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# Prognostic value of bone marrow involvement by clonal immunoglobulin gene rearrangements in follicular lymphoma

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## ABSTRACT

**Aims** We aimed to evaluate the prognostic value of routine use of PCR amplification of immunoglobulin gene rearrangements in bone marrow (BM) staging in patients with follicular lymphoma (FL).

**Methods** Clonal rearrangements were assessed by immunoglobulin heavy and light-chain gene rearrangement analysis in BM aspirates from 96 patients diagnosed with FL and related to morphological detection of BM involvement in biopsies. In 71 patients, results were also compared with concurrent flow cytometry analysis.

**Results** BM involvement was detected by PCR in 34.4% (33/96) of patients. The presence of clonal rearrangements by PCR was associated with advanced clinical stage (I–III vs IV;  $p < 0.001$ ), high FL International Prognostic Index (FLIPI) score (0–1, 2 vs  $\geq 3$ ;  $p = 0.003$ ), and detection of BM involvement by morphology and flow cytometry analysis ( $p < 0.001$  for both). PCR-positive patients had a significantly poorer survival than PCR-negative patients ( $p = 0.001$ , log-rank test). Thirteen patients positive by PCR but without morphologically detectable BM involvement, had significantly poorer survival than patients with negative morphology and negative PCR result ( $p = 0.002$ ). The poor survival associated with BM involvement by PCR was independent of the FLIPI score ( $p = 0.007$ , Cox regression). BM involvement by morphology or flow cytometry did not show a significant impact on survival.

**Conclusions** Our results showed that routine use of PCR-based clonality analysis significantly improved the prognostic impact of BM staging in patients with FL. BM involvement by PCR was also an independent adverse prognostic factor.

## INTRODUCTION

Bone marrow (BM) examination is routinely performed in the staging of B-cell lymphoma. The presence of BM involvement results in a clinical stage IV classification, is associated with less favourable prognosis, and may influence treatment decisions.<sup>1–6</sup> The BM is involved in 40%–70% of follicular lymphoma (FL) cases.<sup>7,8</sup>

Diagnosis of BM involvement has traditionally been based on morphological findings, but the evaluation by morphological features has limitations. BM involvement is frequently patchy, and it is not always possible to obtain optimal biopsies in routine practice. In addition to low sensitivity, it is often difficult to distinguish benign lymphoid aggregates composed of small lymphoid cells from infiltrates of malignant lymphoma.<sup>9–11</sup> This

problem is especially common in BM biopsies acquired from elderly patients, the age group with the highest incidence of both benign lymphoid aggregates and B-cell lymphomas.<sup>12,13</sup>

The BIOMED-2 multitarget PCR approach has improved clonality testing and is increasingly used in the diagnostics of B-cell malignancies. The highest detection rates ( $>98\%$ ) are reached with the combined use of immunoglobulin heavy (*IGH*) and  $\kappa$  light (*IGK*) chains analyses.<sup>14–16</sup> However, there have been few reports evaluating the use of BIOMED-2 primers in BM staging and only one of these reports included *IGK* analysis.<sup>17–19</sup> Furthermore, there have been only occasional studies attempting to correlate PCR results with clinical outcome,<sup>18–21</sup> and none of these focused on FL.

In the present study, we have therefore evaluated the contribution and prognostic value of PCR-based clonality in BM staging of FL using BIOMED-2 primers.

## MATERIALS AND METHODS

### Patient selection

All patients with FL ( $n = 96$ ) who had a BM aspirate and a BM biopsy obtained at diagnosis in the period between March 2003 and July 2011 at Haukeland University Hospital, Bergen, were included in the study. Diagnoses of FL (grades 1–3B) were based on morphological and immunohistochemical assessment of formalin-fixed, paraffin-embedded (FFPE) tumour biopsies from lymph nodes and extranodal sites, and were classified in accordance with the 2008 WHO classification of lymphoid malignancies.<sup>22</sup> Clinical information including treatment and survival data was collected from medical records. Clinical stage, according to the Ann Arbor system, was determined on findings from computed tomographic scans of the neck, thorax, abdomen and pelvis as well as the BM biopsy. Patients were assigned to specific risk groups according to the FL International Prognostic Index (FLIPI).<sup>2</sup> The study was approved by the Regional Committee for Medical and Health Research Ethics (2013/211) and performed in accordance with the Declaration of Helsinki.

### BM morphology

BM biopsies were fixed in 4% formalin, decalcified overnight in EDTA (75 mg/mL), embedded in paraffin, sectioned and stained with H&E. The mean biopsy length was 14.5 mm with a range of 5–31 mm. Routine immunohistochemical stains included CD20, CD3,  $\kappa$  and  $\lambda$  immunoglobulin light chain stains, and were performed on a Dako



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Autostainer (Dako, Glostrup, Denmark). All BM biopsies were examined by experienced haematopathologists in routine practice and the original reports of BM morphology were used.

### PCR-based clonality

DNA was extracted from BM aspirates and from FFPE tissue of the initial tumours in patients with a clonal result obtained in the BM ( $n=33$ ). The fresh aspirates were collected in EDTA (1.8 mg/mL) and lymphocytes were isolated using Fiqoll-Paque PLUS medium (GE Healthcare, Little Chalfont, Buckinghamshire, UK). From FFPE tissue, two 10  $\mu\text{m}$  sections were deparaffinised with xylene and dehydrated in alcohol. Isolated lymphocytes and deparaffinised tissue were digested overnight with proteinase K (20 mg/mL). DNA was prepared manually using the EZNA Tissue DNA kit according to the manufacturer's instructions (Omega Bio-Tek, Norcross, Georgia, USA).

PCR analyses were performed using BIOMED-2 primers as previously described.<sup>14, 23</sup> The  $V_H\text{-FR3-J}_H$  primers were used in all BM aspirates, whereas  $V_H\text{-FR2-J}_H$  primers were used in 93 and the  $V_\kappa\text{-J}_\kappa$  primers in 94 owing to limited amounts of DNA. In nine aspirates, in which the three primer sets were negative and BM morphology was positive, analysis with the  $V_H\text{-FR1-J}_H$  and  $V_\kappa/\text{intron-Kde}$  primers was added. The choice of primers was based on our previous analyses on FFPE FL samples showing detection rates of 91.5% and 94.9% for the three-primer and five-primer combinations, respectively.<sup>23</sup> PCR products were subjected to fragment analysis on an ABI 3100 capillary sequencer (Applied Biosystems, Foster City, California, USA). Clonality was determined by the presence of one or two distinct peaks within the expected size range.

### Flow cytometry

Reports on concurrent immunophenotyping of BM aspirates were retrieved from laboratory records of 71 patients. Eleven analyses were performed on a four-colour Coulter Epics XL flow cytometer (Beckman Coulter, Brea, California, USA) and 60 analyses on an eight-colour FACSCanto II flow cytometer (Becton Dickinson, Franklin Lakes, New Jersey, USA). Flow cytometric two-parameter dot plots and quadrant statistics were generated by Cellquest software (Becton-Dickinson Immunocytometry Systems). All 71 samples were tested for expression of CD5, CD10, CD11c, CD19, CD20, CD22, CD23, CD45 and  $\kappa$  and  $\lambda$  light chains. For most samples, clonality was defined as light chain restriction with a  $\kappa:\lambda$  ratio of  $>3:1$  or  $<0.3:1$ . These cut-off values were not used, or used with great care, in patients with low amounts of CD19+/CD20+/CD45+ cells. Clonal samples or samples evaluated as being suspect for clonality were tested for the expression of the additional markers CD25, CD31, CD38, CD43, CD79b, CD81, CD103, CD200, HLA-DR and LAIR1.

### Statistical analysis

The Pearson's  $\chi^2$  and Fisher's exact tests were performed to compare categorical variables. Survival curves of time to death due to lymphoma were estimated using the product-limit procedure (Kaplan–Meier method) with date of histological diagnosis as starting point. Differences between categories were estimated by log-rank statistics. Patients who died of other causes than lymphoma were treated as censored observations. Median follow-up time was estimated by the reversed Kaplan–Meier method. Univariate and multivariate analyses were performed with the Cox proportional hazards method. The variables were tested by a log-log plot, and the proportionally assumption did not seem to be violated. Backward and forward

selections of variables were performed to determine the variables ability to be incorporated in multivariate models. All results were considered significant if  $p \leq 0.05$ . SPSS V21.0 (SPSS, Chicago, Illinois, USA) was used for all statistical analyses.

## RESULTS

### Clinical characteristics

The patients' ages ranged from 33 to 82 years (median 61 years). Treatment data were available for all patients. Fifty-eight patients were initially treated with cyclophosphamide, hydroxydaunorubicin, oncovin and prednisolone (CHOP) or COP. Rituximab was added in 55 and radiation therapy was applied as consolidation in 17 of these patients. Nine patients received chlorambucil. Fifteen patients with stage I or II and grade 1 or 2 FLs were treated with local radiation therapy. Fourteen patients were observed. Median follow-up time for the patients was 61 months.

### BM involvement by morphology and PCR-based clonality

BM involvement was reported in 30.2% (29/96) of BM biopsies. Characteristic CD20-positive paratrabecular infiltrates were described in all these biopsies.

BM involvement was detected by PCR-based clonality in 34.4% (33/96) of BM aspirates. FFPE tissue from the primary tumour showed identically sized clonal rearrangement in 31 of these cases. In two cases, the PCR product from the primary tumour consisted of few amplified fragments of small size ( $<250$  bp) and was considered insufficient for the detection of clonality.

Thirteen cases without morphologically detectable BM involvement were positive by PCR. Representative images of BM biopsies in two cases that were negative by morphology and in which PCR was positive or negative, are shown in [figure 1](#).

Frequencies of PCR-positive aspirates in relation to FL grade, BM involvement by morphology and clinical variables studied for all 96 patients are shown in [table 1](#). The presence of PCR-based clonality in aspirates was associated with morphological detection of BM involvement ( $p < 0.001$ ), clinical stage IV ( $p < 0.001$ ) and FLIPI score  $\geq 3$  ( $p = 0.003$ ).

### BM involvement by flow cytometry

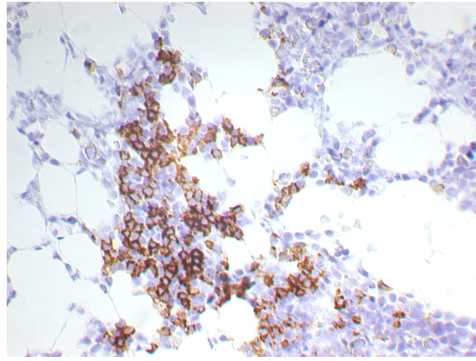
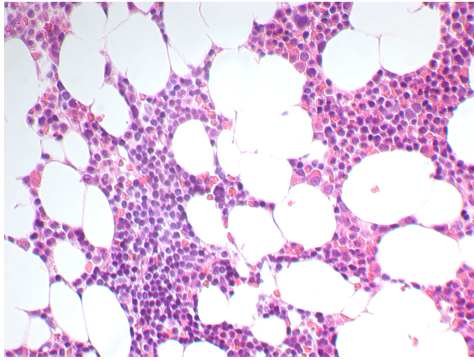
BM involvement by flow cytometry was reported in 23.9% (17/71) of patients ([table 2](#)). There was a significant association between BM involvement detected by flow cytometry and by morphology ( $p = 0.004$ ) or by PCR ( $p < 0.001$ ).

### Prognostic significance of BM involvement

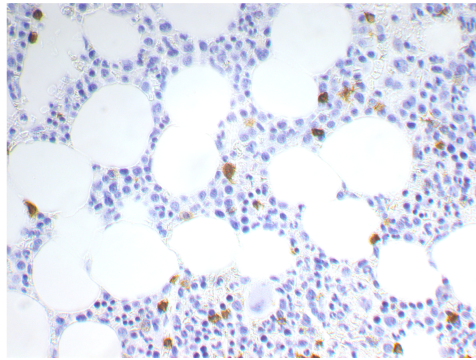
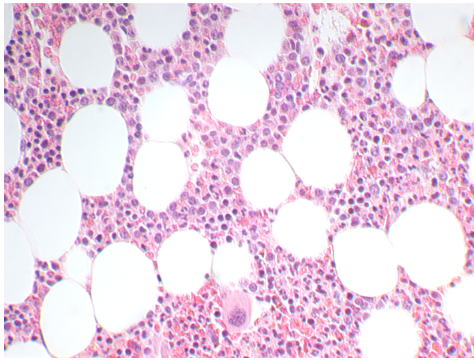
The estimated survival at 60 months for patients with and without BM involvement by PCR was 81.3% (SE 6.9%) and 97.7% (SE 2.3%). PCR-positive patients had a significantly poorer survival than PCR-negative ( $p = 0.001$ , [figure 2A](#)), whereas, involvement by morphology showed no significant impact on survival ( $p = 0.742$ , [figure 2B](#)). The HR for BM involvement by PCR was estimated at 8.23 (95% CI 1.77 to 38.32) and at 5.42 (95% CI 1.16 to 25.31) when used in addition to morphology ([table 3](#)).

The estimated survival at 60 months for patients with negative BM morphology and negative PCR was 97.3% (SE 2.7%), for patients with positive BM morphology 88.5% (SE 6.3%) and for patients with negative BM morphology and positive PCR 76.9% (SE 11.7%). The last group of patients had a significantly poorer survival than the first ( $p = 0.002$ , [figure 2C](#)). The same results were obtained, when survival analysis was performed after exclusion of the two patients with BM involvement by PCR and in whom diagnostic tumour biopsies were not evaluable by PCR-based clonality analysis.

Case 1



Case 2



**Figure 1** Images from two follicular lymphoma cases, where bone marrow (BM) biopsies were reported negative for morphological BM involvement. PCR was negative in the case of the upper panel and positive in the case of the lower panel. The images show H&E stained sections (left) and immunohistochemistry by CD20 (right). Magnification  $\times 400$ .

Seventy-one patients had performed concurrent flow cytometric immunophenotyping. BM involvement by flow cytometry did not show statistically significant impact on survival neither when used alone nor in addition to morphology ( $p=0.143$  and  $p=0.160$ , respectively, [table 4](#)).

#### FLIPI score and multivariate analysis

Significantly poorer survival was observed in patients with high FLIPI score ( $\geq 3$ ) as compared with patients with low or intermediate FLIPI scores ( $p=0.017$ , [table 3](#)). Presence of BM involvement by PCR was an independent prognostic factor by multivariate analysis (HR 9.34, 95% CI 1.85 to 47.30,  $p=0.007$ ) when high FLIPI score ( $\geq 3$ ) was incorporated ([table 5](#)). When PCR was used as a prognostic factor in addition to BM morphology, the HR was 4.79 (95% CI 0.99 to 23.07,  $p=0.051$ ) in the multivariate model (data not shown).

#### DISCUSSION

We have evaluated the performance of routine use of PCR-based immunoglobulin rearrangement analysis in the diagnosis of BM involvement in FL.

A variety of approaches have been used for the detection of B-cell clonality in BM staging,<sup>17 18 20 21 24–30</sup> and most previous studies have used consensus primers against framework region 3 or framework region 2 of the *IGH* gene.<sup>20 24–30</sup> Using BIOMED-2 primers, we detected BM involvement by PCR-based clonality in 13 patients without morphologically detectable BM involvement. To our knowledge, this is the first study evaluating the prognostic value of PCR-based clonality in BM staging of patients with FL. PCR-positive cases had a significantly poorer survival than PCR-negative, and the presence of

BM involvement by PCR was also an independent prognostic factor by multivariate analysis. Furthermore, patients with positive PCR but without morphologically detectable BM involvement had a significantly poorer survival than patients who were negative by both PCR and morphology.

By contrast, BM involvement by morphology was not a significant predictor of poor survival in our study. There are conflicting reports regarding the prognostic impact of BM involvement in patients with FL. BM involvement by morphology has been associated with decreased survival in several investigations including those of the FLIPI studies.<sup>2 5</sup> Others have found the morphological pattern or degree of involvement rather than BM involvement by itself to affect survival.<sup>8 31 32</sup> In our study, the evaluation of involvement by morphology was based on the original pathology reports, and morphological BM involvement (30.2%) was somewhat lower than previously reported for FL cases.<sup>7 8</sup> We, therefore, reviewed the BM biopsies of all PCR-positive cases with negative pathology reports. Of the 13 cases, five showed no lymphoid aggregates, whereas, eight showed one or two small lymphoid aggregates. These were predominantly composed of small CD20-positive cells and only in two cases a paratrabecular localisation could be seen. Thus, the relatively low fraction of BM involvement by morphology could not, in general, be explained by wrong classification in the original reports. The insignificant prognostic impact of BM involvement by morphology in our study may reflect that minimal changes not always can be detected by conventional methods, as also observed by others.<sup>9–11 33</sup>

We also performed a histology review of the nine cases that had positive BM involvement by morphology, but were negative by PCR in the aspirates. Four biopsies showed one or two small



**Table 1** Clinicopathological factors according to the detection of BM involvement by PCR-based clonality in 96 cases of follicular lymphoma

Variables	PCR-based clonality		p Value*
	n	(%)	
Sex			
Female	59	36 (61.0)	0.230
Male	37	27 (73.0)	
Age (years)			
≤60	42	30 (71.4)	0.291
>60	54	33 (61.1)	
WHO grade			
1	35	20 (57.1)	0.447 (1, 2 vs 3)
2	30	21 (70.0)	
3A and 3B	31	22 (71.0)	
BM morphology			
No involvement	67	54 (80.6)	<0.001
Involvement	29	9 (31.0)	
Clinical stage			
I	16	14 (87.5)	<0.001 (I–III vs IV)
II	16	16 (100.0)	
III	34	24 (70.6)	
IV	30	9 (30.0)	
FLIPI score			
Low (0–1)	45	37 (82.2)	0.003 (0–2 vs ≥3)
Intermediate (2)	23	14 (60.9)	
High (≥3)	28	12 (42.9)	

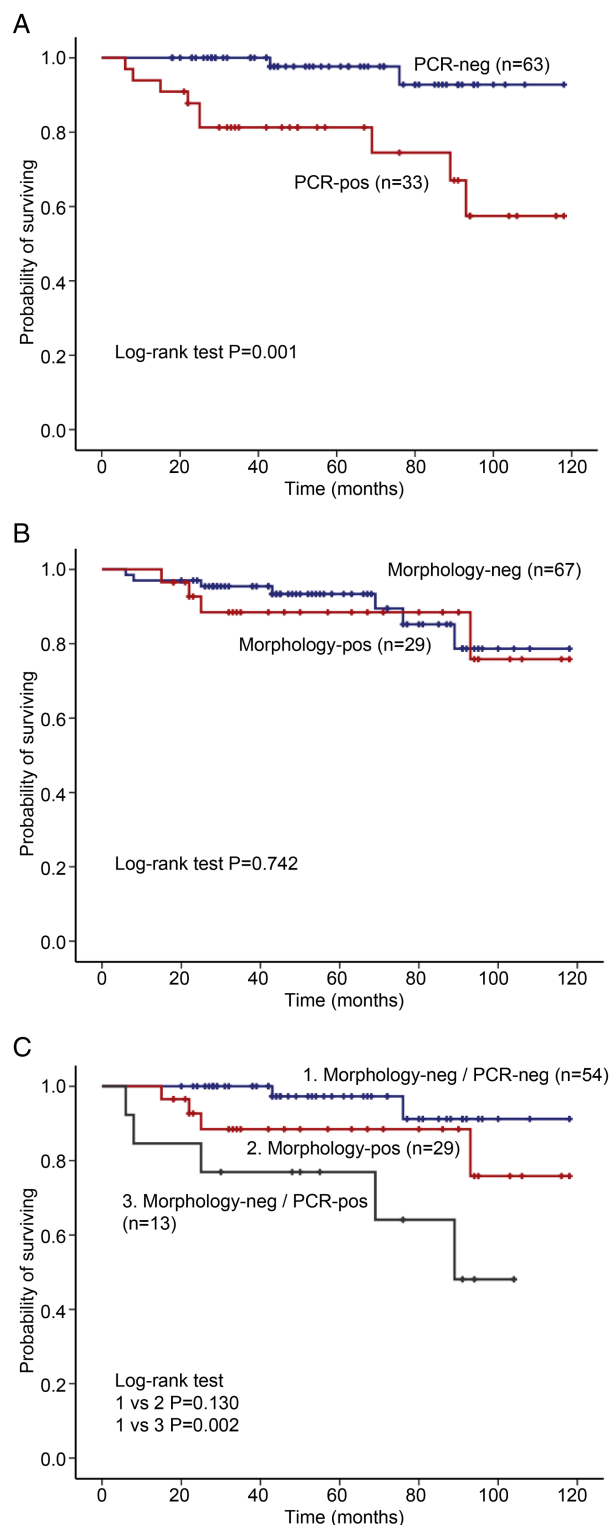
\*Pearson's  $\chi^2$  test.

BM, bone marrow; FLIPI, Follicular Lymphoma International Prognostic Index.

paratrabeular lymphoid aggregates, three exhibited several paratrabeular lymphoid aggregates and two had densely packed marrows with tumour cells. Possible explanations for the negative PCR results in these cases may be related to the frequency of somatic hypermutations in FL that hamper binding efficiency of primers. Furthermore, a clonal rearrangement, identically sized to that of the primary tumour, was achieved in the corresponding BM biopsy in one of these cases, suggesting that false negative PCR results also might be related to the inadequacy of sampled aspirates.

**Table 2** Comparison of bone marrow (BM) involvement by flow cytometry, morphology and PCR-based clonality in the 71 cases with results from immunophenotyping of aspirates

BM involvement	N	Flow cytometry analysis		P value*
		No involvement (%)	Involvement (%)	
Morphology				
No involvement	49	42 (85.7)	7 (14.3)	0.004
Involvement	22	12 (54.5)	10 (45.5)	
PCR				
No involvement	45	43 (95.6)	2 (4.4)	<0.001
Involvement	26	11 (42.3)	15 (57.7)	
Morphology and/or PCR				
No involvement	39	38 (97.4)	1 (2.6)	<0.001
Involvement	32	16 (50.0)	16 (50.0)	

\*Pearson's  $\chi^2$  or Fisher's exact test, where appropriate.**Figure 2** Kaplan–Meier survival curves of patients with follicular lymphoma according to the presence of bone marrow (BM) involvement by PCR-based clonality (A) and by morphology (B). (C), Survival curves of BM involvement by morphology, and to the presence of PCR-based clonality without morphologically detectable BM involvement. Statistical differences were calculated by the log-rank test. Neg, negative; pos, positive.

Notably, PCR-based BM involvement showed a strong prognostic impact, even when this analysis in some cases did not detect definite BM involvement as judged by morphology. This



**Table 3** Survival studies by univariate Cox's regression analysis in 96 cases of follicular lymphoma

Variables	n	HR	95% CI	p Value*
Sex				
Female	59	1		
Male	37	1.923	0.568 to 6.536	0.285
Age (years)				
≤60	42	1		
>60	54	3.316	0.715 to 15.387	0.104
WHO grade				
Grades 1 and 2	65	1		
Grade 3A and 3B	31	1.050	0.566 to 1.951	0.876
BM morphology				
No involvement	67	1		
Involvement	29	1.232	0.356 to 4.262	0.742
Clinical stage				
I-III	66	1		
IV	30	1.070	0.786 to 1.457	0.667
FLIPI score				
Low and intermediate (0-1, 2)	68	1		
High (≥3)	28	3.994	1.164 to 13.700	0.017
BM by PCR				
No involvement	63	1		
Involvement	33	8.232	1.768 to 38.322	0.001
BM by morphology and/or PCR				
No involvement	54	1		
Involvement	42	5.423	1.162 to 25.313	0.016

\*Log-rank test.

BM, bone marrow; FLIPI, Follicular Lymphoma International Prognostic Index.

would indicate that PCR detects an additional group of patients with BM involvement and severe prognosis. We have considered the possibility that the PCR-positive, morphology-negative patients could have received different therapy than the other groups, but did not observe any obvious treatment differences when reviewing their medical records. Another explanation could be that PCR might have detected blood involvement instead of BM involvement. A review of the medical records showed that none of the 13 morphology-negative, PCR-positive patients had detectable leukaemic phase by standard blood tests. However, this does not exclude that PCR, at least in some cases, did detect circulating lymphoma cells, which could have contributed to the observed adverse prognosis.

**Table 4** Survival studies by univariate Cox's regression analysis according to bone marrow (BM) involvement in the 71 patients with results from immunophenotyping of aspirates

BM involvement	N	HR	95% CI	p Value*
Flow cytometry analysis				
No involvement	54	1		
Involvement	17	2.581	0.690 to 9.661	0.143
Morphology and/or flow cytometry analysis				
No involvement	42	1		
Involvement	29	2.683	0.639 to 11.274	0.160
Morphology and/or flow cytometry analysis and/or PCR				
No involvement	38	1		
Involvement	33	8.519	1.043 to 69.605	0.016

\*Log-rank test.

**Table 5** Multivariate Cox's regression analysis of prognostic factors in 96 cases of follicular lymphoma

Variables	HR	95% CI	p Value
Male sex	3.279	0.803 to 13.333	0.098
Age >60 years	2.749	0.578 to 13.064	0.203
FLIPI score ≥3	1.971	0.528 to 7.352	0.313
BM involvement by PCR	9.342	1.845 to 47.304	0.007

BM, bone marrow; FLIPI, Follicular Lymphoma International Prognostic Index.

Seventy-one of our patients with FL had performed concurrent flow cytometry, which turned out to be the least sensitive method for detection of BM involvement. There was no significant difference in survival using flow cytometry analysis alone or in addition to morphology. Our results were in accordance with several previous studies showing that flow cytometry analysis underestimates the extent of BM involvement with respect to morphology in BM staging of FL, possibly because of the predominantly paratrabecular infiltration typical for FL cases.<sup>34-39</sup> However, the discrepant results with respect to PCR cannot easily be explained by the method of sampling. Further development of multicolour flow cytometry may lead to increased sensitivity of this technique.

In conclusion, although validation in larger series is warranted, our data suggest an important contribution of PCR-based clonality analysis in BM staging of patients with FL. The prognostic value of BM staging was improved by performing PCR analysis, and BM involvement by PCR was also an adverse prognostic factor independent of high FLIPI score. It should be emphasised that our results do not indicate that PCR-based clonality analysis of BM aspirates can replace morphological examination of BM biopsies, but rather support the inclusion of PCR in routine BM staging of FL.

### Take home messages

- ▶ The prognostic value of bone marrow staging in follicular lymphoma was improved by PCR-based clonality analyses.
- ▶ Bone marrow involvement by PCR predicts a poor clinical outcome independent of high FL International Prognostic Index score.

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