



Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.

lectures from Draeger Medical, Getinge, Fisher & Paykel, Biotest, and Thermo Fisher, and travel/accommodation/congress registration support from Getinge and Biotest, which are all outside of the present work. AP reports personal fees from Maquet, Novalung/Xenios, Baxter, and Boehringer Ingelheim, all outside the submitted work. Other authors have no conflict of interest.

## Funding

Ricerca Corrente 2019, Fondazione IRCCS Ca' Granda Ospedale Maggiore Policlinico, Milan, Italy

## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.bja.2020.06.044>.

## References

- Chen L, Del Sorbo L, Grieco DL, et al. Airway closure in acute respiratory distress syndrome: an underestimated and misinterpreted phenomenon. *Am J Respir Crit Care Med* 2018; **197**: 132–6
- Grieco DL, Anzellotti GM, Russo A, et al. Airway closure during surgical pneumoperitoneum in obese patients. *Anesthesiology* 2019; **131**: 58–73
- Chen L, Del Sorbo L, Grieco DL, et al. Potential for lung recruitment estimated by the recruitment-to-inflation ratio in acute respiratory distress syndrome. A clinical trial. *Am J Respir Crit Care Med* 2020; **201**: 178–87
- Behazin N, Jones SB, Cohen RI, Loring SH. Respiratory restriction and elevated pleural and esophageal pressures in morbid obesity. *J Appl Physiol* 1985; **108**: 212–8. 2010
- Coudroy R, Lu C, Chen L, Demoule A, Brochard L. Mechanism of airway closure in acute respiratory distress syndrome: a possible role of surfactant depletion. *Intensive Care Med* 2019; **45**: 290–1
- Grasselli G, Zangrillo A, Zanella A, et al. Baseline characteristics and outcomes of 1591 patients infected with SARS-CoV-2 admitted to ICUs of the Lombardy Region. *Italy JAMA* 2020; **323**: 1574–81
- Mauri T, Spinelli E, Scotti E, et al. Potential for lung recruitment and ventilation-perfusion mismatch in patients with the acute respiratory distress syndrome from coronavirus disease. *Crit Care Med Adv Access* 2019. <https://doi.org/10.1097/CCM.0000000000004386>. published on April 28, 2020
- Pan L, Mu M, Yang P, et al. Clinical characteristics of COVID-19 patients with digestive symptoms in Hubei, China: a descriptive, cross-sectional, multicenter study. *Am J Gastroenterol* 2020; **115**: 766–73
- Zhao XY, Xu XX, Yin HS, et al. Clinical characteristics of patients with 2019 coronavirus disease in a non-Wuhan area of Hubei Province, China: a retrospective study. *BMC Infect Dis* 2020; **20**: 311
- Mauri T, Yoshida T, Bellani G, et al. Esophageal and transpulmonary pressure in the clinical setting: meaning, usefulness and perspectives. *Intensive Care Med* 2016; **42**: 1360–73

doi: 10.1016/j.bja.2020.06.044

Advance Access Publication Date: 2 July 2020

© 2020 British Journal of Anaesthesia. Published by Elsevier Ltd. All rights reserved.

# Opening Pandora's box: surgical tracheostomy in mechanically ventilated COVID-19 patients

Yasin El-Wajeh<sup>1,\*</sup>, Iain Varley<sup>1</sup>, Ajay Raithatha<sup>2</sup>, Alastair Glossop<sup>2</sup>, Austen Smith<sup>1</sup> and Ricardo Mohammed-Ali<sup>1</sup>

<sup>1</sup>Department of Oral and Maxillofacial Surgery and <sup>2</sup>Department of Anaesthesia, Sheffield Teaching Hospitals, NHS Foundation Trust, Sheffield UK

\*Corresponding author. E-mail: [elwajehy@mac.com](mailto:elwajehy@mac.com)

**Keywords:** acute respiratory distress syndrome; aerosol-generating procedure; COVID-19; ICU; mechanical ventilation; surgical tracheostomy

Editor—Coronavirus disease 2019 (COVID-19) is the third coronavirus infection witnessed in past two decades.<sup>1</sup> Key lessons derived from the cumulative experience of Asian and European ICUs, which dealt with critically ill patients during the early phase of the COVID-19 pandemic, and lessons from the previous severe acute respiratory syndrome (SARS) and Middle East respiratory syndrome (MERS) outbreaks allowed hospitals in the UK to prepare preventive measures and adopt

specific processes for the COVID-19 crisis.<sup>2</sup> Proactive management strategies implemented during this crisis based on previous knowledge may have limited the number of COVID-19-related hospital admissions, reduced mortality, and limited the initial trajectory of coronavirus spread in the UK.

Our hospital has seen an increase in demand for open surgical tracheostomies in COVID-19 patients admitted to the ICU. In contemporary critical care practice the most common

indication for a tracheostomy is to allow gradual weaning of patients undergoing prolonged mechanical ventilation. Tracheostomised patients benefit from improved patient comfort, reducing or completely removing the need for sedation, and decreasing breathing circuit dead space, all of which may aid weaning from mechanical ventilation. The current COVID-19 pandemic has presented a particular challenge to providers in this area, as large numbers of COVID-19 positive patients will require prolonged mechanical ventilation and thus potentially require a tracheostomy to facilitate withdrawal of this support.

Performing a conventional tracheostomy in a COVID-19 patient can be compared with the Greek myth of opening Pandora's box, which unleashed untold evils. Because of the highly contagious nature of SARS coronavirus 2 (SARS-CoV-2), a tracheostomy without modification of the technique would expose the intensivist, surgeon, and supporting healthcare workers to this deadly pathogen. Thus, it is vital to implement precautionary steps during this high-risk aerosol-generating procedure. When an open surgical tracheostomy is performed in a COVID-19 patient, the risk of viral transmission to all involved team members can be reduced by implementing a systematic and integrated approach with the surgeon and the intensivist/

anaesthesiologist working in a synchronised manner. Tay and colleagues<sup>3</sup> recently published a literature review of tracheostomies performed during the 2003 SARS epidemic. They highlighted several important preoperative, intraoperative, and postoperative considerations while planning for open tracheostomy in a COVID-19 patient.<sup>3</sup> Many institutions and organisations including ours have incorporated these findings into their own COVID-19 tracheostomy guidelines. We outline our approach for performing open surgical tracheostomy in mechanically ventilated COVID-19 patients within the ICU setting (Table 1). These recommendations should be regarded as an early framework during our continued understanding of COVID-19.

The indication for tracheostomy and the choice of technique are contentious issues irrespective of the decision to perform surgical tracheostomy or percutaneous dilational tracheostomy. It is a multidisciplinary medical decision made by the intensivist in charge of the patient after discussion with the surgeon/proceduralist. There is currently no level 1 evidence from randomised trials related to the degree of SARS-CoV-2 aerosolisation with open vs percutaneous tracheostomy techniques. Irrespective of which of the two techniques

**Table 1** Approach for performing open surgical tracheostomy in mechanically ventilated COVID-19 patients within the ICU setting. INR, international normalised ratio; APTT, activated partial thromboplastin time

	Preoperative steps	Intraoperative steps	Postoperative care
1	Establish a COVID-19 surgical airway team comprising of surgeons and intensivists/anaesthesiologists.	Advancement of oral tracheal tube (TT) using videolaryngoscopy above carina distal to 2nd/3rd/4th tracheal ring.	Outer tracheostomy tube: not changed until patient is stable enough to be decannulated.
2	Designated negative pressure room within the ICU setting (reducing need to transfer patient to operating theatre).	Skin preparation and draping followed by standard open surgical tracheostomy approach.	Inner tracheostomy tube: <b>COVID-19 positive patient:</b> Checked/cleaned or changed every 12 h depending on production of secretions. <b>COVID-19 negative patient:</b> Checked/cleaned or changed every 4 h.
3	Entire team in full personal protective equipment. <sup>5</sup>	Inform intensivist/anaesthesiologist once the anterior tracheal wall has been exposed and incision for tracheal stoma is imminent.	<b>Step down from ICU with tracheostomy:</b> To a dedicated surgical ward. <b>or Step down from ICU after decannulation:</b> Medical ward acceptable.
4	Surgical tracheostomies to be performed on a planned semi-elective basis.	Ventilation is switched off at the end expiratory phase with intrathoracic pressure only at atmospheric.	
5	A consent form to be signed by two consultants involved in care of the patient.	Tracheal window created and suture stitch placed.	
6	Ensure all patients are clinically stable: On FiO <sub>2</sub> <0.5, PEEP <10 cm H <sub>2</sub> O PAP <28 cm H <sub>2</sub> O, platelets >80 000 INR/APTT ratio <1.5 Anticoagulation stopped preoperatively	TT cuff is deflated, and retracted slowly until the end is just above the stoma.	
7	Tracheostomy packs including surgical kits are prepared and stored in advance for ICU cases. Only use cuffed, non-fenestrated tracheostomy tubes to minimise aerosolisation.	Tracheostomy tube inserted, introducer out, inflate cuff, manually secure tube, transfer catheter mount.	
8	Patient is transferred to a surgical trolley with sandbag between shoulders and head ring to attain maximum head extension. Adequate mobile lighting to be available.	Wet swabs over wound as a precautionary measure if cuff leaks and recommence ventilation.	
9	Use of agreed hand signals to aid communication when all staff members in personal protective equipment.	Chest movements and CO <sub>2</sub> checks are made by the intensivist. The TT can then be disposed of safely.	
10		Secure flange by suture.	

are chosen, the operator needs to be highly experienced to reduce potential surgical complications, procedure time, and coronavirus aerosolisation. Furthermore, the type of tracheostomy is influenced by local factors such as availability of a negative pressure room within the ICU setting, and patient-related risk factors such as anatomical anomalies of the neck, obesity, and serious bleeding disorders.<sup>4–8</sup>

A tracheostomy should not be considered too early after patient admission. One should wait until day 14 for the prognosis to be clearer and for the viral load to decrease.<sup>9,10</sup> These recommendations are based on recent audit data from Intensive Care National Audit and Research 2020 and unpublished reports from Italy and China. These reports suggest that a significant proportion of COVID-19 patients are being successfully weaned and extubated between day 5 and day 10, and patients requiring longer mechanical ventilation often have poorer overall prognosis.<sup>11</sup> Published guidelines for open surgical tracheostomy in COVID-19 patients suggest that tracheostomies should not be routinely considered in any patient with tracheal intubation until the patient has been determined to be free from the virus and isolation precautions have ended.<sup>12,13</sup> In our practice, tracheostomy is considered from day 8 to day 10 of the critical care stay onwards and 14 days after onset of COVID-19 symptoms. Patients should be clinically stable with FiO<sub>2</sub> <0.5, PEEP <10 cm H<sub>2</sub>O, and positive airway pressure (PAP) <28 cm H<sub>2</sub>O (Table 1).

COVID-19 patients succumb to multiple pathological processes including coagulopathy, with disseminated intravascular coagulation being a primary concern.<sup>14</sup> COVID-19-associated coagulopathy and underlying traditional risk factors predispose these patients to an increased risk of acute pulmonary embolism.<sup>15</sup> Therefore, patient-specific evaluation of bleeding risk is required. In the absence of significant bleeding risk, patients should receive prophylactic low molecular weight heparin to prevent thromboembolism.<sup>16</sup> We administer dalteparin twice daily, omitting the morning dose if surgery is scheduled in the morning.

Undertaking an open surgical tracheostomy opens up a Pandora's box of a novel viral disease, which we still do not understand fully. Pandora's curiosity got the better of her for a second time. She opened the box once more and released the only thing that was left in the box – hope. A surgical tracheostomy opens up a window of hope for the patient with benefits such as patient comfort, mobility, and speech. If carried out following the approach outlined above, it may be beneficial in this cohort of patients, while ensuring the well-being of all team members involved in the procedure.

## Declarations of interest

The authors declare that they have no conflicts of interest.

## References

1. Cheng VCC, Chan JFW, To KKW, Yuen KY. Clinical management and infection control of SARS: lessons learned. *Antivir Res* 2013; 100: 407–19
2. Peng PWH, Ho P-L, Hota SS. Outbreak of a new coronavirus: what anaesthetists should know. *Br J Anaesth* 2020; 124: 497–501. <https://doi.org/10.1016/j.bja.2020.02.008>
3. Tay JK, Khoo M, Loh WS. Surgical considerations for tracheostomy during the COVID-19 pandemic. Lessons learned from the severe acute respiratory syndrome outbreak. *JAMA Otolaryngol Head Neck Surg* 2020 Mar 31. <https://doi.org/10.1001/jamaoto.2020.0764>
4. Parker NP, Schiff BA, Fritz MA, et al. Tracheostomy recommendations during the COVID-19 pandemic. *Airway and Swallowing Committee of the American Academy of Otolaryngology-Head and Neck Surgery*. Available from: <https://www.entnet.org/content/tracheostomy-recommendations-during-covid-19-pandemic>. [Accessed 19 April 2020]
5. Guidance for surgical tracheostomy and tracheostomy tube change during the COVID-19 pandemic. Available from: <https://www.entuk.org/tracheostomy-guidance-during-covid-19-pandemic>. [Accessed 19 April 2020]
6. Angel L, Kon ZN, Chang SH, et al. Novel percutaneous tracheostomy for critically ill patients with COVID-19. *Ann Thorac Surg* 2020 Apr. <https://doi.org/10.1016/j.athoracsur.2020.04.010>
7. BLA tracheostomy guidelines-BLA april 2020. Available from: <https://www.britishlaryngological.org/news/tracheostomy-guideline-covid-19>. [Accessed 25 April 2020]
8. Batuwitage B, Webber S, Glossop A. Percutaneous tracheostomy. *Br J Anaesth Contin Educ Anaesth Crit Care Pain* 2014; 14: 268–72
9. Yao W, Wang T, Jiang B, et al. Emergency tracheal intubation in 202 patients with COVID-19 in Wuhan, China: lessons learnt and international expert recommendations. *Br J Anaesth* 2020 Apr. <https://doi.org/10.1016/j.bja.2020.03.026>
10. Kwan A, Fok WG, Law KI, Lam SH. Tracheostomy in a patient with severe acute respiratory syndrome. *Br J Anaesth* 2004; 92: 280–2. <https://doi.org/10.1093/bja/ae035>
11. Intensive Care National Audit and Research. *ICNARC report on COVID-19 in Critical care 2020*
12. Harrison L, Ramsden J, Winter S, Rocke J, Heward E. Guidance for surgical tracheostomy and tracheostomy tube change during the COVID-19 pandemic. <https://www.entuk.org/covid-19>. [Accessed 19 March 2020]
13. National Tracheostomy Safety Project. <https://www.entuk.org/sites/default/files/files/NTSP%20COVID-19%20tracheostomy%20guidance%2020-Mar-2020.pdf>. [Accessed 19 March 2020]
14. Xiong M, Liang X, Wei Y-D. Changes in blood coagulation in patients with severe coronavirus disease 2019 (COVID-19): a meta-analysis. *Br J Haematol* 2020 Apr 18. <https://doi.org/10.1111/bjh.16725>
15. Rotzinger DC, Beigelman-Aubry C, von Garnier C, Qanadil SD. Pulmonary embolism in patients with COVID-19: time to change the paradigm of computed tomography. *Throm Res* 2020 June; 190: 58–9. <https://doi.org/10.1016/j.thromres.2020.04.011>
16. Zhou F, Yu T, Du R, et al. Clinical course and risk factors for mortality of adult inpatients with COVID-19 in Wuhan, China: a retrospective cohort study. *Lancet* 2020; 395: 1054–62. [https://doi.org/10.1016/S0140-6736\(20\)30566-3](https://doi.org/10.1016/S0140-6736(20)30566-3)

doi: 10.1016/j.bja.2020.06.052

Advance Access Publication Date: 9 July 2020

© 2020 British Journal of Anaesthesia. Published by Elsevier Ltd. All rights reserved.