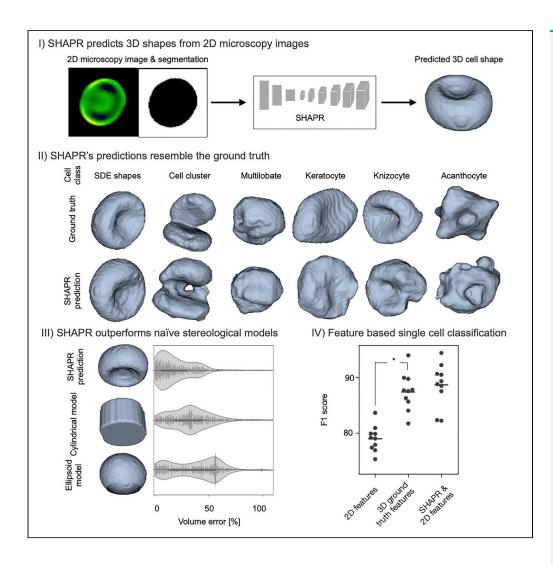
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Article

SHAPR predicts 3D cell shapes from 2D microscopic images



Dominik J.E. Waibel, Niklas Kiermeyer, Scott Atwell, Ario Sadafi, Matthias Meier, Carsten Marr

matthias.meier@ helmholtz-muenchen.de (M.M.) carsten.marr@ helmholtz-muenchen.de (C.M.)

Highlights

SHAPR predicts 3D single cell shapes from 2D microscopic images

It is trained with a twostep supervised and adversarial approach

SHAPR improves morphological feature based cell classification

SHAPR learns fundamental 3D shape properties of humaninduced pluripotent stem cells

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SHAPR predicts 3D cell shapes from 2D microscopic images

Dominik J.E. Waibel,^{1,2,3} Niklas Kiermeyer,^{1,2} Scott Atwell,⁵ Ario Sadafi,^{1,2,4} Matthias Meier,^{5,*} and Carsten Marr^{1,2,6,*}

SUMMARY

Reconstruction of shapes and sizes of three-dimensional (3D) objects from twodimensional (2D) information is an intensely studied subject in computer vision. We here consider the level of single cells and nuclei and present a neural network-based SHApe PRediction autoencoder. For proof-of-concept, SHAPR reconstructs 3D shapes of red blood cells from single view 2D confocal microscopy images more accurately than naïve stereological models and significantly increases the feature-based prediction of red blood cell types from F1 = 79% to F1 = 87.4%. Applied to 2D images containing spheroidal aggregates of densely grown human induced pluripotent stem cells, we find that SHAPR learns fundamental shape properties of cell nuclei and allows for predictionbased morphometry. Reducing imaging time and data storage, SHAPR will help to optimize and up-scale image-based high-throughput applications for biomedicine.

INTRODUCTION

Recording single cells with confocal microscopy for high-throughput biomedical applications is prohibitively time-consuming in three dimensions (3D) as it requires the acquisition of multiple two-dimensional (2D) images. This raises the question of how to optimally trade-off between throughput and resolution in space and time. A number of methods to reduce imaging time for single cell characterization have recently been developed, ranging from microscopic techniques, such as optical diffraction tomography (Sung et al., 2009), digital holographic imaging (Javidi et al.) or integral imaging microscopy (Moon et al., 2013; Martínez-Corral and Javidi, 2018), to in-silico fluorescence staining (Ounkomol et al., 2018; Christiansen et al., 2018; Rivenson et al., 2019) and image restoration techniques (Weigert et al., 2018). To predict single-cell 3D morphology, one would ideally be able to exploit the information in 2D fluorescence microscopy images. Deep learningbased solutions for predicting 3D object shapes from photographs exist, creating meshes (Wang et al., 2018; Gkioxari et al., 2019), voxels (Choy et al., 2016), or point clouds (Fan et al., 2017) for airplanes, cars, and furniture, but they cannot be translated to fluorescence microscopy for several reasons. First, fluorescence microscopy imaging is fundamentally different from real-world photographs in terms of color, contrast, and object orientation. Second, unlike the shapes of cars or furniture that might vary because of differing photographic viewpoints, the shapes of single cells are similar but never the same, and it is often not feasible to image the same cell from different angles in high throughput microscopy. Finally, existing computer vision algorithms have been trained on tens of thousands of photographs where synthetic 3D models are available (Chang et al., 2015; Sun et al., 2018; Xiang et al., 2014). In the biomedical domain the number of potential training images is orders of magnitude smaller. Although Wu et al. (2019) have demonstrated that neural networks can be used for 3D refocusing onto a user-defined surface from 2D microscopy images containing single fluorescent beads or fluorophore signals, to the best of our knowledge no model exists in the biomedical domain to reconstruct 3D cell shapes from 2D confocal microscopy images.

RESULTS

We addressed these problems with SHAPR, a deep learning network algorithm that combines a 2D encoder for feature extraction from 2D images with a 3D decoder to predict 3D shapes from a latent space representation (Figures 1A and S1).



¹Institute of AI for Health, Helmholtz Munich - German Research Center for Environmental Health, Neuherberg, Germany

²Institute of Computational Biology, Helmholtz Munich -German Research Center for Environmental Health, Neuherberg, Germany

³Technical University of Munich, School of Life Sciences, Weihenstephan, Germany

⁴Computer Aided Medical Procedures, Technical University of Munich, Munich, Germany

⁵Helmholtz Pioneer Campus, Helmholtz Munich - German Research Center for Environmental Health, Neuherberg, Germany ⁶Lead contact

*Correspondence: matthias.meier@ helmholtz-muenchen.de (M.M.), carsten.marr@ helmholtz-muenchen.de (C.M.) https://doi.org/10.1016/j.isci. 2022.105298

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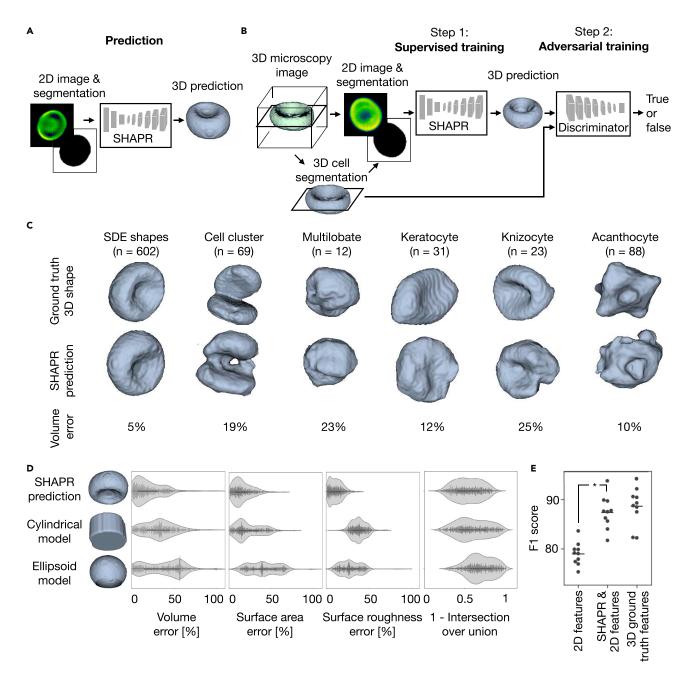


Figure 1. SHAPR predicts 3D cell shapes from 2D microscopic images more accurately than naïve stereological models and improves shape classification

(A) SHAPR consists of an encoder for embedding 2D images into a 128-dimensional latent space and a decoder for reconstructing 3D cell shapes from the latent space representations.

(B) Two-step training approach: during step 1, SHAPR was trained in a supervised fashion with 2D fluorescent confocal cell microscopy images and their corresponding binary segmentations from a red blood cell library. During step 2, SHAPR was fine-tuned with a discriminator challenging its cell shape predictions.

(C) Example predictions for a set of red blood cells representing six different classes. The SDE shape class combines spherocytes, stomatocytes, discocytes, and echinocytes.

(D) The volume error is significantly lower for SHAPR (20 \pm 18%) as compared to two naïve stereological models (Volume_{cylindrical} = 33 \pm 22, p_{cylindrical} = 2.6 x 10⁻⁴⁶; and Volume_{ellipsoid} = 37 \pm 23; p_{ellipsoid} = 7.8 x 10⁻⁷³, n = 825, paired Wilcoxon signed-rank test). Volume, surface area, and roughness error are significantly reduced.

(E) Random forest-based red blood cell classification is significantly improved when morphological features extracted from SHAPR predicted cell shapes are added to features derived from 2D images (p = 0.005, paired Wilcoxon signed-rank test, n = 825).



SHAPR predicts 3D cell shapes from 2D microscopic images more accurately than naïve stereological models

For proof of concept, we predicted cell shapes using a recently published library detailing 3D red blood cell shapes (n = 825 cells) (Simionato et al., 2021). Each cell shape was reconstructed from 68 confocal images with a z-resolution of 0.3µm. Using the 2D image that intersects the red blood cell at the center slice, and the corresponding segmentation as input, SHAPR was trained by minimizing binary cross-entropy and dice loss between the true and the predicted 3D red blood cell shape (Figure 1B and STAR methods). To increase SHAPR's prediction accuracy, a discriminator model (Figure 1B) was trained to differentiate between true and predicted 3D cell shapes. SHAPR and the discriminator were trained until the predicted cell shape converged to an optimum. In each one of five cross-validation runs, 495 (60%) red blood cells from the library were used for training and 165 (20%) for intermediate validation during training. During testing, we predicted the 3D shapes of 165 (20%) previously unseen red blood cells. The results demonstrate that SHAPR is able to predict single red blood cell 3D shapes: although non-complex morphologies from red blood cells with a biconcave discoid shape (stomatocyte-discocyte-echinocyte (SDE) shape class) were predicted with low relative volume error, more complex shapes with irregular protrusions or cavities as seen in knizocytes and acanthocytes were predicted with larger errors (Figure 1C). We compared this cell shape prediction to two naïve stereological models, i.e., a cylindrical and an ellipsoid fit (see STAR methods). SHAPR predictions significantly outperformed these models with respect to volume error, 2D surface area error, surface roughness error and intersection over union (Figure 1D).

SHAPR improves morphological feature based cell classification

Simionato et al. (Simionato et al., (2021) classified red blood cells into six categories (Figure 1C) based on their 3D morphology. Can SHAPR predictions from 2D images improve such a downstream classification task? To investigate this, we extracted 126 morphological features, 11 features from an additionally predicted object mesh, object moments up to third order, correlation and dissimilarity of gray level co-occurrence matrices, and 64 Gabor features (see STAR methods for more details) from each predicted 3D cell shape. Using random forest (Breiman, 2001), we classified each blood cell into one of the six classes and compared SHAPR's performance with the 3D ground truth features and a 2D baseline, where only features derived from the 2D image and segmentation were used (see STAR methods). As expected, classification based on ground truth features led to the highest F1 score (Figure 1E; 88.6 \pm 3.7%). Strikingly, enriching 2D features with SHAPR derived features performed significantly better (F1 = 87.4 \pm 3.1%, mean \pm std.dev., n = 10 cross-validation runs) than using 2D features only (F1 = 79.0 \pm 2.2%) in a tenfold cross-validation (Figure 1E, p = 0.005, paired Wilcoxon signed-rank test).

SHAPR learns fundamental 3D shape properties of human-induced pluripotent stem cell (iPSC) nuclei from a single 2D slice

Predicting shapes from 2D planes close to the cell's center of mass does not accurately reflect the complexity of real world applications. Therefore, we challenged SHAPR with the task of predicting cell nuclei shapes from confocal z-stacks containing fluorescence counterstained nuclei from human-induced pluripotent stem cells (iPSCs) cultured in a spheroidal aggregate. To generate the ground truth data, 887 cell nuclei from six iPSCderived aggregates were manually segmented in 3D (Figure 2A). SHAPR was provided with one 2D image slice taken at an aggregate depth of 22 µm and it is the corresponding segmentation as input (Figure 2B). Nuclei were thus cut at random heights, leading to a variety of segmented areas, markedly complicating the prediction of 3D shapes (Figure 2C). Following this, we trained five SHAPR models during cross-validation. Predictions were compared to cylindrical and ellipsoid fits, as described above. Again, the relative volume error was significantly lower for SHAPR (Figure 2D; Volume_{SHAPR} = 33 ± 41 vs. Volume_{CVindrical} = 44 ± 25 , p = 9.2×10^{-36} , and Volume_{Ellipsoid} = 62 \pm 29, p = 8.7 \times 10⁻⁸⁶, n = 887, paired Wilcoxon signed-rank test) compared to the naïve models. More importantly, SHAPR predictions were also closer to the true nuclei shapes in terms of volume and surface area compared with cylindrical and ellipsoid model predictions (Figure 2D). To determine how much information our model had learned about nuclear shape, we compared the 2D segmentation area with the volume of the ground truth, the SHAPR predictions, and the cylindrical and ellipsoid fits (Figure 2E). As expected, the cylindrical and ellipsoid models were simply extrapolating area to volume monotonically, whereas the ground truth suggested a more complex relationship. SHAPR was able to learn that small segmentation areas (<200 pixels) do not emerge from minuscule nuclei but from slices at the nuclear edge. Notably, our model could only obtain high intersection over union scores for slices close to the center of mass, in contrast to volume and surface error (Figure 2F). This suggests that it can predict volume and surface correctly, but not if a nucleus is cut in its upper or the lower half.



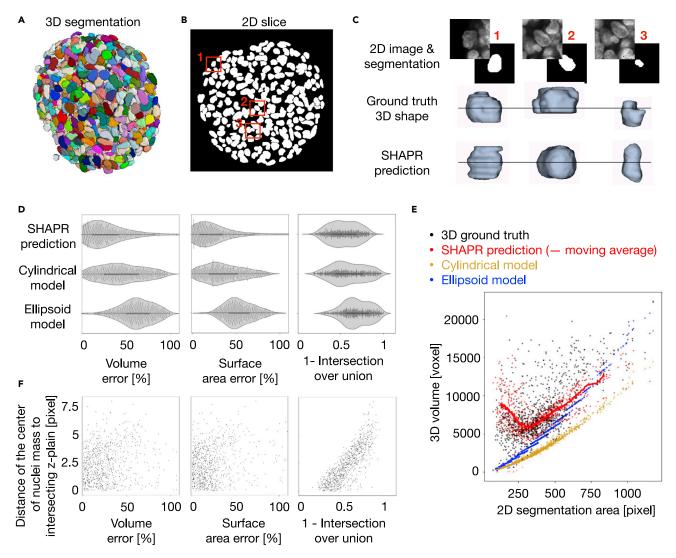


Figure 2. SHAPR learns fundamental 3D shape properties of human-induced pluripotent stem cell (iPSC) nuclei from a single 2D slice

(A) Representative image of a segmented human-iPSC derived 3D cell culture with fluorescently stained nuclei. To generate ground truth data, six 3D cell cultures were manually segmented.

(B) 2D nuclei segmentation from a single slice at 22 μ m depth.

(C) 2D segmentation areas and fluorescent image intensities varied considerably with the position of the intersecting slice.

(D) SHAPR predictions outperform cylindrical and ellipsoid models in terms of volume, surface area error and intersection over union.

(E) Although the cylindrical and ellipsoid models are only able to extrapolate volumes in a naïve manner, SHAPR learned complex, non-linear relationships between the 2D segmentation area and the 3D volume of a nucleus.

(F) Although the intersection over union decreased with distance to the nucleus center of mass, volume, and surface predictions were unaffected.

DISCUSSION

SHAPR is able to solve the ambiguous inverse problem of predicting 3D shapes of single cells and nuclei from 2D images, shown on two different datasets. Although Wu et al.'s (Wu et al., 2019) approach can predict the axial position of single fluorophores from a 2D image, and Ounkomol et al. (Ounkomol et al., (2018) have shown that fluorescent markers can be accurately predicted from 3D brightfield images, which is an image to image translation task, SHAPR performs a spatial reasoning task, considering contextual information to reconstruct the shape of cells and nuclei. SHAPR is however not able to reconstruct information that is inaccessible or coming from far away from the imaged plane. Reconstructing 3D shapes is an ambiguous inverse problem because it is not clear if voxels would lie over or under the imaged 2D plane that SHAPR was provided with. Furthermore, each 2D image permits numerous 3D reconstructions, similar to how a shadow alone does not permit precise conclusions about the corresponding shape. Position-invariant metrics such as the volume error or surface area error



should thus be used as alternative evaluation metrics beyond the IoU. Although there are some outliers with a high reconstruction error, SHAPRs was generally able to retrieve real-world 3D information and outperformed naïve 3D shape fitting models on both datasets. Furthermore we have shown that classification accuracy for red blood cells was significantly improved using the features provided by SHAPR, as opposed to the features extracted from the 2D images. Predicting 3D shapes from 2D images thus offers a simple way to reduce imaging time and data storage while retaining morphological details. A trained SHAPR model could thus enable efficient predictions of single-cell volume distributions and density, e.g. to screen organoids and identify outlier events. In combination with in-silico staining approaches (Ounkomol et al., 2018; Christiansen et al., 2018; Rivenson et al., 2019), SHAPR could be used for label-free single cell classification, e.g., for diagnostic purposes in computational pathology (O'Connor et al., 2020,2021; Anand et al., 2017). We are curious to further explore SHAPR's potential on phenotypic data, where cell morphologies may be subject to change and a model trained on wildtype data might have difficulties to generalize. As a general framework SHARP is not limited to confocal fluorescence images, and it will be particularly interesting to integrate different image modalities in the future. Also, utilizing multiple 2D slices as an input for SHAPR could prove beneficial, as well as using more informative losses that e.g. incorporate topological information (Horn et al., 2021). Our well documented open source package of SHAPR, available on GitHub, allows for easy extension of the data loader and adaptations of the loss function used. Going beyond single-cell shape prediction, our approach may be extended to other biological structures, including organelles and proteins, and may increase the efficiency of biomedical imaging in multiple domains.

Limitations of the study

SHAPR may predict cell shapes with a high relative error to the ground truth for some samples. The binary cross entropy and dice loss used during training are not able to regularize contextual information, but only geometrical information. We explore a possible solution to this by incorporating a topology based loss function (Waibel et al., 2022).

STAR***METHODS**

Detailed methods are provided in the online version of this paper and include the following:

- KEY RESOURCES TABLE
- RESOURCE AVAILABILITY
 - O Lead contact
 - Data and code availability
- METHOD DETAILS
- O SHAPR
- O Training parameters
- Evaluation metrics
- O Feature extraction
- O Feature-based classification
- Datasets

SUPPLEMENTAL INFORMATION

Supplemental information can be found online at https://doi.org/10.1016/j.isci.2022.105298.

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AUTHOR CONTRIBUTIONS

D.W. and N.K. implemented code and conducted experiments. D.W., N.K., and C.M. wrote the manuscript with A.S., S.A. and M.M. D.W. created figures and the main storyline with C.M., S.A., and M.M. provided the 3D cell culture dataset and ideas. C.M. supervised the study. All authors have read and approved the manuscript.



DECLARATION OF INTERESTS

The author(s) declare no competing interests.

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STAR*METHODS

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Deposited data		
Red blood cell dataset	(Simionato et al., 2021)	https://doi.org/10.5281/zenodo.7031924
Nuclei Dataset	This paper	https://doi.org/10.5281/zenodo.7031924
Software and algorithms		
SHAPR	This paper	https://github.com/marrlab/SHAPR

RESOURCE AVAILABILITY

Lead contact

Further information and requests for resources and reagents should be directed to and will be fulfilled by the lead contact, Carsten Marr (carsten.marr@helmholtz-muenchen.de).

Data and code availability

- The datasets are available at: https://doi.org/10.5281/zenodo.7031924.
- The code of SHAPR is available as a well documented, pip installable package together with commented analysis scripts at https://github.com/marrlab/SHAPR.

METHOD DETAILS

SHAPR

Our SHApe PRediction algorithm SH APR S consists of an encoder and a decoder with parameters θ (see Figure S1A) that transforms an 2D input $i \in I$, which is a 2D fluorescent image and a corresponding binary mask (see Figure 1A), to a binary 3D output p:

$$p = S(i; \theta).$$

A discriminator D with parameters τ tries to distinguish if a 3D shape x comes from SHAPR or from real data:

$$I = D(x; \tau).$$

Parameters θ and τ are learned during training when the objective function \mathcal{L} is minimized:

$$\mathcal{L} = \mathcal{L}_{rec} + \alpha \left(\mathcal{L}_{adv} + \mathcal{L}_{dis} \right).$$

Here, \mathcal{L}_{rec} is the reconstruction loss, \mathcal{L}_{adv} is the adversarial loss, \mathcal{L}_{dis} is the discriminator loss and α regulates the impact of adversarial and discriminator loss during training. The reconstruction loss tries to match the generated 3D output p with 3D ground truth y and is defined as:

$$\mathcal{L}_{rec}(\theta) = d(p, y) + b(p, y)$$

where d(.,.) and b(.,.) are Dice loss and binary cross entropy loss, respectively (see Figure S1A). The adversarial loss tries to match the distribution of generated shapes with the dataset ground truth:

$$\mathcal{L}_{adv}(\theta) = \mathbb{E}_{i \in I} \log (1 - \mathsf{D}(\mathsf{S}(\mathsf{i}; \theta), \tau)).$$

The discriminator loss is defined by:

$$\mathcal{L}_{dis}(\tau) = \mathbb{E}_{y \in Y} \log D(y;\tau) + \mathbb{E}_{i \in I} \log(1 - D(S(i;\theta),\tau))$$

In the following implementation details are explained in further details: The encoder is built of three blocks (see Figure S1A). Each block contains two 3D convolutional layers with a kernel size of (1,3,3), followed by a batch normalization, a dropout, and a max pooling layer to downsample convolutions. The last activation function of the encoder is a sigmoid. The decoder consists of seven convolutional blocks and each of them contains two 3D convolutional layers, followed by batch normalization, a dropout, and a 3D transpose convolutional layer for upsampling. We upsample the z-dimension seven times and the x-y dimensions 3 times





in an alternating fashion. The discriminator *D* consists of five convolutional layers with a kernel size of (3,3,3) followed by an average-pooling in each dimension and two dense layers, one with 128 and one with 1 unit, followed by a sigmoid activation function, which outputs a binary label for each 3D input shape. The regularization parameter α is a step function starting with 0 so the model is trained using the reconstruction loss alone. After 30 epochs or if the validation loss has not improved for 10 epochs, α switches to 1. From then on, SHAPR is training in an adversarial fashion. Model was implemented using Tensorflow and Keras (Abadi et al., 2016; Chollet et al., 2015).

Training parameters

Five independent models were trained on both datasets in a round-robin fashion, so each input image was contained in the test set exactly once. For each model 20% of the dataset was used as a held out test set. 20% of the remaining data was used as a validation set during training. The remaining 60% was used to optimize SHAPRs model weights during training. SHAPRs hyperparameters, such as the learning rate and number of model weights have been fixed before training. Adam optimizer (Kingma and Jimmy, 2014) with an initial learning rate of $1*10^{-3}$, beta1 of 0.9, and beta2 of 0.999 were used. For data augmentation, training data was randomly flipped horizontally and vertically and rotated with a chance of 33% for each augmentation to be applied on each data point.

To obtain a binary image all SHAPR predictions are thresholded at 126, as their pixel values range from 0 to 255.

Evaluation metrics

For comparison with different models, five metrics are used: relative voxel error, relative volume error, relative surface error, relative surface roughness error and intersection over union (IoU). With Y being the ground truth and P the prediction, these are defined as:

$$IoU(Y, R) = \frac{Y \cap P}{Y \cup P}$$

Relative voxel error
$$(Y, P) = \frac{1}{NMK} \sum_{x=1}^{N} \sum_{y=1}^{M} \sum_{z=1}^{K} \left| \frac{Y_{xyz} - P_{xyz}}{Y_{xyz}} \right|$$

Relative volume error
$$(Y, P) = \frac{\text{volume}(Y) - \text{volume}(P)}{\text{volume}(Y)}$$

$$Relative \ surface \ error \ (Y, P) \ = \ \frac{surface \ (Y) \ - \ surface \ (P)}{surface \ (Y)}$$

Relative surface roughness error $(Y, P) = \frac{\text{surface roughness}(Y) - \text{surface roughness}(P)}{\text{surface roughness}(Y)}$

where *N*, *M*, and *K* are the bounding box sizes and *volume*(.) and *surface*(.) yield the volume by counting non-zero voxels:

 $Volume (P) = \sum_{x=1}^{N} \sum_{y=1}^{M} \sum_{z=1}^{K} \mathbb{1}(P_{xyz} > 0), \text{ where } \mathbb{1} \text{ is the indicator function.}$

and surface area by counting all voxels on the surface of a given 3D binary shape:

Surface $(P) = \sum_{x=1}^{N} \sum_{y=1}^{M} \sum_{z=1}^{K} \mathbb{1}(P_{xyz} = \partial P)$, where ∂P is defined as the surface of P.

The function *surface roughness*(.) is defined as:

Surface roughness (P) =
$$\sum_{x=1}^{N} \sum_{y=1}^{M} \sum_{z=1}^{K} \left| P_{xyz} - P_{xyz}^{gaussian} \right|$$

with:

$$P_{xyz}^{gaussian} = \frac{1}{\left(\sqrt{2\pi}\,\sigma\right)^3} \exp\left(-\frac{x^2+y^2+z^2}{2\,\sigma^2}\right), \quad \forall x, y, z \in P$$

Feature extraction

We extract 126 features from each 3D shape, comprising volume, surface, shape index, roughness, convexity, and gabor features with NumPy and the Skimage toolbox (Table S3) (Boulogne et al., 2014). Eleven features are derived by describing the object with a mesh consisting of faces and vertices. The mesh is calculated using the marching cubes algorithm in python's Skimage toolbox (Lewiner et al., 2003; Boulogne et al., 2014), resulting in faces and vertices. The surface, 19 mesh principals, the first nine mesh inertia eigenvalues were calculated using trimesh ("Basic Installation — Trimesh 3.9.24 Documentation" n.d.). The moments of inertia represent the spatial distribution of mass in a rigid 3D shape. This depends on the 3D shapes mass, size, and shape. The moment of inertia is calculated as the angular momentum divided by the angular velocity around a principal axis. We also calculate the objects' moments up to the third order, correlation and dissimilarity of the gray level co-occurrence matrices, and one gabor feature for each z-slice, resulting in 64 gabor features all using the Skimage toolbox (Boulogne et al., 2014).

From 2D segmentations, we extract 9 features. These are the mean pixel value, area, boundary length, boundary roughness, convexity, two moments, and three gabor features and 5 features from the 2D microscopy images (see Table S3).

Feature-based classification

To establish the baseline for the feature-based classification, we extract 9 morphological features (mean pixel value, area, boundary length, boundary roughness, convexity, two moments, and three gabor features) and 5 features from the 2D microscopy images that have been multiplied with the respective segmentation mask to reduce noise (mean and standard deviation of the pixel intensity, one gabor feature, and the correlation and dissimilarity of the gray level co-occurrence matrices). In total, we extract 15 features from each of the paired 2D images and 2D segmentations. We compared random forest, decision tree, K-nearest-neighbors, linear discriminant analysis, naïve Bayes, and support vector machine classifiers using the Sklearn toolbox (Varoquaux et al., 2015). The random forest classifier with 1000 estimators performed best.

Prior to the classification we oversample the training data to compensate for class imbalance, and normalize all features by subtracting their mean and dividing by their standard deviation. Prior to the tenfold cross-validation classification, we trained one random forest model to investigate feature importance. We found that accuracy increases if we remove features with an importance lower than 0.005 (see Figure S1C). We do not only achieve an overall higher F1 score using ShapeAEs predictions, but increase the number of true positives for four of the six classes, while for two classes we obtain the same scores (Figure S1A).

Datasets

Red blood cells

We use 825 publicly available 3D images of red blood cells (Simionato et al., 2021) of size (64, 64, 64) voxels, each assigned to one of the following six classes: SDE shapes, cell cluster, multilobate, keratocyte, knizocyte, and acanthocyte. Spherocytes, stomatocytes, discocytes, and echinocytes are combined into the SDE shapes class, which is characterized by the stomatocyte–discocyte–echinocyte transformation (Chen and Boyle, 2017). The other classes' shapes occur in samples from patients with blood disorders or other pathologies.

The number of cells in each class were: 602 for SDE shapes (93 spherocytes, 41 stomatocytes, 176 discocytes, and 292 echinocytes), 69 for cell clusters, 12 multilobates, 31 keratocytes, 23 knizocytes, and 88 acanthocytes. Red blood cells were drawn from 10 healthy donors and 10 patients with hereditary spherocytosis via finger-prick blood sampling and then fixed. Thereafter the cells were imaged with a confocal microscope and then manually classified. We extracted the 2D image from the central slide of each 3D image and segmented it by thresholding. Also, the 3D ground truth was obtained by thresholding.







Human pluripotent stem cells derived 3D cultures

Six human induced pluripotent stem cell-derived 3D cultures were imaged with a Zeiss LSM 880 Airyscan inverted confocal microscope with DAPI as a nuclear counterstain. Full 3D stacks were acquired using a 20× objective with a resolution of 0.25μ m/pixel and a distance between slices of 1 μ m. We rescaled the images to 0.5 μ m/pixel in x-y dimension. From the center z-slice of each 3D cell culture, we manually segment and isolate all nuclei in 2D and the corresponding 3D nuclei, resulting in a dataset of 887 paired 2D/3D singlecell shapes of shapes (64,64) pixel and (64, 64, 64) pixel. We interpolated the single 3D nuclei to an isotropic spacing of 0.5 μ m/pixel. While for the red blood cell dataset we could expect to cut each single cell roughly in its middle, in this dataset the nuclei are cut at any possible z-position.