# The initial steps in pulmonary rehabilitation: How it all began?

# K Vaishali, Mukesh Kumar Sinha, Arun G Maiya, Anup Bhat

Department of Physiotherapy, School of Allied Health Sciences, Manipal Academy of Higher Education, Udupi, Karnataka, India

# **ABSTRACT**

Pulmonary rehabilitation plays a vital role in improving symptom, thereby enhancing health-related quality of life in patients with chronic obstructive pulmonary disease. We here with review is highlighting the landmark changes and recommendation for pulmonary rehabilitation since inception to till date. We also discuss the utilization of oxygen therapy, various measures of exercise training, and adherence strategy recommendation for betterment of the patient performance in their activity of daily living life.

KEY WORDS: Chronic obstructive pulmonary disease, exercise training, history, respiratory physiotherapy

Address for correspondence: Mr. Mukesh Kumar Sinha, Department of Physiotherapy, School of Allied Health Sciences, Manipal Academy of Higher Education, Udupi, Karnataka, India. E-mail: mukesh.sinha@manipal.edu

#### INTRODUCTION

Rehabilitation in respiratory disease particularly for chronic obstructive pulmonary disease (COPD) is in existence for the last seventy decades. The COPD was a significant public health concern with dyspnea being a hindrance for routine physical activity. In the initial days, the rehabilitation aimed at relieving the dyspnea to facilitate the activities of daily living.

In the year of 1936, Barach and Eckman<sup>[1]</sup> suggested the use of heliox for patients with emphysema and asthma to overcome the dyspnea on exertion. In 1950, they developed strategies to prevent disuse atrophy of muscles using a lightweight  $\rm O_2$  cylinder by promoting ambulatory  $\rm O_2$  therapy. Concurrently, their team promoted the use of home  $\rm O_2$  therapy with a 50-foot length rubber oxygen tubing for better accessibility of home activity. The above technology was named as  $\rm O_2$  exercise regimen, and this research was published between 1959 and 1964. [2,3]

In the late 1960, Petty *et al.*<sup>[4,5]</sup> developed a program called "standardized outpatient program of pulmonary rehabilitation" consisting of various components such

Access this article online

Quick Response Code:

Website:

www.lungindia.com

DOI:

10.4103/lungindia.lungindia\_101\_18

as patient individualized information of their disease, airway clearance introduction to their treatment protocol, breathing retraining, physical conditioning, drug therapy, and use of supplementary  $\rm O_2$  therapy. This program was popularly known as Petty model,  $^{\rm [4]}$  which demonstrated a significant increase in exercise tolerance, reducing the rate of hospitalization and improvement in lung function to a certain extent at the end of 1 year of follow-up.

Following the popularity of Petty's model, pulmonary rehabilitation was first defined and described by the American College of Chest Physicians committee with the fundamentals of comprehensive therapy for disabled COPD patients in 1974. [6] Customized multidisciplinary rehabilitation with the focus on patient education, physical conditioning, and airway clearance technique was included in the recommendations.

In 1980, the components of pulmonary rehabilitation for patients with pulmonary disease were described by American Thoracic Society (ATS). These components included exercise training, patient education, outcome

This is an open access journal, and articles are distributed under the terms of the Creative Commons Attribution-NonCommercial-ShareAlike 4.0 License, which allows others to remix, tweak, and build upon the work non-commercially, as long as appropriate credit is given and the new creations are licensed under the identical terms.

For reprints contact: reprints@medknow.com

**How to cite this article:** Vaishali K, Sinha MK, Maiya AG, Bhat A. The initial steps in pulmonary rehabilitation: How it all began?. Lung India 2019;36:139-41.

assessment, psychosocial care, and behavioral intervention. Among all, exercise training was regarded as one of the essential components of rehabilitation.

The early 1980s could be viewed as the period of Dark Ages for pulmonary rehabilitation as few doubted the benefits of exercise training in pulmonary rehabilitation. This thought was due to poor understanding of exercise physiology where the health-care professionals believed that exercise intolerance could not be corrected by exercise. A paper published by Belman and Kendregan<sup>[7]</sup> in 1981 failed to show improvement in heart rate and skeletal muscle enzyme following exercise training in patients with pulmonary disease, supporting the above belief. Dr. Haber, in a letter to editor, challenged the intensity of the intervention used in Bellman's research stating the low-intensity training could be the reason for no improvement.[8] Later, Bellman summarized in a review that exercise training aided improved exercise tolerance, upgraded mechanical skill, amplified motivation, and desensitized dyspnea.[9]

In 1991, Casaburi *et al.*<sup>[10]</sup> incorporated high-intensity exercise training in COPD patients, which showed lesser lactate accumulation posttraining. They claimed less lactate level reflected better exercise tolerance. This evidence was partially disagreed as research participants were of moderate disease severity. Subsequently, Casaburi *et al.*<sup>[11]</sup> reported similar adaptations to exercise training among patients of all grades of disease severity. Adding to this evidence pool, Maltais *et al.*<sup>[12]</sup> supported this evidence by a study which demonstrated a reduction in exercise-induced lactate following exercise training in 1996.

In 1994, a couple of research published emphasized the effects of pulmonary rehabilitation on dyspnea and quality of life. One among them was a paper published in Chest by Reardon *et al.*<sup>[13]</sup> demonstrating a reduction in dyspnea severity with outpatient rehabilitation program. The above article was probably the first randomized controlled trial in pulmonary rehabilitation. Another paper published in the lancet by Goldstein *et al.*<sup>[14]</sup> provided the proof of improvement in quality of life; however, no improvement was apparent in lung function following rehabilitation.

Frequent admission to hospital following acute exacerbation is a grueling problem in patients with respiratory disease. In 2000, Griffiths *et al.* and Bourbeau *et al.*<sup>[15,16]</sup> demonstrated a reduction in the frequency of hospital admission following pulmonary rehabilitation in their research. These results were the most encouraging outcomes obtained from pulmonary rehabilitation as this reduction in hospital admission was directly related to the decrease in the health-care costs. In the subsequent year, Porszasz *et al.*<sup>[17]</sup> discussed the mechanism in the reduction of dyspnea postexercise training (reduction of dynamic hyperinflation allowing the patient to exhale more with lesser respiratory rate).

International classification of impairment, disability, and handicap was in 1980 developed by the World Health Organization. This classification, however, was introduced for respiratory disease by ATS in its official statement in November 1998. In 2001, a global initiative for obstructive lung disease listed pulmonary rehabilitation as a standard and established treatment for COPD. In 2003, pulmonary rehabilitation was included in the algorithm for the management of stable COPD. This inclusion was a major milestone achievement in the journey of pulmonary rehabilitation. [18]

Eventually, patients with other chronic respiratory diseases were also considered for pulmonary rehabilitation. The ATS revised the guidelines for the pulmonary rehabilitation in 2013<sup>[19]</sup> which discussed poor adherence to the program and strategies to improve long-term adherence to these health-enhancing behaviors.

In the authors' view point, concept of pulmonary rehabilitation in South India started picking up in 1990, but practice of pulmonary rehabilitation began early in 21<sup>th</sup> century. However, even today, accessibility to pulmonary rehabilitation center is a major challenge. Awareness of pulmonary rehabilitation needs to be instilled among health-care professionals including community health workers for ease of access and effectiveness of the program.

# Financial support and sponsorship

### **Conflicts of interest**

There are no conflicts of interest.

# REFERENCES

- Barach AL, Eckman M. The effects of inhalation of helium mixed with oxygen on the mechanics of respiration. J Clin Invest 1936;15:47-61.
- Barach AL. Ambulatory oxygen therapy: Oxygen inhalation at home and out-of-doors. Dis Chest 1959:35:229-41.
- Noehren TH, Barach AL, Brantigan OC, Smart RH. Pulmonary emphysema – Prevention and care. Dis Chest 1964;45:492-502.
- Petty TL, Nett LM, Finigan MM, Brink GA, Corsello PR. A comprehensive care program for chronic airway obstruction. Methods and preliminary evaluation of symptomatic and functional improvement. Ann Intern Med 1969;70:1109-20.
- Petty TL, Ashbaugh DG. The adult respiratory distress syndrome. Clinical features, factors influencing prognosis and principles of management. Chest 1971;60:233-9.
- 6. Petty TL. Pulmonary rehabilitation. Am Rev Respir Dis 1980;122:159-61.
- Belman MJ, Kendregan BA. Exercise training fails to increase skeletal muscle enzymes in patients with chronic obstructive pulmonary disease. Am Rev Respir Dis 1981;123:256-61.
- Haber P. Exercise training fails to increase skeletal muscle enzymes in patients with chronic obstructive pulmonary disesse. Am Rev Respir Dis 1981;124:347. Available from: https://www.atsjournals.org/doi/ abs/10.1164/arrd. 1981.124.3.347a. [Last accessed on 2018 Feb 20].
- Belman MJ. Exercise in patients with chronic obstructive pulmonary disease. Thorax 1993;48:936-46.
- Casaburi R, Patessio A, Ioli F, Zanaboni S, Donner CF, Wasserman K, et al. Reductions in exercise lactic acidosis and ventilation as a result of exercise training in patients with obstructive lung disease. Am Rev Respir Dis 1991;143:9-18.
- 11. Casaburi R, Porszasz J, Burns MR, Carithers ER, Chang RS, Cooper CB, et al. Physiologic benefits of exercise training in rehabilitation of patients

- with severe chronic obstructive pulmonary disease. Am J Respir Crit Care Med 1997;155:1541-51.
- Maltais F, LeBlanc P, Simard C, Jobin J, Bérubé C, Bruneau J, et al. Skeletal muscle adaptation to endurance training in patients with chronic obstructive pulmonary disease. Am J Respir Crit Care Med 1996;154:442-7.
- Reardon J, Awad E, Normandin E, Vale F, Clark B, ZuWallack RL, et al. The effect of comprehensive outpatient pulmonary rehabilitation on dyspnea. Chest 1994;105:1046-52.
- Goldstein RS, Gort EH, Stubbing D, Avendano MA, Guyatt GH. Randomised controlled trial of respiratory rehabilitation. Lancet 1994;344:1394-7.
- Griffiths TL, Burr ML, Campbell IA, Lewis-Jenkins V, Mullins J, Shiels K, et al. Results at 1 year of outpatient multidisciplinary pulmonary rehabilitation: A randomised controlled trial. Lancet 2000:355:362-8.
- 16. Bourbeau J, Julien M, Maltais F, Rouleau M, Beaupré A, Bégin R, et al.

- Reduction of hospital utilization in patients with chronic obstructive pulmonary disease: A disease-specific self-management intervention. Arch Intern Med 2003;163:585-91.
- 17. Porszasz J, Emtner M, Goto S, Somfay A, Whipp BJ, Casaburi R, et al. Exercise training decreases ventilatory requirements and exercise-induced hyperinflation at submaximal intensities in patients with COPD. Chest 2005;128:2025-34.
- Pauwels RA, Buist AS, Calverley PM, Jenkins CR, Hurd SS; GOLD Scientific Committee, et al. Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease. NHLBI/WHO global initiative for chronic obstructive lung disease (GOLD) workshop summary. Am J Respir Crit Care Med 2001;163:1256-76.
- Spruit MA, Singh SJ, Garvey C, ZuWallack R, Nici L, Rochester C, et al. An official American Thoracic Society/European Respiratory Society statement: Key concepts and advances in pulmonary rehabilitation. Am J Respir Crit Care Med 2013;188:e13-64.