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RESEARCH ARTICLE

Modeling COVID-19 Mortality Across 44 Countries: Face Covering May Reduce Deaths



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Introduction: Despite ongoing efforts to vaccinate communities against COVID-19, the necessity of face mask use in controlling the pandemic remains subject to debate. Several studies have investigated face masks and COVID-19, covering smaller and less diverse populations than this study's sample. This study examines a hypothesized association of face-covering mandates with COVID-19 mortality decline across 44 countries in 2 continents.

Methods: In a retrospective cohort study, changes in COVID-19–related daily mortality rate per million population from February 15 to May 31, 2020 were compared between 27 countries with and 17 countries without face mask mandates in nearly 1 billion (911,446,220 total) people. Longitudinal mixed effect modeling was applied and adjusted for over 10 relevant demographic, social, clinical, and time-dependent confounders.

Results: Average COVID-19 mortality per million was 288.54 in countries without face mask policies and 48.40 in countries with face mask policies. In no mask countries, adjusted average daily increase was 0.1553 - 0.0017 X (days since the first case) log deaths per million, compared with 0.0900 - 0.0009 X (days since the first case) log deaths per million in the countries with a mandate. A total of 60 days into the pandemic, countries without face mask mandates had an average daily increase of 0.0533 deaths per million, compared with the average daily increase of 0.0360 deaths per million for countries with face mask mandates.

Conclusions: This study's significant results show that face mask mandates were associated with lower COVID-19 deaths rates than the rates in countries without mandates. These findings support the use of face masks to prevent excess COVID-19 deaths and should be advised during airborne disease epidemics.

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INTRODUCTION

oronavirus disease 2019 (COVID-19) spread continues to impose a significant global public health burden.¹ At the beginning of the COVID-19 pandemic, prominent health authorities were reluctant to support face masks as a preventive measure.^{2,3} Laboratory studies have revealed that COVID-19 is an airborne disease.^{4,5} A meta-analysis study of severe acute respiratory syndrome (SARS) coronavirus and Middle East respiratory syndrome coronavirus transmission showed that face masks could reduce infection risk.^{6–9} Several studies showed that acute respiratory distress syndrome (ARDS) in influenza,^{10,11} SARS, and Middle East respiratory syndrome^{12,13} were induced by high initial viral load, which increased

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mortality risk. A study from Pujades et al.¹⁴ revealed the association between SARS coronavirus 2 (SARS-CoV-2) viral load and increased mortality.

There has been extensive debate around face mask effectiveness in controlling the COVID-19 pandemic.¹⁵ ⁻²⁰ Several studies have suggested near-universal face

covering as an effective protective measure.^{7,21–30} From the U.S., numerous studies revealed that face masks averted COVID-19 disease incidence and mortality in several states.^{24,31–36} Furthermore, in overpopulated and economically challenged communities where lockdowns and social distancing are not feasible, Tucho and colleagues³⁷ recommended universal face covering to help virus containment.

In this study, an association of face-covering policy with COVID-19 mortality decline is hypothesized, and the impact of face mask policy on COVID-19 mortality rate is compared in 44 countries, comprising a population of almost 1 billion (911,446,220) persons in Europe and Asia. These findings are helpful for evidence-based policymaking in future rapid responses to airborne epidemics.

METHODS

Study Sample

This retrospective cohort study included 44 countries in Asia and Europe. COVID-19 mortality changes per million population (outcome) over time between countries with and those without a face mask policy (exposure) were compared.

Measures

The study period spanned from February 15, 2020, which was the date of the first confirmed COVID-19 death within the targeted countries, to May 31, 2020. This period was objectively selected because all countries, both with and without mask policies, had homogeneously implemented restrictions of movement and gathering. This created an ideal window with all nonpharmaceutical interventions in place so that they were automatically controlled for in this model, making it more parsimonious. Beyond this period, many countries lifted gathering and movement restrictions (Appendix 1, available online), or public compliance declined, hence relevant confounding impact potentially increased.

In all countries, COVID-19 mortality data were obtained from the first confirmed COVID-19 death to May 31, 2020, within each respective country. This provided a range of 54–107 daily observations per country.

To improve comparability of countries and reduce chances of selection bias, the top 50 countries of the UN Development Programme Human Development Index ranking in 2019 were targeted.³⁸ These countries are also ranked as the first 50 countries on the WHO's Health System Performance index.³⁹ Technical definitions are provided in Appendix 2 (available online).

The comparability of selected countries was improved by controlling health system performance confounders of quality of life, education level, and public compliance (social trust) with governments. Among the 50 selected countries, 4 countries in the Southern Hemisphere (New Zealand, Australia, Chile, and Argentina) were excluded to avoid potential seasonality characteristics. Furthermore, the U.S. and Canada were excluded owing to their state/province-based policies and lack of a unified national health policy. Table 1 lists the 44 countries included in this study.

This study did not involve any individually identifiable data, and did not impose any risk of violating the Declaration of Helsinki; therefore, ethics committee approval was not required. Countrylevel COVID-19 mortality, number of performed COVID-19 tests and cases, and each country's date of first COVID-19 case and death report were retrieved from Our World in Data database.⁴⁰ Each country's data and timeline on face mask policies and quarantine were obtained from a given country's official online resources (Appendix 1, available online). Demographic and socioeconomic data, including population size, median population age, percentage urban population, percentage population aged >65 years, sex ratio, population density (per km²), health expenditure per capita, net migrants index, intensive care unit beds per 100,000 population, and hospital beds per 1,000 population were collected from the World Bank.⁴¹ The Infectious Disease Vulnerability Index⁴² was used as a proxy for social trust between governments and citizens.

Potential confounders between face mask policy and mortality reduction, such as nonpharmaceutical interventions, were identified. Other studies suggest that face covering is effective in combination with social distancing–related measures.⁷ These interventions are highlighted in Table 2,^{7,21,22,40,43} and relevant dates are detailed in Appendix 1 (available online). Reliable data on hospital triage, contact tracing, and personal hygiene were unavailable for all countries during the study period.

Quarantine (including all alternatives reflected in Table 2 7,21,22,40,43) was operationalized as a binary variable in this model. In longitudinal analysis, at the time of each mortality data point, if quarantine was active, the variable took the value of 1 and 0 otherwise.

The time intervals, by days, between the first COVID-19 confirmed case in each country and all mortality data points were calculated. The time interval variable was used in longitudinal analysis to adjust for the impact of face mask policy duration on COVID-19 spread and mortality and other time-dependent confounders such as increased public awareness.

Statistical Analysis

Data imputation, due to missing observations, was performed using multivariate imputation by chained equation⁴⁴ for health expenditure per capita, intensive care unit beds per 100,000 population, hospital beds per 1,000 population, and social trust score. Then, bivariate analysis was performed on the variables mentioned earlier using the Wilcoxon rank sums test to evaluate any differences between countries with and without a face mask policy (Table 1). For modeling of mortality rate, a longitudinal mixed effect model was constructed with random intercept and random slope for time interval variable (Days) to assess change of mortality per million population. A random intercept was adjusted for variability within each country, and a random slope was adjusted for variation of mortality rates across different countries. An autoregressive model of order 1 was imposed as a correlation structure to account for data dependency within each country. For variables with collinearity, those with higher scientific

Parameter	Countries with national mask policv ^a	Countries with no national mask policy ^b	
	Median (IQR)	Median (IQR)	<i>p</i> -value
Total population, n	557,916,212	353,530,008	
Average population per country (2020)	5,850,343 (8,327,314)	9,449,321 (29,392,625)	0.46
Median age, years	41.9 (6.9)	42.0 (2.8)	0.80
Sex (males per 100 female population)	97.75 (8.34)	98.46 (3.73)	0.72
Population aged >65 years, %	16.25 (5.37)	19.48 (2.92)	0.14
Urban population, %	77.31 (24.06)	82.25 (9.8)	0.72
Migrants (net)	10,000 (38807.5)	28,000 (39,270)	0.30
Density (population count/Km ²)	113.13 (215.72)	122.58 (167.39)	0.52
Health expenditure, USD	2,619 (1,080.5)	4,228 (2,998)	0.07
ICU beds per 100,000	9.1 (3.3)	9.2 (3.0)	0.87
Hospital bed per 1,000	4.69 (3.59)	3.32 (1.99)	0.22
Overall social trust score	0.80 (0.11)	0.87 (0.18)	0.30

Table 1. Sociodemographic Parameters Included in the Study

^aAustria, Bahrain, Cyprus, Czech Republic, Estonia, Germany, Greece, Hong Kong, Iceland, Ireland, Hungary, Israel, Japan, South Korea, Latvia, Lithuania, Luxembourg, Malta, Oman, Poland, Portugal, Qatar, Russia, Singapore, Slovakia, Slovenia, United Arab Emirates.

^bAndorra, Belarus, Belgium, Brunei, Croatia, Denmark, Finland, France, Italy, Liechtenstein, Netherlands, Norway, Saudi Arabia, Spain, Sweden, Switzerland, United Kingdom.

USD, U.S. dollar; ICU, intensive care unit.

Table 2.	Selected Non-Pharmaceutical	Interventions for Pre-
vention	of COVID-19 ^{7,20,21,39,42}	

Non pharmaceutical interventions	Variations
Face coverage	Facemask, Face cloth
Restriction of movement	Quarantine (voluntary, mandatory) Home stay (voluntary, mandatory) Travel restriction (voluntary, mandatory)
Social distancing	Physical distancing Cancellation of public event Schools' closure Business closure Restriction of gathering above 2 persons Restriction of gathering above 5 persons Restriction of gathering above 50 persons Restriction of gathering above 500 persons Restriction of gathering above 1000 persons
Testing and tracking	Early detection Contact tracing
Hospital triage	Traffic Control Bundling (eTCB)
Case isolation	After disease manifestation Upon arrival from abroad After departing the contaminated location
Hand washing	Hand sanitation Personal hygiene Hand hygiene Surface hygiene
Public education	Public information Changing public behavior Public awareness raising

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plausibility were selected. Natural log transformation on the output variable helped to ensure that observations were on the same scale. The final model carried the following form:

In this model, μ_i and v_i are random intercepts and random slopes, respectively. Days (time-interval variable) was defined as the number of days since the first COVID-19 incidence in each country *i* until observation j. The Days² variable was included to account for nonlinearity in mortality rate. The Monday variable captures whether the observation is collected on a Monday or otherwise to adjust for any delayed reporting of mortality data over the weekend. The Group variable indicates whether the country of interest belongs to the masked country group or otherwise, and the Mask variable indicates whether at time j country has mask implementation or not. For face mask countries, observations before mask implementation were considered as pre-exposure data points. By contrast, the remaining data points were considered as exposure outcome (in face mask countries) and nonexposure outcome (in no face mask countries). To fit this model, within the statistical software R environment, version 3.6.3, the lme function in the nlme package was employed.45

RESULTS

Table 1 shows the sociodemographic characteristics of the 2 cohorts of countries with and without face mask

Table 3.	The Longitudinal	Multivariate Analysis	of Mortality	Change per Million
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	Full model		mAIC			
Parameter	Coefficient	SE	<i>p</i> -value	Coefficient	SE	p-value
Intercept	-0.94	1.72	0.58	-4.28	1.42	<0.01
Group (mask vs no mask)	1.33	0.48	<0.01 ^a	0.65	0.49	0.19
National mask policy (yes vs no)	0.34	0.10	<0.01	0.34	0.10	<0.01
Number of days since the first COVID-19 case	0.16	< 0.01	<0.01	0.16	< 0.01	<0.01
Number of days since the first COVID-19 case (squared)	>-0.01	<0.01	<0.01	>-0.01	< 0.01	<0.01
Monday (yes vs no)	>-0.01	< 0.01	<0.01	>-0.01	< 0.01	<0.01
Density (population count/Km ²)	>-0.01	<0.01	0.22			
Population aged >65 years, %	0.10	0.04	0.02			
Urban population, %	>-0.01	< 0.01	0.72			
Sex (female vs male)	<0.01	< 0.01	0.59			
National movement restriction (yes vs no)	0.03	0.01	<0.01			
Net migrants index	>-0.01	< 0.01	0.34			
Health expenditure per capita	<0.01	<0.01	<0.01			
Number of ICU beds per 100,000 population	0.02	0.03	0.57			
Number of hospital beds per 1,000 population	-0.16	0.06	<0.01			
Social trust score	-5.52	2.01	<0.01	2.43	1.63	0.14
Group x days since the first COVID-19 case	-0.05	< 0.01	<0.01	-0.05	< 0.01	<0.01
Group x days since the first COVID-19 case (squared)	<0.01	< 0.01	<0.01	<0.01	< 0.01	<0.01
National mask policy \times number of days since the first COVID-19 case	-0.01	<0.01	0.01	-0.01	<0.01	0.02
National mask policy \times number of days since the first COVID-19 case (squared)	<0.01	<0.01	0.10	<0.01	<0.01	0.10
R2 marginal		0.68			0.47	
R2 conditional		0.97			0.97	

Note: Boldface indicates statistical significance (p<0.05). Numbers are displayed up to 2 decimal points. Numbers within the range of 0.01 and – 0.01 have been displayed accordingly.

mAIC, marginal Akaike Information Criterion; ICU, intensive care unit.

policies. No significant difference between the 2 cohorts was found concerning their population and healthcare system variables.

From the first confirmed COVID-19 case until May 31, there were 2,167,664 confirmed COVID-19 cases in the 44 study countries. Of these, 1,253,757 cases were in no face mask countries, and 913,907 cases were in face mask countries; this difference was statistically significant (p<0.01).

The average COVID-19 mortality per million population was 288.54 in countries without face mask policies and 48.40 in countries with face mask policies. The earliest first confirmed case was on January 20, 2020 in South Korea, and the latest was on March 9, 2020 in Cyprus.

Among the 44 countries in this study, Russia (February 7, 2020) and South Korea (February 15, 2020) were the first 2 countries to set a face mask policy, whereas Greece (May 4, 2020) and Ireland (May 5, 2020) were the last. In all countries, there was movement restriction, except in Bahrain, Japan, and South Korea in the face mask group and Andorra, Belarus, and Brunei in the no face mask group. Table 3 shows the longitudinal mixed effect model. Interaction terms National Facemask Policy \times Days, Group \times Days, and Group \times Days² were all statistically significant, revealing a significant difference in mortality growth rate in countries with a face mask policy compared with that in those without. Consolidating results, a parsimonious longitudinal model (as shown below) was generated to focus on only grouping face mask policy and days while holding all other covariates constant:

Logarithmic Mortality per Million Population = 1.3328(Group) + 0.3402(Mask) + 0.1561(Days) - 0.0009(Days²) - $0.0543(Group \times Days)$ + $0.0003(Group \times Days²)$ - $0.0113(Mask \times Days)$ + $0.0001(Mask \times Days²)$.

This equation translates into a daily average increase of 0.1553–0.0017 (days since the first case) log deaths per million in no face mask countries compared with a daily average increase of 0.0900–0.0009 (days since the first case) log deaths per million in facemask countries. Figure 1 shows these results, showing a significantly lower increase of daily log mortality in face mask



Figure 1. Predicted daily COVID-19 mortality over time in countries with and without facemask policy.

Note: This figure illustrates the daily mortality trend. The rate of increase of daily mortality per million population is significantly lower in countries with a facemask policy compared to the rate of increase in countries without a facemask policy. The illustration shows the gap between countries with and without facemask policy widens across time.

countries. For instance, 60 days into the pandemic, no mask countries had an average daily increase of 0.0533 deaths per million. This increase is significantly larger than the average daily increase of mask countries, which was 0.0360 deaths per million.

Over time, mortality rate gaps became smaller between countries with and without face mask policies. This may be due to gradually implementing stricter restriction guidelines. It is also expected to see faster declines when the initial mortality rate is much higher.

The full model explained the complete relationship between the predictors and response. Model selection was performed to check the necessity of including all predictors. The Akaike information criterion was used to screen out unnecessary predictors. For the mixed effect model, the marginal Akaike information criterion was used to select fixed-effect predictors.⁴⁶ During model selection procedures, the variables Monday, Days, Days², Group, Mask Policy, and the 4 interaction terms appearing in all potential models were fixed because they were the main study variables. On running selection algorithms, no significant difference was found between the reduced and full model; hence, the full model was omitted for brevity. Table 3 shows the reduced model. For both the full and reduced model, marginal and conditional R^2 -values are presented to assess goodness of fit.47

To further assess the validity of the model, the authors also investigated fitting the same data using a fixed-effect model. Although such a model may not fully capture the associations among the predictors and response, it is useful to confirm the results. The details are reflected in Appendix 3 (available online).

DISCUSSION

This study supports evidence in favor of face mask mandates as a strategy to decrease COVID-19 mortality. The results reveal a significant association between public face-covering policy and COVID-19 mortality rate reduction in 27 countries with face mask policies. Several studies support these results,^{7,21,24,32,34,35} but most examined smaller and less diverse populations than this study, and none performed a longitudinal mortality study. Few measured direct mask policy impact on COVID-19 mortality. In a recent comparison of daily county-level COVID-19 growth rates among U.S. states with and without state face-covering policy, multivariate difference-in-difference analysis revealed a significant daily decline in COVID-19 growth rate of 0.9-2.0 percentage points.³⁴ However, the investigators did not include mortality rates before and after states' mandates. In a meta-analysis of 44 observational studies across 16 countries with a total sample size of 25,697 patients, mask adoption decreased incidence rate with AOR=0.15 $(95\% \text{ CI}=0.09, 0.38)^7$; however, the focus of this metaanalysis was not on the impact of face masks on mortality. Coclite et al.⁴⁸ and Leffler and colleagues⁴⁹ noted a mortality decrease after mask adoption but did not find statistical significance with their modeling.

In selecting this study sample, the authors focused on countries with the highest health systems efficacy ranking to control for potential confounding due to unassured data.³⁹ Although comparability among included countries was improved, these findings may not be generalizable to countries with lower Human Development Index and health systems scores.^{38,39} However, Abdullahi et al.⁵⁰ and others^{37,51} suggest that universal face covering is beneficial for virus containment in developing countries.

In terms of modeling assumptions, it is necessary to show that data satisfy the parallel trend assumption, which in turn reveals the significance of the effect of the intervention. A dynamic modeling approach⁵² was used to verify this assumption for this data set. This model is similar to the main model presented in this paper while replacing the Group and Mask Policy variables with time-dependent indicator variables $(\sum_{k=-\infty}^{\infty} \gamma_k 1[K_{it} = k])$. The goal is to show these indicator variables are statistically insignificant before the implementation of a mask policy, indicating that no pretrend exists in the data. This investigation verified that the data do indeed confirm the nonexistence of a pretrend. Further explanations are provided in Appendix 4 (available online).

Moreover, to better assess the association of face mask policies and mortality with the presence of various nonpharmaceutical interventions, separate models that include these policies were fitted. Because the results remain consistent, the details are deferred to Appendix 5 (available online).

Focusing on the public compliance with mask mandates, there are variations across different countries. For instance, in April 2020, Eikenberry and colleagues³² estimated that near-universal (80%) adoption of moderately (50%) effective masks could reduce COVID-19 mortality rates in New York and Washington by 17%-45% and 24%-65%, respectively. Rosenstrom et al.³⁵ also suggest a significant decrease in COVID-19 prevalence and mortality if masks are adopted by 70% of the public. A literature search on public face mask compliance, as a COVID-19 preventive measure, yielded data for some target countries but not homogeneous data for all countries. Consequently, these could not be applied in this model, but it can be assumed that even 50% public compliance results in a significant difference as suggested by Rosenstrom and colleagues.³⁵ As a controlling proxy measure, countries with a higher level of public social trust in governmental measures (ranging from 0.6 to 1, median=0.8151) were chosen, and data on social trust were applied in this modeling to reflect the impact of public compliance.⁴²

The literature on public knowledge, attitude, and practice measures as well as compliance with face covering as a COVID-19 preventive measure were sought for targeted countries, and studies on 13 countries were found. Results of a positive response from participants is summarized as Hong Kong (96.6%),^{53,54} Saudi Arabia (90%),^{55,56} Japan (80.9%),^{57,58} Singapore (67.2%),⁵⁹ Greece (60%),⁶⁰ South Korea (48.8%),⁶¹ Croatia (52.8%),⁶² and Italy (89%).⁶³ Margraf et al.⁶⁴ reported that 91.7% of participants in their knowledge, attitude, and practice study from 8 countries (France, Germany, Poland, Russia, Spain, Sweden, United Kingdom, and the U.S.) adhered to governmental measures, and Ganczak and colleagues⁶⁵ reported 65.7%–73.6% adherence from Poland.

In an English-language search, no comprehensive data source on availability and type of face masks in studied countries was found. Asadi et al.¹⁵ reported that both surgical masks and unvented KN95 respirators reduced particle emission by 90% and 74%, respectively, on average compared with wearing no mask, whereas Ngonghala and colleagues²⁴ argued that even adoption of masks with <30% efficacy by 80% of the population can avert COVID-19 burden.

From the bioimmunological standpoint, clinical and laboratory evidence indicates that COVID-19 infection may lead to a cytokine storm, an overwhelming immune response to a pathogen, causing collateral damage (e.g., ARDS).^{66–70} In patients with COVID-19, the association between cytokine storm and ARDS has been documented by several studies.^{66,67,69} Yang et al.⁷¹ showed a relationship among SARS-CoV-2 exposure load, proinflammatory cytokine production, and COVID-19 disease severity. Other studies have revealed a relationship between SARS-CoV-2 viral load and mortality.14,72-76 Not using a face mask results in exposure to higher initial SARS-CoV-2 viral loads, thus increasing the probability of a proinflammatory cytokine reaction by the immune system.^{71,77} This is associated with mortality in cases of severe COVID-19 infection.^{72,73} Furthermore, it is in line with Milton and colleagues'78 findings associating face masks with decreased influenza viral load.

Two studies have argued that initial SARS-CoV-2 viral load does not predict COVID-19 severity and mortality.^{79,80} However, these studies assessed viral load at hospital admission time, several days after initial virus contact, which may be dependent on not only the initial viral load but also on patient—virus interactions and disease etiology.

The primary objective of this article was to assess the lessons learned from this pandemic to better prepare for future potential epidemics of airborne diseases before pharmaceutical interventions are available. Given that at this stage of the pandemic, countries are moving toward vaccinating their populations, there are 2 points to consider with regard to the necessity of face masks in this ongoing SARS-CoV-2 pandemic. Primarily, delays in vaccination continue to challenge health systems across the globe, and this work adds to evidence that before⁸¹ and even after⁸² full vaccination of the population, face masks continue to be a preventive measure against COVID-19. Secondly, across variants, vaccines may reduce mortality but not necessarily morbidity, and face masks continue to protect against both.

Strengths of this study include its longitudinal mixed effect model that was employed to explore COVID-19 mortality rates before and after face mask mandates, compared with that in countries without mandates. Applying a mixed-effect model with random intercept and slope adjusted for random variation across countries concerning these measures. The model impact was exercised on nearly 1 billion people. Detection of the unique ideal window for analysis mentioned earlier may also be seen as a strength of this paper. Finally, the main outcome variable was mortality, which represents a highly robust outcome.

Limitations

Regarding limitations, first, this model is not causal. Second, social and health system—related unmeasured confounders were limited by including only countries with the highest Human Development Index scores because data reliability from other countries was unassured. Third, reliable data on public compliance with face covering for all targeted countries in the study was unavailable. This was managed by applying a conservative 50% compliance suggested by Rosenstrom et al.³⁵ Finally, the literature review was conducted only in the English language.

CONCLUSIONS

The results of this study show a significant association between face mask mandates and reductions in COVID-19 mortality. This evidence supports the positive impact of face mask policies on saving peoples' lives.

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The study protocol, statistical code, and data sets used and analyzed in this study are available from the corresponding author (bmohit1@alumni.jh.edu) on reasonable request.

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SUPPLEMENTAL MATERIAL

Supplemental materials associated with this article can be found in the online version at https://doi.org/10.1016/j. amepre.2021.09.019.

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