

## Visible-to-NIR-Light Activated Release: From Small Molecules to Nanomaterials

Roy Weinstein,\* Tomáš Slanina, Dnyaneshwar Kand, and Petr Klán\*

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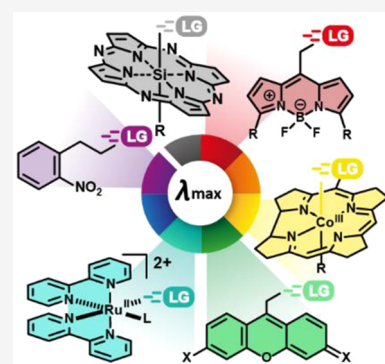
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**ABSTRACT:** Photoactivatable (alternatively, photoremovable, photoreleasable, or photocleavable) protecting groups (PPGs), also known as caged or photocaged compounds, are used to enable non-invasive spatiotemporal photochemical control over the release of species of interest. Recent years have seen the development of PPGs activatable by biologically and chemically benign visible and near-infrared (NIR) light. These long-wavelength-absorbing moieties expand the applicability of this powerful method and its accessibility to non-specialist users. This review comprehensively covers organic and transition metal-containing photoactivatable compounds (complexes) that absorb in the visible- and NIR-range to release various leaving groups and gasotransmitters (carbon monoxide, nitric oxide, and hydrogen sulfide). The text also covers visible- and NIR-light-induced photosensitized release using molecular sensitizers, quantum dots, and upconversion and second-harmonic nanoparticles, as well as release via photodynamic (photooxygenation by singlet oxygen) and photothermal effects. Release from photoactivatable polymers, micelles, vesicles, and photoswitches, along with the related emerging field of photopharmacology, is discussed at the end of the review.



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## 1. INTRODUCTION

Photoactivatable (alternatively, photoremovable, photoreleasable, or photocleavable) protecting groups (PPGs) or caged compounds are used to achieve non-invasive spatiotemporal control over the release of molecules of interest including biologically active compounds, synthetic precursors, fluorescent probes, initiators of polymerization reactions, fragrances, and gasotransmitters. As such, they constitute one of the most important current applications of photochemistry in diverse research areas. The first PPGs were reported in the early works of Barltrop,<sup>1</sup> Barton,<sup>2,3</sup> Woodward,<sup>4</sup> and Sheehan,<sup>5</sup> and their first biological applications were presented by Engels and Schlaeger<sup>6</sup> and Kaplan<sup>7</sup> and co-workers. Since then, tens of photoactivatable molecules and systems have been developed. Several reviews and perspectives covering the applications of organic<sup>8–55</sup> and (transition) metal-containing<sup>56–76</sup> PPGs have been published in the past two decades. Special attention has been paid to compounds that release gasotransmitters such as nitric oxide (NO; photoactivatable NO-releasing moieties or photoNORMs), carbon monoxide (photoactivatable CO-releasing moieties or photoCORMs), and hydrogen sulfide (photoactivatable H<sub>2</sub>S-releasing molecules).<sup>77–114</sup>

Key criteria for the design and use of PPGs, as discussed at length in previous works,<sup>10,115–118</sup> are often specific to individual applications. In general, however, a PPG (a) must exhibit sufficient absorption of the irradiated light, which must either not be absorbed by other molecules or not trigger unwanted photochemical transformations in the system of interest, (b) should release protected species within a time-frame compatible with the application, (c) must be soluble and stable in the targeted medium/environment (an aqueous solution in typical biological/medical applications), (d) should not produce reactive or toxic side-products upon irradiation, and (e) should be detectable in the medium, for example, by light emission. The overall efficiency of species release is

evaluated using the quantity  $\Phi_r \epsilon(\lambda_{\text{irr}})$ , sometimes called the uncaging cross section, which takes units of  $\text{M}^{-1} \text{cm}^{-1}$ , where  $\Phi_r$  is the reaction quantum yield and  $\epsilon$  is the decadic molar absorption coefficient.<sup>10</sup>

Short-wavelength UV photons have sufficient energy to induce bond cleavage, isomerization, or rearrangement reactions in many organic and inorganic molecules. For example, the energy of a photon with a wavelength of  $\lambda \approx 300$  nm ( $N_A h\nu = 95.6 \text{ kcal mol}^{-1}$ ) is sufficient to induce homolytic cleavage of most single bonds in organic molecules. Most PPGs absorb light in the 300–400 nm region.<sup>10</sup> However, excitation in the UV region presents several challenges, especially in biological settings; high-energy UV light has very limited tissue penetration due to high optical scattering and strong absorbance by endogenous chromophores (e.g., hemoglobin or melanin),<sup>119–121</sup> can lead to sample overheating, and can cause phototoxic or photoallergic reactions resulting from its interactions with endogenous molecules such as DNA, RNA, and lipids.<sup>122–124</sup> Visible and especially NIR light can penetrate deeper into tissues<sup>119,120,125–128</sup> and is considerably less harmful to biological matter, opening the door to new applications in areas such as drug delivery.<sup>20,103,129,130</sup> Encouragingly, some photoresponsive approaches are already used routinely in clinical applications.<sup>131–135</sup> In addition, visible/NIR light sources, both coherent and non-coherent, are often cheaper, more common, and more accessible to non-specialist end-users than UV-light sources.

The desire to exploit these advantages has motivated several recent efforts to develop PPGs activated by visible/NIR light. Until recently, only a few PPGs activated directly by light of wavelengths above  $\sim 600$  nm were known, and the design of PPGs that undergo efficient photorelease upon irradiation at wavelengths above 500 nm was considered challenging.<sup>10,11</sup> According to the gap law,<sup>136</sup> nonradiative transition rate constants increase approximately exponentially as the associated energy gap contracts, which is one reason why  $\pi$ -extended organic PPGs absorbing visible or NIR light generally undergo inefficient photoreactions. However, while the quantum yields for release from such PPGs can be very small, their chromophores can have very large molar absorption coefficients, making their  $\Phi_r \epsilon(\lambda_{\text{irr}})$  values large enough for practical use.<sup>11</sup> Alternatively, PPG activation by one (1P)-photon direct excitation using short-wavelength radiation can be replaced by alternative methods using substantially less energetic photons such as two (2P)-photon excitation or sensitization via photoinduced energy- or electron-transfer.

The applications of PPGs are not restricted to the release of a single species of interest. Careful selection of complementary photoactivatable moieties that undergo specific phototransformations can enable wavelength-selective release, which is often called chromatic orthogonality. Photochemical reactions are also in principle orthogonal to reagent- or thermally-initiated chemical processes. A unique and elegant approach exploiting this orthogonality was introduced by Bochet and co-workers,<sup>137,138</sup> but the general concept remains somewhat underexplored. Multiple chromatically orthogonal systems including (among others) a monochromophoric system,<sup>139</sup> a single multichromophoric entity,<sup>138</sup> and mixtures of independent photoactivatable compounds<sup>140–144</sup> have been reported. The latter approach is uniquely well-placed to benefit from the expansion of the photoexcitation window resulting from the

Table 1. Organic and Metal-Containing PPGs Covered in This Review<sup>ab</sup>

Section 2.1.1.  (<443 nm)	Section 2.1.2.  (<415 nm)	Section 2.2.  (<515 nm)	Section 2.3.  (<440 nm)
Section 2.4.  (<420 nm)	Section 2.5.  (<445 nm)	Section 2.6.  (<380 nm)	Section 2.7.  (<380 nm)
Section 2.8.  (<405 nm)	Section 2.9.  (<430 nm)	Section 2.10.  (<542 nm)	Section 2.10.  (<500 nm)
Section 2.10.  (<535 nm)	Section 2.11.  (<584 nm)	Section 2.12.  (<693 nm)	Section 2.12.  (<613 nm)
Section 3.1.  (<560 nm)	Section 3.2.  (<690 nm)	Section 3.2.  (<400 nm)	Section 3.3.  (<500 nm)
Section 3.4.  (<550 nm)	Section 3.5.  (<430 nm)	Section 3.5.  (<450 nm)	Section 3.5.  (<420 nm)

<sup>a</sup>Values in parentheses indicate the longest wavelength that can be used for PPG activation. <sup>b</sup>Leaving groups (LG) are depicted in red.

development of visible- and NIR-light excitable PPGs. We discuss several orthogonal systems here, and further examples can be found in recent reviews.<sup>10,16,145–147</sup>

This review follows up on an earlier article that provided a comprehensive overview of the photochemistry and applications of PPGs known and used before 2013.<sup>10</sup> We present a comprehensive list of PPGs absorbing in the visible and near-infrared (NIR) range including organic (section 2) and (transition) metal-containing molecular PPGs (section 3) that absorb photons directly (via 1P and (in several examples) 2P<sup>30,31,148</sup> excitation) to release various leaving groups (LG) (Table 1), organic and metal-containing photoCORMs, photoNORMs, and photoactivatable H<sub>2</sub>S-releasing molecules (section 4, Table 2), and photoacids and photobases (section 5). These sections are followed by an overview of PPGs that use indirect methods of photoactivation, including photosensitization by molecular photosensitizers, quantum dots, upconversion, and second-harmonic nanoparticles, as well as photorelease by the photodynamic effect and photothermally-controlled release (section 6). The final sections discuss the chemistry of photoactivatable polymers, micelles, vesicles (section 7), and photoswitches (section 8), concluding with

a brief discussion of the new concept of photopharmacology (section 9) (Table 3).

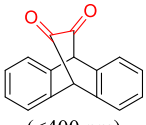
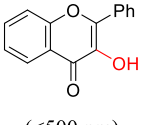
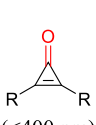
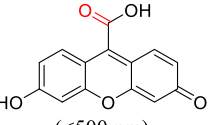

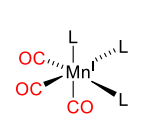
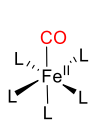
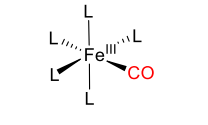
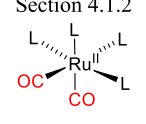
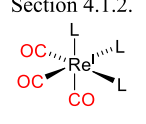
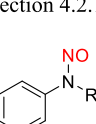
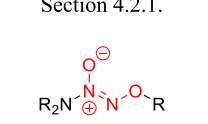
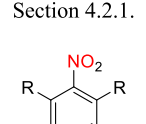
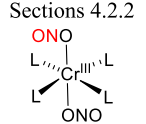
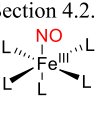
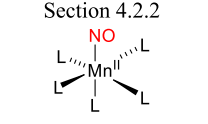
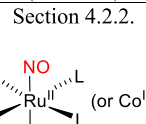
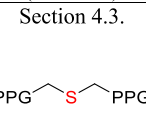
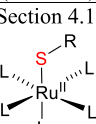
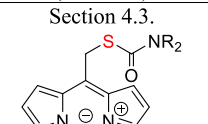
## 2. PHOTORELEASE FROM ORGANIC PHOTOACTIVATABLE COMPOUNDS

### 2.1. Nitroaryl Groups

The nitroaryl motif has proven to be a fertile scaffold for the development of photoremovable protecting groups (PPGs), leading to the emergence of several structural families, including the *o*-nitrobenzyl, *o*-nitro-2-phenethyl, and *o*-nitroanilide groups.<sup>10</sup> This section focuses on efforts to bathochromically shift the absorption spectra of *o*-nitrobenzyl and *o*-nitro-2-phenethyl PPGs toward the visible part of the spectrum. The absorption spectra of some representative nitroaryl PPGs are shown in Figure 1. A comprehensive review of UV-excitable nitroaryl derivatives covering their development and photochemical properties has been published.<sup>10</sup>

**2.1.1. The *o*-Nitrobenzyl Group.** *o*-Nitrobenzyl derivatives (*o*NB) make up a family of general-purpose PPGs that have been developed since the 1960s<sup>4,154</sup> and are still widely used.<sup>10</sup> Their photorelease mechanism has been studied

Table 2. Organic and Transition Metal-Containing CO-, NO-, and H<sub>2</sub>S-Releasing Molecules Covered in This Review<sup>ab</sup>

Section 4.1.1.  (<400 nm)	Section 4.1.1.  (<500 nm)	Section 4.1.1.  (<400 nm)	Section 4.1.1.  (<500 nm)
Section 4.1.1.  (<732 nm)	Section 4.1.2.  (vis/NIR)	Section 4.1.2.  (<470 nm)	Section 4.1.2.  (<800 nm)
Section 4.1.2.  (<470 nm)	Section 4.1.2.  (<585 nm)	Section 4.2.1.  (<530 nm)	Section 4.2.1.  (<530 nm)
Section 4.2.1.  (<480 nm)	Section 4.2.2.  (<450 nm)	Section 4.2.2.  (<550 nm)	Section 4.2.2.  (<810 nm)
Section 4.2.2.  (<550 nm)	Section 4.3.  (<410 nm)	Section 4.1.  (<630 nm)	Section 4.3.  (<700 nm)

<sup>a</sup>Values in parentheses indicate the longest wavelength that can be used for PPG activation. <sup>b</sup>Leaving groups/moieties are depicted in red.

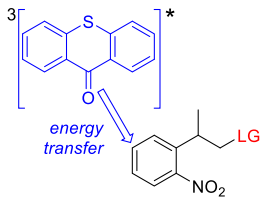
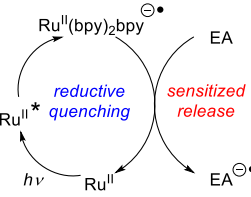
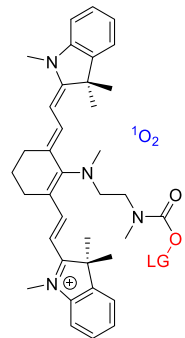
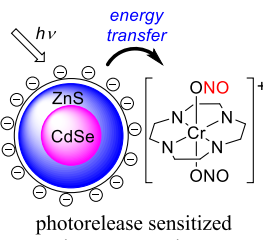
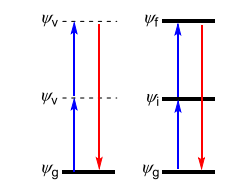
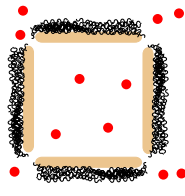
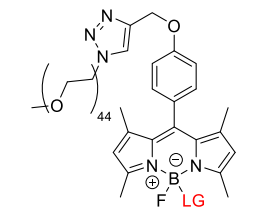
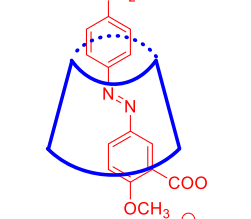
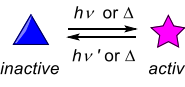
extensively.<sup>155–160</sup> Briefly, the excitation of the ground state of an oNB derivative **1** (Figure 1) is followed by intramolecular hydrogen abstraction by the nitro group to form an *aci*-nitro intermediate (**2**, Scheme 1; LG = leaving group). The decay rate constant of the *aci*-nitro intermediate ( $\sim 10^2$ – $10^4$  s<sup>-1</sup>) depends on the substitution of the oNB group, the solvent, and the pH. An irreversible cyclization of the *aci*-nitro intermediate leads to a 1,3-dihydrobenz[*c*]isoxazol-1-ol (**3**). Subsequent ring-opening gives a hemiacetal intermediate that hydrolyzes to release the leaving group (LG) and form an *o*-nitrosobenzaldehyde byproduct (**4**). The photorelease of many functional groups including carboxylic acids,<sup>4</sup> phosphates,<sup>161</sup> thiols,<sup>162</sup> alcohols,<sup>163</sup> and amines<sup>164</sup> has been demonstrated, although the latter two moieties are typically attached as carbonic acid derivatives.

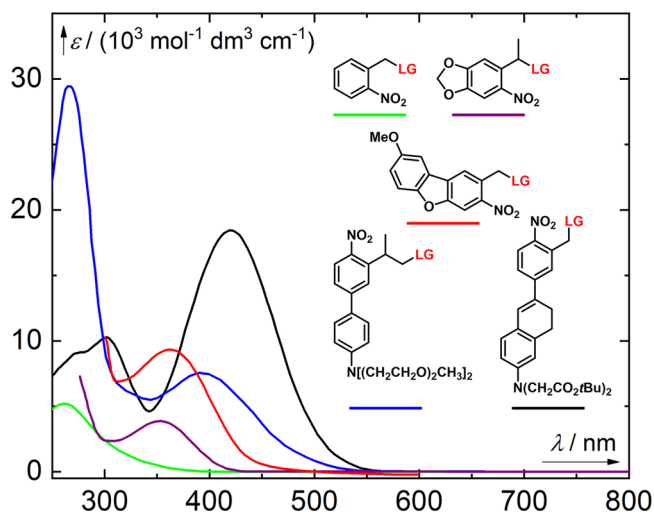
Efforts to bathochromically shift the absorption maxima of the parental oNB **5a** ( $\lambda_{\text{max}}^{\text{abs}} \approx 260$  nm) have generally met with limited success because of an inverse correlation between the bathochromic shifts of absorption bands and photochemical parameters such as the release quantum yield ( $\Phi_r$ ) and rate constant.<sup>137,163,165–167</sup> For example, Jullien and co-workers examined a series of *p*-substituted nitrobenzyl derivatives **5b**–**5f** and found that bathochromic shifts of their absorption maxima were associated with a decrease in  $\Phi_r$  (Table 4).<sup>163</sup> This loss of efficiency could be counteracted to some extent by substitution at the benzyl position,<sup>4,163,166–168</sup> leading to the development of the red-shifted  $\alpha$ -methyl-6-nitroveratryl (**6**)<sup>4</sup> and  $\alpha$ -methyl-(6-nitropiperonyloxymethyl) (**7**) PPGs (Figure

1).<sup>169</sup> However, due to the reduction in quantum efficiency, the uncaging cross section ( $\Phi_r \epsilon(\lambda_{\text{irr}})$ ) of the latter group tends to be comparable to that of the parent oNB **5a**.<sup>4,170,171</sup> Nitrodibenzofuran **8a** (NDBF; Figure 1), introduced by Ellis-Davies and co-workers, is an exceptional red-shifted oNB derivative that releases LGs efficiently.<sup>172</sup> The photolysis of ether,<sup>172</sup> thioether,<sup>151</sup> and phosphoester<sup>173,174</sup> LGs caged with this group reportedly proceeded with  $\Phi_r$  values of 0.5–0.7, although lower quantum yields were obtained in some cases (0.04–0.2).<sup>175–177</sup> The tail absorption of **8a** in the visible range (398–440 nm) was sufficient to promote the photo-reaction.<sup>175,178</sup> Introducing electron-donating groups (EDG) at the 7-position of NDBF (**8b** and **8c**) led to a bathochromic shift in  $\lambda_{\text{max}}^{\text{abs}}$  but also reduced its photouncaging quantum efficiency (Table 4).<sup>151,174</sup> The low quantum yield of **8c** was attributed to a charge-transfer transition following photo-excitation that competes with LG release.<sup>174,179</sup> Ball and co-workers recently reported that derivatives of **8a** and **8c** undergo efficient photocleavage of C(sp<sup>2</sup>)–N bonds.<sup>180</sup> To explain this, a mechanism was proposed involving hydrogen-atom abstraction followed by selective nucleophilic attack of a solvent molecule on the resulting extended conjugated system. The absorption maximum of oNB-type PPGs can also be bathochromically shifted by extending the aromatic core,<sup>181–183</sup> as in the 7-methoxynaphthalene derivative **9**.<sup>183</sup>

Jullien and co-workers also found that a bathochromic shift in  $\lambda_{\text{max}}^{\text{abs}}$  relative to the parent PPG **5a** could be achieved by substitution to form a  $\pi$ -extended donor–acceptor system

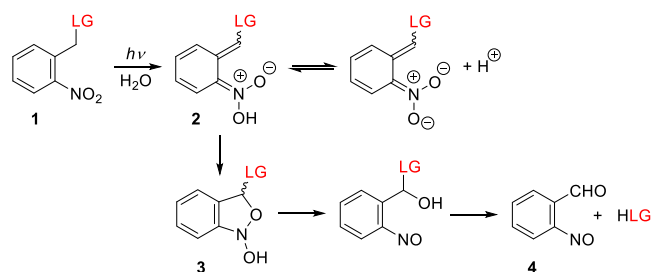
Table 3. Other Photoactivatable Systems Covered in This Review

<p>Section 6.1.</p>  <p>photosensitized release (energy transfer)</p>	<p>Section 6.2.</p>  <p>photosensitized release (electron transfer)</p>	<p>Section 6.3.</p>  <p>release via photodynamic effect</p>
<p>Section 6.4.1.</p>  <p>photorelease sensitized by quantum dots</p>	<p>Section 6.4.2.</p>  <p>photorelease sensitized by upconversion and SHG<sup>d</sup></p>	<p>Section 6.4.3.</p>  <p>photothermally-controlled release</p>
<p>Section 7.</p>  <p>photorelease from materials (direct irradiation)</p>	<p>Section 8.</p>  <p>photorelease mediated by photoswitches</p>	<p>Section 9.</p>  <p>photopharmacology</p>



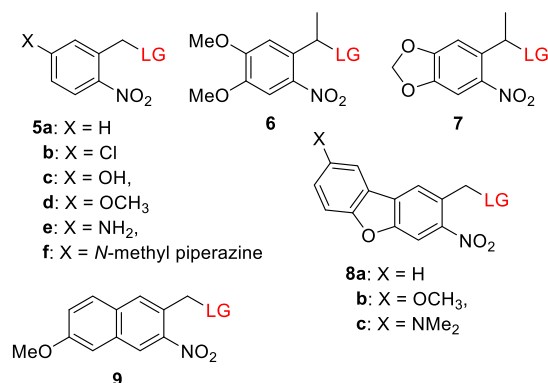
**Figure 1.** Absorption spectra of selected nitroaryl PPGs. Green line, a 2-nitrobenzyl derivative (LG = thymidine);<sup>149</sup> purple line, an  $\alpha$ -methyl-(6-nitropiperonyloxymethyl) derivative (LG = thymidine);<sup>150</sup> red line, a nitrodibenzofuran derivative (LG = Fmoc-Cys-OH);<sup>151</sup> blue line, an *o*-nitro-2-phenethyl derivative (LG = Boc-glutamate);<sup>152</sup> black line, a  $\pi$ -extended 2-nitrobenzyl derivative (LG = GABA).<sup>153</sup>

**Scheme 1.** Proposed Photoreaction Mechanism of *o*-Nitrobenzyl PPGs<sup>159</sup>



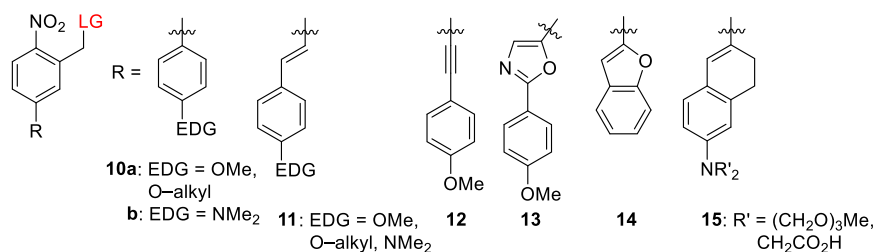
containing an electron-donating group (EDG) such as a methoxy group (10–13, Table 5).<sup>163</sup> These chromophores had  $\lambda_{\text{max}}^{\text{abs}}$  values of 336–371 nm but were photolyzed inefficiently to release a carboxylic acid ( $\Phi_{\text{r}} = 0.001$ ), in keeping with the previously mentioned inverse correlation between shifts in  $\lambda_{\text{max}}^{\text{abs}}$  and  $\Phi_{\text{r}}$ .<sup>163</sup> Derivatives of biphenyl 10a exhibited a bathochromic shift in  $\lambda_{\text{max}}^{\text{abs}}$  of  $\sim 70$  nm relative to 5a,<sup>163,185,186</sup> and an additional  $\sim 60$  nm shift was achieved by using a dialkylamine EDG (10b; Figure 1).<sup>187,188</sup> The release of a carboxylic acid<sup>187</sup> and the fragmentation of the selective  $\text{Ca}^{2+}$ -chelator ethylene glycol tetraacetic acid<sup>27</sup> (EGTA) with subsequent  $\text{Ca}^{2+}$  release were achieved at  $\lambda_{\text{irr}} = 400\text{--}405$  nm

Table 4. oNB Derivatives



PPG	$\lambda_{\max}^{\text{abs}}$ (nm)	$\epsilon_{\max}$ (M <sup>-1</sup> cm <sup>-1</sup> )	leaving groups <sup>a</sup>	$\Phi_r$ ( $\lambda_{\text{irr}}$ /nm)	solvent <sup>b</sup>	ref
<b>5a</b>	262	$5.2 \times 10^3$	thymidine (as carbonic acid) pivalic acid	0.033 (365) 0.13 (254)	CH <sub>3</sub> OH/H <sub>2</sub> O, 1:1 CH <sub>3</sub> CN	167–170 149
<b>5b</b>	272	$6.0 \times 10^3$	4-nitrophenol	0.1 (325)	CH <sub>3</sub> CN	163
<b>5c</b>	310	$9.0 \times 10^3$	4-nitrophenol	not reported	CH <sub>3</sub> CN	163
<b>5d</b>	310	$8.0 \times 10^3$	4-nitrophenol	0.007 (325)	CH <sub>3</sub> CN	163
<b>5e</b>	367	$1.6 \times 10^4$	4-nitrophenol	<0.001 (325)	CH <sub>3</sub> CN	163
<b>5f</b>	394	$1.6 \times 10^4$	4-nitrophenol	<0.001 (325)	CH <sub>3</sub> CN	163
<b>6</b>	352	$4.0 \times 10^3$	<i>L</i> -threo- $\beta$ -benzyloxyaspartate	0.005 (355)	PBS buffer, pH 7.4	184
<b>7</b>	351	$3.5 \times 10^3$ ( $\epsilon_{365}$ )	thymidine (as carbonic acid)	0.0075 (365)	CH <sub>3</sub> OH/H <sub>2</sub> O, 1:1	150
<b>8a</b>	325	$18.4 \times 10^3$	EGTA (Ca <sup>2+</sup> ), IP <sub>3</sub>	0.5–0.7 (350–400)	HEPES buffer, pH 7.2	172,173
<b>8b</b>	362	$9.3 \times 10^4$	Fmoc-cysteine–OH	0.51 (350)	phosphate buffer, pH 7.4	151
<b>8c</b>	424	$1.6 \times 10^4$	nucleobases	$0.5–11 \times 10^{-3}$ (420)	DMSO	174
<b>9</b>	339	$1.1 \times 10^4$ ( $\epsilon_{350}$ )	hippuric acid	0.031 (420)	ethanol	183

<sup>a</sup>Only selected LGs are shown. <sup>b</sup>PBS = phosphate buffer saline. HEPES = 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid; DMSO = dimethyl sulfoxide; EGTA = ethylene glycol tetraacetic acid; IP<sub>3</sub> = inositol triphosphate.

Table 5. oNB Derivatives with Extended  $\pi$ -Systems

PPG	$\lambda_{\max}^{\text{abs}}$ (nm)	$\epsilon_{\max}$ (M <sup>-1</sup> cm <sup>-1</sup> )	leaving groups <sup>a</sup>	$\Phi_r$ ( $\lambda_{\text{irr}}$ /nm)	solvent <sup>b</sup>	ref
<b>10a</b>	335–342	$7.3–14.0 \times 10^3$	4-nitrophenol, chlorambucil, celecoxib	0.005–0.013 (325 or 355)	CH <sub>3</sub> CN or CH <sub>3</sub> CN/Tris pH 9.0, 1:1 or CH <sub>3</sub> CN/phosphate buffer pH 7.2, 1:1	163, 185, 186
<b>10b</b>	403	$8.8 \times 10^3$	EGTA (Ca <sup>2+</sup> )	0.05 (400)	C <sub>6</sub> D <sub>6</sub>	188
<b>11</b>	369–376	$1.9–2.5 \times 10^4$	coumarin, chlorambucil	$3.2–15.4 \times 10^{-4}$ (325 or 400)	CH <sub>3</sub> CN or CH <sub>3</sub> CN/Tris pH 9.0, 1:1	163, 189
<b>12</b>	348	$1.9 \times 10^4$	4-nitrophenol, coumarin	0.001–0.005 (325)	CH <sub>3</sub> CN/Tris pH 9.0, 1:1	163
<b>13</b>	371	$1.9 \times 10^4$	coumarin	0.001 (325)	CH <sub>3</sub> CN/Tris pH 9.0, 1:1	163
<b>14</b>	362–364	$1.2–1.8 \times 10^4$	benzoic acid, EGTA (Ca <sup>2+</sup> )	0.09–0.3 (360)	CH <sub>3</sub> CN or DMSO	188, 195
<b>15</b>	420–443	$1.8–2.9 \times 10^4$	Boc-glutamate	0.01 (355)	CH <sub>3</sub> OH	153

<sup>a</sup>Only selected LGs are shown. <sup>b</sup>Tris = tris(hydroxymethyl)aminomethane; DMSO = dimethyl sulfoxide; EGTA = ethylene glycol tetraacetic acid.

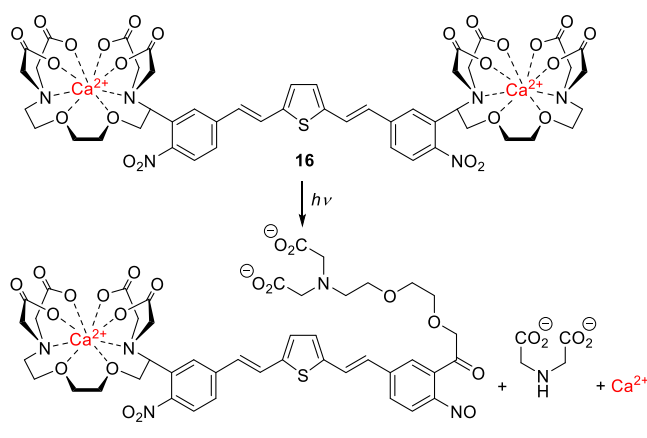
using PPGs of this type.<sup>188</sup> Stilbene-type derivatives **11**, which bear various alkoxy EDGs, had  $\lambda_{\max}^{\text{abs}}$  values of 369–376 nm but released carboxylic acids with low quantum yields when irradiated above 400 nm.<sup>163,189,190</sup> Relatively similar quantum yields were reported for release from a derivative of **11** bearing the dimethylamino group as an EDG ( $\Phi_r = 0.8–2 \times 10^{-4}$ ).<sup>191</sup> It was proposed that a photoinduced reversible *E*–*Z* isomerization<sup>192–194</sup> competes with photorelease in this

case.<sup>190</sup> Accordingly, rigid derivatives **14** and **15** (Figure 1) were photolyzed more efficiently than **11** to liberate carboxylic acid LGs or to cleave an ether bond (causing EGTA bifurcation leading to Ca<sup>2+</sup> release).<sup>153,188,195,196</sup> The  $\pi$ -extended 1,2-dihydronaphthalene **15**, which has a dialkylamino EDG, is the chromophore with the longest absorption wavelength in this series.<sup>153</sup> Visible-light uncaging from simple oNB derivatives has also been achieved through conjugation

with silicon quantum dots<sup>197</sup> or upconverting nanoparticles<sup>198–203</sup> (see also sections 6.4.1 and 6.4.2). It should be noted that many oNB derivatives with absorption maxima in the near UV-region have proven very useful in diverse applications<sup>16,20,23,25,204–208</sup> including *in vivo* experiments.<sup>209–215</sup> Several genetically encoded amino acids caged by oNB derivatives have also been reported.<sup>216–218</sup>

An outstanding 1-photon (1P)-absorbing oNB derivative is compound **16**, a dinitro-derivative of bisstyrylthiophene (BIST) coupled to two units of EGTA, which was recently reported by Ellis-Davies and co-workers and used for visible-light-induced ( $\lambda_{\text{irr}} = 473$  nm) calcium uncaging (Scheme 2).<sup>219</sup>

**Scheme 2. Photouncaging of  $\text{Ca}^{2+}$  with Visible Light<sup>219</sup>**



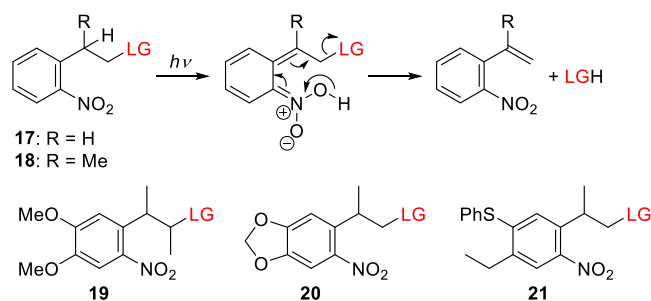
UV-excitable oNB derivatives are the PPGs most commonly used for photocission of C–O or C–N bonds leading to the bifurcation of a chelator and the release of metal cations.<sup>27,213,220,221</sup> The  $\pi$ -extended electron-poor compound **16** exhibited strong absorption maxima in the blue light region ( $\lambda_{\text{max}}^{\text{abs}} = 440$  nm,  $\epsilon_{440} = 6.6 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ) and a large two-photon (2P) absorption cross section ( $\delta_{\text{unc}}$  of  $>250$  GM) in the 720–830 nm range.<sup>219</sup> This compound is a strong  $\text{Ca}^{2+}$  chelator, but upon 1P ( $\lambda_{\text{irr}} = 473$  nm,  $\Phi_{\text{r}} = 0.23$ ) or 2P excitation ( $\lambda_{\text{irr}} = 720$  or 810 nm), its  $\text{Ca}^{2+}$  affinity falls markedly, leading to the release of free  $\text{Ca}^{2+}$ . A BIST scaffold masked with PEG dendrons was also used to cage  $\gamma$ -butyric acid (GABA), although this species was found to be resilient to 1P photolysis ( $\lambda_{\text{irr}} = 470$  nm) and released GABA only upon 2P excitation.<sup>222</sup> Similar effects on uncaging have been reported previously.<sup>174</sup>

Simple oNB derivatives tend to have rather low 2P-uncaging cross sections ( $\delta_{\text{unc}}$ ), ranging from 0.01 to 0.035 GM.<sup>163,165,223</sup> Nevertheless, they have been used successfully in some biological applications.<sup>224–226</sup> NDBF derivative **8a** is an exception, with a reported  $\delta_{\text{unc}}$  of 0.6 GM (at 720 nm).<sup>172</sup> The 2P-uncaging cross sections of derivatives of **6** were improved by incorporating the chromophore into dyads ( $\delta_{\text{unc}} = 0.1–1.0$  GM).<sup>227,228</sup> Jullien and co-workers observed that the  $\delta_{\text{unc}}$  of derivatives **10–13** remained low for 2P uncaging of carboxylic acids ( $\delta_{\text{unc}} = 0.02–0.05$  GM,  $\lambda_{\text{irr}} = 730–800$  nm).<sup>163</sup> The same authors reported that substitution at the benzyl position has similar effects on both  $\delta_{\text{unc}}$  and  $\Phi_{\text{r}}$ .<sup>163</sup> It was therefore suggested that the same excited state is involved in both 1P and 2P photolysis. Stilbene derivative **11** (OEt = EDG) exhibited 2P absorption of 20 GM and  $\delta_{\text{unc}} = 0.014$  GM for the release of chlorambucil,<sup>189</sup> whereas rigid stilbene derivatives of **15** and the biphenyl **10** were reported to be

photolyzed more efficiently, with  $\delta_{\text{unc}} = 5–21$  and 7.8 GM at 740 and 800 nm, respectively.

**2.1.2. The *o*-Nitro-2-phenethyl Group.** The 1-(2-nitrophenyl)ethyloxycarbonyl (NPEOC) group<sup>170</sup> **17** and its  $\alpha$ -methyl analog<sup>170,229</sup> **18** (NPPOC; the “OC” stands for the  $-\text{OC}(=\text{O})$  group, which is typically a part of the LG) constitute a separate class of nitroaryl PPGs. Despite its close structural similarity to oNB (**5a**), the proposed photoreaction mechanism of *o*-nitro-2-phenethyl derivatives is markedly different, involving a photoinduced elimination step (Scheme 3)<sup>170</sup> reminiscent of that reported for (2-hydroxyethyl)-

**Scheme 3. Photorelease from *o*-Nitro-2-phenethyl PPGs<sup>170</sup>**



benzophenone-type PPGs.<sup>118,230–235</sup> The quantum yields obtained for *o*-nitro-2-phenethyl derivatives exceed those for their oNB analogs<sup>150,170</sup> (for example,  $\Phi_{\text{r}} = 0.35$  and 0.033 for 5'-*O*-nucleoside carbonate photorelease from **18** and **5a**, respectively), leading to their use in automated light-mediated oligonucleotide synthesis (DNA-chips),<sup>236,237</sup> the preparation of peptide<sup>238–240</sup> and RNA<sup>241,242</sup> microarrays, the synthesis of aptamers<sup>243</sup> and carbohydrates,<sup>244</sup> and gene assembly.<sup>245</sup>

The parent compounds **17** and **18** were further modified to enhance their absorption at longer wavelengths, as exemplified by the 3-(4,5-dimethoxy-2-nitrophenyl)-2-butyl group (DMNPB, **19**)<sup>246–248</sup> and the analogous 2-(3,4-methylenedioxy-6-nitrophenyl)-propoxycarbonyl group (MNPPOC, **20**).<sup>150</sup> Both these groups have a  $\lambda_{\text{max}}^{\text{abs}}$  at 350 nm but lack the associated decrease in  $\Phi_{\text{r}}$  observed for oNB derivatives (Table 6). Bowman and co-workers showed that the tail absorption of **20** above 400 nm enables its use in visible-light photobase generation (see also section 5); the photorelease of tetramethylguanidine (TMG) at  $\lambda_{\text{irr}} = 405$  and 455 nm proceeded with uncaging cross sections ( $\Phi_{\text{r}}\epsilon(\lambda_{\text{irr}})$ ) of 38.5 and 4.6  $\text{M}^{-1} \text{ cm}^{-1}$ , facilitating visible-light-mediated control over a thiol-Michael addition polymerization process.<sup>249</sup> The thiophenyl-2-(2-nitrophenyl)propoxycarbonyl derivative **21** was shown to have spectroscopic properties comparable to those of **20** (Table 6).<sup>250,251</sup> Additionally, Steiner and co-workers used intra- and intermolecular energy transfer from a triplet sensitizer (section 6.1) to initiate the release of LGs from NPPOC derivative **18** at  $\lambda_{\text{irr}} \geq 400$  nm.<sup>171,252,253</sup>

*o*-Nitro-2-phenethyl derivatives such as **17** and **18** typically have higher 2P  $\delta_{\text{unc}}$  values than simple oNB derivatives such as **5** and **6** ( $\delta_{\text{unc}} = 0.1–0.9$ <sup>233,246</sup> vs 0.01–0.35<sup>163,165,223</sup> GM, respectively).<sup>246</sup> NPPOC biphenyl systems **22** (Figure 2) have been studied to determine whether extending the  $\pi$ -system of *o*-nitro-2-phenethyl moieties could improve their 2P-absorption sensitivity. Goeldner and co-workers showed that *p*-methoxynitrobiphenyl platform **22** exhibits a  $\sim 60$  nm bathochromic shift in  $\lambda_{\text{max}}^{\text{abs}}$  relative to **18** while retaining a comparable 1P-photorelease quantum yield for glutamate

Table 6. Spectroscopic and Photochemical Properties of *o*-Nitro-2-phenethyl Derivatives

PPG	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$\epsilon_{\text{max}}$ ( $\text{M}^{-1} \text{cm}^{-1}$ )	leaving groups <sup>a</sup>	$\Phi_{\text{r}}$ ( $\lambda_{\text{irr}}/\text{nm}$ )	solvent	ref
17	~260	$0.29 \times 10^3$ ( $\epsilon_{365}$ )	thymidine (as carbonic acid)	0.042 (365)	CH <sub>3</sub> OH/H <sub>2</sub> O, 1:1	170
18	~260	$0.26 \times 10^3$ ( $\epsilon_{365}$ )	thymidine (as carbonic acid)	0.35 (365)	CH <sub>3</sub> OH/H <sub>2</sub> O, 1:1	170
19	350	$3.5 \times 10^3$	GABA	0.26 (364)	phosphate buffer, pH 7.2	246
20	353	$3.4 \times 10^3$ ( $\epsilon_{365}$ )	thymidine (as carbonic acid)	0.035–0.037 (365)	CH <sub>3</sub> OH/H <sub>2</sub> O, 1:1	150
21	~350	$1.5 \times 10^3$ ( $\epsilon_{365}$ )	DNA phosphoramidites	0.68 (365)	CH <sub>3</sub> OH	250
22	317	$9.9 \times 10^3$	glutamate	0.09 (364)	phosphate buffer, pH 7.4	254, 255
23	296–302	$6.3\text{--}7.1 \times 10^3$	glutamate	n.d.	phosphate buffer, pH 7.4	254, 255
24	397	$7.5 \times 10^3$	GABA	0.15 (405)	phosphate buffer, pH 7.4	152
25	415	$6.4 \times 10^4$	glutamate	0.25 (354)	phosphate buffer, pH 7.4	267

<sup>a</sup>Only selected LGs are shown. GABA =  $\gamma$ -aminobutyric acid.

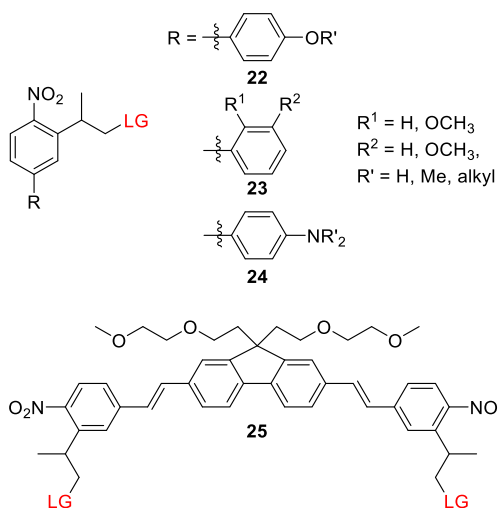


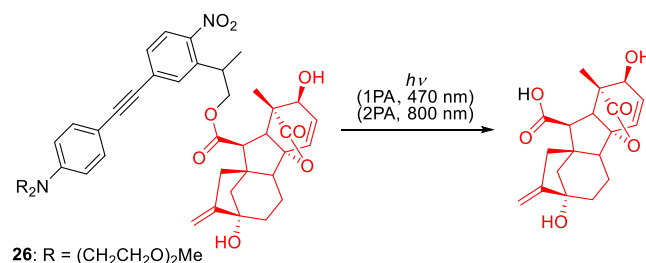
Figure 2. *o*-Nitro-2-phenethyl derivatives (LG = alkoxide, carboxylate, carbonate, carbamates, or phosphate).

(Table 6).<sup>254</sup> This stands in contrast to the previously mentioned inverse correlation between bathochromic shifts of  $\lambda_{\text{max}}^{\text{abs}}$  and  $\Phi_{\text{r}}$  in *o*NB derivatives (see section 2.1.1). The 2P-uncaging cross sections of glutamate from **22** were 3.2 and 0.45 GM at 740 and 800 nm, respectively,<sup>254,255</sup> both of which are significantly higher than the corresponding values for **19** ( $\delta_{\text{unc}} = 0.17$  GM, 720 nm).<sup>246</sup> Moving the methoxy EDG to the *ortho* or *meta* positions (**23**) did not affect 1P photorelease yield but reduced the 2P uncaging cross section ( $\delta_{\text{unc}} = 2.2$  and 1.8 GM, respectively, 740 nm).<sup>255</sup> The introduction of a hydroxyl EDG was detrimental to the photouncaging of glutamate (reducing its chemical yield to <10%), presumably because it opened up photochemical pathways that compete with photorelease.<sup>254</sup> The impact of varying the *p*-alkoxy substituent of **22** on the photorelease of various LGs at  $\lambda_{\text{irr}} = 300\text{--}365$  nm was investigated, but no appreciable effects on photoreaction properties were observed.<sup>185,255–260</sup> Specht, Goeldner, and co-workers further showed that dialkylamino substituents (**24**) caused an additional ~90 nm bathochromic shift with no significant detrimental effects on the quantum yield of 1P GABA photorelease (Table 6) and also substantially increased the 2P-uncaging cross section, giving  $\delta_{\text{unc}}$  values of up to 11 GM at 800 nm.<sup>152</sup> The photorelease of carboxylates,<sup>152,255,261</sup> amines<sup>260,262–264</sup> (connected as carbamates), alcohols,<sup>265</sup> and phosphates<sup>266</sup> from various dialkylamino derivatives of **24** proceeded with  $\Phi_{\text{r}} = 0.09\text{--}0.28$  at  $\lambda_{\text{irr}} = 390\text{--}520$  nm and with  $\delta_{\text{unc}}$  values of up to 20.5 GM at 800 nm. To improve the water-solubility of these rather hydrophobic

PPGs and enable their conjugation to (intra)cellular targeting groups, hydrophilic functional groups were attached to the amino<sup>152,262,263,266</sup> or alkoxy<sup>256,260</sup> moieties of **22** and **24**.<sup>185,258</sup>

The extension of the  $\pi$ -system of NPPOC with styrene and phenylacetylene substituents was also explored.<sup>254,257,268</sup> For example, Wombacher and co-workers synthesized **26** to cage the plant hormone gibberellic acid (GA<sub>3</sub>) via an ester linkage (Scheme 4).<sup>268</sup> This conjugate had a  $\lambda_{\text{max}}^{\text{abs}}$  of 400 nm and

Scheme 4. Photouncaging of Plant Hormone GA<sub>3</sub> from  $\pi$ -Extended NPPOC Derivative<sup>268</sup>



**26**: R = (CH<sub>2</sub>CH<sub>2</sub>O)<sub>2</sub>Me

released GA<sub>3</sub> upon 1P ( $\lambda_{\text{irr}} = 470$  nm) or 2P ( $\lambda_{\text{irr}} = 800$  nm) excitation in cultured COS-7 cells, enabling light-mediated control over a chemically-induced dimerization system based on the gibberellin perception mechanism.<sup>269,270</sup> Symmetric biphenyl-substituted NPPOC structures such as **25** (Figure 2) exhibited significantly improved 1P- and 2P-absorption photorelease efficiencies ( $\Phi_{\text{r}} = 0.25\text{--}0.30$ ,  $\delta_{\text{unc}} = 0.9\text{--}5.0$  GM (at 840 nm),<sup>267</sup> but their size and poor solubility make them more suitable for applications where they are incorporated into larger structures.<sup>271</sup>

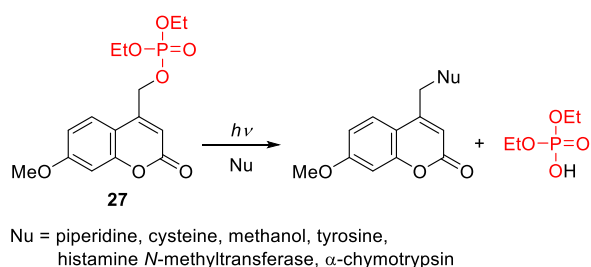
## 2.2. The (Coumarin-4-yl)methyl Group

Coumarin (2*H*-chromen-2-on) is a secondary metabolite found in many plants that was first isolated from the Tonka bean, known in French as coumarou, in 1820.<sup>272–274</sup> The development of coumarins as a new class of photoremovable protecting groups began with the discovery of Givens and Matuszewski that the (coumarin-4-yl)methyl group exhibits photoreactivity, enabling the release of phosphate esters (Scheme 5).<sup>275</sup>

The mechanism of the photorelease from (coumarin-4-yl)methyl derivatives has been extensively studied<sup>276–278</sup> and reviewed,<sup>10,279</sup> and it is summarized in Scheme 6.<sup>276</sup> Briefly, a heterolytic C–X bond cleavage takes place from the lowest <sup>1</sup> $\pi, \pi^*$  singlet excited state, which competes with unproductive radiationless decay and fluorescence emission. A tight ion pair (TIP) was proposed to be the key intermediate in this process;



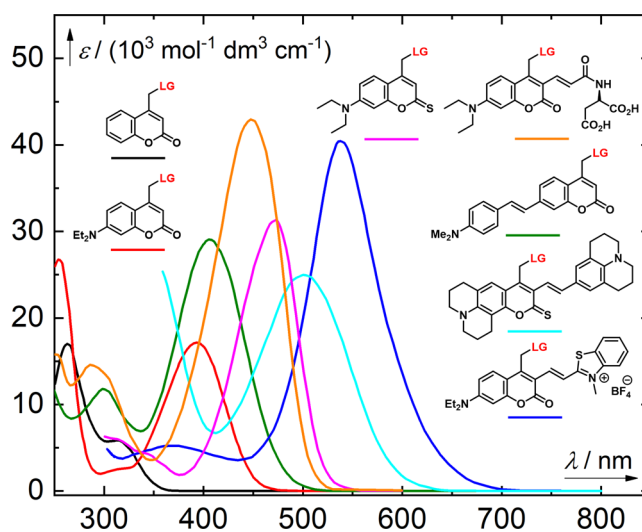
### Scheme 5. Release of Phosphate from 7-Methoxycoumarin 27<sup>275</sup>



the (coumarin-4-yl)methyl cation in this pair could react directly with adventitious nucleophiles or solvents to form a new stable (coumarin-4-yl)methyl product. Recombination of the TIP to regenerate the ground-state caged derivative would be an unproductive competing radiationless pathway in this mechanism. It should however be noted that ultrafast time-resolved visible-pump-infrared-probe spectroscopy experiments yielded no evidence of TIP formation during the photorelease of a (coumarin-4-yl)methyl azide.<sup>280</sup> There are also evidences suggesting that some coumarin derivatives exhibit triplet-state reactivity.<sup>165,281–284</sup>

In general, coumarin-based PPGs offer several advantages: (1) high molar absorption coefficients at wavelengths above 350 nm, (2) high photorelease efficiencies, (3) acceptable stabilities in the dark, (4) fast photolysis kinetics, and (5) practically useful 2-photon excitation cross sections. Furthermore, their spectroscopic, photochemical, and other relevant properties (e.g., solubility and conjugation) can easily be tuned by varying the substituents on the coumarin ring. Given the high diversity of known coumarins, their synthesis is outside the scope of this review; interested readers are directed to reference works for extensive surveys.<sup>10,285</sup> Similarly, comprehensive reviews of the biological and other applications of (coumarin-4-yl)methyl PPGs can be found elsewhere.<sup>16,19,21,22,26,50,285–289</sup> The following section focuses on the evolution of coumarinyl PPGs that are excitable by light in the visible region of the spectrum. The absorption spectra of

representative (coumarin-4-yl)methyl PPGs discussed in this section are shown in Figure 3.



**Figure 3.** Absorption spectra of selected (coumarin-4-yl)methyl PPGs. Black line, a (coumarin-4-yl)methyl derivative (LG = cAMP);<sup>290</sup> red line, a [7-(diethylamino)coumarin-4-yl]methyl derivative (LG = benzoate);<sup>290</sup> magenta line, a thionated [7-(diethylamino)coumarin-4-yl]methyl derivative (LG = benzoate);<sup>291</sup> orange line, a 3-[3-(methylamino)-3-oxoprop-1-en-1-yl] derivative (LG = glutamate);<sup>292</sup> green line, a 7-styryl derivative (LG = 4-methoxybenzylcarbonate);<sup>293</sup> cyan line, a bis-julolidine derivative (LG = 4-methoxybenzoate);<sup>294</sup> blue line, a benzothiazolium derivative (LG = 3,5-dimethylbenzoate).<sup>295</sup>

The parent (coumarin-4-yl)methyl **28a** has an absorption maximum at 310 nm (Table 7; Figure 3) and was shown to photorelease cyclic adenosine monophosphate (cAMP) with  $\Phi_r = 0.085$ .<sup>290</sup> Introducing EDGs at the C7-position led to an increased intramolecular charge-transfer (ICT) character and a greater transition dipole moment, resulting in more intense and red-shifted absorption.<sup>278,290,296–302</sup> The weakly electron-donating 7-methyl substituent (**28b**) caused a  $\sim 7$  nm

### Scheme 6. Photocleavage Mechanism of (Coumarin-4-yl)methyl-Caged Phosphates<sup>276</sup>

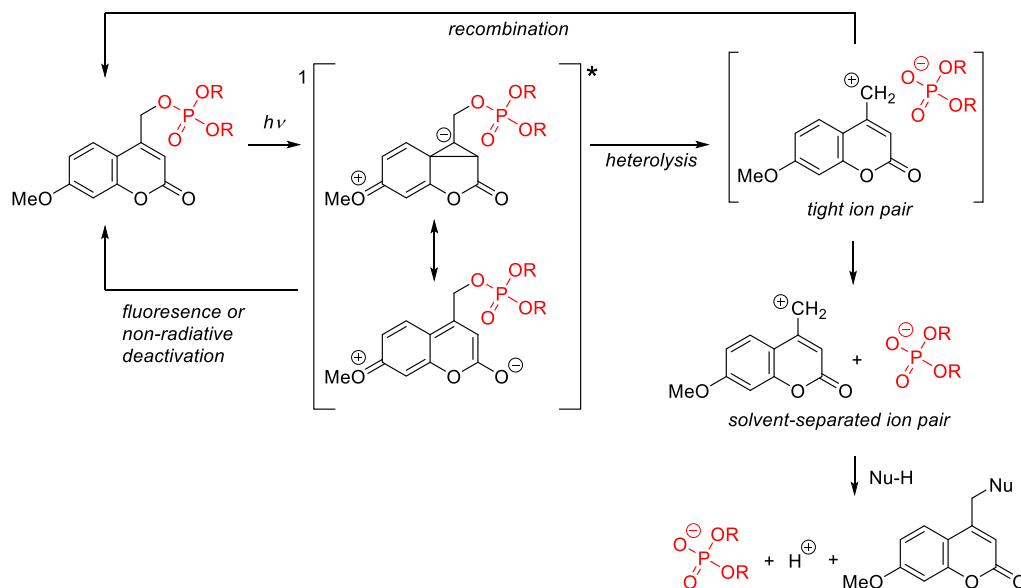
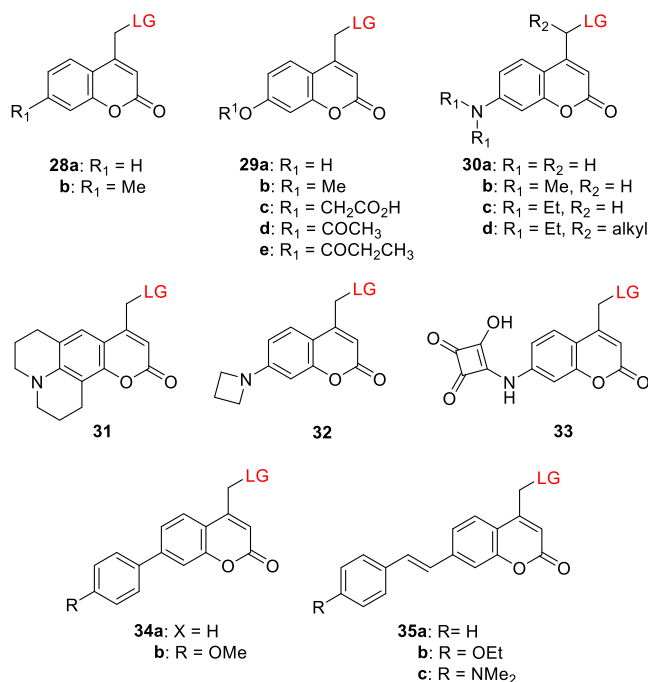


Table 7. Coumarin PPGs Substituted at the 7-Position<sup>a</sup>

PPG	$\lambda_{\max}^{\text{abs}}$ (nm)	$\epsilon_{\max}^{\text{abs}}$ (M <sup>-1</sup> cm <sup>-1</sup> )	solvent <sup>b</sup>	ref
28a	310	$5.1 \times 10^3$	CH <sub>3</sub> OH/HEPES buffer pH 7.2, 1:1	290
28b	317	$3.92 \times 10^3$	ethanol	303, 304
29a–e	314–328	$1.0\text{--}1.6 \times 10^4$	CH <sub>3</sub> OH/HEPES buffer pH 7.2, 1:1 or MOPS buffer, pH 7.2	165, 290, 301, 308
30a	348	$1.4 \times 10^4$	PBS buffer, pH 7.4	324
30b	378–398	$1.5\text{--}1.8 \times 10^4$	CH <sub>3</sub> OH/HEPES buffer pH 7.2, 1:1	290, 332, 333
30c	387–406	$1.5\text{--}2.1 \times 10^4$	CH <sub>3</sub> CN/HEPES buffer pH 7.2, 1:20 or HEPES buffer pH 7.2 or CH <sub>3</sub> OH/HEPES buffer pH 7.2, 1:4	301, 334
31	399–403	$1.8\text{--}4.4 \times 10^4$	CH <sub>3</sub> OH/H <sub>2</sub> O, 9:1 or CH <sub>3</sub> OH/HEPES buffer pH 7.2, 4:1	294, 335, 336
32	371	$1.6 \times 10^4$	CH <sub>3</sub> CN/PBS buffer pH 7.4, 7:3	337
33	450	not reported	CH <sub>3</sub> CN	338
34a	323	$4.1 \times 10^4$	CH <sub>3</sub> OH/HEPES buffer pH 7.2, 4:1	339
34b	325–340	$3.9\text{--}4.1 \times 10^4$	CH <sub>3</sub> OH/HEPES buffer pH 7.2, 4:1	339
35a	347–354	$3.5\text{--}5.8 \times 10^4$	CH <sub>3</sub> OH/HEPES buffer pH 7.2, 4:1 or CH <sub>3</sub> CN/H <sub>2</sub> O, 9:1	293, 339
35b	366	$2.8 \times 10^4$	CH <sub>3</sub> CN/H <sub>2</sub> O, 9:1	293
35c	407	$2.9 \times 10^4$	CH <sub>3</sub> CN/H <sub>2</sub> O, 9:1	293

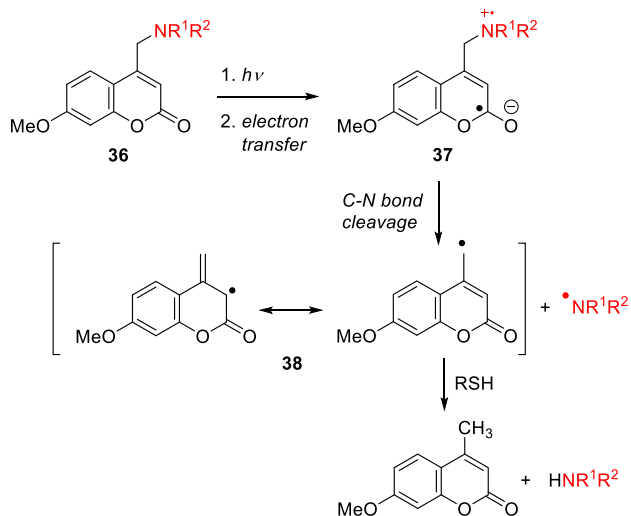
<sup>a</sup>LG = alkoxides, carboxylates, carbonates, carbamates, phosphates, thiols, sulfonates, azide, halides. <sup>b</sup>HEPES = 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid; MOPS = 3-(*N*-morpholino)propanesulfonic acid; PBS = phosphate buffer saline.

bathochromic shift in  $\lambda_{\max}^{\text{abs}}$ <sup>303,304</sup> while derivatives with stronger EDGs such as hydroxy ((7-hydroxycoumarin-4-yl)methyl, **29a**) and methoxy ((7-methoxycoumarin-4-yl)methyl, **29b**) exhibited more pronounced effects (Table 7). The (7-carboxymethoxycoumarin-4-yl)methyl derivative **29c** was designed to provide improved water solubility,<sup>184,301,305–307</sup> while esters **29d** ((7-acetoxycoumarin-4-yl)methyl) and **29e** ((7-propionyloxycoumarin-4-yl)methyl) were introduced to improve membrane permeability.<sup>308–311</sup> After penetration into live cells by diffusion, the esters of **29d** and **29e** are hydrolyzed by endogenous esterases to form the more polar phenolic derivative **29a**, which has negligible membrane permeability and thus accumulates inside cells.<sup>309,310</sup> A genetically encodable lysine caged by **29a** was developed to control protein functions in cell cultures and *in vivo*.<sup>312–316</sup> The photoexcitation of **29a–e** and their derivatives is usually restricted to the 300–350 nm wavelength range.

Photocaging of phosphates, sulfonates, and quaternary amines from **29a–e** and their derivatives typically occurs with  $\Phi_r$  values of 0.05–0.39,<sup>276,278,281,290,301,309,317,318</sup> whereas poorer leaving groups, such as carboxylic,<sup>184,276,278,319,320</sup> carbonic,<sup>319,321–323</sup> and carbamic<sup>305,313,319,324–326</sup> acids are liberated less efficiently ( $\Phi_r = 0.004\text{--}0.03$ ). The photorelease efficiencies of amino acids connected to **29a** and **29b** through different linkers declined in the following order: anhydride > ester > carbamate > carbonate.<sup>319</sup> The carbonic or carbamic acids initially liberated by photorelease from these linkers are unstable and undergo decarboxylation to give the corresponding free alcohol or amine, respectively. These decarboxylation reactions usually have quite low rates, with  $k_{\text{CO}_2}$  on the order of  $10^{-3} \text{ s}^{-1}$ , and they are subject to both acid and base catalysis.<sup>327–330</sup> A single example of a C–N bond cleavage from **29b** was reported.<sup>331</sup> This reaction proceeded efficiently only in the presence of an excess of a hydrogen-atom donor

such as *n*-decanethiol or 1,4-cyclohexadiene. A radical mechanism was proposed (Scheme 7), involving electron

**Scheme 7. Photouncaging of Amines via Direct C–N Bond Cleavage**<sup>331</sup>



transfer between the amine and coumarinylmethyl moieties in **36** to form the intramolecular radical ion pair **37**. The subsequent cleavage of the C–N bond generates an aminyl radical and a resonance-stabilized coumarinylmethyl radical **38**, both of which can be trapped by hydrogen-atom donors.

The introduction of a 7-NH<sub>2</sub> substituent ((7-amino-coumarin-4-yl)methyl, **30a**)<sup>324</sup> caused a ~40–45 nm bathochromic shift of  $\lambda_{\text{max}}^{\text{abs}}$  (Figure 3), and the liberation of carboxylic acids and amines from the corresponding esters and carbamates of **30a** proceeded with  $\Phi_{\text{r}}$  values of 0.003–0.6 ( $\lambda_{\text{irr}} = 350$  or 419 nm).<sup>308,324,339,340</sup> Alkylation of the 7-amino moiety, which increases its electron-donating ability, resulted in a more red-shifted and intense absorption band in [7-(dimethylamino)coumarin-4-yl]methyl derivative **30b**<sup>290,334,341</sup> and [7-(diethylamino)coumarin-4-yl]methyl analog **30c**<sup>301,334</sup> (Table 7).<sup>278,290,301</sup> The photorelease quantum yields for **30b** and **30c** exceeded those for all other compounds in this series. This was attributed to greater stabilization of the (coumarin-4-yl)methyl carbocation by the electron-donating dialkylamino substituents, leading to more efficient LG liberation from the TIP intermediate.<sup>276,278,290</sup> For example, the  $\Phi_{\text{r}}$  values for cAMP release from **30b** and **30c** were 0.28 and 0.21, respectively, around twice that for **29b** ( $\Phi_{\text{r}} = 0.13$ ).<sup>278,290</sup> The release of carboxylic acids from **30b** and **30c** occurred with  $\Phi_{\text{r}}$  values of 0.003–0.12,<sup>291,321,332,333</sup> whereas amines (as carbamic acids),<sup>278,342–346</sup> alcohols (as carbonic acids),<sup>293,344,347</sup> and thiols (as thiocarbonic acids)<sup>348–350</sup> were liberated with  $\Phi_{\text{r}} = 0.01–0.09$ . The direct release of phenols occurred with  $\Phi_{\text{r}} = 0.02–0.26$ , but competing recombination of the primary products proceeded with similar or even higher efficiency with these LGs.<sup>345,351–353</sup> The favorable spectroscopic and photochemical properties of **30c**, such as its absorption above 400 nm,<sup>332,333</sup> have made it one of the most popular PPGs. For more examples of its applications, the reader is referred to several review articles.<sup>10,16,19,21,22,26,285</sup>

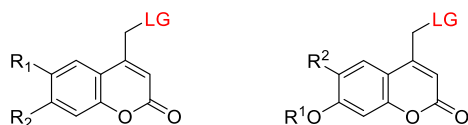
Derivative **30d** was shown to have similar spectroscopic and photochemical properties to **30c** (Table 7)<sup>354</sup> while providing an additional derivatization point for further modulation of its

properties and functions.<sup>354–360</sup> The alkyl substituents of the (7-dialkylaminocoumarinyl)methyl group can easily be replaced with other functional moieties without significantly affecting the molecule's photophysical and photochemical properties,<sup>361</sup> allowing other properties to be tuned to expand the PPG's utility. For example, long alkyl chains have been appended to the 7-amino group to increase hydrophobicity,<sup>362–366</sup> and highly polar or charged moieties such as bis(carboxymethyl),<sup>283,306,367–375</sup> bis((dimethylamino)ethyl)-carboxamide,<sup>376</sup> and bis(ethylsulfonate)<sup>377,378</sup> groups have been used to increase water solubility and control cellular permeability. Other functionalities have been appended to the 7-amino group to enable conjugation to (sub)cellular targeting motifs,<sup>377,379–382</sup> binding to surfaces and nanoparticles,<sup>383–388</sup> or incorporation into polymer backbones.<sup>389,390</sup> Analyte-dependent photoactivatable derivatives have also been reported.<sup>383,391,392</sup>

Derivatives bearing a conformationally locked electron-donating julolidine motif<sup>393,394</sup> exhibited a 10–15 nm bathochromic shift of  $\lambda_{\text{max}}^{\text{abs}}$  relative to their corresponding open-chain analogs (Table 7) and were photolyzed with higher quantum yields.<sup>294,335–337</sup> For example, the liberation of benzoic acid derivatives from coumarin **31** was 5–7-times more efficient than from **30c** under the same conditions ( $\lambda_{\text{irr}} = 405$  nm).<sup>337</sup> The 7-azetidiny and 7-aziridinyl substitutions significantly increased fluorescence quantum yields in coumarin fluorophores, which was related to a decrease in the population of twisted intramolecular charge transfer (TICT) states<sup>395</sup> upon excitation.<sup>313,396</sup> Rivera-Fuentes and co-workers synthesized 7-azetidiny coumarin **32**, which released carboxylic acids with  $\Phi_{\text{r}} = 1.4–1.6 \times 10^{-2}$  upon irradiation at 405 nm.<sup>337</sup> The authors suggested that this increase in photouncaging efficiency is not due to the substituent's effect on the population of TICT states (as was suggested for the fluorescence enhancement<sup>313,396</sup>) but rather to suppression of an unproductive H-bond-induced non-radiative decay<sup>397–399</sup> (HBIND) channel.<sup>337</sup> Photouncaging ( $\lambda_{\text{irr}} = 405$  nm) of a fluorescein derivative from **32** in live cells was demonstrated.<sup>337</sup> Singh and co-workers synthesized the squaric acid–coumarin conjugate **33** (LG = the anticancer drug chlorambucil, Table 7). An organic nanoparticle formulation of this compound exhibited a hypsochromically shifted and broadened absorption spectrum ( $\lambda_{\text{max}}^{\text{abs}} \approx 410$  nm) relative to that of the free molecular species.<sup>338</sup> Photoexcitation of **33**–nanoparticle conjugates ( $\lambda_{\text{irr}} = 410$  nm) led to the simultaneous release of chlorambucil ( $\Phi_{\text{r}} = 0.083$ ) and generation of singlet oxygen ( $\Phi_{\Delta} = 0.51$ ) from the excited squaraine moiety.<sup>400–402</sup> This simultaneous release of a strong oxidant and an anticancer drug had synergistic effects on cell viability in cultured HeLa cells.<sup>338</sup>

Gonçalves and co-workers expanded the coumarin  $\pi$ -system by substituting the 7-position with phenyl (**34a**) or *p*-methoxyphenyl (**34b**) groups, resulting in bathochromic shifts in the absorption of 19 and 31 nm, respectively, relative to the parent coumarin **28a** (Table 7). However, detectable carboxylic acid release from these derivatives occurred only upon irradiation below 350 nm.<sup>339</sup> The introduction of a 7-styryl group<sup>293,339</sup> in **35a** caused a more significant bathochromic shift of  $\lambda_{\text{max}}^{\text{abs}}$  that was further enhanced by substituting the *para*-position with EDGs (**35b** and **35c**, Table 7; Figure 3).<sup>293</sup> The liberation of alcohols (caged through a carbonate linker) from **35c** proceeded with  $\Phi_{\text{r}} = 8.3 \times 10^{-4}$  ( $\lambda_{\text{irr}} = 420$  nm), which is ~50-times lower than the

Table 8. Coumarin PPGs Substituted at the 6-Position



**39a:** R<sub>1</sub> = OCH<sub>3</sub>, R<sub>2</sub> = H  
**b:** R<sub>1</sub> = R<sub>2</sub> = OCH<sub>3</sub>  
**c:** R<sub>1</sub> = R<sub>2</sub> = CH<sub>2</sub>CO<sub>2</sub>H  
**d:** R<sub>1</sub> = R<sub>2</sub> = CH<sub>2</sub>CO<sub>2</sub>Et

**40a:** R<sub>1</sub> = H, R<sub>2</sub> = Br  
**b:** R<sub>1</sub> = H, R<sub>2</sub> = Cl  
**c:** R<sub>1</sub> = COCH<sub>3</sub>, R<sub>2</sub> = Br  
**d:** R<sub>1</sub> = alkyl, R<sub>2</sub> = Br

PPG	$\lambda_{\max}^{\text{abs}}$ (nm)	$\epsilon_{\max}$ (M <sup>-1</sup> cm <sup>-1</sup> )	leaving groups <sup>a</sup>	$\Phi_r$ ( $\lambda_{\text{irr}}$ /nm)	solvent <sup>b</sup>	ref
39a	337–346	4.2–4.5 × 10 <sup>3</sup>	cAMP	0.02–0.055 (333)	CH <sub>3</sub> OH/HEPES buffer pH 7.2, 1:1	278, 290
39b	341–349	1.1–1.2 × 10 <sup>4</sup>	cAMP	0.04 (333)	CH <sub>3</sub> OH/HEPES buffer pH 7.2, 1:1	278, 290
39c	346–347	1.1–1.2 × 10 <sup>4</sup>	cAMP	0.08–0.10 (333)	CH <sub>3</sub> CN/HEPES buffer pH 7.2, 1:20 or HEPES buffer pH 7.2	301
40a	370–375	1.5–1.7 × 10 <sup>4</sup>	acetic acid	0.37 (365)	MOPS buffer, pH 7.2	165
			cAMP	0.1 (350)	7.2	403
40b	370	1.6 × 10 <sup>4</sup>	acetic acid	0.01 (365)	MOPS buffer, pH 7.2	165
40c	320	0.6 × 10 <sup>4</sup>	cAMP	0.074 (350)	MOPS buffer, pH 7.2	403
40d	329–330	0.5–1.0 × 10 <sup>4</sup>	2'-deoxycytidines	0.24–0.30 (350)	MOPS buffer, pH 7.2	404

<sup>a</sup>Only selected LGs are shown. <sup>b</sup>HEPES = 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid; MOPS = 3-(*N*-morpholino)propanesulfonic acid; cAMP = cyclic adenosine monophosphate.

corresponding value for coumarin 30c ( $\Phi_r = 4.5 \times 10^{-2}$ ). Nevertheless, the uncaging cross section of 35c upon irradiation at 430 nm was around 4-times that of 30c ( $\Phi_r \epsilon_{430} = 8.28$  and  $2.29 \text{ M}^{-1} \text{ cm}^{-1}$  for 35c and 30c, respectively).<sup>293</sup> Because of its extended D- $\pi$ -A backbone, 35c exhibited a much stronger 2P absorption than 30c (309 vs 2.3 GM at 800 nm) and a  $\sim$ 2-fold higher 2P uncaging cross section ( $\delta_{\text{unc}} = 0.26$  vs 0.12 GM at 800 nm).<sup>293</sup>

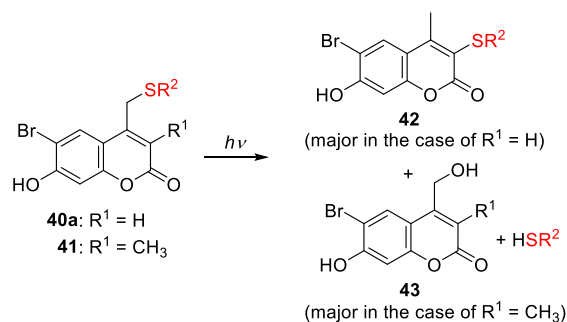
Coumarin derivatives bearing EDGs at the 6-position exhibited greater bathochromic shifts in absorption than their 7-EDG counterparts,<sup>278,290,405,406</sup> but usually also exhibited less efficient photorelease (Table 8).<sup>278,290</sup> For example, the (6-methoxycoumarin-4-yl)methyl compound 39a had a 20 nm bathochromic shift of  $\lambda_{\max}^{\text{abs}}$  relative to its 7-methoxy analog 29b and was photolyzed to release cAMP as an LG  $\sim$ 4-times less efficiently.<sup>278,290</sup> The spectroscopic and photochemical properties of the 6,7-dialkoxy derivatives 39b–d resembled those of their 6- or 7-monosubstituted analogs. Sulfonates and phosphates such as cAMP and cGMP were released from 39b–d with  $\Phi_r = 0.08$ –0.14,<sup>278,290,301,407–410</sup> while poorer LGs such as carboxylic and carbamic acids were released with  $\Phi_r = 0.6$ – $2.0 \times 10^{-2}$ .<sup>305,321</sup> The uncaging of cysteine residues protected with 39b in proteins was used to study their folding kinetics on a sub-microsecond time-scale.<sup>411,412</sup> The (6,7-dicarboxymethoxycoumarin-4-yl)methyl derivative 39c was designed to provide increased water solubility,<sup>301,305,410</sup> and the diethyl ester 39d was synthesized to improve membrane permeability.<sup>413</sup>

The effect of electron-withdrawing groups (EWGs) at the 6-position has mainly been explored in combination with an EDG at the 7-position. Introducing an EWG in the 6-position had only a minor effect on the absorption spectrum relative to the parent PPG (causing bathochromic shifts of  $\sim$ 5–15 nm) and often led to reduced photouncaging quantum yields,<sup>165,285,303</sup> presumably due to interference with through-bond electron transfer to the C2 carbonyl in the excited state.<sup>285</sup> Two exceptions to these effects were observed for the (6-bromo-7-hydroxycoumarin-4-yl)methyl compound 40a by Tsien and co-workers.<sup>165</sup> First, the 6-bromo substituent increased the acidity of the 7-OH group relative to 29a ( $\text{p}K_a = 6.2$  vs 7.9), causing 40a to be predominantly anionic at

physiological pH. Consequently, 40a has an absorption maximum at 375 nm, compared to 330 nm for its protonated form and 325 nm for 29a, and is more water-soluble.<sup>165,414</sup> These effects were also observed for the 6-chloro derivative 14b.<sup>165,415</sup> Second, acetate was liberated from 40a  $\sim$ 1.5-times more efficiently than from 29a ( $\Phi_r = 0.037$  vs 0.025).<sup>165</sup> It was suggested that the heavy bromo substituent of 40a promotes ISC to the triplet excited state and that this effect outweighs its interference with through-bond electron transfer to the C2 carbonyl, resulting in increased quantum efficiency.<sup>165,285</sup> The introduction of an electron-withdrawing chlorine atom at the 6-position led to a lower photorelease quantum efficiency in 40b, but the heavy atom effect of two additional bromo substituents at the 3- and 8-positions increased efficiency in the case of 40a ( $\Phi_r = 0.065$ ), suggesting that the triplet excited state is productive in these derivatives.<sup>165</sup> Phosphates (e.g., cAMP, cGMP, deoxycytidines;  $\Phi_r = 0.09$ –0.1),<sup>403,404</sup> carboxylic acids ( $\Phi_r = 0.02$ –0.13),<sup>165,416,417</sup> amines (as carbamic acids;  $\Phi_r = 0.04$ –0.16),<sup>418,419</sup> alcohols (as carbonic acids;  $\Phi_r = 0.01$ –0.4),<sup>321,342,420,421</sup> diols ( $\Phi_r = 0.004$ –0.06),<sup>422,423</sup> and alkoxyamines<sup>424</sup> have all been successfully released from 40a. The 2P uncaging cross section of 40a at 740 nm ranged from 0.35 to 2.0 GM depending on the caged substrate.<sup>165,404,417,419,423</sup> Despite several reports of successful liberation of thiols from 40a-thioethers,<sup>425–428</sup> photoisomerization of the by-product 42 occurred with higher efficiency (Scheme 8). Blocking the 3-position with a methyl group as in 41 prevented the formation of 42, facilitating the clean formation of 43 and the liberation of free thiols, albeit with lower quantum efficiencies than were achieved with 40a ( $\Phi_r = 0.01$  and 0.04, respectively).<sup>429,430</sup>

Similarly, phenols could be liberated directly from 40a, but competing recombination of the primary products was observed.<sup>345,352,431</sup> For example, a photo-Claisen rearrangement was found to proceed  $\sim$ 2.5-times more efficiently than LG photorelease from 44 (Scheme 9).<sup>345</sup>

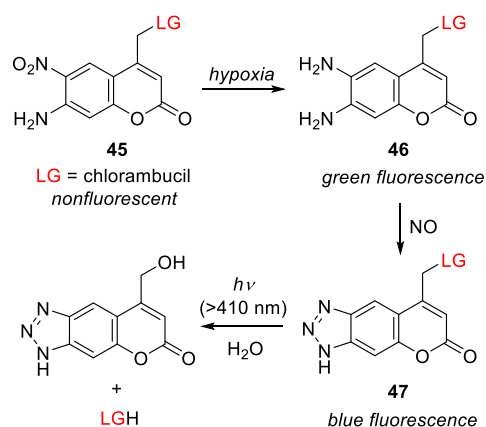
The favorable spectroscopic and photochemical properties of 40a were found to be useful not only for the photorelease of bioactive small molecules<sup>421,427,432–438</sup> but also in the development of photoresponsive polymers,<sup>426,439–446</sup> dendrimers,<sup>447</sup> and supramolecular materials.<sup>448–451</sup> A genetically

Scheme 8. Photochemistry of Thioethers **40a** and **41**<sup>429,430</sup>

encodable lysine caged by **40a** was also reported.<sup>325</sup> Compound **40c** (LG = acetate) was introduced as a more cell-permeable version of **40a**, which can be trapped inside cells after hydrolysis of the ester bond.<sup>403</sup> The 6-bromo-7-alkoxy derivatives of **40d** had spectroscopic properties comparable to those of the protonated form of **40a**, and were shown to release various LGs with  $\Phi_r = 0.01$ – $0.3$  at  $\lambda_{irr} = 350$  nm.<sup>342,347,404,421,452,453</sup>

Singh and co-workers developed coumarin **45** as a photoresponsive, dual-channel sensor for hypoxia and nitric oxide (NO; see also section 4.2) with  $\lambda_{max}^{abs} = 410$  nm and very weak fluorescence ( $\Phi_F = 0.01$ ; Scheme 10).<sup>454</sup> Reduction of the 6-NO<sub>2</sub> group to an NH<sub>2</sub> group (**46**) led to a hypsochromic-shift in the absorption maximum ( $\lambda_{max}^{abs} = 387$  nm) and intense fluorescence emission centered at 535 nm ( $\Phi_F = 0.55$ ). Further reaction of the diamino moiety in **46** with NO<sup>455–457</sup> provided triazole **47** with  $\lambda_{max}^{abs} = 355$  nm and fluorescence emission at 500 nm. The liberation of chlorambucil from **47** took place with  $\Phi_r = 0.04$  ( $\lambda_{irr} \geq 410$  nm) and a chemical yield of 90%. Hypoxia-dependent detection of NO based on changes in fluorescence and subsequent light-mediated release of chlorambucil was demonstrated in cultured HeLa cells.<sup>454</sup>

EWGs or EDGs at the 8-position do not significantly affect the absorption spectra of coumarins;<sup>406,458</sup> thus, substitution at this position was used to tune the non-photochemical properties of coumarin-based PPGs. For example, the 7,8-dihydroxy derivative **48a** and the bis(carboxymethoxy)-substituted coumarin **48b** exhibited similar spectroscopic properties (Table 9) to their analogs **29a** and **29c** (Table 7).<sup>367,459</sup> The catechol motif in **48a** enabled its attachment to

Scheme 10. Photochemistry of the Hypoxia and NO Dual-Channel Sensor **45**<sup>454</sup>

TiO<sub>2</sub> nanoparticles; photorelease of chlorambucil (Cbl) from **48a** (LG = Cbl) bound to such nanoparticles ( $\lambda_{irr} > 410$  nm) was accompanied by <sup>1</sup>O<sub>2</sub> generation by excited TiO<sub>2</sub> ( $\Phi_{\Delta} = 0.29$ ).<sup>459</sup> The bis(carboxymethoxy) moiety of **48b** conferred increased water solubility (up to 2.7 mM in acetonitrile/HEPES buffer 5:95, pH 7.2).<sup>367</sup> The dialkylaminomethyl C8 substituents of **49a–d** (Table 9) significantly reduced the acidity of the 7-OH group in **49c** ( $pK_a = 4.9$ )<sup>460</sup> and **49d** ( $pK_a = 3.8$ )<sup>461</sup> relative to the parent **40a** ( $pK_a = 6.2$ ), presumably because the aminomethyl group forms an intramolecular hydrogen bond with the phenolic hydroxyl group,<sup>462</sup> leading to greater photouncaging efficiency at the lower end of the physiological pH range. The liberation of carboxylic acids, diols, amines (as carbamic acids), and phenols (as carbonic acids) from **49a–d** occurred with quantum efficiencies similar to or slightly exceeding that for **40a** upon both 1P ( $\Phi_r = 0.06$ – $0.014$ ,  $\lambda_{irr} = 360$  nm) and 2P excitation ( $\delta_{unc} = 0.5$ – $1.4$  GM, 755 nm).<sup>418,460,461,463</sup> The 8-bis(carboxymethyl)aminomethyl moiety of **49c** increased water solubility<sup>418,460</sup> (to  $> 2$  mM in acetonitrile/HEPES buffer 5:95, pH 7.2), and the appended alkyne of **49d** enabled further conjugation of the PPG via copper-mediated click chemistry.<sup>461,463</sup> Singh and co-workers developed the  $\pi$ -extended coumarin derivatives **50** and **51** (Table 9),<sup>464,465</sup> which exhibited broad-range absorption extending to 400 or 550 nm, respectively. The 2-(2'-hydroxyphenyl)benzothiazole<sup>466</sup> (HBT) moiety in **50** facilitated pH-dependent excited-state intramolecular proton trans-

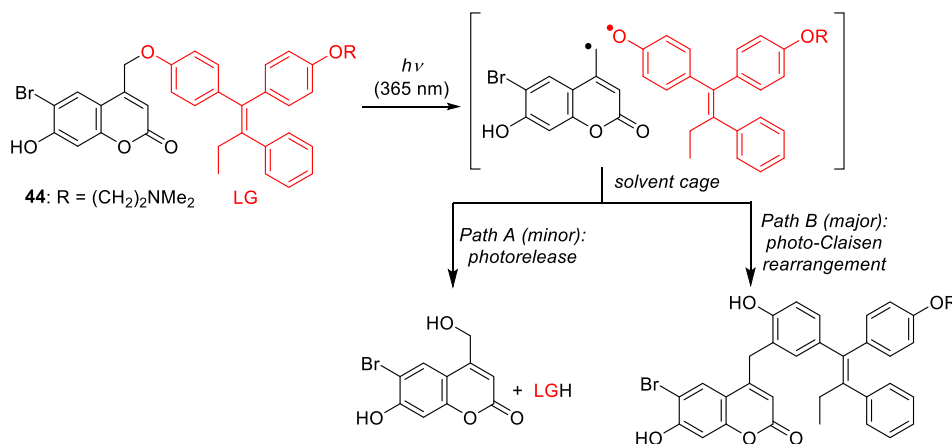
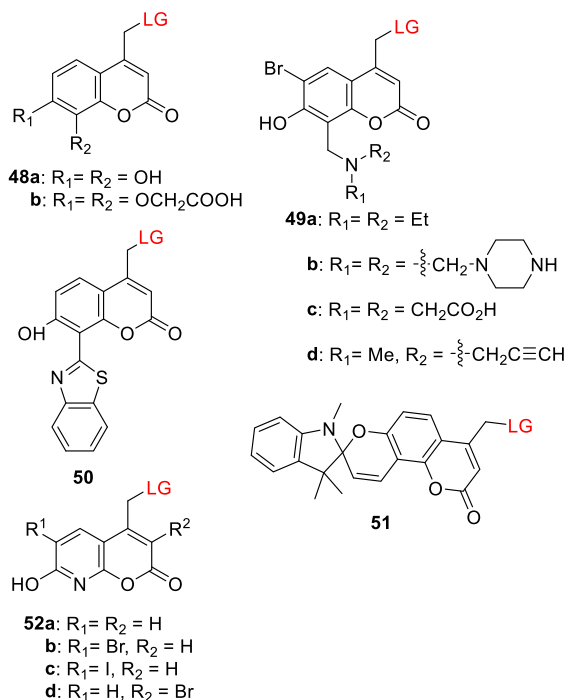
Scheme 9. Photochemistry of **44**<sup>345</sup>

Table 9. Coumarin PPGs Substituted at the 8-Position



PPG	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$\epsilon_{\text{max}}^{\text{max}}$ ( $\text{M}^{-1} \text{cm}^{-1}$ )	leaving groups <sup>a</sup>	$\Phi_r$ ( $\lambda_{\text{irr}}/\text{nm}$ )	solvent <sup>b</sup>	ref
48a	~325	not reported	chlorambucil	0.034 (410)	ethanol	459
48b	324	$1.1 \times 10^4$	Fmoc-cysteine	0.06 (350)	$\text{CH}_3\text{CN}/\text{HEPES}$ buffer pH 7.2, 1:20	367
49a–c	371–376	$1.2\text{--}1.8 \times 10^4$	benzoic acid, dopamine and octopamine (as carbamic acids), capsaicin (as a carbonic acid), benzaldehyde (as a diol)	0.06–0.16 (360 or 365)	$\text{CH}_3\text{CN}/\text{PBS}$ buffer pH 7.2, 1:20 or $\text{CH}_3\text{CN}/\text{HEPES}$ buffer pH 7.2, 1:20	418, 460
49d	359	$0.9 \times 10^4$	arachidonic acid, paclitaxels	0.06–0.14	MOPS buffer, pH 7.2	461
50	330	not reported	chlorambucil	0.006 (365)	ethanol	464
51	~330	not reported	chlorambucil	(410)	$\text{CH}_3\text{CN}/\text{H}_2\text{O}$ , 7:3	465
52a	356	$2.1 \times 10^4$	acetic acid	0.026 (350)	MOPS buffer, pH 7.2	416
52b	362	$2.3 \times 10^4$	acetic acid	0.059 (350)	MOPS buffer, pH 7.2	282, 416, 469
52c	365	$2.3 \times 10^4$	acetic acid	0.11 (365)	PBS buffer pH 7.4	131
52d	378	$2.7 \times 10^4$	acetic acid, glutamate (as ester or as carbamic acid)	0.52 (365)	MOPS buffer, pH 7.2	282
				0.17–0.43	PBS buffer pH 7.4	282, 469

<sup>a</sup>Only selected LGs are shown. <sup>b</sup>HEPES = 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid; MOPS = 3-(*N*-morpholino)propanesulfonic acid; PBS = phosphate buffer saline.

fer<sup>467</sup> (ESIPT); at pH < 7.4, the 7-OH group enabled an ESIPT process resulting in emission at 528 nm, but at higher pH values, the hydroxy group was ionized and ESIPT was prevented, resulting in blue-shifted emission with  $\lambda_{\text{max}}^{\text{em}} = 480$  nm.<sup>464</sup> The acidochromic spiroopyran moiety<sup>468</sup> in **51** allows this PPG to undergo a reversible pH-dependent transformation between two species with distinguishable absorption spectra (Scheme 11; see also section 8).<sup>465</sup> The closed form of the spiroopyran (**51<sub>sp</sub>**) has a C<sub>spiro</sub>–O bond and has an absorption spectrum typical of 7-OR coumarin derivatives ( $\lambda_{\text{max}}^{\text{abs}} \approx 325$  nm). Under acidic conditions (pH < 5.4), the C<sub>spiro</sub>–O bond was cleaved to form the zwitterionic merocyanine isomer (**51<sub>MC</sub>**), which has a more intense and red-shifted absorption spectrum extending up to 550 nm. The **51<sub>MC</sub>** form can thus be selectively photolyzed at  $\lambda_{\text{irr}} > 410$  nm. Chlorambucil liberation was observed upon irradiation of **50** and **51** (LG = Cbl) at 365 and 410 nm, respectively.<sup>464,465</sup>

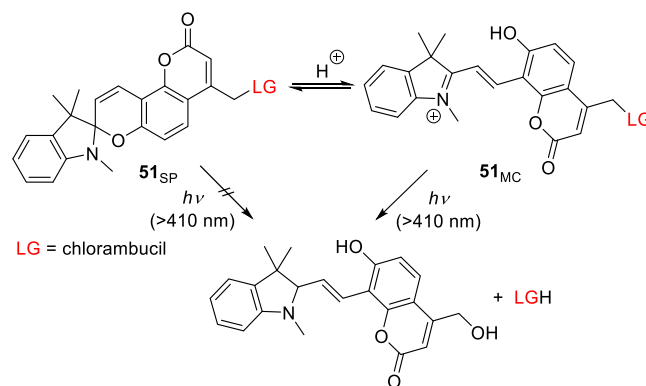
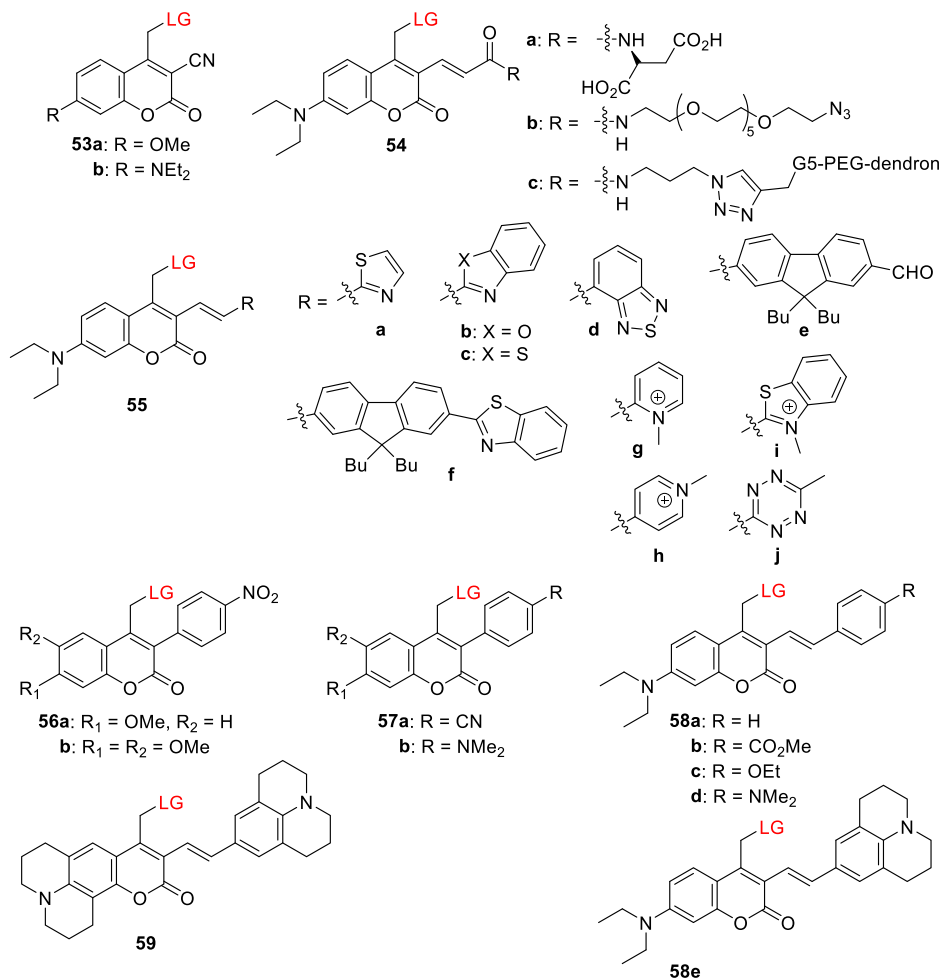
Scheme 11. Photochemistry of Spiroopyran-Coumarin **25**<sup>465</sup>

Table 10. Coumarin PPGs Substituted at the 3-Position

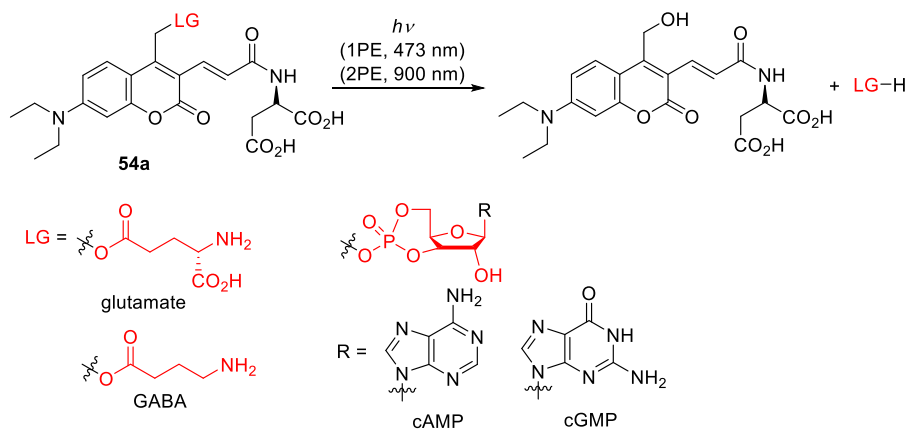
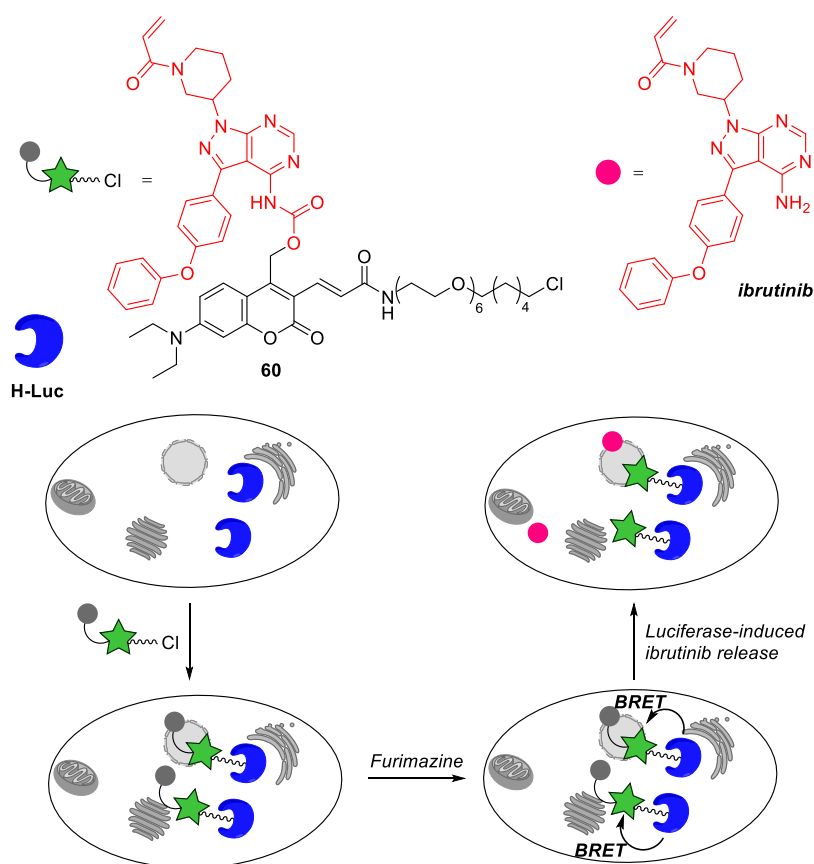


PPG	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$\epsilon_{\text{max}}$ (M <sup>-1</sup> cm <sup>-1</sup> )	leaving groups <sup>a</sup>	$\Phi_{\text{r}}$ ( $\lambda_{\text{irr}}$ /nm)	solvent <sup>b</sup>	ref
53a	360	$2.5 \times 10^4$	benzoic acid	not reported	CH <sub>3</sub> CN/Tris buffer (1:1), pH 7.2	291
53b	443	$2.6 \times 10^4$	benzoic acid	0.04	CH <sub>3</sub> CN/Tris buffer (1:1), pH 7.2	291
54a	450	$4.3 \times 10^4$	glutamate	0.39 (473)	phosphate buffer, pH 7.4	292
55a–f	457–472	$3.3\text{--}4.7 \times 10^4$	Fmoc-Gly-OH	0.09–0.45 (455)	DMSO	470
55g–i	482–538	$3.0\text{--}4.0 \times 10^4$	3,5-dimethyl benzoic acid	0.001–0.01 (544)	H <sub>2</sub> O	295
55j	470	$3.5 \times 10^4$	Boc-Phe-OH	0.0044 (463)	CH <sub>3</sub> CN/HEPES buffer (2:1), pH 7.0	471
56a	345	$2.3 \times 10^4$	benzoic acid	0.09 (360)	DMSO	472
56b	369	$1.7 \times 10^4$	benzoic acid	0.03 (360)	DMSO	472
57a	407	not reported	glutamate	0.05 (410)	HEPES buffer, pH 7.4	140
57b	407	$2.4 \times 10^4$	benzoic acid	0.16 (400)	DMSO	473
58a–e	430–456	$3.0\text{--}4.4 \times 10^4$	4-methoxy benzoic acid	0.04–0.45 (430–456)	CH <sub>3</sub> OH/H <sub>2</sub> O (9:1)	294
59	467	$3.5 \times 10^4$	4-methoxy benzoic acid	0.41 (467)	CH <sub>3</sub> OH/H <sub>2</sub> O (9:1)	294

<sup>a</sup>Only selected LGs are shown. <sup>b</sup>Tris = tris(hydroxymethyl)aminomethane; DMSO = dimethyl sulfoxide; HEPES = 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid.

Tamamura and co-workers developed 8-azacoumarin derivatives **52a–c**, whose absorption maxima are bathochromically shifted by ~30 nm relative to **29a** (Table 9). These compounds have rather acidic phenolic OH groups (with pK<sub>a</sub>s of 4.22–5.67) and high water solubility (5–10 mM in PBS buffer).<sup>282,416,469</sup> The observed trend in the efficiency of acetic acid photorelease from **52a–c** (**c** > **b** > **a**) was attributed to the heavy atom effect of the 6-substituents on the ISC rate.<sup>282,416,469</sup> The bromine atom at the 3-position of **52d** induced an additional bathochromic shift, approximately doubled the photouncaging efficiency, and increased the pK<sub>a</sub> of the phenolic OH group to 5.1.<sup>282,416</sup>

Extending the  $\pi$ -system at the 3-position is a well-established and useful way to bathochromically shift the absorption and emission maxima of coumarin fluorophores.<sup>474–479</sup> Jullien and co-workers synthesized 3-cyano coumarins **53a** and **53b**, which exhibited bathochromic shifts in  $\lambda_{\text{max}}^{\text{abs}}$  of 37 and 58 nm, respectively, relative to the parent coumarins **29b** and **30c** (Table 10).<sup>291</sup> The photorelease of benzoic acid from **53b** was ~5-times less efficient than from **30c**. A 3-iodo derivative of **30c** had a similar  $\lambda_{\text{max}}^{\text{abs}}$  to **53b** (441 nm) but released pyridine derivatives more efficiently than **30c** ( $\Phi_{\text{r},\epsilon_{405}} = 202.0$  vs  $0.3$  M<sup>-1</sup> cm<sup>-1</sup>, respectively), presumably due to less efficient PeT from the pyridine to the coumarin.<sup>480</sup>

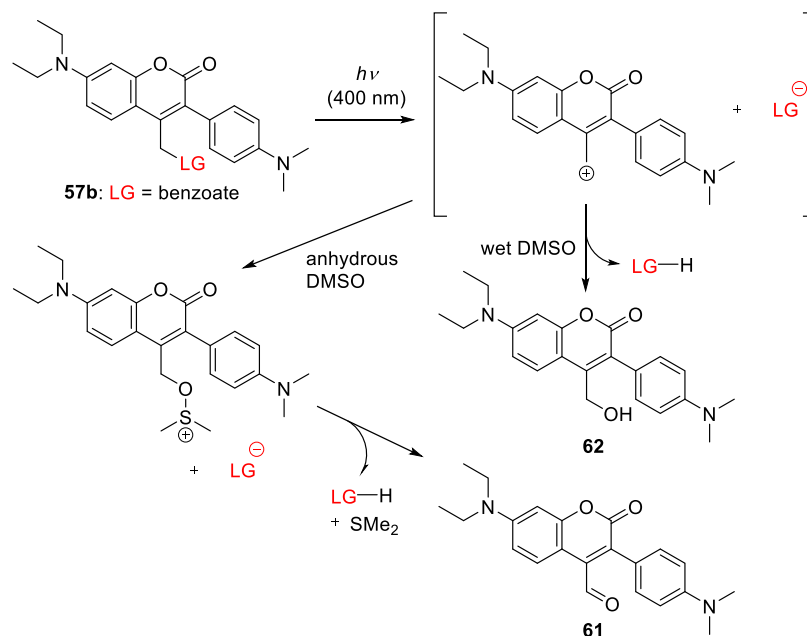
Scheme 12. Photochemistry of DEAC450 PPG 54a<sup>292</sup>Scheme 13. BRET-Induced Photouncaging of Ibrutinib in Live Cells<sup>487</sup>

Ellis-Davies and co-workers introduced the water-soluble 3-[3-(methylamino)-3-oxoprop-1-en-1-yl] coumarin derivative **54a** (DEAC450; Figure 3), which strongly absorbs blue light.<sup>292</sup> The release of carboxylic acids (e.g., glutamate, GABA), cyclic adenosine monophosphate (cAMP), and cyclic guanosine monophosphate (cGMP) from **54a** proceeded quantitatively and efficiently upon either 1P ( $\lambda_{\text{irr}} = 473 \text{ nm}$ ,  $\Phi_{\text{r}} = 0.18\text{--}0.78$ ) or 2P ( $\delta_{\text{unc}} = 0.5 \text{ GM}$ , 900 nm) excitation, and a solvent-captured species was identified as the sole photoproduct (Scheme 12).<sup>140,292,481,482</sup>

Coumarin **54a** absorbs weakly in the UV region, especially in the range of 340–360 nm,<sup>140,292</sup> which enabled its selective and orthogonal 1P (473 and 355 nm) and 2P (900 and 720 nm) excitation in the presence of shorter-wavelength

activatable PPGs such as 4-carboxymethoxy-7-nitroindolyl (CDNI) or dicarboxylate 2-(*p*-phenyl-*o*-nitrophenyl)propyl (dcPNPP).<sup>140,481,483</sup> Derivatives in which the 3-acrylamide moiety is conjugated with *O*-(aminoethyl)-2-azidoethyl-pentaethylene glycol (**54b**) or a PEG dendron (**54c**) were developed to increase the water solubility of caged GABA<sup>481,483,484</sup> and reduce antagonism towards GABA-A receptors.<sup>481,485</sup> Winssinger and co-workers reported that bioluminescence resonance energy transfer (BRET) from the Nanoluc-Halotag<sup>486</sup> fusion protein (H-Luc) to coumarin **60** was sufficient to induce uncaging of the kinase inhibitor ibrutinib (Scheme 13).<sup>487</sup> Accordingly, treatment with **60** caused furimazine-dependent covalent inhibition of the ErbB2



Scheme 14. Proposed Mechanism for the Uncaging Reaction of **57b**<sup>473</sup>

protein kinase in NanoLuc-HaloTag-expressing SKBR3 cells.<sup>487</sup>

Blanchard-Desce, Kele, and co-workers independently developed a series of 3- $\pi$ -extended 7-(diethylamino)-coumarinylmethyl derivatives bearing electron-withdrawing end-groups (**55a–i**, Table 10).<sup>295,470</sup> Coumarins **55a–f** had absorption maxima at 457–472 nm, and the charged pyridinium and benzothiazolium derivatives **55g–i** exhibited even more pronounced bathochromic shifts in  $\lambda_{\text{max}}^{\text{abs}}$ .<sup>295,470</sup> The 66 nm difference in  $\lambda_{\text{max}}^{\text{abs}}$  between **55c** and **55i** (472 and 538 nm, respectively; Figure 3) can be attributed to the effect of the *N*-alkyl moiety on the benzothiazolyl end-group. The release of carboxylic acids from **55a–c** and **55e–f** proceeded with  $\Phi_r = 0.09–0.45$ , but **55d** was photolyzed with  $\Phi_r < 0.001$ . The authors explained this discrepancy by noting that the benzothiazolyl end-group of **55d** is the strongest EWG in this series and suggesting that its strong electron-withdrawing effect gives rise to a strongly polarized excited state with pronounced photoinduced ICT that hinders photorelease.<sup>470,488</sup> Accordingly, carboxylic acid liberation was less efficient from **55g–i**, which have strong cationic EWGs.<sup>295</sup> The 2PE cross section for these compounds was larger in the 700–750 nm region ( $\delta = 175–1304$  GM) than in the 940–970 nm region ( $\delta = 59–371$  GM).<sup>295,470</sup> Coumarin **55f** had the highest photoreaction efficiency for both 1PE ( $\Phi_r = 0.45$ ) and 2PE ( $\delta_{\text{unc}} = 442$  and 64 GM, at 730 and 940 nm, respectively) in this series.<sup>470</sup> Visible-light activated liberation of carboxylic acid LGs from coumarin **55j** was demonstrated to occur only after bioorthogonal transformation of the modulating tetrazine moiety.<sup>471</sup>

The introduction of 3-phenyl groups bearing either a strong EWG (**56a,b** and **57a**) or a strong EDG (**57b**) in the *para* position to create D- $\pi$ -A or D- $\pi$ -D systems, respectively, resulted in a  $\sim 25–30$  nm bathochromic shift of  $\lambda_{\text{max}}^{\text{abs}}$  relative to the parent coumarin (Table 10).<sup>140,472,473</sup> Photolysis of **56a,b** and **57a,b** led to quantitative carboxylic acid release; D- $\pi$ -D derivative **57b** had the highest quantum yield in this series.<sup>140,472,473</sup> The 2PE uncaging cross section was determined to be 3.4 GM (710 nm) for **56a** and 2.1 GM

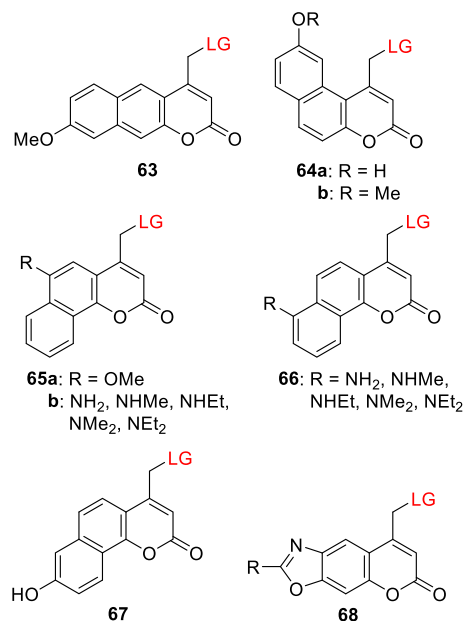
(740 nm) for **56b**;<sup>472</sup> that for **57b** was estimated to be 16 GM (680 nm).<sup>473</sup> Aldehyde **61** was the major photoproduct (70%) formed upon irradiation of **57b** in anhydrous DMSO; the expected alcohol **62** was obtained only in the presence of water.<sup>473</sup> A proposed mechanism is shown in Scheme 14.

Zhu and co-workers observed similar photochemical behavior in the D- $\pi$ -A and D- $\pi$ -D systems **58a–e** and **59**, which were formed by extending the  $\pi$ -systems of 3-styryl coumarins at the 3-position (Table 10).<sup>294</sup> Coumarin **58a** exhibited a 48 nm bathochromic shift in  $\lambda_{\text{max}}^{\text{abs}}$  relative to **30c**, and it was photolyzed to release *p*-methoxybenzoic acid with a similar quantum yield ( $\Phi_r = 0.05$  and 0.04, respectively) upon irradiation at the corresponding absorption maxima.<sup>294</sup> The introduction of either an EDG or an EWG at the 3-styryl *para* position (**58b–e**) caused a further bathochromic shift. The uncaging quantum yields for D- $\pi$ -D derivatives **58c–e** were 5–10-times higher than that for D- $\pi$ -A derivative **58b** ( $\Phi_r = 0.19–0.45$  vs 0.04, respectively).<sup>294</sup> The  $\sim 40$  nm difference between the absorption maxima of **58d** and **58b** is probably related to the presence of the  $\pi$ -bridge. Bis(julolidine) derivative **59**, which bears the strongest electron donors in this series,<sup>393</sup> also had the most bathochromically shifted  $\lambda_{\text{max}}^{\text{abs}}$  (Figure 3) and was photolyzed with the highest efficiency ( $\Phi_r, \epsilon_{467} = 14.3 \text{ M}^{-1} \text{ cm}^{-1}$ ).<sup>294</sup> The 2PE uncaging cross sections determined for D- $\pi$ -D systems **58c–e** and **59** were  $\sim 5–10$ -times larger than that for D- $\pi$ -A system **58b** ( $\delta_{\text{unc}} = 17.7–39.6$  vs 3.2 GM at 730 nm, respectively).

Benzocoumarin derivatives typically have similar or slightly hypsochromically shifted absorption maxima relative to 4-methylcoumarin<sup>406,489,490</sup> ( $\lambda_{\text{max}}^{\text{abs}} = 274–321$  nm vs 310 nm), but their spectroscopic properties can be modified by introducing EDGs or EWGs to modulate their ICT states.<sup>490</sup> Gonçalves, Costa, and co-workers reported on several benzocoumarin PPGs (**63–68**); their structures and photochemical properties relating to the photorelease of various carboxylic acids are shown in Table 11.<sup>320,491–500</sup>

The absorption maxima of coumarins can be significantly red-shifted by increasing the electron-withdrawing capacity at

Table 11. Spectroscopic and Photochemical Properties of Benzocoumarin-Derived PPGs



PPG	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$\epsilon_{\text{max}}$ ( $\text{M}^{-1} \text{cm}^{-1}$ )	leaving groups <sup>a</sup>	$\Phi_{\text{r}}$ ( $\lambda_{\text{irr}}$ /nm)	solvent <sup>b</sup>	ref
63	345	$0.8 \times 10^4$	GABA–OH	$0.7 \times 10^{-5}$ (350)	ethanol	320
64a	360	$1 \times 10^4$	Phe–OH		ethanol	491–493
64b	344–348	$0.6\text{--}1.8 \times 10^4$	various amino acids	$0.1\text{--}6.2 \times 10^{-5}$ (350)	ethanol or CH <sub>3</sub> OH/HEPES pH 7.2, 4:1	320, 491–493
65a	371–376	$4.1 \times 10^3$	GABA, various amino acids, 5-aminolevulinic acid	$2\text{--}24 \times 10^{-5}$ (350)	CH <sub>3</sub> OH/HEPES pH 7.2, 4:1	494, 496, 497
				$0.4\text{--}16 \times 10^{-5}$ (419)		494, 496, 497
65b	377–418	$0.7\text{--}7.7 \times 10^3$	butyric acid, 5-aminolevulinic acid	$0.8\text{--}25.0 \times 10^{-5}$ (350)	CH <sub>3</sub> OH/HEPES pH 7.2, 4:1	495, 497, 498
				$0.5\text{--}31.0 \times 10^{-5}$ (419)		495, 497, 498
66	377–398	$0.7\text{--}7.7 \times 10^3$	butyric acid	$0.7\text{--}13.0 \times 10^{-5}$ (350)	CH <sub>3</sub> OH/HEPES pH 7.2, 4:1	497, 498
				$0.6\text{--}7.0 \times 10^{-5}$ (419)		497, 498
67	362	$1.1 \times 10^4$	glutamate	0.006 (355)	CHCl <sub>3</sub>	499
68	339–361	$0.3\text{--}1.1 \times 10^4$	butyric acid	$8.6\text{--}12.0 \times 10^{-5}$ (350)	CH <sub>3</sub> OH/HEPES pH 7.2, 4:1	497, 500
				$0.04\text{--}1.0 \times 10^{-5}$ (419)		497, 500

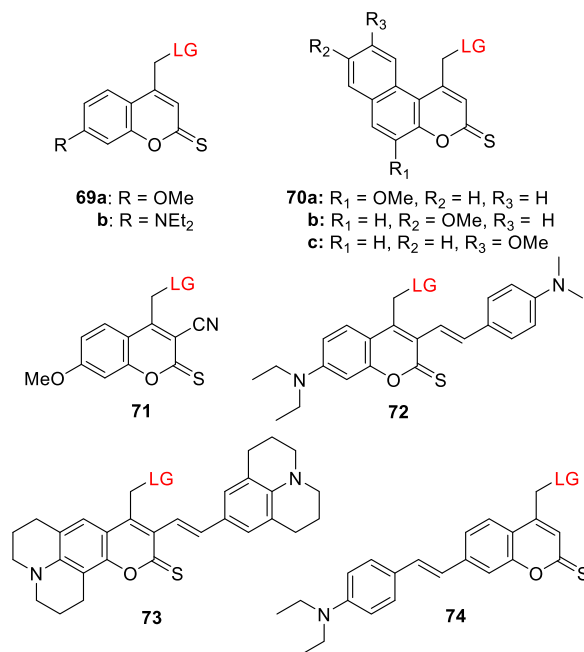
<sup>a</sup>Only selected LGs are shown. <sup>b</sup>GABA–OH = 4-(benzyloxycarbonylamino)butanoic acid; Phe–OH = *N*-(carbobenzyloxy)-*L*-phenylalanine; HEPES = 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid.

the 2-position.<sup>501–504</sup> For example, the singlet excited states of thiocarbonyls are lower in energy than those of their carbonyl analogs, so their light absorption is bathochromically shifted.<sup>505,506</sup> This effect was also observed for coumarins.<sup>507–510</sup> Costa, Jullien, and co-workers studied thionated coumarin **69a**, which had a 73 nm bathochromic shift of  $\lambda_{\text{max}}^{\text{abs}}$  relative to its carbonyl analog, yet it released carboxylic acids with a low quantum efficiency (Table 12).<sup>291,304,511</sup> The absorption maximum of 7-NEt<sub>2</sub> thiocoumarin **69b** was bathochromically shifted by 87 nm relative to its carbonyl analog (Figure 3), and it photoreleased benzoic acid with  $\Phi_{\text{r}} = 0.18$ , which was 2 orders of magnitude higher than the corresponding value for the carbonyl analog when both were irradiated at their absorption maxima.<sup>291</sup> The liberation of benzoic acid from **69b** was induced by irradiating Er<sup>3+</sup>- or Tm<sup>3+</sup>-based upconverting nanoparticles (see also section 6.4.2) at 974 nm.<sup>512</sup> However, the release quantum yield of **69b** was

found to be concentration-dependent, decreasing ~30-fold when its concentration in the irradiated solution was lowered from 25 to 4  $\mu\text{M}$ .<sup>291</sup> The photouncaging of a carbamate-linked cyclofen analog<sup>431,513</sup> from **75** was demonstrated (Scheme 15).<sup>514</sup> The solvent-captured derivative **76** was identified as the sole photoproduct of this reaction, and the cyclofen derivative was liberated in high chemical yield (90%,  $\Phi_{\text{r}} = 5 \times 10^{-3}$ ) at  $\lambda_{\text{irr}} = 470$  nm. The chromatically orthogonal photoactivation of **75** and 13-*cis*-retinoic acid (using blue-cyan- and UV-light sources, respectively) was used to control the development of live zebrafish embryos.<sup>514</sup> Additionally, the photouncaging of a Cas9 activator, trimethoprim, from **69b** was used to control the activity of a CRISPR-Cas9 system in cell cultures.<sup>515</sup>

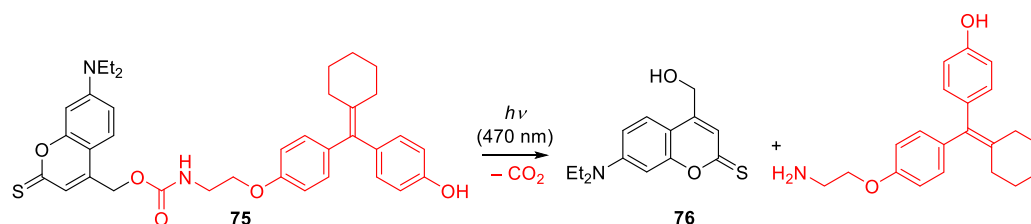
Gonçalves and co-workers studied several thionated benzo-*[f]*coumarins (**70a–c**) that exhibited bathochromic shifts of ~65 nm relative to their carbonyl analogs and released carboxylic acids, with 3–20-times higher quantum yields upon

Table 12. Spectroscopic and Photochemical Properties of Thionated Coumarin PPGs



PPG	$\lambda_{\max}^{\text{abs}}$ (nm)	$\epsilon_{\max}$ (M <sup>-1</sup> cm <sup>-1</sup> )	leaving groups <sup>a</sup>	$\Phi_r$ ( $\lambda_{\text{irr}}$ /nm)	solvent <sup>b</sup>	ref
69a	395–398	1.4–1.7 × 10 <sup>4</sup>	benzoic acid, Z-Phe-OH	2–7 × 10 <sup>-5</sup> (365, 419)	ethanol or CH <sub>3</sub> CN/Tris buffer pH 7.5, 1:1	291, 304, 511
69b	472	3.1 × 10 <sup>4</sup>	benzoic acid	0.12 (365), 0.18 (487)	CH <sub>3</sub> CN/Tris buffer pH 7.5, 1:1	291
70a–c	400–431	0.7–2.7 × 10 <sup>4</sup>	butyric acid, various amino acids	1.0–13.0 × 10 <sup>-5</sup> (419)	CH <sub>3</sub> OH/HEPES pH 7.2, 4:1	335, 516, 517
71	427	1.8 × 10 <sup>4</sup>	benzoic acid		CH <sub>3</sub> CN/Tris buffer pH 7.5, 1:1	291
72	490	3.0 × 10 <sup>4</sup>	4-methoxybenzoic acid	0.4 (490)	CH <sub>3</sub> OH/H <sub>2</sub> O 9:1	294
73	515	2.5 × 10 <sup>4</sup>	4-methoxybenzoic acid	0.7 (515)	CH <sub>3</sub> OH/H <sub>2</sub> O 9:1	294
74	479	1.0 × 10 <sup>4</sup>	acetic acid	0.071 (475)	H <sub>2</sub> O	284

<sup>a</sup>Only selected LGs are shown. <sup>b</sup>Tris = tris(hydroxymethyl)aminomethane; HEPES = 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid; Z-Phe-OH = *N*-(carbobenzyloxy)-L-phenylalanine.

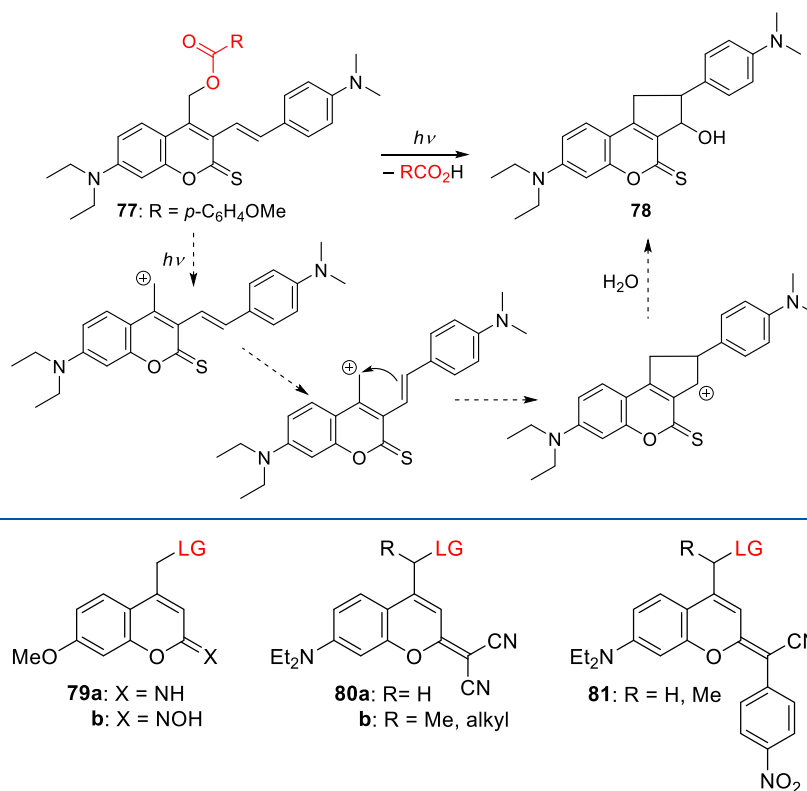
Scheme 15. Photochemistry of Thiocoumarin 75<sup>514</sup>

irradiation at 419 nm (Table 12).<sup>335,516,517</sup> The thiocarbonyl motif proved to be compatible with other structural modifications that caused further bathochromic shifts in absorption. For example, the 3-CN derivative 71 had a  $\lambda_{\max}^{\text{abs}}$  of 427 nm, although it was thermally unstable in a Tris buffer/ acetonitrile solution (pH = 7.5).<sup>291</sup> Conversely, coumarin derivatives 72 and 73 bearing electron-rich *p*-aminostyryl moieties in the 3-position had significantly bathochromically-shifted absorption spectra ( $\lambda_{\max}^{\text{abs}}$  = 490 and 515 nm, respectively) but were thermally stable.<sup>294</sup> Irradiation of 72 and 73 at their  $\lambda_{\max}^{\text{abs}}$  induced photorelease of *p*-methoxybenzoic acid with  $\Phi_r$  = 0.4 and 0.7, respectively. The cyclized derivative 78, rather than the expected 4-hydroxymethyl-coumarin, was identified as the main photoproduct of photolysis of 77. The mechanism proposed to explain this observation is shown in Scheme 16. Similar photoproducts are formed from 72 and 73;

in all three cases, the loss of  $\pi$ -conjugation at the 3-position in the photoproducts causes a hypsochromic shift of  $\lambda_{\max}^{\text{abs}}$  (to 470 nm).<sup>294</sup>

Howorka and co-workers reported that the absorption maximum of thiocoumarin 74, which has an electron-rich *p*-diethylaminostyryl moiety at the 7-position, is at ~480 nm (Table 12).<sup>284</sup> Irradiation of 74 in DMSO or water liberated acetic acid with  $\Phi_r$  = 0.024 and 0.071, respectively. On the basis of transient-absorption spectroscopy and steady-state kinetic studies in the presence and absence of oxygen, the authors proposed that the photorelease of the LG in DMSO proceeds through the triplet excited state, while a charge-separated state is more populated in water.<sup>284</sup>

Imino and hydroxyimino derivatives 79a and 79b (Figure 4) exhibited only minimal shifts in  $\lambda_{\max}^{\text{abs}}$  relative to the carbonyl analog 29b.<sup>291</sup> Compound 79a was thermally unstable in a tris

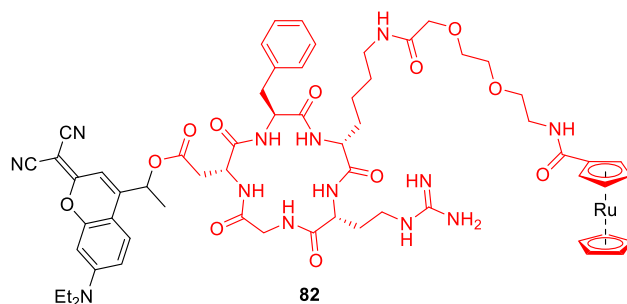
Scheme 16. Proposed Photolysis Mechanism for 3-Styryl-Conjugated Thiocoumarins<sup>294</sup>

**Figure 4.** Structures of coumarin PPGs substituted at the 2-position. LG = alkoxide, carboxylate, or amine (as a carbamate).

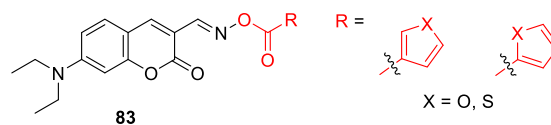
buffer/acetonitrile solution (pH 7.5), while **79b** was photochemically inactive; it did not release acetic acid as a LG upon irradiation at 365 nm.<sup>291</sup> Conversely, 7-(*N,N*-diethylamino)-dicyano derivative **80a** ( $\lambda_{\text{max}}^{\text{abs}} = 487 \text{ nm}$ ,  $\epsilon_{487} = 3.3 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ )<sup>291</sup> released carboxylic acids in high chemical yield (>90%) upon irradiation at 487 or 505 nm with  $\Phi_{\text{r}} = 0.3\text{--}1.5 \times 10^{-3}$ .<sup>291,518,519</sup> However, the liberation of an amine (as a carbamic acid) from **80a** proceeded  $\sim 3$ -times less efficiently than that of a comparable carboxylic acid.<sup>519</sup> Marchán and co-workers reported that the release quantum yields of carboxylic acids and amines from dicyano derivative **80b** (R = Me) were up to 2.5-fold higher than those for release from **80a**.<sup>518,519</sup> Additionally, **80b** was more stable than its thiocarbonyl analog in the presence of acids and bases commonly used in Fmoc/*t*-Bu solid-phase peptide synthesis. It was therefore used to cage a cyclic RGD peptide-drug conjugate (**82**, Figure 5).<sup>518</sup> Photouncaging of the peptide-drug conjugate from **82** ( $\lambda_{\text{irr}} = 505 \text{ nm}$ ) proceeded with  $\Phi_{\text{r}} = 7.2 \times 10^{-3}$ .

An analog of **80b** (R = alkyl) was used to prepare caged morpholino oligonucleotides<sup>212,520–523</sup> (cMOs) capable of perturbing targeted RNAs *in vivo*.<sup>356</sup> Although the caged cMOs were successfully uncaged in live zebrafish embryos ( $\lambda_{\text{irr}} = 470 \text{ nm}$ ), their thermal stability *in vivo* was significantly lower than that of their carbonyl analogs.<sup>356</sup> Increasing the system's electron-withdrawing capacity at the 2-position by replacing one cyano group with a *p*-nitrophenyl moiety (**81**) caused an additional  $\sim 15 \text{ nm}$  bathochromic shift of the absorption maximum ( $\lambda_{\text{max}}^{\text{abs}} \approx 502 \text{ nm}$ ) but also significantly reduced the photouncaging quantum yield ( $\Phi_{\text{r}} = 0.5\text{--}2.3 \times 10^{-6}$ ).<sup>519</sup>

A different way of utilizing the coumarin scaffold for photorelease was demonstrated by introducing a photoreactive oxime ester<sup>524</sup> in the 3-position (**83**, Figure 6).<sup>525</sup> The



**Figure 5.** Structure of the **80b**-caged c(RGDfK)-ruthenocene conjugate **82**.



**Figure 6.** Structure of coumarin-oxime-ester PPG **83**.

excitation of oxime esters typically results in homolytic scission of the N–O bond and the formation of a caged radical pair.<sup>526–528</sup> Photoexcitation of **83** ( $\lambda_{\text{max}}^{\text{abs}} = 436 \text{ nm}$ ,  $\epsilon_{436} = 3.9\text{--}4.2 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ) at 450 nm led to the formation of heterocyclic radicals, and the system was used as a photoinitiator for radical polymerization of acrylate monomers.<sup>525</sup>

### 2.3. Arylmethyl and Arylcarbonylmethyl Groups

Polyaromatic cores provide convenient platforms for developing  $\pi$ -extended arylmethyl and arylcarbonylmethyl PPGs. For example, the (anthracen-9-yl)methyl group was introduced as a polyaromatic benzyl-type PPG for carboxylates, alcohols, and

hydroxylamines with a bathochromically shifted absorption band ( $\lambda_{\text{max}}^{\text{abs}} \approx 385 \text{ nm}$ )<sup>529</sup> relative to those of benzyl<sup>1</sup> ( $\lambda_{\text{max}}^{\text{abs}} \approx 254 \text{ nm}$ ) and 2-naphthylmethyl<sup>317</sup> ( $\lambda_{\text{max}}^{\text{abs}} \approx 280 \text{ nm}$ ) chromophores.<sup>323,529–531</sup> Lam and co-workers prepared an (anthracen-9-yl)methyl that absorbs above 400 nm by extending its  $\pi$ -conjugation through the 10-position (**84a–f**, Figure 7).<sup>532</sup>

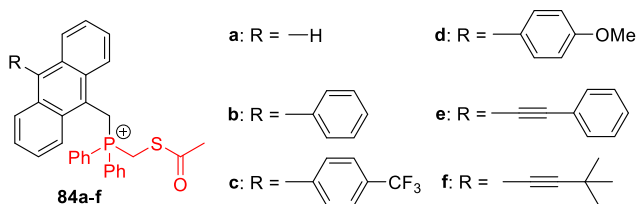
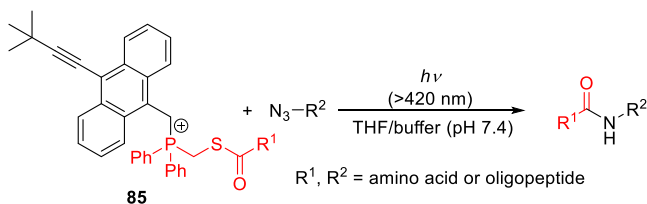


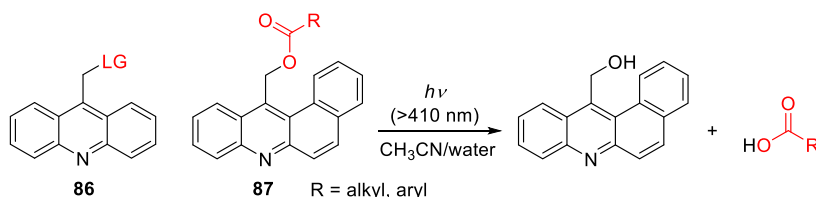
Figure 7.  $\pi$ -Extended (anthracen-9-yl)methyl PPGs.

The absorption spectra of compounds **84b–d** ( $\lambda_{\text{max}}^{\text{abs}} \approx 405 \text{ nm}$ ) are bathochromically shifted relative to that of **84a** ( $\lambda_{\text{max}}^{\text{abs}} = 376 \text{ nm}$ ). Although these compounds have different substituents at the *para* position of the phenyl moiety, their spectra are similar. This was attributed to steric hindrance, which may cause the phenyl ring to be oriented orthogonally to the anthracenyl core.<sup>533</sup> The presence of an acetylene bridge in **84e** and **84f** eliminates this steric hindrance;<sup>534</sup> accordingly, the absorption maxima of these compounds are bathochromically shifted by  $\sim 30$ – $40 \text{ nm}$  ( $\lambda_{\text{max}}^{\text{abs}} = 425$ – $440 \text{ nm}$ ). The photorelease of the diphenylphosphinothioester LG from **84b–f** in a THF/water mixture (3:1,  $\lambda_{\text{irr}} > 420 \text{ nm}$ ) was demonstrated, and **84f** showed the highest release efficiency within this series. The photoinduced heterolytic cleavage of the (anthracen-9-yl)methyl–phosphorus bond in **84f** at  $\lambda_{\text{irr}} = 366$  and  $416 \text{ nm}$  occurred with  $\Phi_{\text{r}} = 0.08$  and  $0.025$ , respectively; these values are comparable to those for previously reported 4,5-dimethoxy-2-nitrobenzyl<sup>535</sup> (DMNB) and (anthracen-9-yl)methyl<sup>536</sup> caged phosphines ( $\lambda_{\text{irr}} = 360$ – $400 \text{ nm}$ ). A phototriggered ( $\lambda_{\text{irr}} > 420 \text{ nm}$ ) traceless Staudinger ligation<sup>537</sup> of caged oligopeptides (**85**) with azide-containing amino acids was shown to form the expected oligopeptides in chemical yields of 31–43% (Scheme 17).

Scheme 17. Visible-Light Triggered Traceless Staudinger Ligation<sup>532</sup>



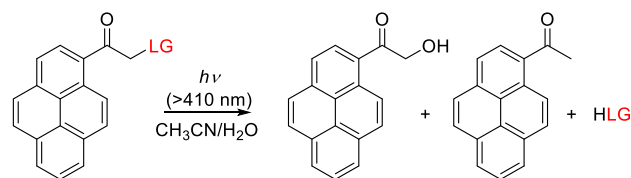
Scheme 18. (Acridin-9-yl)methyl PPGs **86** and **87**<sup>541</sup>



The (acridin-9-yl)methyl group (**86**) was introduced by Zhang and co-workers as a UV-activatable ( $\lambda_{\text{max}}^{\text{abs}} \approx 355 \text{ nm}$ ,  $\epsilon_{360} \approx 1 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ) PPG for alcohols<sup>538</sup> and was later used with carboxylic acids.<sup>335,517,539,540</sup> Its tail absorption in the visible range enabled photolysis at  $\lambda_{\text{irr}} = 419 \text{ nm}$ , albeit with low quantum efficiency ( $\Phi_{\text{r}} = 0.5$ – $1.6 \times 10^{-4}$ ).<sup>335,540</sup> The photoreaction was proposed to proceed through an ion-pair intermediate.<sup>539</sup> Singh and co-workers introduced the  $\pi$ -extended [benzo(*a*)acridin-12-yl]methyl derivative **87**, which exhibits a bathochromic shift of  $\sim 20 \text{ nm}$  ( $\lambda_{\text{max}}^{\text{abs}} \approx 374 \text{ nm}$ ,  $\epsilon_{\text{max}} \approx 5 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ) and extended absorption up to  $\sim 425 \text{ nm}$  (Scheme 18).<sup>541</sup> Photorelease ( $\lambda_{\text{irr}} \geq 410 \text{ nm}$ ) of carboxylic acids from **87** proceeded in excellent chemical yields (80–92%) and with significantly higher quantum efficiencies ( $\Phi_{\text{r}} = 0.08$ – $0.13$ ) than for **86**; solvent-captured (benzo(*a*)acridin-12-yl)methylalcohol was identified as the sole side-photoproduct (Scheme 18).<sup>541</sup> A (benzo(*a*)acridin-12-yl)methyl-caged chlorambucil derivative was also shown to accumulate in the nuclei of cultured HeLa cells, presumably due to the acridine scaffold's capacity to intercalate with DNA,<sup>542–544</sup> and exhibited light-dependent cytotoxicity.<sup>541</sup>

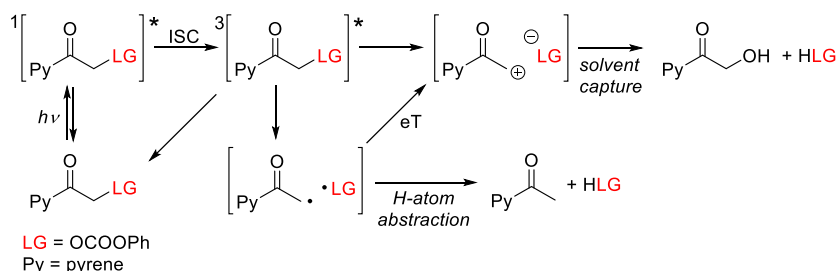
Singh and co-workers also introduced 1-(hydroxyacetyl)pyrene<sup>545</sup> **88** as a variant of the well-established (pyren-1-yl)methyl PPG (Scheme 19).<sup>322,546–549</sup> In contrast to (pyren-

Scheme 19. Photochemistry of 1-(Hydroxyacetyl)pyrene PPG<sup>551</sup>



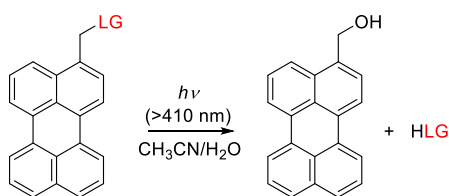
**88**: LG = carbonates, carboxylates

1-yl)methyl, which absorbs only in the UV region (with a solvent-dependent  $\lambda_{\text{max}}^{\text{abs}} = 320$ – $340 \text{ nm}$ ), the addition of a hydroxyacetyl group in **88** caused a bathochromic shift of  $\lambda_{\text{max}}^{\text{abs}}$  ( $\sim 355 \text{ nm}$ ), resulting in sufficient absorption above  $400 \text{ nm}$  ( $\epsilon_{410} = 2.7$ – $3.9 \times 10^3 \text{ M}^{-1} \text{ cm}^{-1}$ ) to enable visible light-induced photolysis.<sup>545</sup> The photorelease ( $\lambda_{\text{irr}} \geq 410 \text{ nm}$ ) of carboxylic acids<sup>545,550</sup> and alcohols<sup>551</sup> (as carbonates) in a 1:1 acetonitrile/H<sub>2</sub>O solution proceeded with near-quantitative chemical yields ( $>94\%$ ) and high quantum efficiencies ( $\Phi_{\text{r}} = 0.30$ – $0.41$  and  $0.17$ – $0.20$ , respectively; Scheme 19). These results can be compared to those achieved with a (pyren-1-yl)methyl group, which released carboxylic acids and alcohols with (solvent dependent)  $\Phi_{\text{r}} = 0.0029$ – $0.139$  upon excitation at  $350 \text{ nm}$ .<sup>322,546–548</sup> The inherent fluorescence of 1-acetylpyrene<sup>552</sup> ( $\lambda_{\text{max}}^{\text{abs}} = 439 \text{ nm}$ ,  $\Phi_{\text{F}} = 0.02$ ) enabled imaging of **88** in fixed cells.<sup>551</sup> The efficiency of photorelease from **88** depended strongly on the water content of the reaction

Scheme 20. Photorelease from 1-Acetylpyrene PPG (88)<sup>551</sup>

mixtures and decreased in the presence of a triplet quencher (potassium sorbate). Three photoproducts (the leaving group, 1-hydroxyacetylpyrene, and acetylpyrene) were formed upon irradiation; Scheme 20 shows a mechanism explaining these results.<sup>545,551</sup>

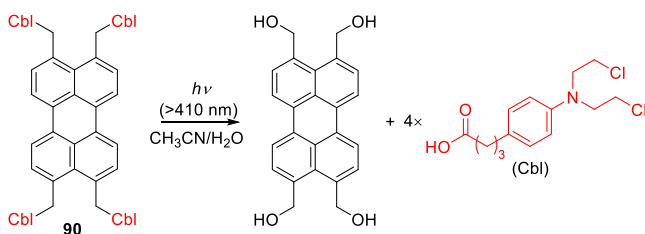
Another arylmethyl-type PPG studied by Singh and co-workers is the (perylene-3-yl)methyl group (89), which absorbs in the visible range ( $\lambda_{\text{max}}^{\text{abs}} = 438 \text{ nm}$ ,  $\epsilon_{\text{max}} = 2.4\text{--}3.5 \times 10^4$ ) and displays characteristic fluorescence ( $\lambda_{\text{max}}^{\text{f}} = 445 \text{ nm}$ ,  $\Phi_{\text{f}} = 0.9$ ; Scheme 21).<sup>553</sup> Carboxylic acids and alcohols (attached as

Scheme 21. Photochemistry of the (Perylene-3-yl)methyl PPG<sup>553</sup>

89: LG = carbonates, carboxylates

carbonates) were successfully photoreleased from 89 ( $\lambda_{\text{irr}} \geq 410 \text{ nm}$ ) in an acetonitrile/ $\text{H}_2\text{O}$  (3:1) solution with high chemical yields (>89%) and moderate quantum efficiencies ( $\Phi_{\text{r}} = 7.7\text{--}9.3 \times 10^{-2}$ ).

Similar to other polyaromatic arylmethyl-type PPGs,<sup>529</sup> the photoreaction mechanism of 89 was proposed to proceed through the singlet excited state, followed by heterolysis of the C–O bond and solvent capture to afford the photoproducts.<sup>553</sup> Heterolysis of the C–O bond was previously calculated to be energetically preferable to homolysis, especially when the carbocation is stabilized,<sup>554–556</sup> although homolysis dominates in simple benzyl derivatives.<sup>557</sup> Zhao and co-workers further demonstrated that carboxylic acid leaving groups (e.g., chlorambucil) can be released from perylene 90 (Scheme 22), although quantitative chemical yields were not reported.<sup>558</sup>

Scheme 22. Photorelease of Chlorambucil from a Single (Perylene-3,4,9,10-yl)tetramethyl PPG (90)<sup>558</sup>

The inherent hydrophobicity of polyaromatic PPGs limits their applicability in aqueous media. However, their incorporation into larger molecular structures has been demonstrated. For example, 89 was used to prepare photodegradable hydrogels<sup>559,560</sup> and polymer nanoparticles.<sup>561–563</sup> Additionally, Singh and co-workers used a reprecipitation technique<sup>564</sup> to formulate (perylene-3-yl)methyl-caged clofazimine<sup>565</sup> and pesticide 2,4-D<sup>566</sup> (91 and 92, Figure 8) as globular organic nanoparticles with an average particle size of 25–30 nm, broad absorption spectra extending into the visible range (350–550 nm), and fluorescence emission at 625 nm. These nanoparticles were photolyzed ( $\lambda_{\text{irr}} \geq 410 \text{ nm}$ ) to release the parent compound (perylene-3-yl)methanol and the corresponding leaving group. In the absence of light, 2–5% of the starting material hydrolyzed upon incubation in water or 10% fetal bovine serum (FBS) at 35–37 °C over 2–7 days. Photorelease ( $\lambda_{\text{irr}} \geq 410 \text{ nm}$ ) from nanoparticles of 91 and 92 was also demonstrated in cultured HeLa cells<sup>565</sup> and plants<sup>566</sup> (*Cicer arietinum*), respectively, and light-dependent biological effects of the corresponding bioactive leaving groups were observed. Leaving group release could be monitored in real time because it caused the fluorescence emission band to shift from 625 nm (nanoparticle) to 450 nm ((perylene-3-yl)methanol). Similarly, the antimicrobial compound salicylic acid was caged with 1-(hydroxyacetyl)pyrene via an ester linkage (93, Figure 8), and the resulting conjugate was formulated into light-responsive ( $\lambda_{\text{irr}} \geq 410 \text{ nm}$ ) organic nanoparticles whose photoactivation was demonstrated.<sup>567</sup>

#### 2.4. The (Benzothiadiazol-6/7-yl)methyl Group

VanVeller and co-workers studied compounds 94 and 95 as benzyl-type PPGs with a  $\pi$ -expanded heteroaromatic benzothiadiazole core (Figure 9).<sup>568</sup> Their photoreactivity was explained based on the redistribution of electron density from the EDGs in the *o*- and *m*-positions upon excitation.<sup>554,555,557</sup> Although the *meta* effect was established for methoxy-substituted benzyl derivatives over 50 years ago,<sup>556</sup> the corresponding  $\text{NR}_2$  analogs were only studied recently<sup>569–576</sup> and achieved high photoreaction quantum yields (up to 0.45<sup>570</sup>). Derivatives 94 and 95 had broad absorption bands extending up to 500 nm with maxima at 420 nm. Acetate was photoreleased from 94a and 95 with comparable quantum yields ( $\Phi_{\text{r}} = 0.067$  and 0.061, respectively;  $\lambda_{\text{irr}} = 455 \text{ nm}$ ), and ethanol was liberated from 95 with  $\Phi_{\text{r}} = 0.04$ .<sup>568</sup> The presence of a bromo substituent in the 7-position (94b) roughly halved the quantum yield,<sup>568</sup> presumably because of competing photochemical processes such as C–Br scission.<sup>577</sup>

#### 2.5. The (N-Methyl-7-hydroxyquinolinium-2-yl)methyl Group

The photochemistry of the (7-hydroxyquinoline-2-yl)methyl group was initially explored and exploited by Dore and co-

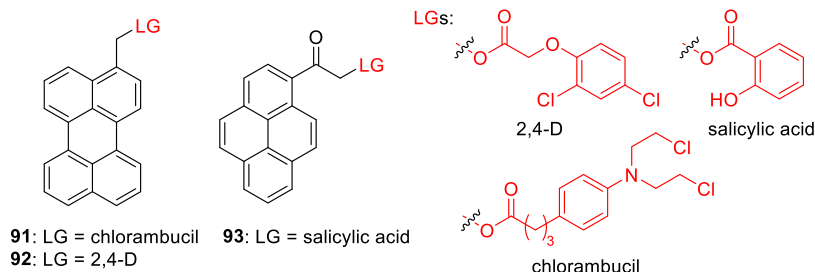


Figure 8. Structures of (perylene-3-yl)methyl and 1-(hydroxyacetyl)pyrene derivatives.

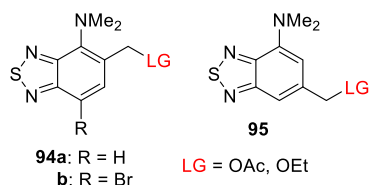
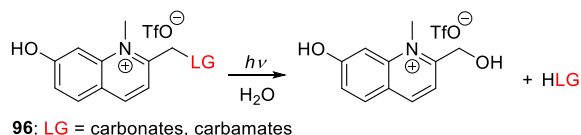


Figure 9. (Benzothiadiazol-6/7-yl)methyl PPGs.

workers, who identified the (8-bromo-7-hydroxyquinoline-2-yl)methyl (BHQ)<sup>578</sup> and (8-cyano-7-hydroxyquinoline-2-yl)methyl (CyHQ)<sup>579</sup> groups (among others) as efficient PPGs for carboxylates, phosphates, diols, phenols, and amines.<sup>578,580–584</sup> Derivatives of the (7-hydroxyquinoline-2-yl)methyl group can also be removed by 2P excitation, which reportedly proceeds with  $\delta_{\text{inc}}$  values of up to 2.6 GM (740 nm) for carboxylate LGs.<sup>579,585</sup> The photochemical properties of (7-hydroxyquinoline-2-yl)methyl could be adjusted by varying the substituents on the quinoline core, although the  $\lambda_{\text{max}}^{\text{abs}}$  remained in the range of 320–385 nm.<sup>579,585,586</sup> Singh and co-workers showed that attaching an (8-alkoxyquinoline-2-yl)methyl derivative to carbon dots enabled their photolysis with  $\lambda_{\text{irr}} \geq 410$  nm to release H<sub>2</sub>S (see also section 4.3).<sup>587</sup> Additionally, Narumi and co-workers<sup>588,589</sup> extended the absorption wavelengths of quinoline-derived PPGs above 400 nm by *N*-alkylation of the quinoline nitrogen, a modification known to shift the absorption spectrum bathochromically.<sup>590,591</sup>

The *N*-methyl-7-hydroxyquinolinium group **96** (*N*-Me-7HQm,  $\lambda_{\text{max}}^{\text{abs}} = 418$  nm) photoreleased acetic acid as an LG upon irradiation with blue light ( $\lambda_{\text{irr}} = 458$  nm,  $\Phi_r = 0.045$ ), with concomitant formation of (*N*-methyl-7-hydroxyquinolinium-2-yl)methanol as the sole additional photoproduct (Scheme 23). It is not yet known whether the

#### Scheme 23. Photochemistry of *N*-Methyl-7-hydroxyquinolinium PPG<sup>588</sup>



mechanism of this photoreaction is similar to that of other (7-hydroxyquinoline-2-yl)methyl derivatives, in which heterolysis of the C–O bond proceeds from the triplet-excited state to generate an ion pair that subsequently collapses into the free leaving group and a solvent-captured side product.<sup>578,582,592,593</sup> Methylation of the 7-hydroxy group caused a 60 nm hypsochromic shift of  $\lambda_{\text{max}}^{\text{abs}}$  but terminated the photoreaction. 7-*N*-Me<sub>2</sub> derivatives exhibited a  $\lambda_{\text{max}}^{\text{abs}}$  at ~445 nm and were

photolyzed with lower quantum yields ( $\Phi_r = 1.2\text{--}2.8 \times 10^{-3}$ ) than the 7-hydroxy derivative, presumably due to the contribution of the NMe<sub>2</sub> group in the twisted intramolecular charge-transfer (TICT) excited state.<sup>395,594</sup> Increasing the system's electron density by introducing an ethyl group in the 4-position more than doubled the quantum yield. As a salt, **96** was highly soluble in water (up to 20 mM, depending on the leaving group) and was successfully used for the photorelease of several amino acids and neurotransmitters (caged via their amino groups as carbamates), achieving  $\Phi_r$  values of 0.025–0.068 and  $\Phi_r \epsilon(\lambda_{\text{irr}})$  values at 458 nm in the range of 96–272 M<sup>-1</sup> cm<sup>-1</sup>.<sup>588,589</sup>

#### 2.6. The Bimane Group

Singh and co-workers repurposed the fluorescent molecule bimane, often used in biochemistry for fluorescence labeling of proteins,<sup>595–597</sup> as a photoremovable protecting group by introducing carboxylate leaving groups on the 3-methyl or 3,5-dimethyl substituents (**97** and **98**, respectively, Figure 10).<sup>598</sup>

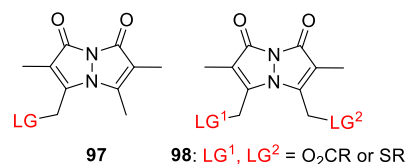
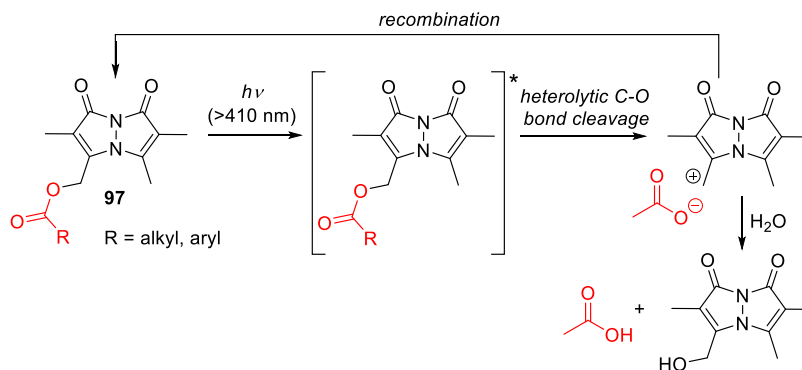


Figure 10. Bimane PPGs.

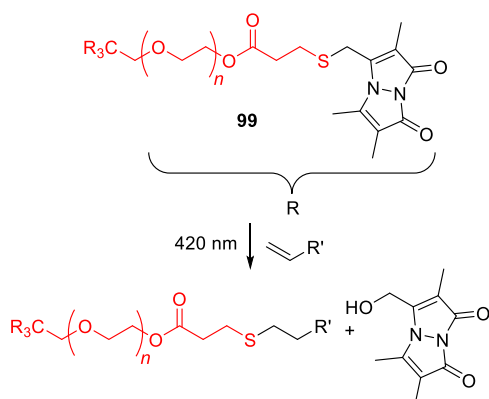
These compounds had a  $\lambda_{\text{max}}^{\text{abs}}$  at ~380 nm with tail absorption above 400 nm and a fluorescence  $\lambda_{\text{max}}^{\text{em}}$  between 460 and 480 nm ( $\Phi_F = 0.6\text{--}0.8$ ). These values are similar to those for unsubstituted bimane.

Photorelease ( $\lambda_{\text{irr}} \geq 410$  nm) of various aliphatic and aromatic carboxylic acids from bimane **97** proceeded with good chemical (75–85%) and moderate quantum yields ( $\Phi_r = 0.06\text{--}0.07$ )<sup>598</sup> that increased with the solvent's water content.<sup>598</sup> The authors proposed that the photoreaction proceeds through the singlet excited state and involves heterolytic C–O bond cleavage to generate an ion-pair that then undergoes solvent-mediated separation, leading to solvent capture of the bimane methyl cation (Scheme 24). In the case of **98**, the captured product underwent a second photoreaction to release another equivalent of the LG with a quantum efficiency similar to that for the first LG liberation ( $\Phi_r = 0.04$ ).<sup>598</sup>

The photorelease of thiols from bimane **97** upon irradiation at 420 nm proceeded with a high chemical yield (70%) and  $\Phi_r = 0.02$ .<sup>599</sup> Because of the high nucleophilicity of thiols, the presence of strong electrophiles was required to prevent recombination of the resulting ion pair; in their absence, no photoproducts were detected. Similar behavior was observed

Scheme 24. Proposed Mechanism of Photorelease from Bimane-Derived PPGs<sup>598</sup>

for the release of thiols from 3-(hydroxymethyl)-2-naphthol derivatives.<sup>600</sup> Photouncaging ( $\lambda_{\text{irr}} = 420$  nm) of thiols from the corresponding tetra-bimane-protected dendrimeric monomer **99** in the presence of a dendritic monomer functionalized with strong electrophiles led to the formation of a photo-induced crosslinked polymeric network (Scheme 25) capable of entrapping live cells while preserving their viability.<sup>599</sup>

Scheme 25. Polymer Cross-Linking via a Light-Induced Thiol-Electrophile Reaction<sup>599</sup>

## 2.7. Arylcarbonylmethyl Groups

Aromatic ketones are synthetically accessible, thermally stable, and photochemically reactive moieties that have been used extensively as PPGs.<sup>10</sup> Both their lowest energy transition ( $n, \pi^*$ ) and the higher energy  $\pi, \pi^*$  absorption bands are typically in the UV range. The liberation of leaving groups from arylcarbonylmethyl groups (Figure 11) can proceed via different reaction mechanisms depending on the substitution of the arene; for details, see an earlier review.<sup>10</sup> Simple phenacyl PPGs (**100**) liberate LGs via H atom abstraction<sup>601–603</sup> or electron transfer (see section 6.2), *o*-methylacetophenones (**101**) react through an intramolecular H-

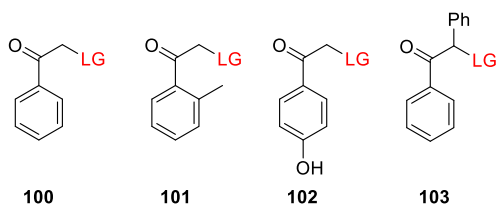
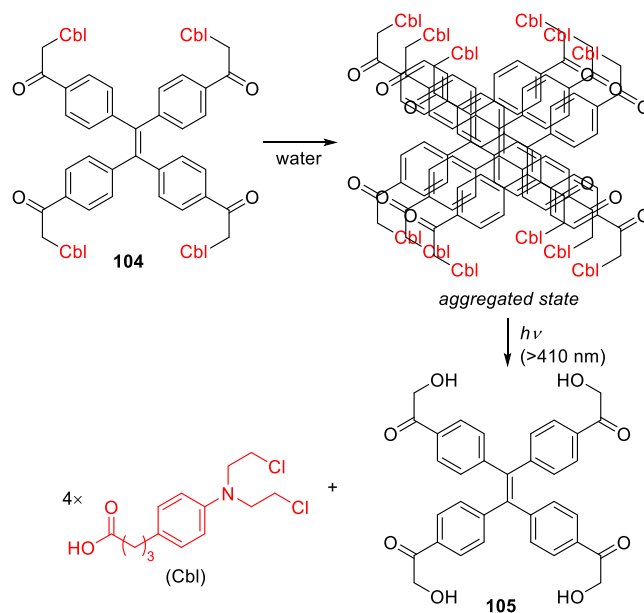


Figure 11. Arylcarbonylmethyl PPGs.

transfer process,<sup>235,604–610</sup> *p*-hydroxyphenacyl moieties (**102**) release LGs via a photo-Favorskii rearrangement,<sup>611–613</sup> and benzoin derivatives (**103**) release LGs via photocyclization to form 2-phenylbenzofuran as a side-product.<sup>275,614–616</sup> Here, we discuss only arylcarbonylmethyl PPGs that absorb above 400 nm.

A phenacyl-based polyaromatic scaffold containing tetraphenylethylene<sup>617</sup> was used by Singh and co-workers to create a PPG with an aggregation-induced emission chromophore<sup>618,619</sup> by installing a pendant acetyl group on each aryl ring (**104**).<sup>620</sup> Sequential photorelease ( $\lambda_{\text{irr}} \geq 410$  nm) of four carboxylic acid moieties (chlorambucil, Cbl) from an organic nanoparticle formulation of **104** was demonstrated (Scheme 26).<sup>620</sup> The chemical yield and quantum efficiency of

Scheme 26. Photorelease of Chlorambucil from a Phenacyl-type Tetraphenylethylene PPG (**104**) via a Photoreaction That Occurs Only in an Aggregated State<sup>620</sup>

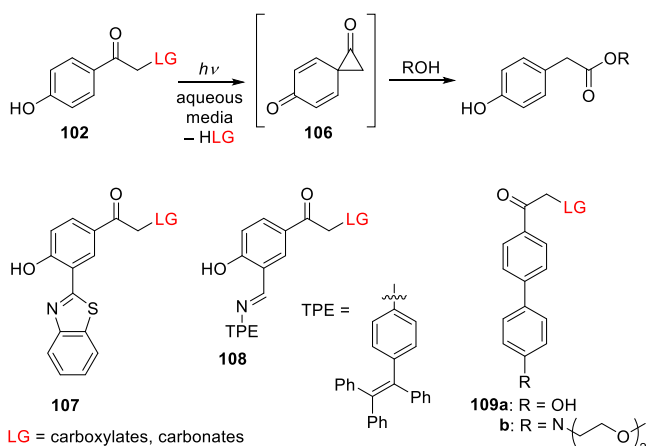
release depended strongly on the water fraction of the solution ( $f_w$ ): there was no appreciable release below  $f_w = 85\%$  but photorelease proceeded in chemical yields of up to 96% for  $f_w = 99\%$  ( $\Phi_r = 0.52$ ). It was suggested that the photoreaction requires a restriction of intramolecular rotation (RIR)<sup>621</sup> that is imposed in the aggregated state. Singlet oxygen generation by both **104** as a nanoparticle and the photoproduct **105** ( $\Phi_\Delta$



= 0.31 and 0.27, respectively) provided a complementary cell-killing mechanism whose effects were additive with those of the photoreleased drug in HeLa cells.<sup>620</sup>

The *p*-hydroxyphenacyl (pHP) group (**102**) is an established<sup>612</sup> UV-excitable ( $\lambda_{\text{max}}^{\text{abs}} \sim 275$  nm), arylcarbonylmethyl-type<sup>622</sup> PPG with several favorable properties including high rate constants ( $10^7$ – $10^8$  s<sup>-1</sup>), quantum yields (0.2–1.0), and chemical yields (typically 60–90%) for LG release, and the formation of a major biocompatible photoproduct (*p*-hydroxyphenylacetic acid) with a hypsochromically-shifted absorption spectrum. It has therefore found many applications in chemistry and biology.<sup>22,622</sup> The mechanism of the photo-reaction has been studied extensively and previously reviewed.<sup>22,622</sup> Briefly, photoexcitation and subsequent ISC are thought to generate an intermediate<sup>622–628</sup> reminiscent of a Favorskii rearrangement<sup>624–626</sup> cyclopropanone intermediate (**106**) that either hydrolyzes to form *p*-hydroxyphenylacetic acid or undergoes decarbonylation to form *p*-hydroxybenzylalcohol (Scheme 27).<sup>629,630</sup>

Scheme 27. Photochemistry of **102** (pHP) as a PPG<sup>613</sup>



A bathochromic shift in the absorption spectrum of pHP was induced by extending its  $\pi$ -system at the 3-position (**107** and **108**, Scheme 12;  $\lambda_{\text{max}}^{\text{abs}} \approx 380$  nm in polar protic solvents). The nitrogen-containing substituents in **107** and **108** are positioned in a way that was expected to facilitate an excited-state intramolecular proton transfer (ESIPT) that would assist in the deprotonation of the *p*-hydroxyl group. Specifically, it was

proposed that upon excitation to the singlet excited state, an ESIPT would occur,<sup>631</sup> followed by a transition to a triplet excited state, allowing the reaction to proceed as shown in Scheme 27.<sup>631–633</sup> The hydrophobicity of the tetraphenylethylene<sup>617</sup> moiety in **108** enabled the formation of organic nanoparticles<sup>633</sup> using a reprecipitation technique.<sup>564</sup> Visible light-mediated photorelease ( $\lambda_{\text{irr}} \geq 410$  nm) of a carboxylic acid<sup>631,633</sup> (chlorambucil) and a hydroxylamine<sup>632</sup> (an NO-donating NONOate;<sup>634</sup> see section 4.2) from **107** and **108** proceeded in high chemical yields, with the corresponding *p*-hydroxyphenylacetic acid derivative being the sole additional photoproduct<sup>631,633</sup> (Scheme 28). Uncaging was accompanied by a 70–100 nm blue-shift of the fluorescence emission spectrum, enabling real-time quantitative monitoring of the reaction's progress in live cultured cells.<sup>631–633</sup>

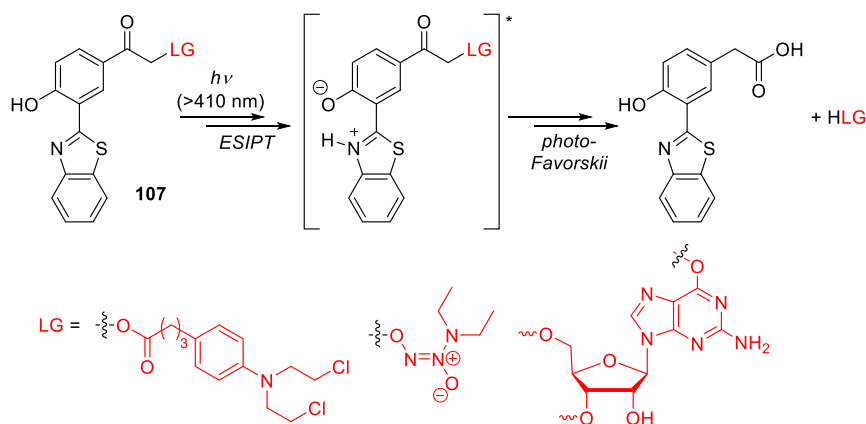
A two-step activation system was developed by capping the *p*-hydroxyl group in **110** with a 4-benzylboronic acid pinacol ester.<sup>636</sup> Phenylboronic acid and its esters react with hydrogen peroxide ( $\text{H}_2\text{O}_2$ ) to form the corresponding phenol,<sup>637–639</sup> which in turn can lead to the release of a leaving group from the *p*-benzyl position via 1,6-elimination.<sup>640–642</sup> The photorelease of chlorambucil from **110** thus occurred only in the presence of  $\text{H}_2\text{O}_2$  (Scheme 29).<sup>636</sup>

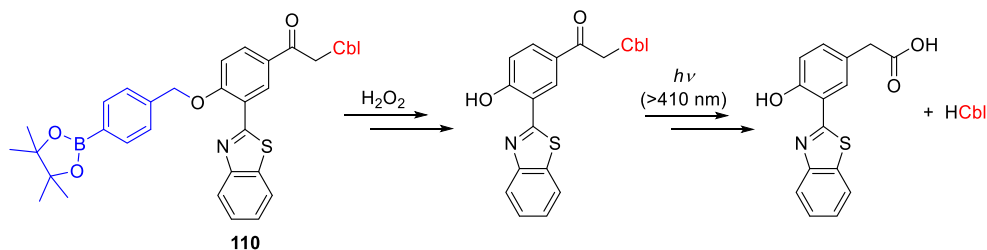
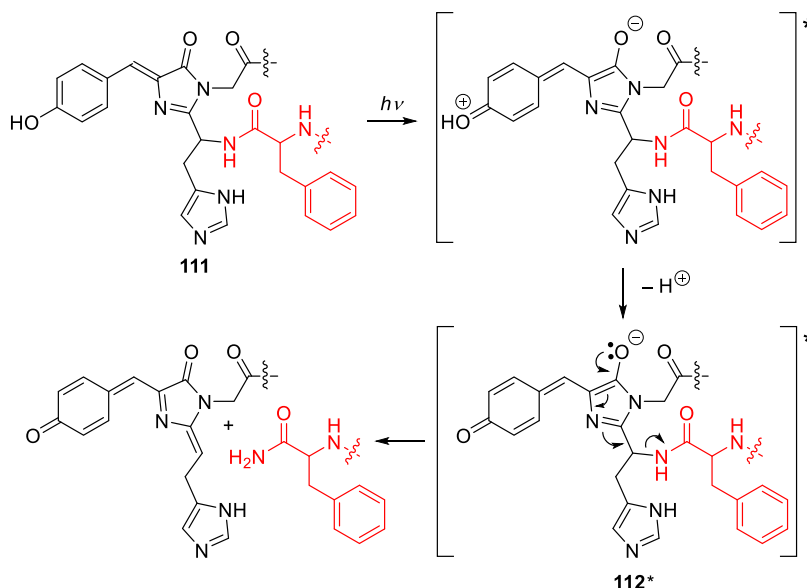
Goeldner and co-workers introduced donor–acceptor biphenyl pHP derivatives **109a** and **109b** ( $\lambda_{\text{max}}^{\text{abs}} = 313$  and 369 nm, respectively; Scheme 27).<sup>255</sup> The photorelease of glutamate was more efficient from **109a** than from **109b** ( $\Phi_r = 0.21$  and 0.015, respectively). The 2P-uncaging cross section of glutamate liberation from **109a** was 0.21 GM at 740 nm,<sup>255</sup> which is comparable to the values previously observed for 2P release of diethyl phosphate and ATP from the parent pHP (0.5–1.1 GM at 550 nm).<sup>643</sup>

## 2.8. The 4-(*p*-Hydroxybenzylidene)-5-imidazolinone Group

Campbell and co-workers developed a photocleavable variant (PhoCl) of the photoconvertible fluorescent protein mMaple<sup>644</sup> that exploits the phototransformation of the protein's chromophore, a 4-(*p*-hydroxybenzylidene)-5-imidazolinone group formed autocatalytically from the tripeptide serine-tyrosine-glycine in the presence of oxygen.<sup>645</sup> This moiety is non-fluorescent in its neutral form (**111**; Scheme 30). Upon excitation with UV or violet ( $\sim 400$  nm) light, it is deprotonated to form an excited intermediate, **112\***,<sup>646,647</sup> which undergoes irreversible  $\beta$ -elimination to liberate a carboxamide-containing peptide.<sup>648</sup> The photocleavage of

Scheme 28. Photouncaging of Chlorambucil and DEA NONOate from **107**<sup>631,632,635</sup>

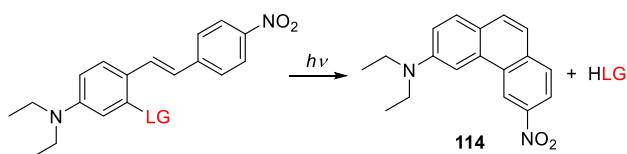


Scheme 29. Two-Step Sequential Uncaging of Chlorambucil (Cbl) from **110**<sup>636</sup>Scheme 30. Photocleavage of a Peptide in PhoCl<sup>648</sup>

PhoCl has been used in several applications, for example, to control protein function<sup>645,649–652</sup> or modulate the mechanical properties of hydrogels.<sup>653</sup>

### 2.9. The Stilbene Group

Singh and co-workers harnessed the photocyclization of stilbenes to induce elimination of alcohol and carboxylic acid leaving groups from the 2-position of *E*-4-(*N,N*-dimethylamino)-4'-nitrostilbene<sup>654</sup> (DANS, **113**, Scheme 31). The

Scheme 31. Photorelease from *E*-4-(*N,N*-Diethylamino)-4'-nitrostilbene (DANS) PPG<sup>654</sup>

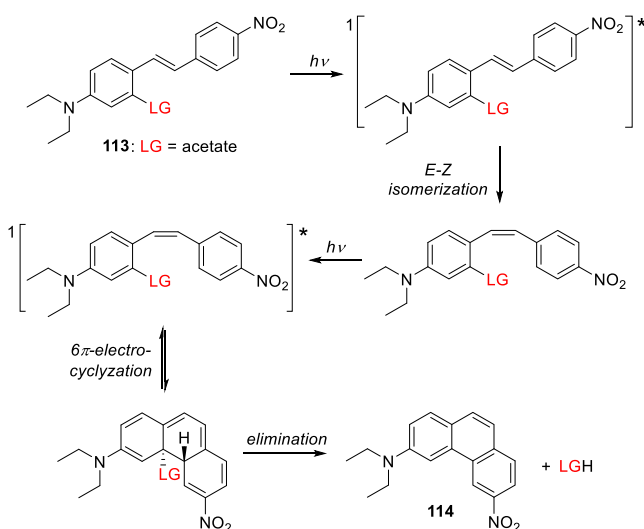
**113**: LG = carboxylates, alkoxides

light-mediated *E*–*Z* isomerization of stilbenes followed by a photochemically allowed conrotatory  $6\pi$ -electrocyclization to form an *E*-dihydrophenanthrene is a well-studied process.<sup>655–657</sup> This intermediate tends to spontaneously undergo a subsequent oxidative dehydrogenation to yield a phenanthrene derivative.<sup>656–658</sup> However, in the absence of an oxidant, the dihydrophenanthrene intermediate may reversibly open to give the corresponding *Z*-stilbene; alternatively, if suitable substituents are present, hydrogen-shift processes may occur.<sup>659,660</sup> However, the presence of substituents such as

methoxy<sup>661–663</sup> or halide<sup>663,664</sup> groups in the 2-position resulted in thermal non-oxidative elimination to form a phenanthrene derivative **114** (Scheme 31).

The spectroscopic properties of **113** were solvent-dependent: on going from non-polar to polar solvents,  $\lambda_{\text{abs}}$  shifted from 410 to 430 nm,  $\lambda_{\text{max}}^{\text{em}}$  shifted from 502 to 720 nm, and there was a marked decrease in the fluorescence quantum yield (from 0.55 to <0.002), presumably because of the formation of a TICT state.<sup>665</sup> The molar absorption coefficient remained relatively constant ( $\epsilon_{\text{max}} = 2.5\text{--}2.8 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ). Photorelease of alcohols and carboxylic acids from **113** was demonstrated in hexane, acetonitrile, and water. In acetonitrile, the  $\Phi_{\text{r}}$  was in the range of 0.10–0.14 for all tested leaving groups, and the chemical yields of photorelease were between 88 and 93%. On the basis of previous studies,<sup>661,666–668</sup> a photoreaction mechanism was proposed (Scheme 32) in which photoexcitation of **113** leads to a singlet excited state, allowing the *E*-stilbene to isomerize into its *Z*-isomer. The subsequent photoexcitation of the *Z*-isomer to its singlet state leads to a conrotatory  $6\pi$ -electrocyclization to form *E*-dihydrophenanthrene. Orbital symmetry and energy considerations<sup>669</sup> suggest that the relative configuration of the dihydrophenanthrene product is *E*. Spontaneous re-aromatization of the *E*-dihydrophenanthrene by elimination then yields phenanthrene **114** and releases the leaving group. The fluorescence of the phenanthrene photoproduct ( $\lambda_{\text{em}} = 450 \text{ nm}$ ) was monitored to follow the reaction in real time. The photorelease of chlorambucil from **113** ( $\lambda_{\text{irr}} \geq 410 \text{ nm}$ ), giving

### Scheme 32. Proposed Release Mechanism from Stilbene Derivatives 113<sup>654</sup>



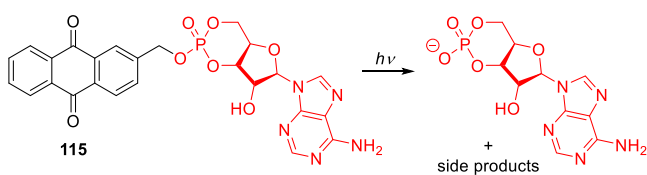
rise to light-dependent cytotoxicity, was observed in MCF-7 breast cancer cell lines.<sup>654</sup>

#### 2.10. Quinones

The photoreduction of quinones has been studied extensively.<sup>670–675</sup> The reaction can proceed intermolecularly in the presence of a hydrogen donor<sup>676–681</sup> (e.g. an alcohol or amine) or intramolecularly via H atom transfer<sup>682–689</sup> from a favorably positioned C–H bond on a side-chain. Examples of hydrogen abstraction from the C–H bonds of amino<sup>690–693</sup> and sulfido<sup>694,695</sup> substituents are also known.

Iwamura and co-workers were the first to exploit the solvent-assisted intermolecular photoreduction of quinones to release leaving groups.<sup>317</sup> The (anthraquinon-2-yl)methyl derivative **115** ( $\lambda_{\text{max}}^{\text{abs}} = 325$  nm), originally developed as a protecting group for carboxylic acids that could be removed with reducing agents,<sup>696</sup> efficiently released cyclic adenosine monophosphate (cAMP,  $\Phi_r = 0.2$ ) upon irradiation at 350 nm (Scheme 33).

### Scheme 33. Photorelease of cAMP from an (Anthraquinon-2-yl)methyl PPG<sup>317</sup>



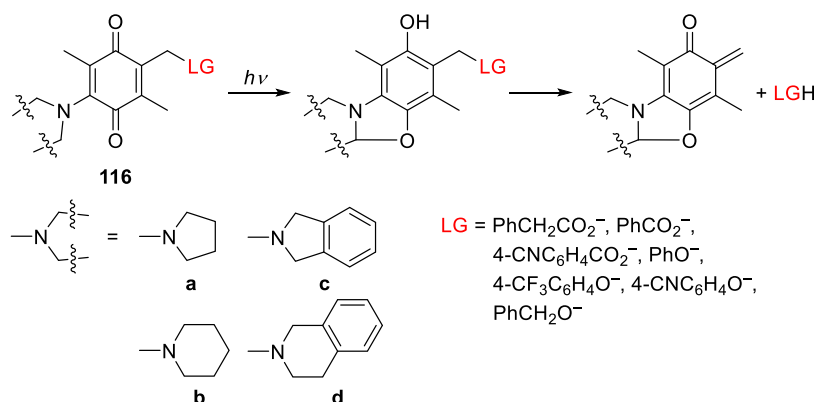
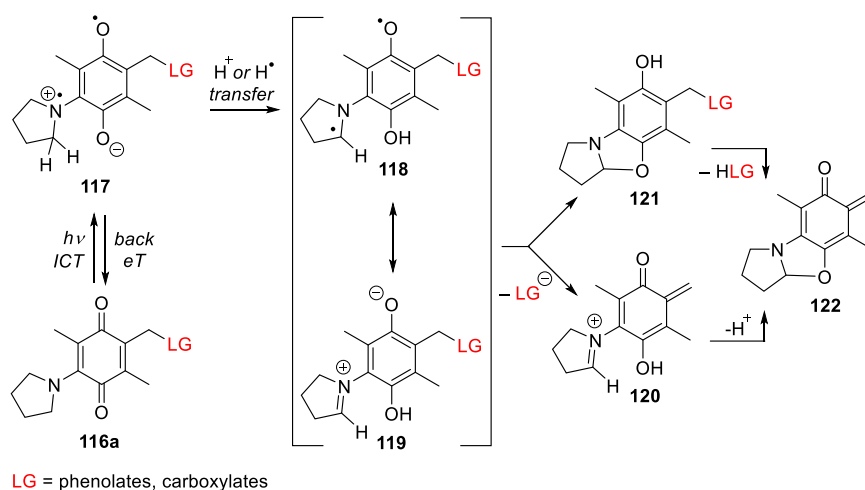
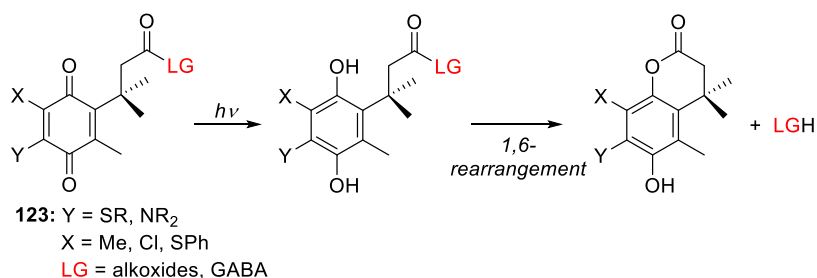
This photoreaction was proposed to involve two photochemical steps.<sup>697–699</sup> The first is quinone photoreduction via H atom transfer from the solvent in the triplet excited state to form a ketyl radical that gives rise to the final 1,4-dihydroxyanthraquinone derivative. The second is the photorelease of the leaving group from the resulting dihydroxyanthraquinone via a mechanism similar to that of photorelease from *o*-hydroxybenzyl PPGs.<sup>570,700–702</sup> Photochemical liberation ( $\lambda_{\text{irr}} = 350$  nm) of alcohols,<sup>322,697</sup> carboxylates,<sup>698,699</sup> and ketones/aldehydes<sup>703</sup> from **115** (and derivatives thereof) proceeded with  $\Phi_r = 0.03–0.12$ . However, the solvent-dependence of the intermolecular photoreduction of **115** may limit its usefulness.<sup>322,697,698</sup>

The presence of electron donors on the quinone core can give rise to broad charge-transfer absorption bands in the visible range.<sup>704</sup> Although 1,4-naphthoquinone and 1,4-anthraquinone appear to have more extended  $\pi$ -systems than 1,4-benzoquinone, a hypsochromic shift in  $\lambda_{\text{max}}^{\text{abs}}$  of  $\sim 50$  nm was observed for each fused benzene ring.<sup>705</sup> The photochemistry of naphthoquinone and anthraquinone derivatives resembles that of benzoquinones bearing aryl substituents. However, the presence of electron donors and acceptors on the distal rings causes substantial absorption spectrum shifts.<sup>706</sup> For example, the absorption of 1,4-benzoquinone ( $\lambda_{\text{max}}^{\text{abs}} = 281$  nm) was significantly extended into the visible range (400–600 nm) upon introducing electron-donating substituents.<sup>707–710</sup> The magnitude of this shift correlated qualitatively with the magnitude of the HOMO and LUMO coefficients in the substituted quinone.<sup>711</sup>

Chen and Steinmetz used the photocyclization of 2-dialkylamino-1,4-benzoquinones<sup>690,691,712,713</sup> into benzoxazoline photoproducts to drive 1,4-elimination of carboxylates and phenolates bound through the 5-methylene group (**116**, Scheme 34).<sup>714,715</sup>

2-Pyrrolidino-1,4-benzoquinone **116a** exhibited a strong absorption band in the UV region and a weaker charge-transfer absorption band extending into the visible range (450–650 nm,  $\epsilon = 1.9–2.6 \times 10^3 \text{ M}^{-1} \text{ cm}^{-1}$ ),<sup>697,714–716</sup> which is typical for amino-substituted quinones.<sup>717,718</sup> Carboxylates were released from **116a** ( $\lambda_{\text{irr}} = 458$  or 532 nm) in chemical yields of 50–60% at full conversion. An additional photoproduct resulting from cycloaddition of an *o*-quinone methide photoproduct with **116a** was formed with a chemical yield of 36%. The photorelease yield increased to 89–92% upon irradiation in the presence of 3-(dimethylamino)cyclohexen-1-one (0.1 M) as an *o*-quinone methide trapping agent.<sup>715</sup> The quantum yield for the disappearance of **116a** was similar to that for carboxylate formation and was sensitive to solvent polarity (dropping from 0.10 in dichloromethane to  $\sim 0.005$  in water) but not to the presence of oxygen. Kalow and co-workers improved the quantum efficiency of release in water by changing the substituents around the side-chain  $\gamma$ -hydrogen.<sup>697,716</sup> Replacing the pyrrolidine in **116a** with an isoindoline (**116c**) or tetrahydroisoquinoline (**116d**), in which the C–H bond is weaker, led to an 8- to 10-fold increase in  $\Phi_r$  in water ( $5.8 \times 10^{-3}$  vs  $4.7 \times 10^{-2}$  and  $5.7 \times 10^{-2}$ , respectively). The release efficiency correlated strongly with the leaving group  $\text{p}K_{\text{a}}$ ,<sup>714,715</sup> suggesting that the thermal 1,4-elimination step is rate determining. Rate constants for the release of phenols in this step were in the range of  $k = 5.1–20.1 \times 10^{-4} \text{ s}^{-1}$ ,<sup>715</sup> in keeping with previous measurements.<sup>719,720</sup> The proposed photoreaction mechanism is shown in Scheme 35.

The sensitivity of the reaction's quantum yields to solvent polarity suggested the involvement of an intramolecular charge-transfer (ICT) excited state<sup>679,721,722</sup> (**117**) formed prior to excited-state hydrogen transfer from the pyrrolidino group to benzoquinone (**118**). The initial ICT excited state undergoes rapid back electron transfer (eT) to regenerate the ground state **116a**, competing with the hydrogen-transfer step. A possible immediate precursor to benzoxazoline **121** in the photocyclization is the ground-state zwitterionic species **119**.<sup>584,685,723</sup> In keeping with this hypothesis, photolysis of  $\alpha$ -keto amides bearing leaving groups at the  $\alpha$ -position yielded intermediates analogous to **119** that could cyclize with concomitant expulsion of a phenolate or carboxylate leaving group.<sup>724</sup> Alternatively, leaving groups can be liberated from

Scheme 34. (1,4-Benzoquinon-5-yl)methyl Derivatives 116 as PPGs<sup>715</sup>Scheme 35. Proposed Release Mechanism from a 2-Pyrrolidino-1,4-Benzoquinone PPG<sup>715</sup>Scheme 36. Photochemistry of 1,4-Benzoquinone Trimethyl Lock PPGs<sup>723</sup>

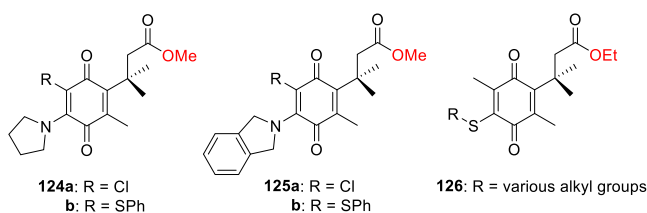
121 via deprotonation and subsequent 1,4-elimination.<sup>725</sup> Evidence for this pathway was obtained by isolating intermediate 121 (with LG = OPh) and showing that it could undergo elimination under sufficiently basic conditions.<sup>715</sup> Additionally, nanosecond laser flash photolysis experiments provided no evidence supporting direct elimination of carboxylates from 119.<sup>715</sup> Chromatically orthogonal photorelease from 116d ( $\lambda_{\text{irr}} = 626$  nm) and a 2-nitrobenzyl derivative ( $\lambda_{\text{irr}} = 365$  nm) has been demonstrated.<sup>716</sup>

Almutairi and co-workers overcame the inefficiency of photorelease from 116a in water by formulating 116a-caged drug systems (with drugs including paclitaxel, dexamethasone, and chlorambucil) by applying a microemulsion probe-sonication method to a mixture of poloxamer 407 (1% w/v) in water to form monodisperse water-dispersible nanoparticles with hydrophobic interiors. The particles had diameters of 108

$\pm 20$  to  $305 \pm 101$  nm, and their caged drug content ranged from 69 to 94 mol %.<sup>726</sup> Photolysis of these nanoparticles ( $\lambda_{\text{irr}} > 590$  nm) using various mouse tissue filters released the caged drugs, and the progress of the photorelease process was monitored by co-loading fluorescent dyes (DiD and IR780) into the nanoparticles.<sup>726</sup>

Dougherty and co-workers<sup>723,727</sup> studied analogs of 123, an *o*-hydroxydihydrocinnamic acid derivative with a conformationally restrictive “trimethyl lock” that enables thermal uncaging and photoreduction to release alcohols and amines via a 1,6-rearrangement mechanism<sup>728–730</sup> (Scheme 36). The UV-light-induced release of 2-nitrobenzyl-protected phenols to activate the trimethyl lock mechanism has been demonstrated previously.<sup>723,731–733</sup>

The broad visible absorption bands of 124, 125 (400–600 nm), and 126 (350–500 nm; Figure 12) are indicative of



**Figure 12.** Structures of 2-amino- and 2-sulfido-substituted 1,4-benzoquinone trimethyl lock PPGs.

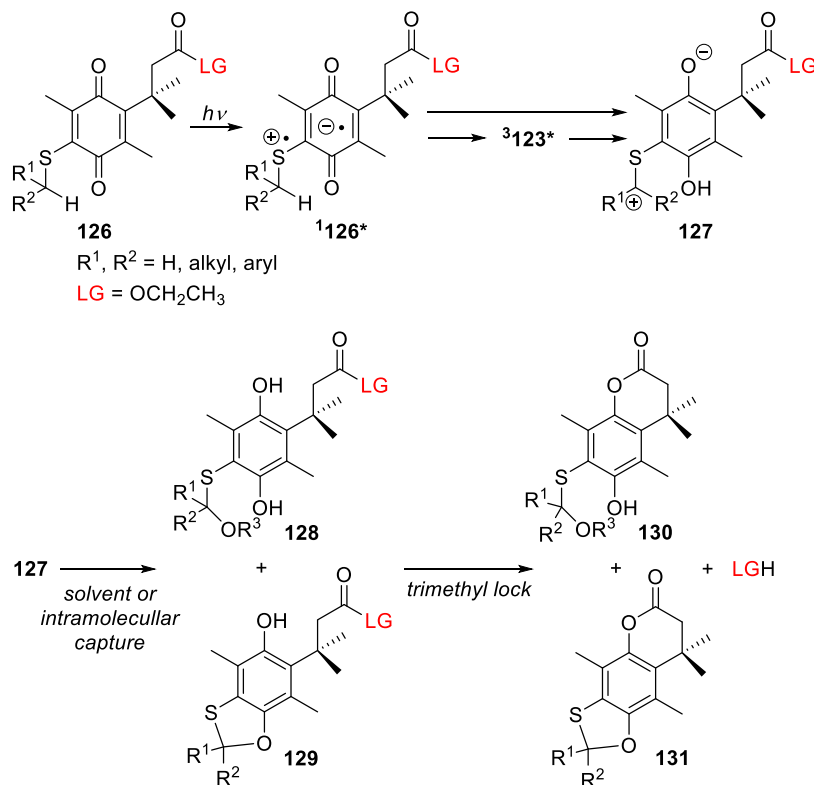
transitions to charge-transfer states.<sup>707</sup> The absorption maxima of **124** and **125** are bathochromically shifted relative to that of **116**,<sup>715,716</sup> which was attributed to the steric effect of the trimethyl lock moiety. Photolysis of **126** ( $\lambda_{\text{irr}} = 455$  nm), **124** (455 nm), and **125** (565 nm) in polar protic solvents liberated caged alcohols in quantitative chemical yield.<sup>723</sup> Additionally, the orthogonal release of two different alcohols from **126** (R = Me) and **124a** was demonstrated ( $\lambda_{\text{irr}} = 455$  and 565 nm, respectively),<sup>727</sup> and a mechanism for their photoreaction was proposed on the basis of studies on **126**<sup>723</sup> (Scheme 37).

Zwitterion **127** was proposed to be the penultimate intermediate in this photoreaction; it can undergo nucleophilic capture at the carbocationic center by the solvent or an intramolecular nucleophile to give **128** or **129**, respectively. Either of these species can then undergo trimethyl lock-facilitated ring closure to provide the final products in high chemical yield (>95%).<sup>723</sup> The singlet excited state,  $^1\mathbf{126}^*$ , has a charge-transfer character. In contrast to the photoreactions of typical benzoquinones, which undergo ISC to their triplet excited state with a near-unity quantum yield,<sup>734</sup>  $^1\mathbf{126}^*$  predominantly undergoes nonemissive return to the ground

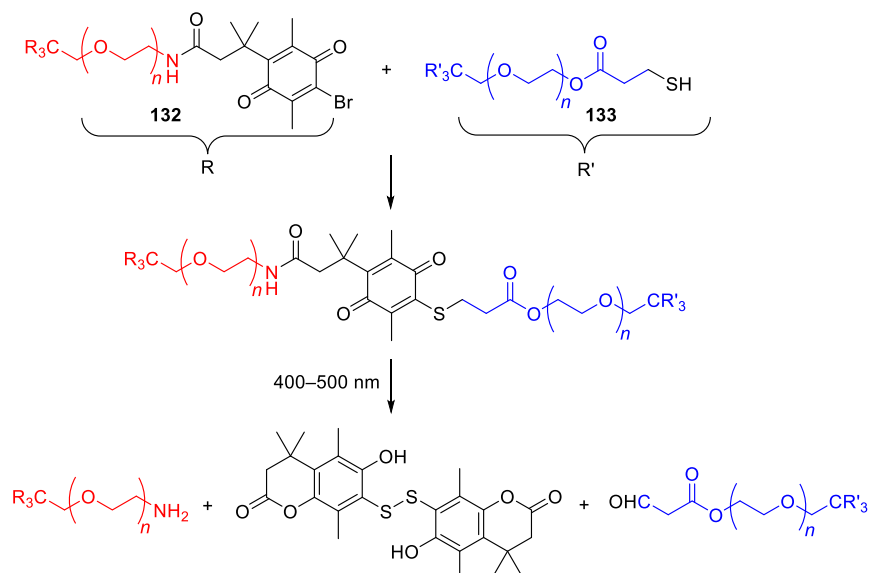
state (with >90% efficiency). The triplet excited state  $^3\mathbf{126}^*$  is formed with low efficiency ( $\Phi_{\text{ISC}} = 1\text{--}5\%$ ) and was suggested to have a charge-transfer character based on a spin density calculation (DFT M06/6-311++G\*\*),<sup>723</sup> in contrast to the  $n,\pi^*$  triplet-excited state of simple benzoquinones.<sup>735</sup> It is still unclear whether the nonproductive triplet decay of  $^3\mathbf{126}^*$  to **127** occurs directly or via  $^3\mathbf{127}^*$ . Formation of **127** can thus occur through both the singlet and triplet manifolds. For simple *S*-alkyl derivatives, product formation via the singlet pathway dominated, but the triplet pathway contributed more substantially in the case of *S*-benzyl analogs.<sup>723</sup> Although the triplet excited state is formed with low efficiency, it forms the product more efficiently than the singlet excited state.

The fluorophore 4-methylumbelliferone and the neurotransmitter GABA were both successfully photoreleased from derivatives of **126** under physiological conditions.<sup>723</sup> Additionally, Forsythe and co-workers<sup>736</sup> showed that *S*-substituted analogs of **126** can be formed in water under slightly basic conditions via a thio-bromo coupling.<sup>737,738</sup> Dendrimeric monomers with bromo-substituted 1,4-benzoquinone trimethyl lock moieties (**132**) were reported to react with dendrimeric monomers bearing sulfhydryl end groups (**133**) to form a polymeric network with sulfido-substituted 1,4-benzoquinone trimethyl lock PPGs incorporated into its backbone (Scheme 38).<sup>736</sup> Encapsulation of cells (mouse fibroblast L929, human foreskin fibroblast, and human mesenchymal stem cells) in this polymeric network and their subsequent release by photodegradation of the polymer ( $\lambda_{\text{irr}} > 420$  nm) were demonstrated, with the cells retaining high viability throughout the process.<sup>736</sup>

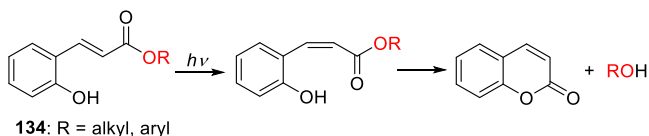
**Scheme 37.** Proposed Uncaging Mechanism of Photoinduced Quinone Trimethyl Lock PPGs<sup>723a</sup>



<sup>a</sup>Photochemical transformations are shown for R<sup>1</sup> = R<sup>2</sup> = H or Ph.

Scheme 38. Photocleavable Polymer Based on a Sulfido-Group-Substituted 1,4-Benzoquinone Trimethyl Lock PPG<sup>736</sup>

The 2-hydroxycinnamyl moiety (**134**, Scheme 39) was first utilized as a PPG by Porter and co-workers to achieve

Scheme 39. Photochemistry of *o*-Hydroxycinnamic Derivatives<sup>740</sup>

photochemical activation of thrombin.<sup>739,740</sup> This system undergoes an initial photoinduced isomerization followed by cyclization to form a coumarin derivative, causing the release of caged substrates such as alcohols.<sup>741–750</sup> The cyclization rates of 2-hydroxycinnamyl esters or amides approached those of the trimethyl lock system ( $k = 0.03–50 \times 10^5 \text{ s}^{-1}$ ).<sup>723,728,729,751–754</sup> The absorption maxima of **134** could be further bathochromically shifted (up to  $\lambda_{\text{max}}^{\text{abs}} = 394 \text{ nm}$ ) by introducing electron-donating substituents on the phenyl ring<sup>743,755,756</sup> or extending the system's  $\pi$ -conjugation.<sup>750,757</sup> A small set of 2-hydroxycinnamic derivatives was synthesized to study and improve the 2P-absorption-mediated release process.<sup>755,756,758</sup>

Dougherty and co-workers incorporated conformationally locked *Z*-cinnamyl esters (with a *cis*-alkenyl lock<sup>759</sup>) into amino-substituted 1,4-benzoquinones **135** and **136**, and 1,4-naphthoquinone **137** (Figure 13), enabling visible-light mediated quinone photoreduction to serve as an intramolecular cyclization initiator and leaving-group release trigger (Scheme 40).<sup>760</sup>

Amino-substituted quinones **135–137** have broad charge-transfer absorption bands in the range of 495–535 nm ( $\epsilon_{\text{max}} = 2.9–3.6 \times 10^3 \text{ M}^{-1} \text{ cm}^{-1}$ ). Upon irradiation at 565 nm, methanol or ethanol was liberated with  $\Phi_{\text{r}} = 0.03–0.04$  in quantitative chemical yield.<sup>760</sup> Solvent effects on the photoreduction<sup>715</sup> and cyclization<sup>759</sup> processes were observed as expected; in polar protic solvents, photoreduction was less efficient and cyclization was more efficient, whereas the opposite was true in non-polar aprotic solvents.<sup>760</sup>

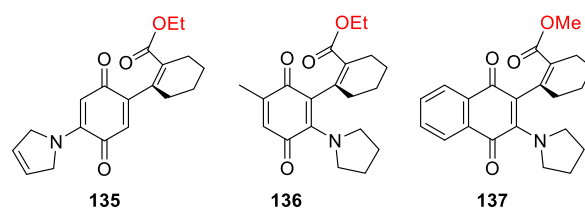
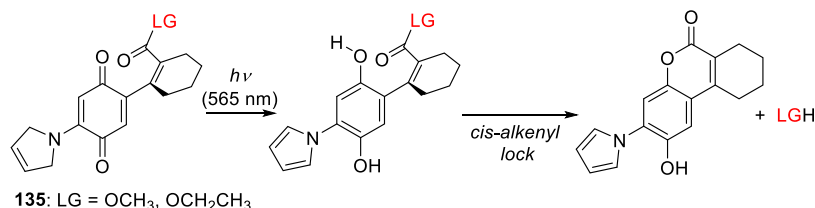
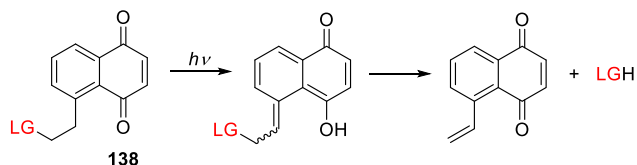


Figure 13. Structures of amino-substituted 1,4-benzo- and naphthoquinone *cis*-alkenyl lock PPGs.

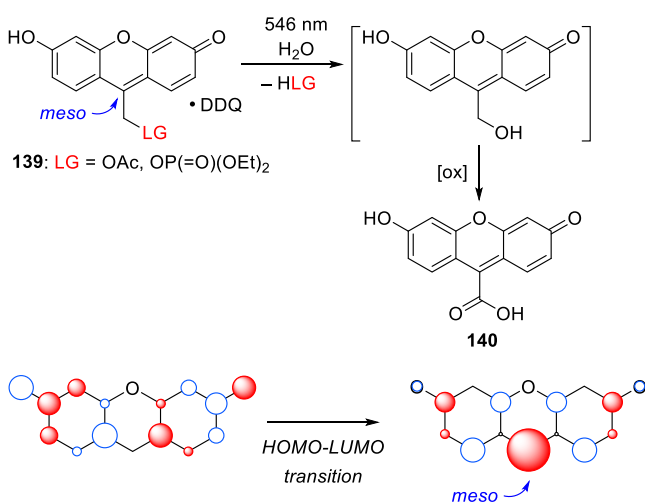
A different mechanism involving photoenolization<sup>609,761</sup> followed by heterolytic elimination<sup>230,231,233,762</sup> was demonstrated by Kamdzhilov and Wirz<sup>232</sup> for substituted 5-(ethylene-2-yl)-1,4-naphthoquinone **138** (Scheme 41), which absorbs below 405 nm. This compound released HBr and diethyl phosphate upon irradiation at 365 nm ( $\Phi_{\text{r}} = 0.35$  and 0.70, respectively) in a neutral aqueous solution, but a poorer leaving group (acetic acid) was released less efficiently ( $\Phi_{\text{r}} < 0.01$ ). Unfortunately, the sensitivity of this photoreaction to acids and the instability of naphthoquinones toward bases limit the use of this photochemical protecting group.

## 2.11. Xanthene and Perylene Groups

Wirz, Klán, and co-workers showed that acetate and diethyl phosphate can be released from a 1:1 complex of **139** with 2,3-dichloro-5,6-dicyano-1,4-benzoquinone (DDQ) upon irradiation at  $>500 \text{ nm}$  with  $\Phi_{\text{r}} = 0.3–2.4 \times 10^{-2}$  (Scheme 42).<sup>763</sup> This relatively low photorelease quantum yield was compensated for by a large molar absorption coefficient at the irradiation wavelength ( $\epsilon \approx 4 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ), resulting in an uncaging cross section  $\Phi_{\text{r}}\epsilon(\lambda_{\text{irr}})$  of  $\sim 100 \text{ M}^{-1} \text{ cm}^{-1}$ .<sup>763</sup> Efforts to synthesize **139** by alternative methods or to separate this DDQ complex were unsuccessful.<sup>11,763</sup> It was assumed that the primary photoproduct in aqueous solutions, a *meso*-methylhydroxy derivative formed from the corresponding cationic intermediate, is further oxidized by DDQ to give 6-hydroxy-3-oxo-3*H*-xanthene-9-carboxylic acid (**140**) as the major photoproduct. HMO calculations of the xanthene frontier molecular orbitals revealed that the HOMO and LUMO are disjoint at the *meso*-position, resulting in an increase in its negative charge upon HOMO–LUMO excitation. This behavior was linked to

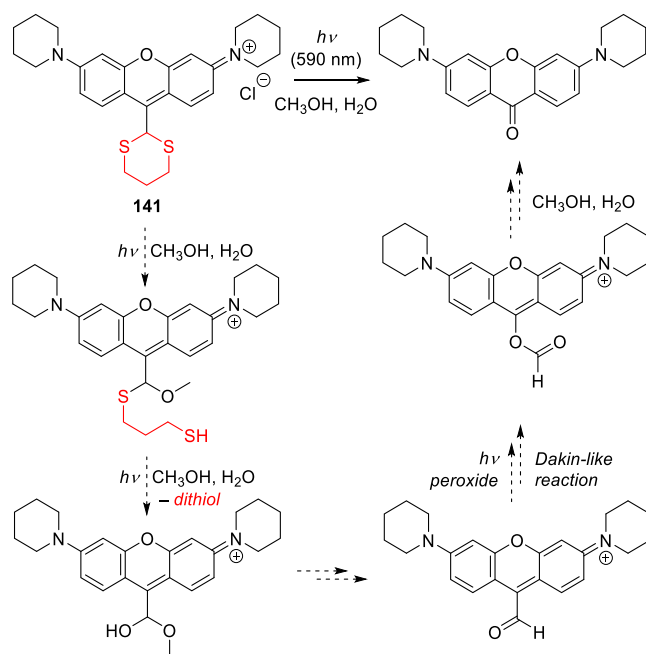
Scheme 40. Photochemistry of 1,4-Benzoquinone *cis*-Alkenyl Lock PPG 18<sup>760</sup>Scheme 41. Photochemistry of 5-(Ethylene-2-yl)-1,4-naphthoquinone PPG<sup>232</sup>

LG = Br, dialkylphosphate, carboxylate

Scheme 42. Photochemistry of Xanthene PPG 139<sup>763</sup> and Schematic Representation of the HOMO and LUMO of Its  $\pi$ -System<sup>11</sup>

specific photoreactivity such as the extrusion of leaving groups (Scheme 42)<sup>11</sup> (see also section 2.12).

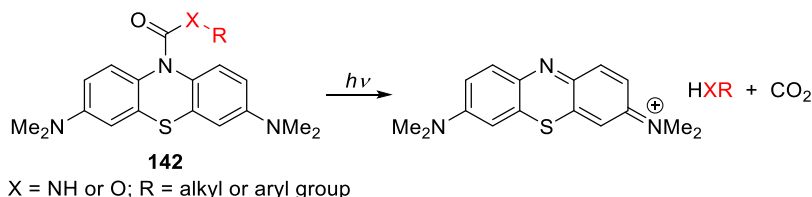
A different approach involved placing a 1,3-dithian-2-yl substituent at the *meso*-position of pyronin 141 (Scheme 43).<sup>764</sup> The resulting compound exhibited strong absorption ( $\lambda_{\text{max}}^{\text{abs}} = 584 \text{ nm}$ ) and emission ( $\lambda_{\text{max}}^{\text{em}} = 607 \text{ nm}$ ) bands in aqueous solution, and irradiation in its major absorption band ( $\Phi_{\text{r}} = 3 \times 10^{-4}$ ) gave a stable photoproduct that absorbed at 382 nm and emitted at 448 nm. It was proposed that direct thiolate release could explain the observed *meso* C–C bond cleavage in the pyronin chromophore.<sup>764</sup> Recently, Klán, Roithová, and co-workers subsequently showed that transformation of 141 is a multi-photon multi-step process. Many intermediates in this process were identified and their interrelationships were clarified using steady-state and time-resolved optical spectroscopy, mass spectrometry, and NMR spectroscopy.<sup>765</sup> Scheme 43 presents a simplified mechanism for this complex reaction, which involves at least three light-initiated steps. A different mechanism involving photooxidative cleavage by singlet oxygen was observed for the photolysis of *exo*-alkylidene xanthenes.<sup>766</sup>

Scheme 43. Photochemistry of Pyronin 141<sup>764,765</sup>

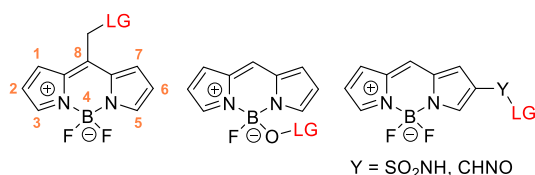
Jo and co-workers recently demonstrated the release of 10-*N*-carbamoyl substituents from a 3,7-bis(dimethylamino)-10*H*-phenothiazine chromophore upon excitation with red light in aqueous solution (142, Scheme 44).<sup>767</sup> The side product of this transformation is the fluorescent indicator methylene blue. Because 3,7-bis(dimethylamino)-10*H*-phenothiazine is a UV-light absorbing chromophore,<sup>768</sup> its excitation at 660 nm was unexpected. Although no mechanistic details were provided in the report, the authors suggested that the reaction starts with the heterolytic cleavage of the N–C(O) bond upon irradiation.

## 2.12. BODIPY Groups

4,4-Difluoro-4-bora-3a,4a-diaza-*s*-indacene (BODIPY) and its derivatives are highly fluorescent dyes that are widely used in chemistry<sup>769–773</sup> and biology.<sup>774–778</sup> Their favorable spectroscopic properties are mainly due to their relatively compact, rigid, and planar structures, which make the potential energy surfaces of their  $S_0$  and  $S_1$  states very similar. Consequently, narrow Gaussian-shaped absorption and emission bands are typically observed for their lowest energy transition.<sup>779,780</sup> These compounds have high  $\Phi_{\text{HF}}$  values (typically >0.5) because of inefficient nonradiative decay and ISC.<sup>781,782</sup> Simple BODIPY chromophores typically have two narrow absorption bands in the visible range ( $\lambda_{\text{max}} \approx 490\text{--}540 \text{ nm}$ ,  $\epsilon \approx 3\text{--}9 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ): an intense 0–0 band due to the  $S_0\text{--}S_1$  transition, and a shoulder attributed to the 0–1 vibrational transition.<sup>783–787</sup> The BODIPY scaffold tends to resist photobleaching<sup>781,788,789</sup> and degradation by acids/bases,<sup>790,791</sup> but it is highly amenable to synthetic trans-

Scheme 44. Photorelease from 10*H*-Phenothiazine Derivatives<sup>767</sup>

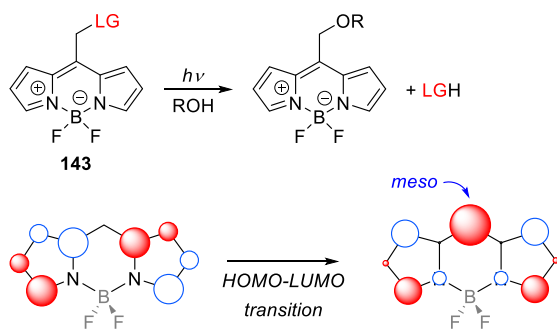
formations that modulate its spectral properties.<sup>779,780,792,793</sup> This section focuses on the different strategies reported to date utilizing the BODIPY chromophore as a photoreleasing system (Figure 14).



**Figure 14.** Structures of BODIPY PPGs with LGs at different positions.

The groups of Winter<sup>795</sup> and Weinstain<sup>794</sup> independently studied the release of leaving groups from *meso*-methyl BODIPY derivatives upon photoexcitation with green light (a general structure **143** is shown in Scheme 45). The basis for

**Scheme 45. Photorelease of LG from *meso*-Methyl BODIPY Derivatives,<sup>794</sup> and Schematic Representation of the HOMO and LUMO of the BODIPY  $\pi$ -System (BF<sub>2</sub> Is Omitted)<sup>11</sup>**

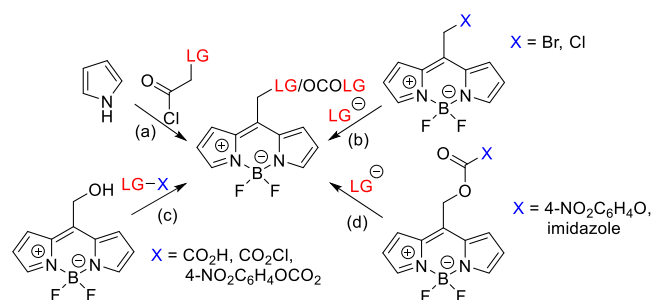


this photoreactivity can be traced to the properties of the chromophore's *meso* position. Heterolytic photodissociation to give a *meso*-methyl carbocation is favored when electronic excitation to the lowest singlet-excited state involves a substantial transfer of electron density to the sp<sup>2</sup> carbon atom of a chromophore bearing a LG in the  $\alpha$ -position such that the antibonding  $\sigma^*$  orbital is mixed with the chromophore's LUMO.<sup>11</sup> In such cases, the promotion of an electron from the HOMO to the LUMO weakens the C–LG bond, facilitating heterolytic dissociation of the leaving group. This mechanism is responsible for, among other things, Zimmerman's *meta*-effect in the photosolvolysis of methoxybenzyl acetates<sup>556</sup> and the photochemical reactivity of coumarinyl-4-methyl PPGs (section 2.2).<sup>22,278</sup> HMO calculations suggested that BODIPY also reacts in this way because its HOMO and LUMO are disjoint at the *meso* position (Scheme 45), and the negative charge at this position increases

upon excitation,<sup>11,780</sup> as also predicted for xantheno chromophores (Scheme 42). *meso*-Methyl carbocations were reported to have a low-lying excited state with a vertical S<sub>0</sub>–S<sub>1</sub> energy gap of 8–13 kcal mol<sup>−1</sup> (TD-DFT), suggesting a near-degenerate diradical configuration.<sup>795</sup> Such low-energy diradicals can have a close-lying conical intersection between the closed-shell singlet and singlet diradical forms.<sup>796</sup> The photoheterolysis of C–LG bonds to generate ion pairs was shown to be favored when the ion pair has access to a nearby productive conical intersection that provides an efficient channel for the excited state of the precursor to decay to the ground-state ion pair.<sup>796,797</sup>

The synthesis of *meso*-methyl functionalized BODIPYs generally follows known routes,<sup>481,779,780,792,793</sup> and the attachment of a leaving group is achieved by one of (a) incorporation during BODIPY core synthesis,<sup>795,798–801</sup> (b) displacement of a formerly installed good leaving group,<sup>295,798,801–803</sup> (c) utilizing a *meso*-methylhydroxy derivative as a nucleophile to attack a pre-activated form of the leaving group,<sup>795,804–808</sup> or (d) modifying a previously installed carbonic acid derivative<sup>794,805,809–811</sup> (Scheme 46). The latter process was shown to compete with an undesired direct attack at the *meso*-methyl position.<sup>809</sup>

**Scheme 46. Synthetic Pathways to *meso*-Methyl BODIPY PPGs**



*meso*-Methyl BODIPY PPGs such as **144**, **145**, and **146** (Figure 15) typically have absorption bands with  $\lambda_{\text{max}}^{\text{abs}}$  at 490–545 nm (Figure 16), although a family of  $\pi$ -extended *meso*-methyl BODIPYs with  $\lambda_{\text{max}}^{\text{abs}}$  at 586–693 nm was also reported (**147**; Figure 16).<sup>807,812</sup> The absorption spectra of representative *meso*-methyl BODIPY PPGs discussed in this section are shown in Figure 16.

The photorelease of diverse functional groups, including primary and secondary amines<sup>794,805,809,810,812</sup> (as carbamates), carboxylic acids,<sup>295,794,795,798,802,804,806–808</sup> alcohols<sup>794,798,805</sup> (as carbonic acid esters<sup>794</sup> or ethers<sup>798,801,805</sup>), halogens,<sup>798</sup> hydroxylamines,<sup>803</sup> thiocarbamic acid,<sup>799</sup> thioacetic acid,<sup>798</sup> and thiols<sup>800</sup> (Figure 15) from BODIPY-based PPGs, has been reported. The liberation efficiency of LGs correlated well with the pK<sub>a</sub> of their conjugate acids,<sup>794,798,809</sup> and the photo-reaction quantum efficiency of unsubstituted BODIPYs (other



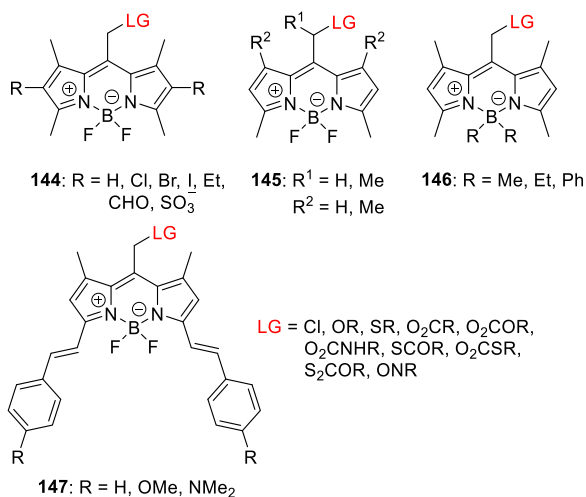


Figure 15. Structures of *meso*-methyl BODIPY PPGs.

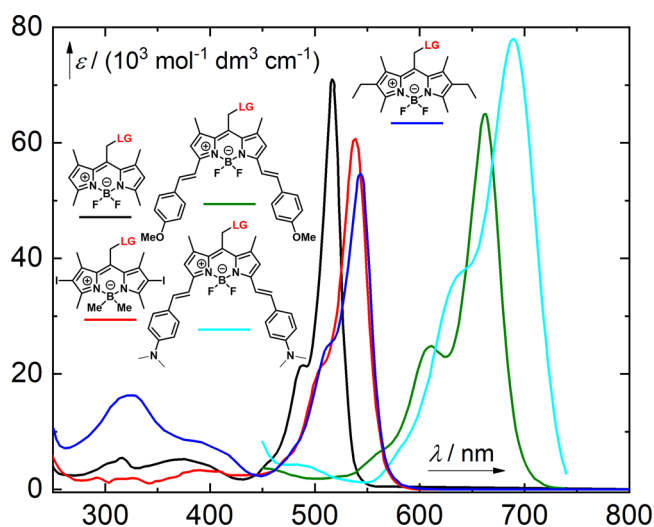


Figure 16. Absorption spectra of selected *meso*-methyl BODIPY PPGs. Black line, 1,3,5,7-tetramethyl derivative (LG = acetate);<sup>795</sup> blue line, a 2,6-diethyl-1,3,5,7-tetramethyl derivative (LG = (4-nitrophenyl)carbamate);<sup>794</sup> red line, a 2,6-diiodo-*B*-dimethyl derivative (LG = acetate);<sup>798</sup> green line, a bis(*p*-methoxystyryl) derivative (LG = benzoate);<sup>807</sup> cyan line, a bis(*p*-dimethylaminostyryl) derivative (LG = benzoate).<sup>807</sup>

than 1,3,5,7-tetramethyl derivatives) was moderate to low. The photoreaction quantum yields determined in aerated protic solvents were around half those measured in degassed solutions.<sup>798</sup> The typically small values of  $\Phi_r$  for these systems ( $\Phi_r^{\text{aer}} = 1\text{--}800 \times 10^{-4}$ ) are compensated by high molar absorption coefficients (typically,  $\epsilon_{\text{max}} = 3\text{--}7 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ), giving rise to uncaging cross sections,  $\Phi_r \epsilon(\lambda_{\text{irr}})$ , of  $1\text{--}100 \text{ M}^{-1} \text{ cm}^{-1}$ , that are similar in magnitude to those of traditional UV-absorbing PPGs.<sup>10</sup> The major side-photoproducts were identified as solvent-captured *meso*-methyl BODIPY derivatives (Scheme 45).<sup>719,794,795,798,803,808</sup> These compounds (e.g., *meso*-methyl ethers formed when using methanol as the solvent) absorb at very similar wavelengths to the original PPG and may thus act as internal optical filters, but they are eventually degraded upon extensive irradiation.<sup>798</sup>

Klan, Weinstain, Winter, and co-workers showed that in addition to the nature of the LG, the efficiency of photorelease from BODIPY chromophores depended on their substitution:

halogen substituents at the 2,6-positions increased both the reaction efficiency and  $\Phi_{\text{ISC}}$ <sup>795,798</sup> with a substituent heavy-atom effect that decreased in the order I > Br > Cl > H.<sup>813,814</sup>

However, electronegative halogen substituents increased the electrophilicity of the *meso*-methyl position, making it prone to nucleophilic attacks that caused LG liberation even in the dark.<sup>809</sup> Stronger EWGs such as aldehydes or sulfonates in the 2,6-positions substantially raised the barrier to C–O bond heterolysis on the triplet surface of 144 and thus impeded photorelease.<sup>811</sup> For example, the calculated C–O bond (heterolytic) dissociation energies for derivatives of 144 bearing 2,6-disulfonate, -dihydrogen, and -diethyl substituents were 18.9, 15.7, and 13.5 kcal mol<sup>-1</sup>, respectively, with photocaging quantum yields of 0,  $0.6 \times 10^{-4}$  and  $3.9 \times 10^{-4}$ , respectively.<sup>811</sup> The absence of the 1,7-methyl groups reduced  $\Phi_r$  by a factor of  $\sim 1.5$ , probably due to a reduced electron density in the BODIPY core and thus a reduced capacity to stabilize the putative cationic diradical intermediate.<sup>795,798</sup> In addition, dialkylborano analogs had significantly higher  $\Phi_r$  values than their BF<sub>2</sub> counterparts (up to 30-fold).<sup>798,801,807,812</sup>

These substituent effects were additive: the  $\Phi_r$  of 149 (Figure 16) was 100-times that of 148 (Figure 17).<sup>798</sup>

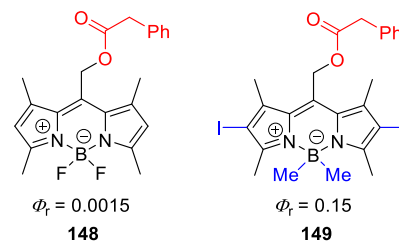


Figure 17. Structures and quantum efficiencies of BODIPY PPGs 148 and 149, the latter of which combines the 2,6-diiodo and BMe<sub>2</sub> modifications.

Winter and co-workers recently studied conformationally-restrained  $\pi$ -extended boron-methylated *meso*-methyl BODIPYs that absorb light at around 700 nm to liberate acetic acid with  $\Phi_r = 0.018\text{--}0.037$ . These efficiencies are  $\sim 50$ -times those reported for the comparable non-restrained 147 derivatives.<sup>815</sup> It was suggested that conformational restriction inhibited competing excited state decay pathways such as internal conversion, leading to higher photorelease quantum yields. The Jablonski diagram describing the photochemistry of 150 shown in Figure 18 indicates that photorelease proceeds from both the singlet and triplet excited states.<sup>798</sup> The increase in quantum efficiency correlated with enhanced ISC,<sup>795,798</sup> which can reduce competition from radiative ( $\Phi_F$ ) and nonradiative ( $\Phi_{\text{NR}}$ ) processes.<sup>798</sup> It should be noted that the reaction efficiencies are relatively low for mediocre LGs such as carboxylates, presumably because of ion-pair recombination and nonradiative decay from the triplet excited state, which do not occur during photolysis of good LGs such as Cl<sup>-</sup>.<sup>798</sup>

Photorelease from *meso*-methyl BODIPYs has been used in various biological and synthetic applications. For example, the uncaging of signaling molecules including the gasotransmitter H<sub>2</sub>S (see also section 4.3),<sup>799</sup> histamine, and the neurotransmitter dopamine,<sup>794,811,812</sup> has been demonstrated in cellular environments. Sortino and co-workers reported that light-dependent NO-induced vasodilatation of rat aorta can be achieved by uncaging the NO donor (see also section 4.2) *N*-nitroso-*N*-phenylhydroxylamine.<sup>803</sup> Weinstain and co-workers

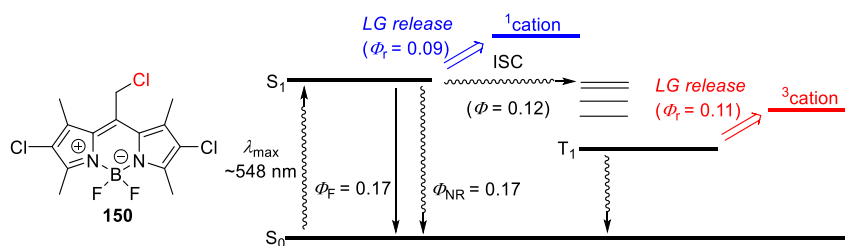
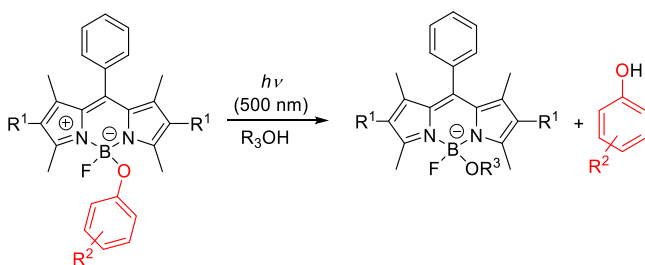


Figure 18. Jablonski diagram of the photochemistry of **150**.<sup>798</sup>

introduced a protecting-group-free, late-stage functionalization of *meso*-methyl BODIPYs that enabled their targeting to specific cellular organelles<sup>805</sup> and the development of water-soluble derivatives.<sup>811</sup> Other notable applications include the selective photorelease of the protonophore 2,4-dinitrophenol in mitochondria and the protein synthesis inhibitor puromycin in the endoplasmic reticulum,<sup>805</sup> as well as the light-dependent delivery of cytotoxic molecules including chlorambucil and a cathepsin B inhibitor (CA-074<sup>816</sup>) to cells.<sup>804,806</sup> In both of the latter cases, the observed cytotoxicity was partly due to photosensitized <sup>1</sup>O<sub>2</sub> generation by the BODIPY PPG. Sebastián and co-workers used a *meso*-methyl BODIPY-caged diethylamine as an organic light-responsive nucleophilic cyanoacrylate initiator capable of fast on-demand photocuring of commercial formulations of various cyanoacrylates including biologically-relevant long alkyl chain monomers.<sup>810</sup> Klán and co-workers reported that controlled photorelease of alkynoic acids was followed by efficient decarboxylation, giving terminal alkynes that could subsequently undergo Cu<sup>I</sup>-catalyzed azide/alkyne cycloaddition reactions.<sup>802</sup> Similarly, Truong and co-workers developed a phototriggered thiol-propiolate addition that is initiated by uncaging methyl-3-mercaptopropionate.<sup>719</sup> The utility of this photochemical ligation strategy was demonstrated by fabricating hydrogels with specific architectures, photo-immobilization of biomacromolecules, and live-cell encapsulation within a hydrogel scaffold.<sup>800</sup>

The BODIPY boron center has also been identified as a photoreactive center. Urano, Nagano, and co-workers showed that the B–O bond in 4-aryloxy BODIPYs can be photolyzed ( $\lambda_{\text{irr}} = 475\text{--}490\text{ nm}$ ) to release phenols (Scheme 47).<sup>817,818</sup> The absorption/emission wavelengths ( $\lambda^{\text{abs}} = 495\text{--}525$ ;  $\lambda^{\text{em}} = 506\text{--}541\text{ nm}$ ) and molar absorption coefficients ( $\epsilon_{\text{max}} = 6.2\text{--}9.8 \times 10^4\text{ M}^{-1}\text{ cm}^{-1}$ ) of derivatives **151** were only slightly affected by modulation of the HOMO/LUMO energy gap. However, their fluorescence quantum yields,  $\Phi_{\text{F}}$ , decreased dramatically upon raising the HOMO energy of the aryl group

#### Scheme 47. Photorelease of Phenols by B–O Photolysis<sup>818</sup>



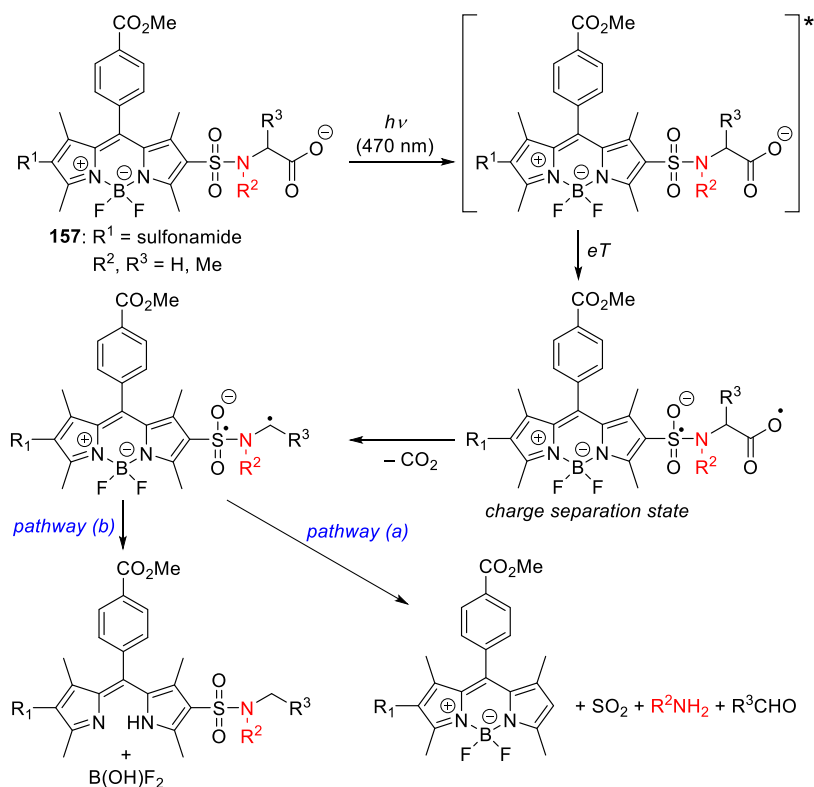
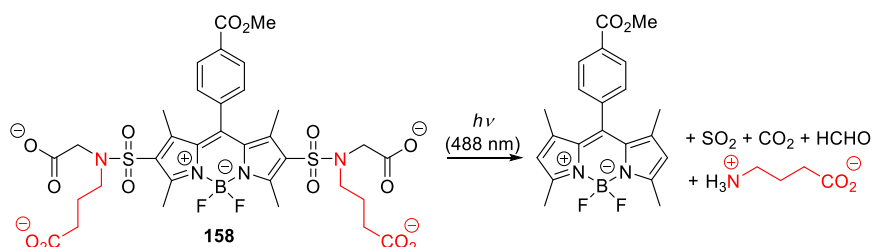
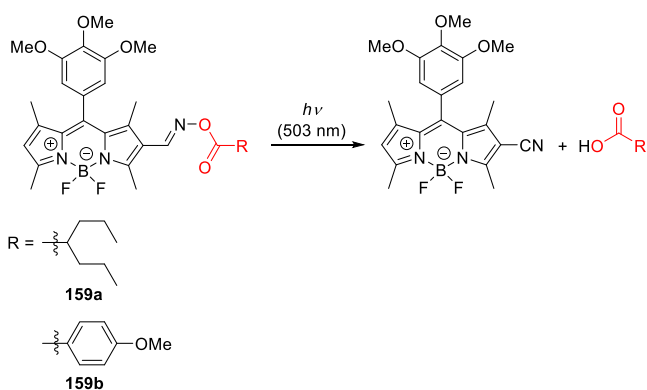
**151**: R<sup>1</sup> = Et, H, Cl, CO<sub>2</sub>R, CO<sub>2</sub><sup>−</sup>  
 R<sup>2</sup> = 4-CO<sub>2</sub>Me, 4-CH<sub>2</sub>CO<sub>2</sub>Me, 4-Me, 4-OMe,  
 4-NO<sub>2</sub>, 4-CN, 3-NMe<sub>2</sub>, 3,4-di-OMe  
 R<sup>3</sup> = H, Me

or reducing the LUMO energy of the BODIPY core.<sup>817,818</sup> This effect on  $\Phi_{\text{F}}$  was attributed to electron transfer (eT) from the adjacent aryl group to the BODIPY core.<sup>819</sup> The release quantum efficiencies were generally inversely correlated with  $\Phi_{\text{F}}$ , suggesting that the photoreaction proceeds via a competing PeT process in which B–O bond solvolysis is preceded by the formation of a charge-separated intermediate with a cationic aryl group radical and an anionic radical BODIPY core. Derivatives with a high calculated PeT driving force<sup>820</sup> had low uncaging efficiencies ( $\Phi_{\text{r}} = 0.3\text{--}2 \times 10^{-3}$ ). The same was true when the calculated PeT driving force was low ( $\Phi_{\text{r}} = 0.7\text{--}2.6 \times 10^{-3}$ ), presumably because fast reverse-electron transfer from a charge-separated intermediate<sup>821</sup> competes with uncaging. Derivatives with moderate predicted PeT driving forces thus had the highest uncaging efficiencies ( $\Phi_{\text{r}} = 1.4\text{--}5.4 \times 10^{-3}$ ).<sup>817,818</sup> Photouncaging of phenols from  $\pi$ -extended BODIPY analogs at  $\lambda_{\text{irr}} = \sim 620\text{ nm}$  was demonstrated, albeit with very low quantum efficiencies ( $\Phi_{\text{r}} = 1.5 \times 10^{-7}\text{--}7.4 \times 10^{-5}$ ).<sup>818</sup> This capability was used to achieve intracellular photorelease of the transient receptor potential cation channel V1 (TRPV1) agonist capsaicin in cultured HEK293 cells transiently transfected with TRPV1, leading to the induction of light- and ligand-dependent Ca<sup>2+</sup> uptake.<sup>817</sup>

The range of functionalities releasable via B–O bond photocleavage was expanded<sup>818,822–824</sup> by introducing a benzyloxycarbonyl linker that undergoes a spontaneous 1,6-elimination to release a leaving group from its benzyl position after the phenol moiety is liberated (Scheme 48).<sup>825</sup> For example, a carboxylic acid derivative of the fluoroquinolone antibiotic levofloxacin was directly photoreleased ( $\lambda_{\text{irr}} = 470\text{ nm}$ ) from ester **152** in 31% chemical yield.<sup>822</sup> As a result, this ester exhibited light-dependent bactericidal effects in *E. coli* and the Gram-positive *S. aureus*. The terminal primary amine of biogenic histamine was similarly caged through a carbamate bond (**153a** and **153b**), and its subsequent photorelease ( $\lambda_{\text{irr}} = \sim 480\text{ nm}$ ) was achieved in 40% chemical yield with  $\Phi_{\text{r}} = 3\text{--}3.9 \times 10^{-4}$ .<sup>818</sup> Photoexcitation ( $\lambda_{\text{irr}} = 488\text{ nm}$  using an argon laser) of the cell-impermeable **153b** in HeLa cells induced light- and H<sub>1</sub>-receptor-dependent Ca<sup>2+</sup> oscillations. The photolysis of carbamothioate **154** ( $\lambda_{\text{irr}} = 470\text{ nm}$ ) also resulted in the release of a free amine;<sup>823</sup> the carbamothioic acid subsequently underwent thermal B–O bond cleavage ( $k = 0.02\text{ min}^{-1}$ , pH 7.4) to release carbonyl sulfide (COS) and a free amine.<sup>823,826</sup> COS is hydrolyzed into CO<sub>2</sub> and H<sub>2</sub>S (spontaneously or under carbonic anhydrase catalysis<sup>827</sup>), making it a useful H<sub>2</sub>S generator for research with potential therapeutic applications (see also section 4.3).<sup>799,828–830</sup>

Smith, Winter, and co-workers developed **155** as a photoswitchable probe<sup>831</sup> for single-molecule localization spectroscopy,<sup>832</sup> demonstrating that the B–C bond is also amenable to photocleavage. Photoexcitation of **155** ( $\lambda_{\text{irr}} = 488$



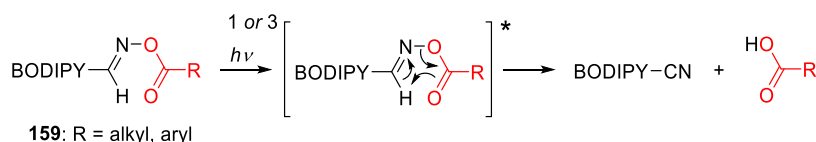
Scheme 50. Proposed Mechanism for Photolysis of 157<sup>836</sup>Scheme 51. Release of GABA upon Photolysis of 158<sup>836</sup>Scheme 52. Photorelease of Carboxylic Acids from BODIPY Oxime Esters<sup>837</sup>

abstraction from a polar protic solvent creates a carboxylic acid leaving group.<sup>412,528,837,856</sup> The corresponding iminyl radical can undergo several transformations<sup>796,857,858</sup> or fast back-electron transfer to form an iminyl cation that is subsequently converted into a nitrile by deprotonation.<sup>859</sup> In the case of 159, back electron transfer was found to be favorable; the 2-cyano

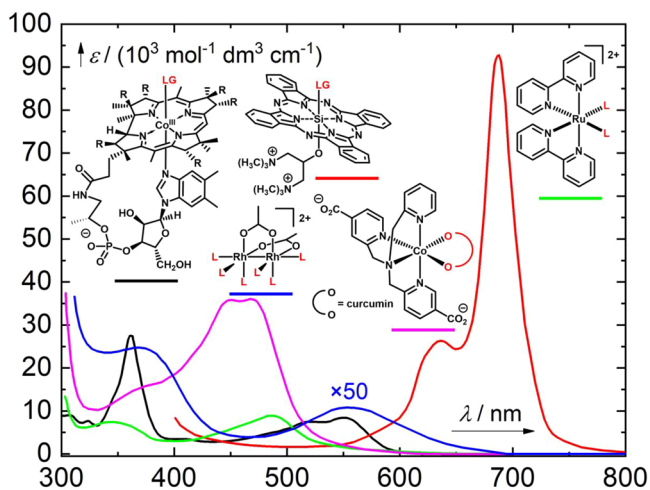
BODIPY photoproduct was obtained in 60% chemical yield.<sup>837</sup> The histone deacetylase inhibitor valproic acid (VPA) was caged as a BODIPY oxime ester in this way; in HeLa cells, this compound caused light- and dose-dependent toxicity with an IC<sub>50</sub> 100-times lower than that of free VPA.<sup>837</sup>

### 3. PHOTORELEASE FROM COORDINATION COMPOUNDS

Coordination compounds, which consist of a central (usually metal) atom or ion surrounded by ligands, have rich and varied photochemistry.<sup>860,861</sup> They often have unique ground- and excited-state properties that can be tuned by varying the central atom or the coordinating ligands to allow light-triggered release of chemically or biologically active species. Many organometallic complexes also exhibit photolysis, photo-crosslinking, or photocytotoxic activities, accompanied by diverse types of photofragmentation reactions or photodynamic effects.<sup>862–869</sup> This section surveys coordination compounds that have been used as photoreleasable systems activated by visible/NIR light in the past decade. However, comprehensive coverage of all their applications would be beyond the scope of this review. A more extensive discussion

Scheme 53. Proposed Mechanism for Photolysis of 159<sup>837</sup>

of these applications can be found in several review articles and perspectives that have been published in recent years.<sup>8,40,58,65,66,68,69,76,98,107,113,869–876</sup> Representative spectra of selected PPGs discussed in this section are shown in Figure 19.



**Figure 19.** Representative spectra of transition metal-containing PPGs: black line, a vitamin B<sub>12</sub> derivative<sup>877</sup> (LG = alkyl; section 3.1); red line, a phthalocyanine derivative (LG = aryloxy; section 3.2);<sup>878</sup> green line, a ruthenium(II) bipyriddy derivative (L = tyramine; section 3.3);<sup>879</sup> blue line, a dirhodium(II,II) derivative (L = acetonitrile; section 3.4); the  $\epsilon$  values are 50-fold smaller than shown;<sup>880</sup> and magenta line, a cobalt(III) derivative (section 3.5).<sup>881</sup>

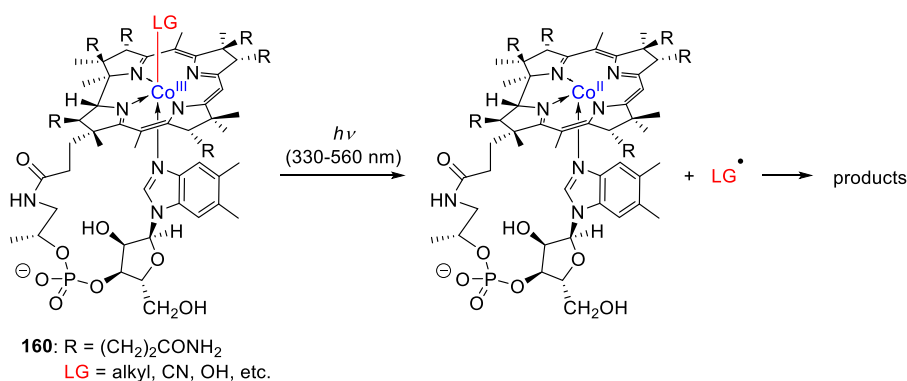
Transition-metal complexes are usually colored compounds and are therefore readily excited using visible light. The accessibility of multiple excited states with different spin multiplicities<sup>882</sup> and competing photophysical and chemical processes can result in complex and sometimes unpredictable photochemistry. Many different primary photophysical processes, including metal-to-ligand charge transfer (MLCT), ligand-to-metal charge transfer (LMCT), and ligand-to-ligand

charge transfer (LLCT), may precede the release of species.<sup>883,884</sup> However, ligand exchange is usually the key process in ligand (species) liberation.

3.1. Photochemistry of Vitamin B<sub>12</sub> Derivatives

Vitamin B<sub>12</sub> is a water-soluble metal complex bearing a cobalt ion in the center of a conjugated corrin ring; its photophysical and photochemical properties are relatively well understood.<sup>60–62,64,67,885,886</sup> The corrin Co<sup>III</sup> complex absorbs light below 580 nm,<sup>877</sup> and its derivatives such as 160 (Scheme 54, Figure 19; LG = alkyl, CN, OH, or adenosyl) can undergo homolysis<sup>887</sup> of the Co–C bond (BDE = 30–44 kcal mol<sup>-1</sup>)<sup>886</sup> in the singlet excited state<sup>888</sup> to give a charge-transfer Co<sup>III</sup> intermediate within tens of picoseconds.<sup>877,889–896</sup> The intermediate then dissociates into a close radical pair of LG<sup>•</sup> and Co<sup>II</sup> side-product radicals that either recombine within nanoseconds or escape the solvent cage (Scheme 54).<sup>61,67,885,886,892,897–900</sup> The solvent's properties affect the efficiency of recombination.<sup>901</sup> Depending on the ligands, the relaxed singlet excited states have been characterized as either MLCT or LMCT states using DFT and TD-DFT methods.<sup>62,886,902–912</sup> In addition, magnetic field effects on the photolysis of 5'-deoxyadenosylcobalamin have been reported.<sup>913,914</sup> The rate of radical pair recombination was found to be sensitive to external magnetic fields on the order of tens to hundreds of mT in viscous solutions. Although the involvement of a triplet state in the dissociation of ligands from cobalamin complexes has not been precluded by calculations,<sup>886,909</sup> the formation of a triplet radical pair seems inconsistent with the observed magnetic field effects.<sup>895,913</sup> The photobiological role of vitamin B<sub>12</sub> in the photoreception of photosynthetic and non-photosynthetic bacteria was studied by Kutta, Jones, and co-workers.<sup>915</sup> In contrast to the mechanism described above, the photochemistry of the coenzyme B<sub>12</sub>-dependent photoreceptor protein, a bacterial transcriptional regulator that controls carotenoid biosynthesis, does not proceed via radical pair intermediates but through Co–C bond heterolysis.

It was demonstrated that visible-light-induced hydrogel formation can be facilitated using alkyl-cobalamin-based

Scheme 54. Vitamin B<sub>12</sub> PPGs<sup>877</sup>

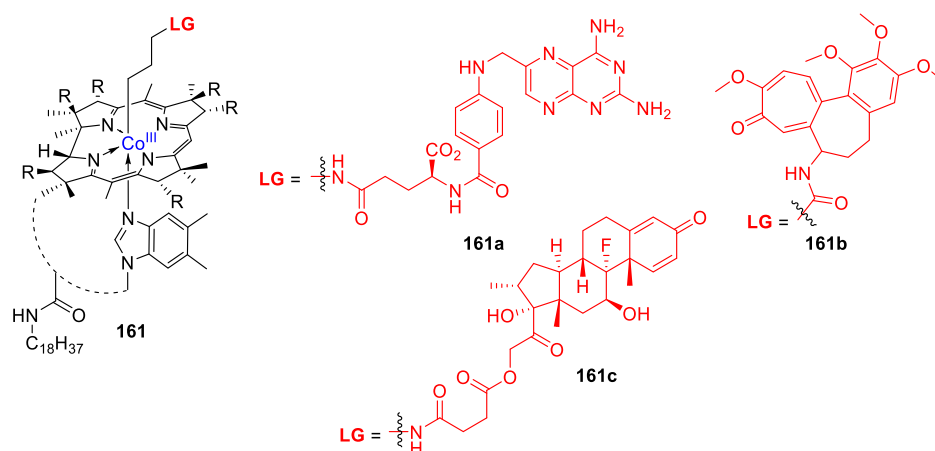


Figure 20. Cobalamin PPGs designed to release methotrexate (161a), colchicine (161b), and dexamethasone (161c).<sup>932</sup>

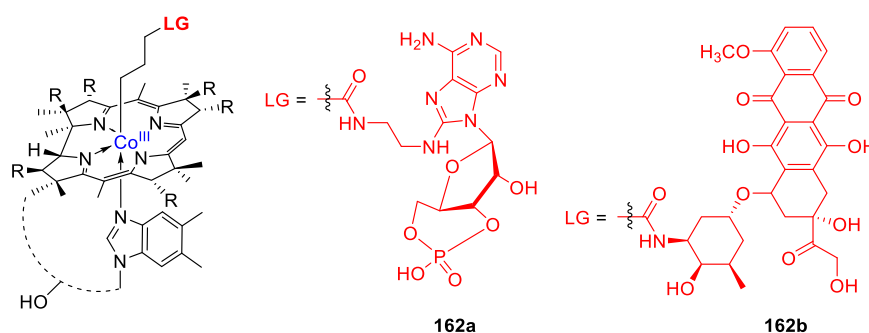


Figure 21. Cobalamin PPGs designed to release cyclic adenosine monophosphate (162a) and doxorubicin (162b).<sup>930</sup>

photoinitiators whose photochemistry induces radical photopolymerization.<sup>916</sup> The production of free radicals from thiolato-Cob(III)alamin was also supported by electron paramagnetic resonance.<sup>917</sup> Irradiation of alkylcobalamins using >500 nm light was shown to form carbon-centered radicals that cause DNA damage via strand scission of polynucleotides.<sup>918</sup> Additionally, in the presence of reductants such as TiO<sub>2</sub> or Zn/NH<sub>4</sub>Cl, cobalamin derivatives undergo photochemical reduction to strongly nucleophilic Co<sup>I</sup> complexes that can react with electrophiles via an S<sub>N</sub>2 mechanism.<sup>919</sup>

The quantum yields of LG release from cobalamin derivatives are often irradiation-wavelength dependent.<sup>892,894,920</sup> For example, the  $\Phi_r$  for <sup>•</sup>CH<sub>3</sub> liberation from methylcobalamin in aerated aqueous solutions varies from 0.35 at  $\lambda_{\text{irr}} = 490$  nm to 0.24 at  $\Phi_r = 550$  nm.<sup>921,922</sup> Similarly, 5'-deoxyadenosylcobalamin undergoes Co–C bond cleavage with  $\Phi_r = 0.20$  under anaerobic conditions,<sup>923</sup> although a near-unity quantum yield for bond homolysis in aqueous solution has also been reported for this compound.<sup>924</sup> The Co<sup>II</sup> side-product can be trapped by oxygen.<sup>923,925,926</sup> The photolysis mechanism and release quantum yields depend not only on the type of LG but also on experimental parameters including the solvent, the pH, the presence of specific enzymes,<sup>888,894,901,927–929</sup> and the nature of the lower axial base.<sup>895,923</sup> Cobalamin release mechanisms are discussed in more detail in a recent review by Jones.<sup>61</sup>

Photoactivatable vitamin B<sub>12</sub> systems have been used for visible-light-initiated drug release. The absorption limit of the corrin ring (>580 nm) can be extended by appending a sensitizer absorbing in the NIR region, by exploiting 2P

excitation, or via upconversion (see section 6.4.2).<sup>885,930</sup> Building on the earlier studies on the photochemical decomposition of adenosylcobalamin and other vitamin B<sub>12</sub> analogs discussed above, Lawrence and co-workers showed that the photochemical cleavage of the Co–C bond in cobalamins can be used in the design of caged compounds.<sup>885</sup> Cobalamin 160, which bears a rhodamine fluorophore as an LG connected through an alkyl linker, was shown to undergo selective photochemical homolysis upon irradiation at 560 nm in high chemical yield (97%), even when mixed with two different caged compounds absorbing only UV light.<sup>931</sup> The fluorescence of the appended rhodamine in 160 is quenched by the cobalamin, allowing its release to be monitored by observing its fluorescence under a confocal microscope in microwells and living cells.

The portfolio of leaving groups used with these PPGs was subsequently extended beyond fluorophore indicators by caging biologically active species including the anti-inflammatory agents methotrexate (161a), colchicine (161b), and dexamethasone (161c) with the cobalamin lipid conjugate 161 (Figure 20).<sup>932</sup> These caged derivatives were loaded onto human erythrocytes and the agents (which were connected via an auxiliary linker that was subsequently removed by esterase hydrolysis) were released in quantitative yield upon irradiation at 525 nm. To shift the absorption into the phototherapeutic window, the C<sub>18</sub> derivatives of pentamethine cyanine (Cy5;  $\lambda_{\text{irr}} = 646$  nm), AlexaFluor700 ( $\lambda_{\text{irr}} = 700$  nm), heptamethine cyanine (Cy7;  $\lambda_{\text{irr}} = 747$  nm), and DyLight 800 ( $\lambda_{\text{irr}} = 784$  nm) were used as sensitizers. Irradiation at the dyes' maxima led to drug release and the induction of the expected biological responses.<sup>932</sup> A similar strategy was used to release cAMP from

conjugate **162a** to control the activity of a cAMP-dependent protein kinase and to release the anticancer agent doxorubicin from **162b** (Figure 21).<sup>930</sup> In these studies, several commercially available sensitizers including 5-carboxytetramethylrhodamine, SulfoCy5, Atto725, DyLight800, Alexa700, and BODIPY650 were used to facilitate excitation of cobalamin conjugates with visible-to-NIR light.

A photorelease strategy for liberating membrane-permeable bioagents such as colchicine, paclitaxel, and methotrexate from cobalamin–bioagent conjugates confined within lipid-enclosed compartments in the interior of erythrocytes was reported by Lawrence and co-workers.<sup>933</sup> Upon photolysis of the conjugates by visible-to-NIR light, enabled by a Cy5 sensitizer attached via a dimethylbenzimidazole ligand, the drugs were liberated inside red blood cells. Janovjak and co-workers recently used the 5'-deoxyadenosylcobalamin binding domains of bacterial CarH transcription factors to induce growth factor receptor 1 dissociation.<sup>934</sup> Several other relevant biological applications of cobalamin photochemistry have also been reported.<sup>935–937</sup>

### 3.2. Photochemistry of Phthalocyanine and Porphyrin Derivatives

Si-phthalocyanine macrocycles (Figure 22) are photostable, hydrophobic, and non-toxic.<sup>938–940</sup> Their usefulness in

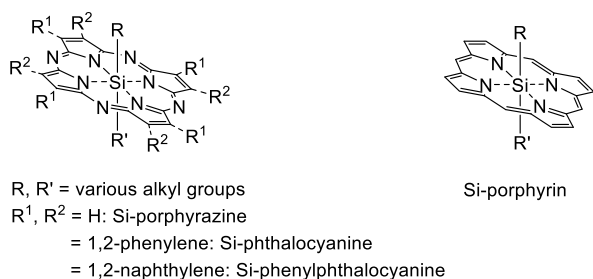


Figure 22. Si-phthalocyanine macrocycles as PPGs.

aqueous media is limited by their low aqueous solubility, although this can be overcome through structural modification.<sup>941–943</sup> Their absorption spectra feature an intense Q-band at approximately 670 nm and a Soret band in the region of 300–400 nm,<sup>939,944</sup> and the quantum yield of ISC is reduced by dye aggregation.<sup>944</sup> Interestingly, however, the efficiency of singlet oxygen production by the triplet state is very similar to that for the singlet states.<sup>945</sup> These complexes can thus serve as efficient oxygen photosensitizers in photodynamic therapy (see also section 6.3),<sup>938,940,946–948</sup>

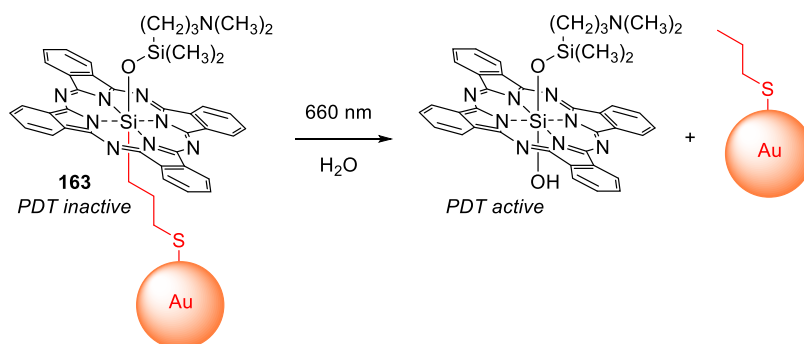
although photobleaching by self-sensitized photooxidation can limit their usefulness.<sup>945</sup> Interestingly, the properties of the axial ligands (Figure 22, R and R') and the pH of the solution were found to profoundly affect their photophysics.<sup>939</sup>

Axial alkyl ligands in various Si-porphyrin derivatives (Figure 22) undergo homolytic cleavage upon irradiation with visible light;<sup>949–951</sup> the dissociation energy of the axial Si–C bond is relatively low<sup>950</sup> (around 40 kcal mol<sup>-1</sup>).<sup>952</sup> Accordingly, Ziady, Burda, and co-workers found that an axial alkyl tether used to link Si-phthalocyanines to Au nanoparticles (**163**) underwent efficient photochemical homolytic cleavage upon irradiation with 660 nm light (Scheme 55).<sup>953</sup> This Au-drug delivery system is initially PDT-inactive because the excited state of the Si-phthalocyanine is quenched by the Au nanoparticle (see also section 6.4). Upon irradiation, the chromophore is liberated and undergoes ligand exchange<sup>950</sup> with water to give a PDT-active species that produces singlet oxygen with a quantum yield of 49%. The homolytic photocleavage of axial alkyl groups was also investigated in methanol,<sup>954</sup> and a mechanism was proposed involving the initial formation of a radical centered on the Si atom of the Si-phthalocyanine and an alkyl radical that subsequently abstracts hydrogen from methanol. Several Si-phthalocyanine derivatives bearing amino acrylate axial linkers cleavable by singlet oxygen produced by *in situ* phthalocyanine sensitization have been reported.<sup>955–957</sup>

The dissociation energy of axial Si–O bonds in Si-phthalocyanines is much higher ( $\geq 80$  kcal mol<sup>-1</sup>) than that of comparable Si–C bonds.<sup>952</sup> Irradiation of Si-phthalocyanines bearing both axial alkyl and alkylsiloxy ligands (Figure 22, R = alkyl, R' = alkylsiloxy groups) leads to the exclusive homolytic liberation of the alkyl group.<sup>952</sup>

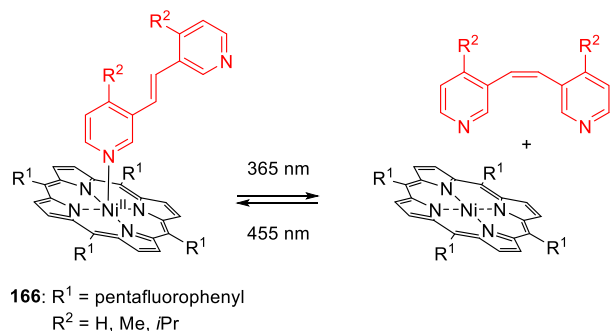
The photochemistry of a series of Si-octaphenoxyphthalocyanines bearing aryloxy, siloxy, aminoalkoxy, carboxyl, and sulfonyloxy groups as axial ligands was studied by Nyokong and co-workers.<sup>945</sup> Axial ligand exchange to give the corresponding hydroxy derivatives in DMSO solutions was suggested to proceed via intermolecular electron transfer between the phthalocyanine  $\pi, \pi^*$  excited state and an electron acceptor. Schnermann and co-workers showed that the Si–OAr bond in Si-phthalocyanines with axial aryloxy ligands can be cleaved in aqueous solutions using NIR light.<sup>878</sup> Scheme 56 shows the synthesized aryloxy derivatives **164** (Figure 19), which liberated substituted coumarin and stilbene moieties in degassed (hypoxic) aqueous solutions upon irradiation at 690 nm. Complex **164a** released the fluorescent reporter 4-methylumbelliferone, and the photorelease of combretastatin-A4 and its *E*-isomer from **164b** and **164c**, respectively, was

### Scheme 55. Si-Phthalocyanine Attached to Au Nanoparticle as a PPG<sup>953</sup>







Scheme 59. Ni<sup>II</sup>–Porphyrin PPGs

controlled coordination-induced spin-state switching.<sup>963</sup> Photochemical isomerization of the *E*-azopyridine ligand to the *Z* form weakens its binding due to steric clashing between the substituents at the 2-positions of the pyridyl rings, resulting in ligand release. When the axial ligand is bound, the Ni complex is pentacoordinate, high spin, and paramagnetic; dissociation of the axial ligand causes switching to the diamagnetic tetracoordinate low spin state. Similarly, the spin state of Fe<sup>III</sup> porphyrins bridged with 1,2,3-triazole ligands can be changed by adding phenylazopyridine as a photodissociable ligand,<sup>964</sup> or the pyridine-bearing dithienylethene (DTE) photoswitch can be used to induce metal–ligand interaction between two Zn<sup>II</sup>-porphyrin moieties connected through a diethyne linker.<sup>965</sup>

## 3.3. Photochemistry of Ruthenium(II) Polypyridyl Complexes

The photochemical activity of metal polypyridyl complexes has been known for decades, and the archetypical chromophore of this type, the [Ru(bpy)<sub>3</sub>]<sup>2+</sup> cation (bpy = 2,2′-bipyridyl; Figure 23), has received considerable attention because of its unique

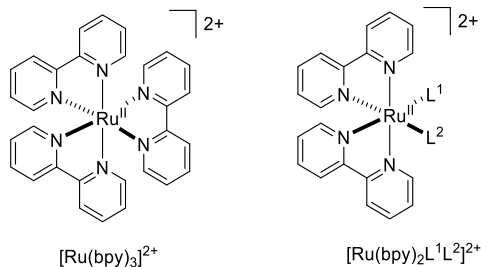
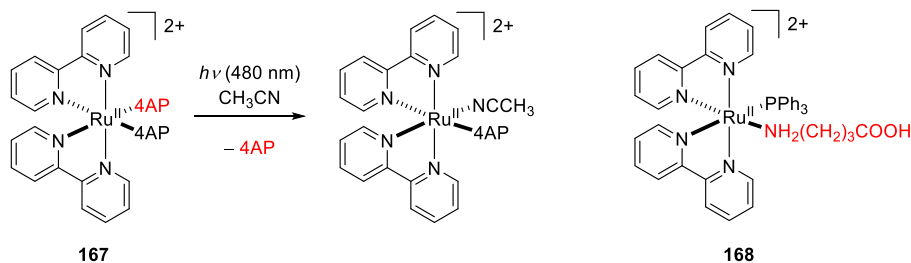


Figure 23. Ru<sup>II</sup> polypyridyl complexes.

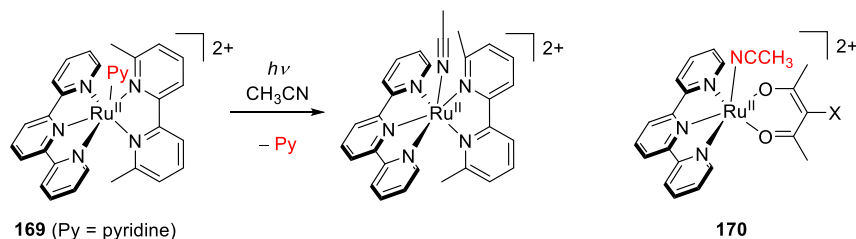
optical and physicochemical properties.<sup>56,70,860,966,967</sup> It absorbs in the visible region ( $\lambda_{\text{max}}^{\text{abs}} \approx 450$  nm) and the metal-to-ligand charge-transfer (MLCT)  $d \rightarrow \pi^*$  transition populates the singlet state, formally represented as a Ru<sup>III</sup>–bpy<sup>−</sup> state,

Scheme 60. [Ru(bpy)<sub>2</sub>L<sup>1</sup>L<sup>2</sup>]<sup>2+</sup> Complexes 167<sup>988</sup> (4AP = 4-Aminopyridine) and 168<sup>990</sup> as PPGs

which is converted into the triplet <sup>3</sup>MLCT state in about 10 fs<sup>968</sup> with an ISC quantum yield of almost unity.<sup>966</sup> The long-lived triplet state <sup>3</sup>MLCT can be deactivated by radiative, non-radiative, and electron transfer pathways or be thermally activated to give a low-lying triplet ligand-field state (<sup>3</sup>LF) with an Ru–ligand antibonding character that can lead to ligand release.<sup>70,72,969–971</sup> A relationship between the  $\pi$ -accepting ability of the ligands and the photosubstitution efficiency has been demonstrated.<sup>972–975</sup> In the triplet state, this complex is an efficient oxygen sensitizer.<sup>976</sup> The photochemical applications of [Ru(bpy)<sub>3</sub>]<sup>2+</sup> range from solar energy conversion, photocatalysis, and sensing to photochemistry and bioimaging.<sup>57,70,71,966</sup>

The photoreactions of analogous [Ru(bpy)<sub>2</sub>L<sup>1</sup>L<sup>2</sup>]<sup>2+</sup> complexes (where L<sup>1</sup> and L<sup>2</sup> may belong to the single or separate ligands; see Figure 23) have attracted great interest in the context of photocaging and are discussed at length in recent reviews and perspectives.<sup>70,72,977–979</sup> The major advantage of these and many other transition metal-containing photoactivatable systems is that they can release neutral bioactive small molecules (ligands) including nitriles, amines, and aromatic heterocycles.<sup>980,981</sup> The absorption spectra of [Ru(bpy)<sub>2</sub>L<sup>1</sup>L<sup>2</sup>]<sup>2+</sup> feature strong bands in the visible region ( $\lambda_{\text{max}}^{\text{abs}} \approx 420$  nm), but larger conjugated terpyridine or bisquinoline ligands shift the absorption maximum up to as much as 600 nm, with tail absorption extending into the phototherapeutic window in the NIR and IR regions.<sup>982</sup> In an early study, Pinnick and Durham found that the quantum yields of photosubstitution (ligand exchange) in [Ru(bpy)<sub>2</sub>L<sup>1</sup>L<sup>2</sup>]<sup>2+</sup> derivatives correlated with the energy of the lowest energy charge-transfer transition.<sup>983</sup> It has been suggested that the direct population of the reactive <sup>3</sup>LF state from <sup>1</sup>MLCT along with the population of the emissive <sup>3</sup>MLCT state are the first photophysical events to occur in these complexes.<sup>969,977,984</sup> However, Dunbar and Turro showed that the population of <sup>3</sup>MLCT competes with ligand liberation on time scales of fs to ps.<sup>985,986</sup> In their work, irradiation of [Ru(bpy)<sub>2</sub>(CH<sub>3</sub>CN)<sub>2</sub>]<sup>2+</sup> in water resulted in stepwise CH<sub>3</sub>CN release to give [Ru(bpy)<sub>2</sub>(CH<sub>3</sub>CN)(H<sub>2</sub>O)]<sup>2+</sup> and [Ru(bpy)<sub>2</sub>(H<sub>2</sub>O)<sub>2</sub>]<sup>2+</sup> as the first and second intermediates, with the former complex being detected after only 77 ps.

Etchenique and co-workers created a [Ru(bpy)<sub>2</sub>L<sup>1</sup>L<sup>2</sup>]<sup>2+</sup> PPG by coordinating two K<sup>+</sup> channel-blocking 4-aminopyridine (4AP) ligands to obtain complex 167 (Scheme 60). These ligands were released sequentially upon irradiation at 480<sup>987</sup> or 800 nm (2P absorption).<sup>988</sup> A similar strategy was used to cage nicotine,<sup>989</sup>  $\gamma$ -aminobutyric acid (GABA, for which the release quantum yield was 0.036<sup>990</sup>) and other amines.<sup>879,991–993</sup> When one of the monodentate ligands is triphenylphosphine, which is a weaker  $\sigma$ -donor than an amine but a stronger  $\pi$ -acceptor (168, Scheme 60, Figure 19), the Ru<sup>II</sup> center becomes

Scheme 61. Ru<sup>II</sup> Complexes 169<sup>999</sup> and 170<sup>1005</sup> as PPGs

electronically depleted, resulting in more efficient GABA liberation ( $\Phi_r > 0.21$ ).<sup>990</sup> A kinetic flash photolysis study showed that  $[\text{Ru}(\text{bpy})_2(\text{PMe}_3)(\text{glutamine})]$  photoreleases glutamine within 50 ns.<sup>994</sup> The analogous  $[\text{Ru}(\text{bpy})(\text{dcbpy})\text{-py}_2]^{2+}$  and  $[\text{Ru}(\text{dcbpy})_2\text{py}_2]^{2+}$  complexes (bpy = 2,2'-bipyridine, dcbpy = 4,4'-dicarboxy-2,2'-bipyridine, and py = pyridine) released their pyridine ligands upon irradiation at 450 nm at physiological pH.<sup>995</sup> Similarly,  $[\text{Ru}(\text{ane})(\text{chel})(\text{py})]^{2+}$  (ane = 1,4,7-trithiacyclononane, chel = chelating diimine) photoreleased pyridine at 470 nm.<sup>996</sup> In another application, a weakly fluorescent rhodamine-substituted Ru<sup>II</sup> complex was shown to photorelease a rhodamine dye, increasing its fluorescence intensity almost six-fold.<sup>997</sup>

Sterically bulky ligands were introduced to distort the pseudo-octahedral geometry of the Ru<sup>II</sup> complexes, which reduces the energy of the locally-excited <sup>3</sup>LE state, and increases the efficiency of ligand exchange.<sup>70</sup> For example, 2,2'-biquinoline (biq) is photoreleased from  $[\text{Ru}(\text{biq})(\text{phen})_2]^{2+}$  (phen = 1,10-phenanthroline), whereas  $[\text{Ru}(\text{phen})_3]^{2+}$  is photochemically inactive.<sup>998</sup> This phenomenon was demonstrated by Turro and co-workers in a series of Ru complexes bearing tridentate ligands, such as  $[\text{Ru}(\text{tpy})(\text{Me}_2\text{bpy})(\text{py})]^{2+}$  ( $\text{Me}_2\text{bpy}$  = 6,6'-dimethyl-2,2'-bipyridine, tpy = terpyridine, py = pyridine).<sup>999</sup> As shown in Scheme 61, this complex (169) undergoes ligand exchange with a quantum yield of 0.16 upon irradiation at 500 nm. This value is approximately 3 orders of magnitude higher than that for  $[\text{Ru}(\text{tpy})(\text{bpy})(\text{py})]^{2+}$ , which features the sterically undemanding bpy ligand rather than the sterically bulky  $\text{Me}_2\text{bpy}$ . The <sup>3</sup>LE state was found to form within 3–7 ps, and it can be deactivated by ligand dissociation or non-radiative decay. Building on preceding theoretical studies,<sup>1000,1001</sup> Alary and co-workers performed DFT calculations indicating that these results can be attributed to the formation of a quasi-degenerate triplet metal-centered state and triplet excited-state potential energy surfaces with differing topologies.<sup>1002,1003</sup> An analogous photoactivatable ruthenium complex  $[\text{Ru}(\text{tpy})(\text{bpy})(\text{L})]^{2+}$ , where L is a rigidin derivative caged through its thioether group, released the caged ligand upon irradiation at 530 nm.<sup>1004</sup> The rigidins are cytotoxic marine alkaloids known to kill cancer cells. A series of related Ru terpyridine complexes bearing acetylacetonate-based ligands (Scheme 61, 170, X = H or halogen) was synthesized to bathochromically shift the absorption of these systems ( $\lambda_{\text{max}}^{\text{abs}} < 517\text{ nm}$ ).<sup>1005</sup> These complexes exhibited quantum yields of ligand release five- to seven-times higher than that of  $[\text{Ru}(\text{tpy})(\text{bpy})(\text{CH}_3\text{CN})]^{2+}$ .

Another class of Ru<sup>II</sup> complexes features tetradentate ligands such as tris(2-pyridylmethyl)amine 171 (Figure 24).<sup>72,977,1006–1008</sup> These stable complexes can cage a wide range of different ligands L, including the cathepsin K inhibitor Cbz-Leu-NHCH<sub>2</sub>CN and nicotinamide, which are released upon irradiation at >400 nm. The selective release quantum

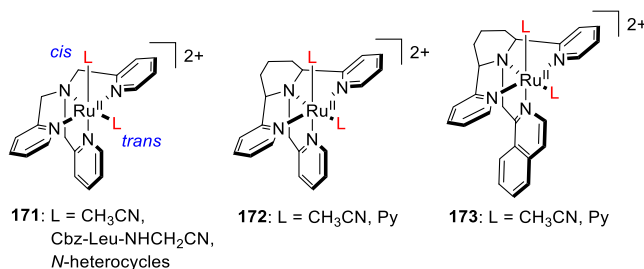
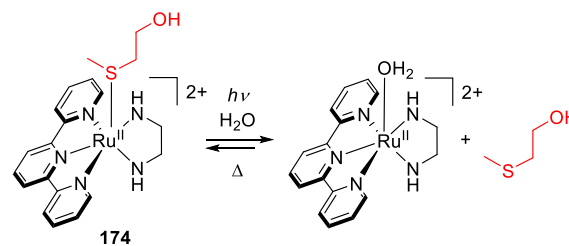


Figure 24. Ru<sup>II</sup> complexes with the tetradentate tris(2-pyridylmethyl)amine ligand and its analogs as PPGs.

yields of *cis*-nitrile ligands (~0.01) were higher than those for *cis*-heterocyclic ligands in water, which was attributed to aromatic heterocycles being stronger  $\sigma$ -donors than nitriles.<sup>977</sup> Rigid complexes 172 and 173 bearing tetradentate piperidine ligands (Figure 24) also underwent photochemical ligand exchange with quantum yields of 0.001–0.03.<sup>1009</sup> DFT studies on the two isomers of the tris(2-quinolylmethyl)amine (TQA) complexes  $[\text{Ru}(\text{TQA})(\text{MeCN})_2]^{2+}$  172<sup>970</sup> and 173<sup>1009</sup> showed that orbital mixing is crucial for effective ligand photodissociation.

Bonnet and co-workers demonstrated the photorelease of 2-(methylthio)ethanol from Ru<sup>II</sup> complexes such as 174 (Scheme 62).<sup>1010</sup> This ligand was released in water upon

Scheme 62. Ru<sup>II</sup> Photoreleasable Complex 174<sup>1010</sup>

irradiation at 465 nm with a quantum yield of 0.13. An analogous complex bearing 6,6'-dichloro-2,2'-bipyridine as a ligand was used to control light-responsive supramolecular interactions.<sup>1011</sup> The photorelease of a microtubule-targeted rigidin analog from  $[\text{Ru}(\text{tpy})(\text{bpy})\text{L}]^{2+}$  derivative 175 (Figure 25) in hypoxic cancer cells is another notable practical application of Ru<sup>II</sup>-based PPGs.<sup>1004</sup> A series of Ru<sup>II</sup> polypyridyl complexes bearing 6-mercaptopurine as a photocleavable ligand was prepared by Renfrew and co-workers.<sup>1012</sup> The highest release quantum yield (0.6) in this series was achieved with complex 176 (Scheme 62), which liberates 6-mercaptopurine upon irradiation at 465 nm in acetonitrile. The 1,4,7-trithiacyclononane Ru<sup>II</sup> complexes 177–179 (Figure 25), bearing photocleavable pyridine, DMSO, 3-acetylpyridine, and imidazole ligands, were designed and studied by Alessio,

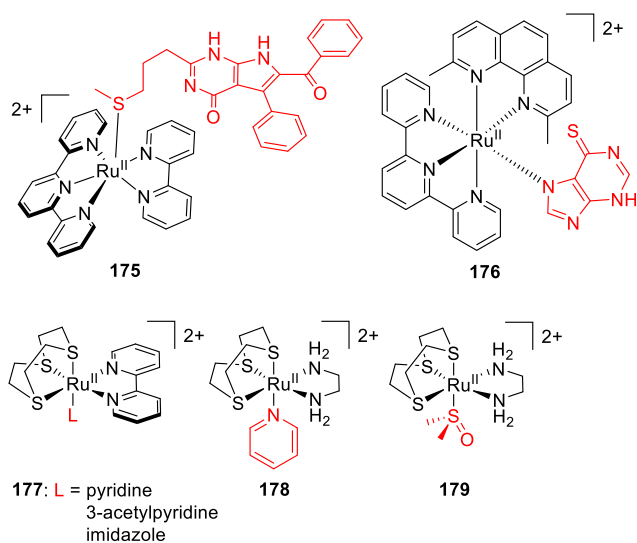


Figure 25.  $Ru^{II}$  complexes as PPGs.

Sadler, and co-workers.<sup>1013–1015</sup> These complexes release their ligands upon irradiation with blue light (400–490 nm).

Many other applications of  $Ru^{II}$  complexes as photoactivatable groups have been reported. The liberation of neurotransmitters to enable control over receptor activity in neuronal cells was mentioned above.<sup>56,879</sup> These complexes have also been used for controlled release of small molecule drugs and enzyme inhibitors. Enzymes successfully targeted in this way include proteases,<sup>1016</sup> cathepsin B (inhibited with a novel dipeptidyl nitrile<sup>1017</sup>),<sup>980,1018</sup> nicotinamide phosphoribosyl transferase,<sup>1019</sup> cytochromes P450,<sup>1020</sup> and CYP17A1.<sup>1021</sup> Drugs successfully photoreleased from  $Ru^{II}$  complexes include the anticancer agent CHS-828,<sup>1022</sup> the imidazole-based cytotoxic drug econazole,<sup>1023</sup> the anti-tuberculosis drug isoniazid,<sup>1024</sup> and 5-cyanouracil.<sup>1025,1026</sup> Additionally, a photoactivatable histidine building block for Fmoc/*t*-Bu solid-phase peptide synthesis based on a  $Ru^{II}$  complex with an imidazole ligand was used to prepare caged histidine peptides.<sup>1027</sup> A library of tetra- and pentadentate ligands was attached to a polystyrene resin to prepare the corresponding photolabile  $Ru^{II}$  complexes for a solid-phase synthesis application.<sup>1028</sup>  $[Ru(bpy)_2(4AMP)_2]$  (4AMP = 4-(aminomethyl)pyridine) was incorporated into polyurea organo- and hydrogels and used as a photoremovable moiety to induce de-gelation upon 1P or 2P excitation.<sup>1029</sup> Similarly, supramolecular crosslinked gels with a photosensitive ruthenium bipyridine complex functioning as a crosslinker and poly(4-vinylpyridine) as a macromolecular ligand were developed by Teasdale and Monkowius.<sup>1030</sup> Photolysis of these organogels with visible (>395 nm) and NIR light (1028 nm; a multiphoton process) resulted in the liberation of the pyridine moieties and degelation.

Photoinduced ligand dissociation from ruthenium complexes can also be accompanied by singlet oxygen production.<sup>70</sup> Turro and co-workers showed that the triplet excited state of the  $[Ru(bpy)(dppn)(CH_3CN)_2]^{2+}$  (dppn = benzo[*i*]-dipyridophenazine) complex efficiently sensitizes oxygen to give  $^1O_2$  in aqueous solution ( $\Phi_{\Delta} = 0.72$ ) and also releases acetonitrile in a less efficient competing process ( $\Phi_r < 0.01$ ).<sup>1031</sup> Similar dual reactivity was demonstrated for  $[Ru(tpy)(Me_2dppn)(py)]^{2+}$  (dppn = dimethylbenzo[*i*]-dipyridophenazine)<sup>1032</sup> and  $[Ru(pydpnp)(biq)(py)]^{2+}$  (pydpnp = (pyrid-2-yl)benzo[*i*]dipyridophenazine)<sup>1033</sup> complexes. Additionally, a structurally distinct nitrosyl phthalocyanine ruthenium complex was shown to produce singlet oxygen and release nitric oxide (see section 4.2).<sup>1034</sup>

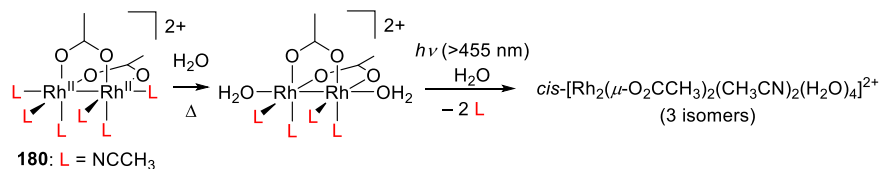
$Rh^{III}$  complexes with polypyridyl and phenanthrene quinone diamine ligands are also photoactive and have been used to achieve photoinduced DNA cleavage. However, ligand exchange reactions are not the primary processes responsible for their photochemical activity.<sup>113</sup>

### 3.4. Photochemistry of Dirhodium(II,II) Complexes

Dirhodium ( $Rh^{II}-Rh^{II}$ ) complexes have also attracted attention as photoactivatable species,<sup>71,113</sup> although there have been few studies on this aspect of their behavior. The complex **180**, reported by Turro and co-workers, has an absorption maximum at 525 nm ( $\epsilon_{max} = 218 M^{-1} cm^{-1}$ ; Scheme 63; Figure 19) in acetonitrile, which was attributed to a  $Rh_2(\pi^*) \rightarrow Rh_2(\sigma^*)$  transition on the basis of TD-DFT calculations.<sup>880</sup> This compound selectively exchanges its axial  $CH_3CN$  ligands with  $H_2O$  in aqueous solutions in the dark. Upon irradiation of the product with visible light, two equatorial  $CH_3CN$  ligands dissociate and are replaced with water to give three different isomers of  $cis-[Rh_2(\mu-O_2CCH_3)_2(CH_3CN)_2(H_2O)_4]^{2+}$ , causing a slight bathochromic shift of the absorption maximum. The liberation quantum yields depended on the irradiation wavelength:  $\Phi_{355 nm} = 0.37$  and  $\Phi_{509 nm} = 0.09$ . Irradiation of **180** in water in the presence of 2,2'-bipyridine or 9-ethylguanine led to the coordination of these ligands to the dirhodium core. Similar results were obtained with  $cis-[Rh_2(HN(O)CCH_3)_2(CH_3CN)_6]^{2+}$ , which releases two molecules of acetonitrile upon irradiation at >495 nm to form bis-aqua products,<sup>1035</sup> and with  $[Rh_2(O_2CCH_3)_2(CH_3CN)_6]^{2+}$ , which releases its axial  $CH_3CN$  ligands upon irradiation at >455 nm.<sup>1036</sup>

The 1,10-phenanthroline complex **181** was shown by Turro and Dunbar to release two equatorial  $CH_3CN$  ligands in water upon irradiation with visible light ( $\lambda_{irr} > 590 nm$ ), whereas mononuclear radical  $Rh^{II}$  fragments were formed upon homolytic photocleavage of the metal–metal bond (Figure 26).<sup>1037</sup> Remarkably, the release quantum yield measured upon irradiation at 550 nm exceeded unity ( $\Phi_r = 1.38$ ), suggesting that a dark release follows the initial photoreaction. Another photoactivatable dirhodium complex, **182**, bearing a benzo[*i*]-dipyridoquinoxaline ligand (Figure 26) was designed to serve

Scheme 63. Dirhodium ( $Rh^{II}-Rh^{II}$ ) Complex as a PPG<sup>880</sup>



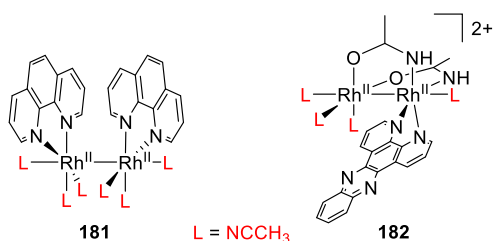
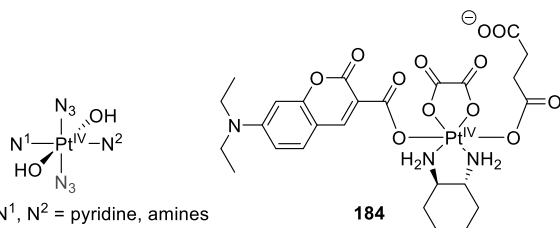


Figure 26. Dirhodium ( $\text{Rh}^{\text{II}}-\text{Rh}^{\text{II}}$ ) complexes **181**<sup>1037</sup> and **182**.<sup>1038</sup>

as a DNA-intercalating singlet oxygen generator ( $\Phi_{\Delta} = 0.22$  at 477 nm) thanks to its low-lying dppn-centered  $^3\pi,\pi^*$  state.<sup>1038</sup> Upon irradiation in water, acetonitrile is released from this compound and replaced by  $\text{H}_2\text{O}$  as a ligand ( $\Phi_r = 0.0033$  at 450 nm).

### 3.5. Photochemistry of Pt-, Co-, and Fe-Containing Organometallic Complexes

Usually unreactive  $\text{Pt}^{\text{IV}}$  prodrugs are important anticancer compounds<sup>1039</sup> that are designed to be converted into toxic  $\text{Pt}^{\text{II}}$  species *in vivo* by reducing agents such as ascorbic acid.<sup>1040</sup> Well-known  $\text{Pt}^{\text{II}}$  drugs such as cisplatin and carboplatin have very narrow therapeutic indexes, so there is great interest in their controlled photochemical production in target tissues. Several visible-light absorbing photoactivatable  $\text{Pt}^{\text{IV}}$  complexes with the general structure *trans,trans,trans*- $[\text{Pt}(\text{N}_3)_2(\text{OH})_2(\text{N}^1)(\text{N}^2)]$  (**183**,  $\text{N}^1$ ,  $\text{N}^2$  = pyridine or amines; Figure 27) were studied by Sadler and co-workers and shown



**183**:  $\text{N}^1$ ,  $\text{N}^2$  = pyridine, amines

Figure 27.  $\text{Pt}^{\text{IV}}$  complexes as PPGs.

to be cytotoxic to cancer cells upon irradiation with blue light.<sup>1041–1044</sup> Compounds **183** do not liberate pyridine or amines upon excitation but do exhibit Pt– $\text{N}_3$  bond elongation, eventually leading to the release of azidyl radicals.<sup>1041</sup> This

concept was also used in the design of a photoactivatable dopamine-conjugated  $\text{Pt}^{\text{IV}}$  anticancer complex that was incorporated into borate hydrogels,<sup>1045</sup> as well as  $\text{Pt}^{\text{IV}}$  triazolato azido complexes that photorelease  $\text{Pt}^{\text{IV}}$  and  $\text{Pt}^{\text{II}}$  5'-guanosine monophosphate species.<sup>1046</sup> Additionally, the oxaliplatin-based photocaged  $\text{Pt}^{\text{IV}}$  prodrug coumaplatin (**184**), was shown to release an axial ligand upon irradiation at 450 nm, forming a cationic  $\text{Pt}^{\text{IV}}$  intermediate that oxidizes water and generates oxygen under biological conditions.<sup>1047</sup>

Chakravarty and co-workers showed that curcumin (**185**, Figure 28), a compound with significant antioxidant, anti-inflammatory, antiseptic, and anticancer activities,<sup>59</sup> can form photoactivatable  $\text{Pt}^{\text{II}}$  complexes.<sup>1048–1050</sup> For example,  $[\text{Pt}(\text{NH}_3)_2(\text{cur})](\text{NO}_3)$  (**186**, cur = curcumin) exhibits a strong absorption band with a  $\lambda_{\text{max}}^{\text{abs}}$  of  $\sim 430$  nm and releases two anticancer agents, curcumin and a cisplatin analog (which crosslinks DNA), upon irradiation with visible light.<sup>1048</sup> The analogous  $[\text{Pt}(\text{en})(\text{cur})](\text{NO}_3)$  and  $[\text{Pt}(\text{dach})(\text{cur})](\text{NO}_3)$  (**187**, en = ethylenediamine, dach = 1*R*,2*R*-(–)-1,2-diaminocyclohexane) complexes also liberated curcumin under similar conditions.<sup>1049</sup> The use of a photosensitizer as a ligand (see also section 6) can lead to dual photochemotherapeutic effects. This was demonstrated using  $[\text{Pt}(\text{L})(\text{R-BODIPY})]\text{Cl}$  complexes, where R-BODIPY is a distyryl-BODIPY derivative (sensitizer) and L are different terpyridine ligands. Irradiation of these species with red light (600–720 nm) caused both singlet oxygen production and the release of photoactive BODIPY ligands, resulting in appreciable photocytotoxicity.<sup>1051</sup> Similarly, platinum(II) ferrocenylterpyridine (Fc-tpy) complexes  $[\text{Pt}(\text{Fc-tpy})(\text{L})]\text{Cl}$  (L = a biotin-containing ligand) released their biotinylated ligands upon irradiation with red light (647 nm) because of the photosensitizing behavior of the Fc-tpy ligand.<sup>1052</sup> Finally, the very interesting heptamethine cyanine-based  $\text{Pt}^{\text{II}}$  complex **188** (Figure 28) was reported to undergo Pt–O bond scission and to generate singlet oxygen upon irradiation with near-IR light.<sup>1053</sup>

Unlike  $\text{Ru}^{\text{II}}$  and  $\text{Pt}^{\text{IV}}$  complexes,  $\text{Co}^{\text{III}}$  complexes (see also section 3.1) usually have very weak absorption bands in the visible region.<sup>875</sup> Therefore, strongly absorbing ligands that can photoreduce the  $\text{Co}^{\text{III}}$  ion to induce ligand release have been developed. The first reported complex of this type was the  $\text{Ru}^{\text{II}}-\text{Co}^{\text{III}}$  heterodinuclear species **189** (Figure 29), which has an absorption maximum close to 400 nm.<sup>1054</sup> Upon irradiation with visible light, the  $\text{Ru}^{\text{II}}$  moiety probably transfers an electron

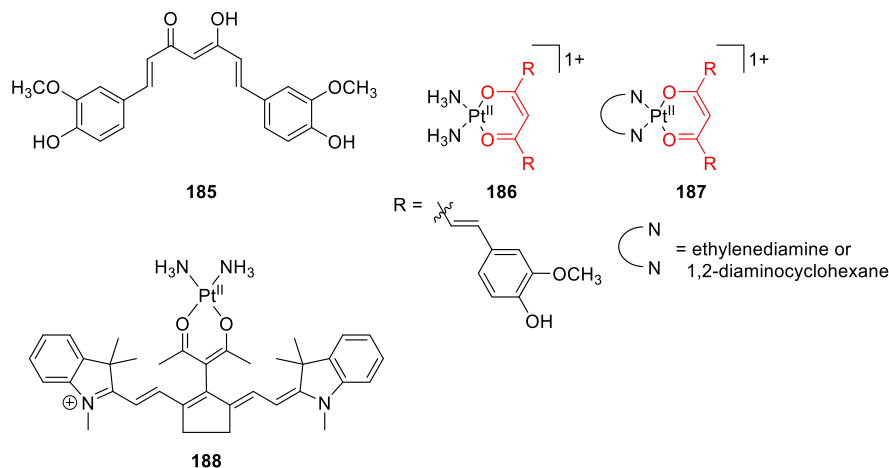
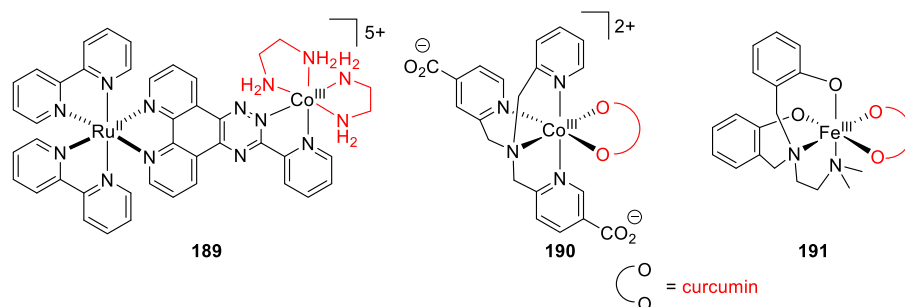


Figure 28. Photoactivatable  $\text{Pt}^{\text{II}}$  complexes.



**Figure 29.** Ru<sup>II</sup>–Co<sup>III</sup>, Co<sup>III</sup>, and Fe<sup>III</sup> complexes.

to the Co<sup>III</sup> complex to produce a Co<sup>II</sup> species with concomitant release of the ethylenediamine ligands. Renfrew and co-workers reported the release of curcumin from Co<sup>III</sup> curcumin complexes such as **190** (Figure 29, Figure 19).<sup>881</sup> This complex absorbs at  $\lambda_{\text{max}}^{\text{abs}} = 451$  nm, and the authors hypothesized that irradiation at 520 nm causes electron transfer from curcumin to the cobalt ion. The photodegradation quantum yield for this compound was found to be 0.01. A similar strategy was demonstrated using ternary Co<sup>III</sup> complexes of mitocurcumin (a water-soluble curcumin derivative) bearing a tetradentate phenolate-based ligand.<sup>1055</sup> Mitocurcumin was released upon irradiation with visible light and was shown to act as a phototoxin that generated reactive oxygen species in cells.

The analogous charge-neutral Fe<sup>III</sup> complex **191** (Figure 29) and two other high-spin iron complexes reportedly released curcumin upon irradiation with visible light, and thus exhibited cytotoxicity in multiple cell lines.<sup>1056</sup> In addition, Fe<sup>III</sup>–polysaccharide hydrogels were found to be visible-light (405 nm) responsive because of the photoreduction of the Fe<sup>III</sup> ions to Fe<sup>II</sup>, which rendered the Fe complexes incapable of functioning as cross-linkers for the polymer.<sup>1057</sup>

#### 4. PHOTORELEASE OF GASOTRANSMITTERS

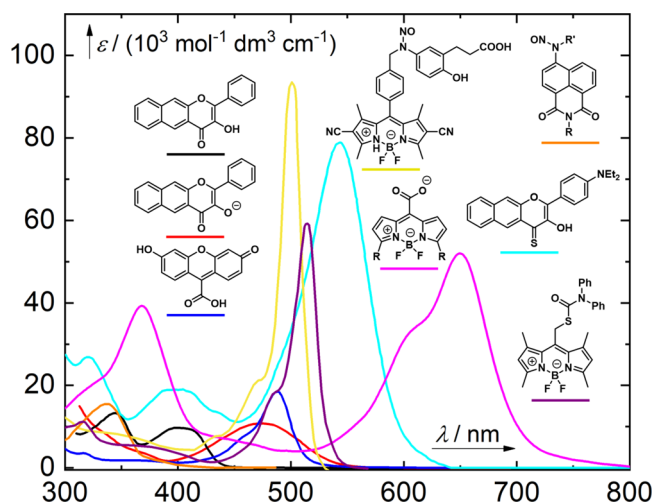
Gasotransmitters are small gaseous endogenously-produced signaling molecules that are involved in the control of a vast array of physiological processes in the cardiovascular, nervous, gastrointestinal, excretory, and immune systems as well as cellular functions including apoptosis, proliferation, inflammation, metabolism, oxygen sensing, and gene transcription.<sup>1058</sup> The most important gasotransmitters identified to date are nitric oxide (NO), carbon monoxide (CO), and hydrogen sulfide (H<sub>2</sub>S). These small molecules are freely permeable through membranes and are perceived without cognate receptors.<sup>1059</sup> Their molecular targets can be divided into two groups. The first are metal-containing prosthetic groups of proteins that form coordination complexes with CO and NO; examples include heme-imidazoles (as in hemoglobin and cytochrome c oxidase), thiolated hemes (as in cytochromes P450), and non-heme iron complexes (as in prolyl hydroxylase and superoxide dismutase). The second group consists of organic thiols, which can be nitrosylated by NO and sulfhydrated by H<sub>2</sub>S.<sup>1058</sup> Gasotransmitter perception can induce diverse macroscopic biological responses, many of which are therapeutically relevant such as vasodilation,<sup>1060,1061</sup> protection of tissues against hypoxia,<sup>1062</sup> anti-inflammatory processes,<sup>1063</sup> wound healing,<sup>1064,1065</sup> platelet aggregation inhibition,<sup>1066</sup> postsynaptic plasticity augmentation, and hormone secretion.<sup>1067</sup> The simplest method of administering gasotransmitters is by direct inhalation of small

quantities of the gaseous species. While this approach induces therapeutic effects in some contexts,<sup>1068,1069</sup> its usefulness is limited by narrow pharmaceutical windows and it requires precise control of the gasotransmitter's concentration, which is very difficult to achieve.<sup>1070</sup> Consequently, there is considerable interest in the development of gasotransmitter-releasing molecules.<sup>80,82,94</sup>

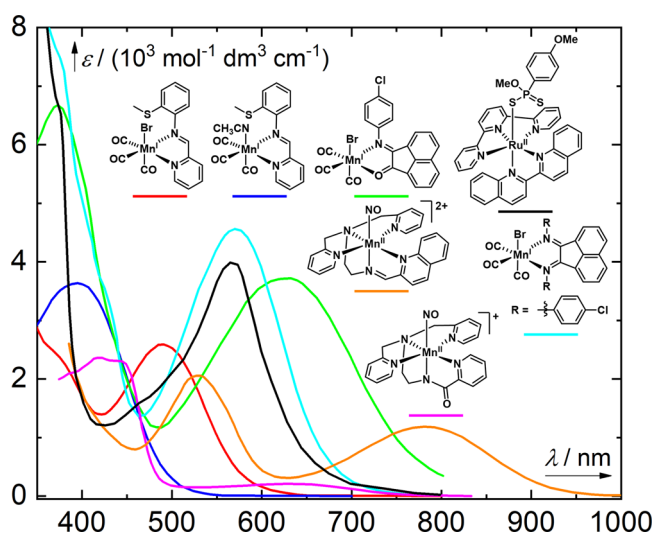
Most known gasotransmitter-releasing molecules are based on metal complexes that release a weakly bound gasotransmitter ligand via simple hydrolytic ligand exchange upon dissolution in aqueous media. Such complexes are prone to rapid initial releases of the bound gasotransmitter prior to administration to the target organism but do not allow for precise control over the release. Enzymatically triggered reactions that offer more controlled release profiles have also been demonstrated.<sup>1071</sup> An alternative activation strategy that enables precise spatial and temporal control over gasotransmitter release is to use photochemically activatable gasotransmitter-releasing molecules such as photoactivatable CO-releasing moieties (photoCORMs) or photoactivatable nitric oxide-releasing moieties (photoNORMs).<sup>77–114</sup> In therapeutic applications, organic (transition-metal-free) photoCORMs can have important advantages over metal carbonyl complexes such as more favorable biodistribution and lower toxicity.<sup>80–82</sup> Because of their distinct physicochemical properties (which typically include small size or neutral charge), the photorelease of gasotransmitters requires unique and highly specific strategies that may differ appreciably from those used for photorelease of larger ligands. Therefore, we present this research area in its own section. Research on photochemically activatable gasotransmitter-releasing molecules has advanced rapidly in the past decade, and many reviews are available.<sup>49,80–102,1072–1074</sup> This section focuses solely on visible-light-absorbing photorelease systems. Figure 30 shows the absorption spectra of selected transition-metal-free molecules that release gasotransmitters upon excitation with visible or NIR light, and Figure 31 shows the absorption spectra of some transition metal complexes with such activity.

##### 4.1. Release of Carbon Monoxide

**4.1.1. Transition-Metal-Free PhotoCORMs.** The lowest excited state of simple ketones and aldehydes corresponds to the excitation of an electron from the n lone pair to the  $\pi^*$  molecular orbital.<sup>136</sup> n, $\pi^*$ -Transitions are generally weak and often hidden by the red tail of a stronger  $\pi,\pi^*$ -absorption. Homolytic cleavage of the  $\alpha$ -bond in ketones ( $\alpha$ -cleavage; Norrish type I reaction) often results in decarbonylation, that is, carbon monoxide (CO) release. Therefore, carbonyl compounds have been widely used as photoCORMs.<sup>80,82</sup>



**Figure 30.** Representative absorption spectra of transition-metal-free molecules that photorelease CO, NO, and H<sub>2</sub>S. Black,<sup>1075</sup> red,<sup>1075</sup> cyan,<sup>1076</sup> lines, flavonol-based photoCORMs (section 4.1.1); blue line, a xanthene-based photoCORM (section 4.1.1);<sup>763</sup> magenta line, a BODIPY-based photoCORM (R = a styryl group; section 4.1.1);<sup>847</sup> yellow line, a BODIPY-based photoNORM (section 4.2.1);<sup>1077</sup> orange line, a naphthalimide-based photoNORM (section 4.2.1);<sup>1078</sup> violet line, a BODIPY-based H<sub>2</sub>S releasing molecule (section 4.3).<sup>799</sup>

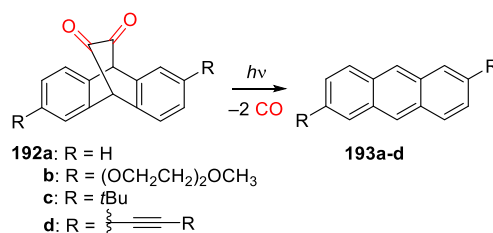


**Figure 31.** Representative spectra of transition-metal complexes photoreleasing CO, NO, and H<sub>2</sub>S. Red,<sup>1079</sup> blue,<sup>1079</sup> green,<sup>1080</sup> cyan<sup>1080</sup> lines, Mn<sup>II</sup> tricarbonyl photoCORMs (section 4.1.2); magenta<sup>1081</sup> and orange<sup>1082</sup> lines, Mn<sup>II</sup> photoNORMs (section 4.2.2); black line, a Ru<sup>II</sup>-based H<sub>2</sub>S releasing complex (section 4.3).<sup>1083</sup>

The release of CO from prototypical aliphatic acyclic and especially small cyclic ketones by radical decarbonylation occurs only at the edge of the vacuum UV range (e.g.,  $\lambda_{\text{irr}} = 193$  nm for 3-cyclopentenone).<sup>1084</sup> However, an extension of the ketone  $\pi$ -system results in bathochromic shifts of their absorption maxima.<sup>136</sup> 1,2-Dicarbonyl compounds (which typically absorb above 300 nm) can also be photolyzed to produce CO.<sup>1085</sup> Irradiation of bicyclo[2.2.2]octane-2,3-dione **192a** in toluene at the edge of the visible region ( $395 \pm 25$  nm) resulted in the formation of aromatic side-products **193a–f** and the release of two equivalents of CO ( $\Phi_{\text{r}} = 0.02$ ,

$\epsilon\Phi_{\text{CO}} = 6$  at 395 nm) (Scheme 64).<sup>1085</sup> 1,2-Diketone **192a** was used as an additive in poly( $\epsilon$ -caprolactone) electrospun

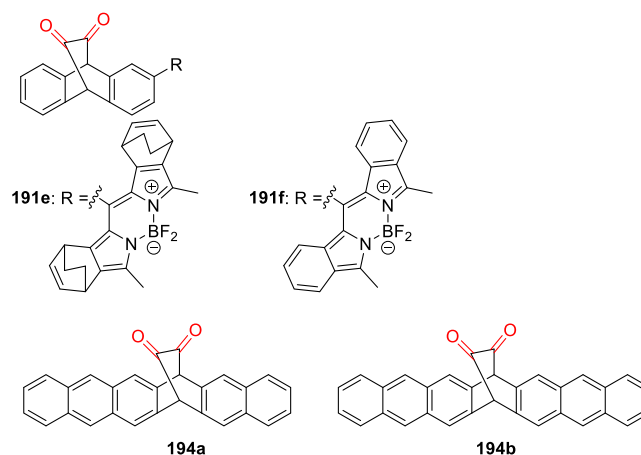
#### Scheme 64. 1,2-Diketones as PhotoCORMs<sup>1085–1087</sup>



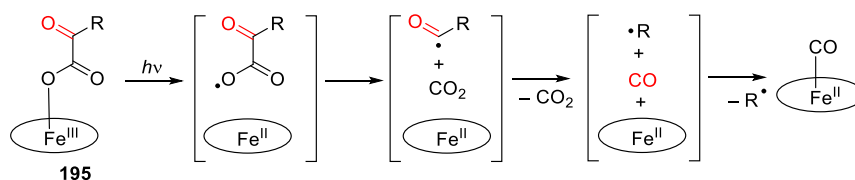
scaffolds designed for vascular tissue engineering<sup>1086</sup> and was shown to release CO upon irradiation at  $\lambda_{\text{irr}} = 470$  nm in this environment.<sup>1086,1087</sup> To increase the hydrophilicity of the bicyclo[2.2.2]octane-2,3-dione scaffold, a derivative substituted with oligo-(ethylene)glycol side chains (**192b**) was prepared.<sup>1087</sup> However, **192b** did not release CO when dissolved in a water/DMSO (99:1) mixture due to hydration of the carbonyl groups. This was circumvented by encapsulating **192b** in micelles of Pluronic F127, a biocompatible block copolymer of poly(ethylene oxide) and polypropylene oxide. The encapsulated compound efficiently released CO upon irradiation at 470 nm, and the system was successfully used *in vitro*.<sup>1087</sup>

Liao and co-workers recently overcame the hydration-induced deactivation of photoactivity in bicyclo[2.2.2]octane-2,3-dione by preparing derivative **192c**, which carries *t*-butyl substituents (Scheme 64) that sterically hinder hydrate formation.<sup>1088</sup> This compound was incorporated into poly-(butyl cyanoacrylate) nanoparticles and used as a tissue adhesive with possible applications in CO delivery to the brain. Additionally, Raymo and co-workers have designed an autocatalytic reaction based on the photoinduced decarbonylation ( $\lambda_{\text{irr}} = 420$  nm) of **192a** and **192d**, which is sensitized by its own photoproducts, the anthracene derivatives **193**. The quantum yields of decarbonylation for **192a** and **192d** were 0.20 and 0.50, respectively.<sup>1089</sup>

The group of Yamada substituted the bicyclo[2.2.2]octane-2,3-dione scaffold with BODIPY antennas to obtain **192e** and **192f** (Figure 32).<sup>1090</sup> These compounds have a major absorption maximum in the green-to-yellow region ( $\lambda_{\text{max}}^{\text{abs}} = 534$  nm for **192e** and  $\lambda_{\text{max}}^{\text{abs}} = 605$  nm for **192f**) and release CO



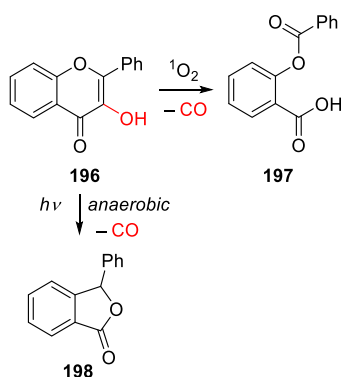
**Figure 32.** 1,2-Dicarbonyl PhotoCORMs.

Scheme 65. Photolysis of 2-Ketocarboxylic Acids (R = *i*Pr, Ph)<sup>1093</sup>

upon irradiation at 450 nm via initial photoinduced electron transfer from the BODIPY moiety to the 1,2-diketone functionality. Moreover, **192e** releases 2 equiv of ethylene via a thermal process that occurs upon heating to 220 °C. The release of CO and ethylene are thus orthogonal and can be performed sequentially. Unfortunately, **192e** and **192f** are insoluble in polar media, which limits their biological applications. Diketones **194a** and **194b** (Figure 32) decarboxylate upon irradiation at  $\lambda_{\text{irr}} = 395 \pm 25$  nm, but their aromatic photoproducts (hexacene and heptacene, respectively) do not accumulate in the reaction system due to their fast oxidation and dimerization.<sup>1085,1091,1092</sup>

2-Ketocarboxylic acids can also be used as photoCORMs. Visible light irradiation (>390 nm) of the tetra-(2-*N*-methylpyridyl)porphyrin-Fe<sup>III</sup> complex with 2-ketocarboxylic acid **195** led to photoinduced electron transfer from the carboxylate anion to the central metal ion, yielding an Fe<sup>II</sup> complex and a carboxyl radical (Scheme 65)<sup>1093</sup> that underwent simultaneous decarboxylation and decarbonylation. The released CO was then efficiently trapped by the Fe<sup>II</sup>-porphyrin complex in the solvent cage.

Flavonol or 3-hydroxyflavone (3-hydroxy-2-phenylchromen-4-one; **196**, Scheme 66) belongs to the family of flavonoids,

Scheme 66. Photodecarbonylation of 3-Hydroxyflavone<sup>1096</sup>

well-known natural antioxidants<sup>1094,1095</sup> that have been recognized as CO-releasing molecules. Unsubstituted flavonols absorb only in the UV region and, thanks to their biological relevance, the photodecomposition mechanism responsible for the resulting CO release has been studied since the 1960s. The photosensitized oxygenation of **196** by singlet oxygen generated photochemically *in situ* was reported in the seminal work of Matsuura and co-workers,<sup>1096</sup> who showed that it results in the formation of CO together with *o*-benzoyl salicylic acid **197** as a side-photoproduct. The reaction was suggested to proceed via an endoperoxide intermediate. In the absence of oxygen, 3-hydroxyflavone rearranges into the 3-arylpthalide derivative **198** with concomitant CO liberation. The 3-hydroxy group was found to be essential for this reaction because the analogous 3-methoxyflavone derivative is photostable. These

mechanistic pathways were later studied in detail.<sup>1097–1100</sup> Kubinyi and co-workers introduced the push-pull substituted 4'-diethylamino-3-hydroxyflavone and its Mg<sup>II</sup> complex.<sup>1101</sup> However, despite the ESIPT character of this compound and its absorption in the visible part of the spectrum, only UV-light-initiated CO release was studied. The photochemistry of flavonol-based CORMs was recently reviewed.<sup>92</sup>

Flavonols are excellent ligands for d-block elements; complexation of metal cations with flavonolate anions causes bathochromic shifts of their lowest energy absorption bands into the visible part of the spectrum and also increases their molar absorption coefficients in some cases.<sup>1099</sup> Because of their biological relevance in plant metabolism and occurrence in soil,<sup>1102</sup> the photochemistry of these metal complexes has been studied in detail. The photoreactivity of Pb<sup>II</sup> and Al<sup>III</sup> flavonolate complexes is suppressed, whereas Zn<sup>II</sup> complexes exhibit similar reactivity to free 3-hydroxyflavone.<sup>1099</sup> The flavonolate complex [(6-R<sub>2</sub>TPA)Zn(3-Hfl)]ClO<sub>4</sub> (TPA = tris(2-pyridylmethyl)amine) **199** (Figure 33),<sup>1103</sup> Zn<sup>II</sup> complexes bearing tetradentate tripodal nitrogen donor ligands and flavonol derivatives **200** or **201**,<sup>1104</sup> and the bipyridine-ligated Zn<sup>II</sup> complex **202** with a bridging flavonolate ligand<sup>1105</sup> all

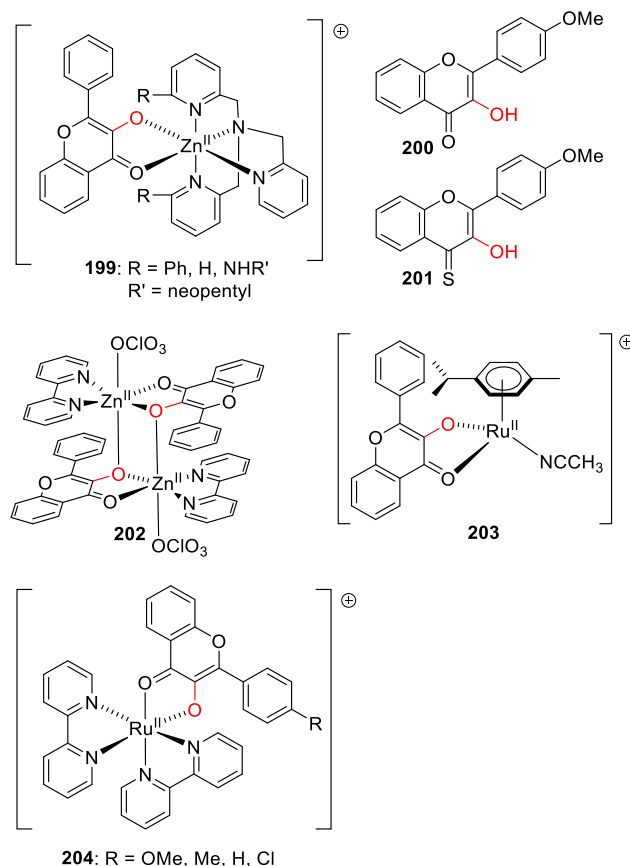


Figure 33. Metal flavonolate complexes and their ligands.

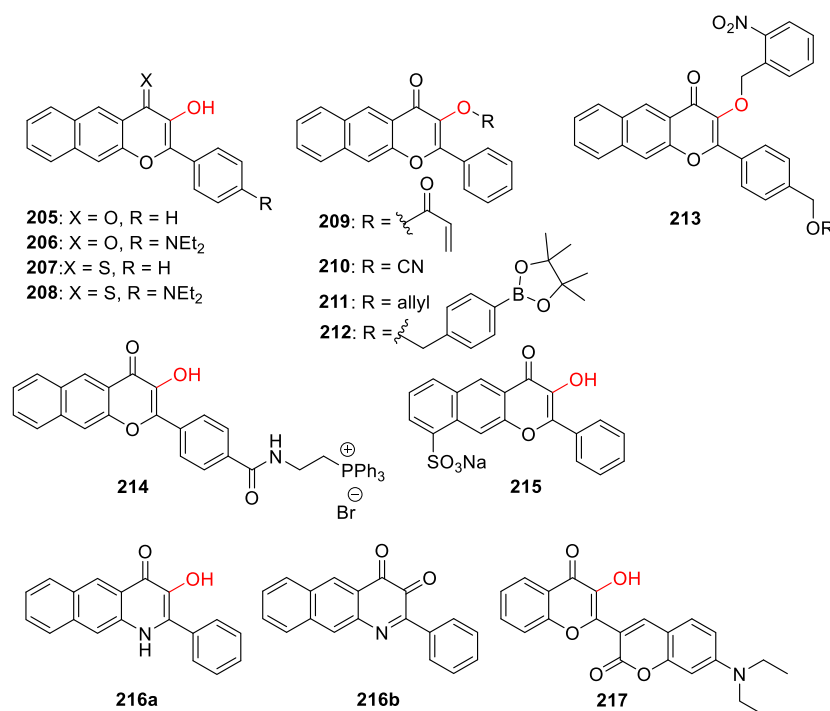
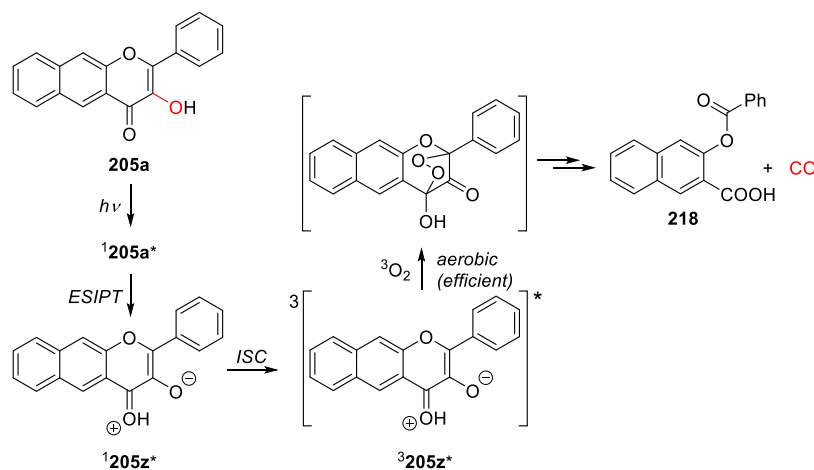


Figure 34.  $\pi$ -Extended flavonol derivatives.

Scheme 67. Photochemistry of Conjugate Acid 205a<sup>1075</sup>



released CO upon irradiation at  $\lambda_{\text{irr}} > 400$  nm. In addition, the Ru<sup>II</sup> cymene complex **203** released CO upon irradiation at either 300 or 419 nm.<sup>1106</sup> Additionally, Farmer and co-workers synthesized and characterized a series of Ru<sup>II</sup> bipyridine-substituted flavonolato complexes **204**,<sup>1107,1108</sup> and studied the mechanism of their photooxygenation by <sup>1</sup>O<sub>2</sub> at low temperatures. Their results suggest that this process occurs via 1,2- or 1,3-addition to the flavonol core.

The  $\pi$ -extended 3-hydroxyflavone **205** (Figure 34) and its derivatives absorb in the visible region.<sup>1076,1109</sup> Displaying photochemistry similar to that of **196**, Berreau and co-workers have demonstrated that 1 equiv of CO is photoreleased from **205**. The reported quantum yield of CO release for **205** ( $\Phi_r = 0.007$ ,  $\lambda_{\text{irr}} = 419$  nm) in DMSO/aqueous buffer (1:1, v/v, pH = 7.4) increased by a factor of 2 upon complexation with Zn<sup>II</sup> but was reduced by an order of magnitude upon binding to bovine serum albumin.<sup>1110</sup> The 4'-diethylamino-substituted **206** exhibits bathochromically shifted absorption ( $\lambda_{\text{max}}^{\text{abs}} = 442$

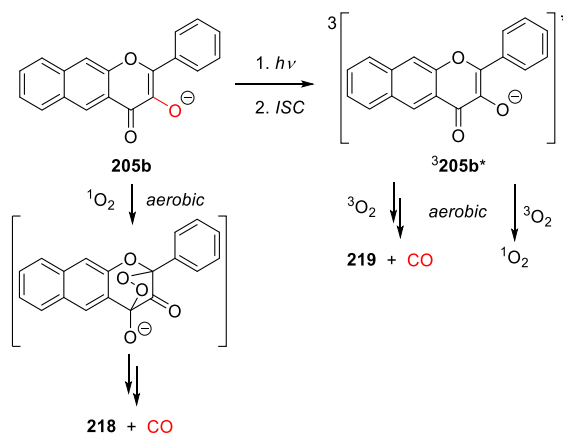
nm) but with an unchanged photochemical efficiency of CO release.<sup>1076</sup> The structure of **205** was further modified to obtain 4-flavonothione analog **207** and the 4'-diethylamino derivative **208**, which have bathochromically shifted absorption bands (Figure 30).<sup>1076</sup> Compound **207** also had a higher quantum yield of CO release than **205** ( $\Phi_r = 0.4$  at 419 nm). Because a free 3-hydroxy group was found to be essential for the photoreactivity of **205**, its substitution with a protecting group sensitive to an external trigger allowed Berreau and co-workers to construct a series of RS<sup>-</sup> co-triggered “AND logic gates” that release CO only in the simultaneous presence of oxygen, light, and a thiol. An acryloyl-protected derivative **209**<sup>1111,1112</sup> was activated by thiols including cysteine, while the cyanate-substituted compound **210** proved suitable for intracellular H<sub>2</sub>S sensing.<sup>1111</sup> When combined with PdCl<sub>2</sub>, the allyl-protected flavonol derivative **211** was shown to act as an OFF-ON fluorescent CO sensor that replenishes the CO consumed during detection.<sup>1113</sup> A similar approach was used



by Tang and co-workers, who designed the hydrogen peroxide-sensitive compound **212**.<sup>1114</sup> Oxidation of this compound's pinacol boronate ester by hydrogen peroxide liberates free **205**, which can subsequently photorelease CO. The 2-nitrobenzyl-protected flavonol **213** was used by Hu and co-workers to prepare CO-releasing micelles that undergo a tandem photochemical reaction in which 2-nitrobenzyl deprotection is followed by CO release, accompanied by a dual fluorescence response.<sup>1115,1116</sup> Flavonol derivatives substituted with polar groups such as triphenylphosphonium (**214**) were found to target mitochondria and affect cellular bioenergetics.<sup>1117</sup> However, the sulfonated analog **215** did not penetrate through the cell membrane and thus enabled extracellular CO release.<sup>1118</sup> Both derivatives had photochemical properties similar to **205**, allowing Berreau and co-workers to compare the effects of extracellular (**215**), cytosolic (**205**), and mitochondrial-localized (**214**) photoinduced CO release.<sup>1117,1118</sup> The 3-hydroxybenzo[*g*]quinolone derivative **216a** releases one equivalent of CO upon illumination at 465 nm under physiological conditions.<sup>1119</sup> **216b**, an oxidized form of **216a**, is photochemically stable and can act as a prodrug that is activated by thiol-mediated reduction *in vivo*.<sup>1119</sup> The group of Feng recently introduced a coumarin-flavone hybrid **217** that combines the excellent absorption properties of the 7-(diethylamino)coumarinyl moiety with the CO-releasing ability of 3-hydroxyflavone.<sup>1120</sup> Upon irradiation at 460 nm, the excited coumarinyl moiety transfers energy to the fluorescent flavone CO-releasing group. Following CO release, the molecule is transformed into a coumarinyl-substituted salicylic acid derivative with fluorescence similar to that of free 7-diethylaminocoumarin.

The mechanism of the aerobic photodecarbonylation of **205** was the subject of several investigations.<sup>1109,1110</sup> Like its parent flavonol **196**,<sup>1110,1121,1122</sup> the visible-light absorbing **205** exists in both acid (**205a**) and base (**205b**) forms (Schemes 67 and 68; Figure 30).<sup>1123</sup> Klán and co-workers showed that the

#### Scheme 68. Photochemistry of the Conjugate Base **205b**<sup>1075</sup>



singlet excited state of **205a** undergoes rapid excited-state intramolecular proton transfer (ESIPT) in methanol to give  $^1 205z^*$  ( $z = \text{zwitterion}$ ), which intersystem crosses to the triplet  $^3 205z^*$ . The triplet then reacts with ground-state oxygen, possibly via an endoperoxide intermediate, to release CO ( $\Phi_r = 0.031$ ; Scheme 67).<sup>1075</sup> The conjugate base **205b** releases CO via an oxygenation reaction with singlet oxygen formed by the sensitizing action of the triplet  $^3 205b^*$  ( $\Phi_\Delta =$

$0.07$ ;  $\Phi_r = 0.018$ ), and partially via oxidation with  $^3 O_2$  ( $\Phi_r = 0.003$ ; Scheme 68). There are thus three major orthogonal pathways of CO release. In addition, both forms undergo a very inefficient photorearrangement to release CO in the absence of oxygen. An isotopic labeling study with  $^{18} O_2$  revealed that the photoproduct **218** exclusively incorporates  $^{18} O$  atoms.<sup>1076</sup>

Stacko, Klán, and co-workers developed a new class of transition-metal-free photoCORMs by fusing two CO-releasing flavonol moieties with a heptamethine cyanine chromophore (**219a,b**, Figure 35).<sup>1124</sup> The resulting hybrids

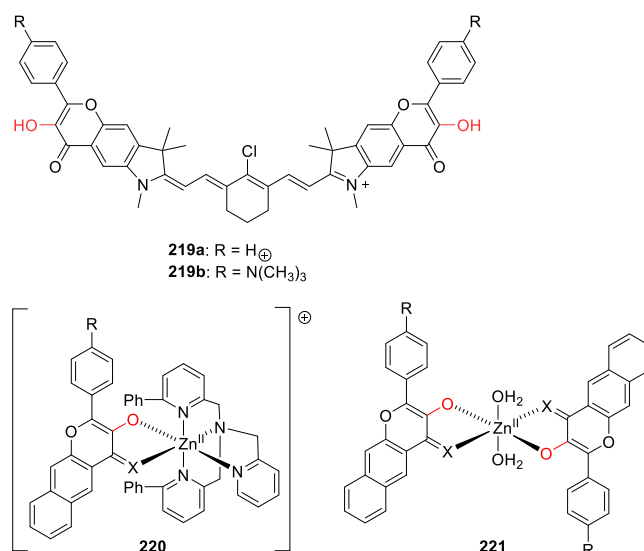


Figure 35. Cyanine-flavonol hybrid **219**<sup>1124</sup> and Zn<sup>II</sup> complexes **220** and **221**.

released CO in high chemical yields of  $\sim 130\%$  (in principle, 2 equiv of CO can be liberated) upon activation with NIR light of up to 820 nm, with excellent uncaging cross sections ( $\Phi_r \epsilon(\lambda_{793 \text{ nm}}) = 75$  for **219b**). The biocompatibility and applicability of these systems *in vitro* and *in vivo* were also demonstrated.

Complexation of **205**, **207**, and **208** with  $[Zn^{II}(\text{Ph}_2\text{TPA})]^{2+}$  (TPA = tris(2-pyridylmethyl)amine) in **220** (Figure 35) bathochromically shifts their absorption bands by 60–80 nm (e. g.,  $\lambda_{\text{max}}^{\text{abs}} = 600 \text{ nm}$  for **220**, X = S, R = NEt<sub>2</sub>) and increases the CO release quantum yield to almost unity ( $\Phi_r = 0.95$  for both **220**, X = S, R = H, and **220**, X = S, R = NEt<sub>2</sub>).<sup>1125,1126</sup>

One equivalent of CO is always released upon irradiation, and the complexes are active in both the solution and solid phases. In the absence of the TPA ligand, bis-flavonolato-Zn<sup>II</sup> complexes **221** are formed. These compounds have even more bathochromically shifted absorption spectra (by  $\sim 10 \text{ nm}$ ) and can release, in principle, 2 equiv of CO originating from the two flavonolato ligands upon irradiation at  $>545 \text{ nm}$ .<sup>1125</sup>

Cyclopropenones are strained systems that liberate CO upon irradiation by UV light.<sup>1127</sup> Most 2,3-alkylcyclopropenones absorb only in the deep UV-region, but their absorption band can be bathochromically shifted by substitution, for example, 2,3-bis(4-methoxyphenyl)-cyclopropenone **222** has a  $\lambda_{\text{max}}^{\text{abs}}$  of 340 nm (Figure 36).<sup>1127</sup> 2,3-Bis-naphthyl-cyclopropenone derivatives **223** have an absorption tail in the range of 400–440 nm, but 1P absorption leading to decarbonylation occurs only under illumination with UV light

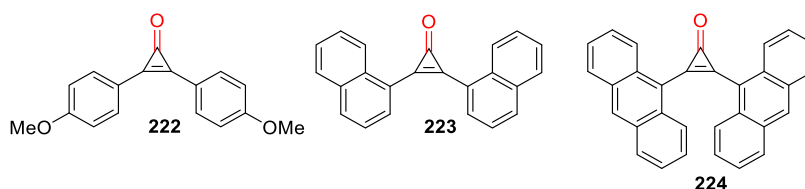


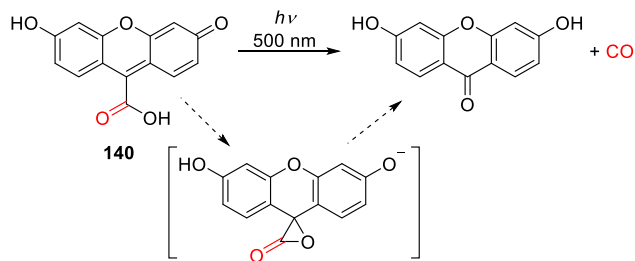
Figure 36. Cyclopropenone photoCORMs.

( $\lambda_{\text{irr}} = 350\text{--}380\text{ nm}$ ).<sup>1128</sup> However, they also efficiently decarbonylate upon non-resonant two-photon absorption at 800 nm. Unfortunately, their strong  $\pi$ -stacking makes them poorly soluble in polar protic solvents, which often limits their usefulness as photoCORMs.

The doubly 9-anthryl substituted cyclopropenone **224** absorbs in the visible region ( $\lambda_{\text{max}}^{\text{abs}} = 465\text{ nm}$ ), although excitation at these wavelengths does not induce decarbonylation.<sup>1129,1130</sup> The lowest absorption band of this compound corresponds to an intramolecular excimer of the 9-anthryl substituents and does not weaken the C–C bonds in the cyclopropenone moiety. Derivative **224** thus releases CO only upon excitation with shorter wavelength light ( $\lambda_{\text{irr}} = 366\text{ nm}$ ) due to a very fast adiabatic reaction from an upper excited state that is largely localized in the cyclopropenone chromophore.<sup>1131</sup>

Klán and co-workers discovered that xanthene-based carboxylic acid **140**, isolated as a product from the photo-reaction of **139** (Scheme 42, section 2.11), can release carbon monoxide via the triplet-excited state with  $\Phi_{\text{r}} = 6.8 \times 10^{-4}$  in aqueous solutions of pH 7.4 upon irradiation at 500 nm (Scheme 69).<sup>763</sup> A 6-fold increase in the quantum yield was

#### Scheme 69. Photochemistry of photoCORM **140**<sup>763</sup>

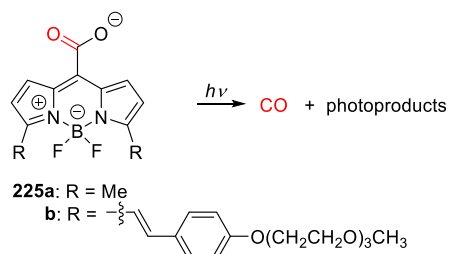


obtained at pH 5.7; under these conditions, **140** and its conjugate base exhibit equal absorbance at the irradiation wavelength (Figure 30). The photoreaction cross section  $\Phi_{\text{r}}\epsilon(\lambda_{\text{irr}})$  for **140** was on the order of  $10\text{ M}^{-1}\text{ cm}^{-1}$  at  $\lambda_{\text{irr}} \sim 500$

nm and pH 7.0 (Table 13). Irradiation of **140** at 503 nm in the presence of non-complexed methemoglobin (MetHb, Fe<sup>II</sup>) in aqueous solution led to the formation of carbonylhemoglobin (COHb). Studies on isotopically labeled **140** (C<sup>18</sup>O<sub>2</sub>H) and DFT calculations suggested that an  $\alpha$ -lactone intermediate is formed upon irradiation (via a mechanism analogous to that shown for BODIPY-based photoCORMs, *vide infra*), which subsequently thermally decarbonylates to release CO<sup>847,1132,1133</sup> and form 3,6-dihydroxy-9H-xanthen-9-one as a photoproduct.<sup>763</sup>

Klán and co-workers also introduced two organic photoCORMs<sup>10,80</sup> based on the BODIPY chromophore, **225a** and **225b**<sup>847</sup> (Scheme 70). The release of CO from **225a** was

#### Scheme 70. Photorelease of CO from BODIPY PhotoCORMs<sup>847</sup>



achieved in 45% chemical yield with  $\Phi_{\text{r}} = 1.1 \times 10^{-4}$  in an aerated PBS solution (pH = 7.4) to give 2-methylpyrrole and 2H-pyrrole-4-carbaldehyde as the major additional photoproducts.<sup>847</sup> These compounds are typical products of photochemical degradation of BODIPYs.<sup>1134</sup> The release of CO from the  $\pi$ -extended BODIPY **225b**<sup>847</sup> ( $\lambda_{\text{irr}} = 652$  and 732 nm; Figure 30) occurred with a lower quantum efficiency ( $\Phi_{\text{r}} = 1.4 \times 10^{-5}$ ), presumably due to an enhancement of radiationless decay related to the presence of the two flexible styryl groups.<sup>1135,1136</sup> Nevertheless, the release was efficient enough for use *in vivo*: white light-induced photoactivation of **225b** in mice noticeably increased CO levels in the blood and

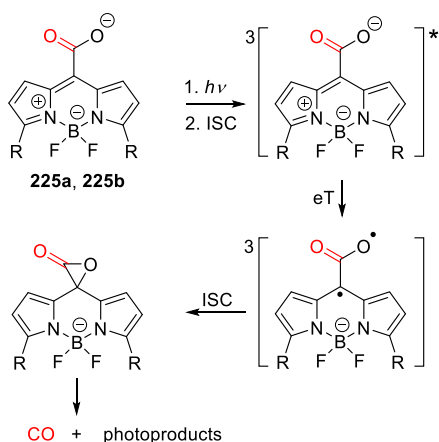
Table 13. Photophysical Properties of Some Organic PhotoCORMs

CORM	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$\epsilon_{\text{max}}$ ( $\text{M}^{-1}\text{ cm}^{-1}$ )	$n_{\text{CO}}$	$\Phi_{\text{r}}$ ( $\lambda_{\text{irr}}/\text{nm}$ )	solvent	ref
<b>140</b>	488	$18.6 \times 10^3$	(1) <sup>a</sup>	$6.8 \times 10^{-4}$ (500)	PBS	763
<b>191a</b>	461	$0.3 \times 10^3$	(2) <sup>a</sup>	0.02 (395)	toluene	1085
<b>191e</b>	534	$53.8 \times 10^3$	(2) <sup>a</sup>	n.d. (450)	DCM	1090
<b>191f</b>	605	$135 \times 10^3$	(2) <sup>a</sup>	n.d. (450)	DCM	1090
<b>205</b>	409	$16.2 \times 10^3$	0.96	0.0073 (419)	CH <sub>3</sub> CN	1075, 1076, 1109
<b>208</b>	543	$79.4 \times 10^3$	1.00	n.d.	CH <sub>3</sub> CN	1076
<b>224</b>	465 (inactive) 374 (active)	$17.8 \times 10^3$ $8.9 \times 10^3$	(1) <sup>a</sup>	0.14 (366)	cyclohexane	1131
<b>225a</b>	502	$49.0 \times 10^3$	0.87	$2.7 \times 10^{-4}$ (500)	PBS	847
<b>225b</b>	652	$52.5 \times 10^3$	0.91	$1.2 \times 10^{-5}$ (365)	PBS	847

<sup>a</sup>Theoretical yield of CO equivalents. PBS = phosphate-buffered saline; DCM = dichloromethane.

some tissues.<sup>847</sup> The involvement of a triplet excited state was established by transient spectroscopy, oxygen quenching experiments, and experiments using CsCl as a heavy-atom-effect mediator.<sup>1137</sup> The benzyl ester derivative of **225a** was photostable, and the photolysis of **225a** at pH 2.5 proceeded with a  $\sim 4$ -fold lower quantum efficiency than at pH = 7.0. This was in agreement with the calculated  $\Delta G_{\text{eT}}$ , which predicted a more efficient intramolecular electron transfer from the carboxylate to the triplet-excited BODIPY core than for the protonated form.<sup>847</sup> The proposed mechanism of the photo-reaction is shown in Scheme 71. Upon excitation, a strongly

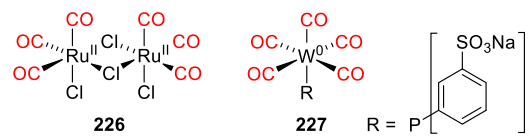
**Scheme 71. Proposed Mechanism of CO Photorelease from BODIPY PhotoCORMs 225a,b**<sup>847</sup>



fluorescent singlet excited state of **225** undergoes relatively inefficient ISC to the triplet state followed by an exergonic electron transfer (eT) from the carboxylate to the BODIPY core to form an oxyallyl-type triplet diradical.<sup>1138</sup> The diradical then intersystem crosses to an open-shell singlet state, followed by the formation of  $\alpha$ -lactone on the singlet ground-state potential energy surface.<sup>847</sup> Finally, thermal fragmentation of the lactone releases CO.<sup>1132,1133</sup>

**4.1.2. Release of Carbon Monoxide from Transition-Metal-Containing PhotoCORMs.** Most of the known photochemically activatable CO-releasing molecules (photoCORMs) are based on metal carbonyl complexes that undergo photoinduced cleavage of the carbonyl moiety followed by the addition of a solvent molecule to the vacant position in the metal's coordination sphere.<sup>83</sup> The mechanisms of photochemical CO release from the coordination sphere of a transition metal have been studied in detail.<sup>1139–1141</sup> The carbonyl–metal bond is relatively strong ( $\sim 20$ – $40$  kcal mol<sup>-1</sup>)<sup>1142</sup> because of its  $\pi$ -backbonding character. Delocalization of the LUMO on the carbonyl moiety is a general requirement for the photochemical liberation of CO.<sup>1140</sup> The photocleavage is a reversible process; the recombination of the liberated CO molecule with the vacancy on the metal's coordination sphere regenerates the photoCORM and thus reduces the quantum yield of CO release. This can be avoided by using ancillary ligands that shift electron density away from the metal center and reduce the amount of metal–CO backbonding.<sup>1139</sup> It has been shown that not all carbonyl ligands are cleavable from complexes containing multiple carbonyl moieties and not all CO molecules are released in the primary photochemical step. CO can also be liberated by subsequent solvolysis or oxidative steps.<sup>82,1140,1143</sup>

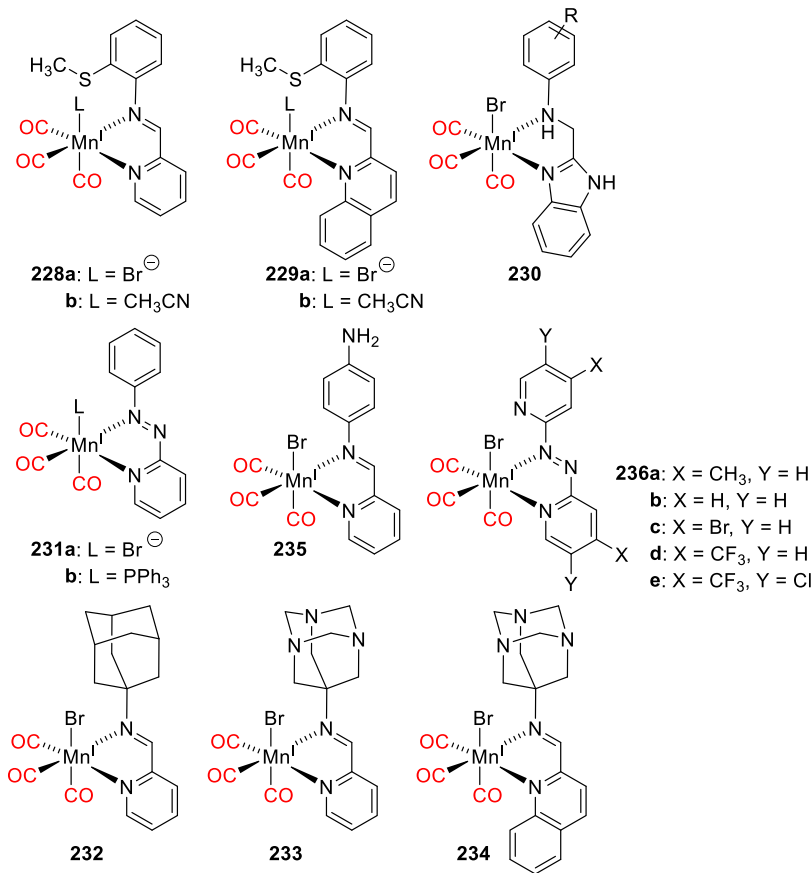
The first report on a photoCORM was published by Motterlini and co-workers, who described the photodissociation of Fe(CO)<sub>5</sub> and complex **226** (Figure 37).<sup>1144</sup> The term



**Figure 37.** Structures of some UV-absorbing photoCORMs.

photoCORM was introduced by Rimmer and co-workers in reference to W<sup>0</sup> complex **227** (Figure 37), which releases one equivalent of CO upon irradiation.<sup>1143</sup> The first transition-metal-containing photoCORMs required excitation at wavelengths in the range of 310–360 nm,<sup>1139,1145</sup> but strategies for bathochromically shifting their spectra were introduced by Mascharak and co-workers.<sup>1146</sup> The use of nitrogen-based ligands with extended  $\pi$ -conjugation can lower the energy of the LUMO, while strongly donating ancillary  $\sigma$ - and  $\pi$ -donors raise the HOMO energy. The combination of such ligands with highly thermostable carbonyl complexes of electron-rich d<sup>6</sup> metal ions such as Mn<sup>I</sup>, Re<sup>I</sup>, Fe<sup>II</sup>, or Ru<sup>II</sup> gives rise to bathochromically shifted MLCT absorption bands.

Many visible-light-absorbing photoCORMs are based on Mn<sup>I</sup> complexes. For example, Mn<sup>I</sup> complexes with bidentate heteroaryl-imine ligands (Table 14) exhibit absorption maxima in the range of 390–700 nm. The absence of the  $\sigma$ -donating ligand Br<sup>-</sup> (as in **228b**, **229b**, and **231b**) caused a hypsochromic shift of 60–110 nm (Figure 31) and reduced the CO release efficiency by a factor of  $\sim 1.1$ – $3.5$  relative to the reference analogs (**228a**, **229a**, and **231a**).<sup>1079,1146,1147</sup> Extending the conjugation of the aromatic ligand, for example, by replacing pyridine with quinoline (as in complexes **229a**, **229b**, and **234**), bathochromically shifted the MLCT band absorption maximum by  $\sim 35$ – $45$  nm and also increased the quantum yield of CO release. Complexes **232**, **233**, and **234** (Table 14) containing  $\alpha$ -diimine ligands were designed to be more soluble in water.<sup>1148</sup> Irradiation of **233** released 3 equiv of CO, but the quantum yield of this process declined by factors of  $\sim 2$  and 3 in dichloromethane and aqueous solutions, respectively. The CO release efficiency of these complexes increased in the order **232** < **233** < **234**, paralleling the increase in the electron-donating abilities of their ligands. Studies on analogous Mn<sup>I</sup> and Re<sup>I</sup> complexes containing 4-aminophenyl instead of adamantyl ligands revealed that only manganese complex **235** photoreleased CO upon visible light irradiation.<sup>1149</sup> Zobi and co-workers studied a series of Mn<sup>I</sup>-tricarbonyl complexes bearing azobipyridine ligands (**236a**–**e**, Table 14).<sup>1150</sup> CO was liberated upon their illumination with red light ( $\geq 625$  nm), and DFT calculations indicated that electron-withdrawing substituents lowered the LUMO energy more than that of the HOMO, resulting in a bathochromic shift of the MLCT band maximum. Complex **236e**, which bore the strongest electron-withdrawing groups (CF<sub>3</sub> and Cl) was even activatable at the tail of the absorption range (810 nm). In the dark, complexes **236a** and **236b** were stable but the electron-poor complexes **236c**–**e** slowly released CO. A series of 8 benzimidazole-based photoCORMs **230** was recently studied by Schatzschneider.<sup>1151</sup> These compounds rapidly released CO upon illumination, and their photochemistry was sensitive to their substitution. The 4-NO<sub>2</sub> substituted derivative **230** exhibited the most bathochromically shifted

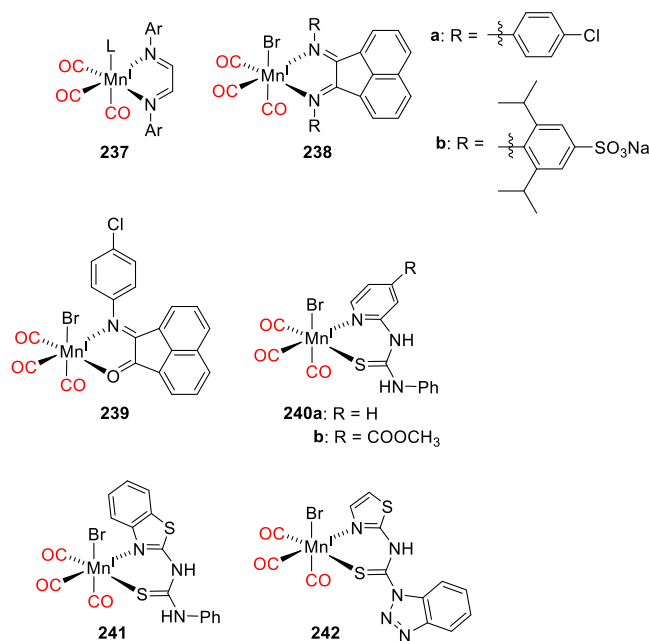
Table 14. Mn<sup>I</sup>-Based photoCORMs Containing Bidentate Heteroaryl-Imine Ligands<sup>a</sup>

CORM	$\lambda_{\max}^{\text{abs}}$ (nm)	$\epsilon_{\max}$ (M <sup>-1</sup> cm <sup>-1</sup> )	$n_{\text{CO}}$	$\Phi_{\text{I}} (\lambda_{\text{irr}}/\text{nm})$	solvent	ref
228a	500	$2.5 \times 10^3$	<3	0.34 (509)	THF	1079
228b	390	$3.6 \times 10^3$	<3	0.12 (509)	CH <sub>3</sub> CN	1079
229a	535	$2.2 \times 10^3$	<3	0.37 (509)	THF	1079
229b	435	$3.7 \times 10^3$	<3	0.34 (509)	CH <sub>3</sub> CN	1079
230	386–495	$1.3\text{--}2.2 \times 10^3$	0.8–1.8	n.d. (412 or 468)	DMSO	1151
231a	586	$3.9 \times 10^3$	n.d.	0.48 (550)	CH <sub>3</sub> CN, DCM	1146, 1147
231b	520	$4.1 \times 10^3$	n.d.	$\sim 0.33^b$ (420)	DCM	1146, 1147
232	445	$1.8 \times 10^3$	n.d.	$\sim 0.18^b (\geq 450)^e$	DCM	1148
233	455	$2.1 \times 10^3$	3	$0.35 (\geq 450)^e$	DCM	1148
				$\sim 0.16^b$	PBS	
				$\sim 0.10^b$	H <sub>2</sub> O	
234	490	$1.9 \times 10^3$	n.d.	$\sim 0.78^b (\geq 450)^e$	DCM	1148
235	437	n.d.	3	n.d. (525 or 468)	DMSO	1149
236a	625	$4.35 \times 10^3$	n.d.	n.d.	DCM	1150
				$(\tau_{1/2} = 3.52 \text{ h})^c$	(H <sub>2</sub> O) <sup>d</sup>	
236b	630	$4.43 \times 10^3$	n.d.	n.d.	DCM	1150
				$(\tau_{1/2} = 3.60 \text{ h})^c$	(H <sub>2</sub> O) <sup>d</sup>	
236c	661	$3.46 \times 10^3$	n.d.	n.d.	DCM	1150
				$(\tau_{1/2} = 1.21 \text{ h})^c$	(H <sub>2</sub> O) <sup>d</sup>	
236d	678	$3.76 \times 10^3$	n.d.	n.d.	DCM	1150
				$(\tau_{1/2} = 0.48 \text{ h})^c$	(H <sub>2</sub> O) <sup>d</sup>	
236e	693	$4.85 \times 10^3$	n.d.	n.d.	DCM	1150
				$(\tau_{1/2} = 0.41 \text{ h})^c$	(H <sub>2</sub> O) <sup>d</sup>	

<sup>a</sup>n.d.: not determined, PBS = phosphate-buffered saline, THF = tetrahydrofuran, DMSO = dimethyl sulfoxide, DCM = dichloromethane. <sup>b</sup>Values estimated from the relative apparent CO release rates ( $k_{\text{CO}}$ ). <sup>c</sup>Relative half-lives in the series 236a–e; samples were irradiated at  $\lambda_{\max}^{\text{abs}}$ . <sup>d</sup>Aqueous solutions were used in the Mb assay<sup>1152</sup> to determine CO release. <sup>e</sup>Broadband visible light with a cut-off filter was used.

absorption but released CO with the lowest observed chemical yield because of a competing photodecomposition process.

$\alpha, \alpha'$ -Diimines and related ligands can also be used to tune the properties of Mn<sup>I</sup>-based photoCORMs. For example, the Mn<sup>I</sup> *fac*-complex 237 (Table 15, L = Br<sup>-</sup>, Ar = 2,6-*i*Pr<sub>2</sub>Ph)

Table 15. Mn<sup>I</sup>-Based PhotoCORMs Containing  $\alpha,\alpha'$ -Diimino, Iminoketone, or Carbothioamide Ligands<sup>a</sup>

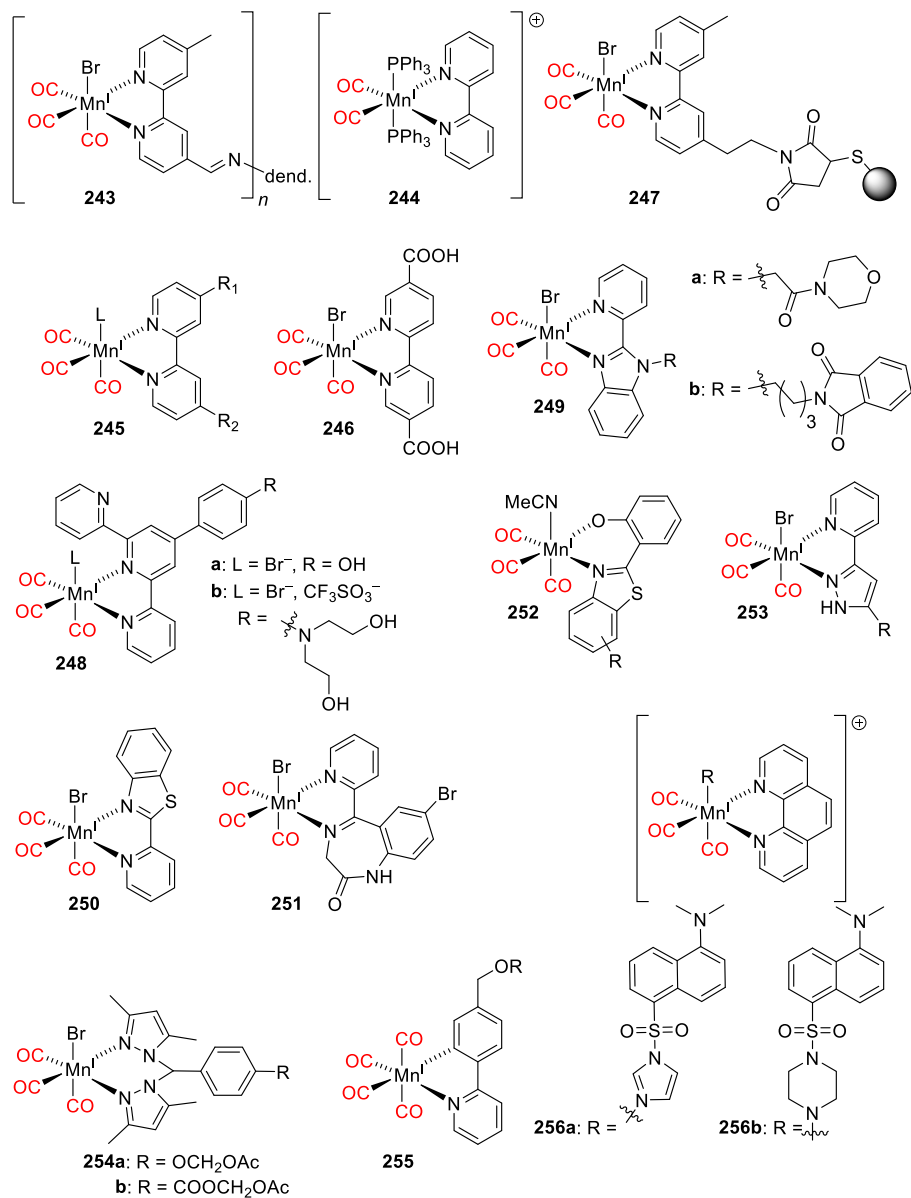
CORM	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$\epsilon_{\text{max}}$ ( $\text{M}^{-1} \text{cm}^{-1}$ )	$n_{\text{CO}}$	$\Phi_r$ ( $\lambda_{\text{irr}}/\text{nm}$ )	solvent	ref
237 (L = Br <sup>-</sup> )	582	n.d.	<1	n.d. (560)	THF	1153
238a	570	$4.6 \times 10^3$	3	0.70 (545)	CH <sub>3</sub> CN	1080
238b	513 (H <sub>2</sub> O) 568 (MeOH)	$2.1 \times 10^3$	1	0.54 (545) 0.30 (623) 0.38 (623, deg.) <sup>c</sup>	H <sub>2</sub> O	1154
239	630	$3.7 \times 10^3$	n.d.	$\sim 0.46^b$ (>520)	CH <sub>3</sub> CN	1080
240a	398	n.d.	2	n.d. (468)	DMSO	1156
240b	407	n.d.	2	n.d. (468)	DMSO	1156
241	387	n.d.	1	n.d. (468)	DMSO	1156
242	437	$6.1 \times 10^3$	1.5	n.d. (468 or 525)	DMSO	1157

<sup>a</sup>THF = tetrahydrofuran, DMSO = dimethyl sulfoxide. <sup>b</sup>Values estimated from relative apparent CO release rates ( $k_{\text{CO}}$ ). <sup>c</sup>deg.: degassed.

releases CO upon irradiation with green light.<sup>1153</sup> Its photoactivity can be attributed to an MLCT transition from the Mn<sup>I</sup>-CO  $\pi$  and Br-centered orbitals to the  $\pi^*$  orbitals of the diamine ligand, which weakens Mn-CO  $\pi$ -backbonding and thus facilitates CO release. The substitution of the bromide ligand with a neutral molecule (237, L = CO, THF, CH<sub>3</sub>CN, *t*BuCN) afforded complexes absorbing at 420 nm. A tetracarbonyl complex (237, L = CO) was reactive in the dark and rapidly released CO upon dissolution in acetonitrile or THF. UV-photolysis of 237 (L = Br<sup>-</sup>) in THF released one equivalent of CO along with the Br<sup>-</sup> ligand isomerization, and the resulting dicarbonyl complex coordinated CO to form meridional isomer of 237. The complexation of highly conjugated ligands derived from  $\alpha,\alpha'$ -diimines with the [Mn(CO)<sub>3</sub>Br] moiety, as in 238a (Figure 31) and 238b (Table 15), led to exceptionally efficient CO release.<sup>1080,1154</sup> Complex 238b reportedly released CO in the IR region upon irradiation above 780 nm, where the compound does not absorb noticeably.<sup>1154</sup> The authors attributed this to a weak but not completely forbidden optical population of the lowest triplet excited state of the complex. Similar S<sub>0</sub>-T<sub>1</sub> absorption was later observed for carbazole derivatives.<sup>1155</sup> The complex 238b exhibited strong solvatochromism, which was rationalized by suggesting that its lowest-lying singlet excited state has a charge-transfer character. Complex 239 (Table 15), bearing an iminoketone ligand, has a significantly bathochromically-

shifted absorption maximum at 630 nm (Figure 31) and retains CO releasing ability.<sup>1080</sup> A series of thiourea- and thiazolyl-benzotriazolyl-carbothioamide-based Mn<sup>I</sup> photoCORMs 240a, 240b, 241, and 242 (Table 15) were also reported to release 1-2 equiv of CO.<sup>1156,1157</sup>

A Mn<sup>I</sup> tricarbonyl structural motif was used to develop several bipyridine-based visible-light absorbing photoCORMs. Polypyridyl-containing metallo dendrimers 243 (Table 16,  $n = 4, 8$ ; R = 1,4-diaminobutane-poly(propyleneimine); DAB-PPI) released  $\sim 65\%$  of their total content of CO ligands upon irradiation with 410 nm light.<sup>1158</sup> Complex 244 (Table 16) releases CO upon irradiation with blue light, while photoCORMs combining 244 and lanthanide ion-doped upconversion nanoparticles (see section 6.4.2) based on Yb<sup>III</sup>- and Tm<sup>III</sup>-doped Gd<sup>III</sup> salts coated with a polymer matrix consisting of phospholipid-functionalized poly(ethylene glycol) released CO upon irradiation at 980 nm.<sup>1159</sup> Blakemore, Elles, and co-workers recently studied 4,4'-disubstituted 2,2'-bipyridyl complexes 245 (R<sub>1</sub> = R<sub>2</sub> = NO<sub>2</sub>, CF<sub>3</sub>, H, *t*Bu; Table 16) and the influence of the ligand's electronic properties on the CO release rate,<sup>1160</sup> showing that irradiation into the MLCT band caused rapid CO release ( $\tau_{\text{CO}} = 0.46\text{--}0.68$  ps) followed by solvent coordination ( $\tau_{\text{solv}} = 18\text{--}39$  ps). A recent mechanistic study by Pordel and White examined a series of tricarbonylmanganese(I) complexes with 4,4'-substituted 2,2'-bipyridine ligands (bpy') *fac*-[Mn(bpy')(CO)<sub>3</sub>L]; L = Br<sup>-</sup> or

Table 16. Mn<sup>I</sup>-Based PhotoCORMs with Heteroaryl Bidentate Ligands and Their Analogs<sup>a</sup>

CORM	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$\epsilon_{\text{max}}$ (M <sup>-1</sup> cm <sup>-1</sup> )	$n_{\text{CO}}$	$\Phi_r$ ( $\lambda_{\text{irr}}$ /nm)	solvent	ref
243, $n = 4$	410	$10.3 \times 10^3$	7.56	$2.66 \times 10^{-3}$ (410)	DMSO:H <sub>2</sub> O	1158
$n = 8$	420	$18.8 \times 10^3$	15.24	$2.71 \times 10^{-3}$ (410)	1:9, v/v	
244	400	$5.4 \times 10^3$	1.85	0.26 (470)	DCM	1159
245						
L = Br <sup>-</sup> , R <sub>1</sub> = R <sub>2</sub> = CO <sub>2</sub> Me	460	$3.2 \times 10^3$	~3	0.32 (405)	CH <sub>3</sub> CN	1161
L = Br <sup>-</sup> , R <sub>1</sub> = R <sub>2</sub> = H	416	$2.9 \times 10^3$	~3	0.22 (405)	CH <sub>3</sub> CN	
L = Br <sup>-</sup> , R <sub>1</sub> = R <sub>2</sub> = Me	411	$2.9 \times 10^3$	~3	0.20 (405)	CH <sub>3</sub> CN	
L = py, R <sub>1</sub> = R <sub>2</sub> = CO <sub>2</sub> Me	420	$4.0 \times 10^3$	~3	0.19 (405)	CH <sub>3</sub> CN	
L = py, R <sub>1</sub> = R <sub>2</sub> = H	383	$3.4 \times 10^3$	~3	0.17 (405)	CH <sub>3</sub> CN	
L = py, R <sub>1</sub> = R <sub>2</sub> = Me	378	$3.4 \times 10^3$	~3	0.15 (405)	CH <sub>3</sub> CN	
L = Br <sup>-</sup> , R <sub>1</sub> = R <sub>2</sub> = <i>t</i> Bu	412	$2.4 \times 10^3$	n.d.	n.d. (415)	CH <sub>3</sub> CN	1160
L = Br <sup>-</sup> , R <sub>1</sub> = R <sub>2</sub> = H	415	$2.3 \times 10^3$	n.d.	n.d. (415)	CH <sub>3</sub> CN	
L = Br <sup>-</sup> , R <sub>1</sub> = R <sub>2</sub> = CF <sub>3</sub>	457	$1.5 \times 10^3$	n.d.	n.d. (415)	CH <sub>3</sub> CN	
L = Br <sup>-</sup> , R <sub>1</sub> = R <sub>2</sub> = NO <sub>2</sub>	510	$0.2 \times 10^3$	n.d.	n.d. (415)	CH <sub>3</sub> CN	
246	410	n.d.	3	n.d. (460)	DMSO	1164
247	385	n.d.	2.84	n.d. (456)	PBS, pH = 7	1165
248a, R = OH	422	n.d.	n.d.	$(k_{\text{CO}} = 0.07 \text{ min}^{-1})^b$ (410)	CH <sub>3</sub> CN	1166
R = O <sup>-</sup>	490	n.d.		$(k_{\text{CO}} = 0.81 \text{ min}^{-1})^b$ (410)	CH <sub>3</sub> CN	
248b, L = Br <sup>-</sup>	428	$35.3 \times 10^3$	3	0.19 (451) <sup>c</sup>	ethanol:PBS	1167

Table 16. continued

CORM	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$\epsilon_{\text{max}}$ ( $\text{M}^{-1} \text{cm}^{-1}$ )	$n_{\text{CO}}$	$\Phi_{\text{r}}$ ( $\lambda_{\text{irr}}/\text{nm}$ )	solvent	ref
L = $\text{CF}_3\text{SO}_3^-$	428	$28.6 \times 10^3$	3	0.04 (451) <sup>e</sup> 0.22 (451) <sup>e</sup> 0.06 (451) <sup>e</sup>	2:1, v/v	
249a	396	n.d.	~2.5	( $k_{\text{CO}} = 16 \times 10^{-4} \text{s}^{-1}$ ) <sup>d</sup> (468)	DMSO	1168
249b	401	n.d.	~2.5	( $k_{\text{CO}} = 37 \times 10^{-4} \text{s}^{-1}$ ) <sup>d</sup> (468)	DMSO	1168
250	450	$3.2 \times 10^3$	n.d.	n.d. (>440)	DCM	1169
251	465	$3.2 \times 10^3$	2.85	n.d. (470)	DMSO	1170
252	440–640	$0.15\text{--}3.0 \times 10^3$	n.d.	n.d. (400–700)	$\text{CH}_3\text{CN}$	1171
253	393	n.d.	0.09 <sup>f</sup>	n.d. (480)	$\text{CH}_3\text{OH}$	1172
254a	375	$1.5 \times 10^3$	3	0.18 (405) 0.31 (470)	PBS	1173
254b	379	$1.4 \times 10^3$	3	0.17 (405) 0.43 (470)	PBS	1173
255	340	$3.6 \times 10^3$	2.5	n.d. (400)	DMSO	1174
256a	370	$5 \times 10^3$	3	0.35 (380)	$\text{CH}_3\text{CN}$	1175
256b	350	$6.2 \times 10^3$	3	0.39 (>410)	$\text{CH}_3\text{CN}$	1176

<sup>a</sup>DMSO = dimethyl sulfoxide, DCM = dichloromethane, PBS = phosphate buffer saline. <sup>b</sup>Relative rate of the CO release of **248a**. <sup>c</sup>Quantum yield for the release of the first equivalent of CO. <sup>d</sup>Quantum yield for the release of the second equivalent of CO. <sup>e</sup>Relative rates of CO release from **249a** and **249b**. <sup>f</sup>Measured by irradiation of solid crystalline phase. dend. = 1,4-diaminobutane dendrimer. **247**: the sphere represents the membrane-puncturing needle domain of bacteriophage T4.

py] **245** (Table 16).<sup>1161</sup> In accordance with the findings of Mascharak and co-workers,<sup>86</sup> substituting the electron-donating  $\text{Br}^-$  ligand with a  $\pi$ -accepting pyridine stabilized the  $\text{Mn}^{\text{I}}$ -based HOMO, causing a hypsochromic shift of the absorption maxima (by 100–150 nm), and reduced the quantum yield of CO release. The regioselectivity of CO release could also be tuned: the CO ligand *cis* to L was liberated first when L =  $\text{Br}^-$ , but the *trans*-CO was liberated first when L = py. Increasing the  $\pi$ -acidity of the bipyridine ligands also increased the efficiency of the CO release, although this effect was comparatively weak. The absorption spectra and energies of the MLCT states of 50 different *fac*- $[\text{M}(\text{CO})_3]^+$  complexes (M =  $\text{Mn}^{\text{I}}$ ,  $\text{Re}^{\text{I}}$ ) evaluated as potential photoCORMs were recently analyzed and mathematically correlated by the group of Zobi.<sup>1162</sup>

Zobi and co-workers also developed hybrid systems referred to as quantum-CORMs that combine  $\text{Mn}^{\text{I}}$ -tricarbonyl complexes **245** (Table 16) with bipyridyl ligands containing anchoring groups ( $\text{R}_1 = \text{H}$ ,  $\text{COOH}$ ;  $\text{R}_2 = \text{COOH}$ ,  $\text{NH}_2$ , (4-carboxyphenyl)ethynyl, and (4-aminophenyl)ethynyl) that were used to bind the Mn complexes to the surfaces of CdSe/ZnS core/shell semiconductor quantum dots (see also section 6.4.1).<sup>1163</sup> These quantum dots have a band-gap wavelength of 504 nm and bright emission at 512 nm. Upon irradiation at 510 nm, they sensitize the release of CO from the photoCORM, increasing its efficiency 2- to 6-fold compared to non-sensitized excitation.

Furukawa and co-workers developed light-responsive metal-organic frameworks as controllable CO-releasing cell-culture substrates.<sup>1164</sup> These materials combine a  $\text{Mn}^{\text{I}}$  tricarbonyl bipyridyl complex **246** (Table 16) with a highly robust  $\text{Zr}^{\text{IV}}$ -based MOF. The group of Ueno developed a construct containing an artificial protein needle by conjugating the membrane puncturing needle domain of bacteriophage T4 to the  $\text{Mn}^{\text{I}}$  carbonyl photoCORM complex **247** (Table 16) via a maleimide thiol linkage.<sup>1165</sup> This system was used as an *in vivo* magnetic-resonance-imaging contrast reagent. Allosteric regulation of CO release was demonstrated in complex **248a** (Table 16),<sup>1166</sup> in which the phenolic substituent of the terpyridyl ligand responds to fluoride ions by undergoing

deprotonation, leading to allosteric activation of CO release; the deprotonated complex released CO approximately 1 order of magnitude more efficiently than its neutral form. Ford and co-workers synthesized another terpyridine-based manganese tricarbonyl complex **248b** (Table 16), which can release CO both by 1-photon excitation in the visible region and also by 2-photon excitation at 750 and 800 nm because the terpyridine ligand acts as an efficient 2-photon antenna.<sup>1167</sup>

Potentially bioactive  $\text{Mn}^{\text{I}}$  tricarbonyl complexes with 2-(2'-pyridyl)benzimidazole ligands bearing morpholino (**249a**) or phthalimido (**249b**, Table 16) substituents were studied spectroscopically and computationally by Mansour and Ragab.<sup>1168</sup>

Another  $\text{Mn}^{\text{I}}$  tricarbonyl photoCORM, **250** (Table 16), contains a benzothiazole ligand that functions as a turn-on fluorescent signal.<sup>1169</sup> Upon photoexcitation, this complex releases both CO and the 2-(2-pyridyl)-benzothiazole ligand, whose fluorescence was successfully used to monitor the CO-induced death of human breast cancer cells treated with **250**. The similar  $\text{Mn}^{\text{I}}$  complex **251** (Table 16), which has a ligand derived from the anti-anxiety drug bromazepam, was reported by Mansour.<sup>1170</sup>

A series of four photoCORMs **252** (Table 16) bearing 2-(benzo[*d*]thiazol-2-yl)phenol ligands, was developed by Roy and co-workers,<sup>1171</sup> and tricarbonyl  $\text{Mn}^{\text{I}}$  complexes with 3-(2-pyridyl)pyrazole ligands **253** (Table 16) were shown to release CO independently of the choice of R substituents.<sup>1172</sup>

$\text{Mn}^{\text{I}}$  tricarbonyl photoCORMs **254a** and **254b** (Table 16), containing substituted bispyrazolylmethane ligands, were prepared by the group of Westerhausen.<sup>1173</sup> These complexes are initially neutral but their ligands have terminal acetyl groups that are hydrolyzed into carboxylates upon cellular uptake. As a result, the photoCORMs become anionic and are trapped inside the cells. Fairlamb, Lynam, and co-workers synthesized a series of biotin-conjugated  $\text{Mn}^{\text{I}}$ -based photoCORMs **255** (R = biotin; Table 16) that release CO upon irradiation at 400 nm and bind efficiently to avidin.<sup>1174</sup>

Fluorescent dansylimidazole-substituted complex **256a** (Table 16) released CO upon irradiation with visible light.<sup>1175</sup> Its analog **256b** exhibited strong green luminescence

that could be visualized *in vitro*.<sup>1176</sup> This class of luminescent Mn<sup>I</sup>-based photoCORMs was extended by preparing dabsyl-imidazole complexes with diazabutadiene ligands bearing sterically similar adamantyl (lipophilic) or 1,3,5-triazadamantyl (hydrophilic) substituents.<sup>1177</sup> Changing the ligand's lipophilicity altered the localization of the photoCORMs in cellular organelles.

Tridentate heteroaryl ligands form stable complexes with Mn<sup>I</sup> and were used for the successful design of photoCORMs. Very recently, the group of Schiller introduced a novel class of 2-photon absorbing naphthalimide-containing photoCORMs **257** (Figure 38).<sup>1178</sup> These compounds release CO by both 1-

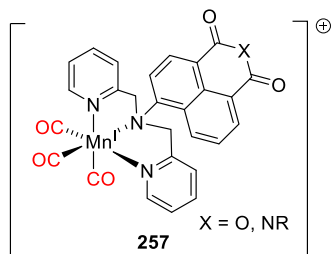


Figure 38. Naphthalimide substituted photoCORM.

(405 nm) and 2-photon (800 nm) excitation. CO liberation is accompanied by the release of the naphthalimide ligands, which are fluorescent in solution, in non-woven fabrics, and in HeLa cells. Similar naphthalimide-substituted photoCORMs **257** (X = NR) were used to synthesize green light-responsive (550 nm) CO-releasing polymeric materials by ring-opening metathesis polymerization.<sup>1179</sup>

Schiller and co-workers developed the dabsyl-substituted Mn<sup>I</sup> tricarbonyl complex **258** (Figure 39), which releases CO

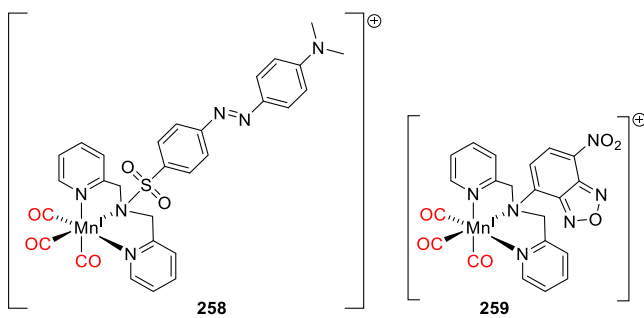


Figure 39. Dabsyl- and nitrobenzoxadiazole-substituted photoCORMs.

upon irradiation at 405 nm.<sup>1180</sup> The complex was loaded onto paper strips to form a material whose light-triggered CO release could be monitored with the naked eye by observing the change in its color. An analogous approach was used in the design of the colorimetric and fluorometric dual response photoCORM **259** (Figure 39), which is based on the nitrobenzoxadiazole fluorophore and releases CO upon irradiation at 490 nm.<sup>1181</sup>

Mn<sup>I</sup>-based photoCORMs with other structures have also been reported (Table 17). For example, Ueno and co-workers developed a series of engineered protein crystals using the photoCORM Mn(CO)<sub>5</sub>Br.<sup>1182</sup> Polyhedral crystals containing histidine as a ligand were used to immobilize the Mn<sup>I</sup> carbonyl complex **260** (Table 17). Two proteins were prepared, a wild-

type (WT) with 3 histidine units and mutants with hexahistidine tags containing 3 or 6 equiv of the photoCORM. The CORM loading and the corresponding quantum yields of CO release correlated with the number of histidine residues in the protein.

Mn<sup>I</sup> tricarbonyl complexes with tazarotene (**261**) and metamizole (**262**) as bidentate and tridentate ligands, respectively, were developed by Mansour and Shebab (Table 17).<sup>1183</sup> Both ligands dissociate from the metal center upon the CO photorelease. In addition, Manimaran and co-workers developed a series of 10 Mn<sup>I</sup>-based aminoquinonato-bridged dinuclear complexes **263** (Table 17) that release CO upon irradiation with green light.<sup>1184</sup> The mechanism of CO release from the Mn<sup>I</sup> tryptophanate complex **264** (Table 17)<sup>1185</sup> was studied by time-resolved ultrafast infrared spectroscopy (TRIR) and TD-DFT,<sup>1186</sup> which revealed that excitation leads to an LMCT from the indole moiety of the tryptophan ligand to the metal d-orbitals. The loss of CO then occurs within 3 ps, resulting in the formation of the triplet state of the dicarbonyl product <sup>3</sup>[Mn<sup>I</sup>(tryp)(CO)<sub>2</sub>(MeCN)], which is solvated within 20 ps. The mechanism of CO release from **264** was further studied by laser-interfaced mass spectrometry (LIMS) across a wide wavelength range ( $\lambda_{\text{irr}} = 234\text{--}580$  nm).<sup>1187</sup>

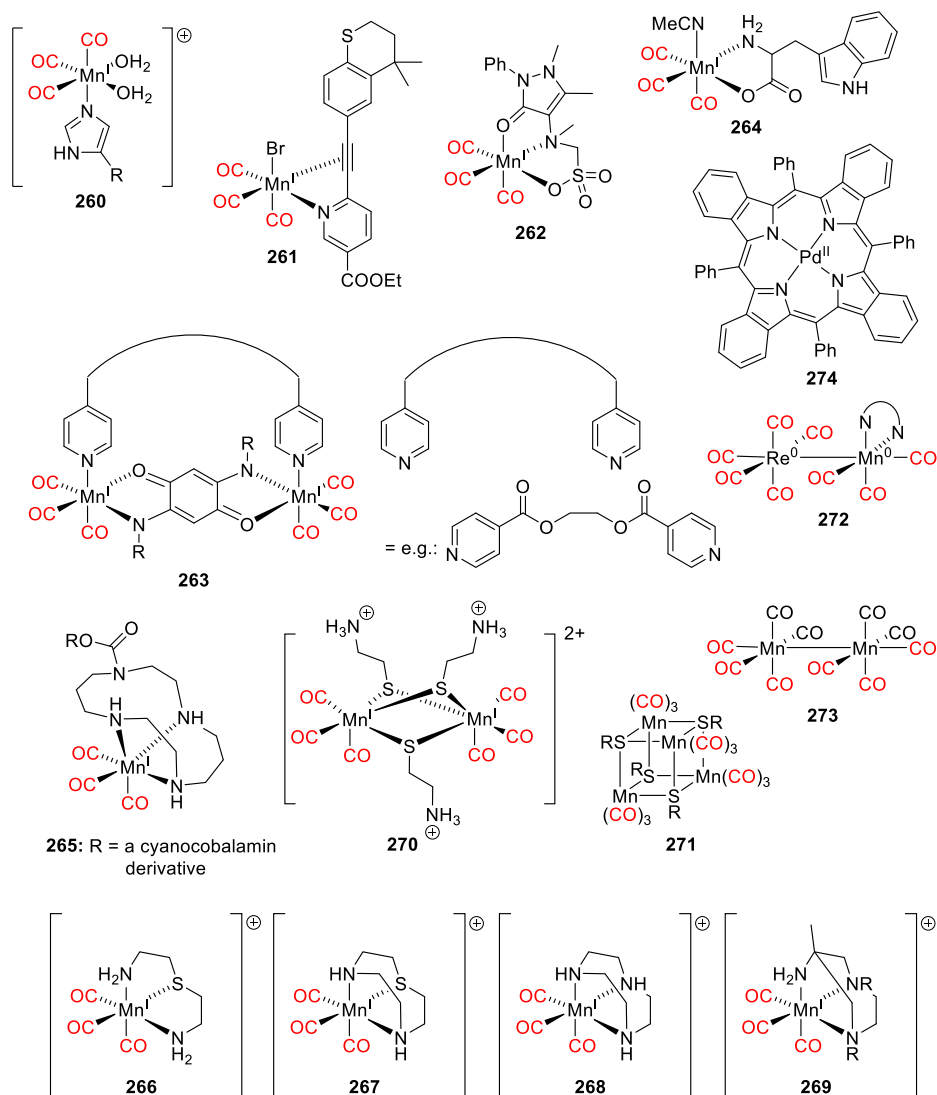
Zobi and co-workers studied a *fac*-Mn<sup>I</sup> tricarbonyl complex with a tetraazacyclotetradecane ligand attached to the 5'-OH ribose group of vitamin B<sub>12</sub> (**265**, Table 17).<sup>1188</sup> Vitamin B<sub>12</sub> acts as a biocompatible water-soluble scaffold that allows the photoCORM to be actively transported into cells. Because of its remote attachment, it does not affect the photochemistry of the Mn<sup>I</sup> complex. The compound was successfully delivered into fibroblasts, where the photoinduced release of CO protected them against death under conditions of hypoxia and metabolic depletion.

The group of Westerhausen developed a series of water-soluble manganese tricarbonyl complexes **266** and **267** (Table 17) based on tridentate aminoalkylsulfide ligands.<sup>1189</sup> Accumulation of these complexes inside cells was observed by FT-IR imaging. Peralta and co-workers recently synthesized three analogous water-soluble complexes **268** and **269** (R = H, CH<sub>3</sub>) that sequentially release three equivalents of CO upon irradiation with blue light.<sup>1190</sup> The thiolato-bridged Mn<sup>I</sup>-dimer **270** (Table 17) prepared from cysteamine by Westerhausen and co-workers was shown to photochemically release all 6 of its bound CO ligands.<sup>1191</sup>

Schiller and co-workers demonstrated the photochemically controlled release of CO from non-woven polylactide fibers containing the Mn<sup>0</sup> decacarbonyl complex (Mn<sub>2</sub>(CO)<sub>10</sub>, CORM-1).<sup>1192</sup> They later constructed a device for remote-controlled delivery of CO using tetranuclear Mn<sup>I</sup>-based complexes **271** (Table 17, R = *n*Pr, *n*Bu) embedded in non-woven polylactide or polymethacrylate fabrics<sup>1193</sup> that were shown to be nontoxic towards 3T3 mouse fibroblast cells.

A new strategy for triggering the photochemical release of caged CO using long-wavelength and NIR light was described by Ford and co-workers using dinuclear Rh<sup>0</sup>-Mn<sup>0</sup> carbonyl complexes **272** (Table 17).<sup>1194</sup> These complexes have strong metal-metal-bond-to-ligand charge-transfer (MMLCT) absorption bands from ~550 to ~720 nm. Their photoexcitation leads to homolytic cleavage of the Re-Mn bond, yielding mononuclear metal radicals that tend to recombine in the absence of trapping agents but react with dioxygen to form active species that efficiently release CO via secondary thermal



Table 17. Other Mn-Based PhotoCORMs<sup>a</sup>

CORM	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$\epsilon_{\text{max}}$ ( $\text{M}^{-1} \text{cm}^{-1}$ )	$n_{\text{CO}}$	$\Phi_{\text{r}}$ ( $\lambda_{\text{irr}}/\text{nm}$ )	solvent	ref
260	n.d.	n.d.	1.9 <sup>b</sup> 2.9 <sup>c</sup>	0.013 <sup>b</sup> (456) 0.047 <sup>c</sup> (456)	PBS	1182
261	435	n.d.	~1	n.d. (468)	DMSO	1183
262	395	n.d.	~0.5	n.d. (410)	DMSO	1183
263	465–486	n.d.	1.7–1.9	n.d. (520–560)	DCM	1184
264	360	$1.4 \times 10^3$	2 1.4	n.d. (400) n.d. (465)	CH <sub>3</sub> CN	1185
265	388	n.d.	3	n.d. (470)	H <sub>2</sub> O	1188
266	354	n.d.	2.86 (405)	0.1 (365)	PBS	1189
267	350	n.d.	2.61 (405)	0.06 (365)	PBS	1189
268	344	$1.1 \times 10^3$	3	0.054 (385) 0.030 (410)	H <sub>2</sub> O	1190
269					H <sub>2</sub> O	1190
R = H	349	$1.3 \times 10^3$	3	0.058 (385) 0.044 (410)		
R = CH <sub>3</sub>	354	$1.4 \times 10^3$	3	0.081 (385) 0.033 (410)		
270	384	n.d.	6	0.11 (365) 0.06 (470)	PBS	1191
271						1193
R = <i>n</i> Pr	385	$1.8 \times 10^3$	n.d.	n.d. (405)	CHCl <sub>3</sub>	
R = <i>n</i> Bu	n.d.	n.d.	n.d.	n.d. (405)	CHCl <sub>3</sub>	
272						1194

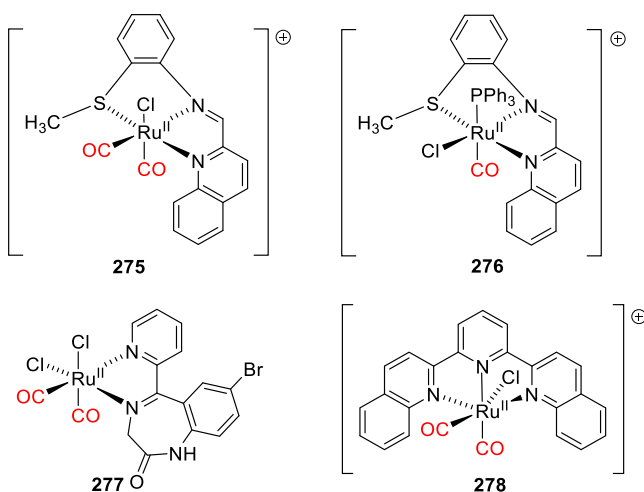
Table 17. continued

CORM	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$\epsilon_{\text{max}}$ ( $\text{M}^{-1} \text{cm}^{-1}$ )	$n_{\text{CO}}$	$\Phi_{\text{r}}$ ( $\lambda_{\text{irr}}/\text{nm}$ )	solvent	ref
$N\cap N = \text{phe}$	550	$5.8 \times 10^3$	2	0.41 (659)	$\text{CH}_3\text{CN}$	
$N\cap N = \text{bpy}$	550	$4.9 \times 10^3$	n.d.	0.39 (659)	$\text{CH}_3\text{CN}$	
$N\cap N = \text{biq}$	719	$1.3 \times 10^3$	n.d.	0.24 (659)	$\text{CH}_3\text{CN}$	
$N\cap N = \text{phen-CHO}$	652	$7.6 \times 10^3$	n.d.	0.02 (659)	$\text{CH}_3\text{CN}$	
273	340	n.d.	5.3	0.24 (405) <sup>d</sup> 0.006 (635) <sup>e</sup>	DMA	1195

<sup>a</sup>PBS = phosphate buffer saline, DMSO = dimethyl sulfoxide, DCM = dichloromethane, Phe = 1,10-phenanthroline, Bpy = 2,2'-bipyridyl, Biq = 2,2'-biquinoline, Phen-CHO = phenanthrolinecarboxaldehyde, DMA = dimethylacetamide. <sup>b</sup>Wild-type protein (3 histidine units). <sup>c</sup>Mutant protein with hexahistidine tag. <sup>d</sup>Direct irradiation. <sup>e</sup>Sensitized release.

or photochemical processes in aerated solutions. Schiller and co-workers combined the classical UV-absorbing photoCORM  $\text{Mn}_2(\text{CO})_{10}$  273 (Table 17) with the  $\text{Pd}^{\text{II}}$  tetraphenyl-tetrabenzoporphyrin complex 274, which is a triplet photosensitizer (see also section 6.1) excitable by red or NIR light.<sup>1195</sup> The triplet-excited photosensitizer transfers its energy to the photoCORM, which then liberates CO. The authors combined these components in the solid state to prepare a CO-releasing material supported on electrospun non-woven fabrics.

$\text{Ru}^{\text{II}}$  complexes have  $d^6$  configurations similar to those of  $\text{Mn}^{\text{I}}$  complexes and have also been successfully used as visible-light activatable photoCORMs.  $\text{Ru}^{\text{II}}$  analogs of 229a (Table 14), 275, and 276 (Figure 40) are carbonyl complexes where

Figure 40.  $\text{Ru}^{\text{II}}$ -based photoCORMs.

the 2-quinoline-*N*-(2'-methylthiophenyl)-methylenimine (qmtpm) moiety acts as a tridentate ligand due to the high affinity of  $\text{Ru}^{\text{II}}$  for sulfur. Complex 275 has a MLCT band at  $\lambda_{\text{max}}^{\text{abs}} = 405 \text{ nm}$ .<sup>1196</sup> The replacement of a strongly  $\pi$ -accepting CO ligand with  $\text{PPh}_3$  resulted in a bathochromic shift of the MLCT band to 465 nm. Complex 275 releases CO only in acetonitrile upon UV-light (310 nm) irradiation, whereas phosphine-substituted complex 276 is active in the visible region and releases CO under visible light ( $\geq 440 \text{ nm}$ ). Mansour studied the  $\text{Ru}^{\text{II}}$  dicarbonyl complex 277 (Figure 40), which incorporates a ligand derived from the anti-anxiety drug bromazepam and liberated 2 equiv of CO upon excitation with 470 nm light.<sup>1170</sup> The complexation of this photoCORM to bromazepam increased its antibacterial toxicity relative to the non-complexed drug. Complex 278, which has a bisquinoline ligand, sequentially releases two equivalents of CO upon

irradiation at 350 or 420 nm, with the first equivalent being liberated more efficiently.<sup>1197</sup> Finally, Oyama and co-workers recently introduced a series of  $\text{Ru}^{\text{II}}$  dicarbonyl photoCORM complexes with asymmetric bipyridine ligands.<sup>1198</sup>

Some  $\text{Fe}^{\text{II}}$  carbonyl complexes were reported as visible-light excitable photoCORMs. For example, irradiation of complex 279 (Figure 41) at 470 nm resulted in decarbonylation, which was monitored using a myoglobin assay and ion channels sensitive to CO.<sup>1199,1200</sup> The diiron hexacarbonyl complex 280 (Figure 41)<sup>1201</sup> is a water-soluble analog of an iron-iron hydrogenase model complex<sup>1202</sup> and can liberate 6 equiv of CO upon irradiation at 390 nm. It is not clear whether all 6 CO ligands are liberated from the excited state. Nakajima and co-workers reported a series of *N,C,S*-pincer iron(III) carbonyl complexes 281 (Figure 41) with two phosphorous ligands (281a:  $\text{R}_1 = \text{Me}$ ,  $\text{R}_2 = \text{Ph}$ ; 281b:  $\text{R}_1 = \text{R}_2 = \text{Me}$ ; 281c:  $\text{R}_1 = \text{R}_2 = \text{OEt}$ ) in the *trans*-positions.<sup>1203</sup> A detailed study of their wavelength dependence showed that all of these complexes released CO upon irradiation at  $< 500 \text{ nm}$  and that complex 281c was photoactive even at 800 nm. The release quantum yields were in the range of 0.03–0.01 for all complexes. A core-shell-based material that releases CO via an upconversion process (see section 6.4.2) was developed by Liu and co-workers.<sup>1204</sup> The core, in this case, consists of upconverting nanoparticles of  $\beta\text{-NaYF}_4:\text{Yb}^{\text{III}}/\text{Er}^{\text{III}}$ , while the shell consists of  $[\text{Fe}^{\text{II}}(\eta^5\text{-Cp})(\text{CO})_2]$  complexes with the structure 282 (Figure 41) that are anchored to the surfaces of the nanoparticles via thiol groups. Irradiation of this material with a 980 nm laser led to the sensitization and subsequent decarbonylation of the CORM shell. Wright and co-workers introduced 2-amino-pyridine and 1-aminoisoquinoline-based iron(II) complexes 283 bearing two CO molecules<sup>1205</sup> that can be substituted by thioglucose to obtain the ferracyclic dimeric complexes 284, which exhibit enhanced water solubility and  $\Phi_{\text{r}}$  values of  $0.9\text{--}1.7 \times 10^{-4}$ .

$\text{Re}^{\text{I}}$  complexes 285 ( $\text{L} = \text{Br}^-$ ,  $\text{PPh}_3$ , Figure 42), which are analogous to  $\text{Mn}^{\text{I}}$ -based complexes 231 (Table 14), were found to release CO only under UV illumination.<sup>1146</sup> This was rationalized by TD-DFT calculations indicating that ISC to the triplet state promoted by strong spin-orbit coupling competed with CO release. Conversely, the water-soluble  $\text{Re}^{\text{I}}$ -based photoCORM 286 (Figure 42) released 1 equiv of CO upon irradiation at 405 nm with  $\Phi_{\text{r}} = 0.11$ <sup>1206</sup> ( $\Phi_{\text{r}}$  (365 nm) = 0.024).<sup>1207</sup> This complex and its photoproduct are both fluorescent, with distinguishable maxima at 515 ( $\Phi_{\text{F}}$  (365 nm) = 0.08) and 585 nm, respectively, enabling qualitative monitoring of CO release in cells using confocal fluorescence microscopy.

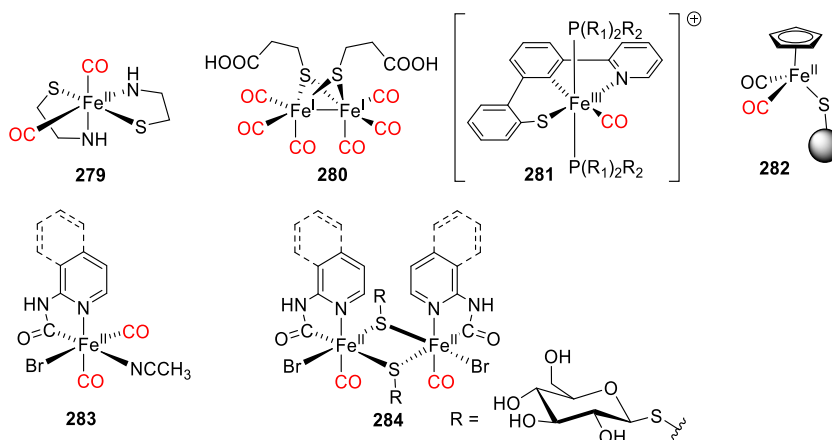
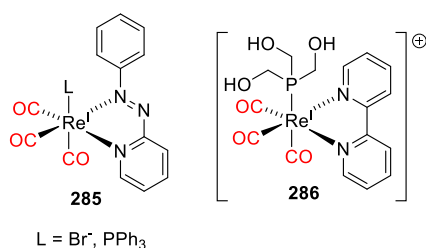


Figure 41. Iron-based photoCORMs.

Figure 42. Re<sup>I</sup>-based photoCORMs.

## 4.2. Release of Nitric Oxide

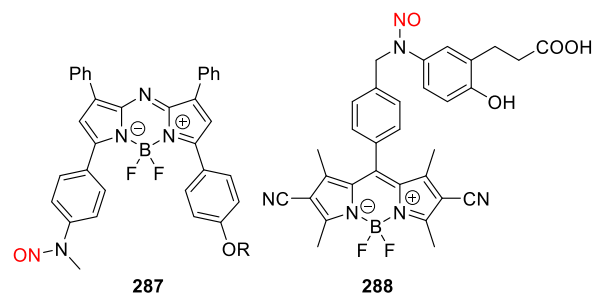
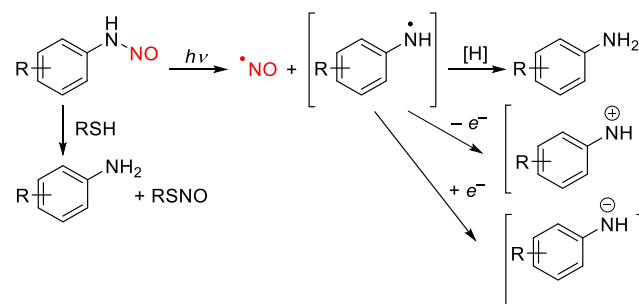
Nitric oxide (NO) is a gasotransmitter with many important biological roles<sup>1208</sup> in processes including vasodilation,<sup>1061,1209–1212</sup> platelet aggregation inhibition,<sup>1066</sup> wound healing,<sup>1065</sup> postsynaptic plasticity augmentation, and hormone secretion.<sup>1067</sup> The development of NO-releasing molecules (NORMs) is therefore of interest for both therapeutic applications<sup>1213</sup> and mechanistic chemo-biological studies. Advances in the photochemical release of NO from photo-NORMs have been reviewed previously.<sup>103–109</sup>

**4.2.1. Transition-Metal-Free PhotoNORMs.** There are three types of transition-metal-free photoactivatable nitric oxide (NO) donors (often termed photoactivatable nitric-oxide-releasing moieties, or photoNORMs): (i) *N*-nitroso amines, (ii) diazeniumdiolates (NONOates, R<sub>2</sub>N–(NO<sup>−</sup>)–N=O) and related structures, and (iii) *o*-substituted nitroarenes and their derivatives. NO release from these species can be induced by direct excitation or energy/electron transfer from an excited sensitizer.

*N*-Nitroso amines (Scheme 72) are popular NO donors because they are easily prepared by nitrosylation of amines.<sup>1214</sup> The N–NO bond is typically very weak (~39 kcal mol<sup>−1</sup>); its energy is comparable to that of a photon with a wavelength of ~730 nm.<sup>103</sup> However, the N–NO group exhibits very limited absorption in the visible/NIR part of the spectrum and is therefore always combined with a suitable sensitizer. Upon NO photorelease, *N*-nitroso amines form aminyl radicals that may abstract hydrogen atoms from other molecules or undergo reduction or oxidation.<sup>1215,1216</sup> They can also transnitrosate with nucleophiles such as thiols.<sup>1217</sup>

The thermally stable and non-cytotoxic aza-BODIPY-based *N*-nitrosamine 287 (Figure 43) was shown to release NO upon irradiation at λ<sub>irr</sub> = 700 nm *in vitro* and *in vivo*.<sup>1218</sup> This molecule also acts as an excellent photoacoustic sensor,

## Scheme 72. Photoreactions of *N*-Nitroso Amines<sup>1214,1215,1217</sup>

Figure 43. Structures of BODIPY *N*-nitroso amine-based photoNORMs.

allowing the local, irradiation-dependent release of NO to be monitored *in vivo* by photoacoustic tomography. The BODIPY scaffold was also used to prepare 288,<sup>1077</sup> which releases NO upon irradiation at wavelengths in the range λ<sub>irr</sub> = 470–500 nm with a Φ<sub>r</sub> of 0.0019 at 488 nm (Figure 30).

Like 288, the rosamine photoNORM 289 releases NO upon irradiation at λ<sub>irr</sub> = 530–590 nm (Scheme 73).<sup>1219</sup> The suggested mechanism involves photoinduced electron transfer from the *N*-nitrosoaminophenol group to the excited rosamine moiety to form unstable phenoxyl radical 290, which decomposes to release NO and form the stable quinoneimine 291.

This approach was further developed by the synthesis of photoNORMs 292 and 293 (Figure 44), and it was found that the distance between the NO-releasing *N*-nitrosoaminophenol group and the rosamine sensitizer profoundly affects the efficiency of NO release.<sup>1220</sup> Compound 293 exhibited the most efficient NO release (Φ<sub>r</sub> = 1.01 × 10<sup>−3</sup>); the other

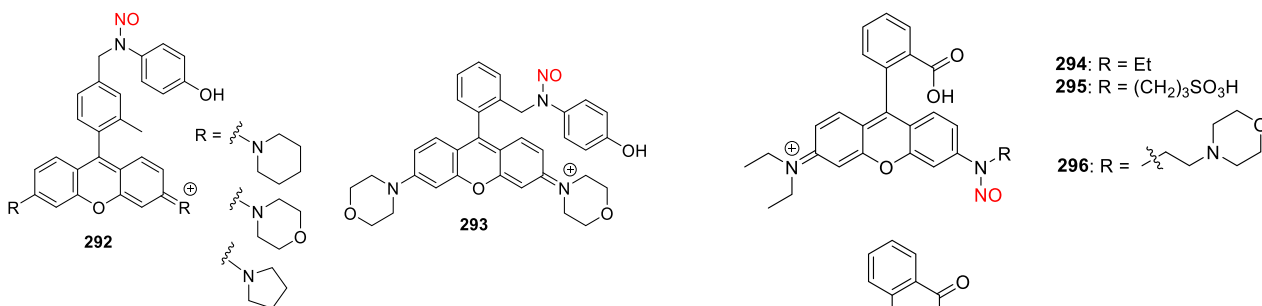
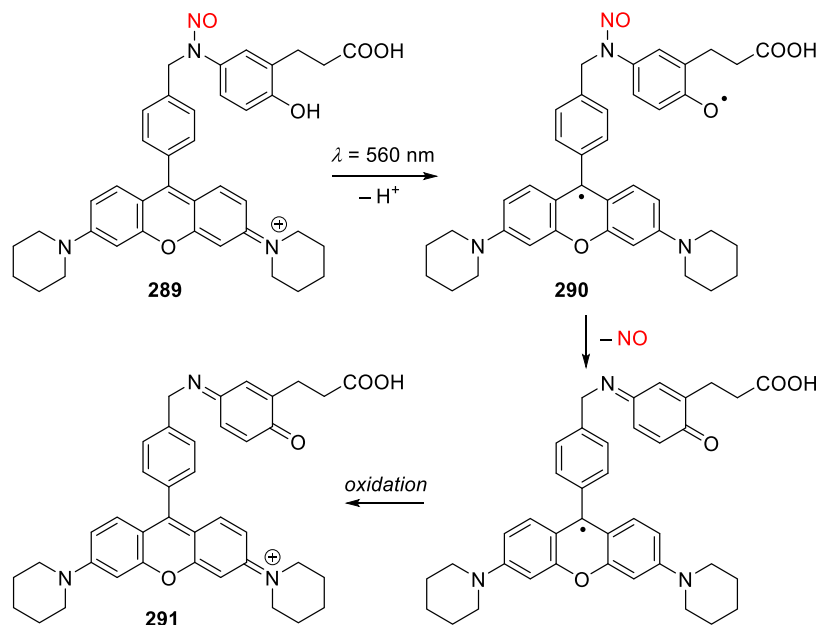
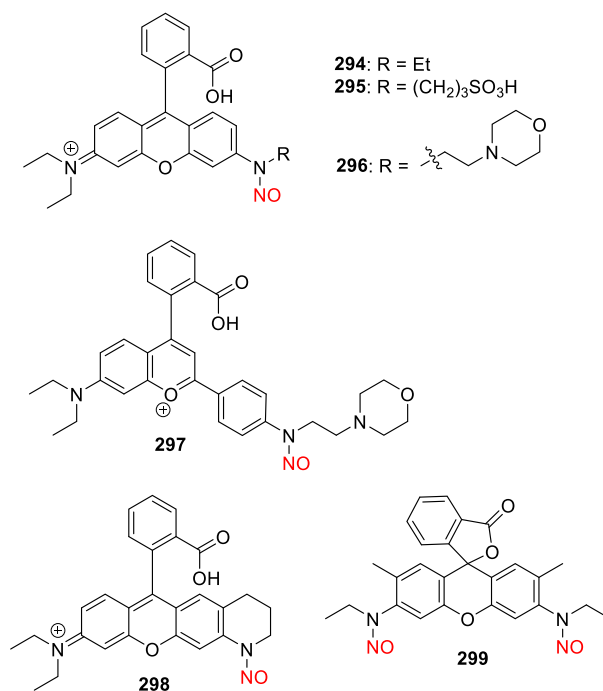
Scheme 73. Mechanism of Photochemical Release of NO from 289<sup>1219</sup>

Figure 44. Structures of rosamine-based photoNORMs.

derivatives were strongly fluorescent. This proximity effect was attributed to  $\pi$ - $\pi$  stacking and the formation of conformational isomers that enable efficient electron transfer. Conjugation of D-galactose with the phenolic hydroxy group completely blocked NO release, which was restored by selective enzymatic hydrolysis with  $\beta$ -galactosidase, enabling NO release using two independent triggers that can be activated simultaneously. The formation of phenoxyl radical 290 (Scheme 73) was shown to be the key step in NO release. The release of NO from compound 293 upon irradiation at 530–590 nm was tested in HET293T cells and used to control the response of rat aortas to NO in an *ex vivo* system.<sup>1221</sup> Compounds 288, 289, and 293 were subsequently evaluated as potential NO donors for treatment of erectile dysfunction.<sup>105</sup>

Yang and co-workers recently developed a visible-light absorbing photoNORM based on *N*-nitroso rhodamine derivative 294 (Figure 45).<sup>1222</sup> Upon irradiation with  $\lambda_{irr} = 532$  nm, the weakly fluorescent molecule 294 was converted into NO and a fluorescent rhodamine-based photoproduct ( $\Phi_F = 0.43$  at 560 nm in phosphate buffer, pH = 7.4), which was used to monitor the localization, flux, and dose of the released NO. NO release was found to occur within 7 ps after excitation. A sulfonate group was attached to the *N*-nitrosamino group in photoNORM 295 to increase its water solubility,<sup>1223</sup> while the morpholine-substituted derivative 296 was designed to target the lysosomes and release NO in living

Figure 45. *N*-Nitroso amine-based photoNORMs.

cells and zebrafish.<sup>1224</sup> Interestingly, a chromenylum analog of 296, 297, released NO in 91% yield only upon excitation with 365 nm light despite absorbing in the visible region ( $\lambda_{max}^{abs} = 537$  nm).<sup>1225</sup> Additionally, the dihedral angle between the nitroso moiety and the rhodamine core was found to influence the efficiency of NO photorelease. The nitrosamine group is almost orthogonal to the plane of the chromophore in 294–296, whereas in the ring-restricted compound 298, the nitrosamine moiety is locked in a coplanar geometry.<sup>1216</sup> The direct conjugation of the chromophore and nitrosamine systems enables more efficient photoinduced intramolecular charge transfer, leading to NO release at  $\lambda_{irr} = 532$  nm,  $\sim 20$ -times more efficiently than from 294. A doubly *N*-nitrosylated

analog of **294**, **299**, released NO only upon UV light irradiation ( $\lambda_{\text{irr}} = 365 \text{ nm}$ ; Figure 45).<sup>1226</sup> Unlike **294**, which exists as an equilibrating mixture of a fluorescent visible-light-absorbing open form and a non-fluorescent UV-light-absorbing lactone open form, photoNORM **299** exists exclusively as a lactone. This molecule was used to study changes in mitochondrial dynamics following NO release induced by irradiation at 375 nm.<sup>1227</sup>

The naphthalimide derivative **300** is another notable *N*-nitrosoamino photoNORM (Figures 46 and 30).<sup>1078</sup> It releases

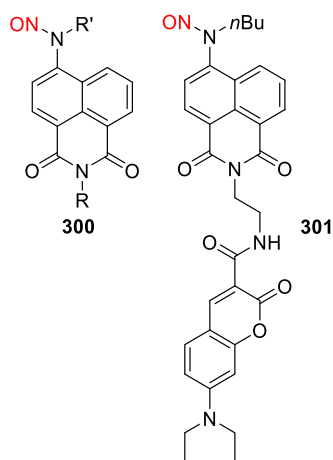
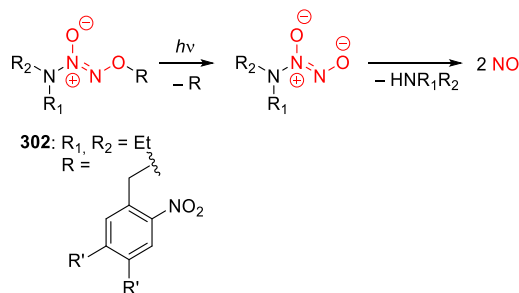


Figure 46. Naphthalimide-based photoNORMs.

NO only upon irradiation with UV light ( $\lambda_{\text{irr}} = 365 \text{ nm}$ ) or 2P excitation at  $\lambda_{\text{irr}} = 740 \text{ nm}$ . Its coumarinyl-substituted analog **301** also releases NO upon UV irradiation or 2P excitation at  $\lambda_{\text{irr}} = 800 \text{ nm}$ , with a chemical yield of 79%.<sup>1228</sup>

Diazoniumdiolates (NONOates) release two equivalents of NO via thermal processes and have been investigated by Keefer and co-workers as potential liver-selective NO donating prodrugs.<sup>1229</sup> NONOates release NO in neutral aqueous solutions at a rate that depends on their structure. Because of their simple preparation<sup>1230</sup> and generation of predictable amounts of NO, they have attracted considerable attention as NORMs.<sup>1231,1232</sup> NO release from NONOates is insensitive to biological factors, so they do not induce resistance.<sup>1233</sup> The first attempt to control NO release from NONOates with light was reported by Tsien and co-workers, who used the *o*-nitrobenzyl protecting group (section 2.1.1) as a PPG in **302** (Scheme 74).<sup>1234</sup> This group is activated only by UV-irradiation ( $\lambda_{\text{irr}} = 365 \text{ nm}$ ); upon deprotection of the NO group, it thermally releases two equivalents of NO and a secondary amine. Another approach was used by Sortino and

Scheme 74. Photochemical Deprotection of NONOates<sup>1234</sup>



co-workers, who used the thermal NO releaser cupferron (which exists in two resonance forms: phenyldiazonium diolate **303** and *N*-nitroso-*N*-phenylhydroxylamine **304**)<sup>634</sup> in the BODIPY-based (see section 2.11) photoNORM **305** (Figure 47).<sup>803</sup> Its irradiation at 530–550 nm resulted in the heterolytic cleavage of the BODIPY protecting group, unmasking cupferron, which thermally releases 1 equiv of NO ( $\Phi_r = 0.008 \pm 0.001$  at 532 nm;  $\Phi_r \epsilon(\lambda_{\text{irr}}) = 550 \text{ M}^{-1} \text{ cm}^{-1}$ ). Iodo analog **306** was introduced as a photosensitizer for photodynamic therapy that simultaneously photoreleases NO (Figure 47).<sup>798</sup> The iodine atoms enhance the quantum yield of ISC, which increases singlet oxygen production in aerated solutions because of the sensitizing effect of the triplet-excited BODIPY group.

*o*-Substituted nitroarenes have also been identified as potential photoNORMs. The *o*-trifluoromethyl nitrobenzene derivatives **307** ( $R = \text{H}$ , Scheme 75) isomerize upon irradiation with UV light ( $\lambda_{\text{max}}^{\text{abs}} \approx 300 \text{ nm}$ ) to give aryl nitrite derivatives **308**,<sup>1235</sup> which thermally release NO to form phenoxy radicals **309**. These radicals subsequently abstract hydrogen atoms to give phenol derivatives **310**. The substituent in the *ortho*-position plays a key role in this process because it forces the nitro group to adopt a twisted geometry. Introducing an amino substituent *para* to the nitro group yields a chromophore with push-pull character, bathochromically shifting the absorption bands by  $\sim 80 \text{ nm}$  (**307**,  $R = \text{NHR}'$ ,  $R' = \text{alkyl, acyl}$ ).<sup>1235</sup>

Hexadecylamine-substituted 4-nitro-3-(trifluoromethyl)-aniline **311** (Figure 48) was used by Jose and co-workers to prepare nanoscale lipid vesicles for photoinduced NO delivery ( $\lambda_{\text{irr}} = 410 \text{ nm}$ ).<sup>1236</sup> However, even push-pull-substituted nitroarenes are poor chromophores ( $\epsilon_{\text{max}} \approx 1000 \text{ M}^{-1} \text{ cm}^{-1}$ ) and must be sensitized to enable visible-light activation. Sortino and co-workers introduced the anthracene-based photoNORM **312**, which releases NO upon irradiation with 420 nm light.<sup>1237</sup> **312** was used as a photoNORM in the construction of a fluorescein-labeled  $\beta$ -cyclodextrin-based supramolecular nanoassembly with a red-emitting singlet oxygen photosensitizer (zinc phthalocyanine).<sup>1238</sup> This system exhibits “five-in-one” photochemical features: visible-light or 2P (740 nm) excitation, facile visualization due to distinct fluorescence, production of cytotoxic singlet oxygen, and NO release. The coumarin-based compound **313** was incorporated into photo-antimicrobial polymeric films to release NO upon irradiation at  $\lambda_{\text{irr}} > 400 \text{ nm}$ .<sup>1239</sup> *o*-Trifluoromethyl-substituted nitroarenes were similarly used to prepare diketopyrrolopyrrole-based nanoplatfoms for pH-responsive photodynamic/photothermal synergistic cancer therapy.<sup>1240</sup>

Miyata and co-workers developed a series of 2,6-dimethyl-nitrobenzene-based photoNORMs with properties similar to their trifluoromethyl analogs.<sup>110,1241</sup> However,  $\pi$ -extension of the aromatic system did not lead to visible-light activated NO release. The most active derivative **314** (Table 18) generated 0.55 equiv of NO upon irradiation with UV light. The attachment of fluorescein as a sensitizer generated the visible-light-absorbing molecule **315**, which releases NO only upon UV-irradiation or 2P excitation ( $\sigma_{\text{TPA}} = 0.12 \text{ GM}$ ).<sup>1242,1243</sup> The conjugated analog **316** releases NO both by 1P and 2P excitation with a 2P absorption cross section 8-times higher than that of **315** ( $\sigma_{\text{TPA}} = 0.98 \text{ GM}$ ).<sup>1244</sup> A similar approach is embodied in rhodamine-based derivatives **317**, which liberate NO upon irradiation with yellow light,<sup>1245</sup> and in compound

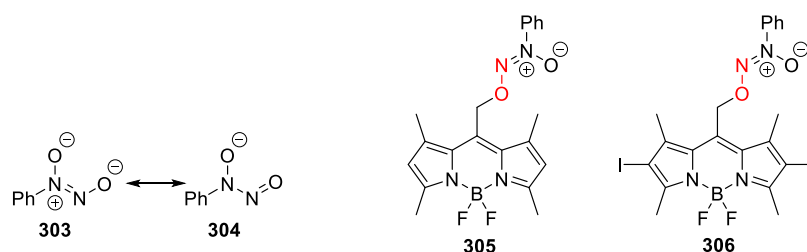


Figure 47. Structures of cupferron and cupferron-based photoNORMs.

**Scheme 75. Photochemical Release of NO from *o*-Substituted Nitroarenes**<sup>1235</sup>

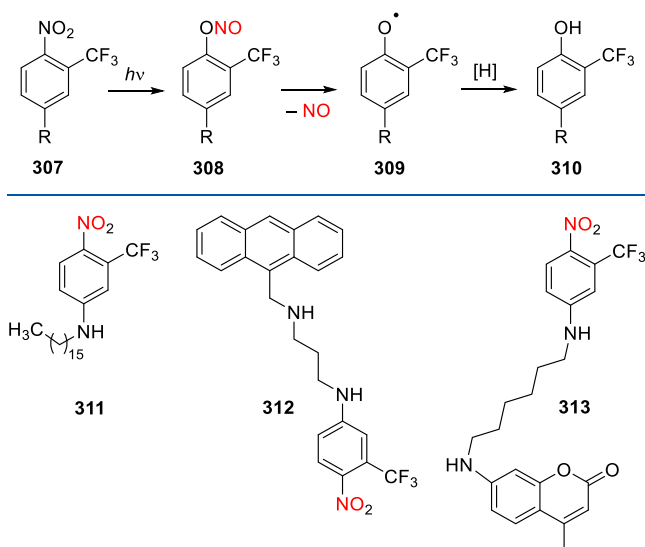


Figure 48. Structures of *o*-trifluoromethyl substituted nitroarene-based photoNORMs.

318, which incorporates 6-bromo-7-hydroxycoumarin as a sensitizer.<sup>1246</sup>

Sortino and co-workers recently synthesized two hybrid fluorescent photoNORMs **319a** and **320** (Figure 49) that combine an *N*-nitrosamine moiety with an *o*-trifluoromethyl nitroarene and a BODIPY or rhodamine sensitizer.<sup>1247</sup> Both compounds released NO upon irradiation with green light ( $\lambda_{\text{irr}} = 510$  nm for **319a** and 532 nm for **320**), but release was more efficient from the BODIPY-substituted derivative **319a** ( $\Phi_r = 0.031$ ) than from the rhodamine-substituted compound **320** ( $\Phi_r = 0.001$ ). The reaction was suggested to be initiated by electron transfer from the *N*-NO group to the excited dye moiety. While these compounds should release 2 equiv of NO (one from the *N*-nitrosamine and one from the aryl nitro group), only the cleavage of the *N*-nitrosamine *N*-NO bond was observed. The iodo analog of **319a**, **319b**, releases NO upon irradiation with 532 nm light, which is accompanied by singlet oxygen production.<sup>1248</sup>

**4.2.2. Transition-Metal-Containing PhotoNORMs.** The most accessible sources of NO are nitrosyl and nitrito transition metal complexes with weakly bound NO ligands that can be released by external triggers. The oldest and best known NO donors are pentacyanonitrosylmetallates such as disodium pentacyanonitrosylferrate (sodium nitroprusside), which has been known since the mid-19th century.<sup>1249–1251</sup> The M-NO bond is readily cleaved by excitation into the MLCT ( $d_M \rightarrow \pi^*_{\text{NO}}$ ) band of an organometallic complex.

These bands exist in the visible region (above 400 nm); excitation weakens  $\pi$ -back-bonding to the NO ligand and facilitates electron transfer from the metal center to  $\text{NO}^+$ , which is then liberated as a neutral NO molecule.<sup>1252</sup> Transition metal complexes releasing NO have been reviewed by Ford<sup>77–79,98,107</sup> Mascharak,<sup>63</sup> and Liu<sup>1253</sup> and their co-workers.

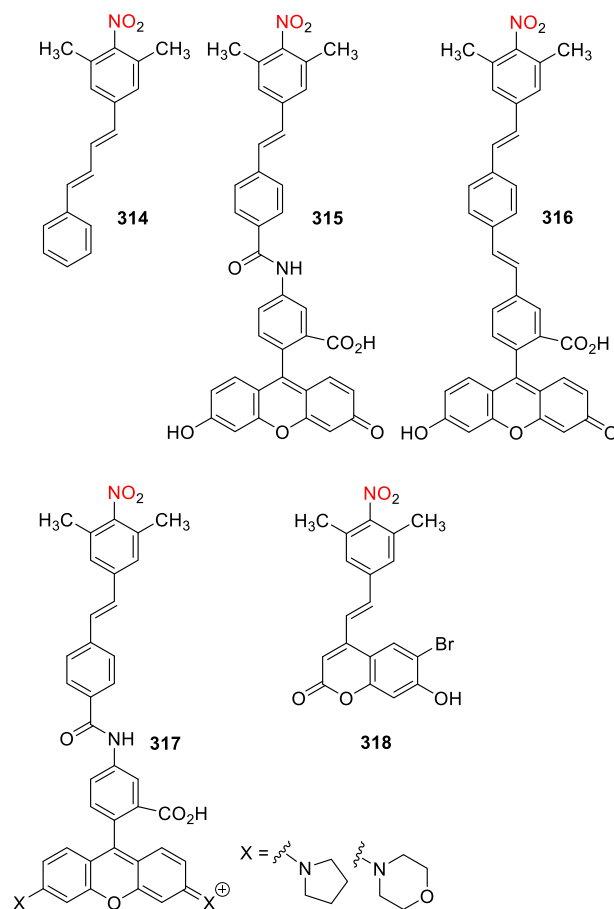
The nitrito complex *trans*-[Cr<sup>III</sup>(cyclam)(ONO)<sub>2</sub>]<sup>+</sup> **321** (Scheme 76) was shown to release one equivalent of NO upon irradiation at 436 nm with  $\Phi_r = 0.0092$  in a degassed aqueous solution.<sup>1254</sup> Upon irradiation in aerated aqueous solutions, the complex releases NO with  $\Phi_r = 0.25$ . This dichotomy was attributed to oxidation of the Cr<sup>IV</sup> complex formed upon NO release by O<sub>2</sub> to give Cr<sup>V</sup> species **322**. The presence of glutathione increased the quantum yield of NO release to  $\Phi_{\text{NO}} = 0.25$  by reducing an intermediate Cr<sup>IV</sup> complex to a Cr<sup>III</sup>-OH complex.<sup>1255,1256</sup> Complex **321** was combined with anthracene or pyrene “antenna” ligands (**323a** and **323b**, Figure 50) that harvest light and act as fluorescent reporters.<sup>1255,1256</sup>

Ford and co-workers recently developed a Cr<sup>III</sup> nitrito complex **324** (Figure 51), which photoreleased NO upon irradiation at 451 nm<sup>1257</sup> and also upon irradiation at 800 nm when loaded onto polymer disks containing Nd-sensitized upconverting nanoparticles (see also section 6.4.2).

Fe<sup>III</sup> and Fe<sup>II</sup> nitrosyl complexes have long been known as photoNORMs. The most established Fe<sup>II</sup> nitrosyl complex, sodium nitroprusside **325** (Scheme 77), was shown to photochemically release both CN<sup>-</sup> and NO upon irradiation with 314–456 nm light.<sup>1250</sup> When irradiated in aqueous solution at >480 nm, NO was the sole photoproduct together with the oxidized Fe<sup>III</sup> aqua complex as a side-product. NO release from nitrosyl complexes of electron-rich d-elements generally proceeds via electron transfer from the metal center to the NO<sup>+</sup> ligand and subsequent release of the NO radical.

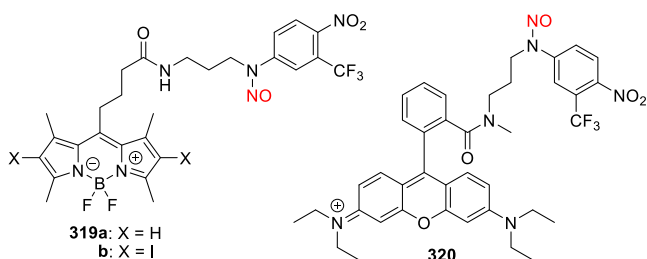
Roussin’s black salt **326** (Figure 52) and Roussin’s red salt **327** were also found to release NO (5.9 equiv for **326** and 4 equiv for **327**) upon photolysis at wavelengths of 313–546 nm.<sup>1141</sup> The NO release quantum yield of **326** was  $\Phi_r = 0.007$ , while that of **327** was one order of magnitude higher. Like Cr<sup>III</sup> complexes **321**, the NO release efficiency was increased in the presence of oxygen. Encapsulation of **326** in NIR-absorbing nanocarriers resulted in efficient NO photorelease upon 980 nm excitation.<sup>1258,1259</sup> Derivatives of **327** and **328** were used as photoNORMs to efficiently release NO in aerated solutions.<sup>1260–1263</sup> Protoporphyrin IX was used to sensitize NO release from compound **328** (R = CH<sub>2</sub>CH<sub>2</sub>OH) at 436 and 546 nm with quantum yields of  $\Phi_r = 5.2 \times 10^{-4}$  and  $2.5 \times 10^{-4}$ , respectively.<sup>1262</sup> Ford and co-workers showed that **328** (R = CH<sub>2</sub>CH<sub>2</sub>OH) could also be activated by attaching either sensitizing fluorescein derivatives absorbing at  $\lambda_{\text{irr}} = 400$  (1PE) and 800 nm (2PE)<sup>1260</sup> or a benzothiazolyl-substituted

Table 18. 2,6-Dimethylnitrobenzene-Based PhotoNORMs



NORM	$\lambda_{\max}^{\text{abs}}$ (nm)	$\epsilon_{\max}$ ( $M^{-1} \text{ cm}^{-1}$ )	$\lambda_{\text{irr}}$ (nm)	$\Phi_r$ ( $\lambda_{\text{irr}}/\text{nm}$ )	solvent	ref
314	335	n.d.	UV <sup>a</sup>	n.d.	H <sub>2</sub> O:DMSO 3:1 (v/v)	110, 1241
315	452	n.d.	330–380 450–480 720–735 <sup>c</sup>	n.d.	H <sub>2</sub> O:DMSO 3:1 (v/v)	1242
316	450	n.d.	450–480 720 <sup>c</sup>	n.d.	H <sub>2</sub> O:DMSO 3:1 (v/v)	1244
317, X = pyrrolidine	563	26 300	530–590	0.0023 (550)	phosphate buffer <sup>b</sup>	1245
317, X = morpholine	553	15 500	530–590	n.d.	phosphate buffer <sup>b</sup>	1245
318	360	11 200	400–430	0.053 (358)	H <sub>2</sub> O:DMSO 1:1 (v/v)	1246

<sup>a</sup>UV irradiation with a Pyrex filter. <sup>b</sup>Sodium phosphate buffer,  $c = 100 \text{ mM}$ , 1% DMSO (v/v). <sup>c</sup>2-photon excitation.

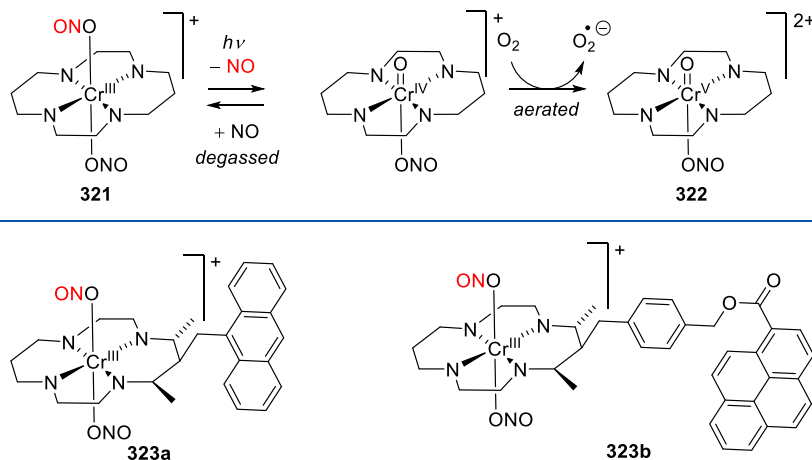
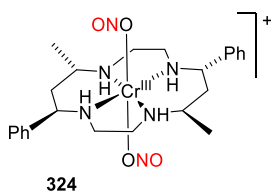
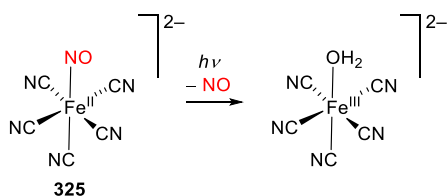


**Figure 49.** Hybrid photoNORMs combining *N*-nitrosamines and *o*-trifluoromethyl nitroarenes.

fluorenyl two-photon antenna with a large 2P absorption cross section ( $\sigma_{\text{TPA}} = 246 \text{ GM}$ ).<sup>1264</sup> Patra, Mascharak, and co-workers prepared Fe<sup>III</sup> complex **329** (Figure S2), which incorporates pentadentate carboxamide-containing li-

gands.<sup>63,1265,1266</sup> This complex releases NO upon irradiation with visible light at 500 nm with  $\Phi_r = 0.19$ . Lee, Chiang, Tsai, and co-workers recently introduced novel Fe<sup>II</sup>-based photo-CORMs with pendant thiols or thioethers (**330**, R = H, CH<sub>3</sub>).<sup>1267</sup> The *S*-methylated complex releases NO upon irradiation with visible light ( $\lambda_{\text{irr}} > 400 \text{ nm}$ ), but the free thiol in **330** (R = H) interacts with the departing NO, generating HNO as the main photoproduct.

Complex **331**, a Mn<sup>II</sup> analog of complex **329** (Table 19; Figure 31), irreversibly released NO upon visible-light activation<sup>1081,1268</sup> and was used to construct NO-releasing polyurethane-coated sol-gel hybrid materials.<sup>1269</sup> Replacing one pyridyl ligand of **331** with a quinoline unit yielded complex **332**, in which the absorption band is bathochromically shifted but photoactivity upon irradiation is retained at up to 810 nm.<sup>1081</sup> Additionally, the absorption maxima of the

Scheme 76. Cr<sup>III</sup>-Nitrito Complexes and Their Photochemistry<sup>1254</sup>Figure 50. Cr<sup>III</sup>-Nitrito complexes substituted with antenna ligands.Figure 51. Cr<sup>III</sup> nitrito complex 324.Scheme 77. Photochemistry of Sodium Nitroprusside<sup>1250</sup>

related complexes 333 and 334 (Figure 31), which contain imine nitrogens *trans* to the NO ligand, are bathochromically shifted by ~100 nm relative to their carboxamide counterparts 331 and 332.<sup>1082</sup>

Hitomi and co-workers studied the effects of varying the electronic properties of the ligands and the irradiation wavelength on the NO photorelease quantum yields of substituted complexes 335.<sup>1270</sup> Electron-neutral and electron-donating groups gave the highest NO liberation efficiency at 460 nm, whereas electron-withdrawing groups provided the most efficient release at 650 nm.

Thanks to their robustness, thermal stability, and photo-reactivity, Ru<sup>II</sup> nitrosyl complexes have become established as useful photoNORMs.<sup>113,1271–1286</sup> The applications of these complexes are quite broad and beyond the scope of this review. Several representative complexes of this type, namely the nitrosyl-substituted Ru<sup>II</sup> trichloride complex 336,<sup>1277</sup> *trans*-tetraamine Ru<sup>II</sup> nitrosyl complex 337 substituted with *N*- or *P*-based ligands,<sup>1276</sup> and the porphyrin-based Ru<sup>II</sup> nitrosyl complexes 338,<sup>1278</sup> are shown in Figure 53.

Cyclam Ru<sup>II</sup> complex 339, prepared by Tfouni and co-workers, releases NO only upon irradiation with near-UV light ( $\Phi_r = 0.14$  at 355 nm).<sup>1287</sup> Salen complexes 340 bearing  $\pi$ -extended ligands were extensively studied as potential photoNORMs because of their visible-light absorption.<sup>1275,1283,1288</sup> Upon irradiation at  $\lambda_{irr} = 546$  nm, 340 (in

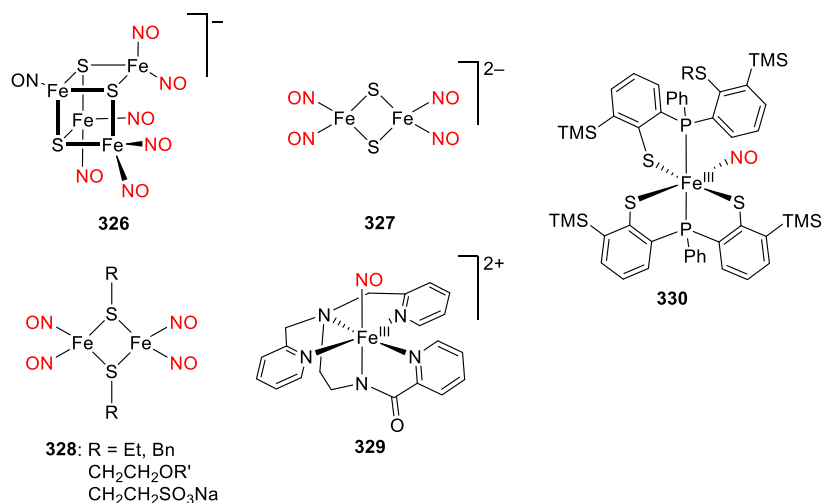
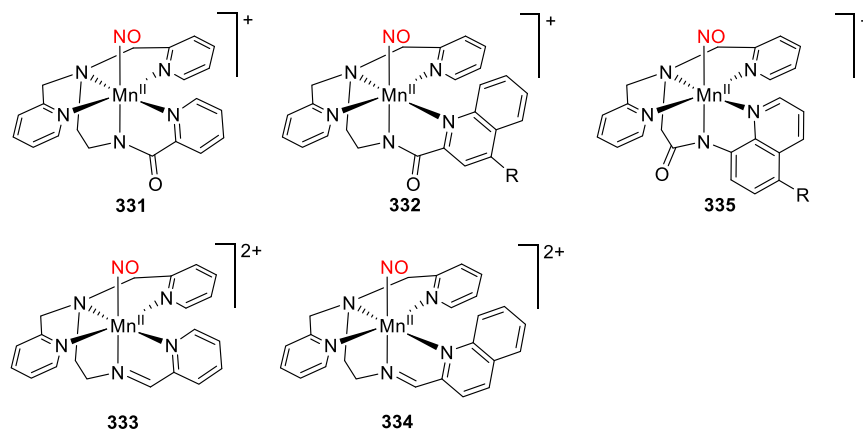


Figure 52. PhotoNORMs based on iron–sulfur clusters.



Table 19. Manganese(II) Multidentate Complexes Activatable in the Visible and NIR Region



NORM	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$\epsilon_{\text{max}}$ ( $\text{M}^{-1} \text{cm}^{-1}$ )	$\lambda_{\text{irr}}$ (nm)	$\Phi_{\text{r}}$ ( $\lambda_{\text{irr}}$ /nm)	solvent	ref
331	635	220	500, 550	0.326 (500)	CH <sub>3</sub> CN	1081, 1268
				0.309 (550)	CH <sub>3</sub> CN	
				0.400 (500)	H <sub>2</sub> O	
				0.385 (550)	H <sub>2</sub> O	
332	650	450	500, 550	0.623 (500)	CH <sub>3</sub> CN	1081
				0.579 (550)	CH <sub>3</sub> CN	
				0.742 (500)	H <sub>2</sub> O	
				0.694 (550)	H <sub>2</sub> O	
333	720	750	500, 550	0.41 (500)	CH <sub>3</sub> CN	1082
				0.58 (550)		
334	785	1200	500, 550	0.39 (500)	CH <sub>3</sub> CN	1082
				0.43 (550)		
335 R = OCH <sub>3</sub>	457	4740	460, 530, 650	0.58 (460)	MES <sup>a</sup>	1270
				0.47 (530)		
				0.49 (650)		
				0.61 (460)		
R = H	461	3120		0.51 (530)		
				0.47 (650)		
R = Cl	475	6940		0.66 (460)		
				0.66 (530)		
R = NO <sub>2</sub>	523	$13.6 \times 10^3$		0.73 (650)		
				0.61 (460)		
				0.63 (530)		
				0.78 (650)		

<sup>a</sup>MES = a 2-(*N*-morpholino)ethanesulfonic acid-based buffer.

which X = Cl<sup>-</sup>) released NO more efficiently ( $\Phi_{\text{r}} = 0.07$ ) than complexes with other X ligands (ONO<sup>-</sup> or H<sub>2</sub>O).<sup>1275,1283</sup>

Mascharak and co-workers developed a series of Ru<sup>II</sup> complexes **341** bearing tetradentate ligands.<sup>1272,1289,1290</sup> A systematic study of complexes in this series with  $\pi$ -extended ligands revealed factors important for release in the visible region.<sup>1272</sup> For example, **341** (R = OMe, X = Cl<sup>-</sup>) releases NO with  $\Phi_{\text{r}} = 0.01$  at 500 nm ( $\lambda_{\text{max}}^{\text{abs}} = 420$  nm), while its  $\pi$ -extended quinoline analogue (**341**, R = OMe, X = Cl<sup>-</sup>, quinoline ligand) is photolyzed more efficiently ( $\Phi_{\text{r}} = 0.025$  at 500 nm,  $\lambda_{\text{max}}^{\text{abs}} = 490$  nm).<sup>1289</sup> Ru<sup>II</sup> nitrosyl complex **342** containing a tridentate *N*-(pyridin-2-ylmethylene)quinolin-8-amine ligand released NO upon irradiation with both 365 nm UV light and visible light with  $\Phi_{\text{r}} = 0.004$  (at 365 nm) in acetonitrile.<sup>1291</sup> Similarly, Ru<sup>II</sup> complexes **343** and **344** (Figure S4) released NO upon irradiation at 355 nm in water ( $\Phi_{\text{r}} = 0.12$  and 0.20, respectively) and at 410 nm in acetonitrile ( $\Phi_{\text{r}} = 0.05$  and 0.17, respectively).<sup>1292</sup>

Malfant and co-workers investigated the mechanism of NO photorelease in Ru<sup>II</sup> nitrosyl complexes with terpyridyl ligands bearing substituents having different electron-donating abilities (**345a–345c**; Table 20),<sup>1293,1294</sup> showing that low-lying electronic transitions that drive NO release exhibit strong charge-transfer interactions with the nitrosyl moiety. Upon excitation, the nitrosyl MLCT state is reduced to form a free NO radical and an oxidized Ru<sup>III</sup> metal complex. Additionally, the 9-dibutyl-9*H*-fluoren-2-yl substituted Ru<sup>II</sup> terpyridine complexes **346** (Table 20) released NO upon irradiation with blue light.<sup>1295</sup> The fluorenyl substituents of these complexes make them excellent chromophores, with a 2-photon absorption cross section of  $\sigma_{\text{TPA}} = (156 \pm 23)$  GM. Substitution of the 9-dihexyl-9*H*-fluoren-2-yl groups in **347a** with *N*-ethylcarbazol-3-yl ligands (as in **347b**) strengthened the bathochromic shift of the charge-transfer transitions toward the electron-withdrawing Ru-NO fragment, resulting in excellent 2-photon absorption ( $\sigma_{\text{TPA}} = (159 \pm 22)$  GM) but

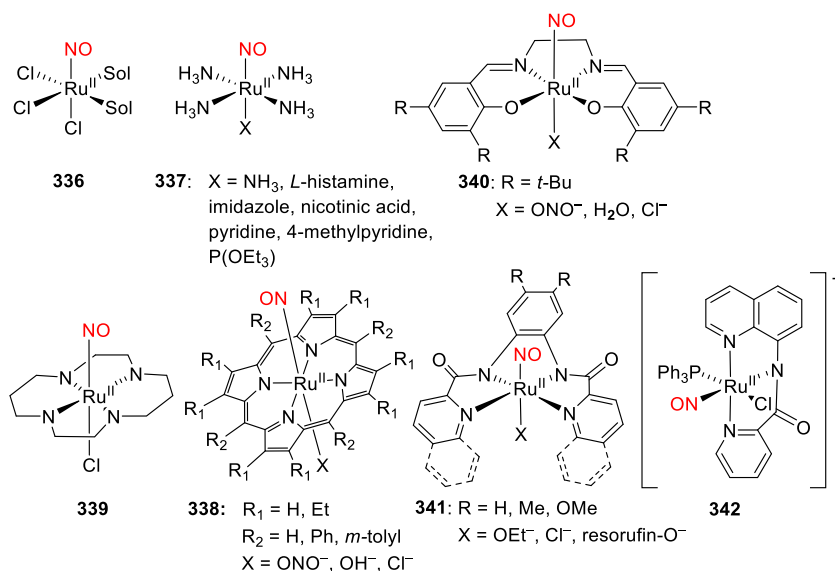


Figure 53. Structures of some Ru<sup>II</sup>-based photoNORMs, Sol = DMSO, CH<sub>3</sub>CN.

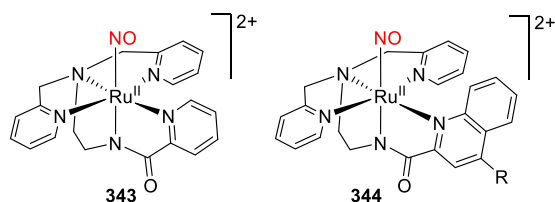


Figure 54. Structures of Ru<sup>II</sup> NO complexes with pentadentate ligands.

reducing the rate of NO release.<sup>1296</sup> A similar group of complexes **348** (Table 20) bearing zero, one, two, or three 4'- (4-methoxyphenyl) electron-donating substituents was also investigated.<sup>1297</sup> The degree of intramolecular charge-transfer toward the strongly electron-withdrawing nitrosyl ligand increased with the number of methoxyphenyl substituents. However, irradiation of these complexes in the charge-transfer absorption band revealed only minor differences in the quantum yield of NO release, indicating that the CT band is not the sole determinant of NO release efficiency and that other factors must be involved. Malfant and co-workers further extended the study of terpyridine Ru<sup>II</sup> complexes by examining derivatives **349** (Table 20),<sup>1298</sup> which released NO upon green-light irradiation. Similar complexes were used by Liu and co-workers to create NO-releasing Ru<sup>II</sup> nitrosyl-containing nanoplatfoms bearing BODIPY (**350**)<sup>1299</sup> or naphthalimide (**351**) ligands.<sup>1300</sup> Maji and co-workers recently synthesized two nitrosyl complexes **352**, which can be classified as {RuNO}<sup>6</sup> and {RuNO}<sup>7</sup> complexes using Enemark-Feltham notation.<sup>1301</sup> Irradiation with visible light caused NO release from both complexes, but the {RuNO}<sup>7</sup> complex (**352**<sup>2+</sup>) was more active. This was attributed to the more efficient formation of the MLCT state in the {RuNO}<sup>7</sup> complex, which contains a Ru<sup>II</sup>-NO• fragment, than in the {RuNO}<sup>6</sup> complex containing a Ru<sup>II</sup>-NO<sup>+</sup> fragment.

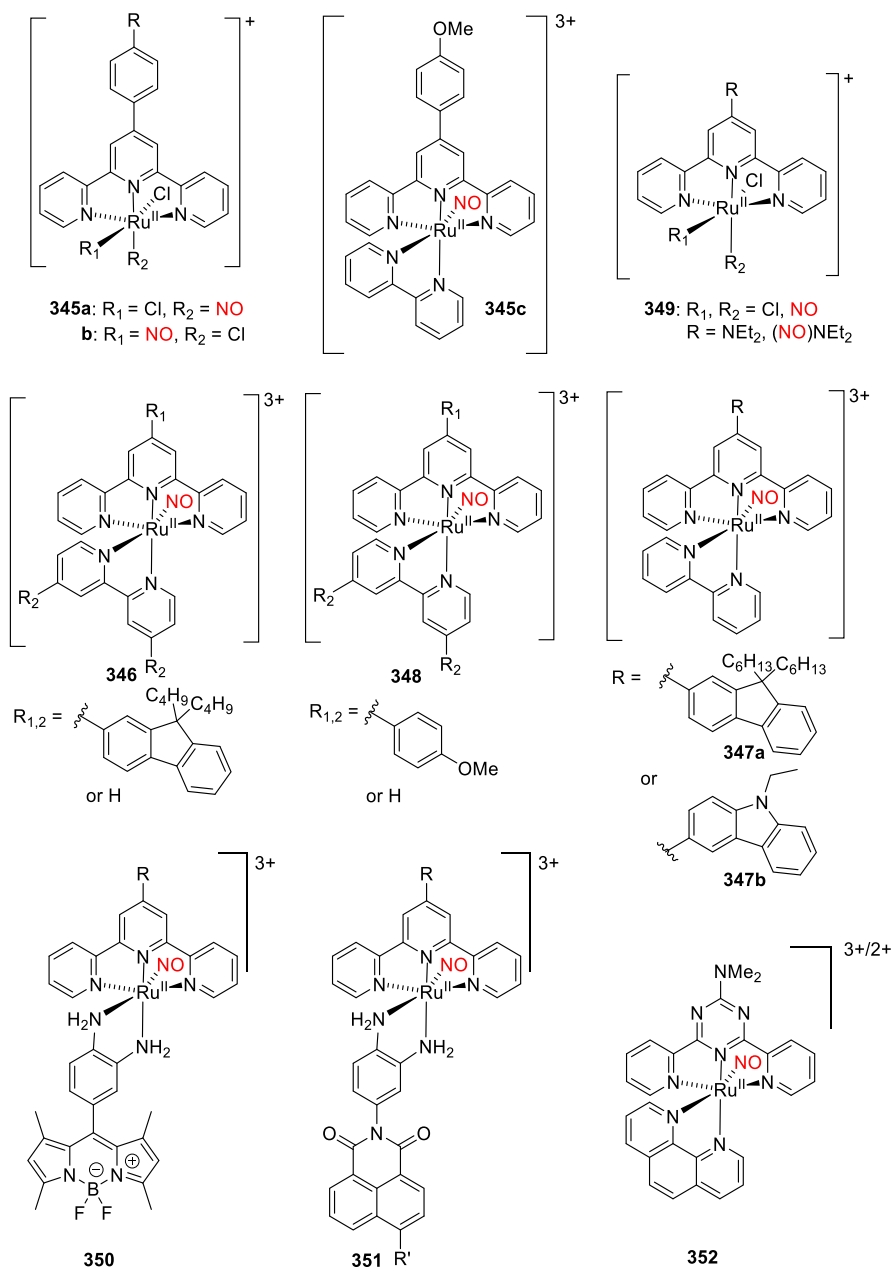
Slep and co-workers studied complexes **353**–**355** (Figure 55), which release NO upon visible-light irradiation ( $\lambda_{\text{irr}} = 455$  nm).<sup>1302</sup> Their quantum yields of NO release span 3 orders of magnitude, ranging from  $\Phi_r = 0.06 \times 10^{-3}$  for **353**, to  $\Phi_r = 1.63 \times 10^{-3}$  for **355**, and  $\Phi_r = 0.04$  for **354**. DFT analysis revealed that the presence of a second Ru<sup>II</sup> center increases the

molar absorption coefficient but does not necessarily influence the electronic distribution of the excited state responsible for NO release. Nikolaou and co-workers developed a ruthenium-based trinuclear complex [Ru<sub>3</sub>O(CH<sub>3</sub>COO)<sub>6</sub>(4-pic)<sub>2</sub>(NO)]-PF<sub>6</sub> ((4-pic) = 4-methylpyridine) that releases NO upon irradiation at  $\lambda_{\text{irr}} = 532$  nm.<sup>1303</sup>

Cho and co-workers recently synthesized a Co<sup>III</sup>-nitrosyl complex **356** (Figure 56) that efficiently released NO upon white-light irradiation ( $\lambda_{\text{irr}} = 385$ – $740$  nm;  $\Phi_r = 0.78$ )<sup>1304</sup> and was used in a real-time simulation of cell signaling to study extracellular signal-regulated kinases.

**4.2.3. Sensitized Release of NO from Metal Nitrosyl Complexes.** Transition metal nitrosyl complexes are often efficient photoNORMs that can be activated by visible light. However, their absorption bands have often lower molar absorption coefficients than common organic dyes ( $\epsilon \approx 10^3$  M<sup>-1</sup> cm<sup>-1</sup>)<sup>1082</sup> and absorption maxima in the 400–500 nm region, which is unsuitable for deep tissue irradiation. Unfortunately, modifications that bathochromically shift their absorption into the 600–800 nm region often render these complexes unstable to hydrolysis (i.e., NO and ligand solvolysis).<sup>98</sup> Many alternative strategies have therefore been developed to shift their absorption maxima into the red and infrared regions while maintaining good dark stability and enhancing their molar absorption coefficients. These include (i) conjugation of photoNORMs with antenna moieties,<sup>1081,1235,1261,1270,1272,1305,1306</sup> (ii) multiphoton excitation of photoNORMs,<sup>78,79,1243,1260,1305</sup> (iii) the use of semiconductor quantum dots (see also section 6.4.1),<sup>79,1305,1307</sup> and (iv) combining photoNORMs with upconverting nanoparticles (see also section 6.4.2).<sup>1258,1259,1305</sup>

Chromium(III) nitrito complexes **323a** and **323b** (Figure 50) that release NO upon intramolecular sensitization by pyrene or anthracene antennae are representative implementations of the first strategy.<sup>1255</sup> These complexes typically become fluorescent after releasing NO, enabling the reaction to be monitored. Roussin's red salt derivatives **328** (R = CH<sub>2</sub>CH<sub>2</sub>OH, Figure 52) bearing protoporphyrin IX as a sensitizer are another notable implementation.<sup>1262</sup> Ru<sup>II</sup> complexes **357** (Figure 57), which have tetradentate quino-line-based ligands containing various antennae (X = O,

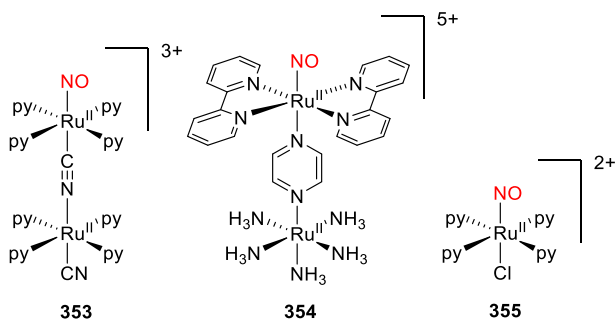
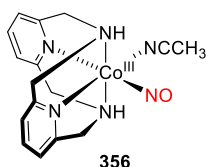
Table 20. Structures and Properties of Ru<sup>II</sup> PhotoNORMs with Terpyridine Ligands<sup>a</sup>

NORM	$\lambda_{\max}^{\text{abs}}$ (nm)	$\epsilon_{\max}$ (M <sup>-1</sup> cm <sup>-1</sup> )	$\lambda_{\text{irr}}$ (nm)	$\Phi_r$ ( $\lambda_{\text{irr}}$ /nm)	solvent	ref
<b>345a</b> – <i>trans</i>			365		CH <sub>3</sub> CN	1294
R = NO <sub>2</sub>	357	9900		0.05		
R = H	350	18 000		0.12		
R = Br	354	22 900		0.11		
R = OCH <sub>3</sub>	387	18 500		0.07		
<b>345b</b> – <i>cis</i>			365		CH <sub>3</sub> CN	1294
R = NO <sub>2</sub>	352	6700		0.24		
R = H	330	17 400		0.39		
R = Br	340	23 600		0.32		
R = OCH <sub>3</sub>	366	15 600		0.28		
<b>345c</b>	420	12.4 × 10 <sup>3</sup>	365, 436	0.08 (365) 0.03 (436)	CH <sub>3</sub> CN	1293
<b>346</b>			400, 405		CH <sub>3</sub> CN	1295
R <sub>1</sub> = Fl, R <sub>2</sub> = H	455	16 700		0.06 (400)		
R <sub>1</sub> = H, R <sub>2</sub> = Fl	362	39 400		0.033 (400)		
<b>347a</b>	453	16 700	405, 436	0.06 (405) 0.03 (436)	CH <sub>3</sub> CN	1296

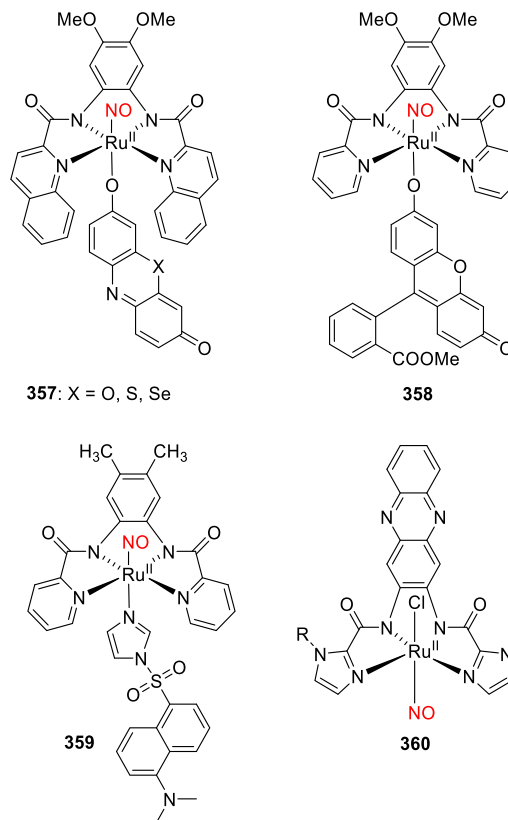
Table 20. continued

NORM	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$\epsilon_{\text{max}}$ ( $\text{M}^{-1} \text{cm}^{-1}$ )	$\lambda_{\text{irr}}$ (nm)	$\Phi_{\text{r}}$ ( $\lambda_{\text{irr}}/\text{nm}$ )	solvent	ref
347b	517	14 600	436	0.01	CH <sub>3</sub> CN	1296
348			365, 436		CH <sub>3</sub> CN	1297
R <sub>1</sub> = H, R <sub>2</sub> = H	352	n.d.		0.086 (365)		
R <sub>1</sub> = Ar, R <sub>2</sub> = H	425	n.d.		0.011 (436)		
R <sub>1</sub> = H, R <sub>2</sub> = Ar	360	33 000		0.024 (365)		
R <sub>1</sub> = Ar, R <sub>2</sub> = Ar	365	39 000		0.002 (436)		
	421	n.d. <sup>b</sup>				
349 – <i>trans</i>			365, 546		CH <sub>3</sub> CN	1298
R = NEt <sub>2</sub>	550	20 200		0.09 (365)		
				0.01 (546)		
R = (NO)NEt <sub>2</sub>	497	3200		0.13 (365)		
349 – <i>cis</i>			365, 546		CH <sub>3</sub> CN	1298
R = NEt <sub>2</sub>	516	17 200		0.12 (365)		
				0.045 (546)		
350	548	n.d.	>400, 470, 530, 672	0.034 (470)	H <sub>2</sub> O	1299
				0.083 (530)		
				0.017 (627)		
351	519	n.d.	808	0.017	saline <sup>c</sup>	1300
352			– <sup>d</sup>	n.d.	CH <sub>3</sub> CN	1301
{RuNO} <sup>6</sup>	298	34 500		(74 min) <sup>e</sup>		
{RuNO} <sup>7</sup>	479	15 400		(17 min) <sup>e</sup>		

<sup>a</sup>Fl = 9,9'-dibutyl-9H-fluoren-2-yl, Ar = 4'-(4-methoxyphenyl). <sup>b</sup>A shoulder in the absorption spectrum. <sup>c</sup>NaCl aqueous solution ( $c = 150 \text{ mM}$ ). <sup>d</sup>Unspecified wavelength, Xe light source. <sup>e</sup>Half-life of the NO release for 352.

Figure 55. Ru<sup>II</sup>-based dinuclear photoNORMs.Figure 56. Co<sup>III</sup>-nitrosyl photoNORM.

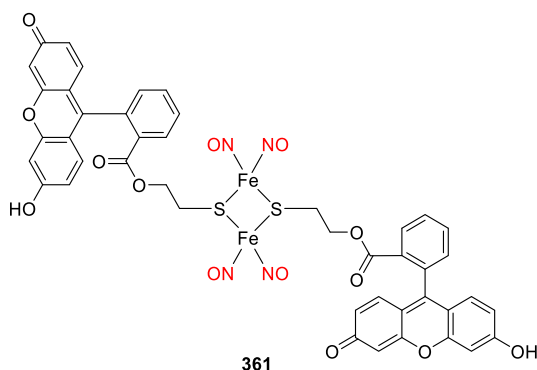
resorufin; X = S, thionol; X = Se, selenophore) were developed by Mascharak and co-workers.<sup>1289,1308,1309</sup> The attachment of the dye antenna introduces an absorption band ( $\epsilon \approx 28\,000 \text{ M}^{-1} \text{cm}^{-1}$ ) in the visible region (500–550 nm), and sensitized NO release occurs with  $\Phi_{\text{r}} = 0.1–0.2$ . The derivative 357 (X = Se) was shown to be photoactive even at  $\lambda_{\text{irr}} = 600 \text{ nm}$  with  $\Phi_{\text{r}} = 0.04$ .<sup>1309</sup> Fluorescein- and dansyl-substituted analogs 358<sup>1310</sup> and 359<sup>1311</sup> also efficiently released NO (358:  $\Phi_{\text{r}} = 0.306 \pm 0.01$  at 500 nm; 359:  $\Phi_{\text{r}} = 0.08$  at 400 nm) upon irradiation with visible light. Complex 358 has an internal fluorescence turn-on indicator of NO release because release is accompanied by the photochemical formation of a highly emissive fluorescein methyl ester, while complex 359 acts as a fluorescence turn-off indicator of NO release because it is converted into a non-emissive paramagnetic Ru<sup>III</sup>-dansyl aqua

Figure 57. Ru<sup>II</sup> photoNORMs with antennae.

complex upon irradiation.<sup>1310,1311</sup> Schiller and co-workers reported a combined spectroscopic-theoretical investigation of Ru<sup>II</sup> complex 360,<sup>1312</sup> which has a tetradentate ligand and releases NO upon irradiation at 475 nm.

Multiphoton excitation is another approach for NIR activation of NO release.<sup>1258,1260,1263,1264</sup> The fluorescein-

conjugated iron–sulfur cluster **361** (Figure 58) releases 4 equiv of NO upon both 2P- ( $\lambda_{\text{irr}} = 800 \text{ nm}$ ) and 1P- ( $\lambda_{\text{irr}} = 436$



**Figure 58.** PhotoNORM based on an iron–sulfur cluster sensitized with fluorescein.

nm;  $\Phi_{\text{NO}} = 0.014$ , calculated per NO molecule) excitation.<sup>1313</sup> Similar complexes were used to deliver NO to cells and tissues.<sup>1258,1263</sup>

Semiconductor quantum dots (see also section 6.4.1) and related nanoparticles can also be used to induce NO release upon irradiation with red or NIR light.<sup>1258,1259,1314–1319</sup> This approach is exemplified by the photosensitized release of NO from Cr<sup>III</sup> nitrito complex **321** (Scheme 76) with CdSe(ZnS) core/shell quantum dots upon irradiation with 450 nm light.<sup>1307,1320</sup> Tan and co-workers used a similar approach to design Mn<sup>II</sup>-doped ZnS quantum dots that were encapsulated in the polysaccharide chitosan and conjugated to Roussin's black salt **326** (Figure 52).<sup>1321,1322</sup> NIR excitation ( $\lambda_{\text{irr}} = 1160 \text{ nm}$ ) of this system caused 2P-induced photoluminescence at  $\lambda_{\text{max}}^{\text{em}} = 589 \text{ nm}$ . The emitted photons were then absorbed by **326**, inducing NO release.

A fourth way of inducing NO release with long-wavelength light is to use upconverting nanoparticles (see also section 6.4.2)<sup>1258,1323–1325</sup> that are excited via sequential absorption of 2 or more NIR photons and then emit upconverted blue-shifted light that is absorbed by attached photoNORMs. Nanoparticles of this type have been used in combination with Roussin's black salt **326**<sup>1258,1259</sup> and chromium(III) nitrito complex **321**.<sup>1326</sup>

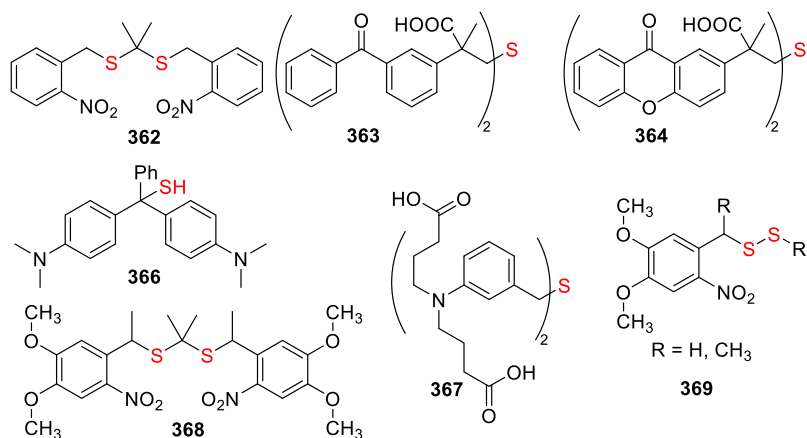
**4.2.4. NO-Photoreleasing Materials.** NO-releasing materials (see also section 6.4) have attracted considerable

research interest because of their potential to offer lower toxicity and better solubility and photoreactivity than molecular photoNORMs. This field has recently been thoroughly reviewed,<sup>98,104,106,107,803</sup> and a comprehensive discussion would be beyond the scope of this review. In general, NO-releasing materials contain NO donors attached to an inert carrier, which increases water solubility and may influence many (bio)physical properties including *in vivo* stability, biodistribution, and pharmacokinetics. NO donors are often coupled with a visible-light absorbing sensitizer or a NIR-absorbing upconverting species to improve photorelease.<sup>104</sup> The carriers are often biocompatible polymers,<sup>1257</sup> and the NO donors may either be present in a mixture or covalently attached.<sup>1327</sup> Polymeric gels are another common tool for delivering NO into organisms.<sup>1081,1288,1309,1328–1330</sup> For example, a Mn<sup>II</sup> nitrosyl complex-based sol-gel was demonstrated to release NO upon irradiation at 780 nm.<sup>1081</sup> Additionally, self-assembled NO-releasing amphiphiles based on *N*-nitrosamine moieties have been used to achieve NO delivery with polymersomes.<sup>1331</sup> Another common strategy is to combine polymers with NIR-active nanoparticles<sup>1322,1332</sup> and upconverting nanoparticles.<sup>1258,1323,1325,1333</sup> Finally, Furukawa and co-workers introduced an alternative approach for NO release using a zeolitic imidazole framework with nitroimidazole ligands.<sup>1334</sup>

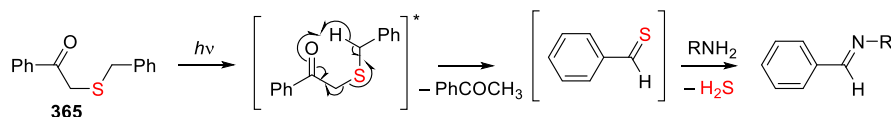
### 4.3. Release of Hydrogen Sulfide and Sulfur-Based Small Molecules

Hydrogen sulfide is a gasotransmitter produced endogenously from cysteine and homocysteine.<sup>1335</sup> It acts as a signaling agent involved in antioxidative, antiinflammatory, vasorelaxant, and cytoprotective processes,<sup>1336–1338</sup> and there have been several efforts to develop methods for its controlled release. Various H<sub>2</sub>S-liberating systems activatable by pH, the presence of thiols, redox processes, and light, have been designed.<sup>826,828,1339,1340</sup> A complementary approach for H<sub>2</sub>S release is to exploit photothermal effect using NIR light.<sup>1341,1342</sup> Developments in this field have been summarized in several reviews.<sup>110–112</sup>

The first H<sub>2</sub>S-releasing systems activatable by UV light were reported only recently.<sup>1343</sup> The *o*-nitrobenzyl caged geminal dithiol **362** (Figure 59) was prepared by the TiCl<sub>4</sub>-catalyzed condensation of the corresponding thiol with acetone. Upon irradiation of this compound at 365 nm in the presence of water, the free *gem*-dithiol is released and then hydrolyzed to



**Figure 59.** H<sub>2</sub>S-releasing systems.

Scheme 78. UV-Absorbing H<sub>2</sub>S Photodoners

liberate H<sub>2</sub>S.<sup>1344</sup> The ketoprofenate-based donor **363** also releases H<sub>2</sub>S with simultaneous decarboxylation upon irradiation at 300–350 nm,<sup>1345</sup> while its xanthone analog **364** liberates H<sub>2</sub>S under UVA irradiation (325–385 nm).<sup>1346</sup> Other H<sub>2</sub>S-releasing systems are based on the Norrish type II hydrogen abstraction-induced photoproduction ( $\lambda_{\text{irr}} = 365$  nm) of thiobenzaldehydes<sup>1347</sup> from compounds such as **365**. The thiobenzaldehydes formed in this way release H<sub>2</sub>S in the presence of amines (Scheme 78).<sup>1348</sup> Another successful system was prepared by encapsulating the hydrosulfide-containing leuco-form of malachite green **366** into vesicles that released H<sub>2</sub>S upon irradiation with UV light ( $\Phi_r = 0.01$  at 365 nm;  $\Phi_r = 0.22$  at 254 nm).<sup>1349</sup> Interesting results were also achieved with the *meta*-effect-based H<sub>2</sub>S photodonor **367**, which bears water-solubilizing substituents ( $\Phi_r = 0.14$  at 365 nm).<sup>573</sup> Compound **368** is an analog of **362** that also releases H<sub>2</sub>S upon irradiation with UV light. It was also used in combination with upconverting nanoparticles based on LiYF<sub>4</sub>:Yb/Tm coated with polyethylene glycol-octadecylamine, which convert NIR excitation ( $\lambda_{\text{irr}} = 980$  nm) into UV photoemission ( $\lambda_{\text{em}} = 365$  nm) to trigger H<sub>2</sub>S liberation.<sup>1350</sup> Finally, compounds **369** release biologically active persulfides upon irradiation at 365 nm with  $\Phi_r = 0.07$  for **369** (R = H) and  $\Phi_r = 0.36$  for **369** (R = CH<sub>3</sub>).<sup>1351</sup>

Chakrapani and co-workers were the first to develop an H<sub>2</sub>S photodonor activatable by direct excitation with visible light.<sup>823</sup> Their BODIPY-based molecule **370** undergoes photoinduced B–O bond cleavage (section 2.12) to release a thiocarbamate-substituted phenolate upon irradiation with 470 nm light. Subsequent thermal self-immolation of this phenolate ( $k_{\text{immol}} = 0.02 \text{ min}^{-1}$ ) then liberates carbonyl sulfide (COS), which is transformed to H<sub>2</sub>S ( $k_{\text{hydrol}} = 1.82 \text{ s}^{-1}$ ) in the presence of carbonic anhydrase, an omnipresent enzyme that catalyzes the hydration of carbon dioxide and the dehydration of bicarbonate.<sup>1352</sup> The H<sub>2</sub>S yield was 30–40% and its formation was tracked *in vitro* by monitoring the fluorescence enhancement due to the highly emissive photoproduct.

Stacko, Klán, and co-workers developed H<sub>2</sub>S-releasing molecules **371**–**373** (Figure 60; **371**: Figure 30) based on a BODIPY PPG (section 2.12).<sup>799</sup> Upon photochemical excitation of the BODIPY core, the thiocarbamate leaving group installed at its *meso*-methylene position dissociates, leading to the release of COS, which is then converted into H<sub>2</sub>S using carbonic anhydrase. Unlike **371**, the polyethylene glycol-substituted analog **372** is water-soluble and efficiently releases COS together with Ph<sub>2</sub>NH ( $\lambda_{\text{max}}^{\text{abs}} = 513$  nm in degassed aq. PBS,  $\Phi_r = 15.1 \times 10^{-2}$  at 365 nm; yield  $\approx 86\%$ ). The  $\pi$ -extended derivative **373** has a bathochromically shifted absorption band and photoreleases H<sub>2</sub>S upon irradiation at 700 nm ( $\lambda_{\text{max}}^{\text{abs}} = 688$  nm in degassed aq. PBS,  $\Phi_r = 9.7 \times 10^{-2}$  at 365 nm, yield 69%). Oxygen quenches the productive triplet state, but H<sub>2</sub>S release can proceed through both the singlet and triplet states.<sup>798</sup> The thiocarbamates are synthesized by the reaction of thiols with a suitable carbamoyl donor (4-nitrophenyl carbamate<sup>823</sup> or carbamoyl chloride,<sup>799</sup> Figure 60). The strategy of thiocarbamate caging and COS release

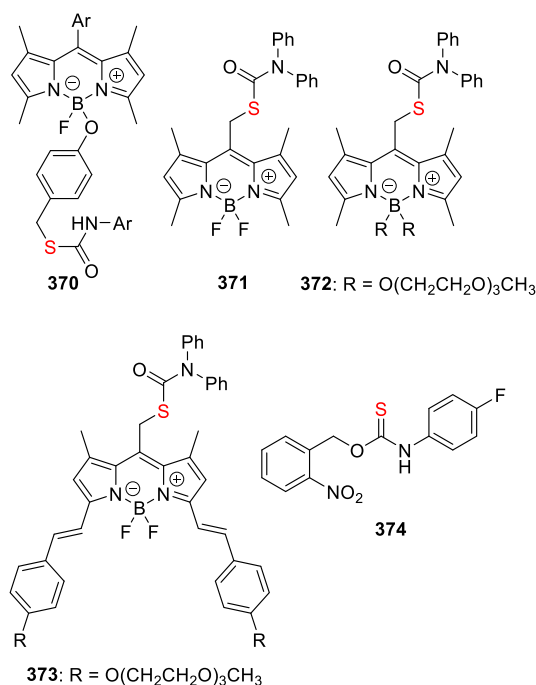
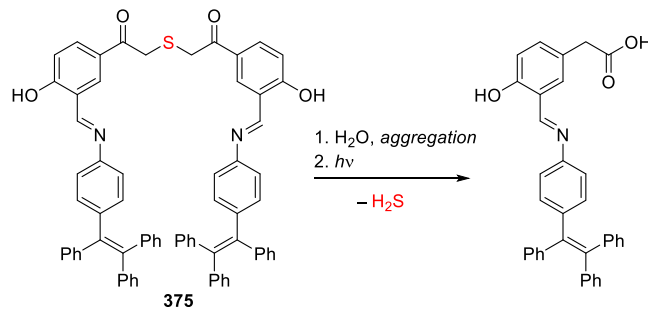


Figure 60. H<sub>2</sub>S photoreleasing molecules.

was originally conceived by Pluth and co-workers and implemented in the form of compound **374**, which bears an *o*-nitrobenzyl PPG (section 2.1.1) and absorbs below 400 nm.<sup>1353</sup>

Singh and co-workers developed tetraphenylethylene-conjugated *p*-hydroxyphenacyl H<sub>2</sub>S donors **375** (Scheme 79),

Scheme 79. Tetraphenylethylene-Conjugated *p*-Hydroxyphenacyl-Based H<sub>2</sub>S Donor

which aggregate in aqueous media to form visible-light activatable ( $\lambda_{\text{irr}} > 410$  nm) nanoparticles that exhibit both aggregation-induced emission (AIE) and excited-state intramolecular proton transfer (ESIPT).<sup>1354</sup> These nanoparticles material offer efficient H<sub>2</sub>S release ( $\Phi_r = 0.18$ ) that can be monitored in real time due to a fluorescence color change ( $\lambda_{\text{em}} = 549$  nm for the starting material and 486 nm for the photoproduct).

Singh and co-workers also reported H<sub>2</sub>S photorelease from the benzo[*d*]thiazol-2-yl-substituted *p*-hydroxyphenacyl compound **376** (Figure 61) upon irradiation with visible light ( $\lambda_{\text{irr}}$

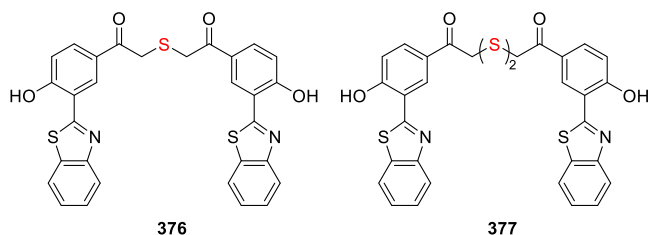


Figure 61. *p*-Hydroxyphenacyl-based H<sub>2</sub>S photodonors.

> 410 nm).<sup>1355</sup> The closely related derivative **377** liberated hydrogen persulfide (H<sub>2</sub>S<sub>2</sub>) under similar conditions,<sup>1356</sup> while sulfide dimers analogous to **376** photoreleased H<sub>2</sub>S when formulated as organic nanoparticles.<sup>633</sup>

Another H<sub>2</sub>S releasing system developed by Singh and co-workers is the visible light-responsive ( $\lambda_{\text{irr}} > 410$  nm) nanocarrier system **378**, which is based on a quinoline derivative (Figure 62) attached to a fluorescent carbon dot

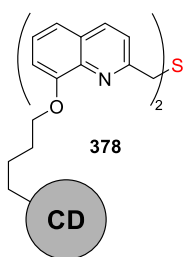
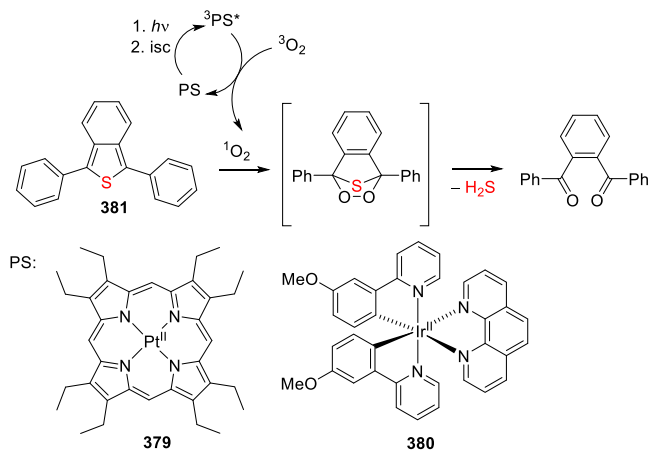


Figure 62. Fluorescent carbon dot-based H<sub>2</sub>S photodonor (CD = carbon dot).

(see also section 6.4).<sup>587</sup> The system fluoresces in the visible region ( $\lambda^{\text{em}} = 425$  nm,  $\Phi_{\text{F}} = 0.078$ ) and releases H<sub>2</sub>S with a quantum yield of  $\Phi_{\text{r}} = 0.09$ .

The group of You used a hybrid approach to develop Pluronic F-127-based vesicles containing a photosensitizer that generates singlet oxygen upon irradiation with visible light.<sup>1357</sup> Two such photosensitizers were tested, as shown in Scheme 80: Pt<sup>II</sup> octaethylporphyrine **379** ( $\Phi_{\text{r}} = 0.30$ ) and [Ir<sup>III</sup> bis(2-(3-

#### Scheme 80. Sensitizer-Based System for Photorelease of H<sub>2</sub>S by Visible Light



methoxyphenyl)pyridinate)(1,10-phenanthroline)]PF<sub>6</sub> **380** ( $\Phi_{\Delta} = 0.41$ ). The singlet oxygen generated by these complexes upon irradiation ( $\lambda_{\text{irr}} = 500$ –550 nm for **379** and 380–500 nm for **380**) reacts with 1,3-diphenylisobenzothiophene **381** to form an endoperoxide, which then undergoes thermal decomposition to release H<sub>2</sub>S ( $\Phi_{\text{r}} = \sim 2 \times 10^{-3}$ ).

Visible light-induced H<sub>2</sub>S release can also be achieved using organometallic complexes such as **382** and **383** (Figures 63

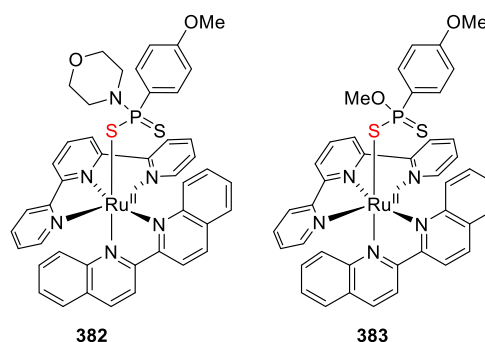


Figure 63. Ru<sup>II</sup>-based H<sub>2</sub>S donors.

and **31**), as demonstrated by Wilson and co-workers. These Ru<sup>II</sup> terpyridyl complexes have low energy metal-to-ligand charge transfer (MLCT) absorption bands in the red region ( $\lambda_{\text{max}}^{\text{abs}} = 581$  nm for **382** and 570 nm for **383**).<sup>1083</sup> Efficient ISC from <sup>1</sup>MLCT\* leads to a dissociative triplet ligand-field excited state (<sup>3</sup>LF) that liberates the monodentate ligand phosphinodithioate with near-quantitative quantum yields ( $\Phi_{\text{r}} = 0.85$  for **382**,  $\Phi_{\text{r}} = 1.02$  for **383** at 626 nm). The released phosphinodithioate acts as a thermal H<sub>2</sub>S donor, undergoing hydrolytic decomposition to give two equivalents of H<sub>2</sub>S.<sup>1358,1359</sup> Complexes **382** and **383** were used successfully in living cells both to protect H9c2 cardiomyoblasts and in an *in vitro* model of ischemia-reperfusion injury.

Carbon disulfide is another small gaseous molecule that was recently identified as an important bioregulatory and therapeutic agent<sup>1360</sup> and has thus become an interesting target for uncaging and triggered delivery. Ford and co-workers developed a photocatalytic method for CS<sub>2</sub> production from potassium 1,1-dithiooxalate **384** (Figure 64) by oxidative

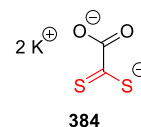


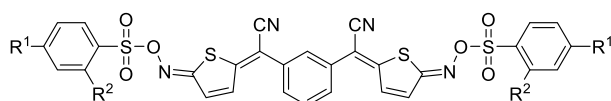
Figure 64. Carbon disulfide donor.

cleavage photosensitized by CdSe quantum dots (see also section 6.4.1).<sup>1361</sup> This system releases CS<sub>2</sub> upon irradiation between 365 and 530 nm with  $\Phi_{\text{r}} = 0.029$ –0.045. The mechanism of CS<sub>2</sub> release involves photoinduced two-electron oxidation of **384** to give CS<sub>2</sub> and CO<sub>2</sub>.

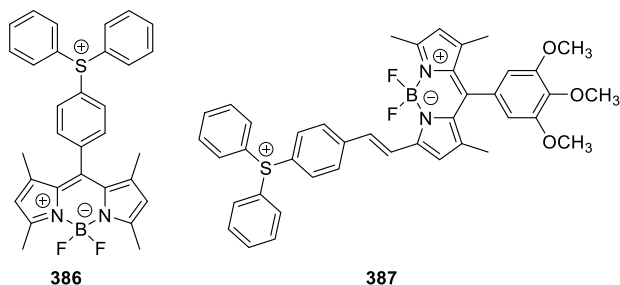
## 5. PHOTOACID AND PHOTOBASE GENERATORS

Photopolymerization processes use light to initiate polymerization, usually via radical reactions. Alternatively, polymerization may be triggered by an acid or a base formed by irradiation of a photoacid or photobase generator. This field has been covered by several recent reviews,<sup>51,1362</sup> so we discuss only a few particularly notable visible-light absorbing

generators. Thiophene-containing oxime sulfonates **385** release sulfonic acids upon irradiation at 365–475 nm (Figure 65).<sup>1363</sup>



**385:** R<sup>1</sup> = CH<sub>3</sub>, CF<sub>3</sub>, F; R<sup>2</sup> = H, F



**386**

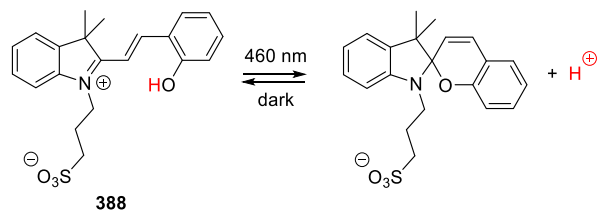
**387**

**Figure 65.** Photoacids **385**–**387**.

The first step in the release mechanism was proposed to be the liberation of the corresponding sulfonyl radical via homolytic cleavage of the N–O bond. Also notable are the BODIPY-based donor–acceptor triarylsulfonium salt-based photoacid generator systems **386** and **387**, which are photoactivated by green and red LED light, respectively, and were used to trigger cationic polymerization (Figure 65).<sup>1364</sup>

Visible-light initiated polymerization in the presence of merocyanine-based photoacid **388** was demonstrated by Boyer and co-workers (Scheme 81).<sup>1365</sup> The proton dissociation was reversible, enabling temporal control of the process.

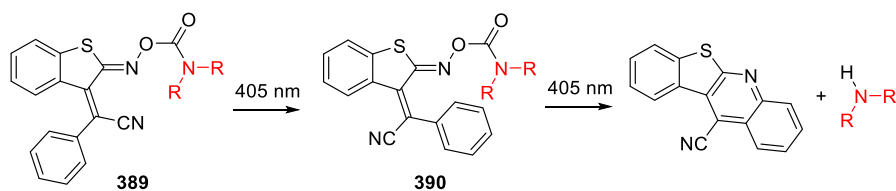
#### Scheme 81. Photoconversion of Merocyanine-Based Photoacid **388**<sup>1365</sup>



**388**

Scheme 82 shows a rare example of a visible-light absorbing photobase generator. In the first case, benzothienopyrimidine derivative **389** releases an amine base in a two-stage photoprocess.<sup>1366</sup> The oxamic acid ester **390** then undergoes homolytic N–O bond cleavage, followed by decarboxylation and radical addition into the adjacent aryl ring. In another example, tetramethyl guanidine (a basic polymerization initiator) was liberated from a coumarinyl-4-methyl PPG (see also section 2.2) upon irradiation at 400–500 nm.<sup>346</sup>

#### Scheme 82. Visible-Light Absorbing Photobase Generator<sup>1366</sup>



**389**

**390**

## 6. PHOTOSENSITIZED RELEASE: FROM SMALL MOLECULES TO NANOPARTICLES AND NANOMATERIALS

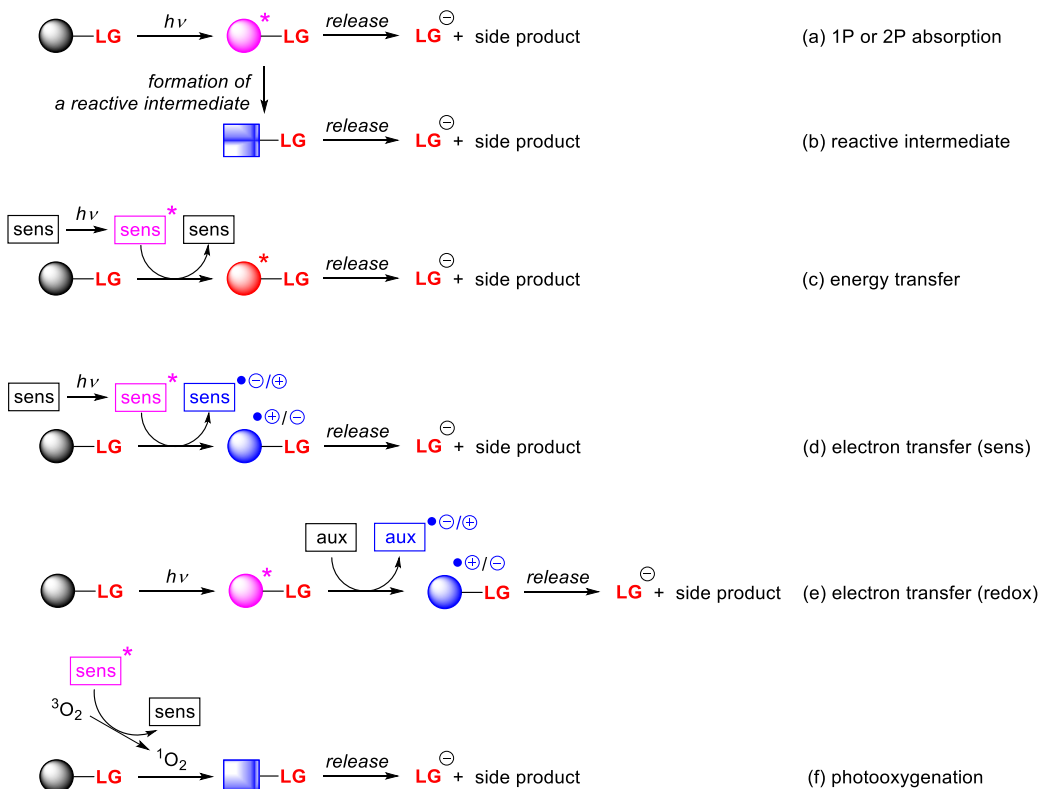
Photochemically induced uncaging using visible/NIR light can be achieved by various approaches. Direct release following one-photon (1P) absorption is the most desirable but is also rather challenging to achieve. The low energy of red and near-infrared photons is usually insufficient to initiate chemical processes; thus, a major goal when developing photoactivatable moieties is to identify feasible photochemical transformations. Many additional criteria may also need to be addressed; in particular, useful compounds must have suitable photochemical (good quantum yields and release rate constants, non-absorbing side-products), chemical (non-reactive side-products), and biological (non-toxicity of all species in the photoreaction pathway, and potentially water solubility) properties.<sup>10</sup> The release of a leaving group, usually an anion or neutral species, can generally proceed directly from an excited state of different multiplicity (Scheme 83a) or a reactive ground-state intermediate formed from the excited chromophore (Scheme 83b). Near-infrared absorption is often related to molecular overtone and combination vibrations that are forbidden by the quantum-physical selection rules, so the corresponding molar absorptivities are usually small.<sup>1367</sup> Only dyes with extensive conjugated systems such as cyanines or squaraines<sup>1368</sup> exhibit intense electronic transitions in the NIR region. A potentially expensive solution is to induce absorption of two (2P) or more photons (multi-photon absorption) by a single molecule using a high-power femtosecond laser, which enables access to excited states with energies equal to the sum of the absorbed photon energies.<sup>1369,1370</sup> This method can thus be used to excite chromophores absorbing in the UV region with NIR or visible light, as discussed extensively in our previous review.<sup>10</sup>

Another strategy for activating release with visible/NIR-light is to use two separate molecular components or bi-/multi-chromophoric systems, with one being a light-harvesting molecular or nanoscale sensitizer (see also section 6.1) that can transfer energy to<sup>10,1371–1373</sup> (Scheme 83c) or exchange an electron with<sup>10,1374,1375</sup> (Scheme 83d; the excited sensitizer is either an electron donor or acceptor) a separate molecule or complex bearing the leaving group. The excited chromophore can also be the photoremovable moiety, as in the case of Schemes 83a and 83b; in such cases, electron transfer to or from an auxiliary ground-state electron acceptor or donor, respectively, is responsible for leaving group release and the advantage of the auxiliary light-harvesting system is lost (Scheme 83e).

Scheme 83f depicts an alternative strategy that relies on a photosensitizer acting via the photodynamic effect: it generates singlet oxygen or another reactive oxygen species (ROS) upon irradiation,<sup>1376–1379</sup> which then reacts with an oxidizable moiety bearing the leaving group.



## Scheme 83. Direct Photorelease versus Photosensitized Release

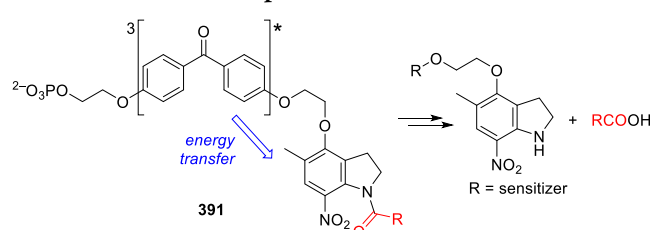


## 6.1. Molecular Photosensitizers: Energy Transfer

Photoinduced energy transfer is a practical way to generate (usually) a triplet excited state, particularly when the desired state is not accessible by direct excitation or the molecule does not absorb sufficiently at the desired wavelength.<sup>136,1371</sup> An efficient triplet–triplet energy transfer should be exergonic to avoid reverse transfer, and the sensitizer should have a high molar absorption coefficient, undergo efficient ISC, and have a sufficiently long triplet lifetime. Intramolecular energy transfer via either through-space or through-bond mechanisms might be preferred because it avoids the bimolecular entropic restrictions associated with diffusion and its efficiency can be finely tuned by adjusting the inter-chromophore distance.<sup>10,1372,1373,1380,1381</sup> Intramolecular energy transfer necessarily involves the use of an “equimolar” quantity of the sensitizer.

Several bichromophoric photoremovable protecting groups containing various UV-absorbing light-harvesting chromophores have been designed and studied by Corrie and co-workers over the past decade.<sup>842,1382–1385</sup> Benzophenone, which has substantially higher molar absorption coefficients above 300 nm than the photoactivatable nitroindoline group, was found to act as a triplet sensitizer to promote the nitroindoline moiety in compound **391** into its triplet state and trigger the subsequent release of a carboxylic acid (Scheme 84).<sup>1385</sup>

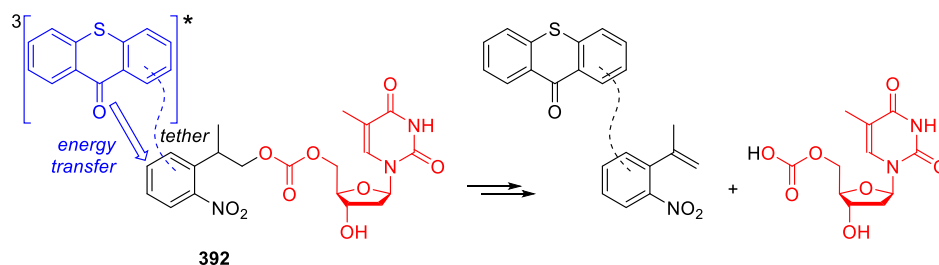
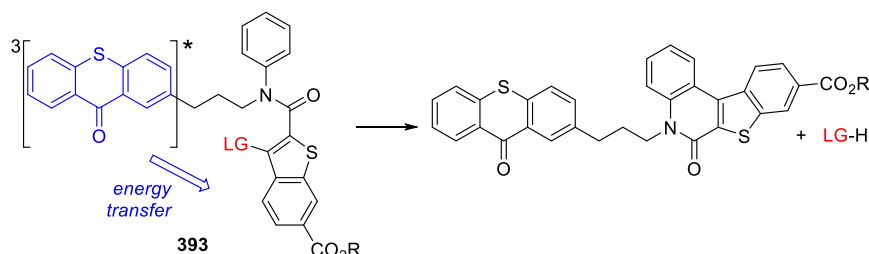
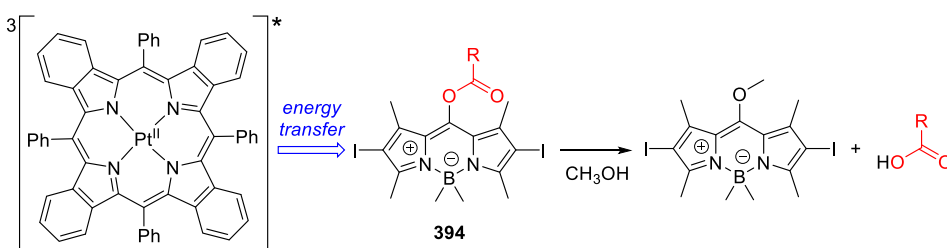
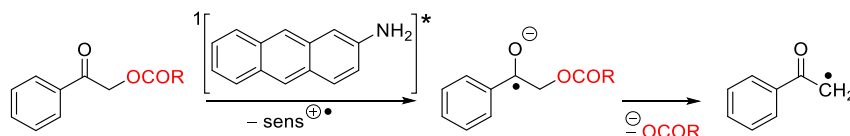
Steiner and co-workers used 9*H*-thioxanthen-9-one, which absorbs at slightly above 400 nm, to improve the light sensitivity of the weakly absorbing *o*-nitro-2-phenethyl PPG (see also section 2.1.2).<sup>171,252,253,1386</sup> For example, compounds **392** consisting of two chromophores connected via flexible tethers of different lengths were tested in the photolithographic synthesis of high-density DNA chips (Scheme 85).<sup>253</sup> It was

Scheme 84. Energy-Transfer-Mediated Release Involving the Nitroindoline Group<sup>1385</sup>

found that in addition to triplet–triplet energy transfer, the singlet excited state of the sensitizer was important, especially in systems with short tethers. Similarly, the photocleavage of the 2-(2-nitrophenyl)propyl group was sensitized intramolecularly by 9*H*-thioxanthen-9-one in the triplet excited state to release a fluorescent rhodamine dye.<sup>1387</sup>

The triplet excited state of 9*H*-thioxanthen-9-one was also shown to sensitize a linked benzothiophene-2-carboxanilide ring system (**393**, Scheme 86) via electrocyclic ring closure of the anilide moiety to liberate leaving groups including halides, thiolates, carboxylates, and phosphates ( $\Phi_T = 0.14–0.41$  at 395 nm).<sup>1388</sup>

Wang and co-workers recently demonstrated that intermolecular triplet–triplet energy transfer between a Pt<sup>II</sup> tetraphenyltetrazabenzoporphyrin sensitizer excited at 625 nm and a photoactivatable *meso*-methyl-substituted BODIPY derivative (**394**) (see also section 2.12) leads to the release of a carboxylate moiety (Scheme 87).<sup>808,1389</sup> The use of photosensitizers with a higher T<sub>1</sub> energy and a lower S<sub>1</sub> energy than that of the photocleavable group was recommended to enable exergonic energy transfer from a sensitizer excited at longer wavelengths.

Scheme 85. Energy-Transfer-Mediated Release Involving the *o*-Nitrobenzyl Group<sup>253</sup>Scheme 86. Energy-Transfer-Mediated Release Involving the Benzothioephene-2-carboxanilide Group<sup>1388</sup>Scheme 87. Energy-Transfer-Mediated Release Involving the BODIPY PPG<sup>808</sup>Scheme 88. Sensitized Release of Carboxylic Acids from Phenacyl Esters<sup>1399</sup>

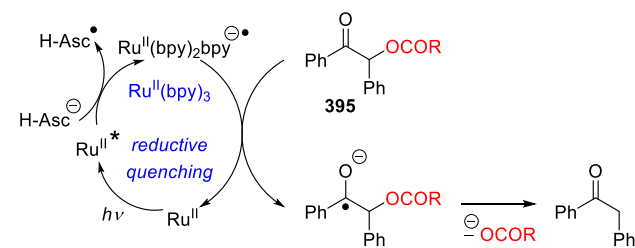
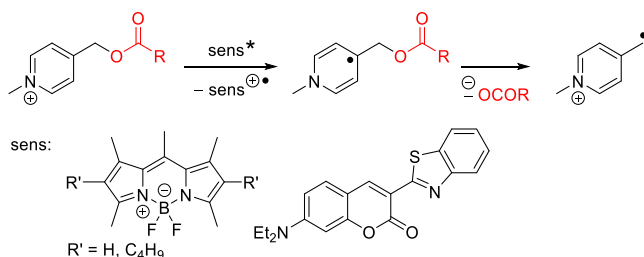
## 6.2. Molecular Sensitizers and Photocatalysts: Electron Transfer

The liberation of a leaving group can also be facilitated by (inter-/intramolecular) photoinduced electron transfer (PET), where the excited species is either the sensitizer or the substrate itself (Scheme 83d,e).<sup>10,1374</sup> For uncaging purposes, the sensitizer should have a high molar absorption coefficient and satisfy the other criteria mentioned in the previous section. If both reactants are neutral prior to the reaction, the resulting radical ion pair will undergo chemical transformations that eventually lead to leaving group release or recombination to restore the starting material. The Gibbs free energy of PET can be calculated from the corresponding redox potentials of both reactants and the excitation energy of the excited molecule.<sup>10,136,1390–1392</sup>

UV-light-initiated PET-assisted uncaging was reviewed several years ago.<sup>10,1374</sup> Hamada's pioneering photofragmentation of tosylamides in the presence of a reducing agent to give amines,<sup>840</sup> and especially the work of Falvey and co-workers on photosensitized uncaging of phenacyl esters,<sup>1393,1394</sup> picolinium esters,<sup>1393,1395–1397</sup> or 9-phenyl-9-tritylone<sup>1398</sup> were key studies in this area.

Falvey and co-workers also demonstrated that the sensitized release of carboxylic acids from phenacyl esters using a visible-light-absorbing electron donor (anthracen-2-amine;  $\lambda_{\text{irr}} > 400$  nm) proceeds in near-quantitative chemical yield (Scheme 88).<sup>1399</sup> The sacrificial sensitizer can be regenerated in the presence of ascorbic acid by donating a hydrogen atom (or electron) to the aryloxy radical. Their experiments indicated that the phenacyl moiety interacts with the singlet excited state of the sensitizer.<sup>1393</sup> More recently, Speckmeier and Zeitler reported the catalytic deprotection of analogous phenacyl and desyl (395) protecting groups using substoichiometric quantities of  $[\text{Ru}(\text{bpy})_3](\text{PF}_6)_2$  (1 mol %) as a photocatalyst excited at 455 nm and ascorbic acid (Asc-H) as a sacrificial electron donor (Scheme 89).<sup>1400</sup>

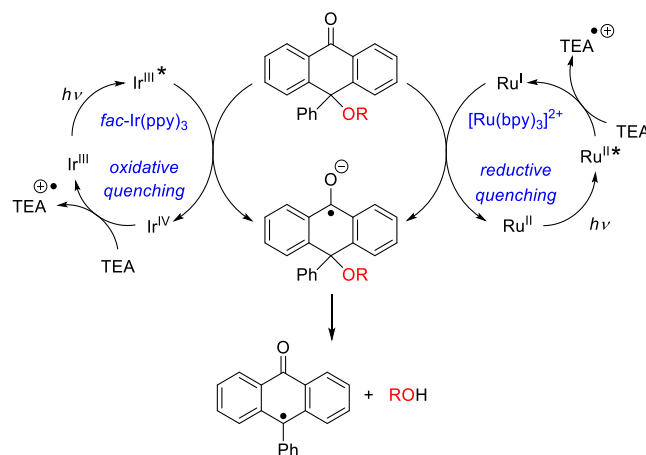
A similar strategy was used by Falvey's group to release carboxylic acids, amino acids, and phosphates from *N*-alkylpicolinium, which has a favorable reduction potential of  $E_{\text{red}} = -1.1$  V. Scheme 90 shows a bimolecular photodeprotection of a carboxylic acid using BODIPY and coumarin derivatives as photosensitizers absorbing at  $\lambda_{\text{max}}^{\text{abs}} \approx 500$  and 467 nm, respectively.<sup>1401</sup> The PET-induced uncaging of carboxylic acids from an *N*-alkylpicolinium derivative by visible light was

Scheme 89. Photocatalyzed Release of Carboxylic Acids<sup>1400</sup>Scheme 90. Photosensitized Release from *N*-Alkylpicolinium Ions<sup>1401</sup>

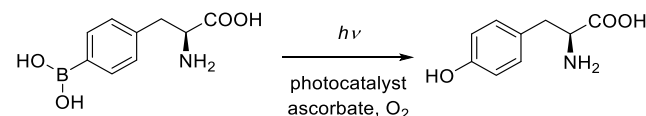
also demonstrated in the presence of substoichiometric amounts of tris(bipyridyl)ruthenium(II) ( $\lambda_{\text{max}}^{\text{abs}} \approx 450 \text{ nm}$ ) acting as both a sensitizer and a mediator of electron transfer between a good donor and the protecting group.<sup>1402</sup> Ascorbic acid, *N,N*-dimethylaniline, or 1,4-diazabicyclo[2.2.2]octane served as sacrificial electron donors in this case. Fluorescence quenching and transient spectroscopy experiments showed that the reaction rate constants were near the diffusion limit. Analogous visible-light promoted reactions were performed with ketocoumarin derivatives ( $\lambda_{\text{max}}^{\text{abs}} \approx 450 \text{ nm}$ ) as sensitizers/mediators,<sup>1403</sup> *N*-methylpyridinium iodide esters that undergo charge-transfer excitation,<sup>1404</sup> and an anthraquinone-based chromophore covalently attached to an *N*-alkylpicolinium ester.<sup>1405</sup> Similarly, Boncella and co-workers used tris(bipyridyl)ruthenium(II) to mediate PET to *N*-methylpicolinium carbamates to release amines in very high chemical yields,<sup>1406</sup> Cui reported the release of *N*-alkyl substituted 4-picolinium ions conjugated with self-assembled monolayers via an ester group using  $[\text{Ru}(\text{bpy})_3]^{2+}$  as a photocatalyst under irradiation at 452 nm,<sup>1407</sup> and Anderson, Flamigni, and co-workers showed that electron-accepting *N*-methylpyridinium, phenacyl, or *p*-nitrobenzoate moieties can be activated via intramolecular PET via two-photon absorption if covalently attached to electron-donating fluorene derivatives ( $\lambda_{\text{abs}} < 450 \text{ nm}$ ).<sup>1408</sup>

A photoactivatable system based on a 9-phenyltritylone protecting group that releases alcohols upon irradiation at 447 nm in the presence of *fac*-(tris(2,2'-phenylpyridine))iridium(III) (*fac*-Ir(ppy)<sub>3</sub>) or tris(bipyridine)ruthenium(II) chloride ( $[\text{Ru}(\text{bpy})_3]^{2+}$ ) as photosensitizers and triethylamine as a sacrificial electron donor was reported by Falvey and co-workers.<sup>1409</sup> The authors proposed photodeprotection mechanisms involving both oxidative and reductive quenching scenarios (Scheme 91) corresponding to the general mechanism shown in Scheme 83d. In another case, these authors demonstrated the efficient release of calcium ions ( $\text{Ca}^{2+}$ ) from an EDTA complex facilitated by photolysis of riboflavin photocatalysts at  $\lambda_{\text{irr}} > 440 \text{ nm}$ .<sup>1410</sup>

An interesting visible-light uncaging reaction using photocatalytic deboronative hydroxylation was recently reported by

Scheme 91. Photocatalyzed Release of Alcohols<sup>1409</sup>

Chen and co-workers (one example is presented in Scheme 92.<sup>1411</sup> Phenol, alcohol, and amine derivatives were liberated

Scheme 92. Photocatalytic Deboronative Hydroxylation<sup>1411</sup>

from the corresponding boronates in high chemical yields in bacteria and mammalian cells by reaction with transient hydrogen peroxides generated in the presence of molecular oxygen using fluorescein or rhodamine derivatives as photocatalysts and ascorbate as a reductant. In a different approach, Winssinger and co-workers used an azide-reduction-triggered immolative linker<sup>1412</sup> to release rhodamine using a  $[\text{Ru}(\text{bpy})_2 \text{phen}]^{2+}$  conjugate as a photocatalyst in the presence of ascorbate.<sup>1413</sup>

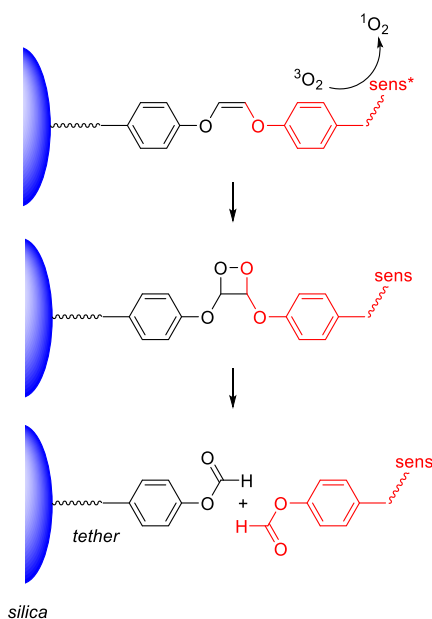
### 6.3. Release via the Photodynamic Effect

A different way to release molecules of interest is to exploit the photodynamic effect, in which an excited photosensitizer and ground-state (triplet) oxygen ( $^3\text{O}_2$ ) react to produce reactive oxygen species (ROS) or radicals that then react with molecules in the vicinity. The most common ROS is singlet oxygen ( $^1\text{O}_2$ ) produced from  $^3\text{O}_2$  by the triplet-triplet annihilation mechanism using triplet-excited organic dyes such as porphyrins, phthalocyanines, cyanines, pyropheophorbide, rhodamine, methylene blue, or eosin, which typically absorb in the red or NIR regions.<sup>136</sup> The use of this phenomenon to induce cell death in medical applications is known as photodynamic therapy. Diverse chemical functionalities and entities can be cleaved by reaction with singlet oxygen (Type II photooxygenation<sup>136</sup>) including olefins, vinyl ethers, vinyl disulfides, thioketals, and lipids.<sup>1414,1415</sup> Such singlet oxygen-sensitive groups can be inserted into tethers connecting drug molecules to structures such as membranes, nanomaterials, surfaces, or supramolecular carriers. The drug can then be liberated in the presence of a sensitizer, oxygen, and light.<sup>1414-1417</sup> The triplet-excited photosensitizer may also participate in electron exchange, that is, in Type I photooxygenation, as discussed in section 6.2.

In an early work, Anderson and Thompson demonstrated that singlet oxygen oxidation of liposome membranes by irradiating a membrane-incorporated sensitizing zinc phthal-

cyanine at 640 nm resulted in the release of encapsulated glucose.<sup>1418</sup> The destruction of the membranes was attributed to a [2+2] cycloaddition reaction between  $^1\text{O}_2$  and membrane alkenyl groups to form dioxetanes that subsequently decompose into two aldehydes.<sup>1419</sup> This mechanism was demonstrated to be responsible for the release of chlorin, which was used as a sensitizer (sens) that was covalently attached to silica via a tether containing a 1,2-diphenoxyethene unit (Scheme 93).<sup>1417</sup> Many other studies have exploited the

**Scheme 93. Cleavage via the Photodynamic Effect (sens = Chlorin)**<sup>1417</sup>



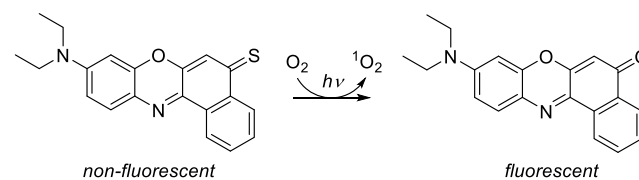
reaction between  $^1\text{O}_2$  and ethene derivatives such as vinyl ethers, bis(alkylthio)alkenes, or aminoacrylates for substrate liberation.<sup>955,956,1420–1437</sup> The uncaging photooxidation of lipids or liposomes<sup>1438</sup> in the presence of organic sensitizers may also proceed via mechanisms involving singlet oxygen.<sup>1439–1446</sup>

The second example of uncaging via the photodynamic effect is the release of siRNA bearing a 9-anthracenyl group and a photosensitizer (pyropheophorbide or eosin Y derivatives) attached to the 3'-terminus of the lagging strand (Scheme 94).<sup>1447</sup> Upon irradiation at 650 nm, singlet oxygen is

formed by sensitization and attacks the 9-anthracene moiety to form an endoperoxide intermediate, which is then detached as anthracene-9,10-dione to liberate the siRNA strand. Similarly, methylene blue and alkoxyanthracene were used as a photosensitizer and a cleavable group, respectively, to disrupt micelles loaded with a chemotherapeutic agent.<sup>1448</sup> Other singlet-oxygen sensitive groups including thioketals,<sup>1449–1462</sup> thioethers,<sup>1463</sup> imidazoles,<sup>1464</sup> indolizines,<sup>1465</sup> and hydrazones,<sup>1466</sup> as well as selenium-<sup>1467–1477</sup> and tellurium-containing<sup>1478–1480</sup> moieties have also been used for uncaging. A qualitatively different photosensitizer,  $\text{TiO}_2$  nanotube-doped PbS quantum dots combined with *S*-nitrosocysteine, was found to generate singlet oxygen upon irradiation at <600 nm, leading to the release of nitric oxide (Scheme 94).<sup>1481</sup>

An interesting approach to fluorophore photoactivation was recently reported by Wensel, Xiao, and co-workers,<sup>1482</sup> who replaced the carbonyl groups in common fluorophores with thiocarbonyl groups. This significantly reduced their fluorescence because of a photoinduced electron transfer-quenching mechanism. Upon irradiation, these compounds generate singlet oxygen, with which they then react to form their oxo derivatives, thereby restoring their original strong fluorescence (only one example is shown in Scheme 95).

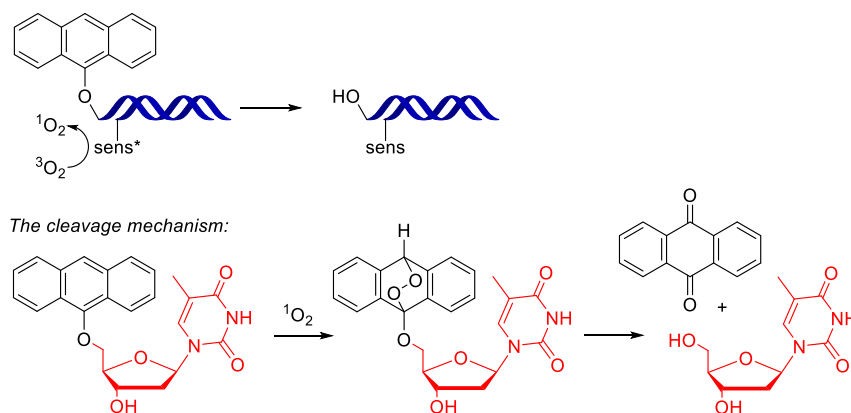
**Scheme 95. Fluorescence Switch via Self-Oxygenation**<sup>1482</sup>

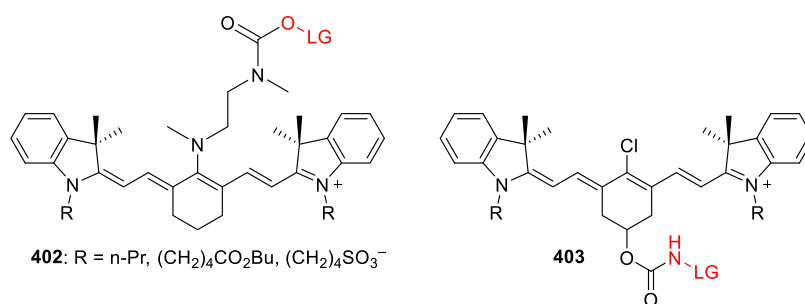
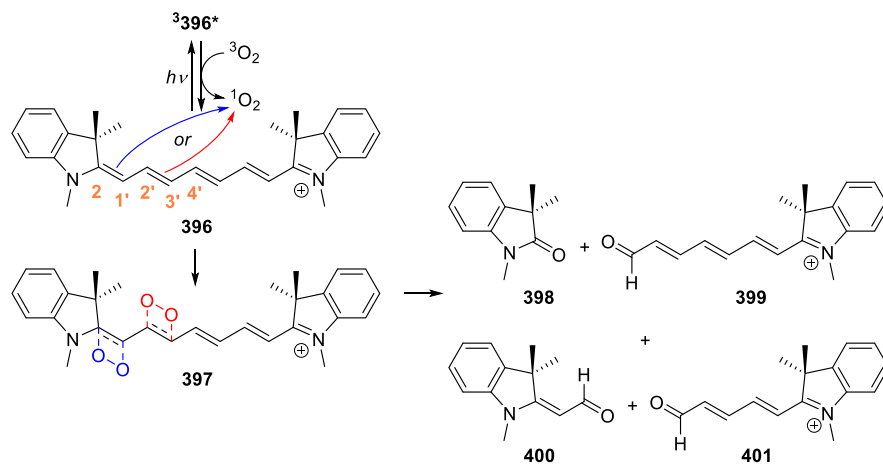


Two additional very interesting uncaging strategies involving photosensitized singlet-oxygen-mediated self-destruction of the photosensitizer leading to the release of a desired species are the liberation of carbon monoxide from flavonols (see section 4.1.1) and the use of cyanine dyes to release various leaving groups upon irradiation with red and NIR light (see below).

Cyanine dyes are invaluable fluorophores in chemistry and biology.<sup>1483</sup> They feature odd-numbered methine bridges connecting two nitrogen-containing heterocycles,<sup>1484</sup> which are responsible for their unique photophysical properties.<sup>1485</sup> In particular, heptamethine dyes with seven-carbon bridges are widely used as fluorescent tags in biological studies<sup>1486–1488</sup> and as markers in medical diagnostic tests.<sup>1489,1490</sup> Heptame-

**Scheme 94. Photodynamic Cleavage of a 9-Anthracenyl Group from siRNA**<sup>1447</sup>



Scheme 96. Proposed Mechanism of Cy7 Photodegradation<sup>1513</sup>

**Figure 66.** General structures of Cy7 photocages undergoing photooxidative-cleavage and C4'–N bond hydrolysis (**402**) or  $\beta$ -elimination (**403**).

thine cyanines (Cy7) have narrow absorption bands with high molar absorption coefficients ( $\epsilon_{\max} = 0.5\text{--}2.5 \times 10^5 \text{ M}^{-1} \text{ cm}^{-1}$ ) in the red to near-infrared (NIR) parts of the spectrum (650–800 nm). The peak absorption of heptamethine cyanines lies within the “first optical window” of mammalian tissue,<sup>125,1486</sup> where light attenuation due to absorption and scattering is minimal, making them particularly suitable for *in vivo* applications. This section focuses on the use of cyanine chromophores, particularly Cy7, as photoreleasing systems. Several aspects of this topic have been addressed by various recent review articles and perspectives.<sup>20,21,50,878,890,1491–1494</sup>

The photodegradation of heptamethine and other cyanines is a known phenomenon<sup>1495</sup> and has been shown to proceed via photooxidative cleavage of one or more heptamethine C=C bonds to form the corresponding carbonyl photoproducts.<sup>1496–1506</sup> The most common mechanism of Cy7 photooxygenation involves photosensitization of ambient (ground-state) molecular oxygen by the triplet excited state of Cy7 (<sup>3</sup>396\*) to form singlet oxygen (<sup>1</sup>O<sub>2</sub>), followed by a [2+2] cycloaddition to form dioxetanes **397** that undergo thermal decomposition to form the carbonyl photoproducts (Scheme 96). Supportive evidence for this pathway include the findings that (1) triplet–triplet annihilation is exergonic<sup>1507,1508</sup> even though the quantum yields of <sup>1</sup>O<sub>2</sub> production ( $\Phi_{\Delta}$ ) tend to be low ( $\sim 0.01\text{--}0.001$ );<sup>1509,1510</sup> (2) the extent of photooxygenation depends on the oxygen concentration,<sup>1501</sup> (3) the reaction is suppressed in the presence of <sup>1</sup>O<sub>2</sub> quenchers<sup>1499,1501</sup> or traps;<sup>1497,1504,1511</sup> (4) reaction rates are higher in deuterated solvents, which extend the lifetime of <sup>1</sup>O<sub>2</sub>;<sup>1504</sup> and (5) dioxetane intermediates can be detected by mass spectrometry.<sup>1499,1512,1513</sup> The generated singlet oxygen attacks the C2=C1' or C2'=C3' bonds to form the

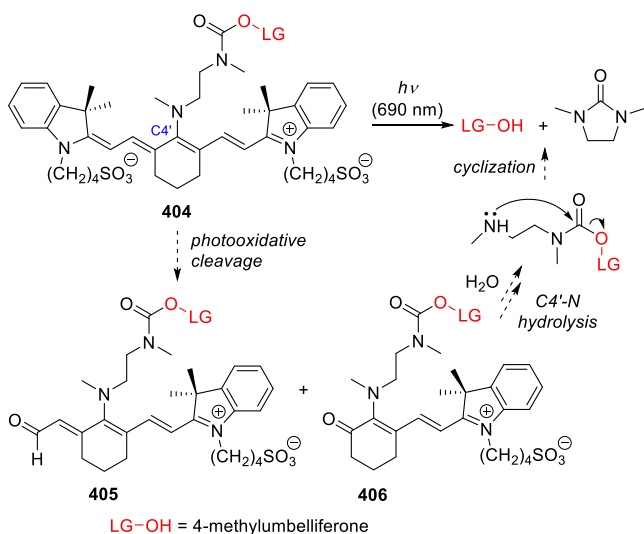
corresponding dioxetanes, which undergo thermal decomposition to give two carbonyl compounds.<sup>1499,1512–1514</sup> For example, the paired carbonyl products **398** + **399** and **400** + **401** were formed in a  $\sim 4:1$  concentration ratio during the photooxidative cleavage of **396**, accounting for 70% of the photodegradation chemical yield (Scheme 96).<sup>1513</sup> Computational analysis (B3LYP/cc-pVTZ) of **396** suggested that the observed regioselectivity is determined by the energies of the dioxetane intermediates; it was found that only dioxetanes at the C2/C1' and C2'/C3' positions, which give rise to carbonyl compounds **398–401**, are formed exergonically ( $\Delta G = -2.8$  and  $-0.7 \text{ kcal mol}^{-1}$ , relative to **396**),<sup>1513</sup> in agreement with the experimental findings.<sup>1494,1499,1512,1513</sup> Cyanine photobleaching may also involve other pathways,<sup>1501</sup> such as photoinduced electron transfer from the Cy7 triplet state to oxygen to form O<sub>2</sub><sup>•−</sup>, which may subsequently generate hydroxyl radicals or other reactive oxygen species (ROS), together with a potentially reactive cyanine-radical cation.<sup>1497,1498,1505,1515</sup> The photodegradation of cyanines has mainly been studied to identify factors affecting their stability<sup>1495,1502,1505,1516–1520</sup> to improve their performance as fluorescent imaging agents,<sup>1495,1521</sup> although uses of their photodegradation, for example in sensing,<sup>1522,1523</sup> have also been reported.

Schnermann and co-workers pioneered the repurposing of heptamethine cyanines as photocages by developing two Cy7 scaffolds (**402** and **403**, Figure 66) that harness the regioselectivity of the photooxidative degradation process to drive either C–N bond cleavage<sup>1491,1512,1524,1525</sup> (**402**) or a  $\beta$ -elimination reaction<sup>1514</sup> (**403**), both ultimately leading to leaving group release. Both scaffolds contain a cyclohexenyl moiety attached at the C3'/C5' positions of the heptamethine

bridge, which was originally used to increase the rigidity (and hence the fluorescence quantum efficiency) of Cy7.<sup>1526,1527</sup>

Cy7 derivatives **402** were synthesized from the corresponding cyanine dye featuring a chlorocyclohexenyl group.<sup>1491,1512,1524,1525</sup> The chlorine atom of this group is conveniently displaced via an S<sub>NR</sub>1 reaction under mild conditions,<sup>1528</sup> in this case using ethylenediamine as the nucleophile. The leaving groups, such as chloroformate or *p*-nitrophenylcarbonate, were introduced in the last step. Photoexcitation ( $\lambda_{\text{irr}} = 690 \text{ nm}$ ) of **404** ( $\lambda_{\text{max}}^{\text{abs}} = 676 \text{ nm}$ ,  $\epsilon = 5.15 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ) resulted in the formation of products **405** and **406** in a ~4:1 ratio (Scheme 97), as also observed for

**Scheme 97. Mechanism of Uncaging from Cy7 Photocages 404**<sup>1512</sup>



Cy7 **396**. The Cy7 derivative **404** is less prone to the hydrolytic release of the tertiary amine than the photooxidative cleavage products **405** and **406**, which was attributed to its more extensive  $\pi$ -conjugation, which reduces the electrophilicity of the key C4'–N bond (i.e., weakens its iminium character). Electrophilic reactivity at the C4' position of heptamethine cyanines has previously been documented.<sup>1529–1531</sup> The inertness of the resulting aldehydes to both light and reactive oxygen species further supports the assumption that hydrolysis is the main pathway of amine release.<sup>1512</sup> The direct hydrolytic release of an aniline derivative (7-aminocoumarin) bound to the C4' position was inefficient (with a chemical yield of <8%) despite rapid photooxidation of the corresponding heptamethine cyanine.<sup>1514</sup> It was therefore proposed that hydrolysis requires the prior protonation of the amine, and that the difference in the efficiency of hydrolysis between the tertiary amine and the aniline is due to their different basicities.<sup>1514</sup> Hydrolysis of the C4'–N bond is followed by intramolecular cyclization of the ethylenediamine linker,<sup>1532,1533</sup> resulting in the release of an alcohol as a leaving group.<sup>1512,1524,1525,1534</sup> Although the cyclization step is pH-dependent<sup>1533</sup> (proceeding more slowly at low pH), leaving group release efficiency was only reduced by a factor of 1.5 upon lowering the pH from 7.4 to 5.0.<sup>1525</sup> The overall chemical yield of uncaging (66–70%) correlated with the quantities of **405** and **406** formed during the reaction, suggesting that the light-independent steps are efficient.<sup>1512</sup>

The kinetics of leaving group release appear to depend mainly on the rate of C4'–N bond hydrolysis.<sup>1512</sup>

The effects of structural variation of **404** on its spectroscopic and photochemical properties were also explored (Figure 67).<sup>1524</sup> Replacing the butyl sulfonic acid substituents on the indolenine nitrogens with *n*-propyl (**407**) or *n*-butyl pentanoates (**408**) did not affect the compound's spectroscopic and photochemical properties but significantly improved cellular penetration.<sup>1491,1524</sup> Replacing the *N,N'*-dimethylethylenediamine linker with *N,N'*-diethylethylenediamine (**409**) caused a 40 nm bathochromic shift of the absorption band, reduced the background (dark) hydrolysis rate ( $k_{\text{rel}} = 0.73$ ), and increased the photooxidation rate ( $k_{\text{rel}} = 2.8$ ) under the experimental conditions, although overall uncaging efficiency was reduced ( $k_{\text{rel}} = 0.81$ ).<sup>1524</sup> Efforts to introduce more sterically demanding amines were hampered by the substantially lower reactivity of such amines in the chlorine substitution reaction.<sup>1524</sup> The lower background hydrolysis rate of **409** was attributed to increased steric hindrance either around the amine-heptamethine bond or the carbamate group.<sup>1532</sup> The introduction of sulfonates on the indolenine rings (**410**), which is often done to prevent aggregation,<sup>1535</sup> reduced photooxidation efficiency ( $k_{\text{rel}} = 0.43$ ) and increased the rate of background hydrolysis ( $k_{\text{rel}} = 1.3$ ) but also improved the kinetics of release ( $k_{\text{rel}} = 4.2$ ).<sup>1524</sup> An alkyne group allowing the photocage to be connected to targeting molecules using click chemistry was introduced by using a branched carbamate linker (**411**).<sup>1525</sup> Replacing one (**412a**) or both (**412b**) flanking heterocycles with benzothiazole rings significantly improved oxidation efficiencies ( $k_{\text{rel}} = 3.7$  and  $6.7$ , respectively), in accordance with earlier studies on Cy7 fluorophores.<sup>1504</sup> However, this also dramatically increased the background hydrolysis rate ( $k_{\text{rel}} = 4.7$  and  $8.5$ , respectively).<sup>1524</sup> Replacing the central cyclohexenyl ring with a cyclopentenyl moiety (**413**) also increased the photooxidation rate ( $k_{\text{rel}} = 3.5$ ) but significantly reduced the uncaging rate ( $k_{\text{rel}} = 0.013$ ) and the overall uncaging chemical yield (<20%). The reason for the decreased uncaging efficiency was determined to be inefficient hydrolysis of the carbonyl intermediates.<sup>1524</sup> On the other hand, replacing the sulfonated indolenine rings with sulfonated benzindolenines and installing an alkoxy substituent on the cyclohexyl group (**414a** and **414b**) yielded PPGs with properties comparable to those of the original **404** but with a significantly red-shifted absorption spectrum ( $\lambda_{\text{max}}^{\text{abs}} = 690$  and  $732 \text{ nm}$ , respectively).<sup>1524</sup> The individual contributions of each of these modifications have not yet been determined. In principle, the flexibility of the synthesis<sup>1536–1538</sup> and post-synthetic functionalization<sup>1494,1531,1539,1540,1510</sup> of cyanines enables useful structural modifications of the bridge or terminal heterocycles, providing considerable scope for modulation of their spectroscopic and photoreaction properties.

An analogous photooxidative cleavage mechanism was used by Schnermann and co-workers in the case of **403** (Figure 66) to drive the release of a leaving group through a  $\beta$ -elimination reaction.<sup>1514</sup> Several examples utilizing similar photooxygenation/ $\beta$ -elimination sequences to drive leaving group release have been reported.<sup>187,1541–1545</sup> Cy 7 photocages similar to **402** have mainly been used to release of phenols, while  $\beta$ -elimination of a carbamic acid functionality in **403** followed by spontaneous decarboxylation<sup>1546</sup> was used to release a free amine. Photoexcitation ( $\lambda_{\text{irr}} = 780 \text{ nm}$ ) of **415** ( $\lambda_{\text{max}}^{\text{abs}} = 781 \text{ nm}$ ,  $\epsilon_{\text{max}} = 3 \times 10^5 \text{ M}^{-1} \text{ cm}^{-1}$ , LG = coumarin 151) proceeded

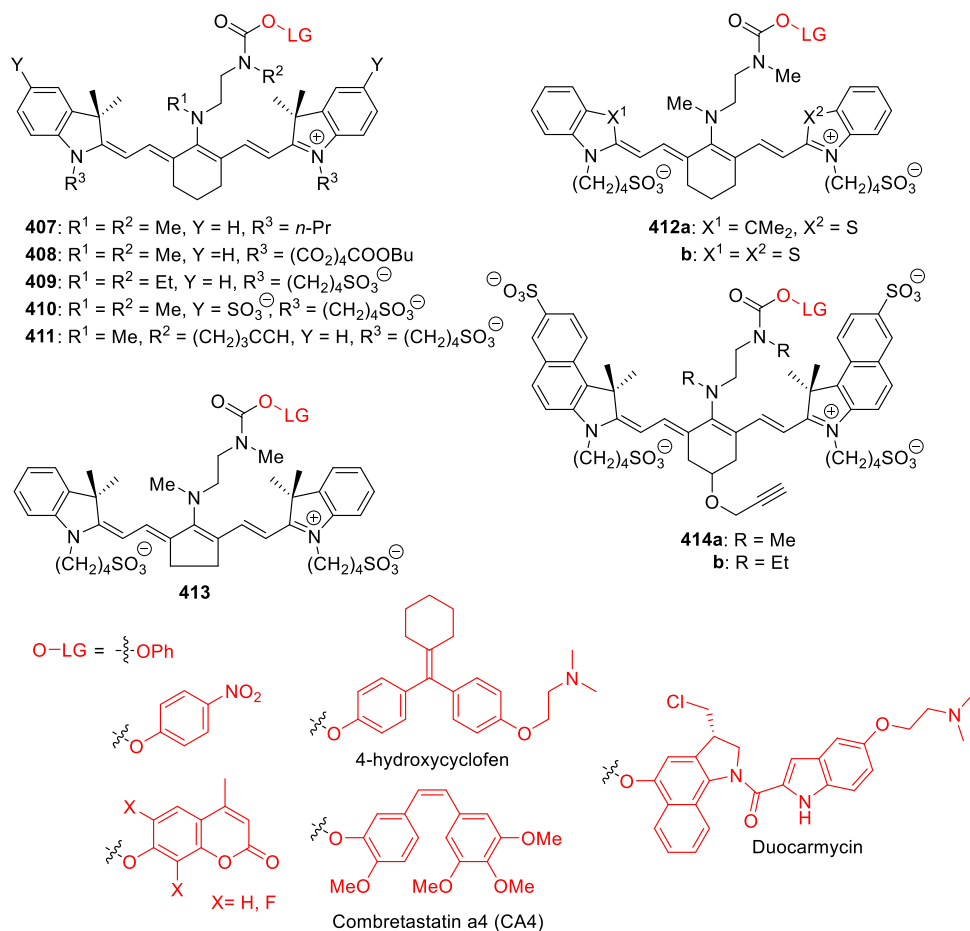
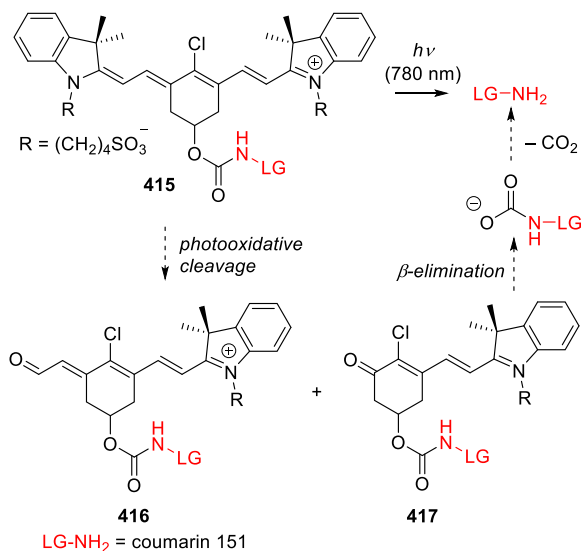


Figure 67. Structures of Cy7 photocage derivatives.

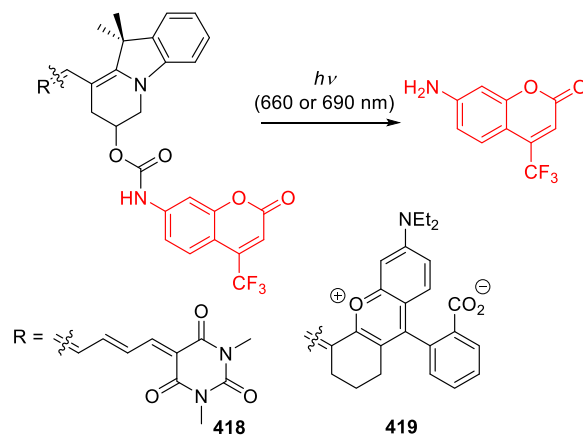
rapidly in PBS buffer to form two carbonyl intermediates, **416** and **417** (Scheme 98).<sup>1514</sup> Only **417** underwent efficient  $\beta$ -elimination, however. The formation ratio of **416** and **417** (~4:1; 70% chemical yield) explains the relatively low overall uncaging yield of this process (~14%).<sup>1514</sup>

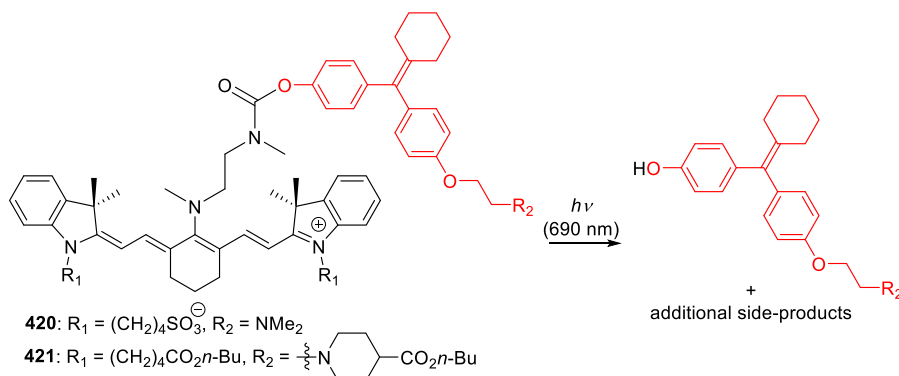
**Scheme 98. Mechanism of Uncaging from Cy7 PPGs via a Photooxidative Cleavage/ $\beta$ -Elimination Sequence**<sup>1514</sup>



The photooxidative cleavage/ $\beta$ -elimination sequence was also applied to merocyanines such as **418** and **419** ( $\lambda_{\text{max}} = 664$  and 713 nm, respectively).<sup>1514</sup> It was previously shown that oxidative cleavage takes place preferentially in the position adjacent to the more electron-rich heterocycle in unsymmetrical merocyanines.<sup>1505,1511</sup> Accordingly, irradiation of **418** and **419** ( $\lambda_{\text{irr}} \approx 660$  and 690 nm, respectively, in PBS buffer, pH 7.4) resulted in the release of coumarin 151 with chemical yields of 33% and 22%, respectively<sup>1514</sup> (Scheme 99). The

**Scheme 99. Photochemistry of Merocyanine Photocages 418 and 419 Involving a Photooxidative Cleavage/ $\beta$ -Elimination Sequence**<sup>1514</sup>

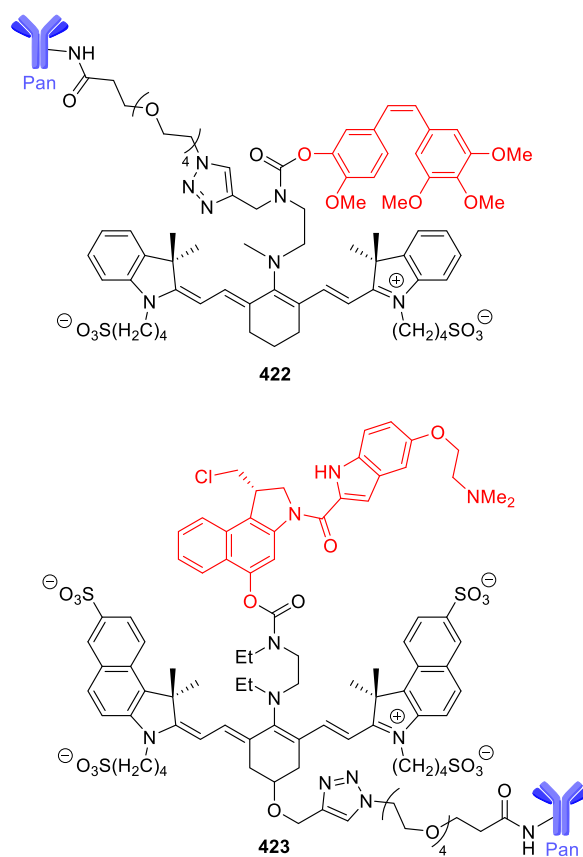


Scheme 100. Photouncaging of Cyclofen Derivatives from Cyanine Photocages<sup>1512</sup>

difference in yield was attributed to differences in the extent of dye aggregation in solution.<sup>1514</sup> For both compounds, additional release (4–5%) was observed after irradiation was stopped, suggesting that  $\beta$ -elimination is the rate-limiting step.<sup>1514</sup>

The estrogen receptor antagonist/agonist 4-hydroxycyclofen was caged with a Cy7 derivative (**420**) and its light-induced release was used to regulate gene expression in cell cultures ( $\lambda_{\text{irr}} = 690 \text{ nm}$ ; Scheme 100).<sup>1491,1512</sup> The reactive oxygen species generated during the photodecomposition process and the potentially reactive carbonyl photooxidation products were both well-tolerated in the studied cell cultures. Compound **420** also enabled light-mediated regulation of gene expression under similar irradiation conditions ( $\lambda_{\text{irr}} = 690 \text{ nm}$ ) in a CreER/LoxP system in transgenic mouse embryonic fibroblasts (MEFs).<sup>1512</sup> Exchanging the butyl sulfonic acids on the indolenine nitrogens with *n*-butyl pentanoates (**421**) significantly improved cellular penetration and increased spatial control over photoactivation by causing intracellular entrapment of the photocage.<sup>1491</sup> A prolonged irradiation time was required in experiments using this compound,<sup>1491,1512</sup> which was a limitation in applications requiring efficient substrate release. Fluorescence imaging of **420** in MEF and HeLa cells revealed that its intracellular distribution displayed a distinct punctate pattern and that it co-localized with LysoTracker staining,<sup>1512</sup> whereas **421** co-localized with MitoTracker.<sup>1491</sup> This difference in subcellular localization was attributed to the different charges of the two compounds. The cellular uptake mechanism was not determined, but other non-sulfonated heptamethine cyanines were shown to be captured by cells via endosomal uptake.<sup>1547–1549</sup>

Cy7 PPGs have also been used for antibody-targeted drug-release.<sup>1534,1550,1551</sup> The two strategies discussed above were used to non-specifically conjugate an NHS ester, the caged combretastatin A4<sup>1525</sup> (CA4, a microtubule polymerization inhibitor) derivative **422**, and the caged duocarmycin<sup>1524,1552</sup> (a DNA alkylating agent) derivative **423** to panitumumab (Pan), a clinically used anti-human epidermal growth factor receptor (EGFR) monoclonal antibody (Figure 68). The latter conjugate was injected *in vivo* into mice bearing MDA-MB-468 EGFR+ tumor xenografts, and the tumor area was irradiated at 690 nm 4 days after its administration. This single-dose treatment was sufficient to significantly reduce tumor size and improve overall survival compared to control groups.<sup>1524</sup> A combination therapy using **423** and Pan-IR700<sup>947</sup> (a near-IR photodynamic therapy agent) exhibited greater treatment efficacy than either therapeutic agent alone.<sup>1552</sup> The location



**Figure 68.** Structures of CA4 and duocarmycin caged with Pan-targeted heptamethine cyanine photocages.

of this antibody-drug conjugate was verified prior to its photoactivation by exploiting the fluorescence of the heptamethine cyanine photocage.<sup>1524,1525,1552</sup>

#### 6.4. Photosensitization by Nanoparticles and Nanomaterials

Nanotechnology has found a remarkable array of applications in science and technology including biotechnology and biomedicine.<sup>1553</sup> Nanoparticles (NPs) and nanocarriers are frequently used for diagnostics, biosensing, photodynamic therapy, photothermal therapy, and targeted and controlled drug delivery/release. Developments in this field have been reviewed extensively in the past decade.<sup>8,14,15,114,129,130,1415,1438,1554–1585</sup> Various materials can be used in the design of nanocarriers including metal NPs, semiconductor NPs, nanocarbons, virus- and bacteriophage-

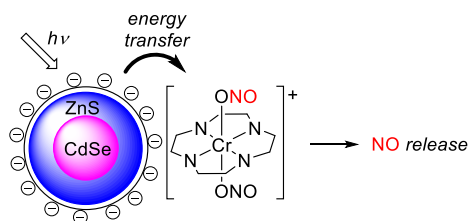


based NPs, microcapsules, and hydrogel-based systems.<sup>1554,1556,1586</sup> NPs can be both carriers (transporters) or active participants in drug (species) delivery. Photoactivatable NP systems may feature direct covalent bonds to drug molecules, or drugs may be encapsulated via non-covalent interactions. These systems must be stable in the relevant environment until an external trigger is applied to induce release. Light-activated release mechanisms include photochemical bond cleavage, photoreduction, photooxidation, photochemically-induced hydrophobicity switching, photocross-linking, photoisomerization, and photothermal processes.<sup>1554</sup> The following paragraphs briefly review the fundamental principles of species release from NPs upon irradiation with visible/NIR light and present some notable examples of related NP systems. This review is not fully comprehensive because many more specific reviews already exist, as noted above.

**6.4.1. Photosensitization by Quantum Dots.** Quantum dots (QDs), nanoscale semiconductor particles with interesting optical and electronic properties, typically consist of binary compounds such as PbS, PbSe, or CdS,<sup>1587</sup> although carbon<sup>1588</sup> and silicon quantum dots<sup>1589</sup> have also been used for uncaging purposes. They usually exhibit broad absorption spectra and narrow emission peaks and have large two-photon absorption cross sections. Their irradiation generally causes electron excitation from the valence band to the conduction band, and the resulting electron and hole can interact to produce an exciton. Their photophysics can be controlled using appropriate ligands.<sup>1590,1591</sup> Photoinduced energy transfer (Förster resonance energy transfer, FRET) or electron exchange between an excited QD and a ligand that undergoes subsequent chemical change is another way of using QDs for photosensitization.<sup>1590,1592</sup> QDs can interact with both electron acceptors and donors upon excitation. It should be noted that QDs are considerably larger than molecular species.

The QDs can serve as antennas that sensitize the photoreaction. Ford and co-workers reported that NO (section 4.2) is generated from electrostatic assemblies of water-soluble CdSe/ZnS and CdSeS/ZnS QDs loaded with negatively charged dihydrolipeic acid surface ligands and the cationic complex *trans*-Cr<sup>III</sup>(cyclam)(ONO)<sup>2+</sup> (cyclam = 1,4,8,11-tetraazacyclotetradecane) upon irradiation with visible light (Scheme 101).<sup>1593</sup>

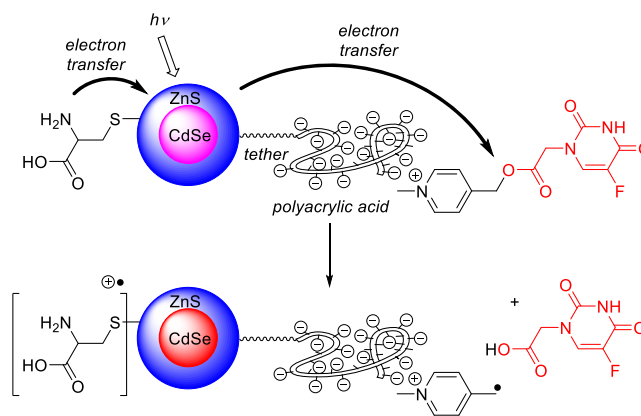
Scheme 101. QD-Mediated Photorelease<sup>1593</sup>



Carbon quantum dots (CQDs) have high photostability and large two-photon cross sections.<sup>1588</sup> CQDs covalently linked to a nitroaniline derivative as a NO photodonor were shown to release NO (section 4.2) upon one-photon (<450 nm) or two-photon (800 nm) absorption via an energy-transfer mechanism.<sup>1594</sup> Another example of drug delivery from a CQD using a quinoline-based phototrigger was reported by Ghosh, Singh, and co-workers.<sup>1595</sup>

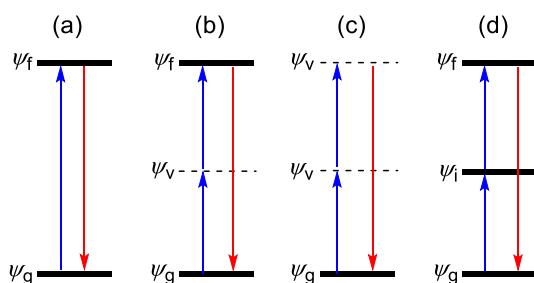
Photoinduced release via electron transfer from QDs to a ligand requires rather small nanoparticles to enable close contact between the two species.<sup>1592</sup> Bao, Zhu, and co-workers prepared water-soluble nanocrystalline CdSe/ZnS particles functionalized with an *N*-alkyl-4-picolinium ester linked to the anticancer drug 5-fluorouracil and L-cysteine (Scheme 102).<sup>1596</sup> Upon irradiation at  $\lambda_{\text{irr}} > 400$  nm, 5-fluorouracil was liberated via electron transfer from the QD to the picolinium moiety, with L-cysteine acting as an electron donor.

Scheme 102. QD-Mediated Photorelease<sup>1592</sup>



Water-soluble CdTe QDs capped with mercaptopropionic acid and a ruthenium nitrosyl complex *cis*-[Ru<sup>II</sup>(NO)(4AP)-(bpy)<sub>2</sub>]<sup>3+</sup> (bpy = 2,2'-bipyridine, and 4AP = 4-aminopyridine) were shown by Ford, da Silva, and co-workers to release NO (section 4.2) upon irradiation at 530 nm via a charge-transfer mechanism.<sup>1597</sup> Visible light excitation of CdSe QDs was also demonstrated to trigger the release of coumarin from cinnamate surface ligands.<sup>1598</sup> In this system, electron transfer from the excited nanocrystal to the surface-bound cinnamate triggers *E*-*Z* isomerization and subsequent lactonization. *o*-Nitrobenzyl (oNB) groups can also be liberated from CdTe/CdS core/shell QDs under UV illumination to control QD emission.<sup>1599</sup> The possibility of exciton energy transfer was ruled out in this case, and because there was no overlap between QD emission and oNB absorption, it was suggested that an electron or hole transfer from the QD to the oNB occurred. Other examples of UV- or near-visible light-activated release via oNB group cleavage have also been reported.<sup>197,1600,1601</sup>

**6.4.2. Photorelease Mediated by Upconversion and Second-Harmonic Nanoparticles.** Several photophysical phenomena combine the energies of two or more photons to produce that of one higher-energy photon. Photon upconversion in organic molecules converts two or more low-energy photons into one higher-energy photon via two fundamental mechanisms: two (multi)-photon absorption (Figure 69b) or sensitized triplet-triplet annihilation (TTA).<sup>136,1602</sup> The former mechanism leads to an excited state of higher energy (which would also be accessible by one-photon absorption; see Figure 69a) via a virtual state, whereas the latter intermolecular process typically involves two molecules in their triplet states that interact to leave one molecule in the ground state with the second molecule being excited to a higher electronic state. Some nonlinear crystal materials and non-centrosymmetric compounds and structures can exhibit second-harmonic generation (SHG), in which two photons with the same



**Figure 69.** (a) Single-photon absorption; (b) two-photon absorption; (c) second-harmonic generation; (d) upconversion.  $\psi_g$  = ground state;  $\psi_f$  = final excited state;  $\psi_v$  = virtual state;  $\psi_i$  = intermediate state.

frequency interact with matter and coalesce to a virtual state (Figure 69c).<sup>1603</sup> The resulting second-harmonic photon is generated practically instantaneously (within a few fs), so the signal is coherent (frequency doubling). Another interesting phenomenon is observed in so-called upconversion nanoparticles (UCNPs), in which two or more sequentially absorbed photons are converted into one emitted photon with higher energy via real metastable excited states (Figure 69d).<sup>1324,1604–1609</sup> UCNPs typically absorb in the IR region and emit in the visible or ultraviolet regions. Most UCNPs consist of rare-earth-based lanthanide- or actinide-doped transition metals. The theoretical quantum yield of upconversion cannot exceed 0.5 because at least two photons are required to produce one upconverted photon.

Because of their unique optical and chemical properties, UCNPs can be used for drug release/delivery.<sup>1610–1620</sup> They are convenient and biologically favored “UV-vis light-bulbs”<sup>49,1621</sup> because of their ability to convert NIR light into UV and visible photons. Many conventional UV-absorbing photoactivatable groups that undergo photocleavage or photoswitching processes can thus be activated through the tissue-transparent window. Because of the many recent excellent reviews cited above, we discuss only a few illustrative examples.

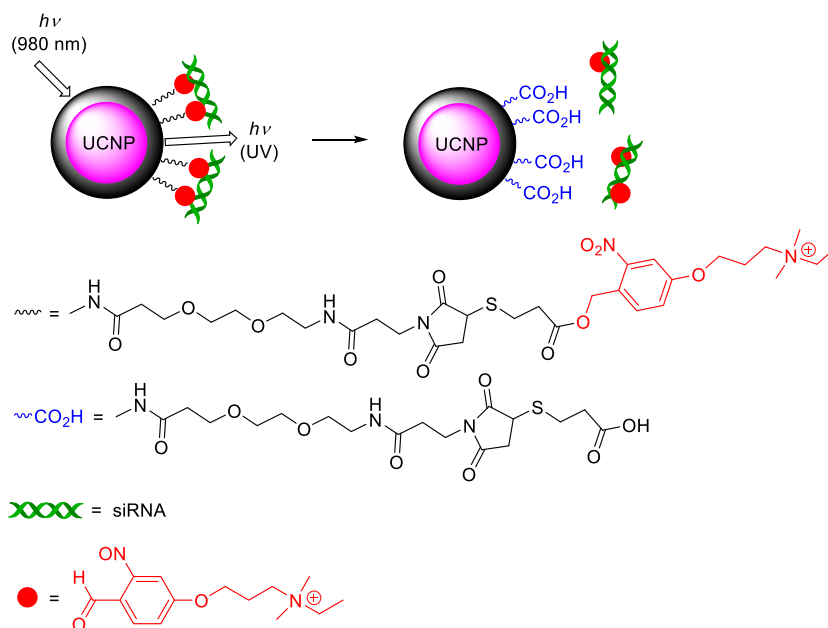
An application using *o*-nitrobenzyl derivatives was presented by Liu, Xing, and co-workers (Scheme 103).<sup>202</sup> Monodispersed core-shell UCNPs consisting of NaYF<sub>4</sub> nanocrystals doped with Yb<sup>3+</sup> and Tm<sup>3+</sup> were functionalized with cationic photoreleasable linkers via covalent bonding, enabling the adsorption of anionic siRNA molecules via electrostatic interactions. Upon NIR light irradiation (980 nm), the photolabile linker was cleaved by upconverted UV light, initiating the intracellular release of the siRNA.

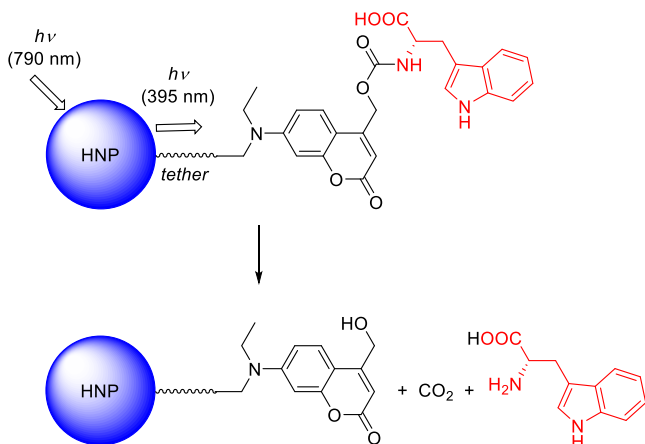
The literature provides many examples of applications in which different types of UCNPs serve as mediators in species release. Most works of this type published in recent years have used *o*-nitrobenzyl derivatives as photocleavable moieties.<sup>203,1622–1641</sup> However, other systems have also been studied, including coumarin-4-ylmethyl,<sup>365</sup> pyrenemethyl,<sup>1642</sup> or *o*-hydroxycinnamic<sup>1643</sup> PPGs as well as photoactivatable ruthenium,<sup>1333,1644</sup> platinum,<sup>1645,1646</sup> and manganese<sup>1159</sup> complexes, and Roussin’s black salt.<sup>1647</sup> Photochromic moieties have also been used for species liberation involving UCNPs, for example, by incorporating azobenzene<sup>1648–1650</sup> or spiropyran/merocyanine<sup>1651</sup> photoswitches.

Second-harmonic emission has recently been used for uncaging. Bismuth ferrite harmonic nanoparticles (HNPs) were used to release *L*-tryptophan linked to a coumarin-4-ylmethyl photoactivatable group via a carbamate functionality (Scheme 104).<sup>387</sup> Light (790 nm) from a femtosecond pulsed laser was converted into emission at 395 nm, which was responsible for the excitation of the PPG.

**6.4.3. Photothermally Controlled Release.** Visible or NIR irradiation of some nanoparticles consisting of noble metals (gold or silver), carbon (graphene derivatives or carbon nanotubes), metallic composites (CuS, MoS<sub>2</sub>), or polymers (polyanilines and liposomes) can result in the production of thermal energy (heat), which is dissipated into the surroundings of the nanostructure. This process is referred to as the photothermal effect,<sup>1652,1653</sup> and it can be regarded as a distinct type of photosensitization. Photothermal effects have

**Scheme 103.** Release of siRNA from UCNPs<sup>202</sup>

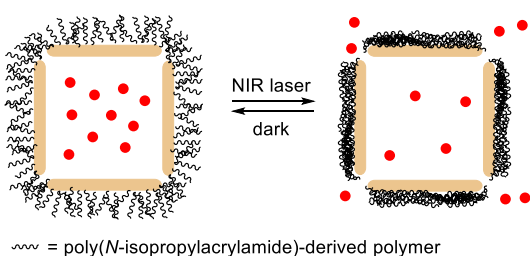


Scheme 104. Release of L-Tryptophan from UCNPs<sup>387</sup>

been used to achieve spatially and temporally controlled release of species such as drugs and metal ions.<sup>1653–1656</sup>

Upon excitation, noble metal nanoparticles (particularly those made of gold, AuNPs) exhibit localized surface plasmon resonance, that is, resonant oscillations of the conduction electrons, which are transformed into phonons, followed by rapid relaxation and heating.<sup>1654</sup> The wavelengths of the absorption maxima of AuNPs are related to the size of the particles: 10–40 nm AuNPs absorb in the green region, while larger particles have bathochromically shifted maxima. AuNPs have been used to uncage, among other things, (a) drugs embedded in a polymeric matrix surrounding AuNPs, (b) drugs embedded in liposomes together with AuNPs, and (c) drugs covalently or non-covalently attached to AuNPs via a tether.<sup>1654</sup> In all cases, photothermal heating disrupts the interactions confining the drug, leading to its release.

Scheme 105 shows a photothermally releasable system based on Au nanocages covered with poly(*N*-isopropylacrylamide)

Scheme 105. Au Nanocage Opening via the Photothermal Effect<sup>1657</sup>

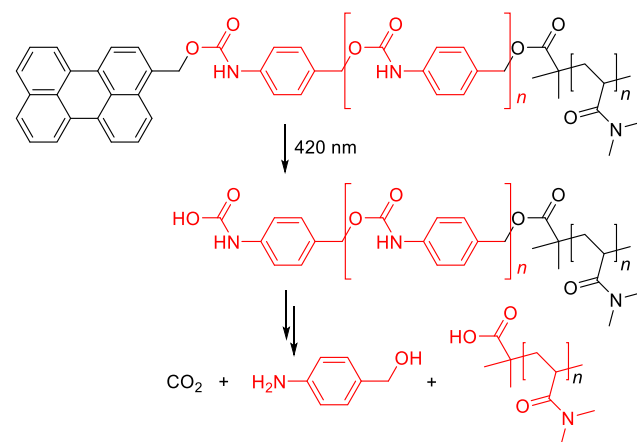
chains that undergo conformational changes when heated.<sup>1657</sup> Upon irradiation with a NIR laser, the light is absorbed by the AuNPs and is converted into heat via the photothermal effect. When the polymer chains collapse, a pre-loaded drug such as doxorubicin is released through the resulting pores. The polymer chains return to their original conformation in the dark and the pores close. Many other photothermal release systems using AuNPs have been reported.<sup>1658–1672</sup> Releasable systems consisting of cobalt nanowire-based particles,<sup>1673</sup> NaYbF<sub>4</sub>:Er<sup>3+</sup> UCNP nanocomposites,<sup>1674</sup> carbon nanotube thermosensitive hydrogel,<sup>1675</sup> biochar,<sup>1676</sup> and photothermal heating of water droplets confined in polymeric particles<sup>1677</sup> have also been reported.

## 7. PHOTOACTIVATABLE POLYMERS, MICELLES, AND VESICLES

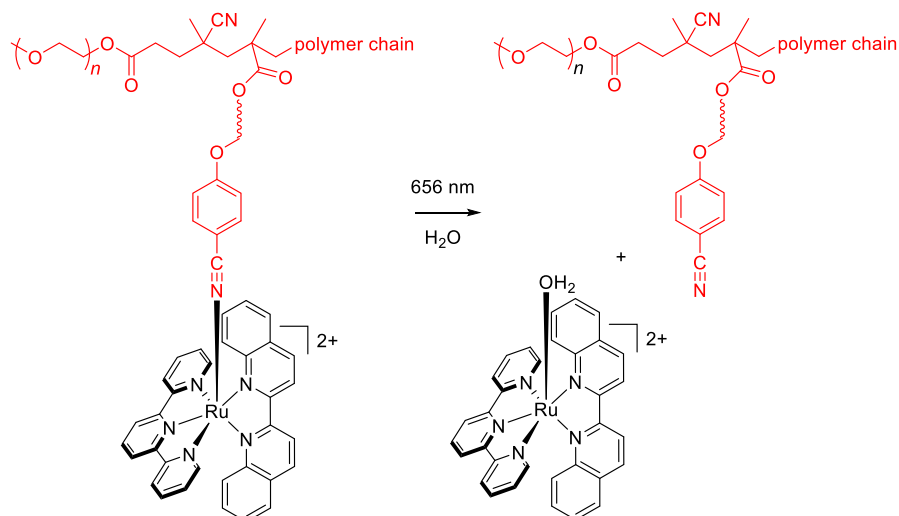
Many photoactivatable polymeric materials, micelles, and vesicles have been developed for drug/species delivery in recent decades.<sup>109,1573,1678–1689</sup> Photocleavable polymeric nanostructures are particularly interesting platforms for targeted drug delivery.<sup>14</sup> Several release mechanisms are available: these systems can serve as photoresponsive/degradable nanocarriers for drug delivery, polymeric films can facilitate photochemical species detachment or patterning,<sup>1683</sup> and hydrogels can alter the properties of biomaterials and affect the microenvironment.<sup>1575,1690</sup>

Photocleavage of covalent bonds in UV-absorbing chromophores such as *o*-nitrobenzyl or coumarin-4-ylmethyl groups<sup>10,1683</sup> connected to polymers and vesicles is an appealing strategy because the photochemistry and applications of these chromophores are well known.<sup>1691</sup> Their activation with red or NIR light is usually enabled by two-photon absorption or the use of upconverting nanoparticles (section 6.4.2). For example, two-photon or blue-light activation was used to remove *o*-nitrobenzyl-derived PPGs to release payloads from micelles<sup>1692–1694</sup> or polymers.<sup>271,1695–1697</sup> Similarly, coumarin-4-ylmethyl groups have been used to release drugs from micelles,<sup>445</sup> polymers,<sup>1696,1698</sup> hyaluronic acid nanogels,<sup>1699</sup> nanoparticles,<sup>383,415</sup> and nanocomposites.<sup>364</sup> Applications of photodegradable micelles consisting of amphiphiles containing a diazonaphthoquinone group have also been reported.<sup>1700–1705</sup>

One-photon visible-light photoactivation (at 420 nm) of cascade depolymerization of self-immolative polymersomes with photoremovable perylen-3-yl protecting groups<sup>553</sup> was shown to release encapsulated bioactive agents (section 2.3) via photosolvolysis (Scheme 106).<sup>563</sup>

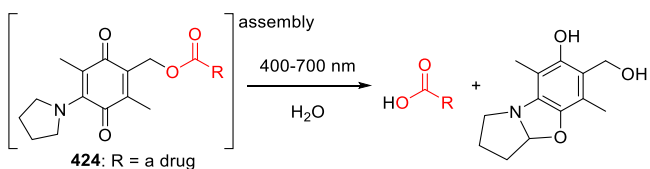
Scheme 106. Photochemical Depolymerization of Self-Immolative Polymersomes<sup>563</sup>

Wu and co-workers designed red-light-responsive Ru<sup>II</sup>-containing block copolymers for anticancer phototherapy. These copolymers can be assembled into micelles, vesicles, or large compound micelles depending on their molecular weights (Scheme 107).<sup>1483</sup> Upon excitation at 656 nm, they release the <sup>1</sup>O<sub>2</sub> generating anticancer agent [Ru(tpy)(biq)(H<sub>2</sub>O)]<sup>2+</sup> via ligand exchange (see section 3). Similar strategies were used with block copolymers bearing [Ru(Biq)<sub>2</sub>(Hob)<sub>2</sub>](PF<sub>6</sub>)<sub>2</sub> (Biq = 2,2'-biquinoline, Hob = 4-((6-hydroxyhexyl)oxy)benzotrile)<sup>1706</sup> or surface-grafted ruthenium complexes to

Scheme 107. Release from Ru<sup>II</sup>-Containing Block Copolymers<sup>1483</sup>

release cytotoxic molecules into cancer cells from mesoporous silica nanoparticles.<sup>1274</sup>

The amino-1,4-benzoquinone (**424**; see also section 2.10) photoactivatable moiety was used to prepare a nanoparticle-bound photocage–drug conjugate. Upon irradiation with red light, the nanoparticles dissolved in aqueous media, releasing the drug (Scheme 108; drug = paclitaxel, dexamethasone, or

Scheme 108. Amino-1,4-benzoquinone Derivatives as PPGs for Drug Uncaging from Nanoparticles<sup>726</sup>

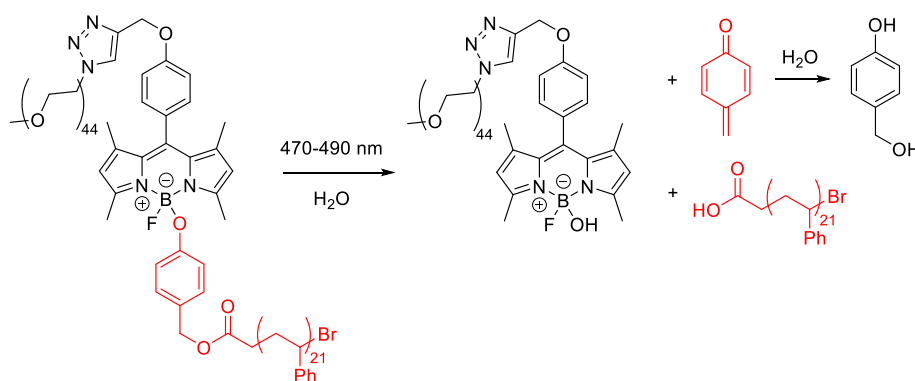
chlorambucil).<sup>726</sup> It was also shown that an encapsulated cyanine NIR-fluorescent dye such as DiD or IR780 could facilitate the location of the nanoparticles and monitoring of the photorelease process.

Photorelease from light-responsive polymeric micelles made from an amphiphilic block polymer incorporating a BODIPY derivative (see section 2.12) is shown in Scheme 109.<sup>824</sup> Upon irradiation, the micellar assembly of this polymer is disrupted due to the release of phenolate from the polymers to release a

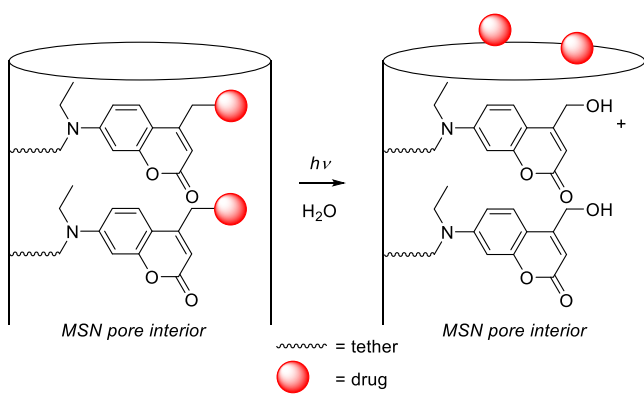
payload (Nile red). Another example of photoactivatable drug delivery is the photochemical release of dexamethasone from subcutaneously implanted polymeric particles, in which a  $\pi$ -extended *o*-nitrobenzyl derivative (section 2.1.1) absorbing below 500 nm serves as the photocleavable moiety.<sup>261</sup>

Mesoporous silica nanoparticles (MSNs) are important drug delivery nanocarriers with high surface areas and large pore volumes for drug loading, and they are readily functionalized with light-responsive groups or photoswitches.<sup>1707–1710</sup> Most known systems of this type rely on doped upconversion nanoparticles that convert NIR radiation into UV/vis radiation (section 6.4.2) or Au-based, CuS, or graphene oxide nanoparticles that absorb and convert NIR light into thermal energy via the photothermal effect (see section 6.4.3).

For example, 1P (420 nm) or 2P (800 nm) irradiation was used to release the anticancer drug chlorambucil, which was connected to a 7-amino-coumarin derivative and grafted onto the surface of aminopropyl-functionalized MSNs (Scheme 110).<sup>384</sup> The drug is liberated by a photosolvolytic reaction. Multi-photon-absorption (808 nm) leading to dissociation of *o*-nitrobenzyl-containing poly(ethylene glycol) on the surface of gold nanostars coated with a mesoporous silica shell was also shown to release doxorubicin.<sup>1711</sup>

Scheme 109. Release from BODIPY-Containing Amphiphilic Block Polymer<sup>824</sup>

**Scheme 110. Release from Aminopropyl-Functionalized MSNs**<sup>384</sup>



## 8. RELEASE MEDIATED BY PHOTOSWITCHING

Host–guest interactions are affected by several factors, such as the nature of the host and guest molecules and the properties of the solvent.<sup>1712</sup> The host entity can consist of a single molecule, usually a photoswitchable (photochromic) system whose photoreaction leads to the release of a guest molecule due to a change in binding affinity. The two isomers of a photoswitchable molecule have distinct chemical, physical and optical properties, which can be used to tune the properties of the host material. The most commonly used photoswitches<sup>1713</sup> for this purpose are azobenzene,<sup>1714</sup> spiropyran, and diarylethene derivatives (whose photoswitching involves ring-opening/closing) (Scheme 111), but stilbene and fumaric acid derivatives (which undergo light-induced *E*–*Z* isomerization) or anthracene and coumarin chromophores (which undergo reversible photodimerization) have also been used.<sup>1712</sup> An alternative approach uses multi-component supramolecular cages or capsules that incorporate a photoactivatable moiety to control guest release. To achieve visible or NIR light absorption, UV-absorbing chromophores can be modified by  $\pi$ -extension to bathochromically shift their absorption bands. Alternatively, they can be excited via a 2-photon absorption, upconversion emission, or sensitization.<sup>1411,1715</sup> Research in this area has been reviewed on several occasions in the past decade,<sup>1712,1714–1722</sup> so here we present only some particularly notable systems bearing chromophores absorbing over 400 nm.

Since Ueno and co-workers showed in 1978 that azobenzene-capped  $\beta$ -cyclodextrin can regulate the binding of various substrates (including toluene, cyclohexanol, and geraniol) upon irradiation at wavelengths of 320–390 nm,<sup>1723</sup>

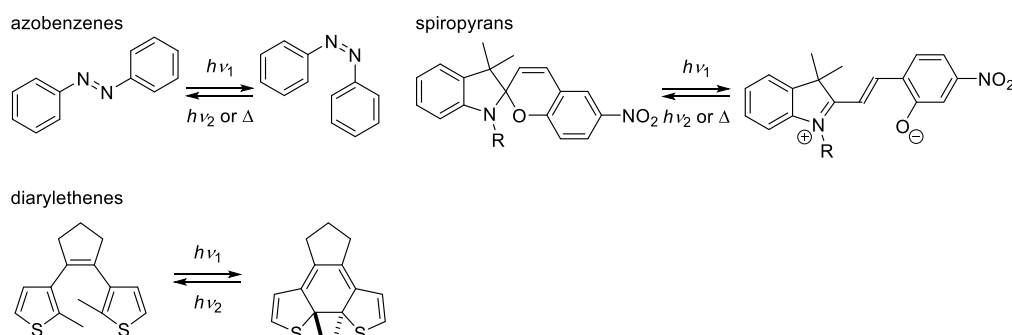
the azobenzene unit has become one of the most widely used photoswitches. Azobenzene-bridged cryptand **425** is a typical example; its irradiation with visible light selectively triggers *Z*  $\rightarrow$  *E* isomerization, while *E*  $\rightarrow$  *Z* isomerization is triggered by UV light, enabling control over its binding affinity towards the guest 2,7-diazapyrenium ion (Scheme 112).<sup>1724</sup>

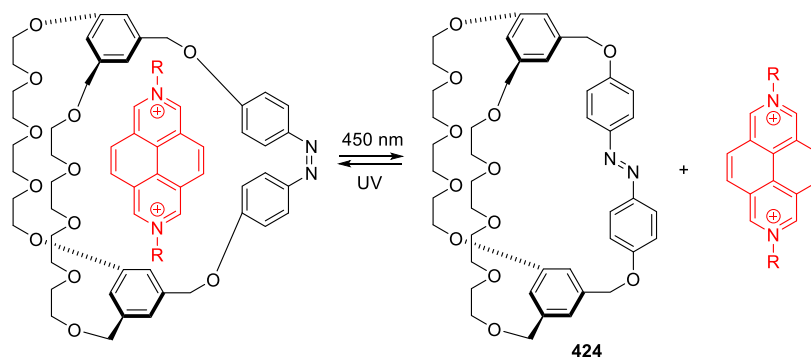
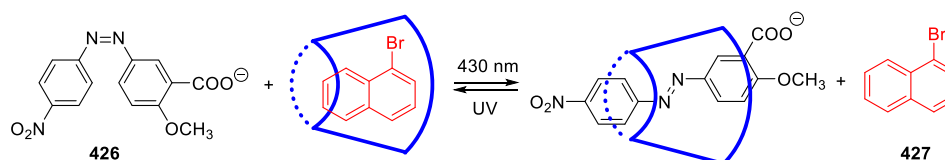
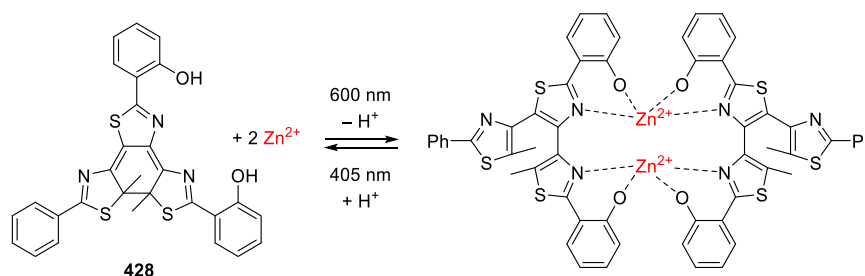
Tian and co-workers observed room-temperature phosphorescence emission as a result of photochemically controlled complexation of 2-hydroxy-5-((4-nitrophenyl)-diazenyl)-benzoate (**426**) in  $\beta$ -cyclodextrin (Scheme 113), displacing the fluorescent heavy-atom containing  $\alpha$ -bromonaphthalene (**427**). The fluorescence of the bromonaphthalene was suppressed when complexed with the cyclodextrin but not when it was displaced by the *E*-isomer of the azadiene.<sup>1725</sup> Similarly, Wang and Wu constructed supramolecular valves from tetra-*o*-methoxy-substituted azobenzene and  $\beta$ -cyclodextrin to control the release of doxorubicin from nanopores of mesoporous silica nanoparticles (see section 7) using red light.<sup>1726</sup>

An application of a self-assembled coordination cage consisting of two square-planar-coordinated Pd<sup>II</sup> ions and four photochromic dithienylethene-containing ligands was reported by Clever and co-workers.<sup>1727</sup> The photorelease and encapsulation of the guest, [B<sub>12</sub>F<sub>12</sub>]<sup>2-</sup>, was accomplished using UV and white light, respectively. Photoactivatable metal-containing complexes<sup>40,870</sup> can also serve as excellent platforms for metal ion photorelease. Metal ions can induce profound biological responses, so it is desirable to control their concentrations with a high spatiotemporal resolution using photoactivatable systems. To this end, Yu and collaborators introduced the terthiazole-based molecular switch **428**, which enables photoswitchable release and uptake of Zn<sup>2+</sup> ions based on a 6 $\pi$ -electrocyclization/cycloreversion reaction of the chromophore and excited-state intramolecular proton transfer (ESIPT; Scheme 114).<sup>1728</sup> Additionally, visible-light triggered switching of the G-quadruplex ligand binding mode and G-tetrad structure formation using a pyridinium-substituted dithienylethene has been demonstrated under physiologically relevant conditions.<sup>1729</sup>

Another notable photoswitchable system for controlled metal ion release is a bisstyrylthiophene derivative that incorporates both a  $\pi$ -extended photoactivatable nitrobenzyl group and a conjugated Ca<sup>2+</sup> chelator (see also section 2.1).<sup>219</sup> This species has a large two-photon cross section (350 GM) at 775 nm. Ca<sup>2+</sup> photorelease (/uptake) has also been accomplished using a photoswitchable diarylethene-containing chelator,<sup>1730</sup> visible-light irradiation of flavin photosensitizers in the presence of Ca<sup>2+</sup>-EDTA,<sup>1410</sup> and two-photon excitation

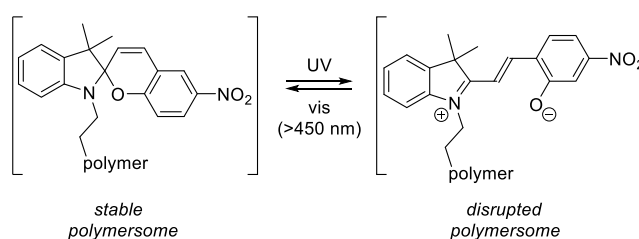
**Scheme 111. Examples of Photochromic Systems**



Scheme 112. Azobenzene Isomerization to Control Binding Affinity of a Guest<sup>1724</sup>Scheme 113. Photochemically Controlled Complexation in  $\beta$ -Cyclodextrin<sup>1725</sup>Scheme 114. Terthiazole-Based Molecular Photoswitch<sup>1728</sup>

of a 5-bromo-2-nitrobenzyl-substituted ethylene glycol tetraacetic acid chelator.<sup>188</sup> The last example discussed here is a system that releases metal ions such as  $\text{Ca}^{2+}$ <sup>1731</sup> or  $\text{Zn}^{2+}$  from polymersomes, that is, bilayer vesicles that self-assemble from amphiphilic diblock copolymers.<sup>1732</sup> A photoresponsive polymersome system containing an ethyne-bridged bis-[(porphinato)zinc] fluorophore as a hydrophilic membrane solute and dextran in the aqueous core undergoes deformation upon irradiation at 488 nm, liberating the metal ion.<sup>1732</sup>

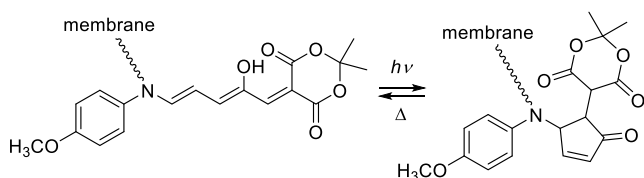
The incorporation of photoswitchable moieties into the backbones of polymer nanoparticles, micelles, polymersomes, vesicles, microgels, liposomes, mesoporous silica nanoparticles, and so on offers another way of controlling the photochemical delivery/release of cargoes encapsulated within the assembled nanocarriers.<sup>130,1581,1707–1709,1714,1715,1733–1738</sup> As in the supra-molecular systems discussed above, photoisomerization (e.g., azobenzene) and  $6\pi$ -electrocyclization of triene systems (e.g., spiropyran and diarylethenes; Scheme 111) are commonly used mechanisms for species release from nanocarriers. This approach was exemplified by photochromic polymersomes composed of self-assembled poly(ethylene oxide) diblock copolymers containing a spiropyran-based monomer, which exhibited reversible bilayer permeability upon photoisomerization of the hydrophobic spiropyran to give the zwitterionic merocyanine upon irradiation at  $>450$  nm; this process could be reversed by irradiation at  $<420$  nm (Scheme 115).<sup>1739</sup> The authors assumed that the structure of the polymersomes is determined by multiple cooperative noncovalent interactions

Scheme 115. Photoswitching of a Spiropyran/Merocyanine Pair in Polymersome<sup>1739</sup>

including hydrophobic, hydrogen bonding,  $\pi$ - $\pi$  stacking, and electrostatic interactions, and that the isomerization changes the pattern of these interactions. This system was successfully used to release a nuclei-staining dye, 4',6-diamidino-2-phenylindole, in living HeLa cells.

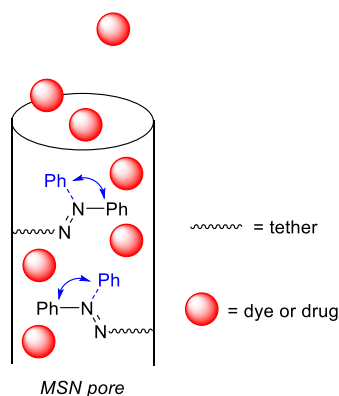
Similarly, photoinduced isomerization of two different donor-acceptor Stenhouse adducts upon irradiation with visible light (Scheme 116) was used to switch the permeability of polymersome by inducing the isomerization of a nonpolar triene-enol into a polar cyclopentenone within amphiphilic block copolymers containing poly(pentafluorophenyl methacrylate).<sup>1740</sup> The hydrophilic anticancer drug 2'-deoxy-5-fluorouridine and the DNA-intercalating dye 4',6-diamidino-2-phenylindole were used as payloads in this system. Other photoswitchable systems have also been used for controlled delivery upon irradiation with visible or NIR light including a spiropyran-merocyanine-containing polymer,<sup>1741</sup> a nanocar-

### Scheme 116. Switching of a Stenhouse Adduct within Amphiphilic Block Copolymers<sup>1740</sup>



rier-based on hollow mesoporous silica (HMS) nanoparticles,<sup>1742</sup> polymer nanoparticles incorporating a donor–acceptor Stenhouse adduct,<sup>1743</sup> sulfonatocalix[4]arene with bound flavylum ions,<sup>1744</sup> azobenzene-containing micelles,<sup>1745</sup>  $\beta$ -cyclodextrin-grafted hyperbranched conjugated polymers,<sup>1746</sup> and cationic vesicles.<sup>1747</sup> Additionally, the azobenzene chromophore has been used for the photochemical control of drug release from supramolecular<sup>1748</sup> and poly-(ethylene glycol)<sup>1749</sup> hydrogels.

Photoisomerization-induced release of luminescent dyes and anticancer drugs from functionalized azobenzene molecules attached to the interiors of MSN pores upon irradiation at 413 nm was reported by Tamanoi, Zink, and co-workers (Figure 70).<sup>1750</sup> Another photoactivatable system was reported by



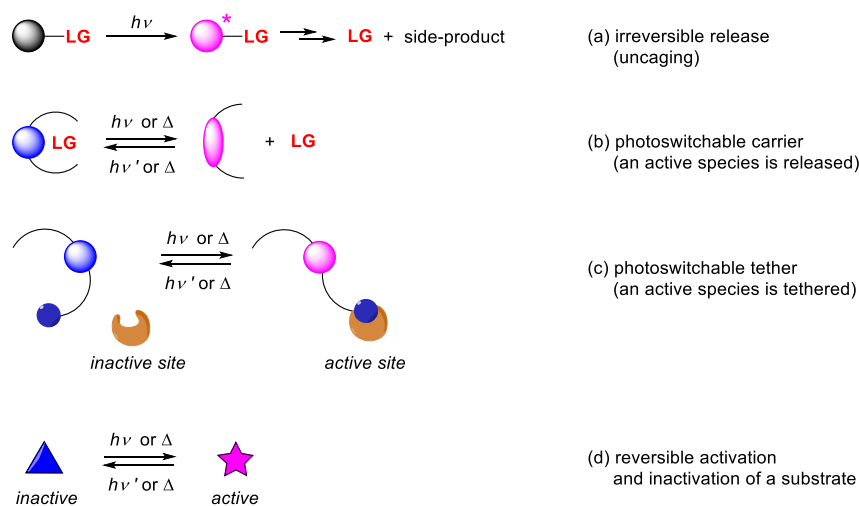
**Figure 70.** Azobenzene-controlled release from the pores of mesoporous silica nanoparticles.<sup>1750</sup>

Knežević and co-workers,<sup>1751</sup> who entrapped the model dye sulforhodamine 101 inside the mesopores of mercaptopropyl-functionalized MSNs in the presence of a  $\text{Ru}(\text{bpy})_2(\text{PPh}_3)$  moiety coordinated to mercaptopropyl functional group. The dye was liberated upon irradiation at 455 nm via a ligand exchange reaction.

## 9. PHOTOACTIVATION AND PHOTODEACTIVATION OF DRUGS: PHOTOPHARMACOLOGY

Most of the photochemical release systems discussed in this review rely on irreversible release (uncaging) from auxiliary photochemically active/reactive chromophores that undergo various photochemical side-reactions, leading to their destruction and thus potentially to the formation of unwanted materials (Scheme 117a). These processes must be carefully considered during the design and development of new photoactivatable systems, especially in terms of their compatibility with biological systems where relevant. Despite this limitation, irreversible uncaging remains the dominant approach to photorelease in both fundamental and practical research. However, as discussed in the preceding sections, reversible release systems using (photoswitchable) photochromic moieties also exist and can be incorporated into more complex systems, where their reversible (photo)reactions such as *E*–*Z*-photoisomerization can induce changes in the binding affinity of a releasable guest upon irradiation (Scheme 117b, section 7). Active species can also be incorporated into a tether whose conformation/length changes upon irradiation to bring an attached active molecule into close proximity with a site of interest (Scheme 117c).<sup>1752</sup> Scheme 117d shows a different approach involving a so-called photochromic ligand that can exist in two or more different isomeric forms that are interconvertible upon irradiation, of which only one is active in a specific application. The isomerization, in this case, may also be triggered by heat ( $\Delta$ ) in one direction, and may thus proceed spontaneously under certain conditions. The term “photopharmacology” is used in reference to bioactive molecular systems that undergo reversible photochemical transformations that alter their pharmacokinetic or pharmacodynamic properties. This is a relatively new concept but one that has attracted considerable attention and has therefore been reviewed several times in recent years.<sup>145,874,1752–1764</sup>

### Scheme 117. Irreversible versus Reversible Photoactivation

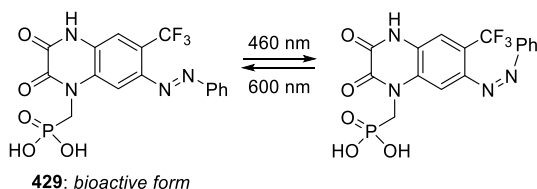


Consequently, we present only a few representative examples here.

Azobenzene and diarylethene photoswitches and their analogs (section 8) are the most frequently used photochromic systems in photopharmacology.<sup>1752,1753,1758,1763,1765</sup> Most of them are activatable by UV light in one direction and by visible light in the reverse process. Substituents attached to a photochromic group can bathochromically shift its absorption maxima such that both forward and backward photoactivation occurs in the visible part of the spectrum.

Both *E* and *Z* isomers of azobenzenes can be pharmacologically active. Trauner and co-workers introduced azobenzene derivative **429**, which is a freely diffusible photoswitchable antagonist of the  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) glutamate receptor (Schemes 117 and 118).<sup>1766</sup> This compound is active in its stable *E*-form but

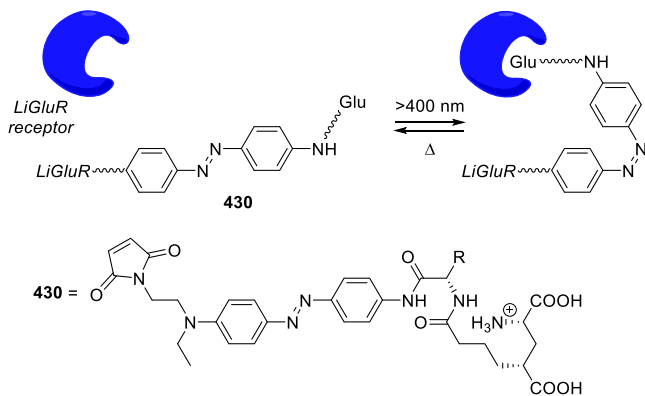
**Scheme 118. Photoswitchable Quinoxaline-2,3-dione Antagonist**



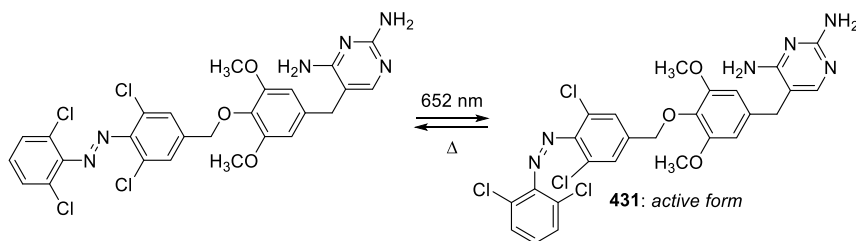
considerably less active as the *Z*-isomer. Because of a significant bathochromic shift of its absorption maxima, the two isomers can be interconverted by irradiation at 460 and 600 nm, respectively.

Another azobenzene-based protein ligand, **430**, was developed by Yuste, Gorostiza, and co-workers to activate the light-gated glutamate receptor LiGluR in living cells (Scheme 119; Glu = glutamate).<sup>1767</sup> The *E*-form of the tether

**Scheme 119. Azobenzene-Based Protein Switch**



**Scheme 120. Photoactivation of Antibacterial Azobenzene Derivative**

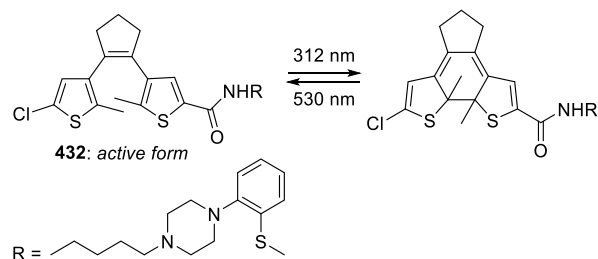


is inactive, but *E*–*Z* isomerization induced by one- (>400 nm) or two-photon irradiation brings the glutamate residue at the end of the ligand chain into the vicinity of the receptor's glutamate-binding site. In the dark, the receptor is inactivated by the rapid and spontaneous reverse isomerization of the ligand.

Szymanski, Feringa, and co-workers developed switchable antibacterial agents<sup>1768</sup> based on the azobenzene chromophore whose activity is controlled by visible-light irradiation.<sup>1769</sup> The *Z*-azobenzene derivative **431**, formed upon photoisomerization of the corresponding *E*-isomer at 652 nm, exhibited at least an 8-fold increase in activity (Scheme 120).

A different photoswitch based on a photochromic dithienylethene (**432**) was studied by König and co-workers who showed that the open isomer of this compound activated the dopamine D<sub>25</sub> receptor considerably more efficiently than the closed isomer (Scheme 121).<sup>1770</sup> Notably, the photo-physical properties of these dithienylethene dopamine ligands exhibited high fatigue resistance.

**Scheme 121. Photochromic Dithienylethene Derivative**



Bifunctional molecules targeting proteins for ubiquitylation by an E3 ligase complex and subsequent degradation by the proteasome (PROTACs; proteolysis targeting chimeras) are powerful tools for regulating the levels of certain cellular proteins.<sup>1771</sup> Photoswitchable PROTACs, or PHOTACs (photochemically targeting chimeras), that enable optical (photopharmacological) control of protein levels using azobenzene-containing photoswitches, were recently developed by Pagano and Trauner.<sup>1772</sup> Conceptually similar photoswitchable azobenzene-proteolysis targeting chimeras (Azo-PROTACs) were introduced by You and Jiang.<sup>1773</sup>

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## Notes

The authors declare no competing financial interest.

## Biographies

Roy Weinstein received his B.Sc. degree in chemistry and biology in 2005 from Tel Aviv University, Israel. He obtained his Ph.D. from the same institution in 2010 for the development of self-immolative molecular systems under the supervision of Prof. Doron Shabat. He then joined Prof. Roger Y. Tsien's group at the University of California San Diego as a post-doctoral fellow, working on the synthesis and application of fluorescent probes to study dynamic processes *in vivo*. Since 2014, he is a Senior Lecturer in the School of Plant Science and Food Security at Tel Aviv University, Israel. His research focuses on the development and implementation of chemical-biology methods to study the functions and regulation mechanisms of plant signaling molecules.

Tomáš Slanina received his M.S. degree from Masaryk University, Brno, Czech Republic in 2012. He received his Ph.D. in organic chemistry in 2015 in a joint programme between Masaryk University and the University of Regensburg, Germany, under the supervision of Prof. Petr Klán and Prof. Burkhard König. He later worked as a postdoctoral researcher in Prof. Alexander Heckel's group at Goethe University, Frankfurt am Main, Germany, and in the research group of Prof. Henrik Ottosson at Uppsala University, Sweden. He is currently a leader of the junior research group on redox photochemistry at the Institute of Organic Chemistry and Biochemistry in Prague, Czech Republic. His research interests include organic chemistry, photochemistry, physical organic chemistry, electrochemistry, time-resolved and steady-state spectroscopy, investigation of reaction mechanisms, and chemical biology.

Dnyaneshwar Kand received his B.Sc. and M.Sc. degrees in chemistry from Pune University, India. He then joined the Indian Institute of Science, Education and Research (IISER), Pune, India with Dr. Pinaki Talukdar, receiving his Ph.D. degree in organic chemistry in 2015 for the development of colorimetric and fluorescent selective thiols sensors. In 2015, he joined the group of Dr. Roy Weinstein at Tel Aviv University, Israel, as a post-doctoral fellow (and a PBC fellow), where he worked on the development of *meso*-methyl BODIPY photocages. When not doing chemistry, he enjoys playing cricket.

Petr Klán received an M.Sc. degree in organic chemistry from Masaryk University, Brno, Czech Republic in 1986. After working in the industry for five years, he stayed at Michigan State University to pursue a Ph.D. in chemistry under the tutelage of Prof. Peter J. Wagner. After receiving his Ph.D. in chemistry in 1998, he joined the faculty at Masaryk University where he is now a full professor. His current research focuses on photochemistry, mechanisms of organic

reactions, kinetic flash photolysis, spectroscopy, photoremovable protecting groups, and environmental photochemistry. He co-authored the book "Photochemistry of Organic Compounds" (Wiley, 2009) with Prof. Jakob Wirz.

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## ABBREVIATIONS

$\lambda_{\text{max}}^{\text{abs}}$	absorption maximum
$\lambda_{\text{max}}^{\text{em}}$	emission maximum
$\lambda_{\text{irr}}$	irradiation wavelength
$\Phi_{\text{r}}$	reaction quantum yield
$\Phi_{\Delta}$	quantum yield of singlet oxygen production
$\Phi_{\text{r}}\epsilon(\lambda_{\text{irr}})$	uncaging cross section
$\sigma_{\text{TPA}}$	2-photon absorption cross section
$\delta_{\text{unc}}$	2-photon uncaging cross section
1P	one-photon
1PE	1-photon excitation
2P	two-photon
2PE	2-photon excitation
4AMP	4-(aminomethyl)pyridine
4AP	4-aminopyridine
4-pic	4-methylpyridine
AIE	aggregation-induced emission
AMPA	$\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazole-propionic acid
ATP	adenosine triphosphate
AuNP	Au nanoparticle
BDE	bond dissociation energy
BHQ	(8-bromo-7-hydroxyquinoline-2-yl)methyl
BIST	bisstyrylthiophene
biq	2,2'-biquinoline
BODIPY	4,4-difluoro-4-bora-3a,4a-diaza-s-indacene
bpy	2,2'-bipyridyl
BRET	bioluminescence resonance energy transfer
cAMP	cyclic adenosine monophosphate
Cbl	chlorambucil
CD	carbon dot
CDNI	4-carboxymethoxy-7-nitroindolinyl
cGMP	cyclic guanosine monophosphate
cMO	caged morpholino oligonucleotide
COHb	carbonylhemoglobin
COS	carbonyl sulfide
CQD	carbon quantum dot

CRISPR	clustered regularly interspaced short palindromic repeats	Me <sub>2</sub> bpy	6,6'-dimethyl-2,2'-bipyridine
CT	charge transfer	MetHb	methemoglobin
cur	curcumin	MES	2-( <i>N</i> -morpholino)ethanesulfonic acid-based buffer
Cy5	pentamethine cyanine	MLCT	metal-to-ligand charge transfer
Cy7	heptamethine cyanine	MMLCT	metal-metal-bond-to-ligand charge-transfer
cyclam	1,4,8,11-tetraazacyclotetradecane	MNPPOC	2-(3,4-methylenedioxy-6-nitrophenyl)-propoxy-carbonyl
CyHQ	(8-cyano-7-hydroxyquinoline-2-yl)methyl	MOF	metal-organic framework
DANS	<i>E</i> -4-( <i>N,N</i> -dimethylamino)-4'-nitrostilbene	MOPES	3-( <i>N</i> -morpholino)propanesulfonic acid
dach	1 <i>R</i> ,2 <i>R</i> -(−)-1,2-diaminocyclohexane	MSN	mesoporous silica nanoparticle
DDQ	2,3-dichloro-5,6-dicyano-1,4-benzoquinone	NDBF	nitrodibenzofuran
DEA	diethylamine	NHS	<i>N</i> -hydroxysuccinimide
DEAC	7-diethylaminocoumarin	NIR	near-infrared
dend.	1,4-diaminobutane dendrimer	NO	nitric oxide
DFT	density functional theory	NONOate	diazoniumdiolate
DMA	dimethylacetamide	NP	nanoparticle
DMNB	4,5-dimethoxy-2-nitrobenzyl	NPEOC	1-(2-nitrophenyl)ethyloxycarbonyl
DMNPB	3-(4,5-dimethoxy-2-nitrophenyl)-2-butyl	NPPOC	1-(2-nitrophenyl)propyloxycarbonyl
DMSO	dimethyl sulfoxide	oNB	<i>o</i> -nitrobenzyl
DNA	deoxyribonucleic acid	PBS	phosphate buffer saline
dppn	benzo[ <i>i</i> ]dipyridophenazine	PDT	photodynamic therapy
DTE	dithienylethene	PEG	polyethylene glycol
EDG	electron-donating group	PET	photoinduced electron transfer
EDTA	ethylenediaminetetraacetic acid	Ph	phenyl
EGFR	epidermal growth factor receptor	phen	1,10-phenanthroline
EGTA	ethylene glycol tetraacetic acid	phen-CHO	phenanthrolinecarboxaldehyde
en	ethylenediamine	photoCORM	photoactivatable CO-releasing moiety
ESIPT	excited-state intramolecular proton transfer	photoNORM	photoactivatable NO-releasing moiety
Et	ethyl	pHP	<i>p</i> -hydroxyphenacyl
EWG	electron-withdrawing group	PPG	photoprotecting group
FBS	fetal bovine serum	py	pyridine
Fl	9,9'-dibutyl-9 <i>H</i> -fluoren-2-yl	Py	pyrene
FRET	Förster resonance energy transfer	pydppn	(pyrid-2-yl)benzo[ <i>i</i> ]dipyridophenazine
FT-IR	Fourier transform infrared	QD	quantum dot
FWHM	full-width-at-half maximum	qmtpm	2-quinoline- <i>N</i> -(2'-methylthiophenyl)-methylenimine
GA <sub>3</sub>	gibberellin A <sub>3</sub>	RNA	ribonucleic acid
GABA	$\gamma$ -butyric acid	sens	sensitizer
glu	glutamate	SHG	second-harmonic generation
GM	Goepfert-Mayer	sol	solvent
HBIND	H-bond-induced non-radiative decay	TD-DFT	time-dependent density functional theory
HBT	2-(2'-hydroxyphenyl)benzothiazole	TICT	twisted intramolecular charge transfer
HEPES	4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid	TIP	tight ion-pair
HMO	Hückel molecular orbital	TMG	tetramethylguanidine
HMS	hollow mesoporous silica	TPA	two photon absorption
HNP	harmonic nanoparticle	TPA	tris(2-pyridylmethyl)amine
Hob	4-((6-hydroxyhexyl)oxy)benzonitrile	TPE	tetraphenylethylene
HOMO	highest occupied molecular orbital	tpy	terpyridine
hydrol	hydrolysis	TQA	tris(2-quinolinylmethyl)amine
ICT	intramolecular charge transfer	TRIR	time-resolved ultrafast infrared spectroscopy
immol	self-immolation	Tris	tris(hydroxymethyl)aminomethane
IP <sub>3</sub>	inositol triphosphate	TRPV1	transient receptor potential cation channel V1
IR	infrared	TTA	triplet-triplet annihilation
ISC	intersystem crossing	UCNP	upconversion nanoparticle
L	ligand	UV	ultraviolet
LE	locally excited	VPA	valproic acid
LED	light-emitting diode	WT	wild type
LF	ligand field	z	zwitterion
LG	leaving group		
LLCT	ligand-to-ligand charge transfer		
LMCT	ligand-to-metal charge transfer		
LUMO	lowest unoccupied molecular orbital		
Me	methyl		

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