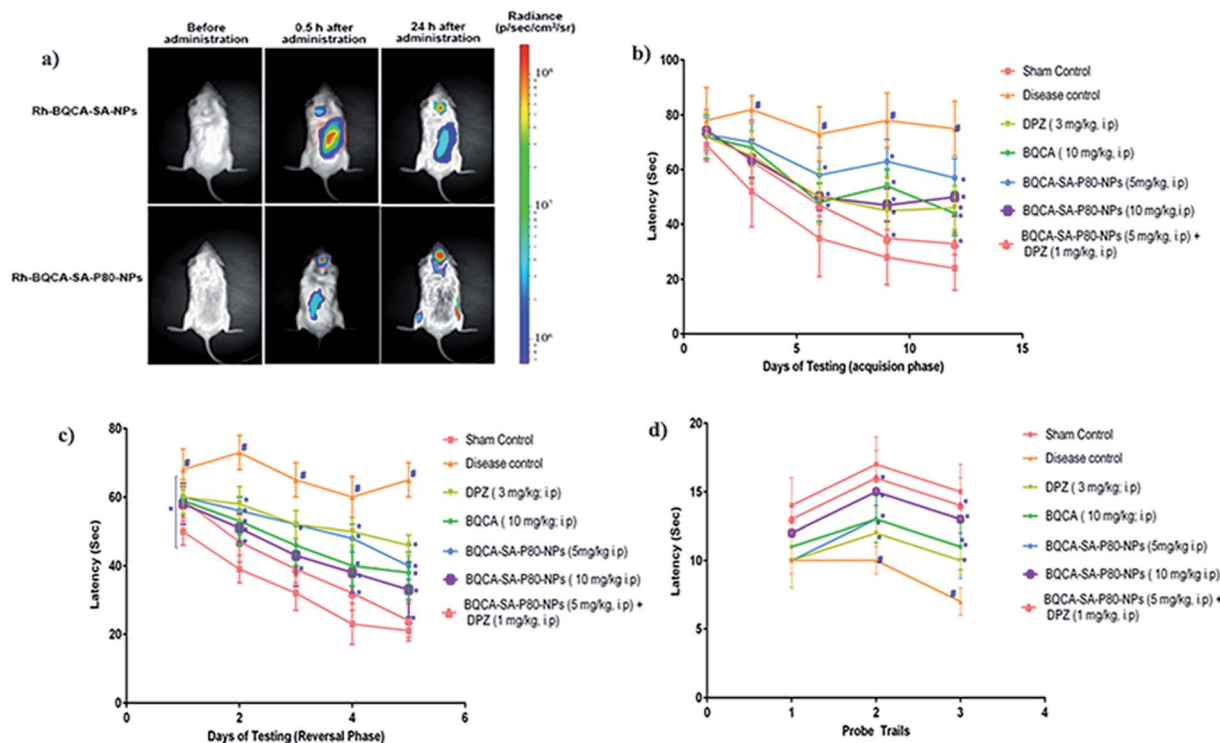


Fig. 5 (a) Biodistribution of Rh-BQCA-SA-P80-NPs before administration and 0.5 h, 24 h of *in vivo* administration; (b) latency to locate platform during acquisition phase performed for 12 days, #*p* < 0.05 vs. sham control; \**p*, 0.05 vs. disease control; (c) latency to locate platform during reversal phase performed for 5 days #*p* < 0.05 vs. sham control; \**p*, 0.05 vs. disease control; (d) passing times through the location of platform during probe trails; #*p* < 0.05 vs. sham control; \**p*, 0.05 vs. disease control.

**3.8.2. Estimation of levels of A $\beta$ -42.** The results were given in Fig. 6. STZ administration to disease control group has significantly increased the levels of A $\beta$ -42 levels when compared to the sham control group (*p* < 0.05). All the treatment groups have shown a significant reduction in A $\beta$ -42 levels in mice brains when compared to disease control (*p* < 0.05). Among the treatment groups, group 7 treated with combination of BQCA-SA-P80-NPs (5 mg kg<sup>-1</sup>, i.p.) and DPZ (1 mg kg<sup>-1</sup>, i.p.) shows highest activity followed by group-6 (BQCA-SA-LDC-NPs, 10 mg kg<sup>-1</sup>, i.p.), group-5 (BQCA-SA-LDC-NPs, 5 mg kg<sup>-1</sup>, i.p.), group 3 (DPZ 3 mg kg<sup>-1</sup>, i.p.) and group 4 (naïve BQCA 10 mg kg<sup>-1</sup>, i.p.).

**3.8.3. Estimation of APP, tau, NF- $\kappa$ B, BACE by western blotting.** The results of western blot analysis were given in Fig. 7, and the results show that the treatment groups showed significant inhibition of the expression of APP, p-tau, NF- $\kappa$ B, and BACE, when compared to the disease control group (*p* < 0.05).

**3.8.4. Histology.** The results were given in Fig. 8. In the sham control group, the regular architecture of brain tissue was observed with well-defined pyramidal cells in the hippocampal regions. In the disease control group, brain sections show significant neurodegeneration as indicated by reduced CV positive neurons in both cortex and hippocampus. All the treatment groups have shown significant protection against STZ induced neuronal changes.

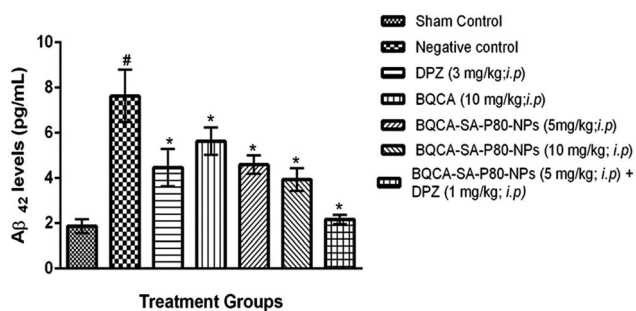


Fig. 6 Mean mouse A $\beta$ 42 levels in different treatment groups, #*p* < 0.05 vs. sham control, \**p* < 0.05 vs. disease control; the values are mean  $\pm$  S.D. (*n* = 3).

## 4. Discussion

In the present study, an attempt has been made to develop BQCA-SA-P80-NPs to improve the brain bioavailability and residence time of BQCA and to enhance the efficacy of endogenous ACh on M<sub>1</sub> receptor in the brain for the better management of AD. BQCA-SA LDC lipid bulk was synthesized using BQCA and SA by an amide coupling reaction. The obtained BQCA-SA LDC was formulated into BQCA-SA-P80-NPs by the solvent injection method, using polysorbate 80 as a surfactant. PS plays a vital role in deciding the *in vivo* fate of nanoparticles. Particles of size 10–1000 nm were found to have accessibility in

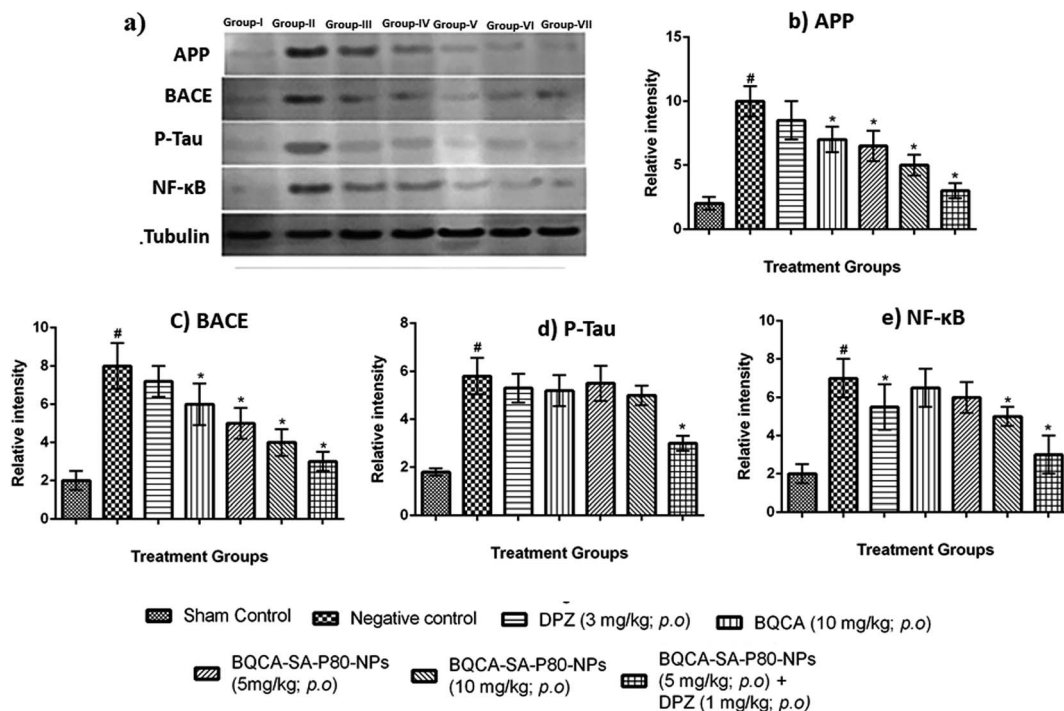


Fig. 7 (a) Western blot analysis for (a) qualitative and (b–e) quantitative measurement of APP, BACE, P-tau and NF- $\kappa$ B in different treatment groups respectively, # $p < 0.05$  vs. sham control, \* $p < 0.05$  vs. disease control. The values are means  $\pm$  S.D.,  $n = 3$ .

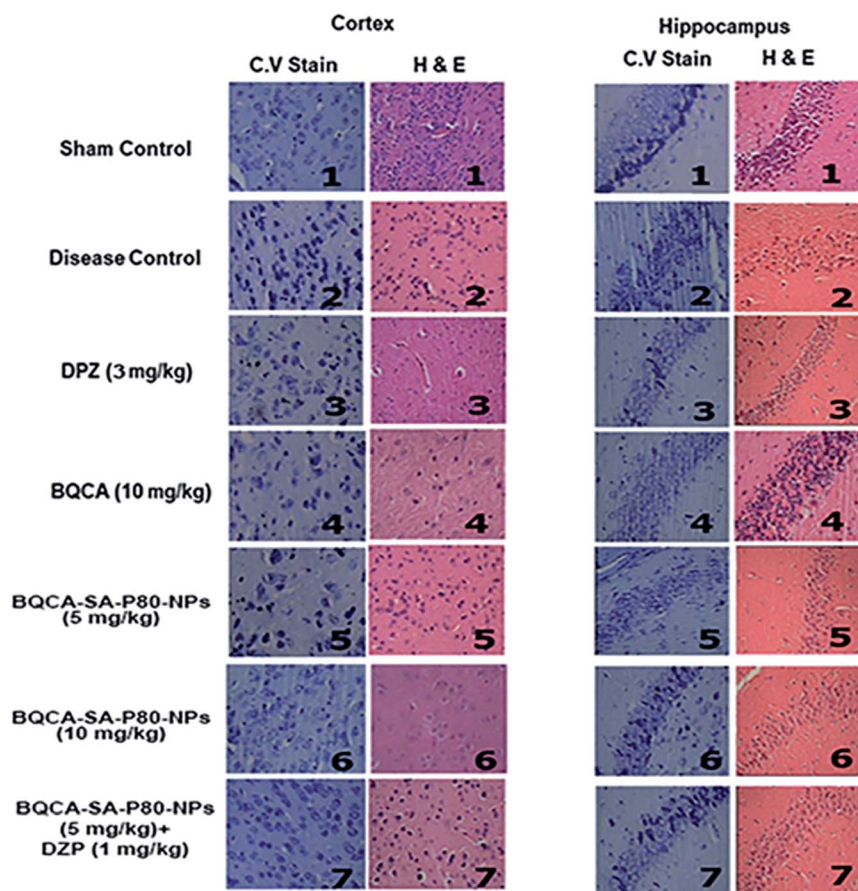
the body and are freely transported throughout the body by the circulatory system.<sup>44</sup> ZP serves as the measure for the surface charge of the BQCA-SA-P80-NPs and plays an important role in the determination of stability of nanoparticulate systems.<sup>45</sup> It is reported that particles with charge, +30 mV to –30 mV, tend to have excellent stability by repelling each other electrostatically and thereby prevent the formation of particle aggregates.<sup>46,47</sup> In the present study, BQCA-SA-P80-NPs have PS range of 164.20 to 209.40 nm and ZP ranging from 25.99 to 28.13 mV. This indicates the excellent stability of prepared nanoparticles.

PDI serves as the measure of monodispersity of the formulation; it has a value ranging from 0.0–1.0. In the present study, the PDI of the optimized batch of BQCA-SA-P80-NPs was found to be  $0.397 \pm 0.21$ , indicating the near monodispersity of the formulation. Assessment of surface morphology of the BQCA-SA-P80-NPs was done by SEM and TEM analysis, and it revealed the near-spherical nature of the particles.

*In vitro* drug release studies of naïve BQCA and optimized BQCA-SA-P80-NPs was performed in aCSF using the dialysis bag method. Amidase was added into the release medium as it can mimic the lysosomal conditions and can aid in breaking the amide bond between BQCA and SA, thereby, releasing free BQCA.<sup>48,49</sup> The release of naïve BQCA was found to be very fast from the dialysis bag (97.34% in 8 h); this may be attributed to the absence of nanoparticulate system or the barrier to regulate the drug release. However, BQCA-SA-P80-NPs were shown to release BQCA over a time of 48 h. BQCA-SA-P80-NPs were shown to produce biphasic release with an initial burst release up to 4 h, followed by a controlled release up to 48 h (86.31%). This can be attributed to the easy access of the dissolution fluid to

the drug available on the surface of BQCA-SA-P80-NPs. However, as time progresses, the release of drug is hindered due to reduced access of the dissolution fluid to the matrix of BQCA-SA-P80-NPs due to their lipophilic nature.<sup>30</sup> Drug release kinetics plots shown that the BQCA-SA-P80-NPs follow first-order release kinetics, and non-fickian mode of drug release.<sup>30,50</sup>

BQCA-SA-P80-NPs targeted to the brain should be biocompatible. The biocompatibility of BQCA-SA-P80-NPs was assessed by hemolytic and cytotoxicity assay. Available literature suggests that the hemolytic percentage should not exceed 5% for a formulation to be considered safe.<sup>51,52</sup> In the present study, after 1 h of incubation, BQCA-SA-P80-NPs, even at a concentration of  $500 \mu\text{g ml}^{-1}$  produced only  $1.12 \pm 1.2\%$  hemolysis suggesting that the formulation as hemocompatible. However, we can expect a still lesser hemolytic percentage *in vivo* due to the dynamic movement of RBC in blood circulation. BQCA-SA-P80-NPs at concentrations of 5–500  $\mu\text{g ml}^{-1}$ , were exposed to SH-SY5Y, human neuroblastoma cell lines for 48 h. The observed CTC50 was found to be  $>500 \mu\text{g}$ ; therefore, establishing the safety of the formulation.<sup>53,54</sup> Biofluorescent studies were performed to access the brain localization of BQCA-SA-P80-NPs, using dye loaded Rh-BQCA-SA-P80-NPs. The results show that Rh-BQCA-SA-P80-NPs were significantly loaded in the brain by the end of 24 h. It is a well-established fact that uptake of P80 surface-modified nanoparticles to the brain was mediated through LDL receptor. Surface modification with P80 leads to adsorption of ApoE on the nanoparticles, which then facilitates BBB permeability *via* LDL receptor-mediated endocytosis<sup>55,56</sup> and thereby, leads to increased brain uptake.<sup>57,58</sup> BQCA-SA-P80-NPs were formulated using polysorbate 80 as



**Fig. 8** CV stain and H & E staining of cortex and hippocampus of different treatment groups. (1) sham control showing the normal architecture of the brain; (2) disease control showing significant neurodegeneration; (3) DPZ (3 mg kg<sup>-1</sup>, i.p.) showing moderated neurodegeneration; (4, 5, 6, 7) BQCA (10 mg kg<sup>-1</sup>, i.p.), BQCA-SA-P80-NP (5 mg kg<sup>-1</sup>, i.p.), BQCA-SA-P80-NP (10 mg kg<sup>-1</sup>, i.p.), combination (BQCA-SA-P80-NP (5 mg kg<sup>-1</sup>, i.p. and DPZ (1 mg kg<sup>-1</sup>, i.p.)), showing minimal neurodegeneration.

a surfactant, where the polysorbate 80 forms a coating around the nanoparticles and, therefore, was predicted to increased brain uptake by the above mechanism. Reports suggest that BQCA has a lower brain half-life (2.04 h) than plasma half-life (3.3 h).<sup>59</sup> *In vivo* fluorescence studies show that BQCA-SA-P80-NPs will be localized in the brain for a period of over 24 h and form the *in vitro* drug release studies BQCA show a controlled release over a period of 48 h. Therefore, the formulation of BQCA-SA-P80-NPs will significantly improve brain retention time and reduce elimination rates of BQCA.

M<sub>1</sub> activation has been reported to enhance sAPP $\alpha$  generation and reduce A $\beta$  production by promoting the activity of  $\alpha$ -secretase and lowering the action of beta secretase (BACE).<sup>60,61</sup> Some other studies show that M<sub>1</sub> activation promotes the processing of amyloid precursor protein (APP) through the amyloidogenic pathway.<sup>60</sup> Recent studies showed that M<sub>1</sub> mAChR directly interacts with BACE and mediates its proteasomal degradation.<sup>62</sup> In addition to inhibiting A $\beta$  generation, activation of M<sub>1</sub> mAChR counteracts A $\beta$ -induced neurotoxicity through the Wnt signaling pathway, as A $\beta$  impairs the Wnt pathway and M<sub>1</sub> mAChR stimulation inactivates GSK-3 $\beta$  *via* PKC activation, stabilizes  $\beta$ -catenin, and induces the expression of

Wnt-targeting genes engrailed and cyclin-D1 for neuron survival.<sup>63</sup> As GSK-3 $\beta$  is a major kinase for tau phosphorylation, stimulation of M<sub>1</sub> inhibits tau phosphorylation as well.<sup>62,64</sup> The M<sub>1</sub> mAChR cascade may also be involved in counteracting decreased cerebral blood flow, which is one of the most consistent characteristics in pathological conditions such as AD, ischemic brain injury, intracerebral hemorrhage, and cognitive dysfunction.<sup>65,66</sup> In fact, A $\beta$  has been shown to induce the uncoupling of M<sub>1</sub> mAChR from G-protein, antagonizing the function of M<sub>1</sub> mAChR under the pathological conditions of AD. In the present study, when compared to disease control, BQCA-SA-P80-NPs treatment show as significant dose-dependent reduction/prevention of STZ induced changes in memory levels of A $\beta$ <sub>1-42</sub>, P-tau, APP, NF- $\kappa$ B and BACE levels) and also on neurodegeneration in cortex and hippocampus of the brain ( $p < 0.05$ ). When compared with naïve BQCA treatment (group 4), the BQCA-SA-P80-NPs treated groups (group 5 and 6) show better activity in reversing STZ induced changes. This could be due to improved retention time of BQCA-SA-P80-NPs compared to naïve BQCA resulting in a prompt and efficient activation of M<sub>1</sub> receptors. When combined with AChE inhibitor, DPZ (even at sub therapeutic dose of 1 mg kg<sup>-1</sup>, i.p.) (group 7), the activity



significantly improves than the individual treatments (group 4, 5 & 6), therefore, indicating a possible synergistic or additive effect. The observed additive/synergism could be due to increased ACh levels (due to DPZ) and potentiation of ACh action on M<sub>1</sub> receptors (due to BQCA), overall resulting in a prompt activation of M<sub>1</sub> receptors.

## 5. Conclusion

In conclusion, the BQCA-SA-P80-NPs formulation improves the brain bioavailability and therapeutic efficacy of BQCA in the management of AD. Besides, the formulation also helps in reducing the therapeutic dose of AChE inhibitors such as DPZ and hence can reduce their associated peripheral side effects and overall help in improving patient compliance. Further development of this formulation could benefit millions of AD patients.

## 6. Ethical statement & approval

All animal procedures involved in the study were performed in accordance with the CPCSEA guidelines, Government of India and guidelines for care and use of laboratory animals, JSS College of Pharmacy, Ooty. A prior approval of the study plan has been obtained from the Institutional Animal Ethics Committee of JSS College of Pharmacy, Ooty (IAEC Approval No. JSSCP/IAEC/OT/PhD/Ph.Cology/02/2017-18).

## Conflicts of interest

The authors declare that there is no conflict of interest.

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