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REVIEW

A Comprehensive Literature Review of JAK Inhibitors in Treatment of Alopecia Areata

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Keywords: janus kinase, JAK-STAT signaling pathway, ruxolitinib, tofacitinib, baricitinib

Introduction/ Background

Alopecia areata (AA), also known as autoimmune alopecia, is a form of nonscarring alopecia and is the most common immune mediated cause of hair loss, worldwide.¹ The immune disorder carries a 1-2% lifetime risk, with 10% to 20% of affected persons having a family history of AA.² AA is independent of ethnicity and affects both males and females of any age. While it has been noted that 80% of cases occur before the age of 40, 50% of these cases begin in childhood and have been frequently associated with other autoimmune disorders such as systemic lupus erythematosus (SLE), atopic dermatitis and autoimmune thyroid disorders, to name a few.³

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AA can affect any hair-bearing region and can manifest in various patterns ranging from patchy diffuse alopecia to progression to more severe forms such as alopecia totalis (AT) or alopecia universalis (AU). Although AA has been described as a benign, self-limiting condition with most cases resulting in spontaneous regrowth, this regrowth may take place over a period of several months to years, with approximately 66% of patients showing complete regrowth of hair within 5 years.⁴ However, relapse is common in AA, and studies have reported that in patients observed over a period of 10-20 years that the overall incidence rate of relapses ranges from 85-100%, with 100% relapse being observed in patients over a 20-year period.⁴ For patients who progress to the more severe forms of AT and AU, 75% will remain with AT and 34% of adults (44% children) would experience periods of hair growth with AU.⁴ From this, it is clear that patients may experience many difficulties while dealing with this disease. Affected individuals are at increased risk for emotional and psychological distress, including depression and anxiety. Furthermore, more persistent, or severe cases can significantly disfigure patients, proving to be detrimental to their well-being.

Previously, the etiology of AA was largely unknown. However, recently more research/ studies have focused on gaining a greater understanding of the molecular biology and autoimmune pathways that underlie the pathophysiology of the disease. Various studies have determined that the pathogenesis of AA largely involves hair follicles being attacked by autoreactive CD8 T-cells activated by janus kinase (JAK)-signal transducer and activator of transcription (STAT) signaling.⁵ Furthermore, evidence from genomic studies using mice models with AA have shown the critical role JAK signaling plays in the pathogenesis of the disease. These studies demonstrated that JAK-STAT signaling, specifically JAK 1/2 and JAK 1/3, led to T-cell mediated inflammatory responses which promoted IFN-y and IL-15 production in hair follicles and even further amplified inflammatory responses surrounding these hair follicles.⁶ These findings have resulted in the emergence of targeted therapies involving the use of JAK inhibitors (JAKis), with the hope of providing a more efficient and effective therapeutic strategy for treatment of AA.

To date, there is no known reliable, approved treatment for AA. Several off-label treatments do exist that may result in temporary improvement of the disease, with limited efficacy reported. With the emergence of more studies and small clinical trials, there has been growing evidence

that suggests that JAK inhibitors are effective in treating autoimmune disorders, including AA. Several studies on JAK inhibitors such as ruxolitinib, tofacitinib and baricitinib have already been published, attempting to demonstrate the efficacy and outcome of these JAK inhibitor agents. However, because most reports have been reported poorly and most trials being done are on a very small scale, data is limited with regard to efficacy and adverse outcomes. To provide a better understanding and fill any gaps, this review will aim to provide a comprehensive literature report on the evidence that is available on the role, efficacy, and outcomes of JAK inhibitors in the treatment of AA. With special focus on (i) examining the role of JAK inhibitors in treating AA, (ii) summarizing the available evidence on the efficacy of JAK inhibitors (oral as well as topical applications), (iii) determining the adverse outcomes/safety of JAK inhibitors in treating AA, (iv) examining the sustainability of treatment results with JAK inhibitors as well as affordability for long-term treatment, and (v) determining whether JAK inhibitors should be the drug of choice for treatment of AA.

Pathophysiology of Alopecia Areata (AA)

To fully understand the pathogenesis of AA, one must first have an understanding of the human hair growth cycle. The normal human hair growth cycle occurs in distinct phases: anagen (active hair/follicular growth), catagen (regression/follicular involution) and telogen (follicular rest and shedding of hair follicles). In AA, there is damage to hair follicles which occur in the anagen phase, which is then followed by a rapid transformation to catagen and telogen phases, then back to a dystrophic anagen status.² During the active disease process, hair follicles are unable to progress beyond the early anagen phase. However, due to sparing of the follicular stem cells, no hair follicles are destroyed, ie there is no scarring.²

The pathomechanism surrounding AA is multifactorial and involves very complex interactions between immunologic, genetic as well as environmental factors.

Immunologic Factors

Studies have revealed that AA is an autoimmune disorder that firstly develops through the loss of the immune privileged status of hair follicles.^{1,7} According to research by Paus et al 2003, in their article entitled "The hair follicle and immune privilege," it is suggested that the anagen hair follicle is a site

of immune privilege. It is through the anagen phase that the proximal hair follicle/hair bulb can generate and maintain a site or region of relative immune privilege. Immune privilege is largely characterized by a number of mechanisms intricately working together to suppress cytotoxic immune attacks on cells and antigens located within specific sites.⁸ These mechanisms that establish and maintain immune privilege involve (i) the downregulation or absence of classical major histocompatibility complex (MHC) class I expression (this results in sequestering (auto)antigens in tissues, therefore, blocking their presentation to CD8 + T cells), (ii) the production of potent immunosuppressants locally in tissue sites, namely, tissue growth factor beta 1 (TGF β 1), interleukin-10 (IL-10) and alpha-melanocyte stimulating hormone (α -MSH), (iii) impairment of the function of antigen presenting cells (APCs), (iv) the expression of non-classical MHC class I molecules (HLA-G, an MHC class Ib molecule in humans) and (v) greater expression of Fas Ligand (FasL) which serves to delete autoreactive T cells expressing Fas.⁸ The immune privilege of the anagen hair bulb, therefore, serves to maintain a very low level of MHC class Ia antigen expression as well as to locally produce potent immunosuppressive agents (α -MSH, TGF-\u03b31, TGF-\u03b32 and macrophage migration inhibitory factor (MIF) which prevents activation of T cell and NK T cell function).¹ In addition to these, anagen hair bulbs have a very low number of APCs (CD 1a + cells and Langerhan cells) which are functionally impaired as they do not express MHC class II antigens.⁸ The hair bulb itself is devoid of lymphatics and is surrounded by a special extracellular matrix barrier which may prevent immune cell trafficking.8 Recent evidence also suggests that a dysfunction in programmed cell death protein I ligand (PD-LI) may also contribute to the loss of immune privilege as PD-LI functions to establish follicular immune privilege, which protects anagen hair follicles from autoimmune destruction.9

When this immune privilege status collapses or is lost, anagen hair follicles can undergo immune recognition and hence be attacked by autoreactive CD8 + T cells and NK T cells. This leads to a premature transition to catagen and telogen phases in the hair cycle, resulting in alopecia (hair loss). To this day, researchers are challenged with identifying exactly what factors are involved in triggering the collapse or loss of the immune privilege of the hair follicle.^{7,10} It has been suggested that possible triggers may include bacterial superantigens, infections, skin microtrauma, genetic factors, psychoemotional stressors, pregnancy, mast cell degeneration, hormones, as well as an intrafollicular/perifollicular rise in ectopic interferongamma (IFN- γ) secretion.^{8,10}

Continuing with the cytotoxic disease process of AA, it has been noted that activated CD8+ T cells are the primary cytotoxic perpetrators that lead to follicular damage and are helped by CD4+ T cells.^{7,11} In addition to this, CD8+ T cells, although they are less numerous than CD4+ T cells, have the ability to penetrate to intrafollicular regions, further enabling greater disruption and destruction by these lymphocytes.⁷ As such, researchers have identified a cytotoxic cluster/subset of differentiation 8-positive (CD8+) NK group 2D-positive (NKG2D+) T cells that contribute to the pathogenesis of AA.^{6,12} This has been supported by the findings of CD8+NKG2D+T cells surrounding the peribulbar region of the affected hair follicles in humans.⁶ Additionally, using a mouse model (C3H/HeJ mouse model), researchers Xing et al, 2014, in their study of AA were able to recapitulate the pathologic features of AA and found a marked increased number of CD8 +NKG2D+ T cells infiltrating the epithelial layers of hair follicles, further supporting this finding. Concomitant upregulation of MHC class I or ULBP3 (UL-16- binding protein 3), an NKG2D ligand in the hair follicle has also been identified to occur and has been deemed an important factor by genome-wide association studies in the pathogenesis of AA.⁶ According to Xing et al, 2014 this overexpression of ULBP3 leads to the attack of CD8+NKG2D + T cells on the hair follicles. Concurrently, a proinflammatory response follows, involving a marked increase in IFN- γ response and upregulation of several cytokines (IL-2, IL-7, IL-13, IL-17, IL-15 and IL-21) as well as IFN- γ elements which further promote the activation and survival of IFN-y producing CD8+NKG2D+ T cells.^{6,10}

The role of CD4+ T cells as helper cells are highlighted in mouse models which demonstrated that when CD8 + T cells in conjunction with CD4+ T cells were transferred to mice, they induced extensive lesions of AA.^{12,13} Moreover, it was found that when CD8+ T cells were injected alone, they induced localized hair loss, whereas injection of CD4+ T cells alone, induced and promoted systemic AA; highlighting the special roles of both cell types in AA pathogenesis.¹³ In addition to this, a subpopulation of CD4+ T cells called regulatory T cells (Tregs), in particular CD4+CD25+ natural Treg cells, which are responsible for maintaining tolerance to selfantigens and thus, preventing autoimmunity through the secretion of inhibitory cytokines (IL-10, TGF- β), may have a defect in functioning in AA.⁷ Although the research on Tregs in AA has not been fully investigated and is therefore limited, it has been observed that in the active autoimmune disease state, a lower number of Tregs is found and produced.⁷ This has been supported by mouse models where Treg numbers in AA-affected C3H/HeJ mice were markedly reduced.^{7,13} Defects in Treg activity in humans with AA have also been identified, with low level circulating Tregs found to be incapable of suppressing high levels of serum cytokines (IL-2, IL-13, IL-15, IL-17) and IFN- γ , suggesting a defect in Treg function in patients with AA.⁷ It is these complex interactions of immunologic events that contribute to, and ultimately results in hair follicle dystrophy, with an acceleration of hair follicles into the catagen phase in AA.

Genetic Factors

It has been widely reported that AA has a strong hereditary component. Observational studies have shown that a high familial incidence of AA exists, ranging between 10% and 42%.^{14,15} In patients who had their first AA patch before the age of 30, a familial incidence of 37% was reported, and for those who developed a patch after the age 30, an incidence of 7.1% was reported.¹¹ As such, there is some evidence that exists that shows a genetic predisposition to AA. This genetic predisposition has been linked to the major histocompatibility complex (MHC) gene; more specifically, the human leukocyte antigen (HLA) class I and class II genes, with HLA genes accounting for almost half of the genetic predisposition.^{11,16} HLA genes are mapped on to human chromosome 6p21.3 and encode for cell surface proteins that are important in immune selfrecognition and antigen presentation.¹¹ Studies have since identified expressions of HLA heterodimers in the affected scalp tissues of AA patients.^{11,14} Additionally, genome-wide association studies (GWAS) have discovered many single-nucleotide polymorphisms (SNPs) linked to AA.^{15–17} HLA class I genes are encoded by various loci (HLA-A, HLA-B and HLA-C) and are specifically recognized by CD8+ T cells, whereas HLA class II genes are bound by CD4+ T cells and are encoded by genes in the HLA-D region (HLA-DP, HLA-DQ and HLA-DR).¹¹ Betz et al, 2015 in their GWAS meta-analysis, found the HLA-DR gene to be a key etiologic driver and having the strongest risk factor for AA, citing the strong association between HLA class II genes and CD4+ and CD8+ effector T cells in the pathogenesis of AA. More specifically, studies performed at a molecular level have identified that DRB1*11:04 allele, when increased in frequency, is linked to early onset AA with a high familial recurrence risk.¹¹

Environmental Factors

In some instances, environmental factors have been cited as triggers that may induce or exacerbate AA, with stress being cited as a major factor. Although much of the evidence relating to stress and AA remains anecdotal, few studies have suggested a relationship between stress and AA. One such study demonstrated that patients affected by AA were found to have an increased frequency of stressful events occurring mostly within their families, when compared to the control groups.¹⁸ Another study acknowledged the relationship between stress and AA but found no correlation between the patient's stress level or psychological state and the severity of AA.¹¹ Most studies, however, remain inconclusive on the matter.^{14,19} More recently, it has been reported that in patients who may have developed chronic stress due to AA, there may be an alteration of the hypothalamic-pituitaryadrenal axis, resulting in high levels of neuromodulators being produced.¹¹ This has been supported by findings in a C3H/HeJ mouse model study, where activities of the central and peripheral hypothalamic-pituitary-adrenal axis were found to be much higher, when compared to normal mice.15 This increased activity resulted in elevated hormones such as corticosterone, estradiol and adrenocorticotropic hormone, and was correlated to increased pro-inflammatory cytokines in the skin of mice, suggesting that there exists a potential role and relationship between psychological and physiological stressors that cause AA.¹⁵ There are other potential environmental factors that may have a role to play in AA, such as infections, diet (soy products, vit D levels), vaccinations as well as change in hormone levels, but these have not been fully investigated, and hence, their impact in the disease pathogenesis remains largely unknown.¹⁵

Psychological Burden of AA

For anyone suddenly losing hair in a bizarre pattern, hair loss can be psychologically painful, as hair has a very important role to play in one's appearance and hence, selfimage. Persons affected by AA may have a striking appearance that may result in acquiring intrusive stares from others. This not only limits the social freedom, but overall, affects the quality of life (QoL) of afflicted patients.²⁰ Many patients afflicted by AA experience a tremendous amount of psychological distress. As such, psychological disturbances such as depression, anxiety,

sleep disorders and even suicide, when compared to the general population, appear to be more prevalent in AA patients, proving to be very concerning.²¹ Ghanizadeh and Ayoobzadehshirazi 2014, in their study, reviewed the current knowledge surrounding the link between AA and psychological disorders and found the concordance rate of having at least one psychological disorder in AA patients to be very high (78%). Additionally, the study estimated that 50% of AA patients were affected by major depressive disorder (MDD), and there was a prevalence of 39% of generalized anxiety disorder (GAD) also reported among patients.²² Similar findings were reported in another study where the percentage lifetime prevalence of psychiatric comorbidities in AA patients were found to be 66%-74%, with a 39%- 62% prevalence of GAD and a 38%- 39% lifetime prevalence of MDD.²³ In addition to this, the study found that the age of onset of AA influenced the rate at which psychological comorbidities developed, with patients less than 20 years having an increased risk of, and hence earlier development of depression and anxiety, when compared to AA patients who developed patches after the age of 40 years.²³

Given the severe negative psychosocial impact that AA inflicts on its patients, QoL studies have emerged as a means of gaining a better and more complete understanding of the impact of the disease on afflicted individuals. It is estimated that over 50% of AA patients experience diminished health-related QoL.^{21,23} Factors identified that contributed to low QoL scores were age less than 50 years, female sex, widespread involvement of hair loss (hair loss >25%), familial stress, job-related stress and psychological distress.²¹

The burden of AA not only impacts patients but has an economic impact as well, on government agencies as well as third-party proprietors. As such, the burden of the disease must be well understood so as to appropriately prioritize health-care resources. In 2010, the World Health Organization (WHO) measured the global disability adjusted life years (DALYs) lost to AA, through the Global Burden of Disease Study which involved 291 diseases across 187 countries in patients of all ages, during the period of 1990-2010.²¹ WHO measured the global DALYs lost to AA to be 1,332,800.²³ This compared to the DALYs for other diseases such as diabetes mellitus and psoriasis were 46,857,100 and 1,050,600, respectively.²³ Moreover, AA ranked 137/176 in disability burden which was higher than that of melanoma (138/176), psoriasis (144/176) and nonmelanoma skin cancer (150/176).²¹

Since 1990, the DALYs for AA have been on a steady linear increase, accounting for a significant global disease burden.²³ As such, one can agree that treatment of this disease should be made a top priority.

Current Treatment Regimen for AA

To date, no specific treatment for AA exists. In the United States of America, the Food and Drug Administration (FDA) is yet to approve a specific therapy for AA.^{9,11} Similarly, in Europe, no universally accepted guidelines exist for AA, other than the guidelines proposed by the British Association of Dermatology (BAD) and the Italian Society of Dermatology.¹¹ This is largely due to the fact that there are very few high quality randomized controlled trials (RCTs) that exist for AA management; although recently, this aspect has begun to change due to the Severity of Alopecia Tool (SALT) which was added to provide more guidelines for clinical research involving AA.¹⁵ In addition to this, determining the efficacy of these treatment modalities become difficult, as many patients spontaneously remit, even though the timeframe for this regrowth may take a few months or years.⁹ As the course of AA can be rather unpredictable, relapses alternating with spontaneous remissions and episodes of regrowth of hair, may not be easily attributed to the efficacy of a specific treatment, but rather to the natural disease course, or even to a placebo effect. As such, these prove as paramount difficulties in assessing what treatments are really effective according to evidence-based medicine.¹¹

Current conventional treatment options for AA mostly include non-specific broad immunosuppressants that are administered either locally or systemically, with the most common approach involving the use of intralesional and topical steroids (first-line therapies).⁷ These therapies are not without side effects as intralesional and topical steroids may cause telangiectasias, skin atrophy and striae, whereas systemic steroids may lead to more adverse effects such as weight gain, acne, adrenal suppression, glucose intolerance and insomnia, among others.¹⁰ As such, they are only approved for short-term use. Other immunosuppressive agents used in treating AA include methotrexate, cyclosporine, and azathioprine. These agents lack adequate RCT evidence to support their use and therefore tend to require very close patient follow-up because of the potentially severe immunosuppression that may result.¹⁰ Contact immunotherapy involving the use of squaric acid dibutyl ester (SADBE) and diphenylcyclopropenone (DPCP) has also not been very effective in producing consistent results among patients afflicted with AA.¹⁰ The non-specific nature of these treatment modalities along with their variable treatment efficacy for AA should demand greater efforts to achieve more targeted therapies that can better target the pathways involved in the disease process while minimizing side effects. Common therapeutic options used in the treatment of AA are highlighted in Table 1.^{9,24–26}

Choosing therapeutic agents for AA are mostly based on empiric criteria which accounts for the patient's age, the disease progress (acute or chronic) and the percentage hair loss (< or >50%).¹¹ In the paediatric population, treatment is influenced by the patient's age. Children that are younger than 10 years are treated with topical steroids, which may include second-line options such as topical minoxidil, topical retinoids, topical anthralin, and in some cases systemic therapy may even be considered.⁹ For children over the age of 10 years, the same therapeutic options exist with expanded options that include intralesional steroids, excimer laser therapies and contact immunotherapy such as SADBE.⁹

The Role of the JAK-STAT Signaling Pathway and AA

The JAK-STAT pathway is crucial in maintaining both innate and adaptive immunity. As such, its function was first discovered as a signaling pathway for IFN signaling.⁶ It is known as an intracellular signaling pathway that mediates the effect of many different pro-inflammatory signaling molecules that include, but are not limited to, interleukins (ILs), interferons (IFNs), colony-stimulating factors, growth factors and hormone-like cytokines.^{27,28} The JAK-STAT pathway consists of three main components: the receptor, janus kinase (JAK) and the signal transducer and activator of transcription (STAT).⁶ JAKs are large intracellular cytoplasmic molecules that belong to the family of tyrosine kinases and consist of four members: JAK 1, JAK 2, JAK 3 and tyrosine kinase 2 (TYK 2).²⁷ JAK 1 is commonly known for playing a role in mediating signals for a wide range of inflammatory disorders, while JAK 2 is known for mediating signals for a range of inflammatory cytokines that involve hematopoiesis.²⁷ JAK 3 activity, on the other hand, is restricted to lymphoid lineage and is known to be the cause of severe combined immunodeficiency syndrome due to a loss of function mutation in the JAK 3 molecule, whereas sudden gain of function mutations in JAKs act as oncogenes in a variety of hematologic malignancies and lymphoproliferative disorders such as cutaneous T cell lymphoma.^{5,27} STATs on the other hand consists of a family of transcription factors that act as downstream regulatory signals of JAKs and consist of seven members: STAT 1, STAT 2, STAT 3, STAT 4, STAT 5A, STAT 5B and STAT 6.²⁹

When ligands become bound to and engage with extracellular receptor components, JAK proteins become activated by phosphorylating its own tyrosine residue (autophosphorylation) in order to activate its kinase function. This in turn, phosphorylates the adjacent STAT component (transphosphorylation), which then dimerizes and activates STATs that will then translocate into the cell nucleus to promote direct regulation of gene expression through DNA transcription (Figure 1).^{6,27,28} Receptor subunits tend to be associated with a specific JAK; however, there are some that may be associated with more than one JAK molecule. As such, various combinations of JAKs may form a dimer on different cytokine receptors, with one of the seven STAT members, leading to downstream signaling.²⁷

A vast number of cytokines, mainly γ_c cytokines, have been discovered that signal through and activate the JAK-STAT pathway, including IL-15 and IFN y.⁶ Primarily, IL-15 signals through JAK 1/3, while IFN γ mainly signals through JAK 1/2.²⁷ This is further supported by C3H/HeJ mouse models used in the studying of JAK inhibitors, where it was found that CD8 + effector T cells produced IFN γ which signalled through JAK 1/2 intracellular pathways to produce IL-15 and IL-15r which further mediated activation of the pathway by binding to CD8 + T cell receptors; this in turn led to an increase in the production of IFN γ by CD8 + T cells through JAK 1/3 signaling pathways,⁷ With this in mind, it can be clearly determined that the JAK-STAT pathway plays a crucial role in mediating and maintaining the cytotoxic CD8+NKG2D+ T cell reaction that occurs in the pathogenesis of AA.⁶ Moreover, these observations seen in both human and C3H/HeJ mouse models have provided the rationale for the use of JAK inhibitors in treating AA.^{12,27,30}

Mechanism of Action and Role of JAKis

JAK inhibitors (JAKis) belong to a class of immunomodulatory drugs that, over the years have been extensively studied in the treatment of many inflammatory mediated conditions such as psoriasis, rheumatoid arthritis, atopic dermatitis, systemic lupus erythematosus and myelodysplastic disorders.⁶ More

Therapeutic Agent	Class	Modality	Studies	Efficacy	Adverse Effects
Steroids	Immuno- suppressant	-Topical - Intralesional -Systemic	Paediatric RCT; no RCT on intralesional steroids. ²⁴ Some studies included a Phase II clinical trial. ⁹	Collectively, there is no significant RCT evidence that suggests that steroids (topical/intralesional/ systemic) are beneficial in AA. ²⁴ However, appears to be the most effective treatment among children with new hair growth by 6–8 wks. ⁹	Topical: atrophy of the skin, depigmentation (mostly in darker individuals), telangiectasias. Systemic: acne, weight gain, hyperglycemia, adrenal suppression, etc.
Ciclosporine	Immuno- suppressant/ Immuno- modulator	-Topical - Intralesional -Systemic	No RCTs on systemic and intralesional ciclosporine use; few trials on topical ciclosporine use. ²⁴	No significant/ acceptable hair growth observed within a 6 to12 month period. ²⁴	Topical: Hyperkeratosis and folliculitis
Methotrexate	Immuno- suppressant/ Immuno- modulator	Systemic	No RCTs; Retrospective study. ²⁵	More than 50% regrowth within a 3-month period. ²⁵	Myelosuppression / pancytopenia, rash, acne, mucositis, nausea, diarrhea, hepatotoxicity, etc.
Azathioprine	Immuno- suppressant/ Immuno- modulator	Systemic	No RCTs; Prospective study. ²⁶	Limited benefit; no significant hair growth within a 6-month period. ²⁶	Myelosuppression / pancytopenia, rash, nausea, diarrhea, hepatotoxicity, etc.
Retinoids	Vitamin A derivative	Topical	No RCTs. ⁹	Limited benefit but use is common in children. ⁹	Skin irritation and dermatitis (bexarotene).
Minoxidil	Hair growth stimulant	Topical: gel or foam	Few RCTs. ²⁴	Limited benefit; no significant hair growth within a 6 to12 month period. No benefit after treatment is discontinued. ²⁴	Dermatitis, pruritis (gel more than foam); hair growth at distant, non- affected sites.
Contact immunotherapy: DPCP and SADBE	Immuno- therapy	Topical	Retrospective study in children; ⁹ one RCT. ²⁴	Up to 70% response rate within a 3-month period. ⁹	Blistering, erythema, urticaria, dermatitis, pruritus, depigmentation and lymphadenopathy/ edema.
Anthralin	Immuno- therapy	Topical	No RCTs. ²⁴	Modest benefit within a 3-month period; use common in children. ⁹	Staining of skin, hair, and clothing in brown colour.
PUVA	Phototherapy/ Immuno- suppressant	Topical: Psoralens by mouth and UVA exposure	Few RCTs. ²⁴	No improvement seen that was cosmetically acceptable. ²⁴	Blistering, erythema, dryness, dermatitis, pruritus, nausea, headache, etc.

Table I Therapeutic Options Used in Managing Alopecia Areata

Abbreviations: PUVA, psoralen and ultraviolet A; RCTs, randomized controlled trials; SADBE, squaric acid dibutyl ester; DPCP, diphenylcyclopropenone.

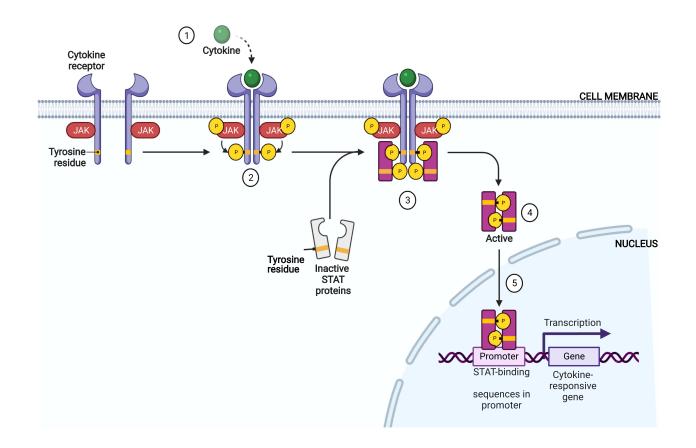


Figure I The Janus kinase signal transducer and activator of transcription (JAK-STAT) signaling pathway.

Notes: (1) Cytokine binding induces receptor dimerization. (2) Phosphorylation of tyrosine residues by JAK. (3) STATs recruited to phosphorylated cytokine receptor followed by JAK-mediated phosphorylation. (4) Dimerization of STATs. (5) Translocation to nucleus with activation of targeted gene transcription. Created with BioRender.com. Abbreviations: JAK, janus kinase; STAT, signal transducer and activator of transcription.

recently, JAKis have received growing attention from researchers and dermatologists in the treatment of AA. JAKis are often classified into first generation and newer JAKis (second generation). First-generation JAKis are less selective and therefore demonstrate activity against three or all four of the members of the JAK enzyme family (pan-JAK inhibitors), whereas newer JAKis display more selectivity for specific JAKs.²⁸ Although there have been many JAKis developed over the years, only three drugs (tofacitinib, ruxolitinib and baricitinib) have been reported in trials and research literature for the treatment of AA and at present, only two (tofacitinib and ruxolitinib) have been US FDA approved for clinical use for other autoimmune conditions (eg psoriasis, rheumatoid arthritis, myelodysplastic disorders).²⁷

The mechanism of action of JAK is in the treatment of AA has been highlighted in several studies. In general, JAK is act as antagonists to the members of the JAK enzyme family and thus, inhibits JAK phosphorylation thereby blocking the downstream regulatory signaling pathway that involves STAT activation (Figure 2).³¹ In AA, this mechanism helps stimulate and restore hair growth through several means which involve: (i) Terminating the T-cell mediated immune response on the hair follicle by blocking the downstream signaling of inflammatory mediators IFN γ and γ_c cytokines (IL-2, IL-4, IL-7, IL-9, IL-15, IL-21 and IL-23 (via TYK2)); (ii) Inhibiting the production of inflammatory T helper cells (Th17 cells, Th1 and Th2 differentiation cells); and (iii) Restoring the anagen phase of the hair follicle by promoting activation and/or stimulation of hair follicle stem cells.^{6,12,31}

Evidence on the Efficacy and Safety of JAKis Tofacitinib

Tofacitinib is the first of the first-generation JAK inhibitors. It exhibits greater selectivity for inhibiting JAK 1/3

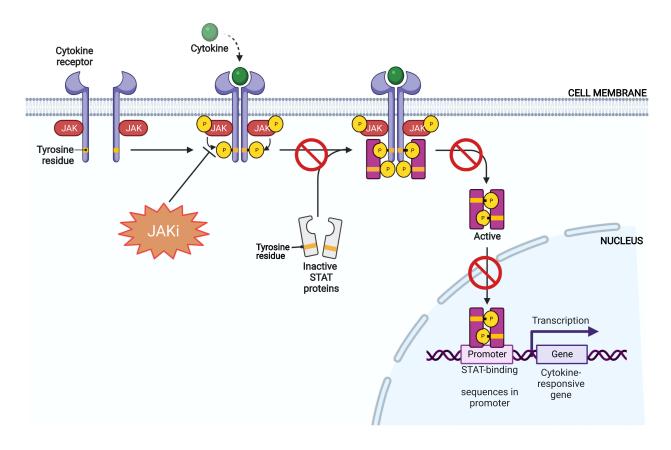


Figure 2 Role of JAKis in the JAK-STAT pathway.

Notes: JAKis inhibit the JAK-STAT pathway by blocking JAK phosphorylation and hence STAT activation, leading to a downregulation or termination of inflammatory mediators (eg IFN γ, cytokines, chemokines etc). *Created with BioRender.com*.

Abbreviations: JAKis, JAK inhibitors; JAK, janus kinase; STAT, signal transducer and activator of transcription.

dependent STAT over JAK 2, with very little to no effects on the TYK 2 pathway.⁶ Currently, it is US FDA approved for treatment of rheumatoid arthritis, but has been used as an off-label therapy for many inflammatory mediated dermatological conditions that include but are not limited to, vitiligo, psoriasis, dermatomyositis, etc.⁹ Tofacitinib inhibits the effects of many γ_c cytokines including IL-2, IL-4, IL-7, IL-15, IL-21 and IFN γ , by blocking the STAT phosphorylation induced by these inflammatory mediators, thereby disrupting the downstream signaling pathway of JAK 1/3 dependent γ_c receptors, as reported in both human and mice models.^{6,12}

Craiglow and King 2014, were the first to report on the efficacy of tofacitinib in a case report of a young male with AU and psoriasis who was treated with oral tofacitinib (15mg daily) for 8 months and achieved complete regrowth of hair on all affected areas after completion of therapy. Since then, there have been several publications of clinical research studies on the efficacy of tofacitinib (administered orally and topically) in treatment of AA and its variants (AU & AT), in both adult and pediatric patients (Table 2).^{32–58} Much of these studies highlighted in Table 2 are case reports/series, with a few open-label pilot studies and retrospective studies. These cases consist of patients diagnosed with AA and its variants that had either failed treatment with or were refractory to common conventional therapy such as corticosteroids.

In a most recent case report by Ferreira et al 2019, a 13-year-old female with AA was administered oral tofacitinib 5mg twice daily for 4 to 12 months. The patient had previously been treated with topical and systemic steroids (betamethasone and prednisone) and was refractory to treatment for >5 years.⁵⁶ Following this, she was started on methotrexate and cyclosporine (duration 9 and 4 months, respectively) but showed no response/ improvement.⁵⁶ Intralesional acetonide triamcinolone was

Study Authors & Year	Study Design	Patient Info. (No./Sex/Age)	Indication	JAKi Used	Outcome & F/U Data	Adverse Effects
(Craiglow & King, 2014) ³²	Case report	l patient; male; 25 years	-AU with plaque psoriasis	Oral tofacitinib (15mg daily: 10mg am and 5mg pm x 8 months)	 Full regrowth within 8 months. 	 None reported
(Anzengruber et al, 2016) ³³	Case report	l patient; male; 51 years	-AU	Oral tofacitinib (5mg BD with MTX I5mg/ week x 6 months)	 Some regrowth after 3 months; complete relapse after I month of treatment. 	 None reported
(Dhayalan & King, 2016) ³⁴	Case series	3 patients; 2 males and 1 female; 20–50 years	-AU & AA associated nail dystrophy	Oral tofacitinib (5mg BD x 5–6 months)	 2 patients achieved regrowth; I patient had no regrowth All patients had resolution of nail changes with 5–6 months. 	 None reported
(Ferreira et al, 2016) ³⁵	Case report	l patient; male; 38 years	-AU & AA associated nail dystrophy	Oral tofacitinib (5mg BD x 10 months)	 Full regrowth and resolution of nail changes by 10 months. Initial regrowth observed within 2 months. No cessation of drug; patient maintained on cur- rent dose. 	 None reported
(Gupta et al, 2016) ³⁶	Case series	2 patients; young males	-AU	Oral tofacitinib (5mg BD x 8 months)	 Full regrowth in both patients by 8 months with initial regrowth observed within 1 and 3 months. 	 Fatigue and viral infection (1)
(Jabbari et al, 2016) ³⁷	Open-label pilot study	l patient; female; 40 years	-AA	Oral tofacitinib (5mg BD x 4 months)	 Up to 94% regrowth after 2– 3 months with near complete regrowth at 4 months. Patient followed for 16 weeks after drug cessation; patchy hair loss noted at 8 weeks and near-complete hair loss at 18 weeks. 	 None reported
(Kennedy Crispin et al, 2016) ³⁸	Open-label, single-arm trial	66 patients; 31 males and 35 females; 19–65 years	-AA -AU -AT	Oral tofacitinib (5mg BD x 3 months)	 64% responded to treatment 32% of patients experienced at least ≥ 50% regrowth Patients followed for 3 months after drug cessation; all patients available for F/U experienced disease relapse within 8.5 weeks. 	 Limited to grade I & II infections: 25% of patients developed infections- URTI (11), UTI (2), paronychia (1), zoster (1), conjunctivitis (1), bronchitis (1), mononucleosis (1). Acne (5), headache (5), abdominal pain (5), fatigue (4), diarrhea (4), pruritus (2), folliculitis (2), numbness (2), nausea (1), cough (1), liver enzyme abnormality (1), weight gain (1)

Table 2 Clinical Research Studies of Tofacitinib in Alopecia Areata Treatment

Table 2 (Continued).

Study Authors & Year	Study Design	Patient Info. (No./Sex/Age)	Indication	JAKi Used	Outcome & F/U Data	Adverse Effects
(Bayart et al, 2017) ³⁹	Case series	6 patients; 2 males and 4 females; 3–17 years	-AA -AU -AT	 4 patients: Topical 2% tofacitinib (BD) 2 patients: Topical I– 2% ruxolitinib (BD) x 3–12 months 	 Data for tofacitinib treated patients only: Of the 4 patients treated, 2 patients had >80% regrowth (1 patient achieved near-complete regrowth at >95%), 1 patient achieved 20% regrowth of eyebrows I patient failed to respond to therapy. 	 Liver enzyme abnormality (1) Transient leukopenia (1) (Both patients treated with tofacitinib).
(Castelo- Soccio, 2017) ⁴⁰	Case series	8 patients; 12–19 years	-AU	Oral tofacitinib (5mg BD x 5–18 months)	 All patients experienced >50% regrowth by 5 months with initial regrowth observed within 3 months No patient achieved full regrowth by 18 months. No cessation of drug; patients continued with therapy. 	• None reported
(Craiglow et al, 2017) ⁴¹	Retrospective study	13 patients; 10 males and 3 females; 12–17 years	-AA -AU -AT	Oral tofacitinib (5mg BD x 2–16 months)	 9 patients achieved signifi- cant regrowth (> 70%); 1 patient experienced full regrowth by 5 months. 	 URTI (4) Headache (3) Liver enzyme abnormality (4)
(Erduran et al, 2017) ⁴²	Case report	l patient; female; 23 years	-AU	Oral tofacitinib (5mg BD x 2 months, then 15 mg daily:10mg am and 5mg pm x 4 months)	 Hair growth observed after 2 months of treatment with complete regrowth by 6 months. No cessation of treatment; patient continued on 15mg maintenance therapy for > 19 months (experienced hair loss after dose reduced to 10mg daily at 12 month). 	• Acneiform eruptions
(lbrahim et al, 2017) ⁴³	Retrospective study	13 patients; 1 male and 12 females; 20–70 years	-AA -AU -AT	Oral tofacitinib (5mg BD x 4–12 months)	 50–90% regrowth observed within I to 9 months with initial regrowth within 3months 2 patients stopped therapy after 3 months and relapsed within 2 weeks; I I patients continued treat- ment until full regrowth (12 months) 	 Liver enzyme abnormalities (2) Morbilliform eruption and peripheral edema (1)
(Kim & Kim, 2017) ⁴⁴	Case report	l patient; female; 28 years	-AU	Oral tofacitinib (5mg BD x 32 weeks)	 Hair growth occurred after 2 weeks with complete regrowth by 32 weeks. No AU recurrence observed after drug cessation 	 None reported

Table 2 (Continued).

Study Authors & Year	Study Design	Patient Info. (No./Sex/Age)	Indication	JAKi Used	Outcome & F/U Data	Adverse Effects
(Liu et al, 2017) ⁴⁵	Retrospective study	90 patients; 40 males and 50 females; 18–70 years	-AA -AU -AT	Oral tofacitinib ± prednisone (5mg BD + prednisone, 10 mg BD ± prednisone x 4–18 months)	 77% of patients achieved >50% regrowth; 56.9% of patients had intermediate to moderate regrowth, with 20% of patients achieving full regrowth (median 12 months) 23.1% of patients did not respond to therapy (median 7 months) Patients followed for 3 months after drug cessation; most patients available for F/U experienced disease relapse within 2–3 months. 	 Limited to grade I & II infections: 38.9% of patients developed infections- URTI (26), UTI (3), tonsillitis (2), conjunctivitis (1), zoster (1), bronchitis (1) Liver enzyme abnormalities (1) Transient leukopenia (1)
(Mrowietz et al, 2017) ⁴⁶	Case report	l patient; young female	-AU with plaque psoriasis and psoriatic arthritis	Oral tofacitinib (15mg the 10 mg daily x 9 months)	 Full regrowth by 6 months with significant regrowth within 4 weeks Resolution of psoriatic arthritis Plaque psoriasis refractory to treatment. 	• Herpes zoster
(Park et al, 2017) ⁴⁷	Retrospective study	32 patients; 16 males and 6 females; 18–54 years	-AA -AU -AT	Oral tofacitinib (5mg, 10mg BD, 20mg daily x 12 months)	 24 patients achieved 50- ≥90% regrowth within 7- 10 months. 8 patients failed to respond to therapy. All patients relapsed after drug cessation. 	 None reported
(Salman et al, 2017) ⁴⁸	Case report	l patient; male; 25 years	-AU & plaque psoriasis	Oral tofacitinib (5mg BD x 3 months)	 No regrowth observed despite total clearance of pla- que psoriasis at 3 months. 	 None reported
(Scheinberg et al, 2017) ⁴⁹	Case series	4 patients; 2 males and 2 females; 20–60 years	-AU	Oral tofacitinib (5mg BD)	 All patients achieved regrowth with initial regrowth observed within 6 weeks to 7 months. I patient achieved full regrowth at 6 months Hair growth persisted in I patient after dose reduced to 5mg daily. 	• None reported
(Strazzulla et al, 2017) ⁵⁰	Case report	l patient; young male	-AU	Oral tofacitinib (unspecified dose) with triamcinolone injections (2.5 mg/mL)	 Near-complete regrowth within 10 months 	 None reported

Table 2 (Continued).

Study Authors & Year	Study Design	Patient Info. (No./Sex/Age)	Indication	JAKi Used	Outcome & F/U Data	Adverse Effects
(Jabbari et al, 2018) ⁵¹	Open-label, single-arm trial	12 patients; 4 males and 8 females; 18–52 years	-AA -AU -AT	Oral tofacitinib (5mg BD then TID, 10mg BD, x 6–12 months)	 ≥90% regrowth in 11 patients, with 25% of patients showing 50% regrowth within 4 weeks I patient had no regrowth Patients followed for 6 months after drug cessation; 8 patients available for F/U (7 patients experienced disease relapse within 8 weeks which continued for the duration of F/U); 1 patient did not relapse. 	 Limited to grade I & II infections All patients developed infections- URTI (11), asymptomatic bacteriuria (2), conjunctivitis (1), acne (3)
(Liu et al, 2018) ⁵²	Open-label, single-center pilot study	10 patients; 6 males and 4 females; 19–58 years	-AA	Topical 2% tofacitinib (BD x 6 months)	 Regrowth occurred in 3 patients- I patient achieved 61% regrowth and remaining 2 achieved <35% regrowth. 7 patients had no regrowth 	 Scalp irritation (4) Folliculitis (1) Minimal total cholesterol increase (4)
(Patel et al, 2018) ⁵³	Case series	2 patients; males; 17 and 40 years	-AU	Oral tofacitinib (5mg BD, 10mg BD)	 Up to 90% regrowth; both patients experienced significant regrowth within 5 months of treatment. No cessation of treatment; drug maintained at current dose 	 None reported
(Shivanna et al, 2018) ⁵⁴	Case series	6 patients; 3 males and 3 females; 22–35 years	-AU -AT	Oral tofacitinib (5– 10mg BD x 3 to 6 months)	 Significant hair growth observed in all patients by the end of 3 months. Treatment continued until complete regrowth. Patients followed for 6 months after drug cessation; I patient had no hair loss within 4 months; 2 patients had a relapse within 2 and 4 months. 	• Acneiform eruptions (2)
(Akdogan et al, 2019) ⁵⁵	Retrospective study	9 patients; 4 males and 5 females; 13–33 years	-AA -AU	Oral tofacitinib (5mg BD x 6 months)	 Of the 9 patients, 5 patients responded to therapy: 3 patients were moderate responders (6–50% reduction in SALT), 1 patient was intermediate (51–90% reduction in SALT) and 1 patient was a complete responder (>90% reduction in SALT). 4 patients did not respond. 	 Limited to grade I & II infections.

Study Authors & Year	Study Design	Patient Info. (No./Sex/Age)	Indication	JAKi Used	Outcome & F/U Data	Adverse Effects
(Ferreira et al, 2019) ⁵⁶	Case report	I patient; female; 13 years	-AA	Oral tofacitinib (5mg BD x 4–12 months)	 Significant hair growth observed at 4 months with full regrowth after 12 months. No cessation of treatment; patient continued on 5mg maintenance therapy for >19 months. 	 None reported
(Jerjen et al, 2021) ⁵⁷	Retrospective study	14 patients; 7 males and 7 females; 7–11 years	-AA -AU -AT	Oral tofacitinib (between 2.5 and 7.5mg daily (mean 4.1mg)); increased every 1–3 months (mean max daily dose of 7.8mg) x 7–38 months	 Of the 14 patients 11 completed >6 months treatment and were included in the analysis. 9 out of 11 patients were responders (>26–50% improvement in SALT) and 2 were nonresponders; 7 achieved >50% improve- ment in SALT and 3 patients had complete regrowth (SALT score of 0). 7 patients continued with therapy. 	 Mild and transient: Liver enzyme abnormalities (5), URTI (3) transient leukopenia (5), elevated urea (3), hyperka- lemia (3), elevated trigly- cerides (1) hypercholesterolemia (3), low protein (1) asympto- matic hyperbilirubinemia (1).
(Dincer Rota et al, 2021) ⁵⁸	Retrospective study	13 patients; 5 males and 8 females; 17–49 years	-AA -AU	Oral Tofacitinib (10 mg daily x 3–15 months (average 12 months))	 Of the 13 patients 8 had improvement in SALT with 7 out of 8 achieving >76% improvement; 1 patient had <10% improvement; 5 patients did not respond to therapy. Patients were available for F/U for 13–21 months; 5 patients relapsed within 2 and 12 months. 	 Acneiform lesions (9) Liver enzyme abnormalities (2)

Table 2 (Continued).

Abbreviations: JAKi, janus kinase inhibitor; F/U, follow up; AA, alopecia areata; AU, alopecia universalis; AT, alopecia totalis; BD, twice daily; TID, three times daily; URTI, upper respiratory tract infection; UTI, urinary tract infection; SALT, severity of alopecia tool.

later administered and showed good response, however, later resulted in painful, severe alopecia. After >5 years of failed treatment with common conventional therapy, tofacitinib was administered and the patient was followed up every 4 weeks.⁵⁶ Significant hair growth was observed at 4 months with complete regrowth after 12 months therapy.⁵⁶

The largest retrospective study consisting of 90 patients as conducted by Liu et al 2017, demonstrated >50% regrowth in 77% of patients (aged 18–70 years) diagnosed with AA, AU or AT. Patients were administered oral tofacitinib 5mg or 10mg twice daily with or without prednisone and were evaluated using the Severity of Alopecia Tool (SALT) scoring system; first at baseline then at various treatment intervals for a duration of 4–18 months.⁴⁵ Patients who responded to therapy were

identified as intermediate responders, moderate responders and complete responders, whereas those who did not respond were identified as nonresponders. Of the 90 patients, 65 were identified as potential responders based on factors that included, localized disease with AA and the duration of disease with AU or AT being ≤ 10 years.⁴⁵ As such, 13 patients (20%) were complete responders and had full regrowth with a >90% reduction in SALT, whereas 37 patients (56.9%) were intermediate to moderate responders (25 patients intermediate and 12 patients moderate) with a 51%-90% reduction in SALT for intermediate responders and a 6%-50% reduction in SALT for moderate responders.⁴⁵ Additionally, 15 patients (23.1%) were identified as nonresponders with a $\leq 5\%$ reduction in SALT.⁴⁵ Other retrospective studies measuring oral tofacitinib administered at \geq 10mg daily demonstrated similar results with a significant reduction in SALT and at least 50%-90% (median 70%) regrowth in its patients.^{41,43,47}

Jabbari et al 2018, in their recent open-label single-arm trial consisting of 12 patients (18–52 years) diagnosed with moderate to severe AA or its variants, demonstrated that administering oral tofacitinib \geq 10mg daily for 6–12 months resulted in \geq 90% regrowth. Patients were first given tofacitinib 5mg twice daily for 1 month and if \geq 50% regrowth from baseline was not achieved during this time, the dosage was gradually increased to 15mg then 20 mg daily.⁵¹ Of the 12 patients, 1 patient did not complete treatment and had no regrowth; 8 patients had \geq 50% regrowth and 3 had \leq 50% regrowth from baseline.⁵¹

Very limited studies have addressed the efficacy of topical tofacitinib.^{39,52} In an open-label single-arm pilot study, Liu et al 2018 assessed the efficacy and safety of topical tofacitinib in 10 patients (19–58 years) with AA. Patients were treated with 2% tofacitinib twice daily for 6 months and were assessed periodically for regrowth using SALT.⁵² Regrowth occurred in 3 patients (significant regrowth in 1 patient at 61% and partial regrowth in 2 patients at 35%) while 7 patients had no regrowth.⁵²

Generally, tofacitinib (oral and topical) appears to be well tolerated, with most studies reporting no adverse effects in patients (Table 2).^{32–35,37,40,44,47–50,53,56} For studies that have reported adverse effects of tofacitinib, these have been transient and were limited to grade I

& II infections (URTI, UTI, zoster, folliculitis, conjunctivitis, etc), with rarer occurrences of liver enzyme abnormalities, thrombocytopenia, neutropenia, hypercholesterolemia and acneiform eruptions.^{38,39,41,43,45,51,52,57,58} For some patients, discontinuation of tofacitinib resulted in a reversal of adverse effects, whereas for others these resolved spontaneously. No serious adverse effects such as malignancy or hospitalization were reported.

Ruxolitinib

Ruxolitinib is another first-generation JAK inhibitor that is US FDA approved for treatment in myelofibrosis and is currently being tested for used in many other dermatologic conditions.⁹ Ruxolitinib selectively inhibits JAK1/2 and to a lesser extent TYK2, and has also demonstrated antiinflammatory effects by means of disrupting IL-17 signaling.⁶ This has been supported in mice models where ruxolitinib administration was able to reduce cytokine induced phosphorylation of STAT, as well as the levels of circulating inflammatory cytokines (IL-6 and TNF α).⁶ Multiple case reports have been published to examine the use of ruxolitinib both oral and topical in AA (Table 3).^{12,39,59–72} Additionally, there has been one openlabel, single-arm trial by Mackay-Wiggan et al, 2016. In this trial, 20mg ruxolitinib was administered twice daily for 3–6 months to 12 patients with moderate to severe AA. Of the 12 patients, 9 (75%) had \geq 50% regrowth at 12 weeks and at the end of 6 months, 7 of these 9 patients had \geq 95% regrowth (all 9 patients had a 92% reduction in SALT).⁶³

Most recently, a case report by Fetter et al 2020, demonstrated the effects of ruxolitinib in a female patient in her 40s with longstanding AU and recalcitrant dermatomyositis. The patient had AA which subsequently progressed to AU for >20 years and was previously treated with a number of immunosuppressive drugs (methotrexate, prednisone, azathioprine, cyclosporine A, etc) for over a period of 10 years without any improvement.⁶⁹ Ruxolitinib was initiated at 20–30mg daily for 4 months and the patient had complete regrowth at the end of this 4-month period, with a resolution of dermatomyositis symptoms.⁶⁹ Other case reports have exhibited similar findings of oral ruxolitinib.^{12,59,60,62,67,70}

Topical efficacy of ruxolitinib has not been well studied and has only been demonstrated in a few studies (Table 3).^{39,61,64,68} Craiglow et al 2016 reported a case of a young female with AU treated with topical 0.6% ruxolitinib, twice daily for 12 weeks. At the end of 12 weeks, the patient had near-complete regrowth of eyebrows with 10% scalp hair regrowth.⁶¹ Similar unfavourable efficacy of topical ruxolitinib was reported in Bayart et al 2017 (topical 1–2% ruxolitinib twice daily for 12 months) and Deeb and Beach 2017 (topical 0.6% ruxolitinib twice daily for 6 months). In both case reports, patients failed to respond to treatment.^{39,64}

Similar to tofacitinib, ruxolitinib is well tolerated in AA patients, with only a few studies reporting grade I and II infections and other mild symptoms (Table 3).^{63,65,67,68} No reports of hospitalization or malignancy related to ruxolitinib have been reported in the literature.

Baricitinib

As one of the newer first-generation JAK inhibitors, baricitinib selectively inhibits JAK1/2 and to lesser extent, JAK 3. It is a very potent inhibitor that does so by disrupting JAK functioning and signaling initiated by inflammatory cytokines IL-6 and IL-23.⁶ Baricitinib is currently being evaluated in Phase II and Phase III trials for many inflammatory dermatoses including atopic dermatitis, rheumatoid arthritis,

Study Authors & Year	Study Design	Patient Info. (No./Sex/ Age)	Indication	JAKi Used	Outcome & F/U Data	Adverse Effects
(Xing et al, 2014) ¹²	Case series	3 patients; 2males and 1 female; ages not reported	-AA	Oral ruxolitinib (20mg BD x 3–6 months)	 Near-complete regrowth occurred for all patients by 3– 5 months, with earliest regrowth observed at 12 weeks. 	• None reported
(Higgins et al, 2015) ⁵⁹	Case report	l patient; female; 28 years	-AA & CMC	Oral ruxolitinib (20mg BD x 6 months)	 Full regrowth by 12 weeks, with earliest regrowth observed at 2 weeks; sustained 6 months after cessation of therapy. Resolution of CMC symptoms 	• None reported
(Pieri et al, 2015) ⁶⁰	Case report	l patient; female; 24 years	-AU & ET	Oral ruxolitinib (15mg BD x 10 months)	 Full regrowth at 10 months; sustained >50 months after therapy 	 None reported
(Craiglow et al, 2016) ⁶¹	Case report	l patient; female; late teens	-AU	Topical 0.6% ruxolitinib (BD) x 3 months	 10% regrowth of scalp hair with near-complete regrowth of eyebrows at 12 weeks 	 None reported
(Harris et al, 2016) ⁶²	Case report	l patient; male; 35 years	-AA with vitiligo	Oral ruxolitinib (20mg BD x 6 months)	 85% regrowth at 12 weeks, with initial regrowth observed at 4 weeks; near-complete regrowth at 20 weeks Regrowth maintained 3 months after cessation of therapy 51% repigmentation at 20 weeks; relapsed after drug cessation 	• None reported
(Mackay- Wiggan et al, 2016) ⁶³	Open-label, single-arm trial	12 patients; patient characteristics not reported	-AA	Oral ruxolitinib (20mg BD x 3–6 months)	 9 (75%) out of 12 patients responded with ≥50% regrowth at 12 weeks; 7 of 9 patients had >95% regrowth at 6 months 3 patients did not respond All responders had a 92% reduction in SALT Patients followed 3 months after drug cessation: all patients relapsed (3 had major hair loss by week 12 and 6 had increased shedding without major hair loss) 	 Limited to grade I & II infections: URTI (7), UTI (1), minor bacterial skin infections (1), mild pneumonia (1) Conjunctival hemorrhage (1) Mild G.I symptoms (1) Anemia (1)

Table 3 Clinical Research Studies of Ruxolitinib & Baricitinib in Alopecia Areata Treatment

Table 3 (Continued).

Study Authors & Year	Study Design	Patient Info. (No./Sex/ Age)	Indication	JAKi Used	Outcome & F/U Data	Adverse Effects
(Bayart et al, 2017) ³⁹	Case series	6 patients; 2 males and 4 females; 3–17 years	-AA -AU -AT	-2 patients: Topical I- 2% ruxolitinib (BD) -4 patients: Topical 2% tofacitinib (BD) x 3-12 months	 Data for ruxolitinib treated patients only: Of the 2 patients treated, I patient had >75% regrowth of upper eyelash hair with no regrowth of eyebrows I patient failed to respond to therapy 	 None reported (Both patients treated with ruxolitinib).
(Deeb & Beach, 2017) ⁶⁴	Case report	l patient; male; 66 years	-AA	Topical 0.6% ruxolitinib (BD) x 3–6 months	 Patient did not respond to treatment 	 None reported
(Vandiver et al, 2017) ⁶⁵	Case series	2 patients; females; 45 and 59 years	-AA -AU -AT	Oral ruxolitinib (10mg- 30mg daily x 13–14 months)	 Both patients responded to therapy I patient had complete regrowth at 8 months with initial regrowth observed at 2 months (regrowth sustained at 14 months) I patient had near-complete regrowth at 6 months with initial regrowth observed at 2 months (regrowth sustained at 13 months) 	 Weight gain (1) Bloating and bruising (1)
(Ramot & Zlotogorski, 2018) ⁶⁶	Case report	l patient; male; 33 years	-AU	Oral ruxolitinib (20mg BD x 12 months)	 50% regrowth of scalp hair and complete regrowth of beard by 4 months; sustained regrowth after 12 months treatment 	• None reported
(Liu & King, 2019) ⁶⁷	Case series	8 patients; 4 males and 4 females; 14–57 years	-AA -AU -AT	Oral ruxolitinib (10mg- 25mg BD x 5–31 months)	 5 out of 8 patients responded to therapy; all 5 patients had complete or near-complete regrowth (98% reduction in SALT) 3 patients were nonresponders 	 URTI Weight gain Acne Bruising Fatigue (all reported as mild)

Table 3 (Continued).

Study Authors & Year	Study Design	Patient Info. (No./Sex/ Age)	Indication	JAKi Used	Outcome & F/U Data	Adverse Effects
(Olsen et al, 2019) ⁶⁸	2-Part double-blind, randomized, vehicle- controlled phase 2 study: Part A- open label Part B- double-blind, vehicle- controlled	Part A: 12 patients; 25–67 years Part B: 78 patients (39 assigned to ruxolitinib and 39 to vehicle); 18–69 years	-AA	Part A & B: Topical 1.5% ruxolitinib (BD) x 24 weeks (both part A & B had a 24- week extension period)	 Part A: >50% SALT was achieved in 3 patients at week 12, 4 at week 18 and 6 at week 24; 9 patients (5 with <50% and 4 with >50% SALT) continued to the extension period (3 maintained >50% SALT, 1 achieved 90% SALT & 5 did not achieve 50% SALT). Part B: out of 39 patients that received ruxolitinib therapy 2 achieved >50% SALT at week 8, 3 at 12 weeks and 5 at 18–24 weeks. 63 patients continued to the extension period, but many dropped out of the study. No statistical significance between the ruxolitinib and vehicle therapy was noted. 	 Local skin reactions: pruritus, folliculitis, dry skin (2 patients in Part A and 5 in Part B)
(Fetter et al, 2020) ⁶⁹	Case report	l patient; female; 40s	-AU & recalcitrant DM	Oral ruxolitinib (20–30 mg daily x 4 months)	 Complete regrowth by 4 months; sustained regrowth with therapy Resolution of DM symptoms 	 None reported
(Peterson & Vesely, 2020) ⁷⁰	Case report	l patient; male; 9 years	-AT	Oral ruxolitinib (10mg- 20mg BD x 12 months)	 Near-complete regrowth by 4 months, with complete regrowth by 12 months Sustained regrowth after 12 months therapy (ruxolitinib tapered to 10mg daily) 	 None reported
Baricitinib Stu	ıdies					
(Jabbari et al, 2015) ⁷¹	Case report	l patient; male; 17 years	-AA with CANDLE syndrome	Oral baricitinib (7mg am and 4mg pm x 9 months)	 Complete regrowth by 9 months with initial regrowth observed at 3 months Sustained regrowth after ther- apy (patient continued treatment) Resolution of CANDLE syn- drome symptoms 	• None reported
(Olamiju et al, 2019) ⁷²	Case report	l patient; female; 60s	-AA	Oral baricitinib (4mg daily x 8 months)	 Near-complete regrowth (97%) by 8 months Sustained regrowth 13 months after therapy (patient continued treatment) 	 None reported

Abbreviations: JAKi, janus kinase inhibitor; F/U, follow up; BD, twice daily; AA, alopecia areata; AU, alopecia universalis; AT, alopecia totalis; CMC, chronic mucocutaneous candidiasis; ET, essential thrombocytopenia; SALT, severity of alopecia tool; URTI, upper respiratory tract infection; UTI, urinary tract infection; G.I, gastrointestinal; DM, dermatomyositis; CANDLE, chronic atypical neutrophilic dermatosis with lipodystrophy and elevated temperature

psoriasis, and myelofibrosis.⁹ In the treatment of AA, it is not well studied. As such, only 2 case reports have been published that examine the efficacy of baricitinib (oral administration only) in AA (Table 3).^{71,72}

The first case report, as published by Jabbari et al 2015, reported a case of a young male with AA and chronic atypical neutrophilic dermatosis with lipodystrophy and elevated temperature (CANDLE) syndrome, who was administered baricitinib 11mg daily (7mg in the morning and 4mg in the evening) for a duration of 9 months. The patient had complete regrowth by 9 months, with a resolution of CANDLE syndrome symptoms.⁷¹ Similar findings were observed in the most recent case report by Olamiju et al 2019, which reported a case of an elderly female with AA that was administered baricitinib 4mg daily for 8 months at the end of the 8-month period, the patient had near-complete regrowth at 97%.⁷²

In both case reports no adverse effects were reported following baricitinib use. This is supported by a study conducted by Shi et al 2011, that demonstrated that oral administration of baricitinib in healthy volunteers either produced no adverse effects or mild adverse effects (reduced reticulocyte count and neutropenia) in a minority of subjects.⁷³ No malignancy reports related to baricitinib use has been identified. In fact, based on a study that assessed carcinogenicity in mice models, baricitinib was found to be non-carcinogenic.⁷⁴

In summary, JAK inhibitors appear to be effective in the treatment of AA and its variants. Moreover, oral JAK inhibitors demonstrated a higher efficacy in treatment of AA than topical JAK inhibitors. Phan and Sebaratnam, 2019, in their systematic review and meta-analysis of JAK inhibitors for treatment of AA have supported this finding, as they demonstrated that regardless of the specific agent of oral JAK inhibitor used in treatment, it was associated with a 4 times higher odds of achieving a response, when compared to patients who were administered topical JAK inhibitors.⁷⁵ Additionally, treatment with oral JAK inhibitors was also associated with a 7 times higher odds of achieving a complete response (50%-100% regrowth) than a partial response (5%-50% regrowth) when compared to topical therapy; with no difference between the specific agents used (ie) tofacitinib, ruxolitinib and baricitinib.⁷⁵ The study also found that factors such as sex, age, previous failure of systemic therapies, as well as the duration of AA did not appear to influence a patient's response to therapy.⁷⁵ As such, the method of JAK inhibitor administration was seen as the major factor that determined

response to treatment, with oral JAK inhibitors having the best outcomes.⁷⁵ Overall, treatment of AA with JAK inhibitors appears to be safe and well tolerated with a low complication rate. The most common adverse effects were limited to grade I and II infections, which mostly presented as upper respiratory tract infections and urinary tract infections. Few cases identified mild, transient laboratory changes in liver transaminases, cholesterol levels and blood cell count, but the prevalence of such was low and completely reversible.^{38,45,51,52,75} No significant difference in complication profiles were established between topical and oral JAK inhibitors, and no new malignancies or hospitalizations associated with JAK inhibitor use for AA were reported. This, however, may be due to the limited safety data available for JAK inhibitor use in AA.

Currently, there are ongoing human clinical trials, including double-blind placebo-controlled phase II and III trials that are evaluating the efficacy and safety of JAK inhibitors (first generation and second generation/ newer JAKis), highlighting the growing importance and interest in these drugs for the treatment of AA and its variants (Table 4).

Sustainability of Treatment Results with JAKis and Affordability for Long-Term Treatment

The sustainability of treatment results with JAK inhibitors used for AA management has been an area of concern for many dermatologists and researchers alike. In clinical practice, it has been observed that AA frequently recurs after cessation of JAK inhibitor therapy. Liu et al 2017, in their retrospective study where 90 patients were treated with oral tofacitinib (as previously mentioned in this review), followed patients for 3 months after drug cessation and found that all patients who previously responded to treatment, experienced disease relapse within 2-3 months. Jabbari et al 2018, followed 11 patients for 6 months after drug cessation, who had achieved ≥90% regrowth on oral tofacitinib in an open label single-arm trial. Of the 11 patients, 8 were available for follow-up and disease relapse occurred in 7 patients within 8 weeks after drug cessation (1 patient did not relapse).⁵¹ Similarly, in another open label single-arm trial by Mackay-Wiggan et al 2016, all patients who responded to oral ruxolitinib treatment were followed for 3 months duration after drug cessation and were all found to have relapsed within 12 weeks. Many other studies have reported similar findings

Trial Number	Indication	JAKi	Target & Administration	Study Design & Phase	Recruitment Status	Start Date
NCT03800979	-AA (extensive and recalcitrant)	Tofacitinib	-JAK 1/3 -Oral	-Cohort study -Phase IV	Completed	Jan 11, 2019
NCT04246372	-AA & other immune skin conditions in Down Syndrome	Tofacitinib	-JAK1/3 -Oral	-Open label -Phase II	Recruiting	Jan 29, 2020
NCT03732807	-AA	PF- 06651600	-JAK3 -Oral	-Randomized, double-blind, placebo-controlled -Phase IIB/III	Active, not recruiting	Nov 7, 2018
NCT04006457	-AA	PF- 06651600	-JAK3 -Oral	-Open label -Phase III	Recruiting	Jul 5, 2019
NCT03898479	-AA (moderate to severe)	CTP-543	-JAK1/2 -Oral	-Open label -Phase II	Active, not recruiting	Apr 2, 2019
NCT03941548	-AA (moderate to severe)	CTP-543	-JAK 1/2 -Oral	-Randomized Parallel- group -Phase II	Completed	May 8, 2019

Table 4 Current Clinical Trials Listed for AA Treatment- First Generation & Newer JAKis, According to http://clinicaltrials.gov

Abbreviations: AA, alopecia areata; JAKi/s, janus kinase inhibitor/s.

of disease relapse in patients after cessation of JAK inhibitor therapy, including the systematic review by Phan and Sebaratnam 2019, which found that not only were all cases of relapse in the systematic review associated with drug cessation, but there was an average of 2.7 months by which this relapse occurred.^{38,43,47,75,76}

Additionally, for some patients, higher oral doses of JAK inhibitors (≥20mg daily) is required to achieve initial as well as complete regrowth and once this regrowth has occurred, it has been suggested that patients should be continued on maintenance doses to maintain hair growth, which may potentially be reduced over time.²⁷ Several case reports have demonstrated this aspect. 42,56,70 Erduran et al 2017 reported a case of a young female patient treated with oral tofacitinib (initially 10mg daily, then increased to 15mg daily) who achieved complete regrowth by 6 months. Treatment was initially maintained on 15mg daily up until the 12th month but was then tapered to 10mg daily. This resulted in patchy hair loss and the dose was increased again to 15mg daily. Since then, the patient has been on 15mg daily maintenance therapy for >19 months without relapse.⁴² Based on these findings, it can be determined that in order for patients to maintain full regrowth after JAK inhibitor use, patients will most likely be required to continue the therapy indefinitely. This raises concerns as the long-term effects of oral JAK inhibitor agents taken indefinitely for AA treatment are not known. NK cells and interferons play a very important role in tumor surveillance and any drugs that block the actions of these cells, such as JAK inhibitors, may increase the risk of malignancies. Thus, transitioning patients to a topical regiment after achieving complete regrowth may be more ideal as well as sustainable and may mitigate any systemic effects and severe potential adverse effects such as cancer. However, presently there are no topical JAK inhibitors that have yielded results that were found to be satisfactory in any clinical studies.^{39,52,61,64}

Another area of concern is the cost or affordability of JAK inhibitor use for long-term treatment of AA; with some studies highlighting that the extremely high cost of JAK inhibitors act as a barrier for their use in AA.⁹ As such, Gilhar et al 2019, in their analysis of JAK inhibitors and AA, calls for a "sober risk-cost-benefit evaluation," since the nature of the disease is non-life-threatening and are "prohibitively expensive."77 inhibitors JAK GlobalData Healthcare, a fully integrated database for the commercial analysis and research of biotechnology, pharmaceutical and medical device products, has conducted primary research on the annual cost of therapy (ACOT) that JAK inhibitors pose to patients. In their report, it was demonstrated that particularly in the USA, the ACOT of JAK inhibitors if approved for AA treatment, will be approximately \$50,000 per patient.⁷⁸ This,

when compared to other treatment options such as cyclosporine, which is currently the most expensive immunomodulatory drug for AA with an ACOT of \$1400 per patient, is very high.⁷⁸ Other pharmaceutical companies within the USA have priced the ACOT of JAK inhibitors similarly at around \$59,000 per patient.⁷⁹ With this in mind, long-term treatment with JAK inhibitors may become financially burdensome for patients and, thus, for future reference, physicians should be aware of the possible financial ramifications that patients may incur once JAK inhibitor therapy is recommended. As a means of circumventing such, broader financial coverage for treatment of this psychoemotional disease is warranted and should be adequately explored by various financial institutions and payers such as healthcare insurance companies.

A Comment on JAKis as the Drug of Choice for AA

AA is a complex autoimmune disease with a multifactorial etiology that has a severe negative impact on a patient's psychoemotional well-being as well as their quality of life, and accounts for a significant global disease burden. With greater knowledge and a better understanding into the pathomechanism of AA, the emergence of JAK inhibitor use for AA treatment is in fact very promising and serves as an effective and viable strategy in treating this debilitating disease. In this review, oral and topical formulation of JAK inhibitors tofacitinib, ruxolitinib and baricitinib, were studied in the treatment of AA and its variants. A growing body of scientific publications, mostly in the form of case reports, has demonstrated exceptional efficacy of oral formulations of JAK inhibitors, particularly with tofacitinib and ruxolitinib in treating moderate to severe, as well as refractory or recalcitrant cases of AA; but identifies unfavourable efficacy for topical formulations. Moreover, it was demonstrated that these patients would need to maintain JAK inhibitor treatment in order to maintain hair regrowth. In addition to these, reports also identified adverse effects that were not severe, but mostly mild and transient. Further studies are also required for a better understanding of the efficacy and safety of baricitinib, as the data available is not sufficient to draw conclusions regarding such.

In spite of this, the data suggests that JAK inhibitors may represent the drug of choice for AA, as it has revolutionized the therapeutic outcome of this devastating disease. However, present findings are limited by a few constraints, as much of the evidence available is in the form of case reports/series and uncontrolled trials, which are of all low-quality evidence. These findings, therefore, require confirmation from further studies such as large cohort, well-controlled prospective studies with adequate follow-up and evaluation that will yield higher quality evidence in determining the long-standing efficacy, sustainability of treatment results, long-term safety, as well as cost-effectiveness of treating AA with JAK inhibitors. Thus, until data from more scientifically robust studies are available to assess the risk-benefit ratio more adequately, these current concerns/issues will continue to act as barriers to the use of JAK inhibitors for AA treatment.

Finally, one may argue that it may not be very viable to reduce the treatment of AA to one drug class, as not only may the treatment of AA become very complex and sophisticated overtime, but some patients may achieve remission rates at the same efficacy level of JAK inhibitors with the use of common conventional therapeutic options, which may prove to be less of a financial burden to patients. The current evidence, however, is very promising with regard to the efficacy and safety of JAK inhibitors in the treatment of AA and its variants, and therefore, could be a great addition to dermatologists' current management strategy, particularly in those cases that are not responsive to standard therapeutic options.

Conclusion

Evidence on the use of JAK inhibitors that target the JAK-STAT pathway in the treatment of AA is still growing. Although existing results show a lot of promise and bring excitement to the field of dermatology, further studies that yield higher quality evidence are needed, to ensure that the safety and efficacy of these agents have met the optimal requirements for their use in clinical practice.

Abbreviations

AA, alopecia areata; ACOT, annual cost of therapy; APCs, antigen presenting cells; AT, alopecia totalis; AU, Alopecia Universalis; DALYs, disability adjusted life years; DPCP, diphenylcyclopropenone; GAD, Generalized Anxiety Disorder; HLA, human leukocyte antigen; IFN, interferon; IL/s, interleukin/s; JAK, janus kinase; JAKis, JAK inhibitors; JAK-STAT, janus kinase, signal transducer and activator of transcription; MDD, Major Depressive Disorder; MHC, major histocompatibility complex; MIF, migratory inhibitory factor; NK cells, natural killer cells; PD-LI, programmed cell death protein I ligand; QoL, quality of life; RCT/s, randomized controlled trial/s; SADBE, squaric acid dibutyl ester; SALT, severity of alopecia areata tool; SLE, Systemic Lupus Erythematosus; TGF, tissue growth factor; Tregs, regulatory T cells; TYK 2, tyrosine kinase 2; ULBP3, UL-16, binding protein 3; URTI, upper respiratory tract infection; US FDA, United States Food and Drugs Administration; UTI, urinary tract infection.

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