1	Infection characteristics among Serratia marcescens capsule			
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# 24 ABSTRACT

25 Serratia marcescens is a healthcare-associated pathogen that causes bloodstream infections, pneumonia, and urinary tract infections. The capsule polysaccharide of S. marcescens is a critical 26 fitness determinant during infection and recent work established the relationship between capsule 27 28 locus (KL) genetic sequences within the species. Strains belonging to KL1 and KL2 capsule 29 clades produce sialylated polysaccharides and represent the largest subpopulation of isolates 30 from clinical origin while the S. marcescens type strain and other environmental isolates were 31 classified as KL5. In this work, the contribution of these and other capsules to pathogenesis in 32 multiple infection models was determined. Using a murine tail vein injection model of bacteremia, 33 clinical strains demonstrated capsule-dependent colonization of spleen, liver, and kidney following inoculation. The KL5 strain, in contrast, exhibited no loss of survival in this model when 34 capsule genes were deleted. Furthermore, the wild-type KL5 strain was cleared more rapidly from 35 36 both the spleen and liver compared to a KL1 strain. Similar results were observed in a bacteremic 37 pneumonia model in that all tested strains of clinical origin demonstrated a requirement for capsule in both the primary lung infection site and for bloodstream dissemination to other organs. 38 Finally, strains from each KL clade were tested for the role of capsule in internalization by bone 39 40 marrow-derived macrophages. Only the sialylated KL1 and KL2 clade strains, representing the majority of clinical isolates, exhibited capsule-dependent inhibition of internalization, suggesting 41 that capsule-mediated resistance to macrophage phagocytosis may enhance survival and 42 43 antibacterial defenses during infection.

44

### 45 **IMPORTANCE**

Bacterial bloodstream infections result from evasion of the host innate immune system and stable
colonization following an initial inoculation event from either an internal or external source.
Capsule polysaccharides play a protective role for *Serratia marcescens* during bacteremia but
there is abundant genetic diversity at the capsule-encoding locus within the species. This study

50 compares the infection characteristics of *S. marcescens* isolates belonging to five different 51 capsule types and defines the contributions to infection fitness for each type. By characterizing 52 the differences in capsule dependence and infection potential between *S. marcescens* strains, 53 efforts to combat these life-threatening infections can be focused toward identifying strategies 54 that target the most critical genetic lineages of this important opportunistic pathogen.

# 55 **INTRODUCTION**

56 Serratia marcescens is one of several commonly identified causes of bacteremia and pneumonia among Gram-negative bacterial species (1, 2) and an estimated 100,000 deaths 57 (42,000 associated with drug resistance) were due to Serratia species in 2019 alone (3, 4). Many 58 59 of these infections occur in individuals with pre-existing conditions or during prolonged hospital stays. Pediatric populations are also vulnerable to severe S. marcescens infections as evidenced 60 by the numerous nosocomial outbreaks and their consequences that are described in the 61 62 literature (5-7). In addition to the systemic and life-threatening infections that are the focus of this 63 work, S. marcescens is capable of a wide range of other pathogenic interactions with both human 64 and non-human hosts (8-11). The clinical significance of S. marcescens is also contrasted by the prevalence with which this species is found in other environments, with isolation sources ranging 65 from soil, water, plants, and insects (8) and highlighting the range of niches in which the organism 66 67 thrives.

Genomic studies investigating the population structure of Serratia marcescens published 68 in the last several years have defined the species-level diversity for this opportunistic pathogen 69 and, when considered broadly, have reached similar conclusions regarding the delineation of 70 71 genetically-distinct clades within the species. First, certain lineages have stringent correlations with clinical sources, consistent with niche adaptation to the infection environment for a subset of 72 genotypes and supported by a discrete repertoire of accessory genomic elements enriched within 73 74 these clades (12-15). The converse relationship is also observed in that other lineages within the 75 species are highly associated with non-clinical or environmental sources. Furthermore, clinical 76 lineages have a higher proportion of antimicrobial resistance genes and there is evidence for 77 substantial propagation of drug-resistant clades over time and geographic location (12, 14, 16). These major infection-associated lineages are now represented by hundreds of sequenced 78 79 strains enabling experimental examination of phenotypes that are predicted to impact bacterial survival during infection. Our own work has also demonstrated a dichotomy between clinical and 80

environmental *S. marcescens* lineages strictly through comparison of the capsule polysaccharide
 (CPS) encoding locus and independent of more global genomic variation (17).

The CPS of S. marcescens is a critical fitness determinant during bloodstream infection 83 (18). Like many encapsulated Enterobacterales species, the CPS of S. marcescens is encoded 84 85 in a discrete genomic locus that exhibits extensive variability between isolates (17). Our comparison of capsule loci (KL) from clinical infection isolates determined that two KL clades were 86 87 overrepresented among a cohort of >300 genomes and that strains from both of these groups, designated KL1 and KL2, produced sialylated CPS. Ketodeoxynonulonic acid (KDN) was the 88 89 predominant sialic acid identified from polysaccharide preparations of KL1 and KL2 strains but a 90 minor proportion of N-acetylneuraminic acid (Neu5Ac) was also detected. In addition to the two predominant clinical capsule types, smaller capsule clades associated with either clinical isolates 91 92 or non-infection isolates were also defined, allowing for the comparison of strains belonging to 93 each of these selected capsule clades. In this work, we sought to determine the infection 94 characteristics of S. marcescens strains representing five different capsule clades using multiple model systems relevant to the infection niche. 95

96

### 97 **RESULTS**

### 98 Infectivity of *S. marcescens* isolates following bloodstream inoculation.

99 Capsule was determined to be an important fitness factor based on experiments using a 100 single S. marcescens bacteremia clinical isolate belonging to clade KL1 (17, 18). In this study, we 101 sought to compare in vivo survival characteristics of five S. marcescens strains assigned to 102 different capsule clades. As an initial assessment of infection capability for isolates differentiated 103 by capsule type, individual strains selected from clades KL1-KL5 (Table 1) were inoculated into 104 the bloodstream of mice via tail vein injection (TVI) and bacterial survival was measured at 24 h 105 post-injection. KL1 strain UMH9 stably colonized the spleen, liver, and kidneys in a manner consistent with previous results (19) (Fig. 1). However, significant variation in bacterial burdens 106

107 was observed among the strains in both the spleen and liver (Fig. 1A and 1B), with KL3 bacteremia isolate UMH7 consistently achieving the highest density in all tested organs. KL2 108 (gn773) and KL4 (UMH11) clinical bacteremia representatives were similarly elevated in spleen 109 110 and liver bacterial burdens compared to KL1 and S. marcescens type strain ATCC 13880 (KL5), 111 a pond water isolate, which exhibited a generally lower overall burden compared to most other strains. Although variability in the kidneys was higher than the other tested organs (Fig. 1C), the 112 KL2 and KL5 strains trended towards lower colonization levels, and in some cases approached 113 114 the limit of detection. Thus, while all tested S. marcescens strains, both clinical and non-clinical, 115 were capable of infection in this model, significant organ-specific colonization differences are 116 observed between strains of the five KL types.

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### 118 Generation of acapsular mutant strains.

119 Before testing the contribution of CPS to infection for each KL type, acapsular mutants were first generated (Table 1). The strains selected from clades KL1, KL3, KL4, and KL5 were 120 121 mutated such that the variable and clade specific region of each KL ( $CPS_v$ ) (Fig. S1A) (17) was deleted and replaced with an insert fragment encoding kanamycin resistance. Attempts to 122 123 construct an analogous CPS<sub>v</sub> mutation in the KL2 strain were unsuccessful, despite multiple efforts using different mutagenic systems. As an alternative, a capsule-null phenotype was 124 achieved in KL2 by disrupting the *neuB* gene encoding the sialic acid synthase within the CPS<sub>v</sub> 125 126 region of this strain, similar to a previously engineered capsule-null neuB mutant of KL1 strain 127 UMH9 (17). Initial assessments of these five mutants demonstrated that the KL mutations 128 disrupted high molecular weight capsule polysaccharide production, eliminated surface display of 129 extracellular uronic acids, but did not prevent O-antigen production (Fig. S1). The only exception to these observations was KL5, which did not yield detectable CPS or O-antigen by either the 130 131 wild-type or  $\Delta CPS_v$  derivative stain under the tested culture conditions. Finally, a second acapsular KL1 derivative was generated that harbored a deletion of the entire capsule encoding 132

locus from the five-gene conserved region (*galU*, *galF*, *wza*, *wzb*, *wzc*) through the clade-specific variable locus (Fig. S1A). The purpose of this ΔKL1 mutant was to provide an isogenic background in which to express heterologous KL from other strains and, as expected, the ΔKL1 strain exhibited a significant loss of CPS production compared to the wild-type strain as determined by measuring extracellular uronic acids (Fig. 2A).

To confirm that CPS<sub>v</sub> mutations were responsible for the loss of capsule and to generate 138 mobilizable constructs for heterologous CPS production, the KL regions from each of the five 139 140 selected strains were cloned into the bacterial artificial chromosome (BAC) pGNS-BAC1 (20). The 141 constructs consisted of the five-gene conserved KL region, intergenic sequences upstream of galU, all clade-specific KL open reading frames and ranged from 15-23 kb in length (Table 1). 142 Transformation of the pBAC-KL plasmids into their respective capsule mutant strains resulted in 143 144 complete restoration of extracellular uronic acid production for strains KL1, KL2, KL3, and KL4 145 (Fig. 2A-D). Thus, the engineered pBAC-KL constructs are functional and sufficient to restore CPS production in their native strains. As expected, no significant change in uronic acids was 146 observed when pBAC-KL5 was introduced into the KL5  $\Delta$ CPS<sub>v</sub> mutant and all three tested strains 147 had similarly low background levels (Fig. 2E). 148

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# 150 Strain-specific limitations prevent heterologous capsule production.

The ability to express different capsule types in a single genetic background would provide 151 152 a controlled system for testing the relative contribution of each CPS type to infection fitness. 153 Therefore, pBAC-KL constructs were transformed into the UMH9 ΔKL1 strain and CPS production 154 was first tested by quantitating uronic acids. None of the pBAC constructs harboring KL2-5 yielded 155 significant increases in uronic acids compared to the  $\Delta KL1$  mutant control and only the pBAC-KL1 plasmid restored uronic acid detection (Fig. 3), as previously demonstrated (Fig. 2A). Total 156 157 extracellular polysaccharides were next isolated from these strains and high molecular weight capsule material was visualized by SDS-PAGE. Consistent with the uronic acid assay results in 158

159 Figure 2, each of the pBAC-KL constructs was able to restore production of CPS when introduced 160 into the native acapsular strains (Fig. 4). However, no CPS was detected when these same plasmids were present in the  $\Delta$ KL1 strain. The lack of both extracellular uronic acids and high 161 molecular weight polysaccharide from the heterologous pBAC-KL constructs in AKL1 162 163 demonstrates that CPS synthesis is precluded in this strain, despite the functionality of the BAC constructs in their cognate genetic backgrounds. These results strongly suggest that additional 164 clade- or strain-specific elements are necessary for CPS synthesis and may be encoded outside 165 166 the KL region as currently defined.

# 167 Capsule contributions to bacterial survival following bloodstream inoculation.

168 Since it was not feasible to assess the contribution of each CPS to infection independent 169 of non-capsular genomic variation, we elected to determine the requirement for CPS within each 170 KL type. First the relative fitness of capsule mutants was tested in comparison to wild-type parent strains via competition infections in the TVI bacteremia model. All tested KL types under these 171 172 mixed inoculum parameters exhibited similar bacterial burdens in the spleen, kidneys, and liver 173 of infected mice (Fig. S2). For each strain that was capable of CPS production *in vitro* (Fig. 4, Fig. S1), a significant competitive disadvantage in survival after 24 h was observed for mutants lacking 174 175 capsular genes (Fig. 5A-D). For the KL4 strain, the fitness advantage provided by CPS was only 176 significant for bacteria residing in the liver but showed a similar trend in the kidneys. Combined, these results indicate that capsule is important for bacterial survival during infection across the 177 clinical S. marcescens isolates and capsular clades tested here. In contrast, the KL5 strain 178 demonstrated no significant change in fitness in the absence of CPS<sub>v</sub> genes (Fig. 5E). While this 179 180 result was anticipated given the lack of CPS production associated with this strain, the question remains as to the functional significance for the six genes present in the ATCC 13880 KL5 CPS<sub>v</sub> 181 182 region (Fig. S1).

183 Given the lack of CPS production (Figs. 2 and 4) and a KL mutant infection phenotype by 184 KL5 ATCC 13880 (Fig. 5E), it is not surprising that significantly lower bacterial burdens were observed for this strain compared to some the clinical isolates tested in Figure 1. However, no 185 significant difference in organ colonization was observed between the KL1 clinical strain and KL5, 186 187 despite the hypothesized survival advantage that would be afforded to KL1 by its sialylated CPS. To investigate this apparent discrepancy, infections were repeated for wild-type KL1 and KL5 188 strains with additional determinations of bacterial burden at 4 and 48 h post-inoculation. 189 190 Consistent with earlier results, no significant difference between the two strains was observed 191 after 24 h (Fig. 6). However, KL1 bacteria survived significantly better in all tested organs at 48 h post-inoculation compared to KL5 (Fig. 6A-C) and by at least one order of magnitude. Therefore, 192 193 the KL5 strain is more susceptible to clearance and at an infection disadvantage over time 194 compared to KL1. KL1 bacteria additionally expanded beyond the 24 h levels in both the liver and 195 kidneys by 48 h, indicating that this strain is not only capable of enhanced survival in these organs 196 but also replication.

197 The combined competition infection data indicate a decreased ability of acapsular mutants 198 to withstand the antibacterial clearance mechanisms of the host. To further establish this 199 conclusion, S. marcescens strains were exposed to human serum for 90 minutes followed by 200 enumeration of viable bacteria. Each of the KL1-KL4 clinical isolates exhibited a CPS-dependent 201 enhancement in serum resistance that was at least 6-fold greater than acapsular mutant 202 derivatives while again, no significant difference in serum survival was observed between the KL5 strains (Fig. 7). Thus, serum resistance appears to be at least one mechanism by which the 203 204 capsule of clinical strains provides a selective advantage to S. marcescens.

205 Requirement for capsule in a *S. marcescens* bacteremic pneumonia model.

206 Bloodstream infections frequently originate from localized infections that then 207 disseminate and become systemic (21). During the processes of primary site colonization, dissemination, and organ colonization, bacteria may encounter different selective pressures 208 209 compared to primary bacteremia in which organisms gain direct access to the bloodstream via an 210 exogenous source such as a hypodermic needle or intravenous catheter. To address the need to 211 characterize primary site infection and dissemination, we developed a bacteremic pneumonia model applicable to S. marcescens and based on a previously described K. pneumoniae infection 212 213 model (22). Following retropharyngeal inoculation of wild-type and capsule mutant strain mixtures 214 into C57BL/6J mice, robust colonization of the lungs was observed for all tested strain pairs at 24 hours post-inoculation (Fig. 8A). Furthermore, the spleen, kidneys, and liver were all colonized at 215 216 this same time point (Fig. 8B-D) and to levels that approximated those observed in the primary 217 bacteremia model (Fig. 1). Thus S. marcescens escape from the lungs occurs readily and results 218 in stable organ colonization. The overall trends in bacterial burdens of the spleen, kidneys, and 219 liver between this dissemination-dependent route and the direct TVI model were also similar for 220 individual strains in that KL3 bacteria exhibited the highest density of infection followed by KL2 221 and KL4, then KL1 and KL5 (Fig. 1A-C and Fig. 8 B-D). Lastly, bacterial accumulation at systemic 222 sites also correlated to primary lung bacterial density when assayed at the time of sacrifice (Fig. 223 8A) despite the inoculation of each animal with the same target dose. Together, these results 224 further support the conclusion that significant variations exist between strains in their capacity to 225 replicate and survive within local infection environments and that these variations occur both 226 among the clinical isolates and isolates from non-clinical sources.

To define the requirement for capsule in secondary bacteremia, the relative recovery of capsule mutant and wild-type cells for KL1-5 strains was determined as a competitive index (CI). The four acapsular strains of clinical origin all demonstrated a severe competitive disadvantage compared to wild-type strains (Fig. 8E-H), with the mean recovery of capsule-deficient strains

231 being ca. 100-fold or less than wild-type for most organ and strain combinations. Thus, in both 232 primary and secondary bacteremia models, capsule is a critical fitness factor across humanassociated S. marcescens. Unexpectedly, a significant competitive disadvantage for the capsule 233 234 mutant derivative of KL5 was also observed in the spleen, kidneys, and liver for this model (Fig. 235 81). Given the contrast between these results with those of the same organ sites following TVI 236 (Fig. 5E), the mutated KL5 capsular genes appear to play a role in bacterial survival during lung dissemination. This conclusion is further supported by the lack of a competitive disadvantage for 237 238 the KL5 capsule mutants in the primary lung site. The only other tested strain that exhibited similar 239 disparity between lung and distal organ CI was KL1; however, in this case the mean CI trended toward capsule-dependent lung fitness (ca. 8-fold disadvantage) and CPS is readily detected from 240 241 KL1.

### 242 Sialylated CPS protect *S. marcescens* during macrophage interactions.

Previous work demonstrated that an acapsular derivative of KL1 strain UMH9 was 243 244 internalized more readily by the U937 monocytic cell line compared to wild-type bacteria (17), resulting in the hypothesis that KL1 CPS has anti-phagocytic properties for S. marcescens. To 245 determine whether CPS from other KL types also inhibited bacterial internalization, relative 246 247 numbers of intracellular bacteria were measured in murine bone marrow-derived macrophages 248 (BMDM). The proportion of viable intracellular capsular mutants was compared to wild-type bacteria at three time points following co-incubation with BMDM and subsequent treatment with 249 gentamicin to kill extracellular bacteria (Fig. 9A). For both KL1 and KL2 sialylated capsule types, 250 higher numbers of viable and internalized acapsular bacteria were recovered compared to wild-251 252 type relative to the inoculum and calculated as an internalization index. This imbalance resulted in a significant difference in the internalization index for both strains (Fig. 9B and C), establishing 253 that both KL1 and KL2 CPS contribute to macrophage phagocytosis resistance. Furthermore, the 254 255 trend toward higher intracellular non-encapsulated bacteria was consistent at all three time points

making it unlikely the observed differences were due to differential survival between strains. In contrast, none of the non-sialylated CPS KL types tested exhibited a significant difference in internalization under the same conditions, resulting in a neutral internalization index for KL3, KL4, and KL5 bacteria (Fig. 9D-F). The comparative lack of CPS-dependent phagocytosis resistance for the KL3 and KL4 clinical strains, in particular, suggests an important role for sialylated *S. marcescens* CPS in innate immune interactions and may be one contributing factor to the successful adaptation of these lineages to infection.

### 263 **DISCUSSION**

In this work, four S. marcescens strains isolated from human bloodstream infections and 264 265 the type strain for this species were assayed for survival characteristics in conditions relevant to 266 systemic infection. These strains were selected on the basis of their placement in five sequencedefined capsule clades and while all tested strains demonstrated an ability to infect mice using 267 268 two different bacteremia models, significant differences in organ colonization were observed 269 between them. Since strain-specific limitations prevented testing of individual CPS contributions 270 in an isogenic background, it's not possible to attribute the observed strain-to-strain differences 271 to capsule type alone and it's likely that mutiple factors contribute to the observed infection 272 phenotypes. The biology underlying the inability of KL2-5 capsule gene clusters to yield CPS 273 when introduced into KL1 bacteria is currently under investigation but is hypothesized to involve a regulatory component or a factor related to the compositional differences between CPS. Despite 274 this technical limitation, capsule-dependent bacterial survival was demonstrated for all tested 275 strains of clinical origin in human serum and both primary and secondary murine models of 276 277 bacteremia. In contrast, the S. marcescens type strain ATCC 13880 was susceptible to human 278 serum, did not produce CPS or O-antigen in culture, was cleared faster than a KL1 strain, and showed no role for capsule encoding genes during TVI bacteremia. However, the KL5 strain did 279 280 exhibit a modest role for KL genes during or after dissemination from the lung. Since we have

281 been unable to detect CPS from cultured KL5 here or in previous reports (17), the basis for this 282 KL5 mutant phenotype is currently unknown, but it's possible that the selective pressures associated with dissemination from the lung trigger KL5 CPS production via an unknown 283 mechanism. What is clear from our experiments is that ATCC 13880 shows a lesser dependence 284 285 on capsule during experimental infection compared to the clinical strains. Given the mounting 286 genomic evidence separating the ATCC 13880 type strain from representative clinical lineages (12, 14, 15), it is perhaps unexpected that ATCC 13880 was generally capable of infectivity in 287 288 both murine infection models. One contributing factor to this observation may be indicated by the 289 overwhelming susceptibility of ATCC 13880 to human serum relative to the KL1-4 isolates. Since 290 murine serum has been shown to lack the potent antibacterial capacity associated with human 291 serum and serum complement (23-25), a lack of complement-mediated killing may be one means 292 by which the murine models fail to capture all the selective pressures present in human 293 bloodstream infections and it is possible that strains like ATCC 13880 may have lower infection 294 potential in humans.

295 Among the tested strains only the CPS of KL1 and KL2 S. marcescens had a protective effect against BMDM internalization in this study. We hypothesize that the sialic acids associated 296 297 with these strains, namely KDN and Neu5Ac (17), may therefore have a role in manipulating 298 Serratia-macrophage interactions. While such interactions have yet to be demonstrated for S. marcescens, this hypothesis is supported by experimentally established roles for Neu5Ac-299 300 mediated modulation of innate immune cells in the literature (26-28). It's worth noting here that non-sialylated S. marcescens CPS also have a significant, but perhaps different, role in infection 301 302 as demonstrated by the relative fitness of encapsulated and non-encapsuled clinical strains from 303 the KL3 and KL4 clades. In our previous KL comparison, the KL1 and KL2 lineages had the 304 greatest number of representatives within our tested genome cohort and were overwhelmingly 305 comprised of infection isolates (17). In the context of the extensive S. marcescens genomic

306 species architechture recently published by Ono et al. (12), the UMH9 KL1 and gn773 KL2 strains 307 tested in this work both segregate into clade 1. This is notable because clade 1, as defined by the authors, was also almost exclusively comprised of hospital associated or clinical isolates, had a 308 high number of antimicrobial resistance alleles, and encoded a distinguishable set of accessory 309 310 genes compared to other lineages. This association also goes beyond just the two clade 1 isolates 311 tested in this work. Of the 215 strains identified as either KL1 or KL2 in our study, 188 were also included in the Ono study and strikingly, all 188 were assigned to the clade 1 lineage. This 312 observation independently confirms our conclusion that KL1 and KL2 CPS are a differential 313 314 component of this infection-adapted S. marcescens lineage and together suggest that sialylated 315 CPS likely contribute to the niche-specific characteristics that provide these S. marcescens strains with a selective advantage during infection. 316

317

### 318 MATERIALS AND METHODS

319 Bacterial strains and culture conditions. The S. marcescens strains used in this study are 320 listed in Table 1. Escherichia coli DH10B and E. coli DH5a were routinely used for cloning purposes. E. coli DH5α harboring helper plasmid pRK2013 (29) or strain BW29427 (B. Wanner, 321 322 unpublished) cultured in 0.3 mM diaminopimelic acid were used as donor strains for conjugation. 323 Bacteria were cultured in either LB medium (30) with or without 20 mM glucose or M9 (31) medium supplemented with 1 mM MgSO<sub>4</sub>, 36 µM FeSO<sub>4</sub>, 100 µM CaCl<sub>2</sub> and 20 mM glucose. Antibiotics 324 325 for bacterial culture were used at the following concentrations: kanamycin, 50 µg/mL; hygromycin, 200 µg/mL; spectinomycin, 100 µg/mL; gentamicin, 10 and 20 µg/mL; and ampicillin, 100 µg/mL. 326 327

328 Generation of *S. marcescens* mutant strains. The oligonucleotide primers used for mutant 329 construction and confirmation are listed in Table S1. *S. marcescens* ATCC 13880  $\Delta CPS_{v}::nptll$ 330 and UMH7  $\Delta CPS_{v}::nptll$  mutant strains were constructed by recombineering as previously

331 described (18, 32). The neomycin phosphotransferase gene (nptll) from pKD4 (33) was PCR-332 amplified with oligonucleotides possessing 5' sequence homology to ~50-bp of sequence targeted to the CPS<sub>v</sub> region. Oligonucleotides SM\_P1 and SM\_P2 were used to amplify the mutant allele 333 334 for subsequent deletion of 8,538-bp of the CPS<sub>v</sub> sequence in KL5 ATCC 13880. Oligonucleotides 335 SM P3 and SM P4 were used to amplify the mutant allele for a 10,021-bp deletion within the 336 UMH7 KL3 CPS<sub>v</sub> region. Electrocompetent ATCC 13880 or UMH7 harboring pSIM18 and pSIM19 (34), respectively, were transformed with DpnI-treated PCR products. Kanamycin-resistant 337 338 transformants were genotyped by PCR and sequencing (SM P5-P8), then cured of 339 recombineering plasmids.

S. marcescens UMH11 and gn773 mutations were generated by allelic exchange using 340 derivatives of suicide plasmid pTOX11 (35). For construction of the pTOX11 + UMH11 341 342 ΔCPS<sub>v</sub>::*nptll* (pTOX17) suicide vector, *nptll* was PCR amplified from pKD4 with SM P9 and 343 SM P10 possessing 5' sequence homology to BVG89 RS04470, the first gene downstream of wzc, and BVG89\_RS04510, the second to last gene within the UMH11 CPS<sub>v</sub> locus, respectively. 344 A 790-bp fragment containing 607-bp of the 3' end of wzc and 120-bp of the 5' end of the first 345 CPS<sub>v</sub> gene (BVG89 RS04470) was amplified with SM P11 and SM P12. A second fragment 346 347 789-bp in length containing 63-bp of the 3' end of the last CPS<sub>v</sub> gene BVG89\_RS04510 and downstream sequence containing 676-bp of the 3' end of BVG89 RS04515 was amplified with 348 SM P13 and SM P14. The backbone of pTOX11 was amplified with SH P15 and SH P16 and 349 350 digested with DpnI and XmaI (NEB) prior to assembly with HiFi Assembly (NEB). For generation 351 of the pTOX11 + gn773 Δ*neuB*::*nptll* (pTOX16) suicide construct, a 792-bp *nptll* fragment from pDK4 was PCR amplified with SM\_P17 and SM\_P18 possessing 5' sequence homology to the 3' 352 353 end of *neuA* (AWYA5 RS09505) including the start codon of *neuB* (AWYA5 RS09500) and the 354 last three codons of neuB including the 5' end of AWY15 RS09495, respectively. A 693-bp 355 fragment containing the 3' end of neuA and the start codon of neuB was amplified with SM\_P19 and SM P20. A second 730-bp fragment containing the last three codons of neuB and 721-bp of 356

the 5' end of AWY15\_RS09495 was amplified with SM\_P21 and SM\_P22. pTOX11 was amplified
with SM\_P23 and SM\_P24 and subsequently digested with DpnI and XmaI prior to assembly.

The UMH9  $\Delta$ KL1 mutant was generated with a derivative of pTOX11<sub>*nptll*</sub> (17). To construct pTOX11<sub>*nptll*</sub> + UMH9  $\Delta$ KL1 (pTOX20) a 503-bp sequence located upstream 106-bp from the start codon of *galU* was amplified SM\_P25 and SM\_P26. A 490-bp product located 88-bp downstream of the stop codon of BVGRS\_04590 was amplified with SM\_P27 and SM\_P28. SM\_P29 and SM\_P30 was used to amplify the pTOX11<sub>*nptll*</sub> backbone and was digested with DpnI and Sacl prior to assembly.

All pTOX constructs were confirmed by sequencing (pTOX16; SM\_P19 and SM\_P22, pTOX17; SM\_P11 and SM\_P14, pTOX20; SM\_P31 and SM\_P32) prior to conjugation using donor strain BW29427. Allelic exchange was performed as previously described (35). Oligonucleotide pairs SM\_P33 and SM\_P34, SM\_P35 and SM\_P36, and SM\_P37 and SM\_P38 were used to confirm UMH11  $\Delta$ CPS<sub>v</sub>::*nptll*, gn773  $\Delta$ *neuB*::*nptll*, and UMH9  $\Delta$ KL1 alleles by sequencing, respectively. Transconjugants were also assessed by PCR to ensure Mu phage was not transferred from the BW29427 donor strain (36).

372

373 Genetic complementation. For genetic complementation of capsule mutant strains, KL 374 sequences were cloned into Gram-negative BAC vector pGNS-BAC (20). PCR, with addition of a secondary extension step, was performed to amplify both the entire KL and upstream intergenic 375 376 region of each strains in two fragments; UMH9 KL1 with oligonucleotides SM P39 and SM P40 (11,531 bp) and SM\_P41 and SM\_P42 (11,531 bp); gn773 KL2 with oligonucleotides SM\_P43 377 and SM\_P44 (10,316 bp) and SM\_P45 and SM\_P46 (10,278 bp); UMH7 KL3 with 378 oligonucleotides SM P43 and SM P47 (8,336 bp) and SM P48 and SM P49 (8,449 bp); UMH11 379 380 KL4 with oligonucleotides SM P43 and SM P50 (9,208 bp) and SM P51 and SM P52 (9,202 381 bp); ATCC 13880 KL5 with oligonucleotides SM\_P43 and SM\_P53 (7,657 bp) and SM\_P54 and SM P55 (7,770 bp). Amplified KL regions were cloned into HindIII-digested pGNS-BAC1 using 382

383 HiFi DNA Assembly and transformed into electrocompetent E. coli DH10B. Gentamicin-resistant 384 transformants were screened by PCR: SM\_P56 and SM\_P57, pGNS-BAC1 + KL1; SM\_P58 and SM P59 and SM P60 and SM P61, pGNS-BAC1 + KL2; SM P62 and SM P63, pGNS-BAC1 + 385 KL3; SM P64 and SM P65, pGNS-BAC1 + KL4; SM P66 and SM P67 pGNS-BAC1 + KL5; 386 387 SM P68 and SM P69. Recombinant pGNS-BAC1 plasmids were purified by alkaline lysis and 388 whole-plasmid sequences were determined (SNPsaurus). Tri-parental mating was performed at 37°C for 5 h to introduce pGNS-BAC constructs into S. marcescens. Bacteria were plated on LB 389 390 agar containing ampicillin and gentamycin to select for loss of E. coli donors and the presence of 391 pGNS-BAC1 plasmids.

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**Quantitation of uronic acids and polysaccharide analysis.** Extracellular uronic acids of *S. marcescens* were measured using previously described methods (17, 37, 38). Measurements were based on a standard curve of glucuronic acid and normalized to culture optical density (600 nm). Isolation of *S. marcescens* extracellular polysaccharides was adapted from published methods (17, 39). Purified CPS and LPS were electrophoresed by SDS-PAGE and visualized as described previously (17).

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Murine models of infection. Murine infections were performed in accordance with protocols 400 401 approved by the University of Michigan Institutional Animal Care and Use Committee and were in 402 accordance with Office of Laboratory Animal Welfare guidelines. Mid-log phase S. marcescens 403 suspended in PBS were used as the inoculum. For mono-infections, male and female 7- to 8week-old C57BL/6J mice (Jackson Laboratories) were infected via TVI with 0.1 mL suspensions 404 containing a target dose of 5x10<sup>6</sup> CFU. For competition infections, wild-type bacteria were mixed 405 406 at a 1:1 ratio with an antibiotic-resistant mutant strain and delivered via TVI at a dose of 5x10<sup>6</sup> 407 total CFU. Mice were euthanized 24 h post-infection, unless otherwise specified, and the spleen, liver, and kidneys were harvested and homogenized. Bacterial counts of the inoculum (input) and 408

organ homogenates (output) were determined by plating serial dilutions on LB agar with or without
 antibiotics. The CI was determined by the following calculation:
 (CFU<sub>mutant</sub>/CFU<sub>wildtype</sub>)<sup>output</sup>/(CFU<sub>mutant</sub>/CFU<sub>wildtype</sub>)<sup>input</sup>.

For the bacteremic pneumonia model, mid-log phase bacteria were delivered by pipette (0.05 ml) to the retropharyngeal space of lightly anesthetized 7- to 8-week-old mice at a target dose of  $1 \times 10^{7}$  total CFU. Mice were euthanized 24 h post-infection and the spleen, liver, kidneys, and lung were harvested. Viable counts from the inoculum and organ homogenates were determined by serial dilutions plated on LB agar with and without antibiotics and used to calculate the CI as described above.

418

419 Serum resistance. Bacterial viability following a 90-minute exposure to 40% pooled human
420 serum (Innovative Research) was determined as previously described (17).

421

Phagocytosis assays. Isolation and propagation of BMDM was performed using established protocols (40). Monocytes from the femur and tibia bone marrow of 7- to 8-week-old C57BL/6J mice were diluted to  $1\times10^6$  cells/mL in medium containing 15% L929 cell supernatant. At 7 days post-harvest, BMDM were dissociated from wells with ice-cold 2 mM EDTA in DPBS and collected by centrifugation. BMDM were seeded into 96-well flat bottom plates at  $1\times10^5$  cells/well and incubated at 37°C at 5% CO<sub>2</sub> for 24 h prior to inoculation with bacteria.

Bacteria were cultured overnight in M9 medium containing 20 mM glucose, washed in phosphate-buffered saline (PBS), and resuspended in an equal volume of DMEM containing 10% FBS. Wild-type and antibiotic resistant mutant strains were mixed in 1:1 ratio and added to BMDM at target MOI of 20. Plates were centrifuged briefly and incubated at 37°C for 60 min in 5% CO<sub>2</sub>. Medium was aspirated and wells were washed with DPBS. DMEM containing 10% FBS and 100 µg/mL gentamicin was then added to wells followed by incubation for 30 min at 37°C in 5% CO<sub>2</sub>. The gentamicin-containing medium was aspirated and wells were washed again with DPBS. For

time point zero, wells were incubated in 1% Saponin at 37°C for 10 min, mixed with 0.1 mL LB medium, then serially diluted and plated on LB agar plate with and without antibiotic for determination viable counts. All other wells were incubated in of DMEM containing 10% FBS and 10 µg/mL gentamicin until the time cell lysis and determination of viable counts. Internalization indices were calculated as described for CI with internalized bacteria substituting for the infection output parameter.

441

### 442 **ACKNOWLEDGEMENTS**

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446

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# 452 Table 1. *S. marcescens* strains and recombinant plasmids used in this study.

Name	Genotype/description	Clade	Reference
bacteria			
UMH9	wild-type	KL1	(18)
UMH9	ΔCPS <sub>v</sub> :: <i>nptII</i>	KL1	(17)
UMH9	ΔKL1	KL1	this study
gn773	wild-type	KL2	(16)
gn773	ΔneuB::nptll	KL2	this study
UMH7	wild-type	KL3	(18)
UMH7	ΔCPS <sub>v</sub> :: <i>nptll</i>	KL3	this study
UMH11	wild-type	KL4	(18)
UMH11	ΔCPS <sub>v</sub> :: <i>nptll</i>	KL4	this study
ATCC 13880	wild-type	KL5	American Type Culture Collection
ATCC 13880	ΔCPS <sub>v</sub> :: <i>npt</i> II	KL5	this study
plasmids			
pBAC-KL1	23.0-kb, 18-ORF insert encoding KL1	KL1	this study
pBAC-KL2	20.5-kb, 16-ORF insert encoding KL2	KL2	this study
pBAC-KL3	16.7-kb, 13-ORF insert encoding KL3	KL3	this study
pBAC-KL4	18.3-kb, 15-ORF insert encoding KL4	KL4	this study
pBAC-KL5	15.4-kb, 11-ORF insert encoding KL5	KL5	this study

# 454 **FIGURE LEGENDS**

Figure 1. Organ colonization by *S. marcescens* strains following TVI bacteremia. *S. marcescens* strains were inoculated into C57BL/6J mice (n=5) via TVI and bacterial colonization in spleen (A), liver (B), and kidneys (C) was determined by viable counts. Log transformed mean bacterial burdens are indicated by the solid lines. Statistical significance was assessed by ordinary one-way ANOVA with Tukey's multiple comparisons test: \*, P < 0.05; \*\*, P < 0.01; \*\*\*\*, P < 0.001; \*\*\*\*, P < 0.0001. The dotted line in panel C represents the highest value among samples that were at or below the limit of detection.

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Figure 2. Generation of capsule-null mutations and genetic complementation via KL expression *in trans.* A-E. Capsule production by wild-type (WT) and capsule mutant *S. marcescens* strains representative of clades KL1-5 was measured by quantitating extracellular uronic acids and based on a standard curve of glucuronic acid. Statistical significance was assessed by unpaired t-test: \*\*, P < 0.01; \*\*\*, P < 0.001; \*\*\*\*, P < 0.0001.

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476

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 A-E. Total bacterial polysaccharides from wild-type (WT) and capsule-null derivatives of strains
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480 Capsule-null mutants harbored either the pGNS-BAC1 vector control plasmid or a recombinant 481 plasmid with a cloned copy of the native KL. Recombinant KL plasmids were also expressed from a capsule-null mutant derivative of KL1 ( $\Delta$ KL1). Pre-stained protein molecular weight standards 482 (S) were electrophoresed on each gel with molecular weights shown in kDa. 483

484

Figure 5. Requirement for capsule among clinical strains during TVI bacteremia. A-E. Cl for 485 bacteria recovered from mixed strain competition TVI infections (24 h) in C57BL/6J mice. Solid 486 487 lines represent the mean of log transformed values. Red outlined symbols indicate CI for which 488 mutant bacteria were recovered at or below the limit of detection. Statistical significance was determined by one sample t-test with a hypothesized mean value of zero (dotted line), 489 representing neutral fitness: \*, P < 0.05; \*\*, P < 0.01; \*\*\*, P < 0.001. S, spleen; K, kidney; L, liver. 490

491

492 Figure 6. Increased clearance of KL5 compared to KL1 bacteria following TVI bacteremia.

C57BL/6J mice were inoculated with either KL1 or KL5 wild-type bacteria via the TVI route and 493 494 bacterial burdens in the spleen (A), liver (B), and kidneys (C) were determined at the indicated time points. The mean of log transformed numbers of viable bacteria recovered are indicated by 495 496 the bars and dashed lines denote the highest value among samples that were at or below the limit of detection. Statistical significance was assessed by unpaired t-test with Holm-Sidak multiple 497 comparisons test: \*\*, Adj. P < 0.01; \*\*\*, Adj. P < 0.001. 498

499

Figure 7. Capsules of clinical isolates protect from serum bactericidal activity. The survival 500 501 of wild-type (WT) and acapsular (A) mutant strains was determined in the presence of 40% human 502 serum after 90 minutes exposure relative to time zero with bars representing mean values (n=3). The dashed line indicates the limit of detection, where relevant. Statistical significance was 503 assessed by Student's t-test: \*\*, P < 0.01; \*\*\*, P < 0.001. 504

506 Figure 8. Requirement for capsule during bacteremic pneumonia. Combined wild-type and 507 capsule mutant bacteria recovered from the lung (A), spleen (B), liver (C), and kidneys (D) of C57BL/6J mice following mixed strain competition infections (24 h). Solid lines represent the 508 509 mean of log transformed viable bacteria and the dotted line indicates the highest value among 510 samples that were at or below the limit of detection from kidneys. Differences in bacterial burdens between strains were assessed by one-way ANOVA with Tukey's multiple comparisons test: \*, P 511 < 0.05; \*\*, P < 0.01; \*\*\*, P < 0.001; \*\*\*\*, P < 0.0001. E-I. CI comparing relative survival of capsule 512 mutant and wild-type bacteria in lung (Lg), spleen (S), kidneys (K), and liver (L) for the infections 513 514 shown in panels A-D. Symbols with red outlines denote CI from which capsule mutant strains were recovered at or below the limit of detection. Fitness defects were assessed by one-sample 515 t-test against the hypothetical null value of zero (dotted lines) representing neutral fitness: \*, P < 516 517 0.05; \*\*, P < 0.01; \*\*\*, P < 0.001; \*\*\*\*, P < 0.0001.

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**Figure 8. Requirement for capsule during bacteremic pneumonia.** Combined wild-type and capsule mutant bacteria recovered from the lung (A), spleen (B), liver (C), and kidneys (D) of C57BL/6J mice following mixed strain competition infections (24 h). Solid lines represent the mean of log transformed viable bacteria and the dotted line indicates the highest value among samples that were at or below the limit of detection from kidneys. Differences in bacterial burdens between strains were assessed by one-way ANOVA with Tukey's multiple comparisons test: \*, P < 0.05; \*\*, P < 0.01; \*\*\*, P < 0.001; \*\*\*\*, P < 0.0001. E-I. CI comparing relative survival of capsule mutant and wild-type bacteria in lung (Lg), spleen (S), kidneys (K), and liver (L) for the infections shown in panels A-D. Symbols with red outlines denote CI from which capsule mutant strains were recovered at or below the limit of detection. Fitness defects were assessed by one-sample t-test against the hypothetical null value of zero (dotted lines) representing neutral fitness: \*, P < 0.05; \*\*, P < 0.001; \*\*\*\*, P < 0.0001.



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