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**CLINICAL RESEARCH** 

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Authors' Contr Study D Data Colle Statistical An Data Interpret Manuscript Prepa Literature S Funds Colle	ribution: Design A ection B Halysis C tation D ration E Gearch F ection G	ABCDEF 1 BCD 2 B 1 B 2 B 3 G 1	Ming-zhu Wang Fang-qin Lin Min Li Dan He Qi-hong Yu Xue-xi Yang Ying-song Wu	<ol> <li>Institute of Antibody Engineering, School of Laboratory Medical and Biotechnology, Southern Medical University, Guangzhou, Guangdong, P.R. China</li> <li>Guangzhou Darui Biotechnology Co. Ltd., Guangzhou, Guangdong, P.R. China</li> <li>Guangzhou DAAN Center for Clinical Laboratory Co., Ltd., Guangzhou, Guangdong, P.R. China</li> </ol>			
Corresponding Author: Source of support:		Author: support:	Ying-song Wu, e-mail: yingsongwu@hotmail.com This study was supported by the Science and Technology Program of Guangzhou (Grant No. 201604020104), the Science and Technology Program of Guangdong (Grant No. 2015A030401040), and the Key Program for Health Care Collaborative Innovation of Guangzhou (Grant No. 201500000004-4)				
Background: Material/Methods:		ground: ethods:	Array CGH is the criterion standard for identifying copy number variations (CNV), but the restrictive require- ment of DNA quality and relatively high cost prevent the use of this method as a general assay in hospitals in developing countries. Our principal objective was to determine whether the semiconductor sequencing plat- form (SSP) could be an alternative method in CNV detection for spontaneous miscarriage. A total of 443 spontaneous miscarriage samples were collected and subjected to low-coverage (0.1X) whole- genome analysis by SSP. These samples were verified by array CGH and 8 low-quality DNA samples were an-				
Results: Conclusions:		Results: usions:	atyzed by SSP and validated by MLPA. SSP detected 195 chromosomal numerical abnormalities, 74 CNVs, and 9 mosaicisms among the 435 samples. Among 74 CNV abnormalities, SSP detected an equal number (56) of CNVs 56 >1 Mb with array CGH. However, SSP missed more 6 cases CNVs <1 Mb than array CGH (12 vs. 18). SSP detected more mosaicisms than array CGH (9 vs. 7, p=0.5). Interestingly, SSP detected the mosaicism which had only 8% X monosomy, which was much lower than the minimal percentage of monosomy that was detected by array CGH. SSP is of equivalent efficacy as array CGH in detecting CNVs >1 Mb, and performs better in identifying mosa- icism. With the merits of low cost and less demand of input DNA, SSP is a good alternative for use in genetic diagnosis.				
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# Background

Spontaneous miscarriage before 20 weeks of gestation is common (15% of clinically identified pregnancies). Tremendous efforts have been devoted in understanding the mechanisms underlying this phenomenon in the past 50 years [1,2]. Although the etiology of spontaneous miscarriage is still obscure, studies show that chromosomal abnormalities are the principal cause of early pregnancy loss. Among these abnormalities, DNA copy number variation explains 1–13%, while mosaicism accounts for 1–2% of miscarriages [3–5].

Traditionally, the criterion standard G-banding karyotyping has been used to study pregnancy loss; however, it is limited in clinical utility by the need for long-term culture, problems with maternal contamination, limited resolution, and low whole-genome coverage. The American College of Medical Genetics and Genomics (ACMG) Practice Guidelines suggest that array CGH should be the first-line postnatal test when seeking to diagnose intellectual disability or developmental delay [6,7]. However, array CGH requires a large amount of high-quality DNA, and remains expensive in clinical laboratories. The current price of an array CGH test is \$550-\$700 in China and the price of SSP is predicted to be about \$300 once it is commercialized.

Next-generation sequencing (NGS) is a low-cost technique with short turnaround time, unprecedented resolution, and reliable high-throughput, requiring only small amounts of DNA. Both whole-genome and whole-exome sequencing strategies were used to investigate the etiology of miscarriage. Semiconductor sequencing platform (SSP), a type of NGS, has been used clinically for noninvasive prenatal testing (NIPT) and was expanded to identifying CNVs in chorionic villus (CVS) of spontaneous miscarriage in a few studies [8,9].

In the present study, we compared the efficacy of SSP with array CGH in identification of CNV in miscarriage samples, and sought to determine whether SSP could be used to detect mosaicism, and, if so, to determine the lowest level that could be detected.

# **Material and Methods**

#### Sample collection and DNA extraction

The study was approved by the Clinical Research Ethics Committee of the Guangzhou DAAN Clinical Laboratory Center. All participants gave written informed consent before participation in the study. A total of 443 CVS samples of spontaneous miscarriages occurring within 20 weeks of gestation were collected by the Guangzhou DAAN Clinical Laboratory Center. The maternal age range was 18–46 years with a mean age of 30 years. The gestational weeks were 5–24 weeks, with a mean of 9 weeks 4 days. Samples were rinsed 3 times in PBS following collection, and DNA was extracted using a Qiagen DNeasy Blood and Tissue Kit (Qiagen; Valencia, CA, USA). DNA quality was evaluated with use of the NanoDrop kit (Thermo Scientific; Waltham, MA, USA). All samples were tested for maternal cell contamination using quantitative fluorescent polymerase chain reaction (QF-PCR) based on short tandem repeat (STR) markers for chromosomes 13, 18, 21 and X, Y [10,11].

#### Construction of an SSP data analysis pipeline

We obtained 5 million raw reads from each sample; 75% (3.5 MB) were unique. For the QC step, multi-mapping reads, lowquality reads (reads less than 50bp and the percentage of Q15 less than 80%), and duplicate reads were removed. The reads were aligned to the human genome assembly of the human genome hg19 (downloaded from UCSC) by TMAP software. For data correction, reads mapped to the area of microsatellites, tandem repeats, and simple repeats were masked.

Each chromosome was divided into 50-kb non-overlapping bins, and the numbers of reads mapping to each bin were calculated. The bin was removed if the amount of the N base on the reference genome was more than 10% of all bases. Otherwise, bins were normalized by median factor. We normalized the GC percentages in each bin by LOWESS regression. Circular binary segmentation (CBS), a reliable algorithm that is widely used in the analysis of comparative genomic hybridization arrays, was optimized to fit SSP. This allowed us to precisely define change points by partitioning chromosomes into regions of equal copy numbers.

#### Simulation mixture

DNAs from aneuploid and normal samples that had been examined by array CGH and SSP were artificially mixed at the ratios of 12.5%, 25%, 37.5%, 50%, 62.5%, 75%, and 87.5%. We chose trisomy 21 and trisomy 18 as chromosomal gain standards, and X monosomy as the standard for chromosomal loss. After library preparation, the libraries were pooled and sequenced 3 times as described above. The percentage of mosaicism was calculated by scoring the mimic mosaic mixtures. If mosaicism was present, the percentages of mosaicism in miscarriage samples were deduced using normalized read frequencies.

#### Copy number analysis by SSP

DNA libraries were prepared and sequenced in a blinded manner. End repair, adapter ligation, amplification, and purification all followed the protocol of the Ion Xpress<sup>™</sup> Plus gDNA Fragment Library Preparation User Guide (Life Technologies, Carlsbad, CA,





USA); we used the Ion Plus Fragment Library V3 and Ion Plus Fragment Library Adapters Kits (Life Technologies). Quality control of size distributions was performed on a 2100 Bioanalyzer (Agilent Technologies) and quantity of DNA libraries was tested by Qubit<sup>®</sup>2.0 (Invitrogen). A multiplex of 16 libraries (100 pM DNA per sample) was amplified by emulsion PCR on Ion PI<sup>™</sup> Ion Sphere<sup>™</sup> particles (ISPs) using an Ion OneTouch<sup>™</sup> 2 instrument (Life Technologies). Template-positive ISPs were enriched and loaded onto an Ion PI<sup>™</sup> Chip v2 (Ion PI<sup>™</sup> Sequencing 200 Kit v3; Life Technologies). Reads of up to 200 base pairs were obtained using an SSP. About 3.5×10<sup>6</sup> reads at a sequencing depth of ~0.1 x were obtained from each sample.

#### Array CGH validation

DNA (typically 0.5 µg per sample) was labeled and hybridized to a SurePrint G3 Human CGH Microarray, 8×60 K (Agilent Technologies, Palo Alto, CA, USA) consisting of 60 000 oligonucleotides and evaluating the whole genome with an effective backbone resolution of 200 Kb. After washing, slides were scanned using an Agilent SureScan Microarray scanner. Scanned images were analyzed with Agilent Genomic Workbench software.

#### **QF-PCR** validation

Probes were designed according to STR markers in chromosomes 13, 18, 21, X, and Y. Validation data were collected by 3500 Dx (ABI, Life Technologies) and analyzed by GeneMapper software (Thermo Fisher Scientific) to decide whether samples were contaminated by maternal cells.



Figure 2. Relationships between the normalized read frequencies and the artificial mixture proportions in terms of X chromosome loss.

# Multiplex ligation-dependent probe amplification validation

Eight samples that failed DNA quality control in the array CGH because of low input or quality analyzed by SSP were validated by multiplex ligation-dependent probe amplification (MRC-Holland, Amsterdam, the Netherlands). Validation data were collected by a use of 3500 (ABI, Life Technologies) and analyzed by Coffalyser software (MRC-Holland, Amsterdam, the Netherlands) after a process of hybridization, ligation, amplification, and electrophoresis according to the protocol.

#### **Statistical analysis**

McNemar-Bowker test and Kappa test were used to compare categorical data. SPSS software version 19 was used for analysis.

#### **Results**

#### **Simulation of DNA mixtures**

A strong positive correlation was evident between the normalized read frequency and the fraction of mosaicism in terms of chromosome gain (r=0.991, p=1.1681e-08), and a strong negative correlation was apparent between the normalized read frequency and the fraction of mosaicism in terms of chromosome loss (r=0.990, p=2.673e-08) (Figures 1, 2).

## Diagnostic yield of miscarriage by SSP

All of the 443 samples were subjected to both Agilent array CGH and SSP. Among them, 8 samples that failed to pass DNA

5552



Figure 3. Frequencies of various chromosomal aneuploidies. D1604005 (T8, T10); D1601082 (T3, T5); D1601106 (T7, T22); and D1507090 (T12, T15) were instances of two-chromosome trisomies. D1601035 was a multiple trisomy (3 chromosomes: T13, T14, and T21).

Table 1. Summary of chromosomal abnormalities analysis of CVSs.

	Chr	omosomal abnorma	Franksides	Low input/quality		
Method	Aneuploidy	CNVs	Mosaicism	Eupiolay	DNA	
Array CGH	195	74	7	157	0	
SSP	195	68	9	157	8	

quality control for array CGH protocol were sequenced by SSP. In the remaining 435 samples, 278 (62%) were shown to harbor abnormal chromosomes, including 195 aneuploidies (44%), among which 161 were trisomies and 34 were monosomies. Seventy-four (17%) samples were euploidies with deletions or duplications (from 204 Kb to 147 Mb), among which 56 CNVs were >1 Mb and 12 CNVs <1 Mb. Nine (2%) samples were mosaicism. We detected 157 euploidies (36%) with normal chromosomes, of which 86 were females and 67 were males.

Aneuploidy is the most common abnormality among miscarriage samples; trisomy is much more prevalent than is monosomy. Of the 195 aneuploid samples, trisomy of chromosome 16 was the most common abnormality, followed by trisomies of chromosomes 22 and 13. Chromosomes 1, 5, 6, and 19 were rarely aneuploid (we encountered only 1 example of each); we found no aneuploidy of chromosome 17. Four trisomy samples – D1507090 (trisomy 12 and 15), D1601106 (trisomy 9 and 22), D1601082 (trisomy 3 and 5), and D1604005 (trisomy 8 and 10) – involved 2 chromosomes, and the D1601035 sample (trisomy 13, 14, 21) involved 3 chromosome; we detected only 1 monosomy of chromosome 21 (Figure 3).

We compared the diagnostic effectiveness and feasibility of SSP and array CGH in identification of chromosomal abnormalities. SSP and array CGH are consistent in identification of both aneuploidy and euploidy, indicating that SSP is reliable for detection of chromosomal numerical abnormalities. Array CGH detected a total of 74 CNVs, including 56 >1 Mb and 18 <1 Mb. SSP identified equal numbers (56) of CNVs >1 Mb and 12 CNVs <1 Mb. The performance of SSP was inferior to array CGH in detecting CNVs at 0.2–1 Mb (p<0.5, Kappa=0.950) (Table 1, Figure 4). For comparing those 2 methods, the area under the receiver operating characteristic curve (AUC) was 0.958, indicating that SSP was performed as well as array CGH when used to identify CNVs (Figure 5). We sought to annotate these 18 CNVs within 0.2-1Mb in the databases. All of them were interpreted as variants of uncertain significance (VOUS) by the databases (Decipher, DGV, ISCA).

# Comparison of SSP and array CGH in diagnosis of mosaicisms

Nine mosaics in clinical samples, including a 50-Mb microdeletion mosaic in chromosome 7, were detected by SSP (Table 2). Array CGH tested 7 and missed 2 mosaics, which were validated by G-banding karyotyping according to the International System for Human Cytogenetic Nomenclature 2013 (ISCN 2013). However, no statistically significant difference was found between the 2 methods (p=0.5, Kappa=0.873). Then, to facilitate the comparison of the between SSP and karyotyping and between array CGH and karyotyping, we converted the results to normalized read frequency. SSP has a smaller difference than array CGH compared to the criterion standard karyotyping in identification of mosaicism. For SSP, the lowest percentage



Figure 4. Chromosomal copy number variations analysis by SSP and array CGH. The blue arrow indicates a Del (2q37.1-2q37.3) (10 Mb); a was the result of SSP, and b was the result of array CGH.



Figure 5. In receiver operating characteristic (ROC) analysis, the area under the curve (AUC) was 0.958.

of mosaicism detected was an 8% X monosomy; the difference was 3% between the results that of karyotyping. When used to identify autosomal and X chromosomal defects, the results produced by SSP exhibited a tiny difference of 0–9% compared to those of karyotyping. For mosaicism in the Y sex chromosome, the difference in results was 14–21% compared to that of karyotyping.

#### Low-amount DNA samples and validation

SSP accomplished the test with lower DNA input and quality requirements. Eight samples that failed to pass DNA quality control of array CGH were analyzed by SSP, yielding results of 5 trisomies and 3 normal (Table 3). Afterwards, the results were validated by multiplex ligation-dependent probe amplification.

Sample #	Abnormality	Aneuploidy %	Converted normalized reads frequency	SSP normalized reads frequency	Variation*	Array CGH normalized reads frequency	Variation**
1	Mosaic 46 XX/45,X	60	0.7	0.776	9.84%	0.562	24%
2	Mosaic 45,X/46,XX	8	0.96	0.993	3.30%	Undetected	/
3	Mosaic 45,X	37	0.315	0.275	14.60%	0.686	54%
4	Mosaic trisomy 21	86	1.43	1.316	8.63%	1.362	5%
5	Mosaic 7 segment loss (50 Mb)	86	0.57	0.657	13.18%	0.699	18%
6	Mosaic 47,XYY/46,XY	26	0.63	0.791	20.40%	1.521	58%
7	Mosaic 45,X/46XY	13	0.435	0.359	21.23%	Undetected	/
8	Mosaic 45,X/46,XY	56	0.22	0.275	20.12%	0.709	68%
9	Mosaic trisomy 8	60	1.3	1.314	1.07%	1.383	6%

#### Table 2. Blind-test results of mosaic and non-mosaic samples.

\* The variation between the results of SSP and G-banding karyotyping for mosaicism; \*\* The variation between the results of Array CGH and G-banding karyotyping for mosaicism.

Table 3. Validation of the results of SSP by MLPA.

NO	Abnormality by SSP	MLPA
1412001	Trisomy 21	Yes
1503012	Normal	Yes
1605031	Normal	Yes
1510029	Normal	Yes
1510027	Trisomy 22	Yes
1504016	Trisomy 14 t22	Yes
1506005	Trisomy 13	Yes
1508019	Trisomy 16	Yes

#### Discussion

In this study, we optimized a method to detect CNVs based on SSP, which relies on GC-bias correction, binary segmentation, and normalized bins for signal filtering to reduce sequence variability and improve accuracy. Information about CNVs and mosaicism is of use in understanding spontaneous miscarriage or in genetic consulting. SSP must be improved in this regard. In the simulated mixture experiment, we determined individual  $\log_2$  ratios and average  $\log_2$  segmental variation ratios in samples containing various proportions of a mosaic mixture of trisomy 18 and trisomy 21, and also fractions with various proportions of an X monosomy.

We analyzed 443 miscarriage samples and compared SSP and array CGH to evaluate the performance of our low-coverage

whole-genome sequencing approach in identification CNVs and mosaicism in spontaneous miscarriage samples. For identification of chromosomal numerical abnormalities, the low-coverage whole-genome sequencing approach using SSP is equivalent to array CGH.

Among the 443 samples analyzed, aneuploidy was the main cause of miscarriages (195, 44%). As in other studies, the most frequent chromosomal error was single trisomy 16, followed by trisomy 22 [5,12]. Errors also occurred in other chromosomes, but were less frequent; however, we found no error in chromosome 17. Trisomy 17 is known to be rare. Almost all monosomy involved only the sex chromosomes (we encountered 1 case of monosomy 21). Segmental deletions and duplications, combined with normal chromosomes, were found in 74 (17%) samples and 9 (2%) samples were mosaicism.

At 0.1 X depth, the performance SSP is of equivalent sensitivity and resolution in identification of CNVs >1 Mb, while SSP was inferior to array CGH when CNVs were at 0.2–1 Mb. The resolution of SSP depends on the sequencing depth [13]. When a CNV is small, the statistical differences of the reads contained in the bin are too small to be significant from normal chromosomes. A deeper sequencing is needed to detect small CNVs, which entails cost increases. However, in addition to the increased cost with the sequencing depth, the clinical interpretation of these small CNVs will be a concern for VOUS CNVs and might added complexities to counseling, case management, and parental anxiety [14]. In the present study, 18 CNVs identified by array CGH were 0.2–1 Mb, and all of them were interpreted by databases (Decipher, DGV, ISCA) as being uncertain or benign [15]. Taking this into account, this current low-coverage sequencing is the balance point between cost and the information necessary for most genetic diagnosis. However, CNVs smaller than 1 Mb can be pernicious, and will go undetected; thus, the cause of miscarriage will remain unexplained. Under this circumstance, we need to increase the depth of sequencing or choose a finer resolution array CGH chip to make sure a CNV is missed. With the rapid reduction of NGS costs, we will get more information in the near future.

Mosaicism is found in 1–2% of prenatal specimens and in an even higher proportion of IVF-created embryos [16,17]. Our study showed SSP is slightly more sensitive than array CGH (p=0.5). The lowest percentage detected by SSP was 8%, while 26% were detected by array CGH. SSP was more reliable than array CGH compared to karyotyping in detection of mosaicism [18,19]. SSP reliably identified Y chromosomal mosaicism with a difference of karyotyping of 14–21%. However, the interpretation of low-level mosaicisms is still controversial and challenging. In addition, SSP is less demanding in terms of DNA purity and input amount. The results indicate that SSP could be a remedial measure for degraded or very rare samples.

However, neither CMA nor SSP reliably detects polyploidy, affording only hints of sex chromosomal abnormalities such as 69XXY or 69XYY [02,21]. Also, neither technique can identify translocations (such as Robertsonian translocations) at the short arms of chromosomes 13, 14, 15, 21, and 22. Under

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these circumstances, follow-up testing can determine whether a CNV has arisen *de novo* or is parental in origin, which facilitates genetic counseling. In addition, over 30% of miscarriages with normal chromosomes were found in our study, and the etiology remains unclear. Early studies showed that if the cytogenetic material was normal, there was increased risk of subsequent pregnancy failure [22]. Maternal endocrine system, immunologic conditions, and maternal illness maybe contribute to the problem [23]. It would be valuable to analyze these cases in a subsequent study. In the present study, CNVs were less common than aneuploidy, and only a limited number of CNVs were analyzed, which might be the underlying reason why no false-positive results were found in the study. Thus, studies with larger sample sizes are needed for clinical validation of this method.

## Conclusions

This study adds to the emerging data on validation and utility of SSP, showing the high level of consistency and robust performance of the NGS platform. SSP is therefore likely to move into this diagnostic arena, especially at centers where SSP is already used and where the cost of array CGH is high.

#### **Conflict of Interest**

None.

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5556

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