

# Small-Quantity Lipid-Based Nutrient Supplements Do Not Affect Plasma or Milk Retinol Concentrations Among Malawian Mothers, or Plasma Retinol Concentrations among Young Malawian or Ghanaian Children in Two Randomized Trials

Marjorie J. Haskell,<sup>1,2</sup> Rebecca Young,<sup>1,2</sup> Seth Adu-Afaruwah,<sup>3</sup> Anna Lartey,<sup>3</sup> Harriet Eyram Teiko Okronipa,<sup>1,2,3</sup> Kenneth Maleta,<sup>4</sup> Ulla Ashorn,<sup>5</sup> Josh M. Jorgensen,<sup>1,2</sup> Yue-Mei Fan,<sup>5</sup> Charles D. Arnold,<sup>1,2</sup> Lindsay H. Allen,<sup>1,2,6</sup> Per Ashorn,<sup>5,7</sup> and Kathryn G. Dewey<sup>1,2</sup>

<sup>1</sup>Institute for Global Nutrition, University of California, Davis, Davis, CA, USA; <sup>2</sup>Department of Nutrition, University of California, Davis, Davis, CA, USA; <sup>3</sup>Department of Nutrition and Food Science, University of Ghana, Accra, Ghana; <sup>4</sup>Department of Public Health, Blantyre, School of Public Health and Family Medicine, College of Medicine, University of Malawi, Malawi; <sup>5</sup>Center for Child Health Research, Faculty of Medicine and Health Technology, Tampere University, Tampere, Finland; <sup>6</sup>USDA, ARS Western Human Nutrition Research Center, Davis, CA, USA; and <sup>7</sup>Department of Paediatrics, Tampere University Hospital, Tampere, Finland

## ABSTRACT

**Background:** Vitamin A (VA) deficiency is prevalent in preschool-aged children in sub-Saharan Africa.

**Objectives:** We assessed the effect of small-quantity lipid-based nutrient supplements (SQ-LNS) given to women during pregnancy and lactation and their children from 6 to 18 mo of age on women's plasma and milk retinol concentrations in Malawi, and children's plasma retinol concentration in Malawi and Ghana.

**Methods:** Pregnant women ( $\leq 20$  wk of gestation) were randomized to receive daily: 1) iron and folic acid (IFA) during pregnancy only; 2) multiple micronutrients (MMN; 800  $\mu\text{g}$  retinol equivalent (RE)/capsule), or 3) SQ-LNS (800  $\mu\text{g}$  RE/20g) during pregnancy and the first 6 mo postpartum. Children of mothers in the SQ-LNS group received SQ-LNS (400  $\mu\text{g}$  RE/20 g) from 6 to 18 mo of age; children of mothers in the IFA and MMN groups received no supplement. Plasma retinol was measured in mothers at  $\leq 20$  and 36 wk of gestation and 6 mo postpartum, and in children at 6 and 18 mo of age. Milk retinol was measured at 6 mo postpartum. VA status indicators were compared by group.

**Results:** Among Malawian mothers, geometric mean (95% CI) plasma retinol concentrations at 36 wk of gestation and 6 mo postpartum were 0.97  $\mu\text{mol/L}$  (0.94, 1.01  $\mu\text{mol/L}$ ) and 1.35  $\mu\text{mol/L}$  (1.31, 1.39  $\mu\text{mol/L}$ ), respectively; geometric mean (95% CI) milk retinol concentration at 6 mo postpartum was 1.04  $\mu\text{mol/L}$  (0.97, 1.13  $\mu\text{mol/L}$ ); results did not differ by intervention group. Geometric mean (95% CI) plasma retinol concentrations for Malawian children at 6 and 18 mo of age were 0.78  $\mu\text{mol/L}$  (0.75, 0.81  $\mu\text{mol/L}$ ) and 0.81  $\mu\text{mol/L}$  (0.78, 0.85  $\mu\text{mol/L}$ ), respectively, and for Ghanaian children they were 0.85  $\mu\text{mol/L}$  (0.82, 0.88  $\mu\text{mol/L}$ ) and 0.88  $\mu\text{mol/L}$  (0.85, 0.91  $\mu\text{mol/L}$ ), respectively; results did not differ by intervention group in either setting.

**Conclusions:** SQ-LNS had no effect on VA status of mothers or children, possibly because of low responsiveness of the VA status indicators. *J Nutr* 2021;151:1029–1037.

**Keywords:** vitamin A, retinol, plasma, milk, lipid-based nutrient supplements, Ghana, Malawi

## Introduction

Vitamin A deficiency (VAD) is a public health problem among children  $< 5$  y of age in low- and middle-income countries, is associated with increased risk of diarrhea, respiratory diseases, and measles, and can lead to blindness and death (1). Although

the global prevalence of VAD among children 6–59 mo of age declined from  $\sim 39$  to  $\sim 29\%$  between 1991 and 2013, the prevalence of VAD in sub-Saharan Africa remained unchanged at  $\sim 48\%$  (1). In 2017 the prevalence of VAD among Ghanaian children 6–59 mo of age was  $\sim 21\%$  nationally and  $\sim 31\%$  in the northern region (2). In Malawi, the national prevalence of VAD

among children 6–59 mo of age was ~22% in 2009 (3), but only ~4% in 2015–2016 (4). However, the prevalence in 2015–2016 may have been underestimated because it was based on serum retinol-binding protein (RBP) concentrations  $<0.46 \mu\text{mol/L}$ , whereas much higher serum RBP cutoff values ( $<0.70$  to  $<0.83 \mu\text{mol/L}$ ) have been used in other surveys in the region, including in the 2009 survey in Malawi (3–6). Intervention programs to control VAD include the distribution of high-dose vitamin A capsules to children 6–59 mo of age, the fortification of staple foods, and the distribution of micronutrient powders to children 6–24 mo of age.

Small-quantity lipid-based nutrient supplements (SQ-LNS) were developed as an intervention to prevent undernutrition in pregnant and lactating women and young children (7). SQ-LNS are food-based supplements enriched with a vitamin and mineral complex to meet the needs of specific target populations, and can be eaten alone or added to foods prepared in households. They differ from multiple micronutrient (MMN) supplements in that they provide energy, protein, essential fatty acids, a greater number of micronutrients, and several macrominerals that are required for growth (7). Recent meta-analyses of SQ-LNS demonstrated reductions in adverse birth outcomes (8) and in child stunting, wasting, and anemia (9), but did not examine vitamin A status.

The International Lipid-based Nutrient Supplement (iLiNS) project included four randomized, controlled, community-based trials, two of which were designed to assess the effect of providing SQ-LNS to mothers during pregnancy and lactation, and to their children from 6 to 18 mo of age, on pregnancy outcomes, child growth and development, and other nutritional and health outcomes in Malawi and Ghana (<https://ilins.ucdavis.edu/>). As a subanalysis within these two iLiNS-DYAD trials in Malawi and Ghana, we assessed the effect of SQ-LNS on the vitamin A status of mother–child dyads in Malawi and young children in Ghana. The impact of SQ-LNS on the vitamin A status of mothers of Ghanaian children was reported separately, based on the effect of SQ-LNS on milk retinol concentration (10). The specific objectives of this analysis were 1) to assess the effect of providing SQ-LNS to Malawian women during pregnancy and lactation on their plasma retinol concentrations at 36 wk of gestation and 6 mo postpartum, and milk retinol concentrations at 6 mo postpartum, and 2) to assess the effect of the intervention on plasma retinol concentrations of children at 6 and 18 mo of age in both Malawi and Ghana.

## Methods

### Participants and study design

The participants in this subanalysis were selected randomly from the mother–child dyads who participated in the iLiNS trials in Malawi and Ghana, as described below. The Malawi trial was conducted in rural areas of the Mangochi district in southern Malawi between February

2011 and August 2012. The Ghana trial was conducted in semiurban areas of the Somanya–Odumasi–Kpong region, ~70 km north of Accra, Ghana, between December 2009 and December 2011. The primary objective of both trials was to determine whether provision of SQ-LNS to women during pregnancy and the first 6 mo of lactation and to children from 6 to 18 mo of age improves fetal and child growth to a greater extent than consumption of iron and folic acid during pregnancy only, or an MMN capsule during pregnancy and the first 6 mo of lactation. The primary results of the trials and the trial design are described in detail elsewhere (11–13).

Women who visited the study clinics for antenatal care were eligible for the trials if they were at  $\leq 20$  wk of gestation (confirmed by ultrasound) and  $\geq 18$  y of age (Ghana) or  $> 15$  y of age (Malawi). Exclusion criteria for both trials were milk or peanut allergy, chronic disease requiring medical attention, pregnancy complications (moderate to severe edema, hemoglobin  $< 50 \text{ g/L}$ , systolic blood pressure  $> 160 \text{ mmHg}$ , or diastolic blood pressure  $> 100 \text{ mmHg}$ ), intent to move away from the area, not a resident of the area, or unwillingness to take the study supplement; additional exclusion criteria in Ghana were HIV positivity (if indicated on the woman's antenatal card), asthma, and epilepsy. Women with twin pregnancies were eligible, and 1 twin from each pair was randomly selected for inclusion.

Informed consent was obtained from women for their participation and that of their infants upon delivery. The Institutional Review Board of the University of California, Davis, and the Ethics Committees of the Noguchi Memorial Institute for Medical Research, University of Ghana, and the Ghana Health Service approved the study protocol for the trial in Ghana. The Ethics Committees of the College of Medicine, University of Malawi, and the Pirkanmaa Hospital District, Finland, approved the study protocol for the trial in Malawi.

In both trials, pregnant women were randomized to one of three groups to receive: 1) iron and folic acid (IFA, 60 mg iron and 400  $\mu\text{g}$  folic acid as a capsule; standard of care) during pregnancy only; 2) MMNs (800  $\mu\text{g}$  retinol equivalent (RE) plus 17 micronutrients including 20 mg iron as a capsule) during pregnancy and the first 6 mo postpartum; or 3) SQ-LNS (a 20-g sachet that contained 800  $\mu\text{g}$  RE plus the same 17 micronutrients as the MMN capsule and 4 additional minerals: calcium, phosphorus, potassium, magnesium) during pregnancy and the first 6 mo postpartum. Women in the IFA group received a placebo capsule (200 mg calcium) during the first 6 mo postpartum. Children of mothers in the SQ-LNS group received SQ-LNS (a 20-g sachet containing 400  $\mu\text{g}$  RE) from 6 to 18 mo of age; children in the IFA and MMN groups received no supplement. The IFA, MMN and placebo capsules were identical in appearance. Participants were provided with a 2-wk supply of their assigned capsule or SQ-LNS every 14 d by field workers, who delivered the supplements to participants' homes. Adherence was assessed by maternal report and by counting any unused capsules or SQ-LNS sachets from the previous 2-wk period. The field coordinator and field workers who delivered supplements to participants were aware of the participants' group assignments; all remaining staff, including anthropometrists and laboratory technicians, were masked to group assignments.

The IFA, MMN, and placebo capsules were manufactured by DSM Nutritional Products South Africa. The SQ-LNS was produced and packaged by Nutriset. Capsules and SQ-LNS were stored between 20 and 40°C at the field sites. The MMN capsules and 20-g SQ-LNS for women contained 800  $\mu\text{g}$  RE, which is 100% of the FAO/WHO recommended safe intake during pregnancy and 95% of the recommended safe intake during lactation; the 20-g SQ-LNS for children contained 400  $\mu\text{g}$  RE, which is 100% of the recommended safe intake (14). The nutrient composition of supplements is shown in **Supplemental Tables 1 and 2**.

### Collection of blood and milk samples

Nonfasting blood samples were collected from women at  $\leq 20$  and 36 wk of gestation and 6 mo postpartum and from children at 6 and 18 mo of age. Time of day and time of consumption of the last food other than tea or water were recorded. Blood was centrifuged at  $2000 \times g$  for 15 min at room temperature to obtain plasma, which was aliquoted into cryovials and stored at  $-80^\circ\text{C}$  (Malawi) or  $-33$  to  $-80^\circ\text{C}$  (Ghana)

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Supplemental Figures 1 and 2, Supplemental Tables 1–5, Supplemental Methods, and Supplemental Results are available from the "Supplementary data" link in the online posting of the article and from the same link in the online table of contents at <https://academic.oup.com/ajcn/>.

Address correspondence to MJH (e-mail: [mjhaskell@ucdavis.edu](mailto:mjhaskell@ucdavis.edu)).

Abbreviations used: AGP,  $\alpha_1$ -acid glycoprotein; CRP, C-reactive protein; IFA, iron and folic acid; MMN, multiple micronutrient; RBP, retinol-binding protein; RE, retinol equivalent; SQ-LNS, small-quantity lipid-based nutrient supplements; VA, vitamin A; VAD, vitamin A deficiency.

for later analysis of the retinol concentration. At 6 mo postpartum, mothers in Malawi were asked to hand-express all milk from a single breast during a home visit. A sample (10 mL) of well-mixed milk was kept for later analysis of the retinol concentration. The remaining milk was returned to the mother to feed to her infant using a spoon. Milk samples were placed on ice packs, protected from light, and stored at  $-20^{\circ}\text{C}$  within the first 24 h, and then at  $-80^{\circ}\text{C}$ . Plasma and milk samples from Malawi and plasma samples from Ghana were shipped in dry ice to the University of California, Davis, for measurement of the retinol concentration.

### Laboratory analyses

Plasma and milk retinol concentrations were measured by HPLC (15, 16). A pooled plasma sample was analyzed in triplicate with each batch of study samples (plasma and milk) to assess the precision of the measurements; the intraday CV was  $\leq 4.5\%$  and interday CV was  $\leq 2.3\%$ . Control serum (SRM 968e, NIST) was analyzed 3 times to assess the accuracy of the retinol measurements; the measured retinol concentration was 1.65, 1.68, and 1.67  $\mu\text{mol/L}$ ; the certified value was 1.68  $\mu\text{mol/L}$ . Plasma concentrations of C-reactive protein (CRP) and  $\alpha_1$ -acid glycoprotein (AGP) were measured by immunoassay using a COBAS Integra Analyzer (Roche Diagnostics). The cutoff values used for inadequate vitamin A status were: plasma retinol  $< 0.7 \mu\text{mol/L}$  (children) (17), plasma retinol  $< 1.05 \mu\text{mol/L}$  (women) (18), and milk retinol  $< 1.05 \mu\text{mol/L}$  (17). Although it is known that plasma retinol concentration decreases during pregnancy, presumably because of hemodilution (19), we are not aware of a pregnancy-specific cutoff value for plasma retinol concentration. The cutoff of  $< 1.05 \mu\text{mol/L}$  for milk retinol is based on an estimate of the amount of vitamin A intake from milk that is required for exclusively breastfed infants to meet their needs and build modest stores (20). The cutoff values used for biomarkers of inflammation were  $> 5 \text{ mg/L}$  for plasma CRP and  $> 1 \text{ g/L}$  for plasma AGP. Results for plasma retinol concentration are reported for Malawian mother-child pairs and for Ghanaian children. Milk retinol concentration is reported for Malawian mothers only; the effect of SQ-LNS on milk retinol concentrations for Ghanaian mothers was reported separately (10), and their plasma retinol concentrations were not measured.

### Sample size and statistical analysis

To calculate the sample size, we used a minimum effect size (Cohen's  $d$ ) of 0.5 and assumed a 2-sided significance test with  $\alpha = 0.05\%$  and 80% power; this resulted in 79 per group (total  $n = 237$ ). To account for attrition and participants missing a sample at any time point, 318 mother-child pairs were selected randomly in Malawi from mother-child dyads with all 5 biological samples ( $\leq 20$  wk of gestation, 36 wk of gestation, 6 mo postpartum, child 6 mo and child 18 mo of age). In Ghana, there was an error in labeling of the IFA and MMN supplements that resulted in mixed exposure for some of the mother-child dyads (12); after excluding dyads with mixed exposure, 303 children were selected randomly from children with paired plasma samples (6 and 18 mo of age).

Distributions of outcome variables and key baseline variables were inspected for normality and transformed to natural logs, as necessary. Analyses were performed using SAS version 9.4. The covariates included in the ANCOVA models were obtained from the scientific literature and were preselected in our analysis plan. Covariates were included in the model if they were associated with the outcome variable ( $P < 0.1$ ). Potential covariates for maternal plasma and milk retinol analyses included maternal estimated prepregnancy BMI (13), maternal education, maternal receipt of high-dose vitamin A capsule within 6–8 wk of giving birth, site of enrollment, season at enrollment, baseline household food insecurity score, baseline asset index, baseline plasma retinol concentration, and baseline HIV status. Potential covariates for child plasma retinol analyses included child receipt of high-dose vitamin A capsule, season at 18 mo of age, and all of the above except for baseline maternal HIV status and baseline maternal plasma retinol concentration, which were not included for Ghanaian children.

The primary statistical analysis was done by intention to treat. The difference in mean maternal plasma and milk retinol concentrations and the difference in mean child retinol concentration among the three intervention groups were tested with ANOVA (model without covariates) and ANCOVA (model with covariates) with  $P < 0.05$  to indicate statistical significance. Post hoc pairwise comparisons of the intervention groups were done with the Tukey-Kramer test for ANOVA and ANCOVA  $P < 0.05$  if the global null hypothesis was rejected with  $P < 0.05$ .

The proportion of women with low plasma and milk retinol concentrations and the proportion of children with low plasma retinol concentrations were compared between intervention groups using logistic regression. Pairwise comparisons between groups were done in the context of logistic regression if the global null hypothesis was rejected with  $P < 0.05$ .

A per protocol analysis was performed that included only participants whose adherence was high (Supplemental Methods and Supplemental Results).

Plasma and milk retinol concentrations may be altered during inflammation. We used correlation analysis to examine the relationship between plasma retinol concentration and plasma concentrations of CRP and AGP, separately, at the 36-wk gestation and 6-mo timepoints, and the relationship between milk retinol concentration and plasma concentrations of CRP and AGP, separately, at the 6-mo timepoint. If there was a statistically significant correlation (Spearman's correlation  $P < 0.1$ ) between markers of inflammation and plasma retinol concentration or between markers of inflammation and milk retinol concentration, the method described by the BRINDA project (21) was used to correct the retinol results for inflammation. Both inflammation-corrected and noninflammation-corrected retinol results are presented.

Before applying the BRINDA correction, we first examined whether the intervention had a statistically significant effect on plasma concentrations of CRP or AGP at the 36-wk and 6-mo timepoints.

A sensitivity analysis was planned if results showed no difference in plasma or milk retinol concentrations among mothers between the SQ-LNS and MMN groups. For this analysis, these groups would be combined to assess whether there was a difference in plasma and/or milk retinol concentrations among women who received SQ-LNS/MMN compared with IFA. The same sensitivity analysis was planned for children at 6 mo of age if results showed no difference in plasma retinol concentrations between the SQ-LNS and MMN groups. Among children at 18 mo of age, a sensitivity analysis was planned if results showed no difference in plasma retinol concentrations between the MMN and IFA groups. For this analysis, these groups would be combined to assess whether there was a difference in plasma retinol concentration among children who received SQ-LNS compared with no supplement (combined MMN/IFA groups).

## Results

### Participants

In Malawi, 1391 women were enrolled in the trial, and 317 mother-child dyads were selected randomly for this sub-analysis (Supplemental Figure 1). Among Malawian mothers, plasma retinol data were available for 313 women at 36 wk of gestation and for 316 women at 6 mo postpartum. Milk retinol data were available for 315 women at 6 mo postpartum. Among Malawian children, plasma retinol data were available for 254 children at 6 mo of age and for 253 children at 18 mo of age; some data were missing because there was insufficient plasma for the retinol analysis. In Ghana, 1320 mother-child dyads were enrolled in the trial; however, 510 were excluded from consideration for these analyses due to mixed exposure for these dyads (12). From the remaining 810 mother-child pairs, 303 children were selected randomly for the analysis of plasma retinol concentrations (Supplemental Figure 2). Plasma retinol data were available for 292 children at 6 and 18 mo of age.

**TABLE 1** Enrollment characteristics of Malawian and Ghanaian mothers

Characteristic	Malawian mothers			Ghanaian mothers		
	IFA	MMN	SQ-LNS	IFA	MMN	SQ-LNS
Number of participants	105	106	107	97	99	96
BMI, kg/m <sup>2</sup>	21.8 (2.6)	21.8 (2.7)	21.8 (2.9)	25.0 (5.5)	25.1 (4.6)	25.5 (5.4)
Age, y	25 (6)	25 (6)	25 (7)	28 (5)	27 (6)	28 (5)
Education, completed years at school	3.5 (3.3)	3.6 (3.4)	3.6 (3.5)	7.9 (3.5)	7.6 (3.4)	7.9 (3.6)
Proxy for socioeconomic status	−0.25 (0.83)	−0.18 (0.79)	−0.02 (0.86)	0.23 (0.89)	0.16 (0.79)	0.12 (0.88)
Plasma retinol concentration <sup>1</sup> , μmol/L	1.08 (0.27)	1.14 (0.27)	1.10 (0.32)	—	—	—
Plasma retinol <1.05 μmol/L, %	46.6	42.9	50.9	—	—	—
Inflammation-corrected plasma retinol <1.05 μmol/L, %	20.4	15.2	22.6	—	—	—
Anemic (hemoglobin <100 g/L), %	17.5	20.0	25.2	10.3	18.2	9.4
Primiparous, %	20.4	22.9	21.5	34.0	26.3	29.2
Low BMI (<18.5 kg/m <sup>2</sup> ), %	5.8	3.8	9.3	2.1	2.1	2.2
Positive HIV test <sup>2</sup> , %	14.6	8.7	10.3	—	—	—
Positive malaria test (RDT), %	23.3	22.9	24.5	3.1	10.1	11.5

Values are mean (SD) or %. None of the characteristics differ by intervention group in either setting ( $P > 0.05$ ). IFA, iron and folic acid; MMN, multiple micronutrients; RDT, rapid diagnostic test, SQ-LNS, small-quantity lipid-based nutrient supplements.

<sup>1</sup>Plasma retinol concentration was not measured in Ghanaian women.

<sup>2</sup>Ghanaian women were not tested for HIV.

Maternal characteristics at enrollment are shown in **Table 1**. Malawian mothers were ~25 y of age, 20.9% were anemic, 11.2% were HIV+, 23.6% tested positive for malaria, and 46.8% had plasma retinol concentrations <1.05 μmol/L. Ghanaian mothers were ~27 y of age, 12.6% were anemic, and 8.2% tested positive for malaria; as mentioned above, HIV+ women were excluded from the study; and plasma retinol concentration was not measured in Ghanaian mothers.

### Inflammation

Within the subsample for these analyses, there were no significant differences in plasma CRP or AGP among intervention groups for Malawian mothers or Malawian or Ghanaian children. The prevalence of inflammation is presented in Supplementary Results.

### Maternal plasma retinol: Malawi

At 36 wk of gestation, the overall geometric mean (95% CI) plasma retinol concentration was 0.97 μmol/L (0.94, 1.01 μmol/L) and the prevalence of plasma retinol concentrations <1.05 μmol/L was 55%. After correcting for inflammation, the prevalence of low plasma retinol concentrations decreased to 31%. At 6 mo postpartum, the overall geometric mean (95% CI) plasma retinol concentration was 1.35 μmol/L (1.31, 1.39 μmol/L) and the prevalence of low plasma retinol concentrations was 13.9%, which decreased to 4.5% after correcting for inflammation. There were no differences in mean plasma retinol concentrations or the prevalence of low plasma retinol concentrations among the three intervention groups (**Table 2**), or between the combined SQ-LNS/MMN groups and the IFA group at either time point (**Supplemental Table 3**).

### Milk retinol: Malawi

At 6 mo postpartum the overall geometric mean (95% CI) milk retinol concentration was 1.04 μmol/L (0.97, 1.13 μmol/L) and the prevalence of milk retinol concentrations <1.05 μmol/L was 45.5%. Milk retinol concentration did not differ among the three groups (**Table 2**) or between the combined SQ-LNS/MMN and IFA groups (**Supplemental Table 3**). The median (p25, p75) time of day of milk collection was 07:30 (07:00, 08:00) and did not differ by group ( $P = 0.34$ ). Milk retinol concentration was not correlated with maternal plasma retinol concentration

( $r = 0.028$ ,  $P = 0.62$ ), child plasma retinol concentration ( $r = -0.05$ ,  $P = 0.39$ ) or maternal plasma concentrations of CRP ( $r = 0.09$ ,  $P = 0.13$ ) or AGP ( $r = -0.01$ ,  $P = 0.88$ ).

### Child plasma retinol: Malawi

At 6 mo of age, the overall geometric mean (95% CI) plasma retinol concentration was 0.78 μmol/L (0.75, 0.81 μmol/L). The prevalence of plasma retinol concentrations <0.70 μmol/L was 22.0%, which decreased to 9.7% after correcting for inflammation. At 18 mo of age, the overall geometric mean (95% CI) plasma retinol concentration was 0.81 μmol/L (0.78, 0.85 μmol/L). The prevalence of low plasma retinol concentrations was 26.8%, which decreased to 9.2% after correcting for inflammation. There were no differences among the three groups (**Table 3**), between the combined SQ-LNS/MMN and IFA groups at 6 mo of age, or between the SQ-LNS and combined MMN/IFA groups at 18 mo of age (**Supplemental Table 4**). Child plasma retinol concentration at 6 mo of age was correlated with maternal plasma retinol concentration at enrollment and at 36 wk of gestation ( $r = 0.14$ ;  $P < 0.03$  for both maternal timepoints), but not at 6 mo postpartum ( $r = 0.09$ ,  $P = 0.14$ ). Child plasma retinol at 18 mo of age was correlated with maternal plasma retinol concentration at enrollment only ( $r = 0.14$ ,  $P = 0.022$ ).

### Child plasma retinol: Ghana

At 6 mo of age, the overall geometric mean (95% CI) plasma retinol concentration was 0.85 μmol/L (0.82, 0.88 μmol/L). The prevalence of plasma retinol concentrations <0.70 μmol/L was 25.6%, which decreased to 12.7% after correcting for inflammation. At 18 mo of age, the overall geometric mean (95% CI) plasma retinol concentration was 0.88 μmol/L (0.85, 0.91 μmol/L). The prevalence of low plasma retinol concentrations was 19.5%, which decreased to 6.5% after correcting for inflammation. There were no differences among the three groups (**Table 4**), between the combined SQ-LNS/MMN and IFA groups at 6 mo of age, or between the SQ-LNS and combined MMN/IFA groups at 18 mo of age (**Supplemental Table 5**).



**TABLE 2** Plasma and milk retinol concentrations of Malawian mothers at 36 wk of gestation and 6 mo postpartum by intervention group

Outcome <sup>1</sup>	n		Geometric mean (95% CI)			SQ-LNS vs. IFAs: ratio of means or ORs (95% CI)	MMN vs. IFAs: ratio of means or ORs (95% CI)	SQ-LNS vs. MMN: ratio of means or ORs (95% CI)
	IFA	MMN	SQ-LNS	IFA	MMN			
Plasma retinol, $\mu\text{mol/L}$								
36 wk of gestation	103	105	105	1.00 (0.94, 1.06)	0.99 (0.93, 1.04)	0.93 (0.87, 1.00)	0.99 (0.89, 1.09)	0.95 (0.85, 1.05)
6 mo postpartum	103	106	107	1.40 (1.32, 1.45)	1.40 (1.30, 1.42)	1.30 (1.22, 1.38)	0.98 (0.90, 1.07)	0.96 (0.88, 1.04)
Plasma retinol < 1.05 $\mu\text{mol/L}$ , %								
36 wk of gestation	103	105	105	50.5	53.3	65.7	1.12 (0.98, 1.26)	1.68 (0.86, 3.23)
6 mo postpartum	103	106	107	11.7	11.3	20.6	0.97 (0.95, 2.69)	2.03 (0.81, 5)
Inflammation-corrected plasma retinol, $\mu\text{mol/L}$								
36 wk of gestation	103	105	105	1.20 (1.15, 1.30)	1.20 (1.13, 1.27)	1.10 (1.07, 1.22)	0.98 (0.89, 1.08)	0.95 (0.86, 1.06)
6 mo postpartum	103	106	106	1.60 (1.58, 1.73)	1.60 (1.55, 1.69)	1.60 (1.47, 1.65)	0.98 (0.90, 1.07)	0.96 (0.89, 1.04)
Inflammation-corrected plasma retinol < 1.05 $\mu\text{mol/L}$ , %								
36 wk of gestation	103	105	105	31.1	27.6	34.3	0.85 (0.41, 1.74)	1.37 (0.68, 2.78)
6 mo postpartum	103	106	107	3.9	1.9	8.4	0.48 (0.06, 3.76)	4.78 (0.74, 33.3)
Milk retinol, $\mu\text{mol/L}$								
36 wk of gestation	103	106	106	1.00 (0.90, 1.22)	1.10 (0.94, 1.21)	1.00 (0.89, 1.15)	1.02 (0.81, 1.28)	0.95 (0.76, 1.2)
6 mo postpartum	103	106	106	46.6	47.2	41.5	1.02 (0.53, 1.97)	0.79 (0.41, 1.52)

<sup>1</sup>None of the outcomes differed by intervention group. SQ-LNS, small-quantity lipid-based nutrient supplements; IFA, iron and folic acid; MMN, multiple micronutrients.

## Discussion

Provision of SQ-LNS to mothers during pregnancy and lactation and to their children from 6 to 18 mo of age did not affect plasma or milk retinol concentrations of Malawian mothers or plasma retinol concentrations of Malawian or Ghanaian children. The lack of effect on plasma concentrations may be due to low responsiveness of plasma retinol concentration for detecting an intervention effect in populations with a low prevalence of VAD (22, 23). Because plasma retinol concentration is under homeostatic regulation and is maintained within a narrow range when vitamin A status is adequate, it is not an optimal indicator for detecting change in status. Among Malawian mothers, the inflammation-corrected prevalence of VAD was ~31% at 36 wk of gestation, but only ~4.5% at 6 mo postpartum, suggesting that the high prevalence at 36 wk of gestation was related to hemodilution of pregnancy, as reported previously for women in Guinea Bissau and Bangladesh (24, 25).

The prevalence of low milk retinol concentrations among Malawian mothers was ~46%, which was much higher than either the noninflammation-corrected or inflammation-corrected prevalence of low plasma retinol concentrations (13.9 and 4.5%, respectively). In a recent study among Zambian mothers, the prevalence of low milk retinol concentrations was also higher than the prevalence of low plasma retinol concentrations (54% and 34%, respectively) (26). In our study, milk retinol was not associated with plasma concentrations of CRP or AGP, the same as has been reported previously for Malawian women (27). The difference in estimates of prevalence of low milk compared with low plasma retinol concentrations may be related to the homeostatic control of plasma retinol, which limits the detection of marginal status, or possibly to milk sampling methods that may lead to underestimation of milk vitamin A concentration. Vitamin A is found in milk fat, and milk fat varies throughout the day and increases during a feeding episode. Milk was collected in the early morning, and the time of collection did not differ by group. However, milk collection was not standardized to time since the previous feeding episode, and this may have affected the retinol concentration. Also, incomplete expression of milk from the breast may result in lower milk vitamin A concentration because hind milk is highest in vitamin A. If milk is not well mixed prior to sampling for the retinol analysis, this could also affect the retinol concentration. For these reasons, it is helpful to express milk retinol per gram of milk fat in addition to per unit volume, which we were unable to do.

We would expect milk retinol concentration to be more responsive to daily consumption of SQ-LNS than plasma retinol concentration because milk retinol is not under homeostatic regulation and is derived directly from the maternal diet and from maternal stores (28). The lack of effect of SQ-LNS on milk retinol concentration may be related to the low prevalence of VAD, based on maternal plasma retinol concentrations at 6 mo postpartum. Although milk retinol is likely to increase among mothers with adequate status who receive a high-dose vitamin A supplement (29, 30), the increase in response to a modest daily dose of 800  $\mu\text{g}$  RE/d would be harder to detect when milk retinol concentrations are adequate. The lack of effect may also be related to low responsiveness of milk retinol for detecting an intervention effect when it is not adjusted for milk fat. A study in Bangladesh showed that milk retinol expressed per gram of fat in casual samples was more responsive than serum retinol, modified relative dose response, or milk retinol

**TABLE 3** Plasma retinol concentrations of Malawian children at 6 and 18 mo of age by intervention group

Outcome <sup>1</sup>	n			Geometric mean (95% CI)		SQ-LNS vs. IFA: ratio of means or ORs (95% CI)	MMN vs. IFA: ratio of means or ORs (95% CI)	SQ-LNS vs. MMN: ratio of means or ORs (95% CI)
	IFA	MMN	SQ-LNS	IFA	MMN			
Plasma retinol, $\mu\text{mol/L}$								
6 mo of age	86	90	78	0.80 (0.74, 0.86)	0.80 (0.76, 0.86)	0.70 (0.68, 0.79)	1.02 (0.90, 1.15)	0.90 (0.80, 1.02)
18 mo of age	85	90	78	0.80 (0.73, 0.85)	0.80 (0.77, 0.90)	0.80 (0.76, 0.88)	1.06 (0.94, 1.20)	0.98 (0.87, 1.12)
Plasma retinol $<0.70 \mu\text{mol/L}$ , %								
6 mo of age	86	90	78	27.9	30.0	39.7	1.11 (0.51, 2.43)	1.54 (0.71, 3.33)
18 mo of age	85	90	78	28.2	25.6	26.9	0.87 (0.39, 1.95)	1.07 (0.47, 2.44)
Inflammation-corrected plasma retinol, $\mu\text{mol/L}$								
6 mo of age	86	90	78	1.00 (0.94, 1.08)	1.00 (0.97, 1.09)	0.90 (0.87, 1.00)	1.03 (0.92, 1.14)	0.91 (0.81, 1.01)
18 mo of age	85	90	78	1.00 (0.92, 1.05)	1.00 (0.96, 1.11)	1.00 (0.95, 1.08)	1.05 (0.93, 1.17)	0.99 (0.88, 1.11)
Inflammation-corrected plasma retinol $<0.70 \mu\text{mol/L}$ , %								
6 mo of age	86	90	78	9.3	7.8	12.8	0.82 (0.23, 2.94)	1.74 (0.51, 5.88)
18 mo of age	85	90	78	9.4	6.7	10.3	0.69 (0.18, 2.59)	1.60 (0.42, 5.88)

<sup>1</sup>None of the outcomes differed by intervention group. SQ-LNS, small-quantity lipid-based nutrient supplements; IFA, iron and folic acid; MMN, multiple micronutrients.

in full-milk samples (expressed per unit volume or per gram of fat) for detecting an intervention effect (31). A recent study in Zambia showed that the prevalence of low milk retinol concentrations (~54% at enrollment) decreased in response to 3 wk of supplementation with small daily doses of vitamin A (600  $\mu\text{g RE/d}$ ), compared with a control group, when milk retinol was expressed per gram of milk fat, but not when expressed per unit volume (26). Adjusting for milk fat is likely to reduce variability in milk retinol concentrations and increase the ability to detect an intervention effect. It is also possible that adherence to SQ-LNS was inadequate to increase milk retinol in our study, given that ~64% of mothers reportedly consumed SQ-LNS on  $\geq 70\%$  of supplementation days during the first 6 mo of lactation (Supplementary Results).

Among Malawian children, the overall inflammation-corrected prevalence of VAD was  $<10\%$  at 6 mo and 18 mo of age. However, because children with inflammation may have inadequate vitamin A status, the inflammation-corrected prevalence may underestimate the extent of the problem. Overall, 28% of children had elevated plasma CRP concentrations and 64% had elevated plasma AGP at 6 mo of age; 29% had elevated plasma CRP concentrations and 66% had elevated plasma AGP at 18 mo of age. The overall noninflammation-corrected prevalence of VAD was ~22 and 27% at 6 and 18 mo of age, respectively. Using the WHO prevalence criteria for categorizing VAD as a public health concern (17), VAD would be considered a mild concern (prevalence of  $>2\text{--}10\%$ ) based on the inflammation-corrected prevalence of low plasma retinol concentration, but a severe concern (prevalence of  $\geq 20\%$ ) based on noninflammation-corrected prevalence of low plasma retinol concentrations. Children in Malawi receive high-dose vitamin A capsules as per WHO recommendations (100,000 IU at 6–11 mo of age; 200,000 IU from 12 to 59 mo of age every 6 mo) (32), and this may contribute to the low inflammation-corrected prevalence of VAD. However, only 27% of children reportedly received their scheduled high-dose vitamin A capsule at 1 y of age and only 9% of mothers reportedly received a high-dose capsule (200 000 IU) within the first 8 wk after giving birth. Together, the plasma retinol results for mothers and children suggest that the prevalence of VAD was likely mild to moderate in the study population in Malawi, and this may account for the lack of effect of SQ-LNS on plasma retinol concentration. The observed prevalences of VAD are lower than those reported in the 2009 National Micronutrient Survey (3) (59.7% for children 6–11 mo of age; 89.9% for women 15–45 y of age) based on serum retinol concentrations  $<0.7$  and  $<1.05 \mu\text{mol/L}$ , respectively. However, the observed prevalences are higher than those reported in the 2015–2016 National Micronutrient Survey (4) (~4% among preschool children and 0.3% among women of reproductive age), based on serum RBP concentrations  $<0.46 \mu\text{mol/L}$ . However, because the serum molar ratio of retinol to RBP is ~1:1 (33), we would expect the RBP cutoff to be similar to the cutoff of  $<0.70$  for serum retinol. As mentioned above, the lower RBP cutoff may have underestimated the prevalence of VAD.

Among Ghanaian children, the overall inflammation-corrected prevalence of VAD was 12.6% at 6 mo of age and 7% at 18 mo of age. The overall noninflammation-corrected prevalence of VAD was 23.9% at 6 mo of age and 21% at 18 mo of age. Using the WHO prevalence criteria (17), VAD would be considered a mild ( $>2\text{--}10\%$ ) to moderate ( $\geq 10$  to  $<20\%$ ) public health concern among Ghanaian children in our study population based on the inflammation-corrected prevalence of low plasma retinol concentrations, and a severe concern ( $\geq 20\%$

**TABLE 4** Plasma retinol concentrations of Ghanaian children at 6 and 18 mo of age by intervention group

Outcome <sup>1</sup>	n			Geometric mean (95% CI)			SQ-LNS vs. IFA: ratio of means or ORs (95% CI)	MMN vs. IFA: ratio of means or ORs (95% CI)	SQ-LNS vs. MMN: ratio of means or ORs (95% CI)
	IFA	MMN	SQ-LNS	IFA	MMN	SQ-LNS			
Plasma retinol, $\mu\text{mol/L}$									
6 mo of age	97	99	96	0.90 (0.83, 0.93)	0.80 (0.78, 0.87)	0.90 (0.81, 0.90)	0.97 (0.89, 1.07)	0.94 (0.86, 1.03)	1.03 (0.94, 1.14)
18 mo of age	97	99	96	0.90 (0.84, 0.96)	0.90 (0.82, 0.92)	0.90 (0.81, 0.92)	0.96 (0.87, 1.06)	0.96 (0.87, 1.06)	1.00 (0.90, 1.11)
Plasma retinol <0.70 $\mu\text{mol/L}$ , %									
6 mo of age	97	99	96	18.6	33.3	25.0	1.46 (0.64, 3.35)	2.19 (0.99, 4.86)	0.67 (0.32, 1.41)
18 mo of age	97	99	96	14.4	19.2	25.0	1.98 (0.82, 4.76)	1.41 (0.57, 3.49)	1.40 (0.62, 3.23)
Inflammation-corrected plasma retinol, $\mu\text{mol/L}$									
6 mo of age	97	99	96	0.90 (0.90, 1.00)	0.90 (0.87, 0.97)	0.90 (0.88, 0.99)	0.99 (0.90, 1.07)	0.97 (0.89, 1.06)	1.01 (0.93, 1.11)
18 mo of age	97	99	96	1.00 (0.98, 1.09)	1.00 (0.95, 1.06)	1.00 (0.97, 1.09)	0.99 (0.90, 1.08)	0.97 (0.89, 1.06)	1.02 (0.94, 1.12)
Inflammation-corrected plasma retinol <0.70 $\mu\text{mol/L}$ , %									
6 mo of age	97	99	96	12.4	11.1	14.6	1.21 (0.45, 3.27)	0.89 (0.31, 2.52)	1.37 (0.50, 3.70)
18 mo of age	97	99	96	4.1	7.1	8.3	2.11 (0.48, 9.33)	1.77 (0.39, 8.06)	1.19 (0.34, 4.17)

<sup>1</sup>None of the outcomes differed by intervention group. SQ-LNS, small-quantity lipid-based nutrient supplements; IFA, iron and folic acid; MMN, multiple micronutrients.

prevalence) based on noninflammation-corrected prevalence of low plasma retinol concentrations. As in Malawi, children in Ghana receive high-dose vitamin A supplements from 6 to 59 mo of age in accordance with the WHO guidelines (32) and 87% reportedly received a high-dose vitamin A capsule at 12 mo of age. Among Ghanaian mothers, 68% reportedly received a high-dose vitamin A capsule within the first 8 wk postpartum. The plasma retinol results in children and the previously reported low prevalence of low milk retinol concentrations among mothers suggest that VAD is mild to moderate in the Ghanaian study population, and this may explain the lack of effect of SQ-LNS on plasma retinol concentration.

In contrast to our results, provision of a MMN supplement containing 770  $\mu\text{g}$  RE/d to Bangladeshi women, beginning early in pregnancy, resulted in higher mean plasma retinol concentration at 32 wk of gestation in comparison with women who received IFA ( $1.08 \pm 0.33$  compared with  $0.99 \pm 0.33$   $\mu\text{mol/L}$ ) and a lower prevalence of plasma retinol concentrations <1.05  $\mu\text{mol/L}$  (48.7 compared with 60.9%) (34). The amount of vitamin A provided by the supplement was similar to that provided by SQ-LNS in our study; however, the inflammation-corrected prevalence of plasma retinol concentrations <1.05  $\mu\text{mol/L}$  in early pregnancy was higher among Bangladeshi women than among Malawian women (48 compared with 31%), and this may account for the difference in results. An earlier study in Malawi showed that supplementation of pregnant HIV+ women with 3 mg RE/d, beginning at ~18–24 wk of gestation, resulted in a higher mean plasma retinol concentration at 38 wk of gestation compared with a control group (0.72 compared with 0.61  $\mu\text{mol/L}$ ) and a higher mean milk retinol concentration at 6 wk postpartum (1.32 compared with 0.95  $\mu\text{mol/L}$ ) (35). The observed effects on plasma and milk retinol concentrations are likely related to lower vitamin A status of the women compared with women in our study, and to the larger amount of vitamin A that was provided.

Studies have shown mixed results regarding the effect of SQ-LNS on child vitamin A status. Provision of 400  $\mu\text{g}$  RE/d as SQ-LNS to Burkinabe children from 9 to 18 mo of age, along with treatment for diarrhea and malaria, resulted in a higher mean plasma RBP concentration at 18 mo of age compared with a nonintervention cohort; however, the prevalence of VAD remained high and did not differ between cohorts (50 compared with 57%) (36). A subanalysis of the WASH Benefits Study showed that among Kenyan children 6–24 mo of age with a high prevalence of VAD (~53%), median serum RBP concentration was higher among children who received SQ-LNS than in a control group after 2 y of intervention, whereas SQ-LNS had no effect on serum RBP concentrations among Bangladeshi children of the same age with a low prevalence of VAD (~17%) (37). In another study of Bangladeshi children, provision of SQ-LNS containing 200  $\mu\text{g}$  RE/d from 6 to 11 mo of age and medium-quantity LNS containing 400  $\mu\text{g}$  RE/d from 12 to 18 mo of age resulted in a higher mean plasma retinol concentration at 18 mo of age compared with children in a control group, but had no effect on the prevalence of VAD (~8%) (38).

Similar to our findings, in populations in Madagascar and Bangladesh with a relatively low prevalence of VAD (~13.7 and 13.0%, respectively), provision of LNS to mothers during pregnancy and lactation, and/or SQ-LNS to children, had no effect on serum RBP concentration or the prevalence of VAD among children 6–18 mo of age (Madagascar) or 6–24 mo of age (Bangladesh) (39, 40).

In summary, provision of SQ-LNS to Malawian mothers during pregnancy and lactation, and to Malawian and Ghanaian children from 6 to 18 mo of age did not affect plasma or milk retinol concentration in Malawian mothers or plasma retinol concentrations in Malawian and Ghanaian children. The lack of effect may be related to the mild to moderate prevalence of VAD among the study populations, and/or to the low responsiveness of plasma retinol concentration for detecting a change in status. Although the milk retinol results suggest a high prevalence of inadequate vitamin A status among mothers in Malawi, SQ-LNS had no effect on milk retinol concentration. The lack of adjustment for milk fat may have resulted in overestimation of the prevalence of low milk retinol concentrations, and/or reduced the responsiveness of milk retinol for detecting an intervention effect.

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