

## ORIGINAL ARTICLE

# Comparison of Doxorubicin Plus Docetaxel Neoadjuvant Chemotherapy with Doxorubicin Plus Vinorelbine in Primary Breast Cancer

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**Purpose:** This study was performed to compare the therapeutic efficacy and toxicity of doxorubicin plus docetaxel neoadjuvant chemotherapy (NC) with doxorubicin plus vinorelbine NC. **Methods:** Fifty-three patients underwent 4 cycles of NC consisted of intravenous injection of doxorubicin (50 mg/m<sup>2</sup>) plus docetaxel (75 mg/m<sup>2</sup>) administered every 3 weeks (AD), while 49 patients underwent 4 cycles of NC consisted of intravenous injection of doxorubicin (50 mg/m<sup>2</sup>) and vinorelbine (25 mg/m<sup>2</sup>) administered every 3 weeks (AN). Response rate and treatment-related toxicities were analyzed by administered chemotherapeutics. Response to NC was also analyzed according to clinicobiological characteristics of the primary tumors. **Results:** Clinical response was observed in 66% with AN and 81.6% with AD chemotherapy. A complete pathologic response (pCR) was confirmed in 6 patients (11.3%) with AN and in 7 patients (14.3%) with AD after the surgery. Response rate was significantly higher in AD compared with AN ( $p=0.038$ ), but there was no significant difference between the two group regard to pCR rate. Breast conserving

surgery (BCS) was performed in 35.8% of AN group, whereas 20 patients (40.8%) of AD group underwent BCS. The patients with HER2-amplified tumor showed significantly increased response to both types of NC. Pathologic complete response was confirmed in 9 (39.1%) out of 23 HER2-amplified tumors, whereas only 4 (5.1%) of 79 HER2-nonamplified tumors showed pathologic complete response. Febrile neutropenia occurred in 22.6% of total 212 cycles in AN and 38.8% of total 196 cycles in AD. Grade 3/4 neutropenia was observed in 39.6% in AN and 43.9% in AD. Grade 3 mucositis was observed in 26.4% with AN and in 40.8% with AD. **Conclusion:** There was no significant increase of pCR by AD compared with AN. Long-term follow-up results of our study indicate that clinical outcome after NC was significantly associated with initial response to NC regardless of therapeutic regimens.

**Key Words:** Breast neoplasms, Docetaxel, Doxorubicin, Neoadjuvant chemotherapy, Toxicity

## INTRODUCTION

Neoadjuvant chemotherapy (NC) was firstly introduced to downsize the locally advanced inoperable breast cancer [1]. NC is, now, widely accepted as a treatment for most primary breast cancer since its safety and therapeutic efficacy were confirmed by prospective randomized studies [2]. A higher rate of breast conservation after NC contributed to rapid acceptance of NC in operable breast cancer albeit no survival benefit of NC was observed over adjuvant chemotherapy [3].

Ultimate aim of most clinical trials is to increase the com-

plete pathologic response (pCR) either by extending the cycles of NC or by integrating a novel agent, since a pCR after NC has been confirmed as a surrogate marker for improved long-term survival [4,5]. Anthracycline-based regimen has been a standard modality for NC and reported overall response rates of 69-82% [2,6,7]. Addition of taxane to anthracycline-based regimen further increased the pCR rate as well as overall response rate [8,9], but the finding was not uniformly reproduced by other studies [10,11]. Integration of taxane was superior to anthracycline-based treatment in terms of pCR rate when taxane was added in sequential method [8,12], whereas addition of taxane into anthracycline concurrently was equivalent with anthracycline-based regimens [11]. A result of a recent large volume trial indicates that sequential docetaxel-containing regimen has no survival benefit over standard anthracycline-containing regimen in the adjuvant setting in 4,162 women [13]. It is not clear whether an increased pCR rate by incorporation of taxane is the result of its superior therapeutic efficacy

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over other chemotherapeutic agents or the result of extended chemotherapy cycles.

We described the results of comparing the therapeutic efficacy of taxane plus anthracycline NC with anthracycline-based NC in this report.

## METHODS

### Eligibility criteria

Women aged between 30-58 years with previously untreated stage II and III breast cancer according to American Joint Committee on Cancer (AJCC) 6th edition were eligible for the study. The Institutional Review Board approved the study (98-06), and all patients provided written informed consent. All patients were required to have adequate performance status (Eastern Cooperative Oncology Group [ECOG] performance status  $\leq 1$ ); adequate hematologic (hemoglobin  $\geq 10$  g/dL; absolute neutrophil count  $\geq 1.0 \times 10^9/L$ ; and platelets  $\geq 100 \times 10^9/L$ ), renal (serum creatinine within normal limits), and liver functions (ALT, AST, and alkaline phosphatase all  $\leq 1.5 \times$  upper limit of normal and bilirubin within normal limits); and have no evidence of metastatic disease. Patients were excluded from the study if there was any evidence of active cardiac disease and prior history of malignancy at another site.

### Treatment

NC consisted of intravenous injection of doxorubicin ( $50 \text{ mg/m}^2$ ) and docetaxel ( $75 \text{ mg/m}^2$ ) administered every 3 weeks for a total of 4 cycles (AD) with dexamethasone premedication given as 8 mg twice daily beginning 24 hours prior to treatment. Antiemetics were administered on the day of NC.

NC consisted of intravenous injection of doxorubicin ( $50 \text{ mg/m}^2$ ) and vinorelbine ( $25 \text{ mg/m}^2$ ) administered every 3 weeks for a total of 4 cycles (AN). Antiemetics were administered on the day of NC.

Chemotherapy was delayed for 1 week if the absolute neutrophil count was less than  $1.0 \times 10^9/L$  or if the platelet count was less than  $100 \times 10^9/L$  on the day of planned chemotherapy administration. Prophylactic use of the granulocyte colony-stimulating factor was not permitted. In the event of febrile neutropenia or nonhematologic National Cancer Institute Common Toxicity Criteria (NCI-CTC version 2) grade 3/4 toxicity excluding alopecia, subsequent chemotherapy was administered at a 25% dose reduction of both chemotherapeutics in each group. Complete blood count was monitored every week for the 1st cycle, thereafter every 3 weeks unless the patient experienced fever.

All patients underwent curative surgery within 30 days after the completion of NC. Operative specimens were reviewed by

the pathologist for nodal status and pathologic response. The same regimen of chemotherapy was administered for 2 cycles after the operation if the primary tumor responded to the NC (PR and pCR). In cases with stable disease (SD), 4 cycles of paclitaxel ( $225 \text{ mg/m}^2$ ) was administered every 3 weeks postoperatively for node-positive patients whereas 6 cycles of cyclophosphamide ( $600 \text{ mg/m}^2$  intravenously on day 1), methotrexate ( $40 \text{ mg/m}^2$  intravenously on day 1) and 5FU ( $600 \text{ mg/m}^2$  intravenously on day 1) was administered every 3 weeks for node-negative patients. Radiation therapy to the entire chest wall and supraclavicular area began within 4 weeks after the last cycles of postoperative chemotherapy if indicated. The breast was treated to 5,000 cGy with conventional fraction of 180-200 cGy/day. A boost was administered to the tumor excision site to bring the total dose to 6,050 cGy. Clinical evaluations were performed every 3 months for 1 year and every 6 months thereafter.

### Response evaluation

The primary end point of this study was the response rates to the each NC. Patients were evaluable for tumor response if they received the planned 4 cycles of chemotherapy. Tumor response was determined by clinical assessment of bidimensionally measurable disease using standard response criteria. Pretreatment tumor assessment, including a physical examination, mammography and ultrasonography, was obtained before the beginning of the NC. Clinical tumor response was assessed by palpation prior to each cycle and by imaging study at every 2 cycles of NC measuring maximum perpendicular diameter of primary tumor. The clinical response of bidimensionally measurable lesion was classified according to World Health Organization criteria. The cCR was defined as the disappearance of all known disease and a clinical partial response (cPR) was defined as a 50% or greater decrease in size of the primary lesion. Progressive disease was defined as a 25% or greater increase in size of the primary tumor. Stable disease represented a less than 50% decrease or a less than 25% increase in size of the primary tumor. The pCR was defined as no residual tumor cells in the breast, and no nodal involvement by invasive cancer in surgically removed specimens. The cases with in situ cancer component without viable invasive cancer cells were regarded as a pCR.

### Statistical evaluation

This study is non-inferiority clinical trials comparing of primary endpoint which is overall response rate of each group. We used optimal two-stage design with 80% power (20% alpha error) and 10% expected dropout rate for calculation of sample size. We hypothesized that AN group is non-inferior than

AD group when the difference of overall response rate is less than 20%. We expected the pathologic complete response (pCR) rate of both AD and AN neoadjuvant chemotherapy as 15% (5-15%) and overall response rate (pCR+PR) of both arms as 70% (range, 60-80%).

Around 95 subjects per each group were needed to evaluate the equivalent therapeutic efficacy of AN chemotherapy to AD chemotherapy. Statistical comparison of efficacy and safety between two groups was performed by chi-square test or Fisher's exact test. Survival analysis was performed by Kaplan-Meier method. We used SPSS software (SPSS Inc., Chicago, USA) version 15.0 for statistical analysis.

### RESULTS

Between January 1998 and December 2005, total of 102 patients were enrolled to the study. The study was closed at December 2005 because of slow enrollment although the planned number of patients had not been enrolled. The median age was 41 years for AN and 43 for AD patients (range, 30-58). Median pretreatment tumor size was 6 cm (range, 3-11 cm). Performance score of the patients was 0 in 81% and 1 in 19% by ECOG criteria. Forty-six patients (45.1%) had clinically negative axillary lymph node while 56 patients (54.9%) had clinically positive node before NC (Table 1). Most of patients had invasive ductal carcinoma while 4 patients had invasive lobu-

lar carcinoma. Estrogen receptor was positive in 55 patients (53.9%). HER2 was amplified in 23 (22.5%). All patients were assessable for both toxicity and efficacy.

### Toxicity and compliance to chemotherapy

All the patients underwent the planned cycles of AD or AN neoadjuvant chemotherapy. Febrile neutropenia occurred in 22.6% (48 events) of total 212 cycles in AN and 38.8% (76 events) of total 196 cycles in AD. Grade 3/4 neutropenia was observed in 39.6% of total 212 cycles in AN and 43.9% of total 196 in AD (Table 2). No grade 3/4 anemia or thrombocytopenia was observed in both groups. Hematologic toxicities had a tendency to be increased in AD chemotherapy. Incidence of febrile neutropenia was significantly increased in AD compared with AN ( $p=0.047$ ). Grade 3 mucositis was observed in 14 patients (26.4%) with AN and in 20 patients (40.8%) with AD (Table 2). No clinical cardiac toxicity was observed in both groups. Reversible alopecia was observed in all patients.

Other observed toxicities were grade 3 abdominal pain (4 with AN, 5 with AD). Less frequent toxicities were diarrhea, skin eruption and ALT/AST elevation but all were within grade 1/2 in both groups by NCI-CTC criteria. Mean relative dose intensity was 0.76 for AD and 0.84 for AN. Some patients refused to reduce subsequent dose reduction after febrile neutropenia, thus delayed administration of NC was done in these cases.

### Efficacy

Pathologic response was assessable for all patients. Clinical

**Table 1.** Clinical characteristics of studied patients

	AN #4 (n=53) No. (%)	AD #4 (n=49) No. (%)
Age (yr)*	41 (30-58)	42 (32-56)
T stage		
T1	20 (37.7)	19 (38.8)
T2	27 (50.9)	23 (46.9)
T3	6 (11.4)	7 (14.3)
Clinical nodal stage		
Negative	27 (50.9)	19 (38.8)
Positive	26 (49.1)	30 (61.2)
Histology		
IDC	51 (96.2)	47 (95.9)
ILC	2 (3.8)	2 (4.1)
Histologic grade		
1-2	26 (49.1)	22 (44.9)
3	27 (50.9)	27 (55.1)
Estrogen receptor status		
Positive	29 (54.7)	26 (53.1)
Negative	24 (45.3)	23 (46.9)
HER2 by FISH		
Amplified	11 (20.7)	12 (24.5)
Not-amplified	42 (79.3)	37 (75.5)

AN = doxorubicin plus vinorelbine; AD = doxorubicin plus docetaxel; IDC = invasive ductal carcinoma; ILC = invasive lobular carcinoma; FISH = fluorescence *in situ* hybridization.

\*Median (range).

**Table 2.** Toxicity profiles

	AN #4 (n=53) No. (%)	AD #4 (n=49) No. (%)	p-value
Total cycles	#212	#196	
Neutropenia (Grade 3/4) at any cycle	84 (39.6)	90 (45.9)	0.068
Febrile neutropenia at any cycle	48 (22.6)	76 (38.8)	0.047
Mucositis (G 3)	14 (26.4)	20 (40.8)	0.058

AN = doxorubicin plus vinorelbine; AD = doxorubicin plus docetaxel.

**Table 3.** Response rates to AN #4 or AD #4

	AN #4 (n=53) No. (%)	AD #4 (n=49) No. (%)	p-value
pCR	6 (11.3)	7 (14.3)	0.159
PR	29 (54.7)	33 (67.3)	0.076
SD	18 (34.0)	9 (18.4)	0.038
Breast conservation	19 (35.8)	20 (40.8)	0.472

AN = doxorubicin plus vinorelbine; AD = doxorubicin plus docetaxel; pCR = complete pathologic response; PR = partial response; SD = stable disease.

response was assessed before surgery after the completion of the last NC by physical examination, mammography, and ultrasonography.

Clinical response was observed in 66% with AN and 81.6% with AD chemotherapy (Table 3). A complete disappearance of primary tumor with negative axillary lymph node was confirmed in 6 patients (11.3%) with AN and in 7 patients (14.3%) with AD after the surgery. Overall response rate was significantly higher in AD compared with AN ( $p=0.038$ ), but there was no significant difference between the two groups regard to pathologic complete response rate.

Nineteen patients (35.8%) of AN group underwent breast conserving surgery (BCS) whereas 20 patients (40.8%) of AD group underwent BCS.

### Relationship between response to chemotherapy and biologic variables

The data from the 2 groups were merged and analyzed to assess the predictive factors to NC. The patients with HER2-am-

plified tumor showed significantly increased response to both type of NC. Pathologic complete response was confirmed in 9 (39.1%) out of 23 HER2-amplified tumors, whereas only 4 (5.1%) of 79 HER2-nonamplified tumors showed pathologic complete response (Table 4). Response rate was also significantly increased in node-negative tumors. Hormone receptor status or histologic grade was not associated with tumor response to NC. There was a tendency that pCR rate increased in smaller tumors.

### Clinical outcome according to the response to NC

During the median follow-up period of 38 months (range, 8-70 months), 39 patients (38.2%) had systemic recurrence. Only one patient with pCR had systemic recurrence whereas 30.7% of PR and 70.4% of SD had systemic recurrence (Table 5). Patients who had pCR after NC showed significantly higher disease free survival rate compared to the patients with residual disease after NC ( $p<0.001$ ) (Figure 1).

## DISCUSSION

Integration of docetaxel into anthracycline-based chemotherapy showed a promising result in the management of metastatic breast cancer [14]. However, most of neoadjuvant trial incorporating docetaxel into anthracycline-based regimen did not significantly improve clinical outcome despite their increased clinical response rate compared with anthracycline-based regimens [10,12]. In our study, overall response rate to AD was superior to AN but pCR rate was not different between the two

**Table 4.** Response to chemotherapy according to clinical-biological characteristics

	pCR No. (%)	PR No. (%)	SD No. (%)	Total No.	<i>p</i> -value
T stage					0.064
T1	10 (25.6)	28 (71.8)	1 (2.6)	39	
T2	3 (11.3)	32 (60.4)	15 (28.3)	50	
T3	0 (18.7)	2 (12.5)	11 (68.8)	13	
Clinical nodal stage					0.014
Negative	10 (21.7)	32 (69.5)	4 (8.8)	46	
Positive	3 (5.3)	30 (53.6)	23 (41.1)	56	
Histologic grade					0.332
1-2	3 (6.3)	30 (62.5)	15 (31.2)	48	
3	10 (18.5)	32 (59.3)	12 (22.2)	54	
Estrogen receptor status					0.446
Positive	6 (10.9)	35 (63.6)	14 (25.5)	55	
Negative	7 (14.9)	27 (57.4)	13 (27.7)	47	
HER2 by FISH					0.007
Amplified	9 (39.1)	11 (47.8)	3 (13.1)	23	
Not-amplified	4 (5.1)	51 (64.5)	24 (30.4)	79	
Total	13 (12.7)	62 (60.8)	27 (26.5)	102	

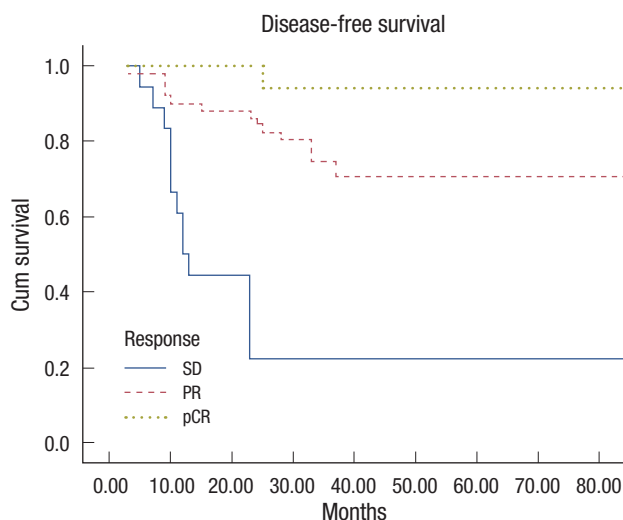
pCR=complete pathologic response; PR=partial response; SD=stable disease; FISH=fluorescence *in situ* hybridization.

**Table 5.** Distant disease-free survival according to the response to neoadjuvant chemotherapy

	Distant DFS* No. (%)	Total No. (%)	<i>p</i> -value
pCR	12 (92.3)	13	<0.001
PR	43 (69.3)	62	
SD	8 (29.6)	27	

DFS=disease-free survival; pCR=complete pathologic response; PR=partial response; SD=stable disease.

\*Median follow-up period of 38 months (range, 8-70 months).



**Figure 1.** Disease-free survivals according to response to doxorubicin and vinorelbine neoadjuvant chemotherapy. SD=stable disease; PR=partial response; pCR=complete pathologic response.

groups. A recent report of clinical trial with longer follow-up supports the no relative benefit of AD over doxorubicin plus cyclophosphamide NC in respect of pCR rate and overall survival [11]. Our result well coincides with aforementioned other large volume studies. In contrast, sequential use of docetaxel after doxorubicin plus cyclophosphamide NC significantly increased pCR rate in NSABP B27 trial [12]. Longer duration of NC might affect the increase of pCR but results of other studies support the additional effect of sequential docetaxel [8,15]. It is not clear at this stage whether increased pCR rate is a result of docetaxel effect or extended duration of NC.

Primary goal of NC is to achieve pCR since patients achieving pCR NC have better long-term survival than others who failed to respond to NC irrespective of chemotherapeutic agents [4,12]. The patients achieving pCR showed far better clinical outcome in our study. However, a recent retrospective analysis reported that clinical outcome is variable even among the patients who had pCR to NC [16]. The investigators suggested that a non-negligible risk of relapse remains even after pCR to NC in patients with large tumor size and clinical nodal involvement at baseline. Late analysis of NSABP B27 identified that clinical outcome of patients with residual disease after NC is heterogeneous and patients with negative lymph node have 8-year disease-free survival of 70% compared 40% of patients with positive lymph node [12]. Long-term results of the two large volume clinical trials suggest that it would be more important to discriminate the poor prognostic patients who need more aggressive systemic treatment after NC.

Response to the NC was significantly associated with HER2 status and initial nodal status in our study regardless of chemotherapy regimens. We already reported that response to anthracycline-based NC is significantly associated with HER2 amplification [17]. Remarkable association between anthracycline sensitivity and HER2 amplification have been investigated by many studies [18-20]. In our study, response to NC was better in smaller tumors although the association was not statistically significant. The finding together with increased response rate in node-negative patients indicates that NC is more effective in early stage rather than advanced breast cancers.

One of merits of NC is an increased opportunity for breast preservation. There was no difference in breast conserving rate between the two groups in our study. Breast conserving rate was less than 40% in our study albeit the overall response rate to NC was 70%. Large proportion of advanced disease might contribute to the lower rate of breast conservation in our study. In NSABP B27 trial, 68% of patients who underwent NC had BCS whereas 60% of control group had BCS [12]. If the study population was well balanced, significant proportion of individuals who underwent NC might be a candidate for BCS at

initial stage in NSABP B27 trial. It seems to be reasonable to recommend NC mostly for the individuals who seem to be impossible to preserve their breast at initial presentation.

In summary, there was no significant increase of pCR by AD compared with AN. Long-term follow-up results of our study indicated that clinical outcome after NC was significantly associated with initial response to NC regardless of therapeutic regimens.

## CONFLICT OF INTEREST

The authors report no conflicts of interest.

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