

1 **Delta infection following vaccination elicits potent neutralizing immunity against the SARS-CoV-2 Omicron**

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16 **Running title:** Serum neutralization of Omicron variant

17 **One sentence summary**

18 The sera from patient with the Delta infection only had less neutralizing activity to the Omicron, whereas the sera
19 from patient with Delta infection following vaccination displayed potent neutralization to the Omicron variant.

1 **Abstract**

2

3 The SARS-CoV-2 Omicron (B.1.1.529) variant extensively escape neutralizing antibodies by vaccines or infection.
4 We assessed serum neutralizing activity in sera from Delta infection following vaccination and Delta infection only
5 against SARS-CoV-2 Wuhan-Hu-1 (WA1), Beta, Delta, and Omicron. Sera from Delta infection only could neutralize
6 WA1 and Delta but nearly completely lost capacity to neutralize Beta and Omicron. However, Delta infection
7 following vaccination resulted in a significant increase of serum neutralizing activity against WA1, Beta, and
8 Omicron. This study demonstrates that breakthrough infection of Delta substantially induced high potency
9 humoral immune response against the Omicron variant and other emerged variants.

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11 **Keywords:** SARS-CoV-2; Delta infection; Omicron; Vaccination; Neutralization

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1 **Introduction**

2 Since the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) was identified in late 2019, several SARS-
3 CoV-2 variants of concern (VOCs), including B.1.1.7 (Alpha) in the United Kingdom (UK), B.1.351 (Beta) in South
4 Africa, P.1 (Gamma) in Brazil, and B.1.617.2 (Delta), have successively emerged with multiple substitutions in the
5 spike glycoprotein. Among these four VOCs, the Beta variant showed the greatest immune evasion from serum
6 neutralizing antibodies [1] and compromised the efficacy of vaccines [2, 3], whereas the Delta quickly
7 outcompeted all other VOCs since first identified in October 2020 in India and partially escaped neutralization in
8 *vitro* [4]. The Omicron variant (B.1.1.529) was first detected in November 2021 in South Africa and has spread
9 rapidly across the globe, outcompeting Delta within weeks to become the dominant circulating variant in several
10 countries [5]. The large number of over 30 mutations in the spike protein of the Omicron resulted in extensively
11 decreased the neutralization activity of certain monoclonal and serum polyclonal antibodies elicited by infection or
12 vaccination [6, 7].

13 Before the emergence of the Omicron variant, high levels of Delta infections in many countries and reduced
14 effectiveness of vaccines in preventing infection and transmission of the Delta variant [8] might already result in
15 tens of millions of infections in naïve or vaccinated individuals. In the face of rapid expansion of the Omicron
16 infections worldwide and extensive escape from immunity elicited by vaccines and previous infection,
17 understanding residual neutralizing activity in Delta infected individuals against the Omicron is essential to gauge
18 the level of protection that a specific community has against infection, mild or severe COVID-19. However, little is
19 known about the susceptibility of Omicron to neutralizing antibodies elicited by Delta infection following
20 vaccination and Delta infection only.

21

1 **Materials and Methods**

2 **Ethical Approval**

3 The study was approved by the Institutional Review Board of the Beijing Institute of Microbiology and
4 Epidemiology (IRB number: AF/SC-08/02.60 and AF/SC-08/02.124). All enrolled participants provided written
5 informed consent.

6 **Sera from patients with the Delta infection following vaccination**

7 Between October and November 2021, an outbreak of the Delta variant was identified, and 213 patients were
8 reported in Shijiazhuang City, Hebei Province, China, including 39 patients under the age of 18. Among 174
9 patients over 18 years old, 172 patients had received two doses of CoronaVac or three doses of ZF2001 (an RBD-
10 based protein subunit vaccine, Anhui Zhifei Longcom), whereas 37 of 39 patients under 18 years old had not
11 received any vaccines. Five of 213 patients had sequence confirmed Delta infection and the other were PCR-
12 confirmed symptomatic disease occurring whilst in isolation and in direct contact with Delta sequence-confirmed
13 cases. At the time of discharging from the hospital, 31 patients signed an informed consent to consent for the
14 collection of data and blood sample.

15 **Sera from patients with the Delta infection only**

16 On April, 2021, a cargo ship arrived at a dockyard in Zhejiang Province, China, and 3 of 20 crew members reported
17 a fever. subsequently, a nasopharyngeal swab was collected from each crew member to screen SARS-CoV-2
18 infection and the nasopharyngeal swab sample from 12 members, including three members with fever, were
19 tested positive for SARS-CoV-2 using real-time reverse transcription-polymerase chain reaction (RT-PCR) assay.
20 Nasopharyngeal swabs from 2 patients with cycle threshold (Ct) values < 30 were sequenced for the whole viral
21 genome of SARS-CoV-2 and were identified the variant B.1.617.2 (GISAID accession ID: EPI_ISL_3611059-3611060).
22 Serum samples were obtained from 8 of 12 crew members between June and July 2021 after being discharged
23 from the hospital, approximately 2 months after infection.

24

1 **Pseudovirus production and neutralization assay**

2 Pseudovirus particles were generated by co-transfecting HEK-293T cells (ATCC, CRL-3216) with human
3 immunodeficiency virus backbones expressing firefly luciferase (pNL4-3-R-E-luciferase, provided by Dr. Lin-Qi
4 Zhang from Tsinghua University) and pcDNA3.1 vector encoding either WA1 or mutated S proteins (Beta, Delta,
5 and Omicron) plasmid. The medium was replaced with fresh medium at 24 hours, and supernatants were
6 harvested at 48 hours post-transfection and clarified by centrifugation at 300 *g* for 10 min before aliquoting and
7 storing at -80°C until using. SARS-CoV-2 pseudovirus neutralization assay (pVNT) was performed with target cell
8 line HeLa cells expressing ACE2 orthologs (HeLa-ACE2, kindly provided by Dr. Lin-Qi Zhang from Tsinghua
9 University). Duplicate 3-fold 8-point serial dilutions (starting at 1:30) of heat-inactivated serum were incubated
10 with 500-1000 TCID₅₀ of SARS-CoV-2 pseudotyped virus for 1 hour at 37°C. HeLa-ACE2 (200,000 cells/well) were
11 subsequently added into the mixture and incubated for approximately 48 hours at 37°C with 5% CO₂. Luciferase
12 activity was then measured using GloMax 96 Microplate Luminometer (Promega). The half-maximal neutralization
13 titers for serum were determined by luciferase activity 48 hours after exposure to the virus-serum mixture with a
14 four-parameter non-linear regression inhibitor curve in GraphPad Prism 8.4.1 (GraphPad Software). Titers are
15 reported as the serum dilution that inhibited 50% of infection (pVNT₅₀). Sample with pVNT₅₀ values no more than
16 30 (the detectable limit) was considered negative for neutralizing antibodies and were assigned to 10 for
17 calculation.

18 **Statistical analysis**

19 Friedman test with false discovery rate method was used for multiple group comparisons. Wilcoxon rank-sum test
20 was used to analyze the difference between the two groups. All statistical analyses were performed using
21 GraphPad Prism (version 8.4.2, La Jolla, California, USA), and all statistical tests were 2-sided with a significance
22 level of 0.05.

23

24

1 Results

2 We obtained convalescent serum samples from 28 coronavirus disease 2019 (COVID-19) patients with the Delta
3 infection only and 31 COVID-19 patients with the Delta infection following vaccination (**Table 1**). In addition,
4 considering 26 of 28 COVID-19 patients with the Delta infection only from Shijiazhuang City were under 18 years
5 old, convalescent serum samples from eight COVID-19 patients with the Delta infection only and > 18 years old
6 from a cargo ship were included for the analysis (**Table 1**). The median age for patients with the Delta infection
7 following vaccination was 42 years (Interquartile range [IQR] 37-65; range 9-77 years), 10 years (IQR 8-12, range 2-
8 68 years) for patients with Delta infection only from Shijiazhuang City, and 37 years (IQR 29-46; Range 19-50 years)
9 for patients with Delta infection only from the cargo ship (**Table 1**). Convalescent serum samples were collected at
10 a median day of 47, 38, and 53 from symptoms onset to sampling for Delta infection following vaccination, Delta
11 infection only from Shijiazhuang, and Delta infection only from the cargo ship, respectively. Among 31 patients
12 with the Delta infection following vaccination, 22 have received two doses of CoronaVac, and 9 received three
13 doses of the ZF2001 vaccine.

14 We first tested neutralizing activity against pseudoviruses expressing the spike proteins of the Wuhan-Hu-1
15 (WA1) vaccine strain and the Beta, Delta, and Omicron variants in serum samples from Delta infection only. We
16 found that high levels of neutralizing activity against both the Delta and WA1 with a geometric mean titer (GMT) of
17 1245.0 (95% confidence interval [CI] 692.2-2240) and 563.0 (95%CI 300.3-1055.0), respectively (**Figure. 1a**).
18 However, serum neutralizing activity against the Beta variant was decreased to a GMT of 38.5 (95%CI 19.7-75.4),
19 and only 15 (41.7%) out of 36 serum samples displayed detectable serum neutralizing activity. Remarkably, only six
20 (16.7%) out of the 36 serum samples displayed detectable serum neutralizing activity against the Omicron,
21 resulting in a GMT of 19.2 (95%CI 11.4-32.5) with a 64.9-fold decrease compared to the Delta variant (**Figure. 1a**).
22 Further analysis showed no significant differences in antibody response between patients that > 18 years old and
23 less than 18 years old regardless of WA1 and variants (**Figure. 1b**). These findings suggest that nearly all
24 convalescent serum from patients with the Delta infection only loss the neutralizing activity to the Omicron, and
25 antibody response was not affected by the age.

1 We next determined the neutralization activity of the serum from patients with the Delta infection following
2 vaccination against WA1, Beta, Delta, and Omicron pseudoviruses. Interestingly, we observed that Delta infection
3 following vaccination enhanced antibody response against WA1 with a GMT of 3942.0 (95%CI 2480.0-6265.0),
4 which was significantly higher (3.4-fold) than antibody response against the Delta variant with a GMT of 1160.0
5 (95%CI 641.6-2098.0) (**Figure. 1c**). Moreover, although GMT against the Omicron variant displayed a 4.3-fold and
6 14.5-fold decrease compared to GMT against the Delta and WA1, respectively, the serum had a high potency to
7 neutralize the Beta and Omicron variants. We observed that only one (3.2%) and five (16.1%) of 31 serum samples
8 completely lost neutralizing activity against the Beta and Omicron variants, respectively (**Figure. 1c**). We then
9 compared antibody response between patients vaccinated CoronaVac and ZF2001 (**Figure. 1d**). No significant
10 differences were observed for antibody response against WA1, Beta, and Omicron between the two groups,
11 whereas CoronaVac vaccinated patients displayed a higher GMT of 1949 against Beta than ZF2001 vaccinated
12 patients with a GMT of 960.4 (**Figure. 1d**). We further compared antibody response between patients with the
13 Delta infection alone and patients with the Delta infection following vaccination. We found that antibody levels
14 against WA1, Beta, and Omicron in serum from the Delta infection following vaccination were significantly higher
15 than the Delta infection alone (**Figure. 1e**). In contrast, no significant difference was observed in the antibody titers
16 against the Delta between the two groups (**Figure. 1e**). These findings revealed that the Delta infection following
17 vaccination not only boosted high potency neutralizing antibodies against WA1 but also boosted antibody
18 response to the Beta and Omicron variants regardless of CoronaVac or ZF2001.

19 **Discussion**

20 The rapid and widespread of the Omicron poses an urgent challenge to public health. Lower neutralizing antibody
21 titers have been associated with an increased risk of symptomatic COVID-19 [9], indicating that completely lost or
22 limited neutralizing activity against the Omicron may increase risk of infection and higher burden of disease [10].
23 Our study demonstrates significant resistance of the Omicron variant to serum neutralizing activity induced by the
24 Delta infection only. However, despite the significant escape of the Omicron to antibody response, the recent
25 studies have shown that the majority of T cell responses induced by infection or vaccination remain capable of
26 recognizing the Omicron and previously emerged variants [11]. Whether cellular immunity will be effective as a

1 second-level defense in preventing severe disease after Omicron infection in the absence of a potent neutralizing
2 antibody response remains to be determined [12].

3 Importantly, following vaccination, patients with the Delta infection effectively induced a substantial increase
4 in serum neutralization against vaccine matched WA1 virus and the Beta and Omicron variants. Given the similarity
5 of the booster antigens for the infection with live virus and booster vaccination with mRNA, our findings are
6 consistent with recent studies that a single dose mRNA in vaccinated individuals enhanced the ability to neutralize
7 the Omicron [6, 7, 13]. Such enhanced neutralizing activity to variants may be due to the broad and potent
8 neutralizing activity of antibodies produced by the evolved memory B cells were recruited into the plasma cell
9 compartment [14, 15]. However, higher levels of neutralizing serum activity might not necessarily prevent SARS-
10 CoV-2 infection, as indicated by reports of Omicron infections in boosted individuals. Collectively, our data
11 suggest that Delta infection following vaccination could generate a strong antibody response in previously
12 vaccinated individuals, not only when considering the antibodies levels but also when examining results of the
13 neutralizing activity to variants.

14 Limitations of our study include that the antibodies were measured about one month after the Delta infection
15 in previously vaccinated individuals. Longitudinal follow-up will be required to determine the durability of the
16 neutralizing antibody response to the Omicron. Our analysis was limited to small sample size individuals with Delta
17 infection after receiving the ZF2001 vaccine and more children in patients with Delta infection only. Measures of T
18 and B cell responses can shed further light on whether Delta infection following vaccination might be sufficient for
19 augmenting T and B cell memory against the Omicron.

20 In summary, Omicron causes widespread escape from neutralization by serum obtained the Delta infection
21 only meaning that previously Delta-infected individuals will have little protection from infection with Omicron. In
22 contrast, the Delta infection following vaccination can induce robust neutralization against the immune evasive
23 Omicron variant.

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1 **Notes**

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5 **Acknowledgments**

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7 **Author contributions**

8 MJM conceived the study. HXG, JR, LY, ZY, PJ, and EHD collected serum samples. LY and LKZ performed serology
9 assays. LY, LKZ, and MJM analyzed the data; MJM drafted the manuscript. All authors reviewed and approved the
10 final manuscript.

11 **Declaration of interests**

12 We declare no competing interests.

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32 Table 1. Characteristics of the patients with SARS-CoV-2 Delta infection following vaccination and Delta infection only.

Characteristics	Delta infection following vaccination	Delta infection only			p value
		All	Shijiazhuang	Crew members	
No. of subjects	31	36	28	8	
Age (median, IQR)	42.0 (37.0-65.0)	11.5 (9.0-25.0)	10.0 (8.0-12.0)	37.0 (29.3-45.5)	< 0.0001
Age group (%)					< 0.0001
>18	29 (93.5)	10 (27.8)	2 (7.1)	8 (100)	
≤18	2 (6.5)	26 (72.2)	26 (92.9)	0	
Sex (%)					0.468
Male	16 (51.6)	22 (61.1)	14 (50.0)	8 (100)	
Female	15 (48.4)	14 (38.9)	14 (50.0)	0	
Disease severity (%)					0.333
Asymptomatic	2 (6.5)	0	0	0	
Mild	7 (22.6)	22 (61.1)	22 (78.6)	0	
Moderate	21 (67.7)	12 (33.3)	6 (21.4)	6 (75.0)	
Severe	1 (3.2)	2 (5.6)	0	2 (25.0)	
Vaccination status (%)					
Yes	31 (100)	0	0	0	
No	0	36 (100)	28 (100)	8 (100)	
Type of vaccine (%)					
CoronaVac	22 (71.0)	0	0	0	
ZF2001	9 (29.0)	0	0	0	
Interval between 2nd or 3rd dose and symptom onset (median, IQR)	118.0 (47.0-148.0)	NA	NA	NA	
Interval between symptom onset and sampling (median, IQR)	47.0 (36.0-53.0)	43.0 (34.0-53.0)	38.0 (31.5-45.8)	53.0 (53.0-87.0)	

33 IQR, interquartile range; NA, not available. P value was calculated between Delta infection following vaccination and all of Delta infection only.

34

35 **Figure legends**

36 **Figure 1. Neutralization of Delta, WA1, Beta, and Omicron to sera from convalescent patients with Delta**

37 **infection following vaccination and Delta infection only. a.** Neutralizing activity of sera from convalescent

38 patients with Delta infection only (n = 36) sampled about one month after infection. Participants were either

39 >18 years old (red, n = 10) or less than 18 years old (blue, n = 26). **b.** Comparison of the antibody titer between

40 patients >18 years old and patients \leq 18 years old. **c.** Neutralizing activity of sera from convalescent patients

41 with Delta infection following vaccination (n = 31) sampled about one month after infection. Participants were

42 either received CoronaVac (green, n = 22) or ZF2001 (orange, n = 9). **d.** Comparison of the antibody titer

43 between patients vaccinated CoronaVac (n = 22) and ZF2001 (n = 9). **e.** Comparison of the antibody titer

44 between patients Delta infection following vaccination and Delta infection alone by Delta (red), WA1 (green),

45 Beta (blue), and Omicron (Orange). The neutralization titers of the sera against the indicated viral variants are

46 expressed as pVNT₅₀ (50% pseudovirus inhibitory dilution). Geometric mean titers (GMT) are shown above

47 each column. The percentage of samples with neutralizing activity in pVNT₅₀ are shown below each column.

48 The heights of bars indicate GMT; error bars show 95% confidence intervals. The horizontal dotted line

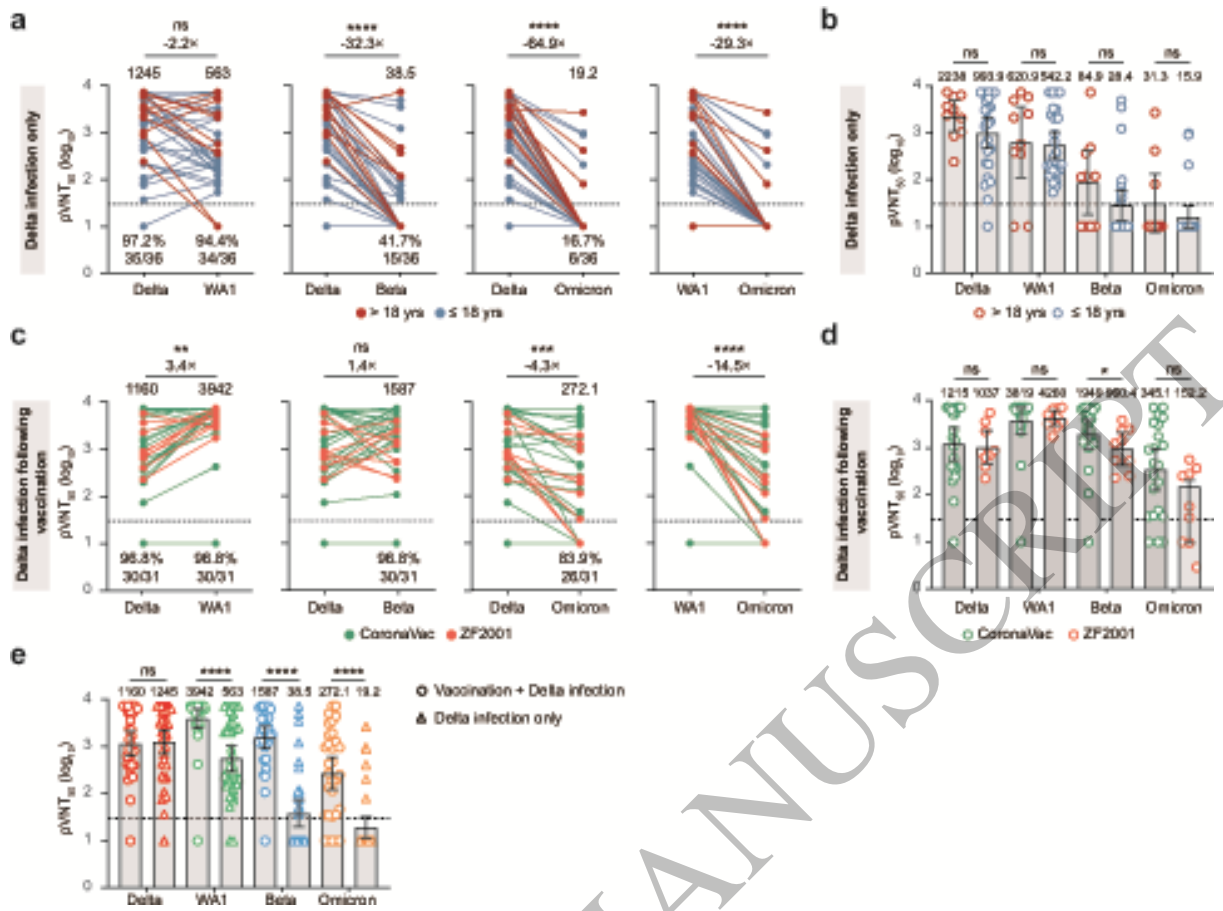
49 represents the limit of detection at 1:30. A two-tailed Friedman test with a false discovery rate for multiple

50 comparisons was performed to compare WA1, Beta, and Omicron to the Delta in **a** and **c** as well as Wilcoxon

51 rank-sum test was performed in **b**, **d**, and **e**. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, and **** $p < 0.0001$. ns, not

52 significant.

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Figure 1
159x119 mm (9.8 x DPI)

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