# PERSPECTIVE



# Neurosteroid Modulation of GABA<sub>A</sub> Receptor Function by Independent Action at Multiple Specific Binding Sites



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#### ARTICLE HISTORY

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**Abstract:** Neurosteroids are endogenous modulators of GABA<sub>A</sub> receptors that mediate anxiety, pain, mood and arousal. The 3-hydroxyl epimers, allopregnanolone (3 $\alpha$ -OH) and epiallopregnanolone (3 $\beta$ -OH) are both prevalent in the mammalian brain and produce opposite effects on GABA<sub>A</sub> receptor function, acting as positive and negative allosteric modulators, respectively. This *Perspective* provides a model to explain the actions of 3 $\alpha$ -OH and 3 $\beta$ -OH neurosteroids. The model is based on evidence that the neurosteroid epimers bind to an overlapping subset of specific sites on GABA<sub>A</sub> receptors, with their net functional effect on channel gating being the sum of their independent effects at each site.

Keywords: Neurosteroids, GABA<sub>A</sub> receptors, ion channels, affinity labeling, desensitization, structural biology.

#### **1. INTRODUCTION**

Neurosteroids are cholesterol metabolites produced in neurons and glia that act as modulators of a number of cell surface receptors and ion channels [1]. The chloridepermeable GABA<sub>A</sub> receptor is a major target of neurosteroids;  $3\alpha$ -OH steroids enhance, whereas  $3\beta$ -OH and sulfated steroids inhibit GABA-elicited currents [2-4]. Modulation of GABA<sub>A</sub> receptor activity by potentiating neurosteroids and their synthetic analogues controls neuronal excitability and contributes to anxiolysis, sedation, and anesthesia [5, 6]. The focus of this *Perspective* is the molecular basis for the actions of  $3\alpha$ -OH and  $3\beta$ -OH neurosteroids, and how steroid interactions with distinct binding sites underlie the net modulatory effect observed in functional assays.

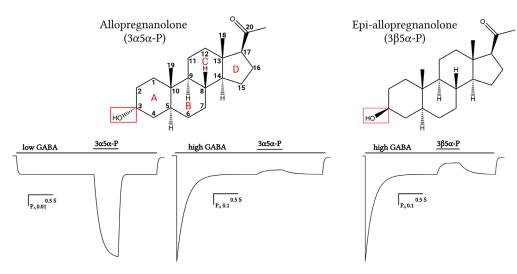
#### 2. FUNCTIONAL EFFECTS OF 3α-OH PAM NEURO-STEROIDS

 $3\alpha$ -OH neurosteroids such as allopregnanolone ( $3\alpha5\alpha$ -P) and tetrahydrodeoxycorticosterone (THDOC) are positive allosteric modulators (PAM) of GABA<sub>A</sub> receptors, augmenting the response of the receptor to a sub-saturating concentration of GABA (Fig. 1). The effect manifests as increased peak and steady-state responses in macroscopic recordings, and increased opening frequency and prolonged mean open duration of the channel in single-channel recordings [7-9]. Single-channel kinetic analysis has identified up to three specific changes in open and closed time properties in the presence of PAM steroids that collectively underlie the increase in receptor open probability. There is an increase in the mean duration and prevalence of the longest-lived open time component and a decrease in the prevalence of the closed time component associated with agonist binding and channel opening [9]. Whether the individual kinetic effects are mediated by unique sites or a single, common interaction site remains unclear [10]. In radioligand binding assays, exposure to a PAM steroid enhances the binding of [<sup>3</sup>H]muscimol to the orthosteric binding site and [<sup>3</sup>H]flunitrazepam to the benzodiazepine site [11-14]. Conversely, the binding of [<sup>35</sup>S]*t*-butylbicyclophosphorothionate, a cage convulsant and noncompetitive GABA<sub>A</sub> receptor antagonist, is reduced in the presence of PAM neurosteroids [12, 15, 16].

## 3. THE BINDING SITES FOR PAM NEUROSTER-OIDS

By comparing the modulatory effect of THDOC on the mouse  $\alpha 1\beta 2\gamma 2$  GABA<sub>A</sub> receptor and the neurosteroidinsensitive *Drosophila* GABA receptor, Hosie *et al.* [17] identified residues in the transmembrane region, notably  $\alpha 1(Q242)$  that are essential for the PAM effects of neurosteroids. Subsequent studies using neurosteroid analogue photolabeling [18-20], X-ray crystallography [21-23] and scanning cysteine mutagenesis [24] have localized a major neurosteroid binding site at the interface between the  $\beta(+)$  and  $\alpha(-)$  subunits. The site is defined by the  $\alpha 1(Q242)$ ,  $\alpha 1(W246)$  and  $\beta 3(F301)$  residues, and the actions of neurosteroids are sensitive to amino acid substitutions at these positions [25]. The hydrogen bond between the  $3\alpha$ -OH of the steroid and the  $\alpha 1(Q242)$  residue is a key interaction and determinant of functional modulation.

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**Fig. (1).** Structure and actions of neurosteroids. Allopregnanolone  $(3\alpha5\alpha-P; top left)$  is an endogenous PAM neurosteroid characterized by a  $3\alpha$ -hydroxyl group. Its epimer, epi-allopregnanolone  $(3\beta5\alpha-P; top right)$ , is a NAM neurosteroid characterized by a  $3\beta$ -hydroxyl group. The lower panels show simulated electrophysiological tracings of GABA<sub>A</sub>-receptor currents constructed using a three-state (resting-active-desensitized) Monod-Wyman-Changeux model (simulation performed in ChanneLab<sup>TM</sup> ver. 2 software provided by Stephen F. Traynelis, Emory University School of Medicine). At low open probability (P<sub>A</sub>), (*left lower panel*)  $3\alpha5\alpha$ -P significantly potentiates the channel activation elicited by a low concentration of the orthosteric agonist GABA, whereas  $3\beta5\alpha$ -P (not shown) has no effect. In contrast, at high P<sub>A</sub> (such as that produced by a saturating concentration of GABA)  $3\alpha5\alpha$ -P weakly (*lower middle panel*) and  $3\beta5\alpha$ -P substantially desensitize the receptor, thus inhibiting the steady-state current.

Photolabeling studies have identified additional binding sites for PAM neurosteroids within the transmembrane domains in  $\alpha 1$  and  $\beta 3$  subunits [19], encompassing the remaining neurosteroid-sensitive residues originally described by Hosie [17] (Fig. 2). In the  $\alpha$ 1 subunit, a  $3\alpha$ 5 $\alpha$ -P photolabeling analogue binds between transmembrane  $\alpha$ -helices (TM) TM1 and TM4. The A-ring of the steroid is oriented towards the extracellular domain (ECD) with the sides of the predicted binding site lined by the  $\alpha 1(N408)$  and  $\alpha 1(Y415)$  residues of TM4 and  $\alpha 1(V227)$  of TM1. In the  $\beta 3$  subunit, the preferred pose of the steroid is between TM3 and TM4, with the A-ring positioned towards the ECD near  $\beta$ 3(Y442) and the D-ring near  $\beta$ 3(V290) of TM3. Amino acid substitutions introduced within the intra- $\alpha$  subunit site ( $\alpha$ 1(V227W) or  $\alpha 1(N408A+Y411F)$ ) impair receptor potentiation by the neurosteroid  $3\alpha 5\alpha$ -P. Amino acid substitutions within the intra- $\beta$ subunit site have little effect on receptor potentiation by  $3\alpha 5\alpha$ -P. Instead, the intra- $\beta$  subunit site may be involved in receptor desensitization. Application of 3a5a-P to receptors containing mutations to the  $\beta$ - $\alpha$  intersubunit ( $\alpha$ 1(Q242L)) and intra- $\alpha$  subunit sites ( $\alpha$ 1(N408A+Y411F)) reduces steady-state current elicited by agonists that generate a response with a high open probability [26]. This effect of  $3\alpha 5\alpha$ -P is obscured by potentiation in the wild-type receptor with intact  $\beta$ - $\alpha$  and intra- $\alpha$  subunits sites, or at low levels of activity when most receptors are in the resting state.

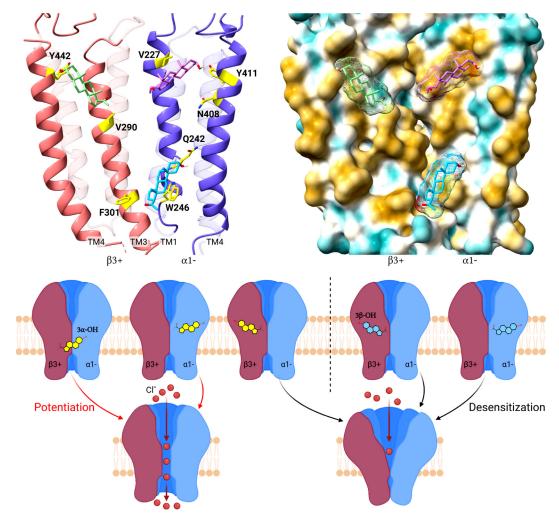
# 4. INDEPENDENT ACTIONS OF $3\alpha 5\alpha$ -P AT THE BINDING SITES

Amino acid substitutions introduced to the neurosteroid sites at either the  $\beta$ - $\alpha$  interface ( $\alpha$ 1(Q242L)) or within the  $\alpha$  subunit ( $\alpha$ 1(V227W)) impair receptor potentiation by the neurosteroid  $3\alpha$ 5 $\alpha$ -P. Comparison of the magnitude of the effects suggests that the free energy change contributed by  $3\alpha$ 5 $\alpha$ -P via the  $\beta$ - $\alpha$  intersubunit site (-1.3 kcal/mol) is ap-

proximately double that of the intra- $\alpha$  subunit site (-0.6 kcal/mol). The effects are energetically additive, indicating that the sites are independent (*i.e.* not allosterically coupled) [27]. Biochemical evidence from photolabeling studies supports the absence of allosteric coupling [28]. The  $\beta$ - $\alpha$  intersubunit site mutations,  $\alpha 1(Q242L)$  and  $\alpha 1(W246L)$ , drastically reduce receptor potentiation by PAM neurosteroids but change neither the labeling efficiency nor the orientation of a PAM-neurosteroid analogue photolabeling reagent (KK200) in the intra- $\alpha$  subunit site. Conversely, the  $\alpha$ 1(V227W) substitution in the intra- $\alpha$  subunit site reduces receptor potentiation by  $3\alpha 5\alpha$ -P and has no effect on steroid occupancy or orientation in the  $\beta$ - $\alpha$  intersubunit site. Interestingly, the amino acid substitutions in both the  $\beta$ - $\alpha$  intersubunit and intra- $\alpha$  subunit sites change the residues labeled by KK200 but not the efficiency of labeling within the mutated site, indicating that steroid orientation rather than ligand occupancy drives the functional effects of these mutations.

#### **5. ACTIONS OF 3β-OH NAM NEUROSTEROIDS**

The 3 $\beta$ -OH neurosteroid epi-allopregnanolone (3 $\beta$ 5 $\alpha$ -P) is a negative allosteric modulator (NAM) of GABA<sub>A</sub> receptors, producing a non-conducting liganded state characterized by enhanced orthosteric ligand ([<sup>3</sup>H] muscimol) binding and reduction of the steady-state current elicited by a saturating concentration of GABA [26] (Fig. 1). The synaptic [3] and single-channel [26] effects of 3 $\beta$ -OH neurosteroids suggest that these effects represent stabilization of a desensitized state of the receptor. 3 $\beta$ 5 $\alpha$ -P non-competitively inhibits potentiation by PAM neurosteroids, suggesting that the PAM and NAM effects of neurosteroids are mediated by distinct binding sites [3]. Photoaffinity labeling studies demonstrated that 3 $\beta$ 5 $\alpha$ -P binds to the intra- $\alpha$  and intra- $\beta$  subunit neurosteroid binding sites, but not to the  $\beta$ - $\alpha$  intersubunit site, explaining the absence of a PAM effect [26]. Consistent with



**Fig. (2).** Models of neurosteroid binding sites on  $\alpha 1\beta 3$  GABA<sub>A</sub> receptors and the effects of their occupancy on channel gating. (*Top left*) Ribbon diagram of the interface between the  $\beta 3(+)$  (salmon) and  $\alpha 1(-)$  (blue) subunits of a GABA<sub>A</sub> receptor (based on pdb 6HUO) with the neurosteroid  $3\alpha 5\alpha$ -P in its preferred docking poses in: an intra- $\beta$ -subunit site between  $\beta 3(V290)$  on TM3 and  $\beta 3(Y442)$  on TM4; a  $\beta 3(+)/\alpha 1(-)$  intersubunit site between  $\alpha 1(Q242)$ ,  $\alpha 1(W246)$  on TM1 and  $\beta 3(F301)$  on TM3 and; an intra- $\alpha$ -subunit site between  $\alpha 1(V227)$  on TM1 and  $\alpha 1(Y411$  and N408) on TM4. (*Top right*) Hydrophobic surface representation of  $3\alpha 5\alpha$ -P docked to the  $\alpha 1\beta 3$  GABA<sub>A</sub> receptor (brown most and turquoise least hydrophobic) illustrating that neurosteroids bind between the hydrophobic transmembrane  $\alpha$ -helices on the receptor surface, interacting with both protein and annular lipid. (*Lower panel*) Cartoon illustrating that  $3\alpha 5\alpha$ -P (yellow steroid) occupancy of the  $\beta 3(+)/\alpha 1(-)$  intersubunit site and/or the intra- $\alpha 1$ -subunit site promotes channel activation. In contrast, occupancy of the intra- $\beta 3$ -subunit site by either  $3\alpha 5\alpha$ -P or  $3\beta 5\alpha$ -P (blue steroid) and occupancy of the intra- $\alpha 1$ -subunit site by  $3\beta 5\alpha$ -P inhibits the receptor by promoting desensitization. (*A higher resolution/colour version of this figure is available in the electronic copy of the article*).

this explanation, amino acid substitutions in either the intra- $\alpha$  or intra- $\beta$  subunit sites reduce  $3\beta 5\alpha$ -P-mediated desensitization, whereas mutations in the  $\beta$ - $\alpha$  intersubunit site have no effect [26] (Fig. 2).

#### 6. THE ROLE OF MEMBRANE LIPIDS IN NEURO-STEROID ACTION

All of the identified neurosteroid sites are on the protein surface between transmembrane  $\alpha$ -helices of the same or adjoining subunits. This is consistent with observations indicating that neurosteroids must partition into the membrane and laterally diffuse to their protein binding sites [29]. This suggests that membrane lipid composition may influence both the kinetics and actions of neurosteroids [30]. Indeed, PAM neurosteroids are more effective at potentiating GABA-activated receptors in cholesterol-depleted membranes, and less effective in cholesterol-enriched membranes, leading to a hypothesis that cholesterol competitively or allosterically inhibits the binding of a PAM steroid [31]. Molecular docking studies predict that cholesterol can bind to multiple sites between the transmembrane  $\alpha$ -helices on the surface of GABA<sub>A</sub> receptors, including all of the identified neurosteroid sites, and that neurosteroids and cholesterol compete for binding [32]. Indeed, in the pentameric ligandgated ion channel from *Gloeobacter violaceus* (GLIC), cholesterol and neurosteroids compete for binding to an intrasubunit site analogous to the neurosteroid binding site in GABA<sub>A</sub> subunits [33].

#### 7. SYNOPSIS AND REMAINING QUESTIONS

Three unique binding sites on the GABA<sub>A</sub> receptor (seven per  $\alpha 1\beta 3$  pentamer) account for the known actions of both the  $3\alpha$ -OH PAM and the  $3\beta$ -OH NAM neurosteroids: **1**) A  $\beta(+)$ - $\alpha(-)$  intersubunit site selectively binds  $3\alpha$ -OH neurosteroids and mediates their PAM effect. **2**) An intra- $\beta$  subunit site binds either  $3\alpha$ - or  $3\beta$ -OH neurosteroids producing a NAM effect (desensitization). **3**) An intra- $\alpha$  subunit site binds either  $3\alpha$ -OH or  $3\beta$ -OH neurosteroids, but the epimers produce effects of opposite valence (*i.e.* PAM *vs* NAM), indicating state-dependent binding (Fig. **2**). Finally, neurosteroid binding and effect at the various sites occur independently (*i.e.* the sites are not allosterically linked) with the net effect on GABA<sub>A</sub> receptor activity being the sum of the energetic contributions of binding at each site.

#### CONCLUSION

This model predicts a rich pharmacology in which neurosteroid analogues can differentially bind to the three sites, producing distinct effects (*e.g.* PAM, NAM or competitive antagonist) at each site that summate to produce a highly tunable GABAergic output. It remains to be determined whether there are additional unique sites on  $\gamma$  or  $\delta$  subunits or isoform specificity in neurosteroid binding within the  $\alpha$ 1-6 or  $\beta$ 1-3 subunits. Either of these possibilities could provide specific pharmacologic targets for GABA<sub>A</sub> receptor subtypes. Notably, the NAM effects of sulfated neurosteroids are unaltered by mutations in the identified binding sites [21, 25], suggesting that additional neurosteroid binding sites on GABA<sub>A</sub> receptors remain to be elucidated.

#### **CONSENT FOR PUBLICATION**

Not applicable.

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# **CONFLICT OF INTEREST**

The authors declare no conflict of interest, financial or otherwise.

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Declared none.

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