ORIGINAL ARTICLE

Efficacy and Safety of ARRY-371797 in *LMNA*-Related Dilated Cardiomyopathy: A Phase 2 Study

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BACKGROUND: Lamin A/C gene (*LMNA*)-related dilated cardiomyopathy is a serious and life-threatening condition with a high unmet medical need. This phase 2 study assessed the effects of the oral selective p38 mitogen-activated protein kinase inhibitor ARRY-371797 on functional capacity and cardiac function in patients with *LMNA*-related dilated cardiomyopathy.

METHODS: Patients with *LMNA*-related dilated cardiomyopathy in New York Heart Association class II–IIIA, on background heart failure treatment, received ARRY-371797 100 or 400 mg twice daily for 48 weeks. The primary end point was change from baseline in the 6-minute walk test distance at 12 weeks. Secondary end points included changes over time in 6-minute walk test distance, NT-proBNP (N-terminal pro-B-type natriuretic peptide) concentration, left ventricular ejection fraction, and quality-of-life scores on the Kansas City Cardiomyopathy Questionnaire. Data from the 2 dose groups were combined.

RESULTS: Twelve patients were enrolled; median (minimum, maximum) 6-minute walk test distance at baseline was 314 (246, 412) m. At week 12, the mean (80% CI) increase from baseline in 6-minute walk test distance was 69 (39, 100) m (median, 47 m). Median NT-proBNP concentration declined from 1409 pg/mL at baseline to 848 pg/mL at week 12. Mean left ventricular ejection fraction was stable at week 12. There was a trend toward improvement in Kansas City Cardiomyopathy Questionnaire Overall and Clinical Summary scores at week 12. No clinically significant drug-related safety concerns were identified.

CONCLUSIONS: ARRY-371797 was well tolerated and resulted in potential increases in functional capacity and lower concentrations of cardiac biomarker NT-proBNP in patients with *LMNA*-related dilated cardiomyopathy.

REGISTRATION: URL: https://clinicaltrials.gov; Unique identifier: NCT02057341.

Key Words: clinical trial = lamin A/C = LMNA-related dilated cardiomyopathy = p38 MAPK

There have been considerable advances in our understanding of the molecular basis of some forms of heart failure (HF), yet there are still very few mechanism-specific therapies for any forms of this morbid syndrome. Family studies and genetic analyses have identified the causal mechanisms underlying multiple different forms of dilated cardiomyopathy (DCM), including one form caused by mutations in the lamin A/C gene (*LMNA*).¹ Estimates of the prevalence of DCM from genetic causes vary depending on the underlying patient population and methodology used in registry studies. Population-based studies indicate that DCM may occur in \approx 1:250 to 1:400 patients.^{2,3} Reports also suggest that \approx 6% of DCM cases are potentially attributable to mutations in the *LMNA* gene,² which results in a particularly penetrant form of HF with an initial clinical course that

For Sources of Funding and Disclosures, see page 18.

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Nonstandard Abbreviations and Acronyms

| 6MWT | 6-minute walk test |
|-----------|---|
| AE | adverse event |
| BID | twice daily |
| DCM | dilated cardiomyopathy |
| HF | heart failure |
| LMNA | lamin A/C gene |
| MAPK | mitogen-activated protein kinase |
| NT-proBNP | N-terminal pro-B-type natriuretic peptide |

manifests as conduction system disease or arrhythmias in early to mid-adulthood, and frequently, early mortality due to sudden cardiac death.⁴ *LMNA*-related DCM is characterized by a high burden of ventricular arrhythmia⁵ and is distinguished from other cardiomyopathies by having an indication for early implantable cardioverter-defibrillator implantation.^{4,6,7} Over time, most patients develop DCM and progressive HF.^{1,8,9} While available studies are small, and incompletely controlled for relatedness, they do suggest that *LMNA*-related DCM may be associated with higher levels of morbidity than other forms of DCM.^{2,4,9}

Although the precise mechanism of LMNA-related DCM has yet to be elucidated, identification of causal mutations enables the creation of mechanistically faithful animal models that reproduce components of the pathophysiology along the entire disease course.¹⁰ In such animal studies, loss of functional lamin proteins produces strong cellular stress signals that activate the p38 mitogen-activated protein kinase (MAPK) pathway (Figure 1).^{11–13} Furthermore, p38 MAPK pathway activation has also been demonstrated in biopsies from the hearts of adult patients with LMNA-related DCM.¹¹ Downstream consequences of p38 MAPK activation include enhanced cardiomyocyte apoptosis, cardiomyocyte hypertrophy, decreased contractility, and increased expression of brain natriuretic peptide.14-17 ARRY-371797 (PF-07265803) is a selective, oral, small-molecule inhibitor of the p38 MAPK pathway. In a validated animal model of LMNArelated DCM, ARRY-371797 (PF-07265803) reversed negative left ventricular remodeling.¹¹

This phase 2 study assessed the effects of ARRY-371797 (PF-07265803) on cardiac physiology and on overall functional capacity in 12 patients with *LMNA*related DCM to test the hypothesis that such molecularlyguided therapy might improve patient symptoms and thus establish a rationale for a future pivotal phase 3 study.

METHODS

A full description of the methods of this trial is available in the associated Supplemental Material.¹⁸⁻²²

Upon request, and subject to review, Pfizer will provide the data that support the findings of this study. Subject to certain criteria, conditions, and exceptions, Pfizer may also provide access to the related individual de-identified participant data. See https://www.pfizer.com/science/clinical-trials/trial-data-and-results for more information.

This open-label, phase 2, nonrandomized, 2-arm study was conducted at 5 US sites (ClinicalTrials.gov identifier: NCT02057341). The study protocol was approved by each institutional review board or ethics committee and performed in accordance with Good Clinical Practice guidelines established by the International Council for Harmonisation and local regulatory requirements. All patients provided written informed consent.

RESULTS

Patients

Among 36 screened patients, 12 (7 male; 12 White; mean age, 50 years) were enrolled (Table 1). The 6-minute walk test (6MWT) mean and median (range) baseline values were 321 and 314 m (246-412 m), respectively. Baseline mean left ventricular ejection fraction was 39%, and 9 patients had atrial fibrillation. The most commonly reported concomitant HF medications included angiotensin-converting enzyme inhibitors, beta blockers, aldosterone antagonists, loop diuretics, and vitamin K agonists. Eleven patients had an implantable cardioverter-defibrillator, with the remaining patient having a pacemaker only; no patients had a cardiac resynchronization therapy pacemaker. Six patients received ARRY-371797 (PF-07265803) 100 mg BID, and 6 received ARRY-371797 (PF-07265803) 400 mg BID. Demographic characteristics and 6MWT distance at baseline were generally similar between dose groups.

Four patients discontinued treatment early (see Safety, below). Four of the 8 remaining patients continued to receive their assigned dose. One patient receiving ARRY-371797 (PF-07265803) 400 mg BID was downtitrated at week 12 to 200 mg BID in response to serious adverse event (AE) or intolerance, per protocol. Three patients receiving 100 mg BID were dose-escalated to 400 mg BID at week 24 or 28. Eight patients completed 48 weeks of treatment.

Primary Efficacy End Point: 6MWT Distance at Week 12

A mean absolute change in the 6MWT distance of 69 m (range, -18 to 203; SD, 72; [80% CI, 39-100]) was observed at week 12, corresponding to a mean percentage change of 23% (range, -5 to 66; SD, 23; [80% CI, 13-32]; Table 2). The increase in absolute 6MWT distance at 12 weeks versus baseline was statistically significant (P=0.02, paired t test). Median absolute and



Figure 1. p38 MAPK pathway in LMNA-related dilated cardiomyopathy.

ASK1 indicates apoptosis signal-regulating kinase 1; ATF2, activating transcription factor 2; CDC42, cell division control protein 42 homolog; DAXX, death-associated protein 6; FasL, Fas ligand; Fax, failed axon connection; *LMNA*, lamin A/C gene; LPS, lipopolysaccharide; MAL, myelin and lymphocyte protein; MAPK, mitogen-activated protein kinase; MAPKAPK2/3, mitogen-activated protein kinase-activated protein kinase 2/3; MEF2, myocyte enhancer factor; MKK3/6, mitogen-activated protein kinase kinase 3/6; MLK1, mixed lineage kinase 1; MyD88, myeloid differentiation primary response 88; RAC1, Ras-related C3 botulinum toxin substrate 1; RIP, receptor-interacting protein; TAK1, transforming growth factor β-activated kinase 1; TLR, Toll-like receptor; TRADD, tumor necrosis factor receptor type 1-associated death domain protein; TRAF2, tumor necrosis factor receptor-associated factor 2; TRAM, TRIF-related adaptor molecule; and TRIF, Toll/interleukin-1 receptor domaincontaining adapter-inducing interferon-β.^{11–13}.

percentage changes were 47 m and 13%. Using imputed data, the mean increase from baseline in 6MWT distance was 71 m (range, -18 to 203; SD, 68; [80% Cl, 46-97] versus baseline), corresponding to a mean (SD) percentage change from baseline of 23% (23%). The median absolute and percentage changes using imputed data were 51 m and 13%.

6MWT Distance at Weeks 4, 24, 36, and 48

Improvement in mean and median 6MWT distance was observed at the first time point (week 4) and maintained over 48 weeks (Figure 2A; Table 2). Response (\geq 10% improvement in 6MWT distance) was observed in 5 (41.7%), 5 (41.7%), 4 (33.3%), 6 (50.0%), and 5 (41.7%) patients at weeks 4, 12, 24, 36, and 48, respectively. Increases in absolute 6MWT distance versus baseline were statistically significant at 24, 36, and 48 weeks with *P* value (paired *t* test) of 0.04, 0.005, and 0.02, respectively. Response with imputed data for week 12 was observed in 7 (58.3%) patients. 6MWT results up to completion in those who completed 12 versus 48 weeks of treatment were representative of the overall population (Figure S1).

Plasma N-Terminal Pro-B-Type Natriuretic Peptide Concentrations

Decreases in mean NT-proBNP (N-terminal pro-B-type natriuretic peptide) concentration from baseline were observed at all study visits (Figure 2B). Median NT-proBNP concentrations were 1409 pg/mL at baseline, and 758, 848, 633, 638, and 680 pg/mL at weeks 4, 12, 24, 36, and 48, respectively. Mean (median) changes from baseline (decrease) in NT-proBNP concentrations were 560 (437), 445 (384), 341 (250), 297 (211), and 284 (166) pg/mL at weeks 4, 12, 24, 36, and 48, respectively.

Echocardiography

Measurements of left ventricular ejection fraction and right ventricular fractional area remained stable during the treatment with ARRY-371797 (PF-07265803; Figure S2). Mean left ventricular ejection fraction (SD) was 39% (13) at baseline (n=10) and 39% (9) at week 48 (n=7). Mean (SD) right ventricular fractional area was 23% (9) at baseline (n=12) and 30% (10) at week 48 (n=7).

Table 1. Demographics and Clinical Characteristics at Baseline

| Characteristic | Total (N=12) | | | | |
|---|---------------|--|--|--|--|
| Male, n | 7 | | | | |
| Age, y | | | | | |
| Mean (SD) | 50 (11) | | | | |
| Median (range) | 50 (30–72) | | | | |
| Baseline 6MWT, m | | | | | |
| Mean | 321 | | | | |
| Median (range) | 314 (246–412) | | | | |
| Atrial fibrillation, n | 9 | | | | |
| Complete AV block, n | 2 | | | | |
| ICD, n | 11 | | | | |
| LVEF mean (SD), % | 38.9 (12.8) | | | | |
| RVFA mean (SD), % | 22.9 (9.4) | | | | |
| Most frequently reported concomitant medications, n | | | | | |
| Angiotensin-converting enzyme inhibitor | 8 | | | | |
| Alpha- and beta-blocking agent* | 6 | | | | |
| Aldosterone antagonist† | 7 | | | | |
| Loop diuretic‡ | 6 | | | | |
| Vitamin K agonist | 6 | | | | |

6MWT indicates 6-minute walk test; AV, atrioventricular; ICD, implantable cardioverter-defibrillator; LVEF, left ventricular ejection fraction; and RVFA, right ventricular fractional area.

*Six patients received carvedilol. †Six patients received spironolactone.

‡Five patients received furosemide.

Dose-Response Relationship

The results for 6MWT and left ventricular ejection fraction showed a high degree of variability with no clear dose effect. However, larger reductions in NT-proBNP concentration were observed with the 400-mg dose of ARRY-371797 (PF-07265803 as compared to the 100mg BID dose in an analysis of aggregated mean change from baseline at all time points, Figure S3).

Kansas City Cardiomyopathy Questionnaire

The Kansas City Cardiomyopathy Questionnaire median Overall Summary and Clinical Summary scores had increased from baseline at all visits except week 24 (Figure 2C). An increase from baseline of >5 points was seen at weeks 12 and 48 for Overall Summary score, and at week 12 for Clinical Summary score.

Safety

ARRY-371797 (PF-07265803) was well tolerated at both dose levels. Sixty-nine AEs were reported in 12 patients. Most AEs were mild in severity (grade 1/2). A total of 9 grade 3/4 AEs were reported in 3 patients, and none were considered related to study drug by the investigator. The most frequently reported AEs, by category, were gastrointestinal disorders (6 patients), laboratory abnormalities (6 patients), cardiac disorders (5 patients), infections and infestations (4 patients), and skin and subcutaneous tissue disorders (4 patients). AEs reported in >1 patient or as grade 3/4 are listed in Table S1.

Six patients reported 18 AEs that were considered related to study drug by the investigator. The majority (n=11) were mild in severity. The most frequently reported study drug-related AEs, by category, were gastrointestinal disorders (4 patients), skin and subcutaneous tissue disorders (3 patients), and laboratory abnormalities (2 patients).

Four patients were withdrawn from the study, one because of an AE considered study drug-related (grade 2 AE of mouth sores). None of the other withdrawals were related to study drug; 2 were the result of physician decisions (availability of a donor-matched heart for transplantation; cardiac resynchronization therapy), and one because of a serious AE (cardiac failure) with the patient subsequently undergoing placement of a left ventricular assist device. There were no deaths during the study.

DISCUSSION

Results from this phase 2 study suggest that treatment with ARRY-371797 (PF-07265803) provides increased functional capacity and lower concentrations of cardiac biomarker NT-proBNP in patients with *LMNA*-related DCM. While *LMNA*-related DCM represents \approx 6% of

| Table 2 | Absolute and Percentage Ch | ange From Raseline I | Data in 6MWT Distance W | /ith ADDY-371797 (| DE-07265803) Treatment |
|----------|----------------------------|----------------------|-------------------------------|---------------------|--------------------------|
| Table 2. | Absolute and Percentage Ch | ange From Dasenne i | Data III OIVIVVI DIStalice VV | /IUI AKK1-3/ 1/9/ (| Pr-0/200000) Irealineill |

| 6MWT | Week 4 (n=12) | Week 12 (n=9) | Week 12* (n=12) | Week 24 (n=8) | Week 36 (n=8) | Week 48 (n=8) | | | | |
|-------------------|---------------|---------------|-----------------|---------------|---------------|---------------|--|--|--|--|
| Change from BL, m | | | | | | | | | | |
| Mean (SD) | 47 (49) | 69 (72) | 71 (68) | 54 (63) | 63 (44) | 55 (52) | | | | |
| Median | 24 | 47 | 51 | 30 | 52 | 41 | | | | |
| Change from BL, % | | | | | | | | | | |
| Mean (SD) | 15 (18) | 23 (23) | 23 (23) | 18 (23) | 21 (15) | 19 (19) | | | | |
| Median | 7 | 13 | 13 | 10 | 17 | 14 | | | | |

6MWT indicates 6-minute walk test; and BL, baseline.

*Includes imputed data from 3 patients not completing the week 12 visit (3 patients discontinued before week 12 because of adverse events of mouth sores [treatment day 63, considered study-drug related], dilated cardiomyopathy [treatment day 61, not treatment related], and decompensated heart failure [treatment day 61, not treatment related]); the last-observation-carried-forward method was employed.



Figure 2. Clinical endpoints across study duration.

Mean 6MWT distance without imputation (**A**), mean NT-proBNP concentration (**B**), and median KCCQ-12 OS and CS scores (**C**) with ARRY-371797 (PF-07265803) treatment. **P* of increases in absolute 6MWT distance vs baseline ≤0.05. 6MWT indicates 6-minute walk test; CS, clinical summary; KCCQ-12, Kansas City Cardiomyopathy Questionnaire short form; NT-proBNP, N-terminal pro-B-type natriuretic peptide; and OS, overall summary.

patients with DCM, it remains underdiagnosed.² *LMNA*-related DCM is marked by a high frequency of arrhythmias and accelerated progression of HF⁴ Even with optimal conventional therapy, many patients with *LMNA*-related DCM rapidly progress and experience major cardiac events.^{1,8,9} As such, there is a large unmet medical need for therapies that can halt disease progression or improve cardiac function in patients with *LMNA*-related DCM.

In this phase 2 study, improvements in 6MWT distance occurred in patients who had been stable before the study, and consistent favorable changes in NT-proBNP concentrations were also seen. A trend toward improvement in right ventricular fractional area was observed, and median Kansas City Cardiomyopathy Questionnaire Overall Summary and Clinical Summary scores had increased from baseline at all visits except week 24. ARRY-371797 (PF-07265803) was generally well tolerated; most AEs were mild, and only one patient discontinued because of a study drug-related AE. These potential benefits were observed with ARRY-371797 (PF-07265803) despite the late-stage disease and maximal-tolerated baseline therapy in the patients in the study.

This is one of the first therapeutic studies in a molecularly distinct subset of HF with reduced ejection fraction. *LMNA*-related DCM exhibits discrete features including early-onset and highly penetrant atrioventricular block, high frequency of atrial fibrillation and ventricular arrhythmias, and accelerated progression of HF.^{1,23-25} Extracardiac features are reported in other autosomal dominant disorders associated with *LMNA* mutations, and often are present subclinically in families where DCM with atrioventricular block is the predominant phenotype segregating in the kindred.^{23,26,27} *LMNA*-related DCM may not only be particularly suited to proximate mechanistic interventions, but may also represent a distinctive pathway within the broader rubric of HF with reduced ejection fraction.

This first-in-patient phase 2 study was designed to understand dosing, safety signals, and the optimal design for a pivotal phase 3 study of ARRY-371797 (PF-07265803) treatment. That phase 3 clinical trial, A Multinational, Randomized, Placebo-controlled Study of ARRY-371797 (PF-07265803) in Patients With Symptomatic Dilated Cardiomyopathy Due to a Lamin A/C Gene Mutation (REALM-DCM, NCT03439514) is a randomized, double-blind, placebo-controlled, multicenter study in adult patients with symptomatic LMNA-related DCM and is currently recruiting patients. REALM-DCM includes a 24-week, double-blind portion in which ≈160 patients with LMNA-related DCM will be randomized to ARRY-371797 (PF-07265803) 400 mg BID or placebo. The primary end point is the change from baseline in 6MWT distance at week 24. Upon completion of the primary outcome measures, the study will then transition into an open-label phase.

Limitations

This was a small, phase 2 trial, conducted in a limited number of patients over a broad age range with a rare disease and without a control group. As such, the data should be interpreted with caution, given the limited sample size, potential impact of age on the end points examined in the trial, such as 6MWT and lack of comparison against standard of care. Nevertheless, the statistically significant increases from baseline in 6MWT distance observed are promising, particularly in a condition for which there are currently no therapies specifically targeting the underlying cause of disease. While there are learning effects associated with the 6MWT, ^{28,29} which are particularly relevant in an uncontrolled study, each patient performed the 6MWT 3 times before the start of treatment to minimize the impact of these effects. Data from the ongoing, Phase 3 REALM-DCM trial will provide more robust data on the impact of treatment with ARRY-371797 (PF-07265803) on functional capacity, cardiac biomarkers, and measures of quality of life, along with safety and tolerability, as compared with placebo in a larger population of patients with LMNA-related DCM than previously studied.

Conclusions

In this phase 2 trial, patients with *LMNA*-related DCM had increased functional capacity and lower concentrations of cardiac biomarker NT-proBNP with ARRY-371797

(PF-07265803) treatment. The trial demonstrates that inhibition of p38 MAPK with a selective p38 α inhibitor (ARRY-371797 [PF-07265803]) may provide a novel therapeutic approach with the potential to fill an unmet medical need for the treatment of *LMNA*-related DCM. ARRY-371797 (PF-07265803) has the potential to improve functional capacity and quality of life in these patients and is currently being tested as the first therapeutic approach specifically targeted to treat patients with *LMNA*-related DCM.

ARTICLE INFORMATION

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All authors had access to study data, contributed to the design, and conduct of the analysis; interpretation of the data; preparation, review, and approval of the article; and have approved the final version of the article. Dr Lee had full access to all the data in the study and takes responsibility for its integrity and the data analysis.

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Disclosures

Dr MacRae has consulted for Affinia, Array BioPharma, AstraZeneca, Bayer, Bristol Myers Squibb, Design Therapeutics, Dewpoint Therapeutics, DiNAQOR, Merck, MyoKardia, Novartis, and Pfizer; and has received grant support from Apple, Astra Zeneca, Bayer, Merck, Novartis, Quest Diagnostics, Sanofi and Verily. Dr Taylor has consulted for Array Biopharma, Rocket Pharmaceuticals, and 4D Molecular Therapeutics. Dr Mestroni has consulted for Array Biopharma and Pfizer. Dr Moses has no relevant conflicts. Dr Ashley is a founder of Deepcell, Personalis, and Silicon Valley Exercise Analytics; a founding advisor for Nuevocor, an advisor for Apple, Cathay Capital, Disney, Foresite Capital, Genome Medical, Medical Excellence Capital, Novartis, Sequence Bio, and Third Rock Ventures; and a nonexecutive director at AstraZeneca. He has also received grants from Google and Verily, academic grants from Bristol Myers Squibb and Takeda, and collaborative support in kind from Analog Devices, Illumina, Oxford Nanopore Technologies, PacBio, and Samsung. Dr Wheeler has received modest consulting fees from Array BioPharma, MyoKardia, Bayer, BioTelemetry, and Verily; research funding from Array BioPharma, Pfizer, MyoKardia, Bristol Myers Squibb, Novartis, and Salubris Biopharma; and in-kind writing support from Bristol Myers Squibb and Pfizer. Dr Lakdawala receives modest consulting incomes from MyoKardia, Bristol Myers Squibb, Tenaya Therapeutics, Array BioPharma, and Pfizer. Dr Hershberger has no relevant conflicts. At the time of this study Drs Ptaszynski, Sandor, Saunders, Oliver, and Lee were full-time employees of Array BioPharma Inc, which was acquired by Pfizer in July, 2019. Dr Judge has received consultancy fees from 4D Molecular Therapeutics, ADRx, Cytokinetics, Pfizer, and Tenaya Therapeutics. The other authors report no conflicts.

Supplemental Material

Supplemental Methods Table S1 Figures S1–S3

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