# Postoperative astigmatic considerations in manual small-incision cataract surgery - A review

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Cataract remains a major cause of visual impairment worldwide including in India. The sutureless manual small-incision cataract surgery (MSICS) as an alternative to phacoemulsification, gives equivalent visual results at lower expenses. Still the procedure is often discredited for higher astigmatism due to the larger size of the incision. High astigmatism is an important cause of poor uncorrected visual acuity after cataract surgery. However, there are enough studies in the literature to prove that surgically induced astigmatism (SIA) can be minimized and also eliminated by adopting appropriate wound construction techniques during surgery. Even pre-existing astigmatism if any can be neutralized by changing wound architecture during surgery. Here, we review the various techniques of scleral tunnel construction described in the literature to care for postoperative astigmatism in MSICS.

Key words: Astigmatism, cataract, manual small-incision cataract surgery



Manual small-incision cataract surgery (MSICS) has gradually evolved to be a popular, safe, and effective method of cataract surgery mostly in developing countries<sup>[1]</sup> because of its affordable cost, less surgical time, easy learning curve, non-machine dependence, and equivalent visual outcome in comparison to phacoemulsification.[2-5] But, still it is often discredited for higher postoperative induced stigmatism in comparison to phacoemulsification due to mostly larger sclera corneal section.<sup>[5]</sup> High astigmatism is an important cause of poor uncorrected visual acuity after cataract surgery.<sup>[6]</sup> Mean astigmatism reported in literature following MSICS ranges from 0.8D (Ruit et al.),<sup>[2]</sup> 1.2D (Gogate et al.),<sup>[7]</sup> and 1 diopter (Muralikrishnan et al.)[8] at 6 weeks. In addition, as cataract surgery has nowadays been considered to be a refractive surgery, the focus of surgery has shifted from just avoiding surgically induced astigmatism (SIA) to modifying pre-existing astigmatism. For refractive cataract surgery, the surgical planning has to be more precise, taking into consideration the size, location, and configuration of the sclerocorneal incision.<sup>[9]</sup>

Here, we review the various articles published in indexed journals on postoperative astigmatism following MSICS and the various techniques adopted and advised to reduce the same for good quality vision following MSICS.

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Received: 01-Jul-2022 Accepted: 15-Sep-2022 Revision: 01-Sep-2022 Published: 25-Oct-2022 The scleral tunnel incision in the 12 o'clock position was first created by Colvard *et al.*<sup>[10]</sup> in 1980 by making a partial-thickness groove in the sclera about 2 mm behind the limbus and then making the tunnel extend anteriorly. McFarland in 1989 was the one who introduced an incision architecture that is self-sealing.<sup>[11]</sup> Various modifications of the sclerocorneal section were subsequently designed to reduce the SIA.

# Surgical-induced astigmatism in smallincision cataract surgery (SICS)

This is a well-known fact that corneal incision causes the greatest astigmatism, limbal incision the intermediate, and scleral incision the least astigmatism. Also, against-the-rule (ATR) astigmatism yields poorer vision than with-the-rule (WTR) astigmatism, and WTR astigmatism postoperatively seems to be better for unaided distance vision and near vision.<sup>[12]</sup>

Corneal or keratometric surgical induced astigmatism is the vector difference between the preoperative and postoperative corneal or keratometric astigmatism.<sup>[13]</sup> Analysis of astigmatism is restricted to keratometric astigmatism in various studies because this is an objective measurement of corneal contour not influenced preoperatively by lenticular astigmatism or postoperatively by subjective patient perception. Change in keratometric cylinder was examined in three ways<sup>[14]</sup>: (a) the simple subtraction method of calculating cylinder change

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without regard to the axis; (b) the polar value method of Naeser for determining WTR or ATR change<sup>[15]</sup>; (c) the vector analysis method of determining the magnitude of the surgically induced astigmatic vector, described by Jaffe and Clayman.<sup>[16]</sup>

The SIA was compared by Ruit *et al.*<sup>[2]</sup> in phaco and SICS at 6 weeks and 6 months postoperatively and at 6-month follow-up, they reported mean astigmatism of 0.7D for the phaco group and 0.88D for the MSICS group with no significant difference in SIA in the two techniques. However, Venkatesh *et al.* and George *et al.*<sup>[52]</sup> reported lesser SIA in phaco,<sup>[4,17]</sup> and Muralikrishnan *et al.*<sup>[18]</sup> conducted a study to compare SIA associated with PHACO and MSICS and reported no significant difference at either the 6-week or 6-month follow-up examination.

The six aspects of a scleral tunnel are site, location, size or length, shape, depth, width, and entry into the anterior chamber.<sup>[19]</sup> As per available literature, the site, location, size, shape, and depth of sclerocorneal incision along with a surgeon's experience, influence postoperative SIA significantly.<sup>[19-22]</sup> The conclusions of various studies are tabulated in Table 1.

### **Incision site**

Conventionally, cataract surgery done by a superior approach comes with its own advantages like it does not require the surgeon to adapt to a different surgical position along with providing forehead support for the surgeon's hands while a temporal approach does not and hence is more preferred by the less experienced surgeon over a temporal or superotemporal approach as.<sup>[35]</sup> Kimura et al.<sup>[23]</sup> have shown that an oblique incision induces less postoperative astigmatism in comparison to a superior incision. The rate of ATR in the superior approach is quite high.<sup>[41]</sup> SICS with the temporal approach provides a better stabilization of the refraction with a significantly less SIA than the superior approach.<sup>[25]</sup> Temporal incision induces a small amount of WTR astigmatism due to the fact that the temporal location is farther from the visual axis than the superior location and hence any flattening due to the wound is less likely to affect the corneal curvature at the visual axis. Also, when the incision is located superiorly, both gravity and eyelid blink tend to create a drag on the incision inducing ATR.<sup>[24]</sup> Hence, the rate of ATR astigmatism in superior incisions described in the literature is quite high.<sup>[42]</sup> The temporal incision being farthest from the visual axis is astigmatically neutral and also with temporal incisions, there is no massaging effect of the upper lid nor gravitational drag as occurs in the superior incision.<sup>[24]</sup> These factors are neutralized well with temporally placed incision because the incision is parallel to the vector of forces.<sup>[24]</sup> Malik et al.<sup>[20]</sup> also have described the advantages of the temporal incision over superior incisions such as lesser SIA and better exposure in deep-set eyes and reported a mean SIA value of  $0.75 \pm 0.4067D$  for cataract patients with preoperative ATR astigmatism who underwent temporal approach MSICS. Whereas Edmund Arther et al.<sup>[26]</sup> reported an SIA value of  $1.62 \pm 0.90D$  for a similar cohort who underwent superior approach MSICS and concluded that MSICS with the superior-temporal and the temporal approaches provides a better quality of vision due to the significantly less SIA than the superior approach with higher SIA. Gokhale et al.<sup>[24]</sup> also found temporal and superotemporal tunnels to induce less astigmatism as compared with superior tunnels for MSICS. The mean astigmatism was 1.28D at 2.9° for superior incisions, 0.20D at 23° for superotemporal incisions, and 0.37D at 90° for

temporal ones. But Pawar *et al.*<sup>[27]</sup> described that superotemporal incision has the advantages of both the locations and approach so it is better than the temporal incision.

Akura et al.<sup>[28]</sup> reported that pre-existing astigmatism could be reduced by using a steep meridian frown incision in self-sealing extracapsular cataract extraction (ECCE) and also by manipulating the incision's location and shape, postoperative astigmatism can be controlled with reference to the site of the incision, it is also recommended by Nielsen et al. to place the incision on the steeper corneal meridian based on the preoperative keratometric (*K*) reading<sup>[29]</sup> [Fig. 1]. As, there is flattening of the corneal curvature in the meridian on which the incision is placed, with a corresponding steepening to the same degree of the orthogonal meridian.<sup>[17]</sup> Thus, there will be a reduction in the corneal power of the steeper meridian when an incision is placed on that meridian, with a corresponding increase in the corneal power to the same degree as the flat orthogonal meridian. The postoperative corneal astigmatism decreases as the difference in corneal powers between the flattened steeper meridian (meridian on which the incision was placed) and the steepened flatter meridian is reduced postoperatively. Further, with increasing age, the horizontal corneal meridian becomes more curved than the vertical meridian leading to or increasing existing ATR astigmatism. Thus, with increasing age, there is a shift in ATR.<sup>[43]</sup> With senile cataract being the most common type of cataract in developing countries<sup>[44]</sup> and placing an incision on the vertical meridian (superior approach) for a cataract patient with preoperative ATR astigmatism may cause further flattening of the already flat vertical meridian and a corresponding steepening to the same degree of the already steep horizontal meridian leading to high postoperative ATR corneal astigmatism. The WTR astigmatism induced by a temporal incision is advantageous because most elderly patients have preoperative ATR astigmatism.<sup>[19]</sup> Other advantages of the temporal incision are that the surgery can be easily done in

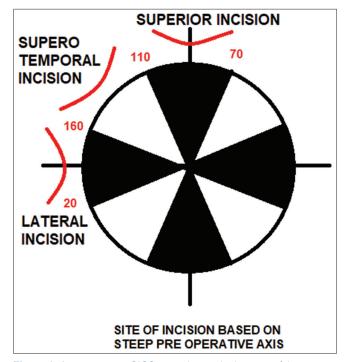


Figure 1: Astigmatism in SICS according to the location of the incision

Author and year	Conclusions
Incision site	
Kimura <i>et al</i> . 1999 <sup>[23]</sup>	SICS through a temporal approach provides a better stabilization of refraction with significantly lesser amount of SIA than the superior approach.
Gokhale <i>et al</i> . 2005 <sup>[24]</sup>	A shift in the incision site to the superotemporal or temporal the sclera is recommended except in patients with a pre-existing "with the rule" astigmatism of about 1D.
Malik <i>et al</i> . 2012 <sup>[20]</sup>	SICS with a temporal approach provides better stabilization of refraction with significantly less SIA than a superior approach.
Ayena <i>et al</i> . 2016 <sup>[25]</sup>	Cataract surgery by MSICS technique appears to offer good functional results with a reduction of astigmatism when the incision is made in the temporal superior approach.
Arthur <i>et al</i> . 2016 <sup>[26]</sup>	A statistical and clinical significantly greater postoperative corneal astigmatism than preoperative corneal astigmatism was observed for a group of ATR cataract patients who underwent superior approach MSICS.
Pawar <i>et al</i> . 2012 <sup>[27]</sup>	SICS which is done with a temporal and a superotemporal approach provides a better quality of vision due to a significantly less SIA than the superior approach.
Akura <i>et al</i> . 2000 <sup>[28]</sup>	The incisions on the temporal or superior steep astigmatic axis (with the selective shape) reduced astigmatism in almost all cases.
Nielsen <i>et al.</i> <sup>[29]</sup>	Temporal incisions resulted in a with-the-rule induced change and superior incisions, an against-the-rule-induced change. Preoperative against-the-rule astigmatism was reduced significantly by temporally placed clear corneal incisions and preoperative with-the-rule astigmatism, by superiorly placed clear corneal incisions.
Incision location	
Archana <i>et al</i> . 2011 <sup>[30]</sup>	Surgically induced astigmatism is significantly higher in clear corneal manual SICS than in sclerocorneal.
Olsen <i>et al</i> . 1997 <sup>[21]</sup>	The clear corneal incision induces significantly more regular as well as irregular astigmatism than the scleral tunnel incision.
Girard 1995 <sup>[31]</sup>	"With cautery we delineate a 7 mm incision, 3 mm from the limbus and extending from 11 to 1 o'clock".
Incision shape	
Pallin <i>et al</i> . 1991 <sup>[32]</sup>	The surgical results in a preliminary survey with an inverted "V" shaped incision show a minimal iatrogenic change in corneal toricity.
Singer <i>et al.</i> <sup>[33]</sup>	The frown incision group consistently had a lower standard deviation from the mean induced astigmatism than the scleral pocket incision group.
Akura <i>et al</i> . 2000 <sup>[28]</sup>	In cataract surgery using relatively large scleral self-sealing incisions, the BENT frown incision effectively achieved astigmatic neutrality. The incisions on the temporal or superior steep astigmatic axis (with the selective shape) reduced astigmatism in almost all cases.
Jauhari <i>et al</i> . 2014 <sup>[34]</sup>	The authors conclude that a chevron incision gives minimum SIA in manual SICS.
Sinskey <i>et al.</i> 1994 <sup>[35]</sup>	6.0 mm no-stitch frown incision induces a low postoperative astigmatism and remains a relatively stable incision after one month.
Burgansky <i>et al</i> . 2002 <sup>[11]</sup> Incision length	Enlarging the size of the chevron incision up to 7.0 mm resulted in a small increase in induced astigmatism.
Sinskey <i>et al</i> . 1994 <sup>[35]</sup>	6.0 mm no-stitch frown incision induces a low postoperative astigmatism and remains a relatively stable incision after one month.
Burgansky et al. 2002[11]	Enlarging the size of the chevron incision up to 7.0 mm resulted in a small increase in induced astigmatism.
Sahu et al. 2022[36]	A 2 mm MSICS with phacofracture can deliver low astigmatism and good visual recovery in cataract surgery.
Incision width	
Girard 1995 <sup>[31]</sup>	"With cautery we delineate a 7 mm incision, 3 mm from the limbus and extending from 11 to 1 o'clock. We make the incision with a N.64 Beaver blade and penetrate approximately 50% of the sclera, carrying the dissection well into clear cornea"
Incision depth	
Basti <i>et al</i> . 1993 <sup>[37]</sup>	Optimal incision depth is described to be one-half to one-fourth the thickness of the sclera or about 0.3 mm and the scleral flap should neither be too thick nor too thin.
Anders <i>et al</i> . 1997 <sup>[38]</sup>	Surprisingly, incision depth did not affect the strength of sclerocorneal incision resulting in astigmatism.
Pattanayak <i>et al</i> . 2022 <sup>[39]</sup>	We found a statistically significant effect of depth of sclerocorneal incision on the change of astigmatism following manual SICS, with a superficial incision causing a higher change than a deeper incision.
Use of sutures Eslami <i>et al.</i> 2015 <sup>[40]</sup>	In the MSICS (an acceptable method for cataract surgery in the developing world), the horizontal sutures induced ATR astigmatism and the Xpattern sutures induced mild WTR astigmatism.

# Table 1: Summary of conclusions of studies related to factors affecting postoperative astigmatism in manual SICS

deep-seated eyes, the chances of postoperative ptosis are absent as there is no use of superior rectus fixation suture,<sup>[15]</sup> there is no accumulation of fluid due to easy drainage of fluid at the lateral canthus, and the superior limbal site can be comfortably used for glaucoma filtration surgery if needed later.

However, the manual SICS technique from the temporal side has a learning curve as compared to superior incision SICS or conventional ECCE with sutures because it is a different site of the incision.<sup>[45]</sup> Tunnel construction can have difficulties like premature entry or long corneal tunnel causing decreased visibility; fixation of the eyeball is difficult as there is no fixation suture and inserting two instruments simultaneously for sandwich technique, i.e., vectis and dialer, is challenging for beginners.<sup>[45]</sup> Other potential pitfalls of the temporal section are oozing during incision construction as the area has a rich vascular supply and increased chances of endophthalmitis as the wound site is exposed to the exterior.[46] However, the absence of a single case of endophthalmitis was reported by Zawar et al.[26] in the immediate postoperative period of 6 weeks, demonstrates the safety of this technique.[45] Studies advocate that surgeons, so long as they are comfortable operating both superiorly and inferiorly, it is better to choose the steep meridian to make the scleral incision to reduce pre-existing astigmatism.

#### **Incision location**

According to Haldipurkar *et al.*,<sup>[19]</sup> "more the distance of the sclerocorneal incision from the limbus (on sclera), less is the induced astigmatism although tunnel making and maneuverability are difficult in the former" [Fig. 2]. They have suggested the ideal distance of the anterior limit to be around 1–2 mm from the limbus. Archana *et al.*<sup>[30]</sup> have proved that SIA is significantly higher in clear corneal manual SICS than in sclerocorneal incisions of 6 mm in length. Olsen *et al.*<sup>[21]</sup> also found the amount of astigmatic change to be almost twice as large after a corneal incision 3 mm posterior to the surgical limbus is the ideal choice for MSICS where the incision size is planned to be more than 6 mm.<sup>[31]</sup>

#### **Incision shape**

The shape of the incision, i.e., an external configuration may either be straight or curved [Fig. 3]. Paul Koch described the incisional funnel as indicating the astigmatic neutral zone.[47] Pallin in 1990 described a chevron-shaped (inverted V) incision, the apex towards the limbus and the limbs are away from it. Though difficult to make, it induces the least astigmatism.<sup>[32]</sup> In 1991, Singer introduced a frown incision, where each end of the incision is further away from the limbus and produced less astigmatism but slightly more than chevron's incision. Akura et al.<sup>[28]</sup> also achieved astigmatic neutrality by frown incision in MSICS with a large self-sealing incision. It was a modified pocket incision curved opposite to the limbus.[33] Blumenthal et al.<sup>[48]</sup> in 1993 devised a larger pocket tunnel with minimally induced astigmatism called the Blumenthal side cuts. The incision has a straight line and two oblique cuts at its two ends. Jauhari et al.[34] in a prospective comparative study, compared three scleral groove shapes and found the frown and inverted-V incision to have the least amount of astigmatism when compared to the linear incision, and also the chevron-shaped incision (inverted-V) has also been reported to give minimal SIA when compared with straight and frown incisions. Burgansky Z et al.<sup>[49]</sup> also conclusively proved that enlarging the size of the chevron incision up to 7.0 mm for

simplification of operative technique resulted in a small increase in induced astigmatism.<sup>[11]</sup>

#### Incision length or size

The length of the sclerocorneal incision is not the length along the curvature but the distance between the two ends of the incision and generally varies from 5 to 6 mm for cortical cataracts, and from 7 to 8 mm for nuclear cataracts depending on the size of the nucleus [Fig. 4].<sup>[19]</sup> Sinskey in 1994 suggested that the 6.0 mm no-stitch frown incision induces low postoperative astigmatism and provides a stable incision.<sup>[35]</sup> Small-incisions (6 mm) induced the smallest SIA when compared by Burgansky *et al.*<sup>[49]</sup> with medium (6.5 mm) and large (7 mm) incisions. They conclusively proved that **e**nlarging the size of the chevron incision up to 7.0 mm for surgical convenience resulted in a small increase in induced astigmatism. Ruit *et al.*<sup>[2]</sup> described the straight incision with

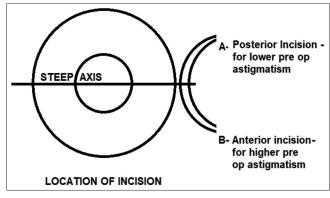


Figure 2: Site of incision based on preoperative astigmatism

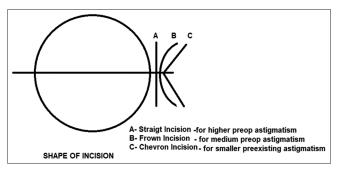


Figure 3: Shape of incision in manual SICS

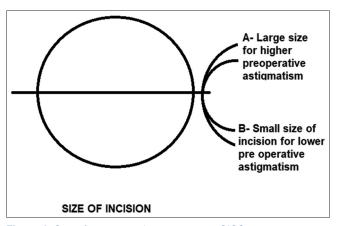


Figure 4: Size of incision and astigmatism in SICS

6.5–7 mm scleral tunnel 1.5–2 mm posterior to the limbus induced less astigmatism. A 2 mm MSICS with phacofracture can deliver low astigmatism and good visual recovery in cataract surgery.<sup>[36]</sup> A prospective trial in Japan comparing 3.2-mm incisions of phacoemulsification with 5.5-mm incisions had found a difference in astigmatism of 0.3D.<sup>[50]</sup>

## **Incision width**

The width of the tunnel is the distance between the external scleral incision and the internal corneal entry incision [Fig. 5] and according to Girard should be at least 4 mm in size.<sup>[31]</sup> A sclerocorneal tunnel incision, of at least 1–2 mm into the clear cornea, leads to a self-sealing wound with perfect wound integrity which can prevent astigmatic drift in the postoperative period.<sup>[19]</sup>

#### **Incision depth**

Optimal incision depth is described to be one-half to one-fourth the thickness of the sclera or about 0.3 mm and the scleral flap should neither be too thick nor too thin.<sup>[37]</sup> Multiple published literature has pointed out that all components of tunnel construction have an effect on the change in astigmatism after MSICS; however, Anders *et al.*<sup>[38]</sup> reported that the depth of the incision had no significant effect on induced astigmatism, but a study published by Pattanayak *et al.*<sup>[39]</sup> comparing superficial ( $\leq$ 399 mm) vs deep scleral incision ( $\geq$  400 mm) revealed that the depth of sclerocorneal incision had a statistically significant effect on the change of astigmatism following manual SICS, with superficial incision causing a higher change than the deeper incision. Thus, to correct higher preoperative astigmatism, a superficial incision is to be planned than in cases with a lower degree of astigmatism or no astigmatism.

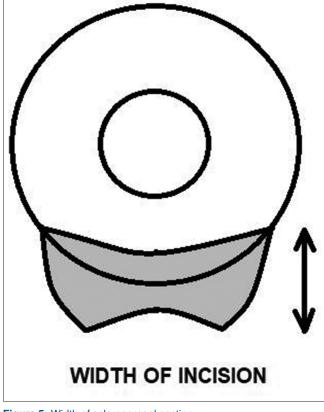


Figure 5: Width of sclerocorneal section

#### **Use of sutures**

In cases of poor wound construction requiring sutures for closure, X-pattern sutures were preferred to the horizontal sutures in the patients without significant preoperative steepening in line with the central meridian of the incision. But in cases with significant preoperative steepening, sutureless surgery or horizontal sutures were preferred.<sup>[40]</sup> But Goel *et al.*<sup>[51]</sup> refuted the above observation based on improper case selection, and inappropriate comparison in the study.

# Conclusion

MSICS offers similar advantages to phacoemulsification and because of its less surgical time, low cost, minimum complications, and wider applicability, it is more popular in developing and underdeveloped countries, where high-volume surgery is the norm as a huge number of avoidable blindness due to cataract prevailing in those countries. Though it is often discredited for induced astigmatism still using minor modifications like a smaller frown or chevron incisions located either temporally or on the steeper axis away from the limbus, the postoperative astigmatism can be reduced to a great extent, thereby improving the uncorrected visual acuity of patients following cataract surgery.

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#### **Conflicts of interest**

There are no conflicts of interest.

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