

# Efficacy of Endoscopic Ultrasound Guided Fine Needle Aspiration in Patients with Solid Pancreatic Neoplasms

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## ABSTRACT

**Background/Aim:** Endosonography is a distinct method for evaluating the structural lesions of the gastrointestinal (GI) tract, particularly the pancreatobiliary region. This procedure has made a fundamental change in the diagnosis of pancreatic mass lesion through providing fine needle aspiration. This study aims at evaluating the results and efficacy of endoscopic ultrasound fine needle aspiration (EUS-FNA) in patients with pancreatic solid mass. **Patients and Methods:** The present study is an observational, prospective case series nature, evaluated patients with pancreatic solid mass referred to Imam Khomeini educational hospital in Tehran for a duration of one year since November 2010. In order to determine the false negative cases, the patients were followed-up from 6 to 12 months. **Results:** EUS-FNA was conducted on all 53 patients without any complication. The majority of patients included in the study were males (68%) and 81% of patients had a mass in the head of pancreas. The result of cytopathology revealed 36 adenocarcinomas (68%), 7 other malignancies (13%), benign lesions (6%) and 7 non-diagnostic cases (13%). The frequency of non-diagnostic results was significantly high in masses smaller than 3 cm (6 vs. 1,  $P < 0.002$ ). Patients with non-diagnostic result were younger than those with malignant cytopathology ( $52 \pm 7.5$  vs.  $66 \pm 7.5$  years,  $P < 0.001$ ). Sensitivity, specificity, positive predictive value, negative predictive value and accuracy of this procedure concerning Adenocarcinoma were 88%, 100%, 100%, 70% and 90%, respectively. **Conclusion:** EUS - FNA is an effective and safe procedure in histopathologic diagnosis of pancreatic tumors. This procedure is useful in all pancreatic mass cases including resectable and non-resectable ones.

**Key Words:** Endosonography, fine needle aspiration, pancreatic neoplasm

Received: 23.11.2011, Accepted: 11.04.2012

**How to cite this article:** Baghbanian M, Shabazkhani B, Ghofrani H, Forutan H, Dariani N, Farahvash M, *et al.* Efficacy of endoscopic ultrasound guided fine needle aspiration in patients with solid pancreatic neoplasms. Saudi J Gastroenterol 2012;18:358-63.

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Majority of pancreatic solid masses are adenocarcinoma.<sup>[1]</sup> However, few less invasive malignancies such as neuroendocrine tumors and benign lesions such as autoimmune pancreatitis can manifest as a solid mass in pancreas.<sup>[2]</sup> The pancreas is a retroperitoneal organ and tissue sampling from pancreatic masses can be associated with limitations and complications such as tumoral cell seeding, pancreatitis due to parenchyma damage, and trauma to adjacent vessels and organs.<sup>[3,4]</sup>

The best diagnostic modality for assessment of pancreatic solid efficacy of endoscopic ultrasound fine needle aspiration (EUS-FNA).<sup>[5]</sup> This method can determine the tumor location, size, and invasion to adjacent organs and provides safe and accurate fine needle aspiration (FNA). Concerning malignancy in pancreatic solid masses, the sensitivity, specificity and accuracy of this method are 85%, 100% and 60 to 94%, respectively.<sup>[6-13]</sup> Histopathologic result of EUS-FNA in this setting has revealed 87% malignancy, 13-14% benign lesion and 11-28% non-diagnostic.<sup>[12,13]</sup> EUS-FNA accuracy is dependant on the endosonographer and pathologist's experience. Pancreatitis that sometimes occurs in association with adenocarcinoma, can lead to sampling error and mistakes in histopathologic assessment.<sup>[14,15]</sup>

This study aims at evaluating the results and efficacy of EUS-FNA in patients with pancreatic solid mass.

Access this article online	
Quick Response Code: 	Website: www.saudijgastro.com
	DOI: 10.4103/1319-3767.103426

## PATIENTS AND METHODS

The present study which is of a descriptive, prospective and case series nature, evaluated patients with pancreatic solid mass, referred to Imam Khomeini educational hospital in Tehran for a duration of one year since November 2010. In order to determine the false negative cases, the patients have been followed-up from 6 to 12 months. Cystic or solid-cystic masses are not studied.

All procedures were carried out by a single gastroenterologist. All patients were placed under conscious sedation using oropharyngeal topical anesthetic and I.V. midazolam and fentanyl with or without propofol. The echo endoscope used was curved linear array (Olympus GF-UC 24OP-AL5 Tokyo, Japan.) with Aloka Prosound SSD-5000 (Aloka, Tokyo, Japan) processor. EUS-guided FNA was carried out using a single use aspiration 22-G 13-mm Wilson-Cook Quick needle (Wilson-Cook GI Endoscopy, Winston-Salem, NC, USA). Approximately  $7 \pm 2$  to 10 back-and-forth passages were performed while maintaining aspiration in the needle.

Aspirated samples were evaluated either by means of cytological smears or cell blocks. All cytological samples were interpreted by one experienced cytopathologists. The cytology samples were reported as “positive”, “suspicious for malignancy”, “atypical”, “negative”, or “non-diagnostic” on the official pathology report. Some non-adenocarcinoma tumors were diagnosed based on morphology and immunocytochemical staining. Aspirate specimens containing inadequate cellular material or cellular atypia were defined as “non-diagnostic”.

In 5 patients, the final diagnosis was established by histological

assessment of the second EUS-FNA or a surgical specimen. Patients followed-up for 12 months without any evidence of malignancy, were defined as non-malignant (true negative).

## Statistical analysis

The significance level was 5% for all statistical procedures. Numerical variables were expressed as mean  $\pm$  SD and comparative analysis between them was performed by Student's *t*-test. All categorical data were analyzed by Chi-square test with Yates correction and Fischer's exact test. Concerning the diagnosis obtained by EUS-FNA, sensitivity, specificity, positive and negative predictive values, and accuracy were calculated with a  $2 \times 2$  table.

## RESULTS

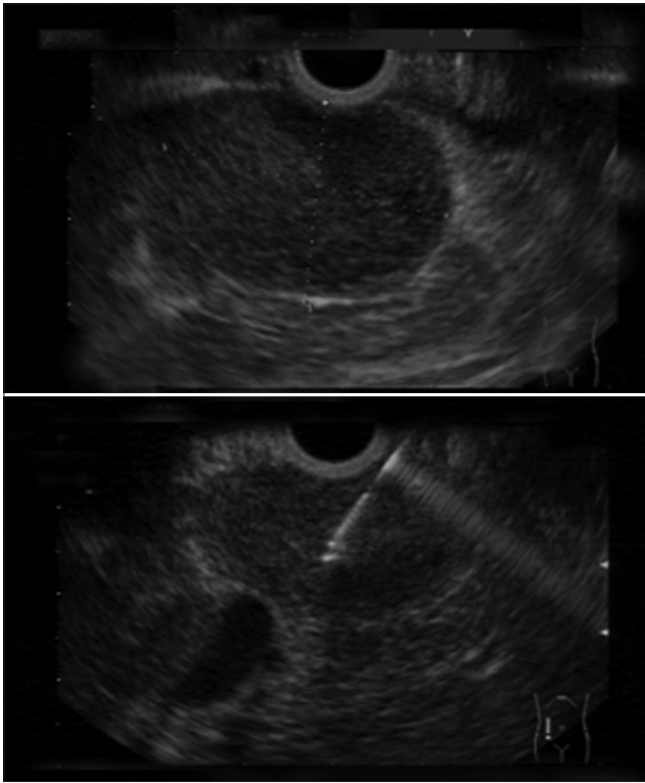
53 patients with pancreatic solid mass were enrolled in the present study. Patient's demographic information, clinical and imaging finding, and pathologic results are depicted in Tables 1 and 2. The mean age of the patients was 61 years (range 24–90 years). The lesion was situated in the head of the pancreas in 43 cases (81%).

The mean lesion size was 41 mm (range 20-105 mm). Cytological examination was performed in all 53 cases without any complication [Figure 1]. The final diagnosis was obtained in 50 patients: by first EUS-FNA in 43 cases, by surgical specimen in 2 cases, by a second EUS-FNA in 3 cases, by a CT-scan guided biopsy in one case, by an ascites cytology in one case and by clinical and radiological follow-up for at least 12 months. Finally EUS-FNA was diagnostic in 46 cases (87%).

Among these 50 patients, 38 patients (72%) died with

**Table 1: Demographic and clinical finding in 53 studied patients**

	Total (N=53)	Cytopathologic result			P value
		Diagnostic (N=46)		Nondiagnostic (N=7)	
		Malignant (N=43)	Benign (N=3)		
Age (year)					
range	20-80	50-80	34-65	20-75	0/001
mean	61 $\pm$ 12	66 $\pm$ 7/5	51 $\pm$ 15	52 $\pm$ 15	
Sex (male/female)	36/17	30/13	1/2	3/4	0/281
Smoking	27 (51%)	22 (51)	1 (33%)	4 (57%)	0/207
Alcoholism	1	1	0	0	0/846
Cholecystectomy	3	2	0	1	0/599
Dm (percent)	12 (23%)	10 (23%)	0	12 (28%)	0/329
Family history of cancer	0	0	0	0	-
Abdominal pain	(94%) 50	41 (95%)	2 (67%)	7 (100%)	0/143
Weight loss	(81%) 43	36 (84%)	0	7 (100%)	0/164
Jaundice	(66%) 35	26 (60%)	3 (100%)	6 (86%)	0/302
Time of symptom					
Range (month)	12-1	12-1	2-4	12-1	0/906
Mean (month)	2/7 $\pm$ 1/7	2/6 $\pm$ 1/9	2/6 $\pm$ 1/4	2/3 $\pm$ 1/5	



**Figure 1:** Hypoechoic mass in the head of the pancreas diagnosed as adenocarcinoma in EUS\_FNA (aspiration needle is seen inside the mass in bottom image)

evidence of malignant progression of their disease in 12 month follow-up. In 3 patients with an initial diagnosis of benign disease (i.e., autoimmune pancreatitis), the status remained stable or improved.

Second FNA was performed in five patients with non-diagnostic primary specimen; results of these second FNAs were adenocarcinoma in 3 cases, focal pancreatitis in one patient and non-diagnostic in one case. A pancreatic CT scan in one patient was normal but EUS-FNA revealed a 2.6 cm adenocarcinoma in the head of the pancreas. In another patient that was diagnosed as chronic pancreatitis by CT guided biopsy, the EUS-FNA revealed adenocarcinoma.

The most common (68%) cytopathologic result of EUS-FNA was adenocarcinoma. But few uncommon tumors and benign lesions were diagnosed by this method too [Table 2]. The pathologic diagnosis of two gastrointestinal stromal tumors (GIST), two neuroendocrine tumors and one pseudo papillary tumor were confirmed by immunohistochemistry (IHC).

There was no cystic component in two mucinous cyst neoplasms and this diagnosis was made histopathologically. Autoimmune pancreatitis was diagnosed by numerous plasma

cells in FNA specimen and clinical setting. Focal pancreatitis was diagnosed by evidence of chronic inflammation and lack of malignancy in FNA specimen and clinical setting.

All patients were followed-up for 12 months, especially those with benign and/or non-diagnostic cytopathologic results were observed closely. Symptoms and CT scan findings of the patient with autoimmune pancreatitis resolved by oral prednisolone in 3 months. Two patients that were diagnosed as focal pancreatitis and fibrosis by EUS-FNA fared well and did not have clinical or imaging evidence of malignancy during 12 months of follow up.

Cytopathologic result of EUS-FNA was non-diagnostic in seven cases; tumor was in the head of the pancreas in all of these patients. Four cases from these ones had adenocarcinoma, lymphoma, metastasis from colon cancer and peritoneal carcinomatosis that were diagnosed by whipple surgery, supra-clavicle lymph node excision, CT guided biopsy, and ascites fluid cytology, respectively. Three of the remaining patients died without histopathologic diagnosis.

Concerning adenocarcinoma, the sensitivity, specificity, PPV, NPV, and accuracy of EUS-FNA was 88%, 100%, 100%, 70% and 90%, respectively (sensitivity before re-FNA was 80%).

Several variables such as age, sex, smoking, alcohol use, diabetes mellitus, tumor characteristic and serum CA19-9 were evaluated. Only age and tumor size had significant correlation with cytopathologic result of EUS-FNA; the frequency of non-diagnostic results was significantly high in masses smaller than 3 cm (6 vs. 1,  $P < 0.002$ ) and patients with non-diagnostic result were younger than those with malignant cytopathology ( $52 \pm 7.5$  vs.  $66 \pm 7.5$  years,  $P < 0.001$ )

## DISCUSSION

Over the last decades, the incidence of pancreatic cancer has increased.<sup>[16]</sup> Prognosis of this tumor remains poor despite rapid improvements in imaging technologies and therapeutic modalities. Curative treatment is dependent on early diagnosis. EUS-FNA is a reference method for diagnosis of the pancreatic neoplasm such as adenocarcinoma or non-adenocarcinoma tumors.<sup>[17,18]</sup>

Sensitivity and specificity of this method is dependent on the pathologist's experience and endosonographer's skill. Pancreatitis that sometimes is associated with tumor can decrease the diagnostic accuracy of tissue sampling including EUS-FNA.<sup>[19]</sup>

Indications of EUS-FNA in pancreatic malignancy include: detection of small tumors that cannot be seen on CT scan or MRI,<sup>[20]</sup> biopsy from lesions that are surrounded by

**Table 2: Endoscopic ultrasonography findings and cytopathological results**

	Total (N=53)	Cytopathologic result			P value
		Diagnostic (N=46)		Nondiagnostic (N=7)	
		Malignant (N=43)	Benign (N=3)		
Tumor location					
Head	43 (81%)	33 (76%)	3 (100%)	7 (100%)	0/95
Body	8 (15%)	8 (19%)	0	0	
Tail	2 (4%)	2 (5%)	0	0	
Vascular invasion	27 (51%)	24 (56%)	0	3 (43%)	0/099
Final diagnosis					
Adenocarcinoma	36 (68%)	36 (84%)	0	0	
GIST*	2 (3/8%)	2 (4/6%)	0	0	
Neuroendocrine tumor	2 (3/8%)	2 (4/6%)	0	0	
Mucinous cyst neoplasm	1 (1/9%)	1 (2/3%)	0	0	
Pseudopapillary tumor	1 (1/9%)	1 (2/3%)	0	0	
Geant cell tumor	1 (1/9%)	1 (2/3%)	0	0	
Fibrosis	1 (1/9%)	0	1 (33%)	0	
Focal pancreatitis	1 (1/9%)	0	1 (33%)	0	
Autoimmune pancreatitis	1 (1/9%)	0	1 (33%)	0	
Nondiagnostic	7 (13%)	0	0	7 (100%)	

\*Gastrointestinal stromal tumor

blood vessels,<sup>[20]</sup> detection of lymph nodes involvement,<sup>[21]</sup> biopsy from small lesions in the left lobe of the liver that are suspected to be metastasis,<sup>[22]</sup> diagnosis of peritoneal carcinomatosis with ascites fluid aspiration<sup>[23]</sup> and celiac nerve block in patients with severe pain.<sup>[24]</sup>

Considering low negative predictive value of EUS-FNA in pancreatic malignancy, some clinicians offer surgery for resectable tumors without attempting FNA. These physicians recommend FNA only for advanced non-resectable tumors because pathologic diagnosis is essential for starting chemotherapy.<sup>[25,26]</sup> As noted in this present study, there are various benign lesions and non-adenocarcinoma tumors that manifest as pancreatic mass. Surgery in benign lesions such as autoimmune pancreatitis and few malignancies such as lymphoma is unnecessary. And in masses where surgery is necessary, extent of surgical resection is different according to tumor pathology. So FNA can be recommended for all of the pancreatic masses.

In this present study, frequency of advanced disease was higher than similar studies.<sup>[27-30]</sup> This can be due to delay in referring the patients for diagnostic EUS-FNA.

In this study and other similar studies, most of the pancreatic masses were adenocarcinoma in the head of the pancreas.<sup>[16,21]</sup> Frequency of non-diagnostic results was greater in masses of the head rather than body and/or tail of the pancreas. This remarkable difference that may be due to angulations of the tip of the endoscope in the duodenum and decrease in efficacy of FNA suction was not statistically significant. (7 vs. 1,  $P = 0.95$ )

The patients with malignant FNA result were older than patients with non-diagnostic result ( $66 \pm 7.5$  years vs.  $52 \pm 15$  years,  $P < 0.001$ ). Similar results have been shown by Fisher *et al*, and may be related to the higher incidence of pancreatic cancer in old age.<sup>[31]</sup>

Frequency of non-diagnostic results was greater in masses smaller than 3 cm rather than the larger tumors (6 vs. 1,  $P < 0.002$ ). This finding was also shown by Williams *et al*, but not by Fisher *et al*, and may be related to endosonographer's experience and skills.<sup>[21,31]</sup>

Tissue sampling and diagnosis of neuroendocrine tumors by FNA is difficult because these tumors are small in size, and are hypervascular.<sup>[32]</sup> But in this present study two neuroendocrine tumors were diagnosed by EUS-FNA; one of them was 5 cm and another was 10 cm in diameter. The reason for the diagnosis is perhaps the larger size of the tumor.

Pancreas lymphoma and metastasis to the pancreas manifest as pancreatic mass and can be diagnosed by EUS-FNA.<sup>[12,13]</sup> But one pancreatic lymphoma case and one case with metastasis from the colon cancer were not diagnosed in the present study; in both cases pancreatic mass diameter was less than 3 centimeters and is perhaps the cause of failure of the diagnosis.

Sensitivity, specificity, PPV, NPV and accuracy of EUS-FNA concerning adenocarcinoma were 88%, 100%, 100%, 70% and 90%, respectively. These values are consistent with previous studies.<sup>[12,13,26,33-38]</sup>

Cellular atypia results were considered as adenocarcinoma in some of the previous studies, but in our study they are considered as non-diagnostic. As regards to malignancy, the high PPV (100%) and relatively low NPV (70%) show that the diagnosis of malignancy by EUS-FNA is valid, but that non-diagnostic results of this method does not exclude malignancy. Moreover, since surgery was carried out for only three cases of adenocarcinoma, we were unable to match the results of EUS staging with surgical findings.

## CONCLUSION

EUS-FNA is an effective and safe procedure for histopathologic assessment of the pancreatic solid tumors including malignant or non-malignant, and resectable or non-resectable ones. Young patients, small tumor size, and inadequate skill and experience of endosonographer may lead to non-diagnosis by EUS-FNA. If the first FNA is non-diagnostic, a second FNA can help in diagnosing the tumor pathologically. However, surgical resection may be a good option in resectable tumors.

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**Source of Support:** Nil, **Conflict of Interest:** None declared.

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