The σ^{70} region 1.2 regulates promoter escape by unwinding DNA downstream of the transcription start site

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ABSTRACT

The mechanisms of abortive synthesis promoter escape during initiation of transcription are poorly understood. Here, we show that, after initiation of RNA synthesis, non-specific interaction of σ^{70} region 1.2, present in all σ^{70} family factors, with the non-template strand around position -4 relative to the transcription start site facilitates unwinding of the DNA duplex downstream of the transcription start site. This leads to stabilization of short RNA products and allows their extension, i.e. promoter escape. We show that this activity of σ^{70} region 1.2 is assisted by the β -lobe domain, but does not involve the β' -rudder or the β' -switch-2, earlier proposed to participate in promoter escape. DNA sequence independence of this function of σ^{70} region 1.2 suggests that it may be conserved in all σ^{70} family factors. Our results indicate that the abortive nature of initial synthesis is caused, at least in part, by failure to open the downstream DNA by the β -lobe and σ region 1.2.

INTRODUCTION

Initiation of transcription roughly comprises four steps: promoter recognition, promoter melting, abortive synthesis and promoter escape. In bacteria, all these steps involve specificity factor σ , which joins the core enzyme of RNA polymerase (RNAP) to form holoenzyme. Most of the σ factors belong to a σ^{70} family and are structurally and functionally related to the housekeeping σ^{70} . In the context of the holoenzyme, regions 2.3 and 4.2 of σ^{70} (σ R2.3 and σ R4.2) recognize the -10 and -35 promoter elements, respectively (1–3). A minor class of extended -10 promoters, instead of the -35 motif, rely on a TG motif located immediately upstream of the -10 element (4). The TG motif is recognized by σ R3.1 (5).

The $\sigma R2.3$ facilitates melting of the DNA duplex at the -10 element, thus initializing formation of the open promoter complex (6,7). The $\sigma R1.2$ was shown to allosterically control single-stranded DNA binding by $\sigma R2.3$ (8). Mutations in or deletion of $\sigma R1.2$ resulted in an extremely slow rate of open complex formation on the λPr promoter (9). The $\sigma R1.2$ was also shown to make sequence-specific interactions with a non-template nucleotide just downstream of the -10 element (position -7 or -6 or -5, depending on the distance between the -10element and the transcription start site) (10,11), which affect the stability of the promoter open complex on a number of promoters. Consistently, in the crystal structure of RNAP with a pre-melted DNA fork mimicking the promoter open complex (and closely resembling the M13ori used in our study), this (-6) residue of the non-template strand is bound in a separate pocket of σ R1.2 (11). The downstream residues -5, -4 also make contacts with $\sigma R1.2$ (11). Y101 of $\sigma R1.2$ was proposed to play a particularly important role in $\sigma R1.2$ functions during open complex formation (8,12). The σ R1.1 was shown to influence open complex formation though with diverse effects on different promoters (13–15). The $\sigma R1.1$ is also required for the efficient inhibition of open complex formation by T7 Gp2 protein (16). Besides σ^{70} domains, parts of the core enzyme are also involved in open complex formation. The β -lobe, β' -rudder and β' -switch-2 $(\beta'-SW-2)$ regions were shown to be important for formation of the downstream part of the transcription bubble (17–22). In the crystal structure of the promoter open complex, the β -lobe makes contacts with residues -2, +1and +2 of the non-template strand (11).

In the presence of nucleotide triphosphates (NTPs), the promoter open complex is capable of *de novo* RNA synthesis. The σ R3.2 and β' -SW-2 play particularly important roles in the initial synthesis. The σ R3.2, in concert with β' -SW-2, decreases the K_m for initiating nucleotides apparently by aligning the template DNA strand in the active centre of RNAP (23–25). The initial synthesis is

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unproductive, and short abortive RNA products are released from the complex. The reasons for the abortive nature of transcription initiation are not fully understood. It was suggested to be caused by the growing strain in the open complex during scrunching of DNA (26,27) or steric collision of RNAs with σ R3.2 (28,29).

The efficient extension of abortive products, which leads to promoter clearance, was proposed to require $\sigma R3.2$ and β'-SW-2, which apparently support binding of short RNAs through stabilizing the template strand (23,25). Other domains were also shown to influence abortive synthesis and promoter escape. Deletion of $\sigma R1.1$ decreased the amount of abortive products without affecting their size distribution (13). Mutations in $\sigma R1.2$ led to increased amount of abortive transcripts and decreased promoter escape (9). However, the roles of the core RNAP and σ^{70} domains in abortive initiation and promoter escape remain poorly understood. One of the reasons is that the investigation of these processes is complicated owing to the direct involvement of most of core and σ^{70} domains in the preceding steps of promoter recognition and opening; mutagenesis of these domains almost inevitably affects the obligatory upstream events of promoter utilization, thus obstructing analysis.

Here, we used a unique experimental system based on the promoter M13ori of M13 bacteriophage, which mimics the open promoter and does not require conventional steps of promoter recognition/opening and thus allows unbiased investigation of abortive initiation and promoter escape and the roles of core and σ^{70} domains in it. We show that, after open complex formation, $\sigma R1.2$ and the β-lobe cooperate to promote the melting of the DNA duplex downstream of the transcription start site and thus facilitate stabilization of abortive products and their extension, i.e. promoter escape. Our results also suggest that the abortive nature of initial RNA synthesis is, at least in part, caused by the failure of $\sigma R1.2$ and the β-lobe to open the DNA duplex downstream of the transcription start site.

MATERIALS AND METHODS

Proteins

Wild-type and mutant RNAP core enzymes were purified as described (30). $E^{\beta\Delta186-433}$ lacking β -lobe mutant RNAP was obtained as described (17). RNAP with a deletion in rudder segment ($E^{\beta'\Delta 311-314}$) was obtained by site-directed mutagenesis. The wild-type and most of the mutant σ^{70} subunits were described by us earlier, and σ^{Y101A} was constructed and purified as described (8).

In vitro transcription

Three pmols of wild-type or mutant RNAP core with or without 15 pmols of σ^{70} (wild-type or mutant) and 3 pmols of single-stranded M13ori promoter derivative (IDT) (Supplementary Figure S1) were incubated at 37°C for 10 min in 10 ul of transcription buffer [20 mM] Tris-HCl (pH 7.9), 40 mM KCl, 10 mM MgCl₂]. Transcription was initiated by the addition of 1 mM ATP, 250 μM or 1 mM CTP and UTP, 40 μM or 1 mM

GTP and $1 \,\mu l$ of α -[32 P]GTP ($10 \,mCi/ml$) (Hartmann Analytic). Reactions were stopped after 30-min incubation at 37°C by the addition of formamide-containing loading buffer. Products were separated on denaturing (8 M urea) polyacrylamide gels, revealed by PhosphorImaging (GE Healthcare), and analysed using ImageQuant software (GE Healthcare).

M13ori photo cross-linking

Phosphorothiolated fragments of M13ori (Supplementary Figure S1) were derivatized p-azidophenacyl bromide (APAB; Sigma) radiolabelled with γ -[32 P]ATP (Hartmann Analytic) as described (31). In all, 200 nM of RNAP core, 1 μ M of wild-type or mutant σ^{70} and 200 nM of DNA were incubated in 10 µl of transcription buffer at 37°C for 10 min and then ultraviolet irradiated at 365 nm for 120 s using a UVStratalinker (Stratagene) as described (31,32). Cross-linked complexes were resolved on 5% sodium dodecyl sulphate-polyacrylamide gel and analysed as aforementioned.

RESULTS

DNA melting downstream of the transcription start site is prerequisite of promoter escape

The single-stranded M13ori promoter adopts a hairpin structure, which mimics a double-stranded promoter with the transcription bubble pre-melted to position +1 (Figure 1A). The structure of the M13ori promoter is close to that of the pre-melted promoter in the recently published crystal structure of the bacterial open promoter complex (11). As on conventional double-stranded promoters, abortive synthesis on M13ori is followed by promoter escape and formation of a stable elongation complex, indistinguishable from elongation complexes formed on double-stranded DNA templates (33). The full size product (RNA18) on M13ori is an 18-nt long RNA primer, which is a result of priming complex formation (33). Although not influencing abortive synthesis, promoter escape and initial elongation, the formation of the priming complex, after synthesis of 18 nt (or 20-nt long RNA; see later in the text), ensures that transcription on M13ori is a single round event. The common promoter elements required for the recognition/ melting of the double-stranded promoters by the σ^{70} subunit, -10 (or extended -10) and -35 elements, are absent and/or dispensable in the M13ori promoter under physiological ionic strength conditions (23) (in contrast to the mentioned crystallographic promoter). This property permits the investigation of functions of the core RNAP and the σ^{70} subunit during abortive initiation and promoter escape while omitting complicating steps of closed and open promoter complexes formation.

Dispensability of general promoter elements for promoter function allows shortening of the non-template strand (top part of the M13ori hairpin; Figure 1A) downstream of the -10 element. We investigated the role of the non-template strand upstream and around the start site of

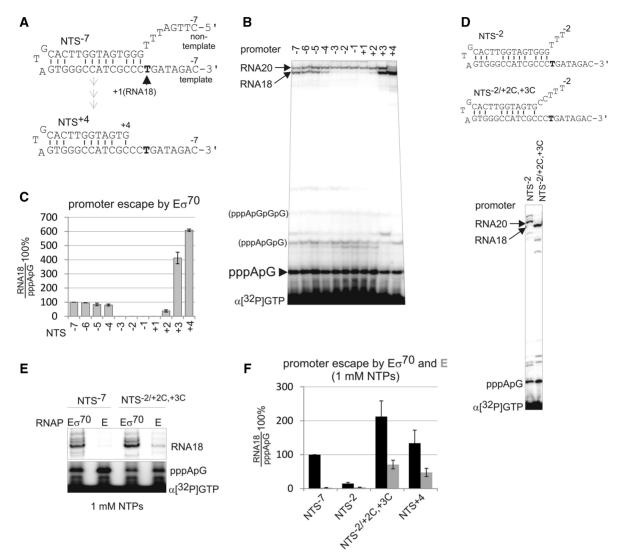


Figure 1. The non-template strand between -4 and +2 is required for DNA melting downstream of the transcription start site and for promoter escape. (A). Structures of M13ori derivatives used in our study. At the top is the initial NTS⁻⁷ promoter, which was progressively shortened from the 5'-end to NTS⁺⁴ (bottom). (B) Synthesis of abortive and the full-length RNA18 on the NTS⁻⁷ promoter and its shorter derivatives by $E\sigma^{70}$ in the presence of all NTPs (no short primers were used). Note that the synthesis of RNA20, which originates upstream of the RNA18 start site, is not affected by non-template strand truncations. A number of minor bands running slower than the abortive dinucleotide correspond to tri- and tetra nucleotides as well as small amount of transcripts resulting from non-specific initiation. These products were not taken into consideration during quantification. (C) Quantification of the results in (B). Here and after, promoter escape was quantified as a ratio of RNA18 to the abortive products (pppApG) and normalized to promoter escape on the NTS⁻⁷ promoter. Here, and after, data presented as mean \pm SEM from at least three independent experiments. (**D**) Transcription by $E\sigma^{70}$ on pre-melted promoter NTS^{-2/+2C,+3C}. (**E** and **F**) Transcription and promoter escape by holo $(E\sigma^{70})$ (black) and core (E) RNAPs (grey) on templates indicated above the gel and below the histogram were analysed in 1 mM NTPs.

transcription (+1TSS) in promoter escape. To do so, we progressively shortened the non-template strand of the M13ori from position -7 (NTS⁻⁷ promoter, which already lacks the region corresponding to the -10element; Figure 1A and Supplementary Figure S1) and analysed abortive synthesis and promoter escape by E. coli holoenzyme $E\sigma^{70}$ on resulting promoters. Unexpectedly, trimming of the non-template strand to position -3 led to abolishing of promoter escape and synthesis of RNA18 (Figure 1B and C). However, further truncation of the non-template strand to position +3 downstream of the +1TSS led to the restoration of

RNA18 synthesis (Figure 1B and C). The effects of truncations on RNA18 synthesis were independent of the concentration of NTPs (Figure 2A, compare NTS⁻⁷ and NTS⁻²). Note that only extension of abortive products, but not the abortive synthesis per se, was significantly affected by the truncations (Figure 1B).

In contrast to RNA18, synthesis of RNA20, which is initiated 2nt upstream of RNA18 in the single-stranded region of the NTS⁻⁷ promoter, was not affected by non-template strand truncations (Figure 1B). Taken together with the aforementioned results, this observation suggests that promoter escape may require melting/

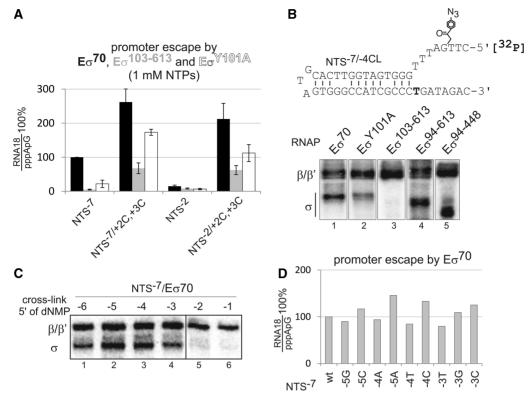


Figure 2. Interaction of $\sigma R1.2$ with the non-template strand at position -4 facilitates melting of DNA downstream of the transcription start site. (A) Promoter escape by $E\sigma^{70}$ (black), $E\sigma^{103-613}$ (grey) and $E\sigma^{Y101A}$ (white) on promoters depicted below the histogram was analysed in 1 mM NTPs. (B) UV-induced DNA/protein cross-link on radiolabelled NTS⁻⁷ promoter derivative (NTS^{-7/-4CL}) bearing a cross-linkable group at position -4 (shown above the gels) in complexes formed by $E\sigma^{70}$, $E\sigma^{Y101A}$, $E\sigma^{103-613}$, $E\sigma^{94-613}$ and $E\sigma^{94-448}$. Products were resolved by sodium dodecyl sulphate–polyacrylamide gel electrophoresis. (C) Cross-link with $E\sigma^{70}$ on NTS⁻⁷ promoters bearing cross-linkable groups at positions depicted above the gel. (D) Promoter escape on NTS⁻⁷ promoters carrying single nucleotide substitutions in positions -5, -4 or -3, depicted below the histogram.

opening of the DNA duplex just downstream of the +1TSS, which, for some reason, is deficient on NTS⁻³, NTS⁻², NTS⁻¹, NTS⁺¹ and NTS⁺² promoters. To test this hypothesis, we introduced mismatches in positions +2 and +3 of the NTS⁻² promoter (NTS^{-2/+2C,+3C}). As seen from Figure 1D, the promoter escape was restored on this derivative. We conclude that the non-template strand upstream of the +1TSS [referred to as Discriminator (DIS)] is required for melting of the DNA duplex downstream of the +1TSS, and this, in turn, is required for promoter escape.

Core RNAP cannot escape into elongation on the NTS⁻⁷ promoter, even though the latter contains DIS required for escape by the holo RNAP (23) (Figure 1E). This suggests that the DIS cooperates with σ^{70} to facilitate melting of the DNA downstream of the +1TSS. We hypothesized that core RNAP could be forced into promoter escape if the DNA downstream of the +1TSS was pre-melted. Transcription was performed at high NTP concentrations because core RNAP has a much lower affinity for initiating NTPs than $E\sigma^{70}$ (23,25). Indeed, on $NTS^{-2/+2C,+3C}$ and NTS^{+4} promoters, core acquired ability to synthesize RNA18 (Figure 1E and F). Note that no short RNA primers, which can stimulate promoter escape (23), were used in our work.

Non-specific interaction of $\sigma R1.2$ with DIS facilitates promoter escape

The aforementioned results suggest that the DIS in cooperation with the σ^{70} factor facilitates promoter escape by unwinding the DNA downstream of the +1TSS. Previously, the upstream part of the DIS (two base pairs downstream the -10 element, i.e. position -7 or -6 or -5depending on the distance between -10 and +1TSS) was shown to be sequence specifically recognized by $\sigma R1.2$ to determine stability of the open promoter complex (10). We hypothesized that $\sigma R1.2$ may also interact with the DIS further downstream and somehow facilitate melting downstream of the +1TSS. To test this hypothesis, we used a mutant variant of σ^{70} factor, in which $\sigma R1.1-1.2$ (amino acids 1–102) were deleted, $\sigma^{103-613}$ (8), and constructed a mutant σ^{Y101A} bearing an alanine substitution of Y101, which was proposed to be important for interactions of $\sigma R1.2$ with DIS (8,12). Transcription was performed in the presence of high NTP concentrations, as we found that deletion of $\sigma R1.2$ or mutation of Y101 decreases the affinity of RNAP for initiating NTPs (unpublished). As seen in Figure 2A, $E\sigma^{103-613}$ was deficient in promoter escape, and $E\sigma^{Y101A}$ had a decreased promoter escape even in the presence of DIS.

These defects, however, were rescued by pre-melting of the DNA duplex downstream of the +1TSS (Figure 2A).

To directly visualize the interaction of $\sigma R1.2$ with the DIS, we introduced a UV-inducible cross-linkable group at position –4 of a radiolabelled NTS⁻⁷ promoter (NTS^{-7/–4CL} derivative) and analysed DNA/protein cross-linking with wild-type and mutant holo RNAPs (Figure 2B). All the modified templates were active in transcription (Supplementary Figure S2A). We observed efficient cross-linking with σ subunit in the context of $E\sigma^{70}$, which, however, decreased when $E\sigma^{Y101A}$ was used and disappeared with $E\sigma^{103-613}$ (Figure 2B). The cross-link with σ was still present when either $\sigma R1.1$ $(E\sigma^{94-613})$ or $\sigma R1.1$ together with $\sigma R3$ and $\sigma R4$ $(E\sigma^{94-448})$ were deleted, suggesting that it is $\sigma R1.2$ that interacts with the DIS at position -4. The DNA/ σ^7 cross-link decreased when the cross-linking group was at position -3 and disappeared when it was at positions -2or -1 of the NTS⁻⁷ promoter (Figure 2C). This suggests that position -4 of the DIS may play the major role in the DIS interactions with $\sigma R1.2$ that are required for downstream DNA melting. As expected, the cross-link decreased as RNAP was allowed to escape from the promoter in the presence of NTPs (Supplementary Figure S2B). Sequence changes in positions -5, -4 and -3 of the NTS⁻⁷ promoter did not have any significant effect on promoter escape by $E\sigma^{70}$ (Figure 2D), suggesting a requirement for only non-specific interaction of $\sigma R1.2$ with this region. This also further distinguishes the $\sigma R1.2$ interaction with position -4 from the earlier observed sequence-specific $\sigma R1.2/DIS$ interaction that determines stability of promoter opening (10). Our biochemical data are in full agreement with the recently published structure of the open promoter complex (11), which shows that residue -6 of the non-template strand is bound in a protein pocket of $\sigma R1.2$ separately from residues -5, -4, which interact with $\sigma R1.2$ non-specifically, whereas residue -3 loses contact with $\sigma R1.2$ (Figure 3A).

β-lobe assists σR1.2 in promoter escape

The σ R1.1, β -lobe, β' -rudder and β' -SW-2 were all proposed to be involved in melting of the promoter DNA close to the +1TSS and in promoter escape (Figure 3A) (13,17-22). To investigate involvement of these domains in promoter escape controlled by the DIS/σR1.2 interaction, we prepared mutant holo RNAPs lacking $\sigma R1.1$ (E σ^{94-613}). the $(E^{\Delta\beta 186-433}\sigma^{70})$, the part of the β' -rudder closest to the downstream DNA $(E^{\Delta\beta'311-314}\sigma^{70})$ and an RNAP bearing a mutation that disrupts function of the β'-SW-2 $(E^{\beta'R339E}\sigma^{70})$ (21,25). To investigate the function of β'-SW-2, we also used antibiotic Ripostatin, which targets and inactivates the β' -SW-2 (20). As seen from Figure 3B, the deletion of β' -rudder, the mutation in β'-SW-2 or addition of Ripostatin had no or little effect on promoter escape on the NTS⁻⁷ promoter. In contrast, deletion of the β-lobe significantly decreased promoter escape (Figure 3B). This defect, however, is fully rescued on the $NTS^{-7/+2C,+3C}$ promoter with pre-melted DNA downstream of the +1TSS, suggesting that the β -lobe,

along with $\sigma R1.2$, is involved in unwinding the DNA downstream of the +1TSS. Although deletion of σ R1.1 also strongly affected promoter escape, this defect could be only partly rescued on the NTS $^{-7/+2C,+3C}$ promoter. This could be explained by a possible partial distortion of $\sigma R1.2$ by the $\sigma R1.1$ deletion, given that the defect of the $\sigma R1.2$ deletion in promoter escape cannot be fully restored on the NTS^{-7/+2C,+3C} promoter (Figure 2A). Note that β' -SW-2 is critical for promoter open complex formation. Therefore, it is difficult to separate its possible effects on promoter escape from its involvement in open complex formation on conventional double-stranded templates. This, presumably, was the reason for apparently mistaken suggestion of involvement of β'-SW-2 in promoter escape by the earlier study (25).

DISCUSSION

Our results reveal that interaction of $\sigma R1.2$ with DIS around position -4 is one of the major determinants of the escape from abortive initiation into elongation. The trigger for promoter escape is the melting of the DNA duplex downstream of the +1TSS. This melting allows for further extension of short abortive products, which, otherwise, cannot be extended and are released from the complex. Unwinding of the downstream DNA is not an active process, and $-4/\sigma R1.2$ interaction likely stabilizes a spontaneously (thermally) melted intermediate. Such unwinding is apparently a dynamic process, and our results suggest that formation of the abortive products, at least in part, is determined by the rewinding of the DNA duplex downstream of the +1TSS. Indeed, we observed much more efficient promoter escape by $E\sigma^{70}$ on the pre-melted promoter, especially in the low NTPs concentrations (Figure 3B). In the case of the M13ori promoter, extension of dinucleotide abortive product (which cannot happen without melting downstream the +1TSS) appears to be critical for efficient escape. This is consistent with our earlier observation that a trinucleotide RNA primer can force core RNAP into elongation (23). M13ori, however, has a mismatch at position +5 of the downstream DNA duplex, which likely destabilizes the helix, explaining such modest requirements for efficient promoter escape. On some double-stranded promoters, $\sigma R1.2$ -dependent melting further downstream, as well as synthesis of longer RNA, is likely required to overcome the stability of the downstream DNA duplex. Furthermore, on the conventional double-stranded promoters, requirement for scrunching and breaking of the upstream contact with the promoter may further decrease the probability of promoter escape, before the short RNA is released.

A number of domains of σ^{70} and core RNAP were suggested to be important for promoter escape. Their involvement in promoter escape, however, could not be separated on double-stranded promoters from the roles they play in open promoter complex formation, thus obstructing analysis. β' -SW-2 was suggested to facilitate promoter escape by melting and fixing the template strand around the +1TTS (25). Our results, however, reveal that β' SW-2 becomes dispensable for promoter clearance when the

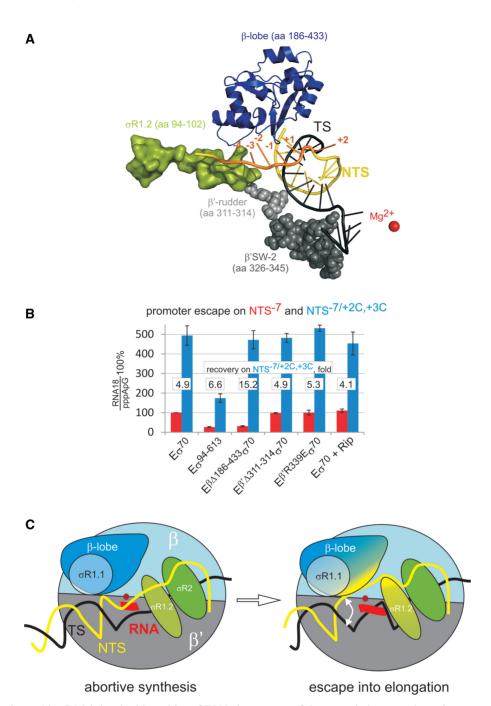


Figure 3. The β-lobe along with $\sigma R1.2$ is involved in melting of DNA downstream of the transcription start site and promoter escape. (A) The view of σ and core domains that could be involved in melting downstream of the start site, based on a recently determined structure of the promoter open complex (11). Template (TS) and non-template (NTS) strands are black and yellow, respectively. Positions of the truncations of the non-template strand that abolished promoter escape on the M13ori promoter are orange. (B) Promoter escape by holo enzymes depicted below the histogram and by $E\sigma^{70}$ in the presence of $200\,\mu\text{M}$ Ripostatin on NTS⁻⁷ (red) and NTS^{-2/+2C,+3C} (blue). Relative rescue of promoter escape on the pre-melted template is shown. (C) Scheme summarizing our results. Promoter open complex (left) is capable of abortive synthesis but fails to extend short RNA without DNA melting downstream the +1TSS. Interactions of the non-template strand with $\sigma R1.2$ and the β-lobe (with possible participation of $\sigma R1.1$) facilitate unwinding of DNA downstream of the transcription start site and, consequently, extension of abortive product and promoter escape.

promoter is already melted to position +1 in the open promoter complex (though it possibly does participate in the formation of the open promoter complex). Instead, the $\sigma R1.2$ in concert with β -lobe (and possibly $\sigma R1.1$) are required for melting of the DNA downstream the +1TSS and as a result for promoter escape. Note that

the role of $\sigma R1.2$ in promoter escape also could not have been studied directly on conventional double-stranded promoters because of the deficiency of deleterious mutants in forming stable promoter complexes (9). The interactions of $\sigma R1.2$ with the non-template strand around position -4 (DIS) and of the β -lobe further

downstream [Core Recognition Element, CRE (11)] deduced in our work are in full agreement with the very recently solved structure of the promoter open complex (11) (Figure 3A). As follows from our results, interactions of either $\sigma R1.2$ or β -lobe with their respective parts of the non-template strand are not enough for unzipping the downstream DNA, and only their concerted action leads to efficient promoter escape (although the σR1.2 interaction seems to be more critical). In contrast to the previously described sequence-specific σR1.2/DIS interaction (10), the interaction of position -4 of the DIS with σR1.2 uncovered here is non-specific, and thus may be critical on all promoters of σ^{70} -family factors. Taken together with the involvement of $\sigma R1.2$ in allosteric modulation of the $-10-\sigma R2.3$ interaction (8) and in stabilization of the open complex (10), results presented here suggest that $\sigma R1.2$ may serve as a major functional switch during transcription initiation and promoter escape.

SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online: Supplementary Figures 1 and 2.

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Conflict of interest statement. None declared.

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