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# BMJ Open Social and behavioural factors associated with frailty trajectories in a population-based cohort of older adults

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# **ABSTRACT**

**Objective:** The goal of this study was to identify distinct frailty trajectories (clusters of individuals following a similar progression of frailty over time) in an ageing population and to determine social and behavioural factors associated with frailty trajectories.

**Design:** Population-based cohort study.

Setting: Olmsted County, Minnesota.

**Participants:** Olmsted County, Minnesota residents aged 60–89 in 2005.

**Primary outcome measure:** Changes in frailty over an 8-year period from 2005 to 2012, measured by constructing a yearly frailty index. Frailty trajectories by decade of age were determined using k-means cluster modelling for longitudinal data.

Results: After adjustment for age and sex, all social and behavioural factors (education, marital status, living arrangements, smoking status and alcohol use) were significantly associated with frailty trajectories in those aged 60-69 and 70-79 years. After further adjustment for baseline frailty, the likelihood of being in the high frailty trajectory was greatest among those reporting concerns from relatives/friends about alcohol consumption (OR (95% CI) 2.26 (1.19 to 4.29)) and those with less than a high school education (OR (95%) CI) 1.98 (1.32 to 2.96)) in the 60–69 year olds. In the 70-79 year olds, the largest associations were observed among those with concerns from oneself about alcohol consumption (OR (95% CI) 1.92 (1.23 to 3.00)), those with less than a high school education (OR (95% CI) 1.57 (1.12 to 2.22)), and those living with family (vs spouse; OR (95% CI) 1.76 (1.05 to 2.94)). No factors remained associated with frailty trajectories in the 80-89 year olds after adjustment for baseline frailty. **Conclusions:** Social and behavioural factors are associated with frailty, with stronger associations observed in younger ages. Recognition of social and behavioural factors associated with increasing frailty may inform interventions for individuals at risk of worsening frailty, specifically when targeted at younger

### INTRODUCTION

individuals.

The ageing of the population has important social and economic implications, including

# Strengths and limitations of this study

- We identified distinct frailty trajectories (clusters of individuals following a similar progression of frailty over time) in an elderly population and found that social and behavioural factors were associated with a higher frailty trajectory over time, but that associations varied across age, with stronger associations in younger ages.
- Importantly, our study found that even after adjustment for baseline frailty level, less than a high school education, non-married marital status, smoking and concerns from family and friends about one's alcohol consumption were associated with being in the high frailty trajectory for those aged 60–79 years, but no factors were associated with frailty trajectories in the 80– 89 year olds after adjustment for baseline frailty.
- Social and behavioural factors associated with increasing frailty may offer a way to target interventions for ageing individuals at risk of worsening frailty.
- However, it is unknown whether our findings would be replicated in different populations or if different definitions of frailty were used.

increased utilisation of healthcare resources and related expenditures. Notably, although declines in health are generally observed with increasing age, variability across individuals in health status exists at any given age. It is, therefore, critically important to measure changes in health status as people age and to understand the social and behavioural factors that are associated with such changes over the life course.

Variation in health status can be conceptualised and quantified through measures of frailty, a clinically recognised syndrome characterised by age-associated declines in function across multiple organ systems and physiologic reserve. <sup>4–7</sup> We and others have previously shown that frailty trajectories are strongly associated with multiple adverse

outcomes.3 8-10 However, the factors that are associated with the development of frailty are unclear. The majority of studies examining the social and behavioural factors that influence frailty are cross-sectional. 11-23 Few have explored social and behavioural factors that influence changes in frailty over time, 24-27 and even fewer have assessed factors predicting longitudinal changes in frailty measured at more than two time points. 28 29 Furthermore, inconsistent results emerged from two studies assessing the association of education with changes in frailty over five time points, with lower education associated with worsening trajectories of frailty in a study among older Taiwanese adults,<sup>29</sup> but not in the Longitudinal Aging Study Amsterdam.<sup>28</sup> These results underscore the importance of additional studies examining factors associated with changes in frailty over time to inform interventions that might delay or prevent frailty development and progression. Thus, we identified distinct frailty trajectories (clusters of individuals following a similar progression of frailty over time) in an elderly population and examined associations between frailty trajectories and social and behavioural factors known to be associated with health outcomes.

# **METHODS**

# Study population

This study used the 2005 population of Olmsted County. Minnesota (MN) aged 60-89 (n=16 443) obtained from the Rochester Epidemiology Project (REP)  $^{30-33}$  The REP is a records-linkage system allowing virtually complete capture of medical records data in county residents. Since Olmsted County is relatively isolated from other urban centres and only a few providers deliver most healthcare to local residents, the capture of nearly all healthcare-related events occurring in Olmsted County residents is possible. Importantly, demographic and ethnic characteristics of Olmsted County are representative of the state of MN and the Midwest region of the USA, and age- and sex-specific mortality rates are similar to national data.<sup>31</sup> This study was approved by Mayo Clinic and Olmsted Medical Center Institutional Review Boards.

# Calculation of the frailty index

Frailty was calculated using the frailty index, which measures variation in health status based on an accumulation of deficits (impairments, disabilities, diseases) by quantifying the amount of frailty in a given individual as the proportion of deficits present in that individual. This index has been shown to perform well at predicting death and can be constructed using deficits available in the electronic medical record. Second

A frailty index was calculated using 32 deficits, as described previously. Briefly, body mass index (BMI) was obtained electronically from one of the institutions and 14 self-reported activities of daily living (ADLs) were obtained from a questionnaire administered on at least a

yearly basis to patients seen at one of the institutions. Comorbidities were obtained electronically from all providers in the REP. We chose a list of comorbidities identified by the US Department of Health and Human Services (US-DHHS) <sup>39 40</sup> and included the 17 (out of 20) conditions with >1% prevalence in the population. More extensive details including the code sets for these conditions were reported elsewhere. <sup>41</sup>

All variables in the frailty index were given 1 point when present and 0 points when not present, with the exception of BMI (18.5 to <25, 0 points; 25 to <30, 0.5 points;  $\langle 18.5 \text{ or } \geq 30, 1 \text{ point} \rangle$  and the ADL, climbing two flights of stairs without rest (yes, with no difficulty, 0 points; yes, with difficulty, 0.5 points; no, 1 point). The frailty index was calculated as the cumulative points divided by 32 (range of 0-1). The index was calculated only if three or fewer items were missing, adjusting the denominator accordingly. Repeated measures of frailty index were calculated for each year through 2012 (one index per year). Linear interpolation was used to impute missing BMI values between the first and last available BMI. Once a comorbidity was identified, this was carried forward for all subsequent frailty index measures. More details on the rules for calculating the frailty index have been reported previously. Finally, for each patient, the year of the first available frailty index was considered the baseline frailty index measure.

# Ascertainment of social and behavioural factors

Social and behavioural factors were self-reported and obtained via the same questionnaire as the ADLs, which is routinely administered to all patients at their health-care visits on at least a yearly basis at one of the institutions. The social and behavioural factors included education level, marital status, living environment and arrangement, and questions pertaining to smoking status and alcohol use.

# Statistical analysis

Analyses were performed using SAS statistical software, V.9.3 (SAS Institute Inc, Cary, North Carolina, USA) and R, V.3.0.2 (R Foundation for Statistical Computing, Vienna, Austria). Patients with at least 3 years of frailty measures were retained for analysis (n=12 270). The frailty index and prevalence of social factors differed across age. Thus, we stratified our cohort into three groups based on age in 2005: 60-69, 70-79 and 80-89 years. The baseline age defined the age groups and the same groupings were used across follow-up although patients could have aged into another age group during follow-up. K means cluster modelling for longitudinal data (KmL, R, V.3.0.2 (R Foundation for Statistical Computing, Vienna, Austria)), was used to identify distinct, homogeneous clusters of frailty index trajectories within each age group. 42 KmL is a non-parametric hillclimbing algorithm, and does not impose assumptions regarding the parameterisation within the clusters or the shape of the trajectories. The optimum number of clusters in each age group was determined using the Calinksi and Harabatz criterion. <sup>42</sup> The optimum number of clusters was three for the 60–69 year olds and two for both the 70–79 and 80–89 year olds. For the 60–69 year olds, the proportional odds assumption to fit an ordinal logistic regression model was not met; therefore, model fit was assessed with two trajectories. The proportion of patients in each trajectory that had a predicted probability of >80% of being in that trajectory was 98% for the low trajectory and 85% for the high trajectory when two trajectories were used. Therefore, while the best fit was for the model with three trajectories, the majority of patients fit in the two trajectories with high probability. Thus, for consistency, we remodelled the frailty trajectories in the 60–69 year olds using only two trajectories.

Within each age group, characteristics at baseline (including comorbidities, ADLs and social factors) were compared for the low vs high frailty trajectories using  $\gamma^2$ tests. Logistic regression was used to determine the associations of social and behavioural factors with baseline frailty (defining high baseline frailty as a frailty index of 0.20 or higher, which corresponds to the 75th percentile of the distribution of the frailty index) and frailty trajectories (modelling the odds of being in the high frailty trajectory). Multivariable models were used to adjust for age and sex. Additional adjustment for baseline frailty index was included in the frailty trajectory modelling. Finally, within each age group, interactions between each social and behavioural variable with sex were tested; no significant differences between men and women were observed, and thus, no stratified results by sex are provided.

### **RESULTS**

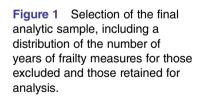
Among the 16 443 residents of Olmsted County, MN aged 60–89 in 2005, 12 270 (74.6%) had at least 3 years of frailty measures between 2005 and 2012 and were

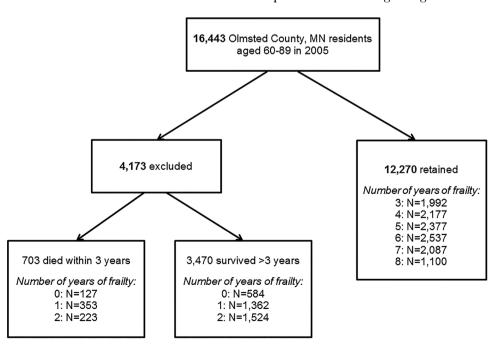
retained for analysis (figure 1). Of those retained, the median number of years of frailty measures was 5. Of those excluded, 17% had died within 3 years of follow-up. Of the 12 270 patients retained, 44.5% were male, 49.3% were aged 60-69 and 15.6% were aged 80-89 at baseline, and 18% died during follow-up. The demographics for those with at least 3 years of frailty measures were similar to the entire population (median age: 70.2 vs 70.5; male: 44.5% vs 45.0%; non-white race: 3.7% vs 5.0%). For all age groups, the frailty index increased over time for each trajectory (figure 2). The proportion of patients in the high trajectory increased with age; 29%, 34% and 45% of patients aged 60-69, 70-79 and 80-89, respectively, were in the high trajectory. For the high frailty trajectory, the mean frailty index increased from 0.21 to 0.32 in 60-69 year olds, 0.24 to 0.41 for 70-79 year olds and 0.28 to 0.49 for 80-89 year olds, which equates to an accumulation of an additional 4, 5 and 7 deficits, respectively, over 8 years.

Within each age group, a higher proportion of each comorbidity and ADL was observed at baseline for those in the high trajectory compared with the low trajectory (table 1). For the 60–69 and 70–79 year olds, those in the high trajectory had a higher prevalence of ever smoking and concerns with alcohol consumption, lower educational attainment, were less likely to be married or live with a spouse/domestic partner, but were more likely to have assistance for home care from family and/or friends than those in the low trajectory (table 2). Similar differences were observed among the 80–89 year olds, with the exception of smoking status and alcohol consumption which were similar between those in the high and low frailty trajectories.

# **Baseline frailty**

After adjustment for age and sex, all social and behavioural factors were predictive of having a high baseline







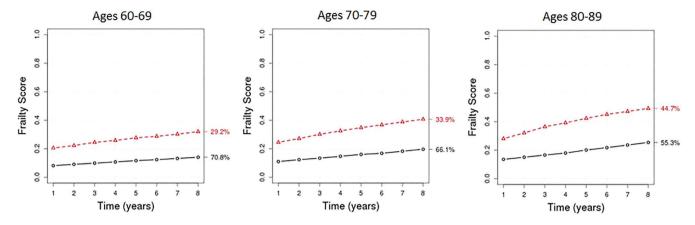


Figure 2 Frailty trajectory plots in the 60–69, 70–79 and 80–89 year old age groups. The black line indicates the low trajectory and the red line indicates the high trajectory. The percentage next to each trajectory indicates the proportion of individuals in that trajectory.

frailty index (defined as an index of 0.20 or higher) in the 60-69 and 70-79 year olds (table 3). The strongest association was observed for education, with a fivefold increased odds in the 60-69 year olds (OR (95% CI) 4.98 (3.72 to 6.67)) and a threefold increased odds (OR (95% CI) 2.94 (2.32 to 3.73)) in the 70-79 year olds of having a high baseline frailty index for those with less than high school vs 4-year college degree or higher. In addition, more than a twofold increased odds of having a high frailty index at baseline was observed for those whose relatives or friends are concerned about their alcohol consumption, who are non-married, and who live with family (compared with living with a spouse) in the 60-69 year olds, and concerns from oneself or relatives/friends about alcohol consumption in the 70–79 year olds. In the 80–89 year olds, concerns about alcohol consumption from relatives/friends had the strongest association with having a high baseline frailty index (OR (95% CI) 4.20 (1.15 to 15.41)), whereas a twofold increased odds (OR (95% CI) 1.97 (1.48 to 2.63)) was observed for those with less than a high school education.

### Frailty trajectories

In the 60–69 year olds, smoking status, concerns from relatives/friends about alcohol consumption, less than high school education and non-married marital status were associated with being in the high frailty trajectory after adjustment for age, sex and baseline frailty (table 4). Concerns from relatives/friends about alcohol consumption (OR (95% CI) 2.26 (1.19 to 4.29)) and having less than a high school education (OR (95% CI) 1.98 (1.32 to 2.96)) were most strongly associated with being in the high frailty trajectory. For the 70–79 year olds, smoking, concerns from oneself about alcohol consumption, less than high school education, non-married marital status and living alone or with family were associated with being in the high frailty trajectory. More than a 50% increased odds of being in the high frailty trajectory was observed

among those reporting concerns from oneself about alcohol consumption (OR (95% CI) 1.92 (1.23 to 3.00)), less than high school education (OR (95% CI) 1.57 (1.12 to 2.22)) and living with family (vs spouse; OR (95% CI) 1.76 (1.05 to 2.94)). However, none of the social and behavioural factors remained associated with frailty trajectories in the 80–89 year olds after adjustment for age, sex and baseline frailty index.

# **DISCUSSION**

In this elderly population, social and behavioural factors were associated with frailty, but differences were observed across ages, with stronger associations in younger ages. Importantly, our study found that even after adjustment for baseline frailty level, several social and behavioural factors were associated with being in a higher frailty trajectory over time. Less than a high school education, non-married marital status, smoking and concerns from family and friends about one's alcohol consumption were associated not only with baseline frailty, but with being in the high frailty trajectory for 60–69 and 70–79 year olds, even after adjustment for baseline frailty.

Measures of social position, such as education, are the most widely studied in relation to frailty, and are theorised to influence frailty indirectly by interfering with lifestyle behaviours and quality of life. 43 Despite differences in the definitions of frailty used across studies, most, <sup>11</sup> 12 15 19-21 23 but not all <sup>13</sup> 16 17 cross-sectional studies reported an inverse association between years of education and frailty. Other studies have indicated that being non-married or living alone are associated with frailty. 12 14 15 17 23 Our study confirms these crosssectional associations, but attempts to more precisely delineate the relationship between social factors and frailty by modelling trajectories of frailty and determining whether associations persist after adjustment for baseline levels of frailty. Some prior studies have reported that lower education was associated with

Table 1 Differences across the frailty trajectories in comorbidities and activities of daily living present at baseline 60-69 year olds (N=6045) 70-79 year olds (N=4314) 80-89 year olds (N=1911) Low High Low High Low High trajectory trajectory trajectory trajectory trajectory trajectory Comorbidities Body mass index, kg/m<sup>2</sup> <18.5 25 (0.6) 16 (1.0) 25 (0.9) 12 (0.8) 19 (1.9) 13 (1.6) 18.5 to <25 1097 (27.5) 194 (11.6) 769 (28.7) 232 (16.5) 385 (38.8) 212 (26.0) 25 to <30 1718 (43.0) 467 (27.8) 1230 (45.9) 446 (31.7) 424 (42.8) 306 (37.6) >30 1154 (28.9) 1002 (59.7) 655 (24.5) 717 (51.0) 163 (16.5) 283 (34.8) Hypertension 2512 (58.7) 1618 (91.7) 2144 (75.2) 1380 (94.5) 887 (83.9) 815 (95.4) Congestive heart failure 61 (1.4) 320 (18.1) 160 (5.6) 514 (35.2) 174 (16.5) 443 (51.9) 373 (35.3) Coronary artery disease 724 (16.9) 983 (55.7) 892 (31.3) 964 (66.0) 545 (63.8) 1130 (26.4) 1101 (62.4) 670 (63.4) Cardiac arrhythmia 1364 (47.8) 1156 (79.1) 724 (84.8) Hyperlipidaemia 3067 (71.7) 1613 (91.4) 2210 (77.5) 1300 (89.0) 737 (69.7)\* 646 (75.6) Stroke 387 (21.9) 452 (30.9) 171 (16.2) 303 (35.5) 237 (5.5) 368 (12.9) Arthritis 1949 (45.5) 1259 (71.3) 1624 (56.9) 1134 (77.6) 663 (62.7) 663 (77.6) 64 (6.1) Asthma 253 (5.9) 301 (17.1) 175 (6.1) 220 (15.1) 114 (13.4) 860 (48.7) 878 (60.1) 605 (57.2)† Cancer 1612 (37.7) 1437 (50.4) 537 (62.9)† Chronic kidney disease 526 (29.8) 337 (11.8) 596 (40.8) 242 (22.9) 431 (50.5) 226 (5.3) COPD 286 (6.7) 534 (30.3) 329 (11.5) 525 (35.9) 138 (13.1) 279 (32.7) Dementia 69 (1.6) 156 (8.8) 197 (6.9) 326 (22.3) 135 (12.8) 325 (38.1) 676 (38.3) 382 (13.4) 568 (38.9) 168 (15.9) Depression 559 (13.1) 360 (42.2) Diabetes 1765 (41.2) 1225 (69.4) 1292 (45.3) 955 (65.4) 396 (37.5) 416 (48.7) 463 (31.7) 375 (35.5)\* Osteoporosis 565 (13.2) 326 (18.5) 688 (24.1) 354 (41.5)\* 117 (13.7) Schizophrenia 18 (0.4) 81 (4.6) 28 (1.0) 113 (7.7) 35 (3.3) Substance abuse disorders‡ 69 (1.6) 142 (8.1) 21 (0.7) 87 (6.0) 9 (0.9)† 17 (2.0)† Activities of daily living 168 (20.5) Preparing meals 34 (0.8) 126 (7.1) 33 (1.2) 160 (11.0) 26 (2.5) Feeding yourself 8 (0.2) 27 (1.5) 7 (0.3) 28 (1.9) 7 (0.7)\* 18 (2.2)\* Dressing yourself 23 (0.5) 94 (5.3) 19 (0.7) 99 (6.8) 13 (1.3) 77 (9.4) Using the toilet 10 (0.2) 58 (3.3) 7 (0.3) 55 (3.8) 9(0.9)42 (5.1) Housekeeping 40 (0.9) 241 (13.7) 49 (1.7) 272 (18.6) 63 (6.1) 254 (31.0) Climbing stairs 119 (2.8) 474 (26.9) 162 (5.7) 544 (37.2) 102 (9.9) 393 (48.0) 120 (6.8) 9(0.3)108 (7.4) 125 (15.3) Bathing 15 (0.4) 16 (1.6) Walking 71 (1.7) 306 (17.3) 95 (3.3) 405 (27.7) 81 (7.9) 298 (36.4) 138 (16.9) Using transportation 15 (0.4) 104 (5.9) 20 (0.7) 134 (9.2) 31 (3.0) Getting in and out of bed 379 (9.4) 329 (19.7) 279 (20.1) 137 (14.3) 166 (21.3) 285 (10.6) Managing medications 12 (0.3) 105 (6.0) 21 (0.7) 133 (9.1) 14 (1.4) 149 (18.2) Depend on assistive devices 65 (1.5) 285 (16.6) 110 (3.9) 434 (30.7) 130 (13.1) 463 (58.0) (walker, cane, etc) or other people to perform ADLs Dependent on a device for 89 (2.2) 244 (15.0) 63 (2.3) 139 (10.4) 25 (2.6) 66 (8.9) normal breathing Climb 2 flights of stairs without rest No, can't do at all 31 (0.8) 261 (15.7) 47 (1.7) 322 (23.7) 57 (6.0) 306 (42.0) 572 (21.0) Yes, with difficulty 519 (12.5) 601 (36.1) 623 (45.9) 276 (29.0) 277 (38.0) 3581 (86.4) 382 (28.2) 127 (17.4) Yes with no difficulty 778 (46.8) 2077 (76.4) 602 (63.4) Unknown 12 (0.3) 23 (1.4) 24 (0.9) 30 (2.2) 15 (1.6) 19 (2.6)

Values are N (%). All p values are <0.001 unless otherwise indicated.

worsening of frailty between two<sup>24–26</sup> or five time points;<sup>29</sup> however, one study reported that the increased rate of frailty over five points in time did not differ by education level.<sup>28</sup> Only one study assessed associations of marital status with changes in frailty and found that

marital status was not significantly related to changes in frailty over 2 years. <sup>25</sup> Importantly, only two <sup>25</sup> <sup>26</sup> of the prior studies adjusted for baseline levels of frailty. Our study found that education and marital status were associated with frailty trajectories in all ages, but remained

<sup>\*</sup>p Value<0.01.

<sup>†</sup>p Value<0.05.

<sup>‡</sup>Drug and alcohol substance abuse disorders.

ADL, activities of daily living; COPD, chronic obstructive pulmonary disease.

	60-69 year o	lds	70-79 year o	lds	80-89 year o	olds
	Low trajectory	High trajectory	Low trajectory	High trajectory	Low trajectory	High trajectory
Ever smoking status	2091 (50.4)	1052 (62.4)	1358 (49.6)	809 (58.1)	436 (43.3)*	380 (46.6)
Ever felt the need to cut down on alcohol consumption	191 (4.6)	127 (7.4)	99 (3.5)	98 (6.9)	25 (2.5)*	21 (2.6)*
Relatives/friends worry or complain about your alcohol consumption	40 (1.0)	47 (2.8)	16 (0.6)	23 (1.6)	6 (0.6)*	7 (0.9)*
Education	120 (2.4)	155 (0.4)	011 (7.0)	224 (17.0)	164 (16.0)	107 (00 4)
Less than high school High school/GED/some college	138 (3.4)	155 (9.4)	211 (7.8)	234 (17.0)	164 (16.8)	187 (23.4)
4-year college/postgraduate	2390 (58.1)	1077 (65.1)	1630 (60.1)	843 (61.1)	558 (57.0)	448 (56.2)
	1585 (38.5)	422 (25.5)	872 (32.1)	303 (21.9)	257 (26.2)	163 (20.4)
Married/committed relationship With whom you live	3493 (82.7)	1255 (72.0)	2104 (74.3)	897 (62.2)	567 (54.6)	373 (44.6)
Spouse/domestic partner	3443 (81.5)	1221 (70.6)	2050 (72.8)	884 (61.6)	550 (53.2)	351 (42.2
Family	147 (3.5)	106 (6.1)	90 (3.2)	75 (5.2)	50 (4.8)	53 (6.4)
Alone	575 (13.6)	332 (19.2)	627 (22.3)	425 (29.6)	400 (38.7)	376 (45.2)
Other	60 (1.4)	70 (4.1)	49 (1.7)	51 (3.6)	34 (3.3)	52 (6.2)
Have assistance for home care	2559 (62.1)	1165 (68.3)	1720 (62.2)	1001 (71.3)	677 (66.6)	627 (77.7)
from family, friends or others, if	` '	, ,	` ,	, ,	` ′	`

significant after adjustment for baseline frailty in the 60–69 and 70–79 year olds. Furthermore, our study is the first to report that living alone or with family (compared with living with a spouse) is associated with longitudinal changes in frailty, with an increased risk of being in the high frailty trajectory in all age groups. However, the associations persisted only among the 70–79 year olds once we adjusted for baseline frailty. It should be noted, however, that living with family may be a marker of increasing frailty and patients may move in with family because they are becoming frail.

The influence of behavioural factors, such as smoking and alcohol use, on frailty is unclear. Cross-sectional studies have reported both lower<sup>12</sup> and higher<sup>23</sup> prevalence of current smoking among those with frailty, as well as no difference in smoking between those with and without frailty. <sup>17</sup> <sup>20</sup> In contrast, the prevalence of current drinking <sup>12</sup> <sup>17</sup> and heavy drinking<sup>23</sup> was consistently lower among those with frailty. Results were also mixed among longitudinal studies, with null associations of smoking with changes in frailty in one study,<sup>27</sup> but a 1.16-fold increased odds of worsening frailty among smokers in another study.<sup>26</sup> Finally, greater alcohol consumption was associated with lower risk of frailty worsening over 2 years of follow-up. 26 In our study, ever smoking and concerns about alcohol consumption were associated with the high frailty trajectory, and associations remained significant after adjustment for baseline frailty in the 60-69 and 70-79 year olds. Although our questions about alcohol consumption did not specifically quantify the amount of drinking, our questions about alcohol likely

identified individuals with excessive alcohol consumption and alcoholism, which may explain why our results conflict with previous literature.

An interesting observation of our study is that in the 80–89 year olds, while social and behavioural factors influence baseline frailty, these factors are not associated with changes in frailty over time after adjusting for baseline frailty. Possible explanations include a survival effect, whereby those with poor education and the heaviest smokers and drinkers, for example, have died before reaching this age group. Also, this group experienced the highest levels of frailty at baseline; it is possible that social and behavioural factors may not influence changes in frailty over time once a certain level of frailty is reached. Thus, interventions to either halt the progression of frailty or improve frailty may be best targeted at younger individuals.

# **Limitations and strengths**

Potential limitations of our study include the choice of our frailty metric. There are different ways to measure frailty and our results may have differed if we used a different definition of frailty. For example, the frailty phenotype <sup>15</sup> incorporates physical assessments, but with our large sample size, we were unable to implement a measure of frailty that requires in-person physical assessments. Thus, we relied solely on administrative data to construct the frailty index and possible inaccuracies with these data may have affected our results. Furthermore, additional conditions that may contribute to frailty were not available in our electronic medical record. However, the frailty index is optimised when 30–40 different

Table 3 Multivariate predictors of baseline frai	lty, defining high	baseline frailty as a fra	ilty index of 0.20	or higher
	Unadjusted		Multivariate*	
		High baseline frailty	Low baseline frailty	High baseline frailty
60–69 year olds				
Ever smokers	1.00 (ref)	1.64 (1.41 to 1.91)†	1.00 (ref)	1.75 (1.50 to 2.05)†
Ever felt the need to cut down on alcohol	1.00 (ref)	1.70 (1.29 to 2.24)†	1.00 (ref)	1.80 (1.36 to 2.38)†
consumption	,	, ,,,	,	, , , , , , , , , , , , , , , , , , , ,
Relatives/friends worry or complain about your	1.00 (ref)	2.44 (1.52 to 3.90)†	1.00 (ref)	2.60 (1.62 to 4.17)†
alcohol consumption				
Education (vs 4-year college/postgraduate studi				
Less than high school	1.00 (ref)	5.11 (3.82 to 6.83)†	1.00 (ref)	4.98 (3.72 to 6.67)†
High school graduate or GED/some college	1.00 (ref)	2.03 (1.70 to 2.44)†	1.00 (ref)	1.97 (1.64 to 2.37)†
Non-married vs married/committed relationship	1.00 (ref)	2.49 (2.13 to 2.91)†	1.00 (ref)	2.49 (2.12 to 2.93)†
With whom you live (vs spouse/domestic partners				/ /
Family	1.00 (ref)	2.64 (1.97 to 3.52)†	1.00 (ref)	2.73 (2.04 to 3.65)†
Alone	1.00 (ref)	1.96 (1.64 to 2.35)†	1.00 (ref)	1.93 (1.60 to 2.32)†
Have assistance for home care from family,	1.00 (ref)	0.58 (0.50 to 0.69)†	1.00 (ref)	0.59 (0.50 to 0.69)†
friends or others, if needed (no vs yes)				
70–79 year olds	1.00 (rof)	1 10 /1 04 to 1 06/8	1 00 (rof)	1.25 (1.08 to 1.44)‡
Ever smokers Ever felt the need to cut down on alcohol	1.00 (ref) 1.00 (ref)	1.19 (1.04 to 1.36)§ 1.92 (1.43 to 2.56)†	1.00 (ref) 1.00 (ref)	2.08 (1.54 to 2.80)†
consumption	1.00 (lei)	1.92 (1.43 to 2.56)	1.00 (lel)	2.00 (1.34 to 2.00)
Relatives/friends worry or complain about your	1.00 (ref)	2.43 (1.29 to 4.57)†	1.00 (ref)	2.64 (1.39 to 5.02)†
alcohol consumption	1.00 (161)	2.43 (1.23 to 4.37)	1.00 (161)	2.04 (1.33 to 3.02)
Education (vs 4-year college/postgraduate studi	(PS)			
Less than high school	1.00 (ref)	3.20 (2.53 to 4.04)†	1.00 (ref)	2.94 (2.32 to 3.73)†
High school graduate or GED/some college	1.00 (ref)	1.50 (1.27 to 1.77)§	1.00 (ref)	1.45 (1.23 to 1.72)§
Non-married vs married/committed relationship	1.00 (ref)	1.70 (1.48 to 1.96)†	1.00 (ref)	1.61 (1.38 to 1.87)†
With whom you live (vs spouse/domestic partner				. ( , , ,
Family	1.00 (ref)	1.77 (1.28 to 2.45)†	1.00 (ref)	1.72 (1.23 to 2.39)‡
Alone	1.00 (ref)	1.42 (1.22 to 1.65)†	1.00 (ref)	1.31 (1.11 to 1.54)‡
Have assistance for home care from family,	1.00 (ref)	0.68 (0.59 to 0.79)†	1.00 (ref)	0.68 (0.59 to 0.79)†
friends or others, if needed (no vs yes)				
80–89 year olds				
Ever smokers	1.00 (ref)	1.21 (1.00 to 1.45)§	1.00 (ref)	1.28 (1.06 to 1.56)§
Ever felt the need to cut down on alcohol	1.00 (ref)	1.52 (0.84 to 2.74)	1.00 (ref)	1.66 (0.91 to 3.03)
consumption				
Relatives/friends worry or complain about your	1.00 (ref)	3.88 (1.07 to 14.15)§	1.00 (ref)	4.20 (1.15 to 15.41)§
alcohol consumption				
Education (vs 4-year college/postgraduate studi		1 00 (1 10 1 0 05)	4.00 ( 1)	4.07./4.40.1.0.00\1
Less than high school	1.00 (ref)	1.98 (1.49 to 2.65)†	1.00 (ref)	1.97 (1.48 to 2.63)†
High school graduate or GED/some college	1.00 (ref)	1.22 (0.97 to 1.54)	1.00 (ref)	1.22 (0.97 to 1.54)
Non-married vs married/committed relationship With whom you live (vs spouse/domestic partners)	1.00 (ref)	1.45 (1.21 to 1.74)†	1.00 (ref)	1.53 (1.24 to 1.88)†
Family	1.00 (ref)	1.55 (1.03 to 2.33)§	1.00 (ref)	1.58 (1.04 to 2.38)§
Alone	1.00 (ref)	1.31 (1.09 to 1.59)‡	1.00 (ref)	1.32 (1.07 to 1.63)‡
Have assistance for home care from family,	1.00 (ref)	0.57 (0.46 to 0.70)†	1.00 (ref)	0.58 (0.47 to 0.72)†
friends or others, if needed (no vs yes)	` ,	0.57 (0.40 to 0.70)	1.00 (161)	
*Multivariate associations are adjusted for age and se	X.			
†p Value<0.001. ‡p Value<0.01.				
\$p Value<0.05.				
GED, General Educational Development.				

variables are used;<sup>35</sup> thus, the addition of variables beyond the 32 included in our index may not have added benefit. Second, we required at least 3 years of frailty index to calculate a frailty trajectory. This resulted in deleting approximately one-quarter of the population

from our analysis; however, those included in our study were similar to the entire Olmsted County population aged 60–89. Third, there were a limited number of social factors available from the questionnaire; some potentially important social factors (eg, income) were

	Unadjusted		Multivariate	*	Multivariate <sup>-</sup>	t
	Low		Low		Low	
	trajectory	High trajectory	trajectory	High trajectory	trajectory	High trajectory
60–69 year olds						
Ever smokers	1.00 (ref)	1.63 (1.45 to 1.83)‡	1.00 (ref)	1.63 (1.45 to 1.84)‡	1.00 (ref)	1.31 (1.10 to 1.56)
Ever felt the need to cut down on alcohol consumption	1.00 (ref)	1.67 (1.33 to 2.11)‡	1.00 (ref)	1.66 (1.31 to 2.09)‡	1.00 (ref)	1.42 (0.98 to 2.04)
Relatives/friends worry or complain about your alcohol	1.00 (ref)	2.92 (1.91 to 4.48)‡			1.00 (ref)	2.26 (1.19 to 4.29)
consumption	, ,	, , , , , ,	,	, , , , , ,	,	,
Education (vs 4-year college/postgraduate studies)						
Less than high school	1.00 (ref)	4.22 (3.28 to 5.44)‡	1.00 (ref)	4.15 (3.22 to 5.36)‡	1.00 (ref)	1.98 (1.32 to 2.96)
High school graduate or GED/some college	1.00 (ref)	1.69 (1.49 to 1.93)‡		1.68 (1.47 to 1.92)‡		1.10 (0.91 to 1.33)
Non-married vs married/committed relationship	1.00 (ref)	1.86 (1.63 to 2.12)‡		1.98 (1.72 to 2.27)‡		1.32 (1.07 to 1.65)
With whom you live (vs spouse/domestic partner)	(100)	( ==/1	(,,,	( = = / 1	()	()
Family	1.00 (ref)	1.96 (1.51 to 2.53)‡	1.00 (ref)	2.12 (1.63 to 2.74)‡	1.00 (ref)	1.40 (0.90 to 2.18)
Alone	1.00 (ref)	1.57 (1.35 to 1.82)‡	` '	1.63 (1.40 to 1.90)‡	` '	1.23 (0.97 to 1.57)
Have assistance for home care from family, friends or	1.00 (ref)	0.76 (0.68 to 0.86)‡		0.76 (0.67 to 0.85)‡		1.04 (0.87 to 1.24)
others, if needed (no vs yes)	1.00 (101)	0.70 (0.00 to 0.00)+	1.00 (101)	0.70 (0.07 to 0.00)+	1.00 (101)	1.01 (0.07 to 1.21)
70–79 year olds						
Ever smokers	1.00 (ref)	1.41 (1.24 to 1.61)‡	1.00 (ref)	1.52 (1.32 to 1.74)‡	1.00 (ref)	1.51 (1.23 to 1.84):
Ever felt the need to cut down on alcohol consumption	1.00 (ref)	2.01 (1.51 to 2.68)‡		2.22 (1.65 to 2.98)‡	` '	1.92 (1.23 to 3.00)
Relatives/friends worry or complain about your alcohol	1.00 (ref)	, , , , , , , , , , , , , , , , , , , ,	` '	3.15 (1.64 to 6.06)‡	` '	2.43 (0.93 to 6.34)
consumption	1.00 (161)	2.00 (1.40 to 0.00)8	1.00 (161)	3.13 (1.0+10 0.00)+	1.00 (161)	2.40 (0.30 to 0.04)
Education (vs 4-year college/postgraduate studies)						
Less than high school	1.00 (ref)	3.19 (2.54 to 4.01)‡	1.00 (rof)	2.90 (2.30 to 3.65)‡	1.00 (rof)	1.57 (1.12 to 2.22)
High school graduate or GED/some college	1.00 (ref)	1.49 (1.28 to 1.74)‡	, ,	1.45 (1.24 to 1.69)‡	• •	1.19 (0.95 to 1.50)
Non-married vs married/committed relationship	1.00 (ref)	1.76 (1.53 to 2.01)‡		1.64 (1.42 to 1.91)‡		1.34 (1.08 to 1.67)
With whom you live (vs spouse/domestic partner)	1.00 (161)	1.70 (1.55 to 2.01)4	1.00 (lel)	1.04 (1.42 to 1.91)+	1.00 (lel)	1.34 (1.00 10 1.07)
	1 00 (rof)	1 07 /1 06 to 0 57\+	1 00 (rof)	1 00 /1 00 +0 0 50\+	1 00 (rof)	1.76 /1.05 +0.0.04\
Family	1.00 (ref)	1.87 (1.36 to 2.57)‡	` '	1.82 (1.32 to 2.52)‡	1.00 (ref)	1.76 (1.05 to 2.94)
Alone	1.00 (ref)	1.52 (1.32 to 1.76)‡		1.40 (1.20 to 1.63)‡		1.30 (1.03 to 1.64)
Have assistance for home care from family, friends or	1.00 (ref)	0.66 (0.58 to 0.76)‡	1.00 (ref)	0.67 (0.58 to 0.77)‡	1.00 (ret)	0.83 (0.68 to 1.02)
others, if needed (no vs yes)						
80–89 year olds	100(1)	1 1 4 (0 0 5 1 1 0 0)	100 ( 1)	4.00 (4.05 ) 4.50) ]	100 ( 1)	1 00 (0 00 ) 1 10)
Ever smokers	1.00 (ref)	1.14 (0.95 to 1.38)	1.00 (ref)	1.28 (1.05 to 1.56)‡	1.00 (ref)	1.06 (0.80 to 1.40)
Ever felt the need to cut down on alcohol consumption	1.00 (ref)	1.06 (0.59 to 1.90)	1.00 (ref)	1.24 (0.68 to 2.26)	1.00 (ref)	0.78 (0.32 to 1.93)
Relatives/friends worry or complain about your alcohol	1.00 (ref)	1.46 (0.49 to 4.37)	1.00 (ref)	1.67 (0.55 to 5.05)	1.00 (ref)	0.57 (0.13 to 2.44)
consumption						
Education (vs 4-year college/postgraduate studies)						
Less than high school	1.00 (ref)	1.80 (1.35 to 2.40)‡	1.00 (ref)	1.77 (1.33 to 2.37)‡	1.00 (ref)	1.19 (0.78 to 1.80)
High school graduate or GED/some college	1.00 (ref)	1.27 (1.00 to 1.60)¶	1.00 (ref)	1.27 (1.00 to 1.61)	1.00 (ref)	1.08 (0.77 to 1.51)

	nadjusted		Multivariate*		Multivariate	
# C	Low trajectory	High trajectory	Low trajectory	High trajectory	Low trajectory	High trajectory
Non-married vs married/committed relationship  With whom you live (vs spouse/domestic partner)	.00 (ref)	1.49 (1.24 to 1.79)‡ 1.00 (ref)	1.00 (ref)	1.45 (1.17 to 1.78)‡ 1.00 (ref)	1.00 (ref)	1.11 (0.82 to 1.51)
_	.00 (ref)	1.54 (1.02 to 2.31)¶ 1.00 (ref)	1.00 (ref)	1.50 (0.99 to 2.27) 1.00 (ref)	1.00 (ref)	1.15 (0.62 to 2.14)
_	.00 (ref)	1.36 (1.13 to 1.65)§ 1.00 (ref)	1.00 (ref)	1.26 (1.02 to 1.55)¶	1.00 (ref)	1.09 (0.80 to 1.48)
Have assistance for home care from family, friends or 1.	.00 (ref)	0.57 (0.46 to 0.71)  1.00 (ref)	1.00 (ref)	0.62 (0.50 to 0.76)‡	1.00 (ref)	0.94 (0.70 to 1.28)
others, if needed (no vs yes)						

not available and others may not have been optimally measured. For example, education was assessed as a categorical variable which limited our ability to determine if a gradient or threshold effect was apparent for the association of years of education with frailty trajectories. In addition, our questions about alcohol consumption may have only identified individuals with excessive consumption and alcoholism. We did not have information to quantify the amount of drinking in all individuals to identify other patterns of drinking. Finally, while we clustered individuals by their long-term frailty trajectories, it is difficult to distinguish between rapid changes in frailty before death and changes related to the aging process.

Despite the aforementioned limitations, our study was population-based and included a large number of elderly patients. We were able to model longitudinal changes in frailty over an 8-year period and determine predictors of high frailty trajectories instead of relying on only one measure of frailty. Importantly, we observed that social and behavioural factors are associated with longitudinal changes in frailty over time, even after taking into account baseline frailty.

### CONCLUSION

Social and behavioural factors were associated with a higher frailty trajectory over time. These associations varied across age, with stronger associations in younger ages. Less than a high school education, non-married marital status, smoking and concerns about alcohol consumption in those aged 60–79, and with whom you live in those aged 70–79 were predictive of being in the high frailty trajectory even after adjustment for baseline frailty. Social and behavioural factors associated with increasing frailty may offer a way to target interventions for ageing individuals at risk of worsening frailty, specifically when targeted at younger individuals.

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**Competing interests** AMC, JLSS and LJFR are co-investigators on the Rochester Epidemiology Project (NIA AG034676).

Patient consent This study was considered minimal risk by the Institutional Review Boards; therefore, the requirement for informed consent was waived. Records of any patient who did not provide authorization for their medical records to be used for research, as per Minnesota statute (Statute 144.335), were not included.

Ethics approval Institutional Review Board.

Provenance and peer review Not commissioned; externally peer reviewed.

Data sharing statement No additional data are available.

General Educational Development



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