





INVITED REVIEW

Safe performance of diagnostic bronchoscopy/EBUS during the SARS-CoV-2 pandemic

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ABSTRACT

The SARS-CoV-2 pandemic is unprecedented in our professional lives and much effort and resources will be devoted to care of patients (and HCW) affected by this illness. We must also continue to aim for the same standard of care for our non-COVID respiratory patients, while minimizing risks of infection transmission to our colleagues. This commentary addresses the key paired issues of minimizing performance of diagnostic/staging bronchoscopy in patients with suspected/known lung cancer while maximizing the safety of the procedure with respect to HCW transmission of COVID-19.

Key words: bronchoscopy and interventional techniques, coronavirus, COVID-19, endobronchial ultrasound, infectious disease transmission, lung cancer.

INTRODUCTION

Since late December 2019, an outbreak of infection caused by the novel coronavirus severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has spread from Wuhan, China, to over 60 countries worldwide, with (at the time of writing) over 1 500 000 confirmed cases and more than 80 000 deaths.¹ Transmission is predominantly respiratory,² raising concerns that bronchoscopy may increase the risk of infection of healthcare workers (HCW). Evidence regarding this risk is unclear, with one study suggesting bronchoscopy does not generate aerosols,³ and another study reporting only a strong trend towards increased risk of transmission to HCW during the H1N1 2009 influenza epidemic.⁴ Nevertheless, bronchoscopy remains universally regarded as an aerosol-generating procedure (AGP).⁵ This, as well as prioritization of preservation of limited personal protective equipment (PPE) stock, had resulted in uniform agreement

that non-urgent bronchoscopy be postponed until the coronavirus disease 2019 (COVID-19) pandemic has passed.

Guidelines developed to date have defined the role of bronchoscopy in patients with suspected or confirmed COVID-19 infection.⁶ Throughout the pandemic, however, patients will continue to present with symptoms and findings not related to COVID-19 infection, but as a result of suspected lung cancer. In these patients, bronchoscopy remains a potentially important diagnostic (and therapeutic) tool.⁷ In this manuscript, we review the diagnostic work-up of patients with suspected/known lung cancer. We address how a reduction in the overall number of bronchoscopic procedures may be achieved, and how bronchoscopy, when performed, may be done as safely as possible. Considerations apply both to suspected non-small cell lung cancer (NSCLC) and small cell lung cancer.

INDICATIONS

Non-invasive imaging should still be completed in a routine manner, with positron emission tomography (PET) potentially even more important in guiding clinical decision-making in the current climate. The following consideration regarding stage classification is based on PET findings, with recommendations summarized in Table 1.

Stage I

While preoperative diagnosis is preferred in many centres to minimize rates of benign resection, and to limit reliance of intraoperative frozen section for surgical decision-making, primary diagnostic surgical resection is recommended in some guidelines for lesions whose radiological features indicate a high probability of malignancy.^{8,9} We expect that a higher proportion of patients will undergo resectional biopsy ± frozen section assessment during COVID-19.

Equally, where lesions have a sufficiently high likelihood of malignancy, even in high-risk surgical patients, empiric stereotactic ablative body radiotherapy (SABR) may be delivered safely on the basis of radiological predictors of malignancy risk.^{10,11} SABR is now well established

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Table 1 Summary of recommendations based on clinical stage of suspected/confirmed lesion

Clinical stage [†]	Recommended management strategy
Stage I	Percutaneous biopsy where technically possible Consider resectional biopsy or empiric SABR
Stage II	Percutaneous biopsy where technically possible Consider surgical resection without tissue diagnosis
Stage III	Percutaneous biopsy of primary lesion for bulky/multi-station mediastinal involvement of PET/CT EBUS-TBNA for sampling of single-station cN2/3 disease EBUS-TBNA for tissue diagnosis ± ancillary molecular testing where no option for percutaneous sampling is available
Stage IV	Percutaneous biopsy/(drain) where possible from extrathoracic site EBUS-TBNA for patients with extrathoracic sites unsuitable for minimally invasive biopsy or bony metastases as sole M1 site ²⁶

[†]On the basis of PET/CT.

CT, computed tomography; EBUS-TBNA, endobronchial ultrasound-guided transbronchial needle aspiration; PET, positron emission tomography; SABR, stereotactic ablative body radiotherapy.

as a safe and effective treatment modality for localized (N0) NSCLC and should be considered in suitable patients. Treatment of centrally positioned tumours by SABR is associated with a higher risk of major complications, and it is predominantly this subset of patients that will still require bronchoscopic diagnosis.

Radial endobronchial ultrasound (EBUS) or navigation bronchoscopy is frequently undertaken for diagnosis of peripheral pulmonary lesions.¹² Radial EBUS is the preferred approach¹³ due to a high sensitivity and favourable complication profile.¹⁴ Percutaneous lung biopsy with either computed tomography (CT) or ultrasound guidance demonstrates high diagnostic accuracy and is suggested as a diagnostic option. The procedure is generally performed with only local anaesthesia, under aseptic conditions, and presents a lower risk of transmission of infection than bronchoscopic procedures (see Section *Anaesthesia*). We recommend percutaneous biopsy where technically possible after review by an interventional radiologist.

We expect that a small number of patients will still require radial EBUS for diagnosis of centrally positioned lesions (or linear EBUS/EUS-B^{15,16}) unsuitable for SABR (prior to conventionally fractionated radiotherapy), predominantly within patients at higher surgical risk due to either cardiorespiratory disease or radiological characteristics of the tumour.

Stage II

Many centres would undertake minimally invasive mediastinal LN staging patients with cN1 findings on PET due to the elevated risk of post-operative upstaging to N2 in this group.¹⁷ However, given the current risks and potentially reduced sensitivity of EBUS/endoscopic staging in this patient subset,^{17,18} we suggest that such patients undergo percutaneous biopsy of the primary tumour prior to surgical resection, or may even be appropriate for surgical resection without a tissue diagnosis, given the high likelihood of malignancy.

Stage III (cN2/3)

In this staging group, we (simplistically) identify two separate scenarios based on PET findings: (i) single-station N2 disease in otherwise operative candidates and (ii) multilevel/bulky mediastinal LN involvement.

For patients with single-station LN involvement, we recommend performance of LN staging with linear EBUS due to the major impact on therapeutic decision-making according to pathological status of this LN. Sampling via oesophageal endoscopic route (EUS-B) is associated with shorter procedure times and may reduce cough,¹⁹ and may be considered where expertise allows.

Systematic mediastinal LN staging by EBUS improves staging in patients with Stage III NSCLC²⁰ and significantly improves coverage of subclinical disease through detection of PET-occult metastases.²¹ Nevertheless, the proportion of patients in whom PET-occult disease is detected^{20,22} may not justify the risk to HCW resulting from performance of bronchoscopy. Patients with bulky/multi-station mediastinal involvement on PET may be appropriately cared for by percutaneous biopsy, without need for (minimally invasive) mediastinal sampling. Sensitivity of PET in such instances is high and radiation fields can safely be constructed on the basis of PET-identified disease extent.²³

Stage IV

Minimally invasive tissue diagnosis is perhaps most critical in this group due to the importance of NSCLC subtyping for treatment planning as well as the need to complete molecular characterization of the tumour, including detection of driver mutations²⁴ and assessment of PD-L1 (Programmed Death Ligand - 1) status, which can be achieved with high accuracy by EBUS.²⁵

Tissue confirmation of suspected metastatic site is recommended, and should provide sufficient tissue to allow molecular testing to be completed. Thus, percutaneous biopsy should be sufficient for most patients. We expect there will remain a minority of patients with clinical Stage IV NSCLC who require bronchoscopic

tissue diagnosis, likely via linear EBUS. These include patients with suspected nodules in ipsilateral lobes (T4) or contralateral lung (M1a), as well as patients with extrathoracic sites identified on PET unsuitable for minimally invasive biopsy. This includes patients with only bony metastases, which are recommended to be avoided due to low yield of tumour cells and poor DNA quality consequent to decalcification acids.²⁶

PRE-PROCEDURE SCREENING

The intent of pre-procedure screening is to identify patients with possible/likely COVID-19 infection in order to instigate isolation practices to minimize transmission risks, including to HCW. Patients should be screened (either prior by phone or at entry to the health facility) for clinical or epidemiological markers of risk for active COVID-19 infection. Recent overseas travel or close contact with a known case of COVID-19 should prompt a delay of the procedure by a fortnight (provided they do not subsequently develop symptoms of COVID-19 infection). Presence of fever/respiratory illness, depending on local community prevalence rates/practices, may prompt testing for COVID and delay of bronchoscopy until confirmatory negative results are known.

Where resources allow, consideration should be given to pre-bronchoscopic testing for asymptomatic COVID-19 infection via nasopharyngeal swab. Negative results would allow patients to proceed to bronchoscopy/EBUS,



Figure 1 Oral intubation by the linear videobronchoscope through a small incision in a standard surgical mask. This 'slotted mask' allows airway access during a moderate sedation anaesthetic while minimizing droplet spread especially during episodes of cough.

while positive testing would suggest a delay in undertaking the procedure—while lung cancer diagnosis cannot wait several months, it certainly can wait 2 weeks, which is longer than the time to viral clearance following mild COVID-19 illness.²⁷ If patients develop symptoms subsequent to a positive test, then a longer delay before bronchoscopy is needed.^{28,29}

Critically, we note that sensitivity of nasopharyngeal swab for COVID-19 infection has been reported at 71–83% among symptomatic patients,^{30,31} and may be even lower among asymptomatic patients. Given the numerous reports regarding false-negative results for nasopharyngeal swab reverse transcriptase (RT)-PCR testing,^{32,33} we recommend universal precautions, including PPE (below), be applied even in the event of a negative nasopharyngeal swab result.

ANAESTHESIA

Bronchoscopy is considered an AGP; however, the highest risk of infection transmission has been reported with tracheal intubation and extubation.³⁴ Use of muscle relaxant may reduce cough and aerosol generation; however, it is not clear that this reduces aerosol generation associated with endotracheal intubation, and will not lessen the risk at the time of extubation. Therefore, given the large risk associated with intubation/extubation, we feel the preferred approach is for the procedure to be performed with moderate/deep intravenous sedation.

Aerosol generation is highest at the time of bronchoscopic intubation.³⁵ Use of a laryngeal mask airway (LMA) may allow deeper sedation and reduced cough, although the impact on potential for transmission of infection remains unknown.

Transmission of SARS-CoV-2 is predominantly via droplet spread.² Use of PPE (below) is essential to minimize the risk of HCW infection. Dispersal of droplets following respiratory expulsion may significantly exceed 1–2 m,³⁶ and viruses may survive in the air for hours and on surfaces for days.³⁷ Prevention of droplet dispersal may significantly mitigate HCW exposure, resulting in greater levels of protection than achieved through wearing of masks by HCW. Masks worn at the droplet 'source' (by the patient) significantly reduce aerosol dispersal³⁸ and viral content in dispersed respiratory droplets.³⁹ This can potentially be achieved during bronchoscopy through use of a 'slotted mask' during the procedure to minimize droplet dispersal following cough (Fig. 1).

Use of a surgical mask, or even FFP2/N95 masks, to the patient following LMA extubation may also be an important risk mitigation intervention.

No nebulizers including lignocaine should be used. Nebulization itself is an AGP,⁴⁰ and nebulization may convert bronchoscopy to a higher risk procedure also.³

PROCEDURE

Where possible, procedures should be performed in a negative pressure room. Consider re-application of lignocaine to minimize cough on/following extubation of the bronchoscope.

Both for the purposes of preservation of PPE, as well as minimization of HCW exposure, the procedure should be performed with the minimum number of staff in the procedure room. Guidelines will be available in individual institutions/jurisdictions, but should include use of P2/N95 high-particulate respiratory masks and level 3–4 barrier protection (disposable gloves, impervious gown and eye protection).⁴¹

It is self-evident that the procedure should be performed in the shortest time possible and with the fewest number of sampling procedures required to achieve the clinical goal. Accordingly, procedures should be performed only by consultant interventional pulmonologists.

While use of rapid on-site cytological evaluation (ROSE) may increase the number of HCW exposed, we believe use of ROSE is overall highly beneficial due to the impact on reduced number of needle passes as well as reduced requirement for additional bronchoscopy procedures to make a final diagnosis,⁴² and the consequent reduced procedure times achieved by their utilization.⁴³ Consequently, our preference is to use ROSE during the COVID-19 event. This should only be done in discussion with local experts/authorities.

FURTHER COMMENTS

It may be prudent to routinely submit bronchial washing specimens collect at bronchoscopy for COVID testing. Exact rates of asymptomatic infection in COVID are unknown but may be significant.^{44,45} Asymptomatic cases likely contribute significantly to community transmission of COVID.^{46–48} Pandemic influenza is more readily detected in lower respiratory tract specimens,^{49,50} with limited reports suggesting the same is true for SARS-CoV-2.^{33,51} The most sensitive detection of coronavirus requires testing of both upper and lower respiratory samples.^{52,53}

Beyond the public health advantage of accurately determining infection numbers, significant benefits may follow detection of asymptomatic or mild/early cases. These patients are individually at risk of adverse outcomes of COVID-19 infection, but equally in their subsequent care are likely to be in close contact with HCW, as well as a vulnerable group of fellow patients. It is possible they will be receiving immunosuppressive therapies as part of their treatment.

Routine testing is suggested by at least one international practice statement,⁷ although it should be based on local resources and addressed in discussion with local health authorities. Practice may differ depending on the phase of the pandemic community infection prevalence.

CONCLUSIONS

The SARS-CoV-2 pandemic is unprecedented in our professional lives and much effort and resources will be devoted to care of patients (and HCW) affected by this illness. Nevertheless, we must also continue to aim for the same standard of care for our non-COVID respiratory patients, while minimizing risks of infection transmission to our colleagues. This commentary has addressed the key paired issues of minimizing

performance of bronchoscopy to only patients with no alternate option while maximizing the safety of the procedure with respect to HCW transmission.

Abbreviations: AGP, aerosol-generating procedure; COVID-19, coronavirus disease 2019; CT, computed tomography; EBUS, endobronchial ultrasound; EBUS-TBNA, EBUS-transbronchial needle aspiration; EUS-B, endoscopic ultrasound using (video) bronchoscope; HCW, healthcare worker; LMA, laryngeal mask airway; LN, lymph node; NSCLC, non-small cell lung cancer; PCR, polymerase chain reaction; PET, positron emission tomography; PPE, personal protective equipment; ROSE, rapid on-site cytological evaluation; SABR, stereotactic ablative body radiotherapy; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2.

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