# Urine TERT promoter mutations-based tumor DNA detection in patients with bladder cancer: A pilot study

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Abstract. Telomerase reverse transcriptase (TERT) promoter mutations are the most frequent genetic events in bladder cancer (BC). The aim of the present pilot study was to evaluate the diagnostic potential of urine TERT promoter mutations-based liquid biopsy in patients with an ongoing oncological process, as well as in post-resection patients at risk of BC recurrence. A total of 60 patients were enrolled, of whom 27 patients had histologically proven BC; 23 had no signs of BC (control group); and 10 patients underwent transurethral malignancy resection 3-6 months prior to urine donation ('second look' group). Urine TERT promoter mutations were detected using Droplet Digital PCR. Receiver operating characteristic curve analysis revealed significant diagnostic power of the present approach (area under the curve: -0.768). At the cut-off value of tumor DNA fraction 0.34%, the sensitivity and specificity were 55.56 and 100%, respectively. In the positive samples, tumor DNA fraction varied significantly from 0.59 to 48.77%. In the 'second look' group, tumor DNA was detected in 4/10 patients, highlighting the possibility of BC recurrence with its fraction ranging only from 0.90 to 6.61%. Therefore, urine TERT promoter mutations-based liquid biopsy appears to be a promising tool for BC diagnosis and surveillance. The main study will include recruitment of additional patients, extension of the mutation panel, prolonged follow-up of the post-resection patients, as well as screening of industrial workers exposed to specific carcinogens.

# Introduction

Bladder cancer (BC) is the most common urinary tract malignancy, ranking 9th in frequency and 13th in mortality rate amongst other types of cancer (1). The global age-standardized incidence rate and age-standardized mortality rate of this disease per 100,000 population are 5.7 (2.4 in women and 9.6 in men) and 1.9 (0.87 in women and 3.2 in men), respectively (2). BC tends to occur more frequently in regions with high Human Development Index, and its mortality rate is higher in those regions as well (3). The main risk factors contributing to BC development are tobacco smoking and occupational exposure to carcinogens (such as various aromatic amines, which are used in dye and rubber industry), and the incidence rate of BC appears to be declining in regions with an overall decrease in the smoking population (4).

BC is known to have high recurrence rate. In a population-based analysis Chamie *et al* (5) demonstrated that 39.1% of patients experienced recurrence without progression, while 33.0% had recurrence with progression. Therefore, patients require continuous follow-up over the course of the first 5 years following cancer resection (every 3-6 months), making BC one of the most costly human cancers in terms of patient management (6,7).

Cystoscopy and urine cytology are widely accepted as routine techniques for the detection, diagnosis and surveillance of BC. Cystoscopy is an effective diagnostic tool, with a sensitivity of 62-84% and a specificity of 43-98%, depending on the tumor stage and type (8). However, its invasive nature, high cost and high intra- and interobserver variability limit the diagnostic potential of this technique (9,10). In addition, following cystoscopy, patients may suffer from various adverse effects, such as pain during urination (50%), frequent urination (37%), hematuria (17%) and urinary tract infection (3%) (11,12). Urine cytology, on the other hand, is a non-invasive approach with a high sensitivity (up to 100%) for high-grade tumors and a low sensitivity (4-31%) for low-grade tumors (13). However, interpretation of urine cytology results may be impeded in the presence of urolithiasis, infections and low cellular yield (14).

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Therefore, there is an urgent need to improve the methods for BC diagnosis and follow-up. Due to both recent advances in genomic, epigenomic and proteomic studies of tumor tissue, and the development of sensitive analytical molecular techniques, a new approach to cancer detection has emerged, namely liquid biopsy (15). This technique is based on the analysis of various tumor-related targets circulating in biological fluids, such as blood, urine, saliva and cerebrospinal fluid (16-19). The aforementioned targets include cell-free tumor DNA (tDNA), different types of tumor RNAs, peptides, metabolites, exosomes, endosomes, and even circulating tumor cells (20,21). Direct liquid biopsy and its modifications have been proven to be useful in almost every type of cancer, and currently represent an exponentially growing field of precision medicine (22,23).

As regards BC, urine is the bodily fluid most suitable for examination, as it bathes the surface of urothelial cells for an extended period of time, collecting cell-free tDNA and containing cells exfoliated from the tumor surface. Although the same, at least to some extent, may be said about blood, it was proven that the accuracy of BC diagnosis by means of cell-free tDNA detection is markedly lower in blood compared with urine (24,25). Despite the fact that the usefulness of urine tDNA-based liquid biopsy was successfully demonstrated in various regions worldwide, it is important to mention that the genetic features of a given population may have an impact on the diagnostic efficiency of a set biomarker (26-28). To the best of our knowledge, no clinical studies evaluating the advantages of the aforementioned approach have been conducted to date in the Russian Federation, the population of which has been proven to be genetically distinctive (29,30).

Thus, the aim of the present pilot study was to assess the diagnostic potential of urine tDNA-based liquid biopsy in patients with an ongoing oncological process, as well as in post-resection patients at risk of BC recurrence.

The telomerase reverse transcriptase (TERT) promoter 'hotspot' mutations C228T and C250T were selected as tDNA biomarkers, since they appear to be the most common in BC tumors (40-75%) and are completely absent in healthy somatic cells (31-33). Droplet Digital PCR (ddPCR) was the analytical method of choice due to its ability to precisely quantify target DNA, even in case of low allelic frequency (34-37). This approach to detecting TERT promoter mutations was previously proven to be comparable to the next-generation sequencing (NGS)-based validated system 'UroMuTERT' in terms of diagnostic accuracy (38).

### Materials and methods

*Pilot study design*. The study was approved by the Local Ethics Committee of Medical Research and Educational Center of Lomonosov Moscow University (protocol no. 4/20, dated 27.04.2020) and conducted according to the tenets of the Declaration of Helsinki. All involved patients provided signed informed consent forms. The pilot study design is shown in Fig. 1. In total, 60 patients were enrolled between April 2019 and October 2020; among those, 27 patients had histologically proven non-muscle-invasive BC (BC group); 23 had either no urological disease, or cystitis/benign prostatic hyperplasia with no signs of BC during cystoscopy, which was

performed in case of hematuria (control group); and 10 patients underwent transurethral malignancy resection 3-6 month prior to study enrollment, with negative cystoscopy results at the time of biomaterial donation ('second look' group). The clinical and demographic characteristics of the study participants are summarized in Table I. Tumor size was evaluated via transabdominal 3D ultrasonography using SonoAce X8 system (Samsung Medison Co., Ltd.).

Sample collection and processing. Urine samples were collected prior to any diagnostic/surgical manipulations. Intact urine was aliquoted into 4-ml tubes and immediately stored at -20°C. After defrosting, each urine sample was thoroughly mixed by pulse-vortexing. DNA was isolated from 4 ml of intact urine using QIAamp Circulating Nucleic Acid Kit (Qiagen GmbH) according to the manufacturer's instructions. The incubation during the lysis phase was prolonged for an additional 20 min to ensure that all remaining cell particles had been eliminated, as the standard manufacturer's protocol was designed for urine supernatant and not for intact biomaterial. Such approach allows to enrich the resulting solution with cell-free tDNA, as well as with genomic tDNA, and to ensure high DNA concentration in the sample.

Synthetic DNA constructs. The reference plasmids were created using pUC19 vector (cloning sites KpnI and HindIII). The mutant inserts were obtained with PCR-amplification with the next primers: Forward primer, 5'-ATAGGTACCAGTGGA TTCGCGGGCACAGA-3' and reverse primer, 5'-AGTCAA AAGCTTCAGCGCTGCCTGAAACT-3' (Evrogen RU, AO). Genomic DNA isolated from liver cancer cells (originating from the HepG2 cell line; cat no. 85011430; MilliporeSigma) was used for amplification of the mutant C228T fragment. To amplify the mutant insert, Q5<sup>®</sup> High-Fidelity DNA Polymerase (New England BioLabs, Inc.) was used. The final stage was blue-white selection of obtained plasmids. In order to create the plasmid, which contains both C228T and C250T mutations, site-directed mutagenesis was applied to the plasmid with the C228T insert, followed by treatment with DpnI and blue-white selection.

tDNA quantification. Quantification of DNA was performed using the QX200 ddPCR System (Bio-Rad Laboratories, Inc.). Droplet generation, amplification and analysis were carried out following the manufacturer's instructions. Detection of TERT promoter C228T and C250T mutations was achieved using TaqMan Liquid Biopsy dPCR Assays (TERT\_C228T, Assay ID Hs00000092\_rm and TERT\_C250T, Assay ID Hs00000093\_rm; Thermo Fisher Scientific, Inc.). The thermocycling conditions were set according to the manufacturer's recommendations for the aforementioned assays (holding stage at 95°C for 10 min, 40 cycles of denaturation at 94°C for 30 sec and annealing at 60°C for 1 min, holding stage at 98°C for 10 min). Human Genomic DNA Version 09 (Roche Diagnostics) was used to establish the false-positive droplet threshold in a series of ddPCR experiments with gradually increasing control DNA input. This approach is necessary to distinguish positive and negative clinical samples at lower levels of DNA. The linearity of the tDNA quantification was assessed in a series of experiments with gradual dilutions of

Parameters	Bladder cancer group (n=27)	Control group (n=23)	'Second look' group (n=10)
Age, years <sup>a</sup>	66 (26-85)	35 (22-65)	65 (44-83)
Sex, n			
Male	22	16	9
Female	5	7	1
Smoking, n	7	1	3
Tumor stage, n			
0a	0	N/A	1 <sup>b</sup>
Ι	25	N/A	9 <sup>b</sup>
II	1	N/A	$0^{\mathrm{b}}$
III	1	N/A	$0^{\mathrm{b}}$
Tumor grade, n			
Low	16	N/A	N/A
High	6	N/A	N/A
Unknown	5	N/A	N/A

and demographic		

<sup>a</sup>Presented as mean (range). <sup>b</sup>Tumor stage prior to resection. N/A, not available.

the aforementioned synthetic DNA constructs, carrying TERT promoter C228T and C250T mutations, in the control genomic DNA solution.

Statistical analysis. The results of tDNA quantification are presented as mutated DNA fraction: Mutated DNA/(wild type + mutated DNA), %. Data were analyzed using IBM SPSS Statistics 22.0 Software (IBM Corp). Receiver operating characteristic (ROC) curve analysis was carried out to establish tDNA fraction cut-off value at optimal sensitivity and specificity. Area under the ROC curve (AUC), overall accuracy, positive and negative predictive values were calculated to evaluate the diagnostic power of TERT promoter mutations-based urine liquid biopsy. Data distribution was assessed using Shapiro-Wilk's test. Due to absence of normal distribution, the correlation between tDNA fraction and tumor size was calculated using Spearman's rank correlation coefficient. The linear association of two variables was expressed with the linear correlation coefficient value (r.). P<0.05 was considered to indicate a statistically significant difference.

### Results

*Preclinical assay validation.* In order to combat the issue of false-positive droplets, a series of ddPCR experiments with wild-type control DNA samples were conducted. While still being in a low input range (<400 copies/ $\mu$ l per reaction), the concentration of DNA in each sample was gradually increasing from 50 to 400 copies/ $\mu$ l per reaction. The maximum number of false-positive droplets per sample using TERT C228T and C250T primers and probes was registered (Fig. S1). According to these results, the false-positive droplet threshold was set to 3 droplets. Thus, all samples with  $\leq$ 3 positive droplets were considered as mutation-negative by default.

Determination of the quantitative tDNA analysis linearity is a crucial step in the preclinical assay validation. Experimentally calculated tDNA fraction demonstrated a strong linear association with the expected tDNA fraction, achieved through gradual dilution of mutation-positive plasmid in control genomic DNA solution, for both TERT promoter C228T (r=0.94) and C250T (r=0.99) mutations (Fig. S2).

*Evaluation of liquid biopsy analytical parameters*. All urine-derived DNA samples of patients from the BC and control groups were successfully analyzed by means of ddPCR. The results of tDNA quantification in each studied sample are summarized in Table SI. ROC curve analysis was implemented to establish the tDNA cut-off value and to determine the analytical parameters of TERT promoter mutations-based urine liquid biopsy (Fig. 2). The AUC appeared to be 0.768, indicating a significant diagnostic power of the presented model. According to the results of ROC curve analysis, the cut-off value was established at tDNA fraction 0.34%. Samples with >3 mutation-positive droplets, but <0.34% tDNA fraction, were still considered as mutation-negative.

At the set cut-off value, the sensitivity and specificity were 55.56 and 100%, respectively. tDNA fraction varied significantly from 0.59 to 48.77% (median, 25.27%; interquartile range, 5.41-31.39%; Fig. 3). Examples of ddPCR outcomes of TERT promoter mutations detection in representative patients are provided in Fig. S3. Nevertheless, no correlation was observed between tumor size and tDNA fraction ( $r_s=0.174$ , P=0.535), or tDNA level (expressed in copies/ $\mu$ l per reaction mixture;  $r_s=0.129$ , P=0.646). TERT promoter C228T mutation appeared to be the most frequent, as it was detected in 14/27 patients, while C250T mutation alone was detected in 3 cases.

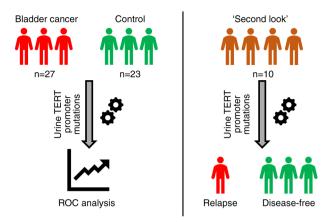


Figure 1. Pilot study design. ROC, receiver operating characteristic; TERT, telomerase reverse transcriptase.

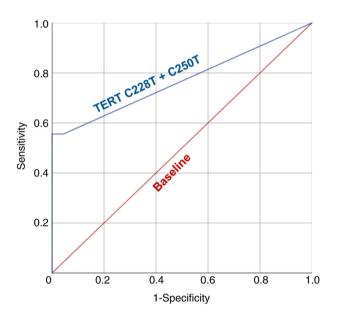


Figure 2. Receiver operating characteristic curve for diagnosis of bladder cancer using urine TERT promoter mutations-based liquid biopsy. TERT, telomerase reverse transcriptase.

Detection of BC recurrence. As previously stated, BC is characterized by a markedly high recurrence rate; therefore, post-resection patients require regular follow-up diagnostic procedures every 3-6 months (5-7). TERT promoter mutations-based liquid biopsy of the 'second look' group revealed that 3/10 patients had TERT promoter C228T mutated DNA molecules in their urine, and 1/10 had C250T mutated genetic material. The tDNA fraction in mutation-positive patients from the 'second look' group was significantly lower compared with that in the BC group, ranging from 0.90 to 6.61% (Fig. 4). It is important to mention that cystoscopy was performed immediately after the biomaterial collection was found to be negative, and from the clinical perspective it cannot be stated yet that these patients experience a BC recurrence. However, the fact that false-positive results were completely absent during the analysis of the control group allows us to hypothesize that BC recurrence has already occurred at the cellular level and its clinical diagnosis is expected in the near future.

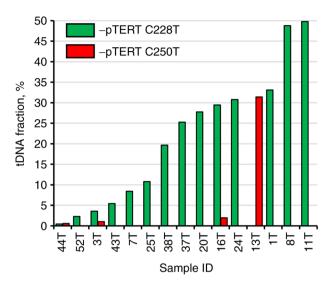


Figure 3. Distribution of tDNA fraction in TERT promoter mutation-positive urine samples from patients in the bladder cancer group. TERT, telomerase reverse transcriptase; pTERT, TERT promoter; tDNA, tumor DNA.

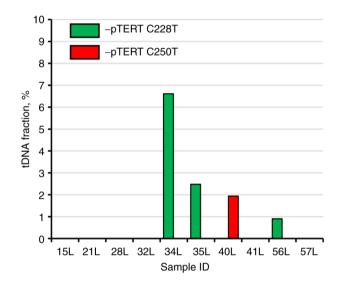


Figure 4. Distribution of tDNA fraction in urine samples from patients in the 'second look' group. TERT, telomerase reverse transcriptase; pTERT, TERT promoter; tDNA, tumor DNA.

#### Discussion

ddPCR is a robust and precise analytical tool for absolute quantification of DNA molecules, which is capable of detection of individual tumor-derived copies of genetic material (39,40). Theoretically, a single mutation-positive droplet in a sample indicates the presence of a malignant cell in the organism; however, ddPCR as a method has several limitations, such as non-specific amplification and fluorescent probe degradation, which may cause some droplets to be detected as false-positive (41). Generally, the more amplification events occur (high concentration of DNA in the sample, increased amount of amplification cycles), the more false-positive droplets are detected. If the DNA input is sufficiently large, a few false-positive droplets cannot disrupt the analysis, as the overall tDNA fraction will be close to zero and the competently established cut-off value (which may be obtained during comparison of patients with BC with an adequate group of control patients) will separate false-positive samples from the true-positive ones. However, this is not the case in samples with low DNA input, in which even a single false-positive DNA molecule may easily mislead the operator. In the present study, a simple approach to diminishing the risk of false-positive droplets was demonstrated based on a series of experiments with gradual dilution of wild-type DNA. This approach allows to simplify the ddPCR plate layout during the analysis of clinical samples, eliminating the need to use positive control for mutation calling.

The advent of oncology-related metagenomic projects, such as 'Catalogue Of Somatic Mutations In Cancer' and 'The Cancer Genome Atlas Program', among others, has enabled the development of targeted genetic approaches to the diagnosis of certain types of cancer (42-45). The present pilot study is an example of the implementation of such an approach. TERT promoter mutations have already been proven to be one of the most frequent and reliable detectable genetic alterations in the urine of patients with BC in various populations (24,31,38,46,47).

The sensitivity of the assay achieved in the pilot study was 55.56%, which is not considerably high, although, as it was previously mentioned, it appears that these mutations are present only in 40-75% of patients BC (31,33). Furthermore, in other similar urine liquid biopsy studies, TERT promoter mutations were detected in 52-56% of patients with BC, which was in complete concordance with our results (46-48). Both studied mutations activate TERT gene transcription and are associated with elevated telomerase activity and stable telomere length (49). However, they are subject to different regulatory mechanisms, which may find clinical relevance upon further investigation (50). Thus, it is viable to analyze both mutations, although in the present study the contribution of the C250T mutation to the overall sensitivity was significantly lower compared with that of C228T, as it was detected in only 4/27 vs. 14/27 of the patients, respectively.

TERT promoter mutations, due to their high frequency in BC, may be used in BC diagnosis on their own with an appropriate level of sensitivity, yet it is obvious that a more comprehensive approach (in terms of selected mutations) will have a greater diagnostic power.

Ou et al (24) developed a NGS-based model, which included 5 genes for urine supernatant (TERT, FGFR3, TP53, PIK3CA and KRAS) and 7 genes for urine sediment (TERT, FGFR3, TP53, HRAS, PIK3CA, KRAS and ERBB2), which yielded AUCs of 0.94 and 0.91, respectively, in the validation cohort. Moreover, the combination of mutations (TERT, FGFR3 and HRAS) and methylation biomarkers (OTX1, ONECUT2 and TWIST1) also led to incredible results, with an AUC of 0.96, as reported by van Kessel et al (51). ddPCR, which was used in the present pilot study, is not suitable for a simultaneous analysis of multiple hotspot mutations in several genes, although extension of the mutations panel up to 8 targets per urine-derived DNA sample is easily achievable without any notable time delay or increase in cost. New perspective genetic BC biomarkers emerge regularly; for example, Wu et al (52) recently conducted a study dedicated to the sequencing of non-coding elements in BC; it was demonstrated that two neighboring point mutations in the enhancer region of the ADGRG6 gene are quite frequent (~25% of patients). Thus, the selection of up to 8 most frequent mutations will not impair the ddPCR-based liquid biopsy and is expected to make it at least on par with the aforementioned NGS-based approaches in terms of diagnostic efficiency, as they are more cost-effective and easier to implement in a common clinical laboratory.

As previously mentioned, no correlation was observed in our pilot study between tumor size and level/fraction of tumor-derived DNA; however, the sample size was not sufficiently large to reach definitive conclusions. Nonetheless, this appears to be counterintuitive and it would be reasonable to hypothesize that, the bigger the tumor, the higher the tDNA fraction, both in the urine and plasma. In fact, this topic is more complex when several other factors are considered.

Recent cancer cell culture studies demonstrated that the cell-free DNA levels did not correlate well with the process of apoptosis and necrosis, thereby providing evidence for the active release of cell-free DNA, the dynamics of which are yet to be uncovered (53,54). Additionally, it is known that tumor growth may be paired with nutrient deprivation of the surrounding tissue and the organism as a whole, which may eventually cause an increase in the overall release of cell-free non-tDNA; thus, theoretically, with the growth of the tumor its DNA fraction value will not grow, as actually both elements of its equation (nominator and denominator) will increase (55). In a large multi-center study it was demonstrated that the tumor-derived cell-free DNA fraction varies significantly in patients with the same type and stage of cancer (56). However, some studies reported a correlation with advanced-stage disease or with a sufficiently large tumor size, starting from 27 cm<sup>3</sup> (57,58). Absolute tDNA value (expressed in copies/ $\mu$ l) is an alternative to tDNA fraction, although it is highly susceptible to DNA isolation inconsistency and, in our case, to the state of the biomaterial, as intact urine was used, in which the content of exfoliated tumor cells is expected to vary significantly among different patients.

Although TERT promoter mutations are generally considered to be an early event in tumor formation, this does not indicate that all malignant cells carry these genetic alterations (59). Amongst the mutation-positive pilot study participants, 3 patients had TERT promoter C228T and C250T mutations simultaneously, and the tDNA fractions were as follows: In the first patient, 0.45 and 0.59%, respectively; in the second patient, 3.55 and 1.03%, respectively; and in the third patient, 29.46 and 1.93%, respectively. In the third patient, the difference was almost 15-fold, indicating that the C250T mutation possibly occurred notably later in the course of disease progression. This fact allows us to infer that the same may be applicable in other cases of BC: The tumor may be large, but only a certain proportion of its cells carries the studied mutation. Of note, detection of several hotspot mutations at once and usage in the following calculations of the one with the highest fraction or level can substantially decrease the influence of the discussed phenomenon.

Therefore, a larger sample size, an extended mutation panel and implementation of another tDNA level normalization approach are required to assess the ability of tDNA to reflect the tumor size with more confidence. Cell-free tDNA-based liquid biopsy not only carries a great diagnostic potential in patients presenting with at least some clinical BC manifestations, but it is also capable of detecting BC up to 10 years prior to clinical diagnosis (60). Moreover, it was recently demonstrated that post-radical cystectomy patients with metastatic relapse had significantly higher cell-free plasma tDNA levels compared with disease-free patients during a 3-year follow-up (61). These data, as well as the absence of false-positive results in our pilot study, suggest that mutation-positive patients from the 'second look' group are likely to experience cancer relapse. All patients from this group will be participating in our main study, together with additional recruited participants. We plan to continue their post-malignancy resection follow-up and to monitor the individual tDNA status in the urine.

In conclusion, urine liquid biopsy has promising clinical potential, making it the next possible step in the field of precision medicine. Future incorporation of this non-invasive diagnostic approach into the routine clinical workflow may significantly decrease the number of costly manipulations, such as cystoscopy. Our plans for the main study include recruitment of additional patients, extension of the mutation panel, simultaneous analysis of DNA derived from tumor tissue, plasma and urine, prolonged follow-up of the post-resection patients, as well as screening of industrial workers exposed to specific carcinogens.

There were certain limitations to the present study: The sample size in the present pilot study was not sufficient to reliably reflect the TERT promoter mutation frequency in Russian patients with BC. An increase of the number of study participants in the main study may alter the calculated sensitivity and specificity for this biomarker. Absence of genomic DNA derived directly from the resected tumor limits our knowledge on the actual tumor genotype in the BC and the 'second look' groups. TERT promoter mutations are also frequently encountered in several other cancer types, such as glioma, melanoma, pancreatic and thyroid cancers (62). Thus, there is a slight possibility that mutation-positive DNA detected in the urine may have originated from another undiagnosed non-BC primary tumor.

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## Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

# Authors' contributions

Conceptualization, AK and MZ; methodology, MJ and EP; software, PK and EP; validation, DK, AT, LS and AB; formal analysis, DO; investigation, MJ and MZ; resources, DK and

AT; raw data generation, MJ and PK; data curation, AT and DK; writing-original draft preparation, MJ; writing-review and editing, MZ and AB; visualization, DO; supervision, LS; project administration, AK; funding acquisition, AK and MZ. All the authors have read and approved the final manuscript.

## Ethics approval and consent to participate

The study was approved by the Local Ethics Committee of Medical Research and Educational Center of Lomonosov Moscow University (protocol no. 4/20, dated 27.04.2020) and conducted according to the tenets of the Declaration of Helsinki. All involved patients provided signed informed consent forms.

### Patient consent for publication

Not applicable.

#### **Competing interests**

The authors declare that they have no competing interests.

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