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CLINICAL RESEARCH

Kinetics and Signal Activation Properties of Circulating Factor(s) From Healthy Volunteers Undergoing Remote Ischemic Pre-Conditioning

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HIGHLIGHTS

- Pre-clinical and early phase clinical studies with remote ischemic preconditioning (RIPC) appeared promising; however, RIPC was not effective in phase III clinical trials.
- To improve the translation of RIPC into clinical practice, the kinetic properties and functional effects of humoral factors released after RIPC in humans were characterized ex vivo.
- Venous blood from 20 healthy volunteers was collected at baseline and 5 min, 30 min, 1 h, 6 h and daily from 1 to 7 days after RIPC. Plasma dialysates were infused into Langendorff-perfused mouse hearts subjected to 20/120 min global ischemia/reperfusion.
- Perfusion with dialysates obtained 5 min to 6 days after RIPC significantly reduced infarct size by ~50% when compared to perfusion with dialysates obtained at baseline prior to RIPC, and increased STAT3 phosphorylation beyond values obtained with baseline-dialysate.

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SUMMARY

Although remote ischemic pre-conditioning (RIPC) reduced infarct size in animal experiments and proof-of-concept clinical trials, recent phase III trials failed to confirm cardioprotection during cardiac surgery. Here, we characterized the kinetic properties of humoral factors that are released after RIPC, as well as the signal transduction pathways that were responsible for cardioprotection in an ex vivo model of global ischemia reperfusion injury. Venous blood from 20 healthy volunteers was collected at baseline and 5 min, 30 min, 1 h, 6 h, and daily from 1 to 7 days after RIPC (3 imes 5/5 min upper-limb ischemia/reperfusion). Plasma-dialysates (cut-off: 12 to 14 kDa; dilution: 1:20) were infused into Langendorff-perfused mouse hearts subjected to 20/120 min global ischemia/reperfusion. Infarct size and phosphorylation of signal transducer and activator of transcription (STAT)3, STAT5, extracellular-regulated kinase 1/2 and protein kinase B were determined. In a subgroup of plasma-dialysates, an inhibitor of STAT3 (Stattic) was used in mouse hearts. Perfusion with baseline-dialysate resulted in an infarct size of 39% of ventricular mass (interquartile range: 36% to 42%). Perfusion with dialysates obtained 5 min to 6 days after RIPC significantly reduced infarct size by \sim 50% and increased STAT3 phosphorylation beyond that with baseline-dialysate. Inhibition of STAT3 abrogated these effects. These results suggest that RIPC induces the release of cardioprotective, dialyzable factor(s) within 5 min, and that circulate for up to 6 days. STAT3 is activated in murine myocardium by RIPC-induced human humoral factors and is causally involved in cardioprotection. (J Am Coll Cardiol Basic Trans Sci 2016;1:3-13) © 2016 The Authors. Published by Elsevier on behalf of the American College of Cardiology Foundation. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

Renote ischemic conditioning (RIC) with transient limb ischemia/reperfusion is a noninvasive method to protect the myocardium and other parenchymal organs from ischemia/reperfusion injury. Cardioprotection is achieved by RIC before (pre-conditioning; RIPC), during (per-conditioning) or after myocardial ischemia (post-conditioning) (1). RIC has been demonstrated in many experimental studies and also attenuates myocardial ischemia/ reperfusion injury in patients undergoing elective interventional (2) or surgical coronary revasculariza-

ABBREVIATIONS AND ACRONYMS

AKT = protein kinase B

ERK = extracellular-regulated kinase

IQR = interquartile range

ventricular

LVDP = left ventricular developed pressure

RIC = remote ischemic conditioning

RIPC = remote ischemic pre-conditioning

SAFE = survival activating factor enhancement

STAT = signal transducer and activator of transcription

TTC = 2,3,5triphenyltetrazolium chloride tion (3-5) as well as in patients with acute myocardial infarction (6-10). The efficacy of RIC was evidenced by reduced cardiac biomarker release (2-5,9) and reduced infarct size on magnetic resonance imaging (6,8,10); some smaller studies also reported improved short- (5,8) and long-term clinical outcome (2,4,7). However, the recent large-scaled, randomized ERICCA (Effect of Remote Ischaemic Preconditioning on Clinical Outcomes in CABG Surgery) trial and RIPHeart (Remote Ischaemic Preconditioning for Heart Surgery) study in patients undergoing cardiac surgery and ischemic cardioplegic arrest failed to confirm reduced biomarker release and improved clinical outcome with RIPC (11,12). Reasons for the failure of these trials to confirm protection by RIPC have been discussed in detail and related to confounding variables (13-15), notably the use of propofol in the majority of all patients in both trials, which might have abrogated the cardioprotective effect (16).

For more successful translation of experimental animal studies and smaller proof-of-concept trials to clinical reality a better understanding of RIC's signal transduction is mandatory. In particular, the transfer signal from the remote peripheral organ where the RIC maneuver is performed to the heart is still enigmatic. Both neuronal and humoral transfer as well as a neurohumoral interaction have been proposed. Humoral transfer by nitrite (17), stromal cell-derived factor-1 α (18), and microRNA-144 (19) has been reported. More systematic proteome analyses of plasma taken after RIPC have not yet identified a specific protein (20,21).

We therefore used another strategy to identify the potential humoral transfer factor(s) by characterizing their properties in kinetic terms and by the signal activation which they elicit in the heart to effect cardioprotection. Prior studies have reported a time delay between the RIPC stimulus and the injurious event from 5 to 10 min (22,23) to more than 24 h (24-27), suggesting that RIPC is a fast acting as well as a long-lasting phenomenon. Supporting the notion of a long-lasting effect, flow-mediated forearm vasodilation in patients with reperfused acute myocardial infarction was improved by RIPC for 1 week (28). However, this particular study could not distinguish whether there was a long-lasting effect in the target organ.



We have therefore obtained plasma from healthy volunteers at different time points before and after a RIPC maneuver and tested its cardioprotective effect in a Langendorff-perfused mouse heart used as bioassay. Cardioprotection relies on a complex signal transduction of triggers, mediators, and effectors, which also vary between different species (29). The cytosolic signal transduction within the cardiomyocyte includes the reperfusion injury salvage kinase (SAFE) and the survival activating factor enhancement systems (30), and both these systems are also recruited for cardioprotection in mice (31-34). We therefore went on to identify the signal transduction, which was activated by the transfer of a human plasma-dialysate to achieve infarct size reduction in the bioassay mouse heart.

METHODS

VOLUNTEER RECRUITMENT AND BLOOD SAMPLING. The study was approved by the Institutional Review Board (No.14-5995-BO) and conforms to the principles of the Declaration of Helsinki. Written informed consent was obtained from all volunteers. Twenty nonsmoking healthy volunteers (10 men/10 women) were recruited between October 2014 and July 2015. They had no history of disease or any medication other than oral contraceptives in women.

RIPC was performed between 8 and 10 ante meridiem independently from the day of the week. The RIPC-maneuver consisted of 3 cycles of 5 min upper-limb ischemia/inflation of a blood pressure cuff to 200 mm Hg and reperfusion/deflation for 5 min. Venous blood samples from the contralateral arm were obtained before RIPC (baseline) and at 5 min, 30 min, 1 h, 6 h, 1 day, and daily thereafter until 7 days at the same time of day (**Figure 1**). The blood was collected in heparinized tubes (S-Monovette 9 ml LH, SARSTEDT AG & Co., Nümbrecht, Germany) and immediately centrifuged (Multifuge 3SR, Heraeus, Hanau, Germany) at 800 g for 20 min at 4°C. The plasma was separated and stored at -80°C until use.

ISOLATED MOUSE HEART PERFUSION. To assess the cardioprotective effect of humoral factor(s) released after RIPC from humans, a modified protocol of isolated mouse heart perfusion was used (17). Mice (C57Bl6/J; age: 8 to 12 weeks; weight: 20 to 30 g) were purchased from Harlan Laboratories, Inc. (Horst, the

Netherlands) and from Charles River Laboratories (Sulzfeld, Germany). The protocol was approved by the governmental Animal Care and Use Committee and conforms to the "Position of the American Heart Association on Research Animal Use", adopted by the American Heart Association on November 11, 1984. After cervical dislocation, 200 IU of heparin (Heparin-Natrium-25000-ratiopharm, Ratiopharm GmbH, Ulm, Germany) was intraperitoneally injected, and hearts were excised. Within 2 min hearts were cannulated (mouse heart cannula, Hugo Sachs Elektronik, March-Hugstetten, Germany) under a stereomicroscope (LS 6000IC, Beckman Coulter, Krefeld, Germany) through the aorta in cold 0.9% NaCl solution and mounted on a Langendorff apparatus. At a constant pressure of 100 mm Hg, hearts were perfused with modified Krebs-Henseleit buffer, containing (in mmol/l) 118 NaCl (AppliChem GmbH, Darmstadt, Germany), 4.7 KCl, 1.64 MgSO₄ \times 7 H₂O (Merck, Darmstadt, Germany), 1.18 KH₂PO₄ (Merck, Darmstadt, Germany), 2.00 Na-pyruvate, 5.55 glucose, 24.88 NaHCO3 (AppliChem GmbH, Darmstadt, Germany), and 2.5 mmol/l CaCl₂. The perfusate was filtered through a 0.45 µm filter (Filter Type HWAP, Merck, Darmstadt, Germany), oxygenated and equilibrated to 37°C before use. A water-filled balloon made out of saran wrap (Toppits, Cofresco Frischhalteprodukte GmbH & Co. KG, Minden, Germany) was inserted through the mitral valve into the left ventricular cavity and connected to a pressure transducer (DPT-6000, Pvb Codan, Forstinning, Germany) to allow continuous monitoring of left ventricular pressure. The left ventricular end-diastolic pressure was initially set to 5 to 15 mm Hg, and hearts were paced at a constant rate of 500 beats/min (DPT-6000, Pvb Codan, Forstinning, Germany) through a metallic clamp connected to the cannula and a metallic needle inserted into the right auricle (Figure 1).

Plasma (4 ml) was defrozen and centrifuged at 4.500 g for 10 min at 4°C. The supernatant was placed in a 12 to 14 kDa dialysis tubing (SpectraPor, Spectrum Europe B.V., Breda, the Netherlands) and dialyzed for 24 h at 4°C against a 20-fold volume of modified Krebs-Henseleit buffer. Dialysates were adjusted to 2.5 mmol/l CaCl₂ and 24.88 mmol/l NaHCO₃, filtered (5 μ m Chromafil Xtra PES-500/25, Macherey-Nagel GmbH & Co. KG, Düren, Germany), oxygenated and equilibrated to 37°C before use (Figure 1).

After a stabilization period of 20 min, hearts were perfused with dialysate for 15 min, followed by 5 min of wash-out with Krebs-Henseleit buffer to avoid adherence of proteins from a stagnant dialysate to the Langendorff apparatus or the coronary circulation during its occlusion. The hearts were subjected to 20 min global zero-flow ischemia and 120 min reperfusion with Krebs-Henseleit buffer. Peak and end-diastolic left ventricular pressure and coronary flow (TS410 Ultraschall Flowmeter, Transonic Systems Inc., Ithaca, New York) were continuously recorded (LabChart 8, LabChart, ADInstruments Pty Ltd, Oxford, United Kingdom). Hearts with a left ventricular developed pressure (LVDP) <50 mm Hg and hearts with a coronary flow <1 ml/min or >5 ml/min after the stabilization period were discarded. The median LVDP and coronary flow of all hearts perfused with dialysates of human plasma obtained at the same time point after the RIPC maneuver were calculated for every minute. LVDP and coronary flow of hearts perfused with baselinedialysates and of hearts perfused with post-RIPCdialysates were compared at the end of stabilization (20 min), during perfusion with dialysate (35 min), just before ischemia (40 min), at the end of ischemia (60 min), at 15 min and 30 min of reperfusion (75 min and 90 min of the protocol, respectively) (Supplemental Figures 1 and 2).

INFARCT STAINING. After 120 min reperfusion, hearts were frozen at -20°C for 30 min and cut into 1 mm thick slices (approximately 5 slices per heart). The slices were immersed in 2,3,5-triphenyltetrazolium chloride (TTC) solution (1% (w/V) dissolved in phosphate buffer, consisting of 77.4% (V/V) 0.1 mol/l Na₂HPO₄ and 22.6% (V/V) 0.1 mol/l NaH₂PO₄), and incubated in a water bath at 37°C for 5 min. After photographing, the slices were quickly frozen in liquid nitrogen and stored at -80°C for later analysis by Western blot. Areas of viable tissue (red) and necrotic tissue (white) were measured by computerized planimetry (ImageJ 1.48v, National Institutes of Health, Bethesda, Maryland). Infarct size was calculated as percentage of left and right ventricular (LV+RV) mass (% of LV+RV).

Investigators performing infarct size quantification were blinded for Western blot analyses and vice versa, and all were blinded for the experimental protocols.

WESTERN BLOT ANALYSIS. Proteins of murine myocardium were solubilized; protein lysates were electrophoretically separated on pre-casted sodium dodecyl sulfate-polyacrylamide electrophoresis gels (BioRad, Munich, Germany) and transferred to polyvinylidene fluoride membranes. We used 30 µg total protein for the detection of signal transducer and activator of transcription 3 (STAT3) and extracellular-regulated kinase (ERK) 1/2 and 50 µg



for the detection of STAT5 and protein kinase B (AKT). After blocking, membranes were incubated with antibodies-pSTAT3tyrosine705 (mouse, monoclonal), pSTAT5_{tyrosine694} (rabbit, monoclonal), $pAKT_{serine473}, \quad pERK1/2_{threonine202/tyrosine204} \quad (rabbit,$ polyclonal)-all from Cell Signaling Technology, Inc. (Danvers, Massachusetts) directed against the phosphorylated forms of STAT3, STAT5, AKT, and ERK1/2. After incubation with the respective secondary antibodies-antimouse (horse, polyclonal) and antirabbit (goat, polyclonal)-both from Cell Signaling Technology, Inc. (Danvers, Massachusetts), immunoreactive signals were detected by chemiluminescence (SuperSignal West Femto, Thermo Fisher Scientific, Rockford, Illinois) using a charge-coupled device camera (ChemoCam INTAS, Göttingen, Germany) and quantified with LabImage1D (INTAS, Göttingen, Germany). Membranes were reprobed for detection of the respective total form of each protein-STAT3 (rabbit, monoclonal), STAT5, AKT, ERK1/2 (rabbit, polyclonal)-all from Cell Signaling Technology, Inc. (Danvers, Massachusetts). Immunoreactivities of phosphorylated proteins were normalized to those of the total form of the respective protein.

IN VITRO STAT3 INHIBITION. Further investigation of the SAFE pathway was performed by inhibition of STAT3 using Stattic (Stattic, Tocris bioscience, Bristol, England) (35,36). Plasma from 7 volunteers taken at 3 time points was tested for this in vitro inhibition of the SAFE pathway: baseline, 30 min and 6 days after RIPC. Stattic was dissolved in dimethylsulfoxide and added to the Krebs-Henseleit buffer at a final concentration of 10 $\mu mol/l$ and a final dilution of dimethylsulfoxide of 1:10.000. After stabilization for 20 min, mouse hearts were pre-treated with Stattic-containing Krebs-Henseleit buffer for 20 min, followed by perfusion with human dialysate. During wash-out and reperfusion, plain Krebs-Henseleit buffer was used again. After TTC staining the slices of the hearts were immunoblotted to verify successful STAT3 inhibition.

STATISTICAL ANALYSIS. Most Western blot data failed normality and equal variance test, therefore all continuous variables are presented as median and interquartile range (IQR) and categorical variables as frequencies and percentages. Comparisons were made between hearts perfused with dialysates of plasma obtained at baseline and hearts perfused with dialysates of plasma obtained at the



pre-defined time points after RIPC. Statistical analysis was performed using Friedman repeated measures analysis of variance on ranks with post hoc Dunn's method. A p value <0.05 was considered statistically significant. All statistical analyses were performed using SPSS software (SigmaStat 3.5, SPSS, Chicago, Illinois).

RESULTS

Volunteer demographics are presented in Supplemental Table 1. There were no protocol deviations or adverse events.

INFARCT SIZE REDUCTION AND IMPROVEMENT IN LVDP. Perfusion with dialysates of human plasma obtained at baseline resulted in an infarct size of 39% of LV+RV (IQR: 36% to 42%). Perfusion with dialysates of human plasma obtained within the pre-specified time points between 5 min and 6 days after RIPC reduced infarct size in comparison to that with baseline dialysate (Figure 2). Dialysates of plasma obtained 5 min after RIPC reduced infarct size to 28% of LV+RV (IQR: 22% to 35%), and minimal infarct size was observed with dialysates of plasma obtained 2 days after RIPC (i.e., 18% of LV+RV [IQR: 13% to 27%]. While dialysates of human plasma obtained 6 days after the RIPC maneuver still reduced infarct size to 24% of LV+RV (IQR: 18% to 27%), dialysates of plasma obtained 7 days after the RIPC maneuver did not (i.e., infarct size was 32% of LV+RV [IQR: 22% to 37%]) (Figure 2). LVDP was significantly improved at 15 min reperfusion by post-RIPC-dialysates compared to baseline-dialysate, while coronary flow was not different at any time point (Figure 3, Supplemental Figures 1 and 2).

SIGNAL ACTIVATION. In murine myocardium dialysates of plasma obtained after RIPC induced an increase of STAT3 phosphorylation over that with baseline-dialysate (p = 0.01) (**Figure 4A**). The phosphorylation of STAT5 (p = 0.07) showed a similar tendency, while phosphorylation of AKT (p = 0.76) and ERK1/2 (p = 0.38) was not affected (**Figures 4B to 4D**). Examples of Western blot membranes and the respective chemiluminescence signals are displayed in the supplemental appendix for each protein (Supplemental Figure 3).

STAT3 INHIBITION. Infarct size reduction and STAT3 activation were abolished by Stattic (**Figure 5**). Infarct sizes of hearts perfused with dialysates of plasma obtained 30 min after RIPC were increased by pre-treatment with Stattic (19% of LV+RV [IQR: 16% to 29%] to 45% of LV+RV [IQR: 41% to 46%], p < 0.05) (**Figure 5A**). The same was true for hearts perfused with dialysates of plasma obtained 6 days after RIPC (25% of LV+RV [IQR: 23% to 29%] to 40% of LV+RV [IQR: 38% to 45%], p < 0.05) (**Figure 5A**). Stattic had no influence on infarct size per se (42% of LV+RV





[IQR: 40% to 43%] vs. 39% of LV+RV [IQR: 34% to 48%], p = NS) (Figure 5A). Stattic did not change LVDP and coronary flow (Supplemental Figure 4). The efficacy of STAT3 inhibition by Stattic was verified by the reduced STAT3 phosphorylation at baseline, 30 min, and 6 days after RIPC (pSTAT3/total STAT3: 1.02 [IQR: 0.87 to 1.12] to 0.45 [IQR: 0.40 to 0.54], 0.94 [IQR: 0.90 to 1.04] to 0.37 [IQR: 0.31 to 0.44], and 0.95 [IQR: 0.78 to 1. 11] to 0.42 [IQR: 0.35 to 0.51], respectively) (Figure 5B). Original Western blot results and the respective chemiluminescence signals are displayed in the supplemental appendix (Supplemental Figure 5).

DISCUSSION

The present study characterized the kinetics and identified a signal transduction property of humoral factor(s) after a single RIPC-maneuver in healthy volunteers. The dialysate of human plasma obtained at 5 min and thereafter until at least 6 days after the RIPC-maneuver was transferred to a Langendorffperfused mouse heart where it reduced infarct size from global ischemia/reperfusion through STAT3 activation.

KINETICS OF HUMORAL FACTOR(S). The release of a circulating factor with a rapid onset of cardioprotection in the bioassay heart of the present study is consistent with prior experimental and clinical studies, which revealed evidence for protection with an interval of only 5 to 10 min between the RIPC stimulus and the injurious event (22,23,37,38). The continuous presence of a circulating factor that provides cardioprotection in the bioassay mouse heart in the present study is again consistent with prior experimental and clinical studies, which revealed evidence of long-lasting protection (24,26,27,39) for up to 1 week after the RIPC stimulus (28). In contrast to our present study, however, these studies could not distinguish between a long-lasting presence of a circulating factor or a long-lasting protective effect in the target organ. Given the dilution of the circulating factor that we had to use for technical reasons to perfuse the mouse heart with a dialysate, the appearance of a circulating factor which initiates protection may even be faster and last longer than we observed. However, we cannot distinguish whether we are dealing with the rapid and continuous release of a factor with a short half-life or a rapid release of a factor with a long half-life. Also, we have no information whether we are dealing with a single factor or a combination of factors.

In the present study, we have not investigated potential candidate molecules—nitrite (17), stromal cell-derived factor-1 α (18), and microRNA-144 (19)—in the dialysate. However, with a pore size of \leq 5 nm of the dialysis tubing, exosomes that also contribute to protection by RIPC (40) are excluded, unless they have released their protective molecules during the dialysis procedure.

SIGNAL ACTIVATION IN MURINE MYOCARDIUM. In

murine myocardium cardioprotection by local ischemic conditioning is associated with the activation of AKT, STAT3, and STAT5 (31,32,35,41); RIPC in mice has been shown to be associated with the activation of ERK1/2, AKT, and STAT3 (42,43). Apparently, in our present study the humoral factor(s) obtained from healthy volunteers after a single RIPC maneuver activated only STAT3 significantly whereas AKT and ERK1/2 were not activated and STAT5 only nonsignificantly. The STAT3 activation was causal for infarct size reduction since Stattic abrogated the protection. Although not significant, the trend for an activation of STAT5 is noteworthy because STAT5 is the only signaling protein, which has so far been demonstrated to be activated by RIPC in human tissue (44). The activation of STAT3 points to members of the cytokine and/or the growth hormone family as potential circulating RIPC transfer factor(s), as both cytokines and growth hormones classically activate the STAT3 and also the STAT5 pathway (29,45,46). We did not investigate the signal transduction downstream of STAT3 activation. However, the causal role of STAT3 in the rapid infarct size reduction (within 30 min) precludes a role of STAT3 as a transcription factor, which in turn may interact with other cardioprotective transcription factors such as hypoxia-inducible factors (47,48), for the present study. The causal role of STAT3 is rather attributable to better mitochondrial function, as we have shown before in protocols of ischemic post-conditioning (35,36).

STUDY LIMITATIONS. We used a saline-perfused Langendorff heart preparation with all its limitations, including the development of edema. The human factor(s) in the present study were obtained from

healthy volunteers but protection and its signal transduction were only identified after inter-species transfer in the isolated mouse heart. The humoral factor(s) may be different in kinetics and properties in patients with cardiovascular disease, confounding co-morbidities and comedications. Also, the signal transduction and the protective effect may be quantitatively and qualitatively different in the diseased human than the healthy mouse heart (13).

CONCLUSIONS

A single RIPC maneuver in healthy volunteers induces release of 1 or more cardioprotective, dialyzable, humoral factors that circulate already after 5 min and for up to 6 days. These factors reduce infarct size through STAT3 activation in the mouse heart.

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PERSPECTIVES

COMPETENCY IN MEDICAL KNOWLEDGE: RIPC protects against myocardial ischemia/reperfusion injury in animal studies and clinical proof-of-concept trials. Recent large-scaled, randomized trials did not confirm protection by RIPC in patients undergoing cardiac surgery, possibly because of confounding comorbidities and medications. The definition of basic characteristics of RIPC-induced cardioprotection in healthy volunteers may help to design future clinical trials.

TRANSLATIONAL OUTLOOK 1: The kinetics and signaling of cardioprotection by RIPC must be better defined.

TRANSLATIONAL OUTLOOK 2: Identification of the transfer factor(s) is mandatory for its potential use as a therapeutic agent.

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APPENDIX For supplemental figures and a table, please see the supplemental appendix.