

# Synthesis of Barbaralones and Bullvalenes Made Easy by Gold Catalysis

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**Abstract:** The gold(I)-catalyzed oxidative cyclization of 7-ethynyl-1,3,5-cycloheptatrienes gives 1-substituted barbaralones in a general manner, which simplifies the access to other fluxional molecules. As an example, we report the shortest syntheses of bullvalene, phenylbullvalene, and disubstituted bullvalenes, and a readily accessible route to complex cage-type structures by further gold(I)-catalyzed reactions.

Fluxional molecules, such as barbaralone (**1a**), bullvalone (**2a**), and bullvalene (**3a**) have been central to the understanding of the phenomena of valence tautomerism (see Figure 1).<sup>[1,2]</sup> These molecules undergo low energy [3,3]-sigmatropic rearrangements, which in the case of bullvalene

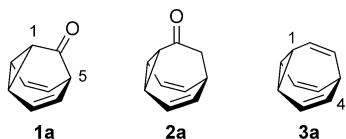
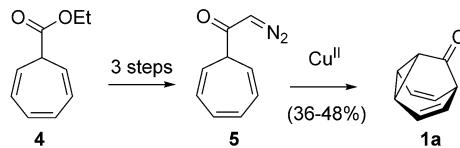


Figure 1. Barbaralone (**1a**), bullvalone (**2a**), and bullvalene (**3a**).

lead to 1209600 degenerate tautomers,<sup>[3–5]</sup> whereas a lower number of constitutional isomers are possible for substituted bullvalenes<sup>[6–8]</sup> and only two exist for barbaralone (**1a**).<sup>[9]</sup>

Syntheses of these fluxional molecules requires multistep procedures that proceed with low overall yield, often using explosive and toxic diazomethane. Thus, the optimized synthesis of barbaralone (**1a**), en route to bullvalene (**3a**),<sup>[10]</sup> starts with the Büchner reaction of ethyl diazoacetate with benzene to form **4**,<sup>[11,12]</sup> which is converted into **1a** in four steps via diazomethyl ketone **5** (Scheme 1).<sup>[10]</sup> Bullvalene (**3a**)



Scheme 1. Synthesis of barbaralone (**1a**) from ethyl cyclohepta-2,4,6-triene-1-carboxylate (**4**).

can be prepared from **1a** in four additional steps by two different procedures by homologation of **1a** to bullvalone (**2a**) with diazomethane.<sup>[2,10]</sup> Barbaralone (**1a**) has also been prepared from (cyclooctatetraene)tricarbonyliron in two steps in approximately 36% yield.<sup>[13]</sup>

1-Methylbarbaralone (**1w**) was prepared by a procedure similar to that shown in Scheme 1 using ethyldiazomethane in the reaction with cycloheptatriene carbamoyl chloride to form the homologue of **5**.<sup>[9b]</sup> Although some ingenious syntheses of highly substituted bullvalenes have been designed,<sup>[8]</sup> most bullvalenes have been prepared from parent **3a**. Thus, for example, phenylbullvalene (**3b**) was obtained in three steps (26% yield) from **3a** by dibromination, dehydrobromination with KOtBu, and reaction of the resulting bromobullvalene with Ph<sub>2</sub>CuLi.<sup>[7d]</sup>

Current synthetic art does not allow preparation of substituted barbaralones in a general way,<sup>[14]</sup> which limits the access to fluxional homologues and other theoretically interesting molecules.<sup>[12,15]</sup> We have recently found that 7-aryl-1,3,5-cycloheptatrienes undergo a gold(I)-catalyzed retro-Büchner reaction to form highly reactive aryl gold(I) carbenes (a decarbenation reaction).<sup>[16]</sup> However, 7-ethynyl-1,3,5-cycloheptatrienes (**6**) react differently to form fluxional barbaralyl gold(I) intermediates **7**; after a series of complex rearrangements **7** finally leads to indenes **8** and/or **9**, depending on the gold catalyst (Scheme 2).<sup>[17]</sup> Since the gold-catalyzed oxidation of alkynes has been shown to take place readily with oxidants such as sulfoxides, or amine-N-oxides to form  $\alpha$ -oxo gold(I) carbenes,<sup>[18,19]</sup> we envisioned that the oxidation of intermediates **7** could lead to 1-substituted barbaralones **1** (Scheme 2). However, if the oxidation takes place directly on 7-ethynyl-1,3,5-cycloheptatrienes (**6**), the two regioisomeric  $\alpha$ -oxo gold(I) carbenes **10a** and **10b** would be formed,<sup>[18]</sup> of which only **10b** would lead to barbaralones **1** by intramolecular cyclopropanation.

Herein, we report a general and straightforward synthesis of 1-substituted barbaralones **1** from alkynes and commercially available tropylium tetrafluoroborate in just two steps by oxidative cyclization of 7-ethynyl-1,3,5-cycloheptatrienes.

We first studied the reaction of 7-(phenylethynyl)cyclohepta-1,3,5-triene (**6b**) with different gold(I) catalysts in the

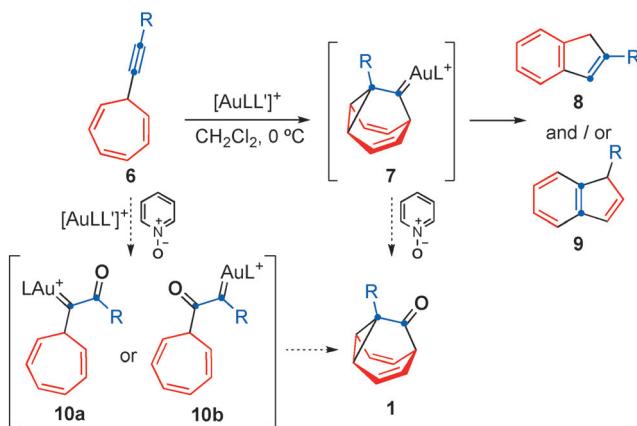
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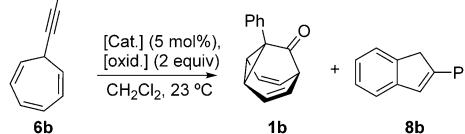


**Scheme 2.** Two different pathways for the formation of barbarylones **1** by gold(I)-catalyzed oxidative cyclization of 7-ethynyl-1,3,5-cycloheptatrienes (**6**).

presence of diphenylsulfoxide (**Ox<sub>1</sub>**), and the *N*-oxides of pyridine (**Ox<sub>2</sub>**), 3,5-dichloropyridine (**Ox<sub>3</sub>**), or 8-methylquinaline (**Ox<sub>4</sub>**) as oxidants (Table 1). Using Johnphos gold(I) complex **A** in combination with **Ox<sub>3</sub>**, 1-phenylbarbarylone (**1b**) was obtained in 50% yield, together with 2-phenyl-1*H*-indene (**8b**; Table 1, entry 3). Related gold(I) complexes **B** and **C** led to **1b** in lower yields in the presence of **Ox<sub>3</sub>** (Table 1, entries 5 and 6). The best yields of **1b** were obtained using [IPrAu(MeCN)][SbF<sub>6</sub>] (**D**) and either **Ox<sub>1</sub>** or **Ox<sub>3</sub>** (Table 1, respectively entries 7 and 9). Oxidants **Ox<sub>2</sub>** and **Ox<sub>4</sub>** led to very poor results (Table 1, respectively entries 8 and 10). Interestingly, whereas phosphite gold(I) complex **E** afforded low yields (Table 1, entries 11 and 12), pycolinate gold(III) complex provided **1b** in a similar yield in the presence of **Ox<sub>3</sub>** (Table 1, entry 14). Reactions of alkynes with pyridine-*N*-oxides can also be performed with Brønsted acids<sup>[20]</sup> or Zn<sup>II</sup> catalysts.<sup>[21]</sup> However, in our system, a complex reaction mixture was obtained in the presence of methanesulfonic acid (Table 1, entry 15) and starting material was recovered when Zn(OTf)<sub>2</sub> was used as the catalyst (Table 1, entry 16).

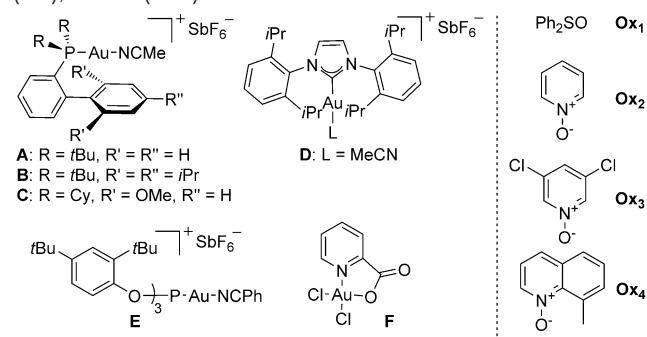
To assess the generality of this oxidative cyclization, various 7-ethynyl-1,3,5-cycloheptatrienes (**6a–v**) were prepared<sup>[17]</sup> and the combinations of catalyst and oxidant that provided the best results in the preliminary studies (conditions: A) cat. **D**, **Ox<sub>1</sub>**; B) cat. **D**, **Ox<sub>3</sub>**; C) cat. **A**, **Ox<sub>3</sub>**) were tested on these substrates (Table 2). The most simple substrate, 7-ethynyl-1,3,5-cycloheptatriene (**6a**), produced barbarylone (**1a**) in 97% yield. The reaction was compatible with 7-(arylethynyl)-1,3,5-cycloheptatrienes **6c–o** bearing different *o*-, *m*-, or *p*-substituents on the phenyl ring, such as methyl-, *tert*-butyl-, fluorine-, chlorine-, methoxy-, or trifluoromethyl-, affording the corresponding 1-substituted barbarylones (**1c–o**) in moderate to good yields. Substrates **6p–q**, possessing a naphthyl or a thiophenyl substituent, gave barbarylones **1p–q** in yields up to 88%. This catalytic procedure was extendible to alkynyl cycloheptatrienes with aliphatic or alkyl groups (**6s–v**), including *n*-butyl, *n*-hexyl, 2-phenylethyl, and cyclopropyl, giving the desired barbarylones **1s–v** in moderate to excellent yields. The reaction was also applicable to a substrate containing two alkynes (**6r**),

**Table 1:** Gold(I)-catalyzed oxidative reaction of **6b** to give 1-phenylbarbarylone (**1b**).



Entry	[Cat.]	Oxid.	Time [h]	<b>1b</b> Yield [%] <sup>[a]</sup>	<b>8b</b> Yield [%] <sup>[a]</sup>
1	<b>A</b>	<b>Ox<sub>1</sub></b>	2.5	12	58
2	<b>A</b>	<b>Ox<sub>2</sub></b>	16	5	—
3	<b>A</b>	<b>Ox<sub>3</sub></b>	2.5	50 (50) <sup>[b]</sup>	28
4	<b>A</b>	<b>Ox<sub>4</sub></b>	16	23	—
5	<b>B</b>	<b>Ox<sub>3</sub></b>	3	30	36
6	<b>C</b>	<b>Ox<sub>3</sub></b>	3	32	42
7	<b>D</b>	<b>Ox<sub>1</sub></b>	3	(83) <sup>[b]</sup>	—
8	<b>D</b>	<b>Ox<sub>2</sub></b>	24	2	—
9	<b>D</b>	<b>Ox<sub>3</sub></b>	3	64	—
10	<b>D</b>	<b>Ox<sub>4</sub></b>	3	7	—
11	<b>E</b>	<b>Ox<sub>1</sub></b>	2.5	20	—
12	<b>E</b>	<b>Ox<sub>3</sub></b>	2.5	30	—
13	<b>F</b>	<b>Ox<sub>1</sub></b>	5	14	61
14	<b>F</b>	<b>Ox<sub>3</sub></b>	5	61	—
15	MeSO <sub>3</sub> H <sup>[c]</sup>	<b>Ox<sub>3</sub></b>	2.5	complex mixture	
16	Zn(OTf) <sub>2</sub> <sup>[d]</sup>	<b>Ox<sub>3</sub></b>	24	starting material	

[a] Yields determined by <sup>1</sup>H NMR using mesitylene as an internal standard. [b] Yield of isolated products. [c] 4 equiv [d] 10 mol %. Catalyst (cat.), oxidant (oxid.).

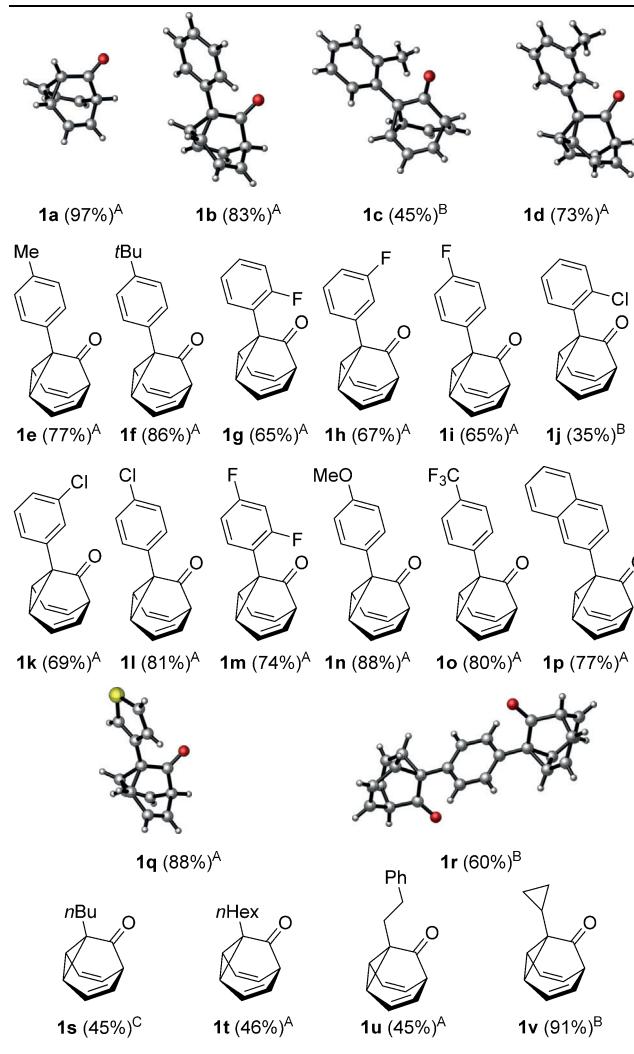


affording dibarbarylone **1r** in 60% yield. The process was efficiently scalable, providing up to 850 mg of barbarylone (**1a**) in one run in 96% yield. Mechanistically, the formation of aryl substituted barbarylones **1b–r** strongly suggests that the oxidation takes place on barbaryl gold(I) intermediates **7** (Scheme 2), rather than on the alkyne, which would favor formation of unproductive intermediate **10a** by benzylic oxidation.<sup>[18]</sup>

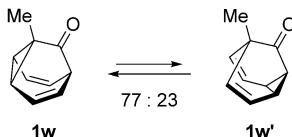
The introduction of a methyl substituent was found to shift the sigmatropic equilibrium toward the 1-substituted isomer (Scheme 3).<sup>[6c, 9b]</sup> Our NMR data show that 1-substituted barbarylones are in all cases the most stable tautomers, as confirmed by the X-ray diffraction structures of **1a–d**, **1q**, and **1r** (Table 2).<sup>[22]</sup>

With the synthesis of barbarylones (**1**) in hand, and the preparation of bullvalenes (**3**) in mind, we examined the applicability of this oxidative cyclization for the synthesis of bullvalone (**2a**) using propargyl cycloheptatriene as substrate

**Table 2:** Gold(I)-catalyzed oxidative synthesis of barbaralones **1a–v**.



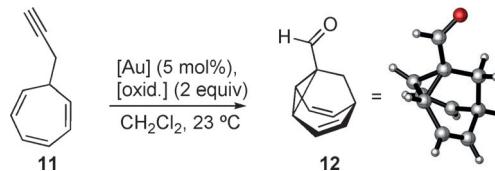
Conditions: A) cat. D,  $\text{Ox}_1$ ; B) cat. D,  $\text{Ox}_3$ ; C) cat. A,  $\text{Ox}_3$ .



**Scheme 3.** Equilibrium between 1-methyl- and 5-methylbarbaralones.<sup>[9b]</sup>

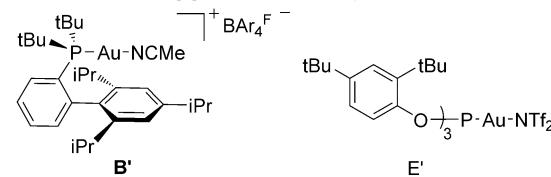
(11). Thus, the reaction of propargyl cycloheptatriene (**11**) with different gold(I) catalysts in the presence of the previously employed oxidants was investigated (Table 3). However, instead of the desired bullvalone, arising from a *5-endo-dig* oxidative cyclization, in all cases we observed the recovered starting material or formation of 1-formylbarbaralane (**12**),<sup>[22]</sup> the product of a *5-exo-dig* process. While Johnphos gold(I) complex **A** gave poor results regardless of the oxidant used (Table 3, entries 1–4), good yields were obtained with *t*BuXPhos gold(I) catalyst (**B'**) and [IPrAu-(MeCN)]SbF<sub>6</sub> (**D**) with **Ox<sub>1</sub>** (Table 3, entries 5 and 8). A

**Table 3:** Gold(I)-catalyzed oxidative reaction of propargyl cyclohepta-  
triene (**11**) to give 1-formylbarbaralane (**12**).



Entry	[Au]	Oxid.	Time [h]	Yield [%] <sup>[a]</sup>
1	A	Ox <sub>1</sub>	18	27
2	A	Ox <sub>2</sub>	15	—
3	A	Ox <sub>3</sub>	18	5
4	A	Ox <sub>4</sub>	15	—
5	B'	Ox <sub>1</sub>	17	91 (87) <sup>[b]</sup>
6	B'	Ox <sub>3</sub>	17	7
8	D	Ox <sub>1</sub>	4	90 (87) <sup>[b]</sup>
9	D	Ox <sub>3</sub>	18	8
10	E'	Ox <sub>1</sub>	6	92
11	E'	Ox <sub>3</sub>	24	—

[a] Yields determined by  $^1\text{H}$  NMR spectroscopy using mesitylene as an internal standard. [b] Yield of isolated products.

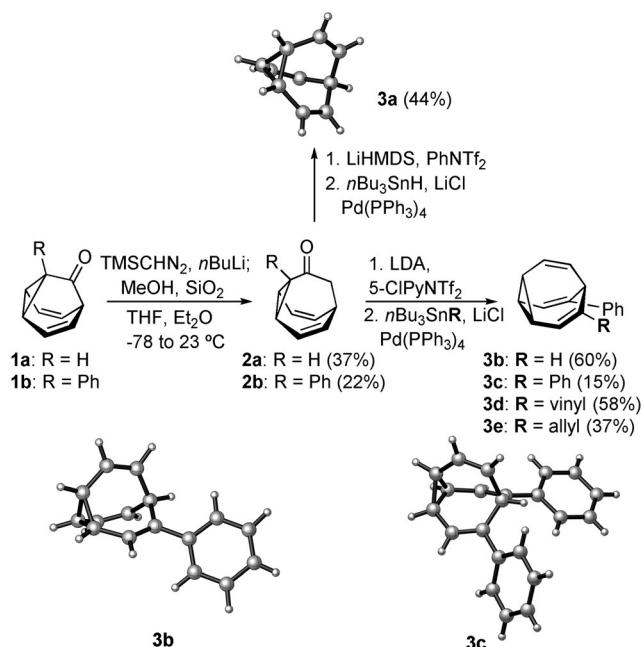


better yield of aldehyde **12** was obtained using phosphite-gold(I) complex **E'** and **Ox<sub>1</sub>** (Table 3, entry 10).

At this stage we considered accessing bullvalones (**2**) via barbaralones (**1**) en route to bullvalenes (**3**). Homologation of **1a** with diazomethane has been reported to give bullvalone (**2a**) in 24% yield along with an isomeric aldehyde (34%).<sup>[2,10]</sup> Reduction of **2a** followed by acetylation led to the corresponding acetate (40%, two steps), which was pyrolyzed at 345°C to give a 1:1 ratio of bullvalene (**3a**) and *cis*-9,10-dihydronaphthalene.<sup>[2,23]</sup> An improved procedure was reported via bullvalone tosylhydrazone, providing bullvalene (**3a**) in approximately 5% yield from **2a** in four steps.<sup>[10,24]</sup>

In our new approach, bullvalene (**3a**) and phenylbullvalene (**3b**) were prepared from barbalones **1a–b** by a three-step procedure. A homologation reaction of **1a** and **1b** with the lithium anion of (trimethylsilyl)diazomethane<sup>[25]</sup> gave bullvalones **2a** and **2b** in 37 and 22% yield, respectively (Scheme 4). Formation of the corresponding enol triflates using LDA and Comins' reagent, or LiHMDS and PhNTf<sub>2</sub>, followed by immediate reduction with *n*Bu<sub>3</sub>SnH and Pd(PPh<sub>3</sub>)<sub>4</sub> as catalyst,<sup>[26]</sup> afforded **3a** and **3b**<sup>[27]</sup> in 44% and 60% yield, respectively, whose structures were confirmed by X-ray diffraction.<sup>[22]</sup> This new synthesis of bullvalene (**3a**) is the most efficient to date as it requires a total of five steps (10% overall yield) from commercially available tropylidium tetrafluoroborate and ethynyl magnesium bromide.

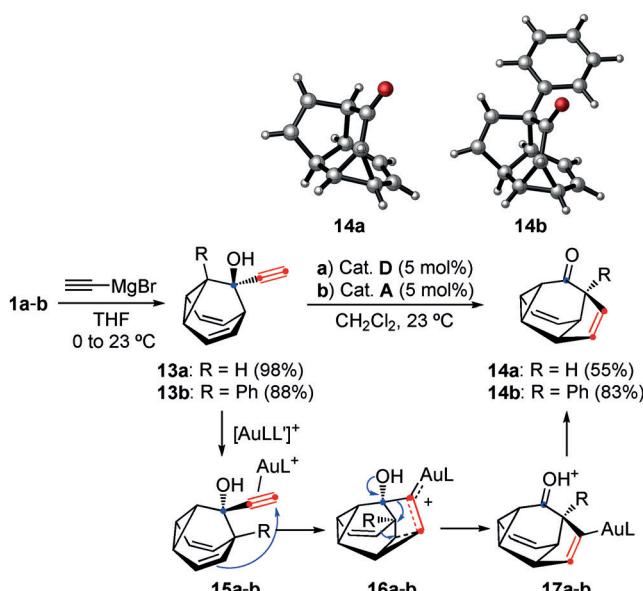
Various disubstituted bullvalenes **3c–e** were also prepared from phenyl bullvalone (**2b**) through sequential formation of the enol triflate followed by Stille couplings (Scheme 4).<sup>[28]</sup>



**Scheme 4.** Synthesis of bullvalene (**3a**), phenylbullvalene (**3b**), and disubstituted bullvalenes **3c–e**.

The molecular structure of diphenyl bullvalene **3c** was determined by X-ray diffraction.<sup>[22]</sup> Bullvalenes **3c–e** were in equilibrium with the 3,6- and 3,7-disubstituted isomers at –40°C; the observed ratios of the respective compounds was 7.6:5.7:1, 5.2:1.5:1, and 3.8:2.3:1.<sup>[7d]</sup>

Barbalones **1a** and **1b** were converted into **14a** and **14b** in two steps by the addition of ethynyl magnesium bromide and subsequent gold(I)-catalyzed reaction of the corresponding alcohols **13a** and **13b**, which proceeded by a new type of

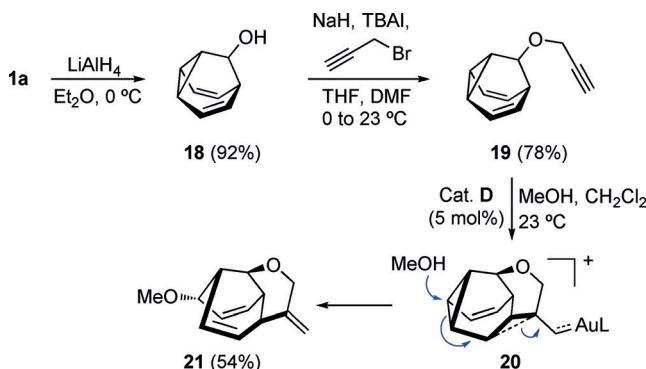


**Scheme 5.** Preparation of highly fused tetracyclic molecules **14a** and **14b**.

cyclization/rearrangement (Scheme 5). Structures **14a** and **14b** were confirmed by X-ray diffraction.<sup>[22]</sup>

Unprecedented tetracyclic cages **14a** and **14b** are probably formed by coordination of gold(I) of the alkyne of the minor tautomer of **13a** and **13b** to give **15a** and **15b**, followed by intramolecular attack of the alkene to form delocalized intermediates **16a** and **16b**<sup>[29,30]</sup> and semipinacol-type rearrangement to give **17a** and **17b** (Scheme 5). To the best of our knowledge, and despite the many different types of gold(I)-catalyzed cycloisomerizations,<sup>[30]</sup> this formation of a five-membered ring by cyclization-rearrangement is unprecedented.

Furthermore, alkylation of barbalol **18**<sup>[22]</sup> with propargyl bromide gives 1,7-enyne **19**, which undergoes an *exo-dig* cyclization with gold(I) catalyst **D** to form intermediate **20**,<sup>[30]</sup> which then reacts with methanol as a nucleophile to form tricyclic system **21** (Scheme 6).



**Scheme 6.** Formation of tricyclic derivative **21** from barbalol (**18**).

In summary, we have developed an efficient synthesis of 1-substituted barbalones by gold(I)-catalyzed oxidative cyclization of 7-(substituted ethynyl)-1,3,5-cycloheptatrienes. This method has allowed accomplishment of the shortest syntheses of bullvalene and other substituted bullvalenes. Thus, parent bullvalene (**3a**) is obtained in five steps from commercially available starting materials in 10% overall yield, which compares favorably with previous procedures that require nine or more steps and proceeded with very low overall efficiency. The straightforward access to barbalones opens a way to obtain complex cage systems with unprecedented molecular architectures.

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