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## **Original Article**

# Subclinical Pulmonary Involvement in Active IBD Responds to Biologic Therapy

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#### Abstract

**Objective:** Increased mortality from respiratory diseases was observed in epidemiological studies of patients with ulcerative colitis [UC] as a potentially underestimated extraintestinal manifestation. We therefore investigated the presence of pulmonary manifestations of inflammatory bowel disease [IBD] and the potential effect of tumour necrosis factor alpha [TNF- $\alpha$ ] inhibitors on pulmonary function tests [PFT] in a prospective, longitudinal study.

**Methods:** In all, 92 consecutive patients with IBD (49 Crohn's disease [CD], 43 UC) and 20 healthy controls were recruited. Fifty patients with IBD were in remission, and 42 had active disease with 22 of these being examined before and 6 weeks after initiating anti-TNF therapy. Pulmonary function tests [PFT] were evaluated using the Medical Research Council [MRC] dyspnoea index and a standardized body plethysmography. IBD activity was assessed using Harvey-Bradshaw index for CD and partial Mayo score for UC. Data are presented as mean ± standard error of the mean [SEM].

**Results:** Patients with active IBD showed significant reduction of PFT. Forced expiration [Tiffeneau index] values [FEV1%] were significantly reduced in IBD patients with active disease [78.8  $\pm$  1.1] compared with remission [86.1  $\pm$  0.9; p = 0.0002] and with controls [87.3  $\pm$  1.3; p = 0.001]. Treatment with anti-TNF induced a significant relief in obstruction [p = 0.003 for FEV1% in comparison with baseline levels]. The level of pulmonary obstruction significantly correlated with clinical inflammation scores [HBI or Mayo].

**Conclusions: Patients** with active IBD present with significant obstructive abnormalities in their PFTs. Obstruction is related to inflammatory activity, with anti-TNF improving PFTs. Pulmonary obstruction and possibly chronic bronchopulmonary inflammation is an overlooked problem in active IBD that is probably obscured by intestinal symptoms.

Key Words: IBD; extraintestinal manifestation; biological therapy

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### OXFORD

#### 1. Introduction

Inflammatory bowel disease [IBD]comprises two main subentities, Crohn's disease [CD] and ulcerative colitis [UC], among some rarer forms including microscopic colitis, collagenous colitis, and indeterminate colitis. IBD is seen as a chronic inflammatory, systemic disorder that not only directly affects the gastrointestinal tract but also is associated with various extraintestinal manifestations [EIM] that can involve nearly any organ. The rate of EIM in patients with IBD varies from 6% to 47% and seems to increase with the duration of the intestinal disease.<sup>1</sup> Vice versa, the majority of patients [>90%] with EIM shows significant intestinal inflammation, though EIM can precede the onset of the intestinal symptoms. The most common manifestations affect skin, joints, eyes, and the liver/biliary tract. Other manifestations have been described in the renal and respiratory systems.

It is debated whether EIM are always true reflections of the pathophysiology of IBD or whether they represent non-specific signs of general immune activation.<sup>2,3</sup> Various pulmonary affections have been reported in patients with IBD, including small and large airway dysfunction as well as obstructive and interstitial lung disorders, comprising bronchial hyper-reagibility, bronchitis and bronchiectasis, inflammatory tracheal stenosis, and interstitial pneumonitis, as well as bronchiolitis obliterans organising pneumonia [BOOP].<sup>4</sup> In 30-60% of patients with CD, lung involvement occurs on a subclinical, non-apparent level. Wallaert et al. revealed a lymphocyte alveolitis in approximately 50% of CD patients by bronchoalveolar lavage, without any clinically significant respiratory symptoms.<sup>5</sup> Furthermore, abnormal pulmonary function tests [PFT] have been reported in 42% of IBD patients again without any respiratory symptoms, compared with an incidence of only 3% in asymptomatic healthy controls.6

The clinical relevance of pulmonary manifestation appears to be low. Severe focal inflammatory complications affecting the lungs, trachea, or the pleural serosa are rare and are usually linked to uncontrolled gastrointestinal inflammation. However, population-based cohort studies showed an increased mortality from respiratory disorders in patients with IBD.7-9 Patients with UC in a Swedish cohort showed an increased standardised mortality ratio of 1.5 due to obstructive respiratory diseases, especially bronchitis, emphysema, and asthma.<sup>10</sup> Pathophysiological concepts for pulmonary involvement in IBD are limited<sup>11</sup> and are only based on theoretical considerations. Since both the lungs and the gastrointestinal tract embryologically arise from the primitive gut, it was suggested that the inflammatory response to the gastrointestinal mucosa may cross-react with the lung surface.12,13 Furthermore, chronic intestinal inflammation is associated with an impairment of barrier function that could allow the entrance of antigens and bacteria into the organism that potentially activate immune response on a systemic level and especially the lungs.<sup>14</sup> A pulmonary affection may also reflect the overlap between the genetic architecture of IBD and various chronic inflammatory lung diseases.15,16

Although there is observation of pulmonary pathology in patients with IBD, little is known about its frequency in systematic studies and its relationship to disease activity and specific biologic therapy. Therefore, the aim of this prospective study was to assess pulmonary function abnormalities in IBD patients in comparison with healthy controls and investigate the effect of a potent anti-inflammatory therapy (ie, use of tumour necrosis factor alpha [TNF- $\alpha$ ] inhibitors) on pulmonary function.

#### What is known?

- Extraintestinal manifestations are accepted complications in IBD patients, caused by systemic immune activation.
- Increased mortality from respiratory diseases was observed in epidemiological studies of patients with ulcerative colitis [UC].
- Pulmonary involvement may be underestimated in daily practice.

#### What is new here?

- High numbers of patients with active IBD exhibit mild symptoms of respiratory impairment.
- Patients with active IBD showed significant reduction of pulmonary function tests compared with IBD in remission and with healthy controls.
- Treatment with anti-TNF induced a significant relief in obstruction.

#### 2. Methods

# 2.1. Patient selection criteria, clinical assessments, and management

Between May 2016 and August 2017, 92 consecutive patients with IBD were included. Patients and subjects were recruited at our IBD outpatient centre at the University Medical Center Schleswig Holstein, Campus Kiel, Kiel, Germany. The study was conducted as a prospective, non-interventional observational experiment. Ethical permission was granted prior to study conduct by the Ethics Committee of the Medical Faculty of the University of Schleswig-Holstein, Campus Kiel [reference number: A104/13]. The study was registered at ClinTrials.gov [NCT02694588]. Prior written informed consent for the inclusion into the study was obtained from all patients and control subjects.

Patients between 18 and 75 years of age with active IBD as well as those with IBD in remission were included in this study. Disease activity was assessed using the Harvey-BradshawIndex [HBI] for CD<sup>17</sup> and the partial Mayo [pMayo] score for UC patients.<sup>18,19</sup> Clinical remission was defined as HBI index score <5 or a pMayo score <3 [with no subscore larger than 1], respectively. Volunteers, who were recruited from the health care personnel, served as healthy controls.

A detailed medical history was recorded in all patients and healthy controls (ie, age, sex, body mass index [BMI], duration of disease, present and past extraintestinal manifestations, medication, smoking and drinking habits).

A total of 22 patients with active IBD were recruited before initiation of anti-TNF- $\alpha$  therapy. These patients were assessed at baseline and after 6 weeks of treatment. Anti-TNF- $\alpha$  therapy was provided and initiated by an independent gastroenterologist at our IBD outpatient department. Indication for and treatment with anti-TNF was purely based on clinical indication and was not part of the study protocol.

Either adalimumab [ADA] [Humira®; Abbvie, Maidenhead, UK] or infliximab [IFX] [Remicade®; MSD Sharp Dome GmbH, Haar, Germany] was used based on clinical decision and according to the manufacturer's specifications. Selection of the medication was at the discretion of the gastroenterologist in charge of the patient. If concurrent corticosteroid therapy was used by the patient, only those were asked to participate who were on a stable dosage [prednisone <20 mg/day for at least 40 days; budesonide 9 mg/day] before initiation of biologic therapy. Steroid doses remained stable throughout the study period to avoid potential improvement in underlying asthma-type lung inflammation. Concurrent oral immunomodulators also remained constant during the anti-TNF- $\alpha$  treatment. In addition, recruited patients in remission under anti-TNF- $\alpha$  treatment had a stable dosage of the respective medication for at least 14 weeks.

Patients were selected to participate in this study using the following inclusion and exclusion criteria. Inclusion criteria were: histologically confirmed IBD, either active or in remission, written informed consent, ASA [American Society of Anaesthesiology] classification I-II, and stable or no medication with glucocorticoids as described above. Exclusion criteria were: known and/or clinically significant underlying chronic lung disease, ie, chronic obstructive lung disease, allergic asthma, lung cancer, history of or ongoing nicotine consumption/smoking, history of lung surgery, use of inhaled corticosteroids or anticholinergic or sympathomimetic drugs, systemic treatment with beta blockers or non-steroidal anti-inflammatory drugs [NSAID], previous anti-TNF- $\alpha$  treatment in active IBD, no stable dosage of anti-TNF- $\alpha$  treatment in IBD patients in remission [<14 weeks].

#### 2.2. Pulmonary function tests

Patients underwent an assessment before initiation of therapy and 6 weeks thereafter. Pulmonary function was evaluated using the Medical Research Council [MRC] dyspnoea score and a standardized body plethysmography. The MRC is a five-graded clinical symptom score that provides a simple and valid method of categorising patients in terms of their disability due to pulmonary obstruction: Grade 0: no dyspnoea, not troubled by breathlessness except with strenuous exercise; Grade 1: slight dyspnoea, troubled by shortness of breath when hurrying on a level surface or walking up a slight hill; Grade 2: moderate dyspnoea, walk slower than normal based on age on a level surface due to breathlessness or has to stop for breath when walking on level surface at own pace; Grade 3: severe dyspnoea, stops for breath after walking 100 yards or after a few minutes on level surface; Grade 4: very severe dyspnoea, too breathless to leave the house or becoming breathless while dressing or undressing.20

#### 2.3. Body plethysmography

Body plethysmography examinations [Master Screen Body, Vyaire Medical Inc., Mettawa, IL, USA] started with determining the lung function while breathing at rest, followed by the Shutter manoeuvre and continued with spirometric measurements. Assessment of the expiratory reserve volume [ERV] and inspiratory vital capacity [IVC] was performed after opening the shutter, by which total lung capacity [TLC] and residual volume [RV] were calculated. Thereafter, prolonged forced expiration was carried out with measurement of inspiratory vital capacity [VC], forced vital capacity [FVC], forced expiratory volume in one second [FEV1], maximal expiratory flow at 25%, 50%, and 75% [MEF25-75]. By these means, information on obstructive and restrictive abnormalities of the lung were obtained in one sequence of subsequent measurements.<sup>21</sup> All data were calculated as percentages of the predicted values adjusted for sex, age, height, and weight.

#### 2.4. Blood tests

PFT was scheduled in concordance with a venous blood sample being drawn for routine clinical assessment [full blood count, C-reactive protein]. Blood was drawn from volunteer controls too, and laboratory reports were supplied to them.

#### 2.5. Endpoints and sample size calculation

The aim of this prospective study was to evaluate and quantify potential abnormal pulmonary function in patients with active IBD and with IBD in remission, and to obtain information about potential changes of clinical/subclinical pulmonary involvement in IBD patients undergoing anti-TNF- $\alpha$  therapy.

Obstructive lung disease is indicated by an impaired FEV<sub>1</sub> <70% of the age- and weight-adjusted normal range according to the Global Initiative for Chronic Obstructive Lung Disease [GOLD].<sup>22</sup> Therefore, an FEV<sub>1</sub> <70% was defined as the primary endpoint. Significance level  $\alpha$  was set at 5% and power was set at 90%. Given a dropout rate of 15%, at least 23 patients with active IBD had to be investigated to allow sufficient power of the study. Sample size was calculated using G\*Power calculation software, version 3.1.9.2 [http://gpower.hhu.de]. Secondary parameters of analysis included peripheral airway obstruction [MEF 75-25 %], changes in PFT, and clinical parameters of dyspnoea.

#### 2.6. Statistical analysis

Statistical analysis was performed using the Mann-Whitney-test for unpaired, non-parametric comparison or a contingency table with calculation of Fisher's exact test, as appropriate. Intra-individual changes of the PFT during the course of therapy were compared using Wilcoxon matched-pair tests. A *p*-value of <0.05 was considered statistically significant. Statististical analyses were performed using SPSS 14 [IBM, Armon, NY, USA] and GraphPad Prism 6.0 [GraphPad, La Jolla, CA, USA]. Data on patient characteristics are presented as mean ± standard error of the mean [SEM] unless otherwise stated. Data of the MRC dyspnoea score and the spirometric data are presented as median/25th percentile/75th percentile [median/25/75].

#### 3. Results

#### 3.1. Patient characteristics

A total of 92 IBD patients, 49 with CD and 43, with UC were recruited into this study. Among the IBD patients, 50 were in remission and 42 presented with active disease; 22 IBD patients were scheduled for anti-TNF- $\alpha$  therapy. Age and sex distributions were not significantly different between the respective patient groups and healthy controls. Detailed patient characteristics are summarised in Table 1.

EIMs were observed in 31% [N = 13] of patients with active IBD and in 10% [N = 5] of patients with IBD in remission. Of note, 19% of patients with CD in clinical remission presented with EIM in contrast to none of the respective UC patients. The EIM manifestations in active UC comprised asymmetrical arthralgia [N = 6], erythema nodosum [N = 2], and iritis [N = 1]. The patient with iritis had accompanying arthralgia as a second EIM. In CD, five patients in remission and another five patients with active disease presented with arthralgia.

#### 3.2. Medical Research Council dyspnoea score

Of patients with active IBD, 43% [18/42] had a positive MRC dyspnoea score [grade 1 or 2], whereas only 20% [10/50] of IBD patients

#### Table 1. Patient characteristics

	IBD total $N = 92$		CD <i>N</i> = 49		UC <i>N</i> = 43		HC $N = 20$
	Remission	Active	Remission	Active	Remisson	Active	
N [%]	50	42	26	23	24	19	20
Age [years], mean ± SEM	44.78 ± 1.7	49.4 ± 2.4	44.15 ± 2.5	47.65 ± 3.1	45.46 ± 2.2	51.53 ± 3.8	44.25 ± 2.9
IBD activity score, median/25th percentile/75th percentile	-	-	2/0/3	6/5/9	1/0/2	5/4/6	-
C-reactive protein [mg/L], mean ± SEM	4.9 ± 1.0	9.5 ± 1.7	$5.3 \pm 1.5$	$13.1 \pm 2.8$	$3.4 \pm 1.2$	$5.2 \pm 0.9$	$1.2 \pm 0.2$
BMI [kg/m <sup>2</sup> ], mean $\pm$ SEM	$24.9 \pm 0.5$	$25.6 \pm 0.7$	$24.5 \pm 0.7$	$25.2 \pm 1.0$	$25.4 \pm 0.6$	$26.0 \pm 1.0$	$25.3 \pm 0.6$
Smoking, N	0	0	0	0	0	0	0
Known EIM of IBD, N [%]	5 [10]	13 [31]	5 [19]	5 [22]	0 [0]	8 [42]	-
Concomitant medication, N [%]							
5-ASA	19 [38]	14 [33]	2 [8]	2 [9]	14 [58]	12 [63]	-
Steroids	8 [16]	14 [33]	6 [23]	6 [26]	2 [8]	8 [42]	-
Budesonide	7 [14]	3 [7]	1 [4]	3 [13]	6 [25]	0 [0]	-
Azathioprine	2 [4]	6 [14]	1 [4]	2 [9]	1 [4]	4 [21]	-
Anti-TNF-α	21 [42]	0 [0]	11 [42]	0 [0]	10 [42]	0 [0]	-

IBD, inflammatory bowel disease; CD, Crohn's disease; UC, ulcerative colitis; HC, healthy controls; SEM, standard error of the mean; BMI, body mass index; EIM, extraintestinal manifestations; 5-ASA, 5-aminosalicylic acid; TNF, tumour necrosis factor.

able 2. Medical research council dyspnoea so	ore <sup>20</sup> [* indicates significant	differences between the groups]
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	IBD	IBD		ase	Ulcerative colitis	
	Active	Remission	Active	Remission	Active	Remission
Positive score [>0]; N [%]	18 [43]*	10 [20]*	10 [43]	4 [15]	8 [42]	6 [25]
Negative score [= 0]; N [%]	24 [57]	40 [80]	13 [57]	22 [85]	11 [58]	18 [75]
Total	42	50	23	26	19	24

IBD, inflammatory bowel disease.

in remission displayed a similar degree of clinical symptoms [healthy volunteers 0%] (Table 2). Higher MRC dyspnoea scores [3 or 4] were not recorded. Fisher's exact test revealed a significantly higher rate of a positive MRC dyspnoea score in patients with active IBD compared with IBD in remission [p = 0.02]. In the CD population, a positive symptom score [grade 1 or 2] was found in 43% of patients [10/23] with active disease and in 15% [4/26] of patients in remission. This trend failed to reach statistical significance [p = 0.055] due to low sample size. In patients with UC, pulmonary symptoms were detected in 42% [8/19] of active patients and in 25% [6/24] of cases in remisson [p > 0.05].

#### 3.3. Pulmonary function tests [PFTs]

Patients with active IBD showed significantly reduced respiratory function. Central or peripheral airway obstruction were observed in 31.9% of patients with active disease, in 6.9% of patients in remission, and in 5% of controls [p = 0.006 for comparison of active IBD vs IBD in remission]. Restrictive changes of pulmonary function tests were seen in 2.1% of patients with active disease, in 6.9% of patients in remission, and in 0% of controls [p > 0.05 for comparison of active IBD vs IBD in remission].

Patients with active IBD exhibited a mean FEV1% level of 78.8  $\pm$  1.1 compared with 86.1  $\pm$  0.9 in IBD patients in remission [p = 0.0002]. The respective parameters of patients with active CD vs CD in remission [p = 0.009], and active UC vs UC in remission [p = 0.02], changed accordingly [Table 3]. FEV1% in patients with IBD in remission was comparable to healthy controls [FEV1% healthy controls = 87.3  $\pm$  1.3; p = 0.6].

Parameters of peripheral airway obstruction [MEF 75-25] showed impaired values in active disease but not in remission [MEF75:  $IBD_{active}$  vs controls, p = 0.01;  $IBD_{active}$  vs  $IBD_{remission}$ , p = 0.002;  $IBD_{remission}$  vs controls, p > 0.05] [Table 3].

Despite an overall reduction in vital capacity [VC] in IBD patients [VC IBD<sub>active</sub> = 92.4  $\pm$  2.3%; VC IBD<sub>remission</sub> = 95.6  $\pm$  1.8%] compared with healthy controls [VC<sub>controls</sub> = 104.7  $\pm$  2.5%; *p* = 0.02] no influence of disease activity was observed [active vs remission]. Similar observations were made for total lung capacity [TLC] in IBD patients [TLC IBD active and remission, p = 0.4], whereas TLC levels were significantly reduced in IBD patients compared with healthy controls [p = 0.005]. Residual volume [RV] was significantly impaired in IBD patients compared with healthy controls [p = 0.02]. Furthermore, a significantly lowered RV was observed in IBD patients in remission compared with active IBD. This difference was caused by the CD subgroup [RV  $CD_{active} = 112.0 \pm 14.1\%$ ; RV  $CD_{remission} = 83.8 \pm 14.1\%$ ; p = 0.03], whereas the UC group exhibited no significant changes of the RV [RV UC<sub>active</sub> = 90.5  $\pm$  7.4%; RV UC<sub>remission</sub> = 92.5  $\pm$  6.7%; p >0.05]. Detailed results of pulmonary functions tests are summarised in Table 3.

#### 3.4. PFTs and correlation with disease activity

The clear association between abnormalities in PFT and disease activity [ie, remission versus active disease] prompted us to conduct correlation analyses using HBI and pMayo as comparators. Correlation analyses of PFT [FEV1% as an example] with HBI as well as Mayo score expressed a significant correlation between the level of inflammation and pulmonary obstruction [HBI vs FEV1%:

 Table 3. Comparison of pulmonary function tests [\* indicates significant differences for the comparison between active IBD and IBD in remission.; + indicates significant differences for the comparison between active Crohn's disease and Crohn's disease in remission; # indicates significant differences for the comparison between active ulcerative colitis and ulcerative colitis in remission].

	IBD		Crohn`s disease		Ulcerative colitis		Controls
	Active	Remission	Active	Remission	Active	Remission	
FEV1% [mean ± SEM]	78.8 ± 1.1*	86.1 ± 0.9*	78.3 ± 1.6+	85.8 ± 1.3+	79.40 ± 1.6#	86.5 ± 1.2#	87.3 ± 1.3
MEF75 [mean ± SEM]	89.2 ± 3.4*	104.3 ± 2.4*	87.2 ± 4.8 <sup>+</sup>	$103.3 \pm 3.2^{+}$	91.6 ± 4.9#	$105.3 \pm 3.6^{\#}$	$103.1 \pm 3.8$
MEF50 [mean ± SEM]	80.3 ± 4.3*	99.9 ± 3.2*	$78.5 \pm 6.0^{+}$	97.9 ± 4.0+	82.5 ± 6.4#	102.0 ± 5.2#	94.5 ± 4.0
MEF25 [mean ± SEM]	72.4 ± 4.3*	93.8 ± 4.8*	$68.7 \pm 6.0^{+}$	93.1 ± 6.6+	77.0 ± 6.2#	94.7 ± 7.1#	85.5 ± 4.5
VC [mean ± SEM]	92.4 ± 2.3	95.6 ± 1.8	91.0 ± 3.2	91.7 ± 2.3	94.1 ± 3.3	99.7 ± 2.5	104.7 ± 2.5
TLC [mean ± SEM]	99.6 ± 3.2	95.4 ± 2.2	$101.8 \pm 4.6$	93.1 ± 3.5	96.9 ± 4.3	97.8 ± 2.7	$102.4 \pm 3.5$
RV [mean ± SEM]	$102.2 \pm 8.5*$	$88.0 \pm 6.0^*$	$112.0 \pm 14.1^{+}$	$83.8 \pm 9.9^+$	90.5 ± 7.4	92.5 ± 6.7	102.1 ± 8.0

SEM, standard error of the mean.



Figure 1. Correlation analysis of inflammation scores (HBI [A] and Mayo[B] vs FEV1%). Statistical analyses were carried by simple linear regression analysis. Solid lines indicate the best-fit regression, dashed lines indicate 95% confidence bands of the best-fit line.

r = -0.84, p <0.0001; Mayo vs FEV1%: r = -0.85, p <0.0001] [Figure 1].

#### 3.5. Response of PFTs to anti-TNF- $\alpha$ therapy

Given the correlation between disease activity and impaired pulmonary function in IBD, we next investigated whether immunosuppressive therapy with anti-TNF- $\alpha$  antibodies can restore pulmonary function. At baseline, IBD patients scheduled for anti-TNF- $\alpha$  presented with an FEV1% level of 78.5 ± 1.8%. After 6 weeks of anti-TNF- $\alpha$  treatment, FEV1% improved significantly to 86.3 ± 1.9% [p <0.0001]. This significant improvement was also observed in the CD and UC subgroup analysis [CD<sub>baseline</sub> = 77.0 ± 2.2% vs CD<sub>6weeks</sub> = 86.0 ± 3.0%; p = 0.002; UC<sub>baseline</sub> = 80.2 ± 2.8% vs UC<sub>baseline</sub> = 86.8 ± 2.6%; p = 0.009]. Parameters of peripheral airway obstruction changed accordingly. Further details are listed in Table 4.

#### 4. Discussion

The aim of this study was to evaluate clinical and subclinical pulmonary involvement in patients with active IBD and with IBD in remission in comparison with healthy controls. We further aimed to assess the effects of TNF- $\alpha$  inhibitors on pulmonary function.

A significant proportion of patients with active IBD displayed mild symptoms of respiratory dysfunction, as evidenced by low-grade MRC score abnormalities in 41.8% of patients with active IBD compared with 17% of those in remission. The majority of active patients showed significantly impaired PFT, indicating obstructive abnormalities in comparison with patients with IBD in remission and healthy controls.<sup>+</sup>

This observation is in agreement with previous observations. Godet et al. showed obstructive abnormalities in 23% of UC patients, and Mohamed-Hussein et al. recorded small airway obstruction in 58% of active UC patients.<sup>23,24</sup> Furthermore, obstructive dysfunction and small airway obstruction was described by Yilmaz et al. in 51% of the IBD patients, with significant correlation to the activity of the underlying disease.<sup>25</sup> These data are in line with our results and with a strong correlation between disease activity and the level of PFT impairment in UC as well as in CD patients. Of note, according to current guidelines of the German and European respiratory societies, no relevant changes of the VC are expected in mild or moderate bronchopulmonary obstruction; only the overall time to release the lung volume is mildly prolonged.<sup>26,27</sup> Since our recruited IBD patients only exhibited mild and moderate changes of FEV1% and/or MEF, this could explain the finding that the VC did not change regardless of disease activity in IBD patients compared with IBD in remission. Nevertheless, in IBD patients undergoing anti-TNF- $\alpha$  treatment a significant improvement of VC was observed.

In the majority of published studies, pulmonary manifestation of IBD is restricted to subclinical changes of PFT parameters and only a small proportion of patients complain of clinically relevant pulmonary symptoms as potential EIM.<sup>28–31</sup> However, pulmonary obstruction and chronic bronchopulmonary inflammation may be clinically underestimated due to reduced physical activity in active disease and may be overlooked. Ekbom *et al.* demonstrated in their population-based cohort study that the mortality of chronic obstructive pulmonary disease

Table 4. Comparison of pulmonary function tests before and after 6 weeks of anti-TNF-alpha therapy [\* indicates significant differences for the comparison between active IBD and IBD in remission.; + indicates significant differences for the comparison between active Crohn's disease and Crohn's disease in remission; # indicates significant differences for the comparison between active ulcerative colitis and ulcerative colitis in remission].

	IBD N = 22		Crohn`s disease	N = 12	Ulcerative colitis $N = 10$	
	Baseline	TNF-α 6 weeks	Baseline	TNF-α 6 weeks	Baseline	TNF-α 6 weeks
FEV1% [mean ± SEM]	78.5 ± 1.8*	86.3 ± 1.9*	77.0 ± 2.2+	86.0 ± 3.0+	80.2 ± 2.8#	86.8 ± 2.6#
MEF75 [mean ± SEM]	87.2 ± 4.4*	96.4 ± 4.6*	$83.5 \pm 6.7^{+}$	97.0 ± 7.7+	91.3 ± 5.7#	95.9 ± 5.1*
MEF50 [mean ± SEM]	77.0 ± 6.7*	93.2 ± 7.3*	74.3 ± 10.3 <sup>+</sup>	90.6 ± 11.5 <sup>+</sup>	$80.0 \pm 8.8^{\#}$	96.1 ± 9.3 <sup>#</sup>
MEF25 [mean ± SEM]	73.5 ± 7.0*	93.7 ± 8.8*	66.7 ± 9.5+	90.0 ± 13.8+	81.0 ± 10.3#	97.7 ± 11.0 <sup>#</sup>
VC [mean ± SEM]	88.2 ± 2.7	93.2 ± 3.2	86.8 ± 3.8	93.5 ± 5.2	89.9 ± 4.0	92.8 ± 3.8
TLC [mean ± SEM]	$103.7 \pm 5.7$	98.1 ± 3.7	$110.7 \pm 8.3$	$100.8 \pm 5.1$	96.0 ± 7.2	95.2 ± 5.5
RV [mean ± SEM]	$117.1 \pm 15.4$	$104.3 \pm 10.6$	$143.9 \pm 24.8$	$117.7 \pm 17.6$	87.7 ± 13.1	$89.5 \pm 10.0$

IBD, inflammatory bowel disease; TNF, tumour necrosis factor.

[COPD] patients developing CD is 2.72 times higher compared with healthy controls and even greater than the risk reported for smoking as a single risk factor.<sup>9</sup> Conversely, COPD seems to be a relevant mortality factor in CD patients, with standardised mortality ratios ranging from 2.5 to 3.5,<sup>732</sup> as well as in UC with an increased odds ratio of 1.5 (95% confidence interval [CI] = 1.1-2.2).<sup>9,10</sup> The hypothesis of interorganic dependence is strongly supported by our current correlation analysis between the level of inflammation, as expressed by the respective inflammatory scores [HBI and Mayo], and the level of PFT impairment. Both analyses showed a highly significant correlation with a *p*-value of <0.0001 each.

Based on the available data, pulmonary dysfunction may be overlooked in IBD. The majority of IBD patients will present with subclinical pulmonary involvement [with respect to their level of physical activity]. However, taking the increased mortality rates into account, regular screening PFT assessment may be a relevant addition to the care of patients with complex forms of IBD.

In clinical practice one should carefully consider whether potential abnormalities are based on the underlying IBD or are induced by the biologic therapy itself, ie, as drug treatment intolerances or opportunistic infections caused by the immunosuppressive agents.<sup>33</sup>

Despite the obvious epidemiological coincidence, the pathophysiological link between obstructive lung diseases and IBD remains only partially understood and is widely discussed. The epithelial barriers of the respiratory and the gastrointestinal tract both consist of a highly vascularised luminal surface that is covered by a selective epithelial barrier and protecting superficial mucus layer. Underneath the epithelial surface, mucosa-associated lymphatic tissue [MALT] is present and regulates lymphocyte trafficking, antigen sampling, and mucosal host defence to protect from invading commensal bacteria and foreign pathogens.<sup>34–36</sup>

In our study, anti-inflammatory therapy with anti-TNF significantly improved obstructive abnormalities in IBD patients, indicating that the inflammatory response caused by pro-inflammatory cytokines may play an important role in the aetiopathogenesis of IBDassociated pulmonary involvement. This hypothesis is supported by studies in colitis models in rats. In 12 rats, a colitis was induced by either dextran sulphate sodium [DSS] or trinitrobenzene sulphonic acid [TNBS]. After induction of colitis, the colon and the lungs were assessed histopathologically with special focus on the role of vascular endothelial growth factor [VEGF] and TNF- $\alpha$ . Aydin *et al.* demonstrated an alveolar haemorrhage in the majority of rats with acute colitis, caused by significantly elevated concentrations of VEGF and TNF- $\alpha$  in pulmonary tissues in both the DSS and TNBS colitis groups compared with untreated control rats. These changes occurred simultaneously to the onset of inflammation in colonic tissue.<sup>15</sup>

In contrast, a multicentre, randomised, double-blind, placebocontrolled study assessing the effect of infliximab in patients with moderate to severe COPD subjects showed no treatment benefit as measured by the primary endpoint, the Chronic Respiratory Questionnaire total score. Similarly, no changes in secondary endpoints were reported, including prebronchodilator FEV1%, 6-min walk distance, transition dyspnoea index, or rate of moderate and severe exacerbations.<sup>37</sup> These studies suggest that the observed pulmonary involvement in IBD may not be caused by a COPD-like disease but rather by a systemic inflammatory response. Our study results demonstrate for the first time that subclinical pulmonary involvement in IBD is responsive to anti-TNF therapy used to treat disease activity.

#### 4.1. Limitations

Based on our data, we cannot exactly differentiate if the observed improvement of PFTs in patients with IBD is directly caused by the anti-inflammatory effects of anti-TNF on the pulmonary level or if these changes are due to a restored general condition of patients in response to therapy. These arguments need to be addressed by assessment of molecular changes in the bronchoalveolar fluid.

#### 4.2. Conclusion

IBD patients with active disease present significant obstructive lung abnormalities in comparison with IBD patients in remission and healthy controls. Anti-inflammatory therapy with anti-TNF improves obstructive changes. Pulmonary obstruction and chronic bronchopulmonary inflammation may be overlooked in IBD patients and particularly in those with active disease. Further studies are necessary to determine whether and how pulmonary involvement should be treated.

The data underlying this article will be shared on reasonable request to the corresponding author.

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#### **Conflict ofInterests**

NS, AK, SZ, SS report personal fees from Abbvie and MSD Sharp Dome GmbH for advisory work. All other authors have no conflict of interest to disclose.

#### **Author contributions**

ME: planning and conduct of the study, collecting and interpreting data, and drafting of the manuscript; JB: planning and conduct of the study, collecting and interpreting data, and drafting of the manuscript; JB: collecting and interpreting data and drafting of the manuscript; CC: collecting and interpreting data, drafting of the manuscript; RN: collecting and interpreting data, drafting of the manuscript; RN: collecting and interpreting data, drafting of the manuscript; TB: collecting and interpreting data, drafting of the manuscript; SN: collecting and interpreting data, drafting of the manuscript; KA: collecting and interpreting data, drafting of the manuscript; SZ: collecting and interpreting data, drafting of the manuscript; SS: planning of the study, interpreting data, ww and drafting of the manuscript. All authors approved the final draft submitted.

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