

**Review Article** 

# **Proximal Gastrectomy for Gastric Cancer**

#### Do Hyun Jung, Sang-Hoon Ahn, Do Joong Park, and Hyung-Ho Kim

Department of Surgery, Seoul National University Bundang Hospital, Seoul National University College of Medicine, Seongnam, Korea

Laparoscopic proximal gastrectomy (LPG) is theoretically a superior choice of minimally-invasive surgery and function-preserving surgery for the treatment of proximal early gastric cancer (EGC) over procedures such as laparoscopic total gastrectomy (LTG), open total gastrectomy (OTG) and open proximal gastrectomy (OPG). However, LPG and OPG are not popular surgical options due to three main concerns: the first, oncological safety; the second, functional benefits; and the third, anastomosis-related late complications (reflux symptoms and anastomotic stricture). Numerous recent studies have concluded that OPG and LPG present similar oncological safety profiles and improved functional benefits when compared with OTG and LTG. While OPG with modified esophagogastrostomy does not provide satisfactory results, OPG with modified esophagojejunostomy showed similar rates of anastomosis-related late complications when compared to OTG. At this stage, no standard reconstruction method post-LPG exists in the clinical setting. We recently showed that LPG with double tract reconstruction (DTR) is a superior choice over LTG for proximal EGC in terms of maintaining body weight and preventing anemia. However, as there is no definitive evidence in favor of LPG with DTR, a randomized clinical trial comparing LPG with DTR to LTG was recommended. This trial, the Korean Laparoscopic Gastrointestinal Surgery Study-05 (NCT01433861), is expected to assist surgeons in choice of surgical approach and strategy for patients with proximal EGC.

Key Words: Early gastric cancer; Proximal gastrectomy; Laparoscopy

# Introduction

The epidemiology of gastric cancer has transformed over the last several decades in Korea. The incidence of early gastric cancer (EGC) has increased from 24.8% to 48.9% as a result of improved surveillance by means of the national cancer screening program in Korea. The incidence of proximal gastric cancer too has gradually increased from 5.3% to 14.0%.<sup>1</sup>

Recently, interest in minimally-invasive and function-preserving surgery for treating EGC has gained momentum among surgeons. In Korea, 26% of gastric cancer surgeries in 2009 were performed using laparoscopic procedures, an almost five-fold increase in use over a 5-year period.<sup>1</sup> In recent decades, the oncological safety of minimally-invasive surgery for the treatment EGC has been established.<sup>2</sup> As such, the main interest of minimally-invasive surgical techniques has shifted from technical and safety aspects towards function-preservation.

When function preservation or minimal invasiveness are taken into consideration, laparoscopic proximal gastrectomy (LPG) is the best theoretical treatment option for proximal EGC and outweighs open proximal gastrectomy (OPG), open total gastrectomy (OTG), and laparoscopic total gastrectomy (LTG). However, LPG is currently not a popular surgical choice and proximal gastrectomy (PG) (which entails both OPG and LPG) was performed in only 141 (1.0%) Korean patients in 2009.<sup>1</sup> OPG too is not a standard surgical procedure existing rather as an alternative.

Before reviewing LPG, the current aversion towards OPG will be discussed in this article. The application of OPG is limited by the three main concerns: the first, oncological safety; the second, functional benefits, and the third, anastomosis-related late complications (reflux symptoms and anastomotic stricture).

Correspondence to: Do Joong Park

Department of Surgery, Seoul National University Bundang Hospital, 82 Gumi-ro 173beon-gil, Bundang-gu, Seongnam 463-707, Korea Tel: +82-31-787-7099, Fax: +82-31-787-4055 E-mail: djpark@snubh.org Received May 16, 2015 Revised May 26, 2015 Accepted May 26, 2015

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Taking the above into consideration, LPG has been tested as an alternative treatment option for proximal EGC as most types of laparoscopic gastric cancer surgeries have improved safety profiles. The main concerns of LPG will also be reviewed in this article.

#### **Open Proximal Gastrectomy**

## 1. Oncological safety: lymph node metastasis, survival rate, and remnant stomach cancer

Radical gastrectomy involves negative margin gastrectomy and complete lymph node (LN) dissection of potential metastatic LNs. In OPG, negative margins are easily confirmed by frozen biopsy. However, the extent of the lymphadenectomy required can not always be determined either before or during the procedure, as the surgeon is not able to identify potential metastatic LNs during OPG.

However, several studies have provided guidelines for surgeons to determine the extent of lymphadenectomy required for proximal EGC. The rates of metastatic LNs in ECG are estimated at 10% to 20%. Kitamura et al.<sup>3</sup> reported that LN metastasis along the lower part of the stomach is not observed in proximal gastric cancer confined to the muscularis propria. Kong et al.<sup>4</sup> reported that proximal EGC metastasizes only to LN stations 2, 3, and 7. The Japanese Gastric Cancer Treatment Guidelines 2010 (version 3) recommends D1+ LN dissection for proximal EGC; LN stations 1, 2, 3a, 4sa, 4sb, 7, 8a, 9, and 11p.<sup>5</sup>

There have been several studies and meta-analyses comparing OPG to OTG. These concluded that the long-term overall survival rate is similar for proximal EGC when comparing OPG (88.7% to 98.5%) and OTG (87.6% to 99.2%).<sup>6-14</sup>

As the indication for OPG has recently been limited to proximal EGC, long-term overall survival rates have not been a major surgical concern.

An important consideration in OPG is the potential for remnant gastric cancer. The rate of remnant gastric cancer is higher after OPG (3.6% to 9.1%) than that seen after open distal gastrectomy (ODG) (0.4% to 2.5%).<sup>10,15-18</sup> Scheduled endoscopic follow-ups are the sole means of early detection and subsequent curative resection after OPG. Intubation of endoscopy following esophagogastros-tomy (EG stomy) is not difficult, however, this can be a challeng-ing procedure after esophagojejunostomy (EJ stomy), especially in cases with a longer interposed segment.<sup>19,20</sup> An interposed jejunum greater than 10 cm in length does present a challenge in terms of evaluating the remnant stomach.<sup>19</sup> Therefore, the length of the interposed jejunum should be carefully chosen when considering

endoscopic follow-up.

## Functional benefits: nutrition, anemia, and subjective symptoms

The benefits of nutrition status are controversial as several studies have shown that blood chemistry levels (such as protein, albumin, and cholesterol) related to the patient's postoperative nutritional status were higher after OPG when compared with OTG.<sup>21-24</sup> Certain reports have demonstrated that total body weight loss was in fact decreased after OPG when compared with OTG.<sup>10,12,22,23,25-27</sup> However, numerous long-term reports and a recent meta-analysis did conclude that the nutritional benefits and total body weight loss are similar when comparing OPG and OTG.<sup>7,11</sup> As long-term follow-up data and a meta-analysis have shown similar nutritional benefits, the same is considered for the short-term postoperative period.

Several short-term follow-up studies have showed that postoperative hemoglobin levels are similar when comparing OPG and OTG. However, long term follow-up data collected 1 or 2 years post-procedure consistently show that hemoglobin levels are significantly higher after OPG when compared with OTG.<sup>6,10,12,24,25</sup> Lower hemoglobin levels after OTG may be attributable to a vitamin B<sub>12</sub> deficiency, a theoretical inevitability. However, a few studies have investigated vitamin B<sub>12</sub> levels and the volume of supplementation administered while comparing OTG and OPG.<sup>25</sup> In laparoscopic procedures, more than 80% of patients undergoing LTG required vitamin B<sub>12</sub> supplementation. Despite supplementation, vitamin B<sub>12</sub> levels are significantly lower after LTG when compared with LPG (Seoul National University Bundang Hospital [SNUBH] data, The 87th Annual meeting of the Japanese Gastric Cancer Association [JGCA]). This deficiency is believed to result in anemia after total gastrectomy, which occurs more frequently than that observed after PG.

In this review, quality of life was defined as all subjective symptoms with the exception of anastomosis–related symptoms (reflux and stenosis–related symptoms, which are reviewed below). Several reports used a standard questionnaire and concluded that subjective symptoms after single– meal intake were improved after OPG when compared with OTG.<sup>6,25,28,29</sup> Recently, Takiguchi et al.<sup>26</sup> evaluated subjective symptoms using a well–designed vali– dated questionnaire and a post–gastrectomy syndrome assessment scale (PGSAS–45). Their data showed that OPG was significantly improved over OTG in terms of preventing body weight loss, the necessity for additional meals, diarrhea, and dumping. OPG and OTG had sometimes similar nutrition statuses and body weight loss in the long-term follow up. However, OPG had a significantly higher hemoglobin level and better subjective symptoms when compared with OTG. Thus, OPG is recommended as a function-preserving procedure for proximal EGC.

### Anastomosis-related late complications: reflux esophagitis and anastomotic stenosis

Two types of post-OPG reconstruction methods are widelyknown and classified according to the type of intestine involved: EG stomy and EJ stomy. Many modified EG and EJ stomy procedures have been tested for improved technical feasibility

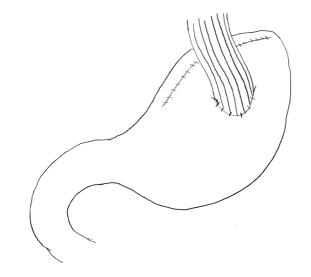


Fig. 1. Esophagogastrostomy.

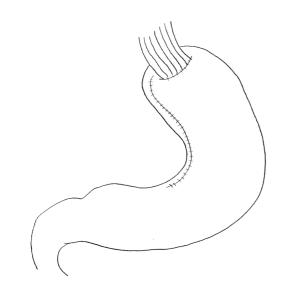


Fig. 2. Esophagogastrostomy with gastric tube.

and prevention of anastomosis-related late complications (reflux esophagitis and anastomotic stenosis).

EG stomy is simpler than EJ stomy as it includes only one anastomosis. The feasibilities of many standard and modified EG stomy procedures (e.g., EG stomy with anti-reflux procedures) have been reported, including simple EG stomy (Fig. 1), reverse double stapling,<sup>4</sup> lower esophageal sphincter preserving,<sup>30</sup> gastric tube (Fig. 2),<sup>31,32</sup> gastropexy,<sup>33</sup> fundoplication (Fig. 3),<sup>34</sup> and acute angle EG stomy,<sup>28</sup> among others. These EG stomy procedures had a lower surgical duration and decreased estimated blood loss (Table 1). However, these procedures could not demonstrate an acceptable incidence of anastomosis-related late complications. The rates of anastomosis-related late complications were significantly higher after OPG (27.4% to 67.4%) when compared with OTG (7.4% to 8.7%) in several studies comparing EG stomy after OPG with Roux-en-Y EJ stomy after OTG.<sup>79,11,35</sup>

Many modified EJ stomy procedures have been tried in place of an EG stomy in an effort to prevent anastomosis-related late complications, including jejunal interposition (Fig. 4),<sup>6,10,15,16,19-21,36-42</sup> jejunal pouch interposition,<sup>20,25,29,38,39,43-46</sup> double tract reconstruction (DTR) (Fig. 5),<sup>47</sup> and more (Table 2). The incidence of anastomosis-related late complications was not significantly different when comparing modified EJ stomy after OPG (0% to 10,2%) and Rouxen-Y EJ stomy after OTG (1,8% to 8,7%).<sup>6,11,36,38</sup> Thus, most modified EJ stomy procedures are considered a good reconstruction method in terms of preventing reflux esophagitis and anastomotic stenosis.

However, the modified EJ stomy after OPG does have cer-

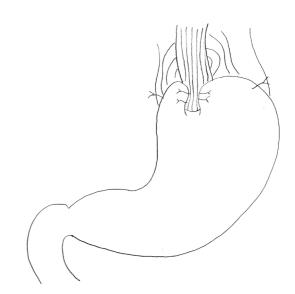


Fig. 3. Esophagogastrostomy with fundoplication.

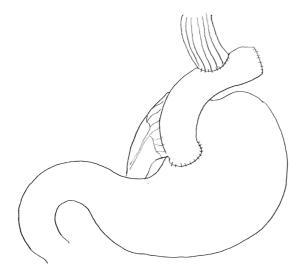
Approach	Type	Author	Year	Patients (n)	Op time (min)	EBL (ml)	Complication (%)	Reflux (%)	Recurrence (%)	Overall survival (%)
Open	EG	Tokunaga et al. <sup>19</sup>	2008	36	195.8	294.2	8.0	30.6	NA	94.2
		Kong et al. $^{4\star}$	2010	15	156.5	135.0	13.3	0	NA	NA
		Zhao et al. $^{47}$	2011	198	150.0	150.0	NA	29.6	NA	NA
		Ichikawa et al. <sup>12†</sup>	2014	49	NA	NA	12.2	NA	2.04	95.0
		Masuzawa et al. <sup>21</sup>	2014	49	185.0	280.0	8.2	18.4	4.08	94.0
	Gastric tube	Adachi et al. <sup>31</sup>	1999	14	165.0	151.0	NA	7.1	NA	NA
		An et al. <sup>11</sup>	2008	89	NA	NA	61.8	29.2	NA	98.5
		Ronellenfitsch et al. <sup>32</sup>	2015	50	180.0	250.0	46.0	33.3	NA	NA
	LES preserving	Hirai et al. <sup>30</sup>	2006	8	200.0	200.0	NA	0	NA	NA
	Fundoplication	Kondoh et al. <sup>33</sup>	2006	10	171.0	294.0	NA	40.0	NA	NA
		Nakamura et al. <sup>38</sup>	2014	64	198.0	179.0	3.1	21.8	NA	NA
Laparoscopic	EG	Aihara et al. <sup>62</sup>	2010	14	202.0	236.0	35.0	14.3	0 (19 mo)	NA
		Ahn et al. <sup>64</sup>	2013	50	216.3	115.8	24.0	32.0	NA	>95.0
	Gastric tube	Yasuda et al. <sup>57</sup>	2014	25	286.4	294.2	16.0	4.4	NA	NA
	LES preserving	Ichikawa et al. <sup>49</sup>	2012	11	330.0	15.0	18.2	0	NA	NA
		Kim et al. <sup>54</sup>	2013	6	137.5	NA	22.2	0	0 (15 mo)	NA
	Fundoplication	Sakuramoto et al. <sup>52</sup>	2009	26	293.0	119.0	7.7	20.0	NA	>95.0
		Okabe et al. <sup>53</sup>	2013	10	299.0	65.0	0	12.5	NA	NA

Table 1. Various types of esophagogastrostomy have been attempted in the proximal gastrectomy

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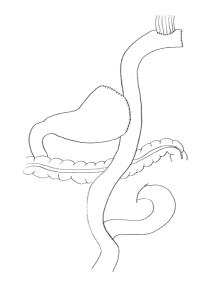


Fig. 4. Esophagojejunostomy: jejunal interposition.

Fig. 5. Esophagojejunostomy: double tract reconstruction.

Approach	Туре	Author	Year	Patients (n)	Op time (min)	EBL (ml)	Complication (%)	Reflux (%)	Recurrence (%)	Overall survival (%)
Open	JI	Adachi et al. <sup>37</sup>	1999	16	327.0	508.0	NA	0	NA	NA
		Kikuchi et al. <sup>15</sup>	2007	55	NA	NA	NA	10.9	3.6	NA
		Tokunaga et al. <sup>19</sup>	2008	40	256.5	299.3	15.0	5.0	NA	96.9
		Katai et al. <sup>36</sup>	2010	128	NA	NA	20.0	5.5	6.3	90.5
		Takagawa et al. <sup>39</sup>	2010	19	308.0	456.0	31.6	5.3	0	100.0
		Nozaki et al. <sup>10</sup>	2013	102	NA	NA	NA	3.2	2.0	94.0
		Kinoshita et al.63	2013	68	201.0	242.0	32.0	1.1	NA	NA
		Masuzawa et al. <sup>21</sup>	2014	32	230.0	331.0	9.4	15.6	3.1	94.4
		Nakamura et al. <sup>38</sup>	2014	25	281.0	393.0	20.0	0	NA	NA
		Zhao et al. <sup>6</sup>	2014	35	205.1	NA	NA	6.5	NA	93.6 (2 yr)
	JPI	Yoo et al. <sup>25</sup>	2005	25	230.6	288.5	20.0	4.0	NA	NA
		Takagawa et al. <sup>39</sup>	2010	19	355.0	287.0	5.3	5.3	0	100.0
		Namikawa et al. <sup>29</sup> *	2012	22	NA	NA	9.1	NA	NA	NA
		Nakamura et al. <sup>38</sup>	2014	12	311.0	402.0	25.0	8.3	NA	NA
	DTR	Zhao et al.47	2011	198	210.0	173.0	NA	0	NA	NA
Laparoscopic	JI	Kinoshita et al.63	2013	22	233.0	20.0	27.0	0	NA	NA
		Yasuda et al.57	2014	21	268.8	307.4	28.6	5.0	NA	NA
		Takayama et al.55†	2014	13	329.0	138.0	7.7	0	7.7	92.3
	DTR	Sakuramoto et al. <sup>52</sup>	2009	10	269.0	107.0	20.0	25.0	N.A.	>95.0
		Ahn et al. <sup>50</sup>	2014	43	180.7	120.4	11.6	4.6	2.3	100 (2 yr)

Table 2. Various types of	of esophagoieiunoston	w have been attem	pted in the	proximal gastrectomy
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Op = operation; EBL = estimated blood loss; NA = not applicable; JI = jejunal interposition; JPI = jejunal pouch interposition; DTR = double tract reconstruction. \*Fundoplication was added. <sup>†</sup>Pylorus preserving near total gastrectomy.

tain disadvantages, including a lengthier surgical procedure time, increased estimated blood loss, and a higher rate of early complications.<sup>38,41,42</sup> In addition, jejunal interposition is associated with

abdominal discomfort after meals, continuous gastric fullness, and hiccups between meals in the postoperative period; a result of the interposed segment which may disturb the passage of food.<sup>17,40</sup>

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To the best of our knowledge, no studies have been published with the aim of evaluating quality of life and comparing DTR and jejunal interposition or jejunal pouch interposition. However, DTR after OPG is the preferred anastomosis method over jejunal interposition or jejunal pouch interposition for reducing subjective symptoms, as DTR involves two food passages.

# Laparoscopic Proximal Gastrectomy

Uyama et al.<sup>48</sup> first reported LPG in 1995 and there have since been several technical reports and small sample–sized case stud– ies.<sup>49–62</sup> The main purpose of these articles was to evaluate the technical feasibility of the procedure, including acceptable proce– dure times, estimated blood loss, short–term complications, and anastomosis–related late complications. There are very few stud– ies comparing LPG with OPG, and these found that LPG had a lengthier procedure time, decreased estimated blood loss, and similar complications rates when compared with OPG.<sup>63</sup> To our knowledge, there is a single study comparing LPG with LTG<sup>64</sup> and no reported prospective randomized clinical trials as yet.

#### 1. Oncological safety

A few of articles have reported on the oncological safety of LPG<sup>60,64</sup> and overall survival was not shown to be significantly different when comparing LPG with EG stomy and LTG with Rouxen-Y EJ stomy.<sup>64</sup> While very few studies have discussed longterm oncological safety, it has been suggested that it would not be significantly different across LPG, LTG or OPG, as the indication for LPG is proximal EGC.

#### 2. Functional benefits

Functional outcomes have been discussed in a few studies, which have concluded that nutritional benefits were not significantly different when comparing LPG to EG stomy and LTG to Roux-en-Y stomy.<sup>64</sup> However, 32% patients who underwent LPG with EG stomy had reflux symptoms exceeding Visick grade II. As reflux symptoms could affect the total nutritional status of LPG, the nutritional benefits of LPG are likely to be underestimated in this study.<sup>64</sup> Reflux symptoms are reduced following DTR and hemoglobin levels were significantly higher in the first and second postoperative years after LPG when compared with LTG (SNUBH data, 87th Annual meeting of JGCA).

# 3. Anastomosis related late complications: reflux esophagitis and anastomotic stenosis

Two different reconstruction methods may be performed after LPG: EG and EJ stomy. Several modified laparoscopic EG and EJ stomy procedures have been evaluated for their technical feasibility and prevention of anastomosis-related late complications (Table 1, 2).<sup>49-64</sup> However, all types of modified laparoscopic EG stomy procedures were shown to be unsatisfactory for the prevention of anastomosis-related late complications, or have been limited to a case series or technique reports involving laparoscopic modified EG stomy.<sup>49,51-54,57-62</sup> A recent article comparing LPG with EG stomy to LTG with Roux-en-Y concluded that the former was associated with an increased risk of reflux symptoms (LPG 32.0%, LTG 3.7%; P < 0.001).<sup>64</sup>

Modified laparoscopic EJ stomy has been assessed by several groups<sup>50,55–57,65</sup> and a low incidence of anastomosis–related late complications has been observed. In addition, LPG with DTR was shown to have an acceptable duration time (mean procedure time: 108.7 minutes), acceptable estimated blood loss (estimated blood low: 120.4 ml), and a low incidence of anastomosis related late complications (reflux symptoms: 4.65%, anastomotic stenosis 4.65%).<sup>50</sup> Therefore, LPG with DTR has the potential to be a stan–dard reconstruction method for LPG although this is not decisive as it is based on a case–series.<sup>50</sup>

We recently analyzed and compared the clinical outcomes across LPG with DTR and LTG for proximal EGC. Anastomosisrelated late complications were not significantly different when comparing LPG with DTR to LTG (SNUBH data, 87th Annual meeting of JGCA). While this was a retrospective study, the results were helpful in terms of processing prospective randomized clinical trials comparing LPG with DTR and LTG.

#### Conclusion

OPG showed a similar oncological safety profile and improved functional benefits when compared with OTG. Although OPG with modified EG stomy was not satisfactory, similar rates of anastomosis-related late complication were observed when comparing OPG with modified EJ stomy to OTG.

As minimally-invasive surgical techniques has become more widely used and accepted, its major aim has transformed from a focus on technical feasibility and oncological safety profiles to function preservation. Minimally-invasive surgery could be a standard procedure for EGC as it fulfills all patient requirements. Thus, LPG is a theoretically preferable treatment option over LTG, OTG, and OPG, as it is both minimally-invasive and function-preserv-ing.

At this stage, no standard reconstruction method post-LPG exists in the clinical setting. We recently analyzed and compared the clinical outcomes of LPG with DTR and LTG for proximal EGC, and found that the former to be superior in terms of maintaining body weight and preventing anemia. A randomized clinical trial with the aim of comparing LPG with DTR to LTG was duly recommended and is now underway. This trial is named KLASS-05 (Korean Laparoscopic Gastrointestinal Surgery Study-05, NCT01433861) and results are expected to assist surgeons in the decision-making process when considering the surgical approach and strategy for patients with proximal EGC.

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