



# A cohort study of hepatectomy-related complications and prediction model for postoperative liver failure after major liver resection in 1,441 patients without obstructive jaundice

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**Background:** This cohort study, based on a large sample of extensive hepatectomy cases, aimed to analyze the distribution of hepatectomy-related complications and to develop a predictive model of posthepatectomy liver failure (PHLF).

**Methods:** Data of patients who underwent hepatectomy of  $\geq 3$  liver segments at the Eastern Hepatobiliary Surgery Hospital from 2000 to 2016 were collected and analyzed. Information on hepatectomy-related complications was collected and risk factors were analyzed. A total of 1,441 eligible patients were randomly assigned at 3:1 ratio into the derivation (n=1,080) and validation (n=361) cohorts. The multivariable logistic regression model was used to establish the prediction model of PHLF in the derivation cohort.

**Results:** The incidence rates of PHLF, ascites, bile leakage, intra-abdominal bleeding, and abscesses were 58.22%, 10.76%, 11.17%, 9.71%, and 4.16%, respectively. The 90-day perioperative mortality rate was 1.32%. Multivariate analyses found that age, gender, platelet, creatinine, gamma-glutamyltransferase, thrombin time, fibrinogen, hepatitis B e (HBe) antigen positive, and number of resected liver segments were independent prognostic factors of PHLF in the derivation cohort and included in the nomogram. The prediction model demonstrated good discrimination [area under the curve =0.726, 95% confidence interval (CI), 0.696–0.760,  $P < 0.0001$ ] and calibration.

**Conclusions:** Our study showed a high perioperative safety and a low risk of serious complications in patients who underwent major liver resection (MLR) at a large hepatobiliary surgery center. Routine preoperative clinical information can be used to develop a postoperative liver failure risk prediction model for rational planning of surgery.

**Keywords:** Major liver resection (MLR); postoperative complications; liver failure; predictive model; nomogram

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## Introduction

Hepatectomy is a curative treatment of benign and malignant primary hepatobiliary tumors, metastatic liver tumors, intrahepatic bile duct stones, and other hepatobiliary system diseases. Complications such as posthepatectomy liver failure (PHLF), posthepatectomy hemorrhage (PHH), and postoperative death are serious adverse medical events after hepatectomy. Therefore, there is a need to identify the risk factors associated with serious complications, such as PHLF, to effectively identify high-risk patients and reduce the risk of postoperative adverse complications (1).

However, the use of different PHLF criteria, different perioperative time cut-offs for death, and different hepatic resection ranges will result in clinical heterogeneity between studies. This is also the main reason for the large data span for the incidence of PHLF and perioperative mortality in previous literature (i.e., from 0% to 43.1% for the former and from 0.5% to 15.6% for the latter) (2).

There is increasing awareness of the importance and value of generating uniform definitions of outcome parameters to enable reliable comparison of the results from different studies and ultimately to provide patients with the best available therapy. Postoperative ascites, liver failure, bile leakage, intra-abdominal bleeding, intra-abdominal abscesses, and perioperative death were major adverse events after hepatectomy. The inclusion of these complications as a primary study component not only allows for a controlled analysis of the results of relevant studies, but also contributes to the standardization of study design and comparability of results in randomized controlled trials of liver surgery (3). In 2011, the International Research Group of Liver Surgery (ISGLS) developed definitions and classification criteria for PHH (4), posthepatectomy biliary leakage (PHBL) (5), and PHLF (6), which were supported by other findings (7). The above work laid a foundation for the standardized assessment of hepatectomy-related risk factors and clinical outcomes.

Although expanding the scope of hepatectomy improves the radical resection rate of the liver lesion site, it will inevitably lead to an increased risk of postoperative complications due to reduced residual liver function. Therefore, a comprehensive and accurate assessment of the risk factors and clinical outcomes of major liver resection (MLR) is very important for decision-making on the necessity of liver surgery and the formulation of clinical intervention pathways for hepatectomy-related

complications.

Previous studies have constructed predictive models of PHLF for specific hepatectomy populations such as primary hepatocellular carcinoma (8), patients with cirrhosis (9), specific laboratory test values, and/or imaging features (10).

In this cohort study, we used a large sample of extensive hepatectomy cases to analyze the distribution of hepatectomy-related complications and to develop a predictive model of PHLF and to validate the predictive efficacy of the model. We present the following article in accordance with the TRIPOD reporting checklist (available at <http://dx.doi.org/10.21037/atm-20-5472>).

## Methods

### Source of data

Data were retrieved from electronic databases from 2000 to 2016 using the medical records archives and database of the department of laboratory diagnostics of the Eastern Hepatobiliary Surgery Hospital. Information on patients who underwent MLR at the Eastern Hepatobiliary Surgery Hospital of the Second Military Medical University during the study period and the data on each patient combined with the information recorded in the original medical records were verified. Data from 1,441 patients eligible for the study were eventually included in this cohort study. This study conformed to the provisions of the Declaration of Helsinki (as revised in 2013). This study has been approved by the Ethics Committee of Eastern Hepatobiliary Surgery Hospital (Ethics Audit No. EHBH KY2020-K-004). Each patient signed the clinical study informed consent form in person or by proxy.

### Participants

All cases undergoing hepatectomy included in this study were classified into the following six categories according to their pathological diagnosis: hepatocellular carcinoma, intrahepatic cholangiocarcinoma, hepatic hemangioma, intrahepatic cholelithiasis, metastatic hepatoma, and other rare occupational diseases of the hepatobiliary system.

The inclusion criteria were as follows: (I) age >18 years, (II) hepatectomy of at least three liver segments, (III) available perioperative follow-up data, (IV) absence of significant anemia, infection, and renal insufficiency decompensation (serum creatinine level  $\leq 177$   $\mu\text{mol/L}$ ) before hepatectomy, and (V) Child-Pugh grades A and B for liver function. The

exclusion criteria were as follows: (I) presence of obstructive jaundice, (II) previous portal vein embolization or ligation before hepatectomy, and (III) history of associating liver partition and portal vein ligation for staged hepatectomy.

### Outcome

The objective of this study was to construct a model with a predictive power exceeding 0.7 for PHLF which can also effectively distinguish between PHLF grades A, B, and C.

### Predictors

Data included demographic and preoperative, intraoperative, and postoperative information. Demographic information included age, gender, and blood type (Table S1). Preoperative information included (I) patient's body mass index (BMI) value, presence of diabetes, history of chronic heavy alcohol consumption, history of chemotherapy, presence of cirrhosis, and presence of combined ascites, etc., and (II) laboratory test results for blood routine, liver function, kidney function, electrolytes, etc. (Table S1). Potentially relevant intraoperative risk factors included the number of hepatic segments resected, whether or not to perform hepatic blood flow blockade by Pringle maneuver, whether or not to perform intermittent Pringle maneuver, total Pringle time, intraoperative bleeding volume, and intraoperative blood transfusion volume (Table S2).

Postoperative information included hepatectomy-related complications and perioperative mortality (Table S2). This study categorized ascites, bile leakage, intra-abdominal hemorrhage, abscesses, liver failure, and death 90 days after hepatectomy as major complications according to previous studies (3).

According to the definition of ISGLS, the occurrence of complications such as liver failure (ISGLS-PHLF) (6), bile leakage (ISGLS-PHBL) (5), and hemorrhage (ISGLS-PHH) was determined and were further classified into grades A, B, and C (4).

According to Couinaud segmentation classification (11), the liver lobe is divided into 1–8 segments. For patients who underwent complete liver segmental resection combined with local resection of tumor subsites, segmental wedge resection, and other liver resections of areas that did not reach the whole liver segment, they were uniformly described as liver segmental resection 3+, 4+, 5+, 6+, etc. Extended left hemihepatectomy, right hemihepatectomy, and simultaneous wedge resection or enucleation of

subfocals in other lobes were equivalent to extended segmentectomy and labeled (+).

The laboratory index was treated as a continuous variable when values in most of the patients were in the normal range ( $\geq 85\%$ ). For the remaining indices, the restricted cubic spline analyses with three knots (25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup> percentiles) were applied to detect the nonlinear relationship between laboratory index and ISGLS-PHLF. The laboratory index was also treated as a continuous variable for those without nonlinear relationship. For the laboratory index with significant nonlinear relationship, the cut-off value was established according to the following steps: non-PHLF patients of the total cohort were divided equally into 10 parts to obtain nine cut-off values based on the index, the prevalence of PHLF for each part was calculated, and cut-off categories with similar prevalence rates were combined. The final cut-off value was determined based on the normal range and categories combined: preoperative serum hemoglobin level ( $<130$ ,  $\geq 130$  g/L), total bile acid ( $<2.7$ ,  $2.7\text{--}5.4$ ,  $\geq 5.4$   $\mu\text{mol/mL}$ ), alanine aminotransferase ( $<50$ ,  $\geq 50$  U/L), aspartic acid transferase ( $<40$ ,  $\geq 40$  U/L), lactate dehydrogenase ( $<250$ ,  $\geq 250$  U/L), and gamma-glutamyltransferase (GGT) ( $<60$ ,  $60\text{--}180$ ,  $\geq 180$  U/L).

### Sample size

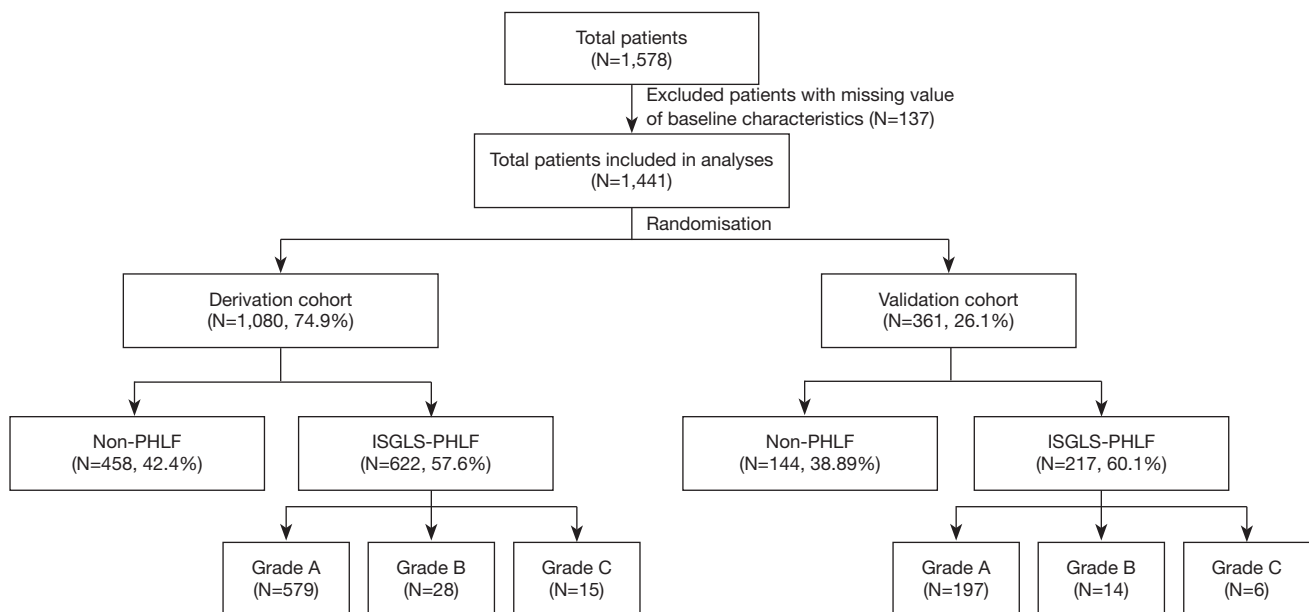
In this study, we included 1,578 patients who underwent MLR at the Eastern Hepatobiliary Surgery Hospital during the study cycle for whom complete perioperative follow-up data were available. The total cohort was randomly divided based on a 3:1 ratio into the derivation cohort and validation cohort (Figure 1).

### Missing data

The purpose of this study was to analyze the occurrence of complications associated with MLR and to develop a predictive model of liver failure after hepatectomy using routine preoperative clinical information from patients. Therefore, according to the inclusion criteria of this cohort study, 137 cases that failed to obtain all baseline characteristics related data needed for the study were excluded from this study.

### Statistical analysis methods

The median (quartile) for continuous variables and



**Figure 1** Flow chart of 1,441 patients included in the analyses. PHLF, posthepatectomy liver failure; ISGLS, International Research Group of Liver Surgery.

frequencies and percentages for categorical variables were calculated. The Wilcoxon rank-sum test, chi-square test, or Cochran-Mantel-Haenszel test were used to compare demographics and baseline characteristics between the derivation cohort and validation cohort.

The prediction model of ISGLS-PHLF was established based on the derivation cohort. Candidate variables were all preoperative factors and demographic information. Univariate analyses were performed to select the potential risk factors of PHLF ( $P < 0.2$ ). All potential risk factors were included in the binary logistic backward stepwise regression model ( $\alpha_{\text{step}} = 0.05$ ) to obtain an optimal prediction model. The evaluation for the performance of the prediction model was based on scaled Brier score,  $R^2$ , slope, and intercept in the derivation cohort and validation cohort with 1,000-bootstrap resampling method. Calibration was evaluated by the Hosmer-Lemeshow goodness-of-fit test and calibration plots in both derivation and validation cohorts (12). The nomogram was established for the prediction model, and the total points for each patient was calculated. Receiver operating characteristic (ROC) analyses were performed to evaluate the performance of the prediction model in both the derivation and validation cohorts. ROC analyses were also performed for different ISGLS-PHLF grades

and patient subgroups (tumor and non-tumor disease) in the total cohort. Additionally, multivariable logistic regression model was used to detect the risk factors of posthepatectomy outcomes.

The nomogram, ROC analyses, and evaluation of the prediction model were performed using R version 3.5.2 (R Foundation for Statistical Computing, Vienna, Austria). The remaining statistical analyses were performed using SAS software version 9.4 (SAS Institute Inc., Cary, NC). All reported P values were two-sided, and  $P < 0.05$  was regarded as statistically significant.

### Risk groups

Patients who undergo hepatectomy are at risk of postoperative liver failure. Clinical practice and research have found that the risk of postoperative liver failure increases with the expansion of the scope of hepatectomy. In this study, we performed multivariable analyses of risk factors associated with postoperative liver failure in a population of patients with resected liver parenchyma  $\geq 3$  complete liver segments, and a postoperative liver failure prediction model was developed and validated. Therefore, in this study, both the derivation cohort and validation cohort were risk groups.

### *Development vs. validation*

All samples in this cohort study were from the same research institution, and there was a high degree of homogeneity in terms of clinical test quality control criteria, surgical protocols, and postoperative management criteria. As a result, data from the development cohort and validation cohort did not differ significantly in terms of setting, eligibility criteria, outcomes, or predictors.

## **Results**

### *Participants*

A total of 1,578 patients underwent extensive hepatectomy and perioperative follow-up information was obtained, excluding 137 patients with missing data, resulting in 1,441 patients being enrolled in the study.

A total of 1,441 MLR cases treated at the Eastern Hepatobiliary Surgery Hospital of the Second Military Medical University between 2000 and 2016 were screened as eligible cases for enrollment in this study. There were 939 male patients (65.2%) and 502 female patients (34.8%). The median age of all patients was 52 years. The disease types in 1,441 consecutive patients were distributed as follows: 50.52% (n=728) of the patients had hepatocellular carcinoma, 19.36% (n=279) had intrahepatic cholangiocarcinoma, 18.74% (n=270) had intrahepatic cholangiolithiasis, 4.09% (n=59) had hepatic hemangioma, 2.22% (n=32) had metastatic hepatoma, and 5.07% (n=73) had other diseases of the hepatobiliary system. Common bile duct resection, choledochojejunostomy, regional lymph node dissection, colorectal resection, and diaphragm resection were the most common simultaneous operations. Demographic and clinicopathologic data are shown in [Table S1](#).

Hepatectomy-related complications are shown in [Table S2](#). The incidence rates of ISGLS-PHLF, ISGLS-PHH, ISGLS-PHBL, postoperative ascites, and intra-abdominal abscess were 58.22%, 10.76%, 11.17%, 9.71%, and 4.16%, respectively. A total of 80 patients (5.55%) underwent postoperative thoracentesis drainage, including 79 patients who underwent right thoracentesis drainage and one left thoracentesis drainage. The 90-day perioperative mortality rate was 1.32%.

Among ISGLS-PHLF patients, the incidence of grade A PHLF requiring no change in the clinical management was 53.85%, and the incidence of grade B PHLF resulting in a deviation from the regular clinical management but manageable without invasive treatment was 2.91%. The

incidence of grade C PHLF, which caused serious adverse effects on postoperative recovery, was 1.46%. Similarly, the incidence of grade C defined as requiring intervention (e.g., embolization) or re-laparotomy was 0.69% in patients who developed ISGLS-PHH.

Most of the ISGLS-PHBL patients had grade B PHBL that required interventional treatment or the duration of bile leakage was more than 1 week, with an incidence rate of 9.51%. The incidence rates of grade A PHBL that spontaneously healed within 1 week postoperatively and grade C PHBL requiring re-laparotomy were 1.53% and 0.14%, respectively.

The multivariable analyses of posthepatectomy outcomes in the total cohort are shown in [Table S3](#).

### *Model development*

In the derivation cohort, 31 variables were potentially associated with ISGLS-PHLF based on the univariate analyses ( $P < 0.2$ , [Table 1](#)). After including all 31 variables in the binary logistic backward stepwise regression model, the optimal prediction model was established with nine variables: age, gender, preoperative serum laboratory test item (including platelet, creatinine, GGT, fibrinogen, thrombin time, hepatitis B e (HBe) antigen, and number of resected liver segments ([Table 2](#)).

### *Model specification*

The nomogram was constructed based on the nine variables mentioned above that were strongly associated with ISGLS-PHLF ([Figure 2](#)). The prediction model demonstrated good performance.

Each variable included in the nomogram has a unique corresponding line segment, and the total length of the segment reflects the contribution of that variable factor to ISGLS-PHLF. Each variable is marked with a scale score, and the individual scores for each variable in the sample at different values are summed to give a total score for the prediction, which indicates the probability of ISGLS-PHLF in the sample.

### *Model performance*

The areas under the ROC curve (AUC) of the derivation and validation cohorts were 0.726 [95% confidence interval (CI), 0.696–0.760] and 0.717 (95% CI, 0.663–0.770), respectively. The calibration plots and Hosmer-Lemeshow

**Table 1** Univariate analyses of demographics and baseline characteristics in the derivation cohort

Characteristics	Non-PHLF (N=458)	ISGLS-PHLF (N=622)	P value
Gender (female)	218 (47.60)	164 (26.37)	<0.0001
Age	50.50 (43.00, 59.00)	52.00 (45.00, 60.00)	0.0249
Blood type			0.6208
A	154 (33.62)	193 (31.03)	
B	122 (26.64)	168 (27.01)	
AB	40 (8.73)	75 (12.06)	
O	142 (31.00)	186 (29.90)	
BMI	22.48 (20.55, 24.91)	22.77 (20.70, 24.90)	0.4644
Diabetes	5 (1.09)	9 (1.45)	0.6100
Cirrhosis	70 (15.28)	132 (21.22)	0.0134
A long history of heavy drinking	57 (12.45)	124 (19.94)	0.0011
History of chemotherapy before hepatectomy			0.7305
No	419 (91.48)	561 (90.19)	
TAE	32 (6.99)	55 (8.84)	
Systemic chemotherapy	7 (1.53)	6 (0.96)	
Preoperative ascites			0.6004
No	430 (93.89)	579 (93.09)	
Mild	28 (6.11)	43 (6.91)	
Moderate to severe	0 (0.00)	0 (0.00)	
Preoperative serum hemoglobin level (<130 g/L)	223 (48.69)	207 (33.28)	<0.0001
Preoperative serum white blood cell count ( $\times 10^9/L$ )	5.69 (4.50, 6.93)	5.39 (4.42, 6.67)	0.1167
Preoperative serum platelet count ( $\times 10^9/L$ )	200.50 (161.00, 256.00)	181.00 (139.00, 238.00)	<0.0001
Preoperative serum lymphocyte count ( $\times 10^9/L$ )	1.53 (1.23, 1.89)	1.45 (1.15, 1.83)	0.0316
Preoperative serum neutrophil count ( $\times 10^9/L$ )	3.40 (2.57, 4.67)	3.35 (2.61, 4.36)	0.2056
Preoperative serum creatinine level (umol/L)	62.00 (53.00, 73.00)	65.00 (56.00, 74.00)	0.0109
Preoperative serum Na <sup>+</sup> level (mmol/L)	142.00 (140.00, 143.00)	141.00 (140.00, 143.00)	0.3057
Preoperative serum K <sup>+</sup> level (mmol/L)	4.11 (3.92, 4.35)	4.12 (3.91, 4.34)	0.6851
Preoperative serum TBIL level (umol/L)	11.15 (8.90, 15.40)	12.95 (9.90, 16.30)	<0.0001
Preoperative serum DBIL level (umol/L)	4.10 (3.30, 5.90)	5.00 (3.80, 6.50)	<0.0001
Preoperative serum IBIL level (umol/L)	7.00 (5.40, 9.30)	7.80 (5.90, 9.80)	0.0039
Preoperative serum TBA level (umol/mL)			0.0009
<2.7	74 (16.16)	92 (14.79)	
2.7–5.4	159 (34.72)	157 (25.24)	
$\geq 5.4$	225 (49.13)	373 (59.97)	
Preoperative serum ALT level ( $\geq 50$ U/L)	72 (15.72)	158 (25.40)	0.0001

**Table 1** (continued)



Table 1 (continued)

Characteristics	Non-PHLF (N=458)	ISGLS-PHLF (N=622)	P value
Preoperative serum AST level ( $\geq 40$ U/L)	126 (27.51)	263 (42.28)	<0.0001
Preoperative serum LDH level ( $\geq 250$ U/L)	66 (14.41)	98 (15.76)	0.5427
Preoperative serum GGT level (U/L)			<0.0001
<60	181 (39.52)	170 (27.33)	
60–180	187 (40.83)	254 (40.84)	
$\geq 180$	90 (19.65)	198 (31.83)	
Preoperative serum TP level (g/L)	70.40 (66.70, 74.60)	69.70 (65.90, 73.20)	0.0175
Preoperative serum ALB level (g/L)	42.00 (39.20, 44.30)	41.25 (38.90, 43.60)	0.0165
Preoperative serum GLB level (g/L)	28.10 (25.40, 32.00)	28.10 (25.30, 31.00)	0.4414
Preoperative serum pALB level (g/L)	228.00 (170.00, 278.00)	219.00 (166.00, 268.00)	0.2304
Preoperative serum fibrinogen concentration (g/L)	2.87 (2.29, 3.80)	2.76 (2.24, 3.52)	0.0467
APTT (s)	26.70 (24.60, 29.70)	27.50 (25.10, 30.30)	0.0074
TT (s)	19.00 (18.10, 19.90)	19.30 (18.40, 20.20)	<0.0001
PT (s)	11.40 (10.80, 12.20)	11.50 (11.00, 12.30)	0.0798
INR	0.95 (0.90, 1.01)	0.97 (0.92, 1.02)	0.0461
Child-Pugh grade			0.9385
Grade A	454 (99.13)	618 (99.36)	
Grade B	4 (0.87)	4 (0.64)	
HCV-Ab (positive)	6 (1.31)	9 (1.45)	0.8493
HBs-Ag (positive)	190 (41.48)	360 (57.88)	<0.0001
HBs-Ab (positive)	166 (36.24)	172 (27.65)	0.0026
HBe-Ag (positive)	31 (6.77)	92 (14.79)	<0.0001
HBe-Ab (positive)	246 (53.71)	380 (61.09)	0.0152
HBc-Ab (positive)	369 (80.57)	549 (88.26)	0.0005
Liver segment count excised			<0.0001
3	288 (62.88)	261 (41.96)	
3+	45 (9.83)	50 (8.04)	
4	99 (21.62)	219 (35.21)	
4+	18 (3.93)	64 (10.29)	
$\geq 5$	8 (1.75)	28 (4.50)	
The segment of liver planned for resection has atrophied (yes)	124 (27.07)	124 (19.94)	0.0058
The planned reserved segment of the liver has developed compensatory hyperplasia (yes)	21 (4.59)	32 (5.14)	0.6740
Pathological diagnostic classification			<0.0001

Table 1 (continued)

Table 1 (continued)

Characteristics	Non-PHLF (N=458)	ISGLS-PHLF (N=622)	P value
Hepatocellular carcinoma	172 (37.55)	363 (58.36)	
Intrahepatic cholangiocarcinoma	106 (23.14)	98 (15.76)	
Hepatic hemangioma	24 (5.24)	24 (3.86)	
Intrahepatic cholelithiasis	115 (25.11)	98 (15.76)	
Metastatic hepatoma	11 (2.40)	12 (1.93)	
Other space-occupying diseases of the liver and biliary system	30 (6.55)	27 (4.34)	

Data are shown as n (%) or median (range). Na+, sodium; K+, potassium; TBIL, total bilirubin; DBIL, direct bilirubin; IBIL, indirect bilirubin; TBA, total bile acid; ALT, alanine aminotransferase; AST, aspartic acid transferase; LDH, lactate dehydrogenase; GGT, gamma-glutamyl-transferase; TP, total protein; ALB, albumin; GLB, globulin; pALB, prealbumin; APTT, activated partial thromboplastin; TT, thrombin time; PT, prothrombin time; INR, international standardized ratio; HCV-Ab, hepatitis C antibody; HBs-Ag, hepatitis B surface antigen; HBs-Ab, hepatitis B surface antibody; HBe-Ag, hepatitis B e antigen; HBe-Ab, hepatitis B e antibody; HBc-Ab, hepatitis B core antibody.

goodness-of-fit test ( $\chi^2=6.57$ ,  $P=0.5835$ ) indicated that the prediction model was well calibrated. Details of model performance in the validation cohort and internal validation with resampling method are shown in *Table 3*, *Figure S1*, and *Figure S2*.

The total points of each patient in the total cohort was calculated based on the nomogram. The box plot showed significant difference between all pairwise cases except for grade B vs. grade C cases (*Figure S3A*). The ROC analysis for the prediction of each grade of PHLF indicated that patients with higher total points in the prediction model was likely to have higher grade of PHLF ( $\geq$  grade A, AUC 0.724, cut-off points 172.63;  $\geq$  grade B, AUC 0.792, cut-off points 188.57;  $\geq$  grade C, AUC 0.821, cut-off points 209.02; *Table 4* and *Figure S3B*). Additionally, ROC analysis was conducted in subgroups of pathological diagnostic classification and revealed that the AUC in subgroup with tumor disease was similar to that of the total cohort (*Figure S4*).

### **Risk factors associated with other hepatectomy-related complications**

Data from this group show that as the scope of hepatectomy increases, the number of risk factors for postoperative ascites, PHBL, and PHH are higher, and the risk of postoperative massive pleural effusion increases. Patients with a history of preoperative chemotherapy,  $>4$  resected liver segments, or resected liver lobes that have developed atrophy are at an increased risk of developing PHBL. This study also found a significantly higher risk of PHBL in

patients without cirrhosis than in patients with cirrhosis, suggesting that cirrhosis was a protective factor in reducing PHBL in patients who underwent MLR.

The risk of postoperative intra-abdominal abscesses was higher in patients who developed atrophy of the liver lobe indicated for preoperative resection and/or patients who have developed compensatory hyperplasia of the liver lobe indicated for retention than in patients without these conditions (*Table S3*). High preoperative serum level of GGT is a risk factor of not only PHLF, but also postoperative intra-abdominal abscesses when the preoperative GGT value exceeds three times the upper limit of the normal value in laboratory test.

Patients with higher preoperative serum lymphocyte counts are also at increased risk for postoperative intra-abdominal abscesses. An increased risk of PHH occurs when the preoperative thrombin time is significantly prolonged or the serum hemoglobin concentration is below 130 g/L. Patients with low preoperative serum prealbumin concentrations are more likely to develop massive pleural effusion and ascites after hepatectomy. In addition, patients with low preoperative serum sodium levels are prone to postoperative massive pleural effusion (*Table S3*).

## **Discussion**

### **Limitations**

Our study focused on a population with different backgrounds of hepatobiliary system disease, enrolled patients undergoing MLR as a study subject, clarified the



**Table 2** Final prediction model of posthepatectomy liver failure in the derivation cohort

Variable	Parameter estimate	Adjusted OR (95% CI)	P value
Gender			
Male	–	Ref	
Female	–1.0763	0.341 (0.239–0.486)	<0.0001
Age	0.0291	1.030 (1.017–1.043)	<0.0001
Preoperative serum platelet count ( $\times 10^9/L$ )	–0.0020	0.998 (0.996–1.000)	0.0277
Preoperative serum creatinine level (umol/L)	–0.0143	0.986 (0.974–0.997)	0.0153
Preoperative serum GGT level (U/L)			
<60	–	Ref	
60–180	0.0934	1.098 (0.799–1.508)	0.5640
$\geq 180$	0.5418	1.719 (1.176–2.512)	0.0051
Preoperative serum fibrinogen concentration (g/L)	–0.2237	0.800 (0.685–0.934)	0.0047
TT (s)	0.1074	1.113 (1.014–1.223)	0.0251
HBe-Ag			
Negative	–	Ref	
Positive	0.6348	1.887 (1.184–3.007)	0.0076
Liver segment count excised			
3	–	Ref	
3+	0.2658	1.304 (0.814–2.090)	0.2690
4	0.8440	2.326 (1.696–3.189)	<0.0001
4+	1.3119	3.713 (2.099–6.568)	<0.0001
$\geq 5$	1.4121	4.105 (1.768–9.531)	0.0010

The criterion for variables in *Table 1* included in multivariable logistic regression is: P value of univariate analysis  $\leq 0.20$ . The backward stepwise selection method was used in multivariable logistic regression ( $\alpha_{\text{sis}}=0.05$ ). OR, odds ratio; GGT, gamma-glutamyltransferase; TT, thrombin time; HBe-Ag, hepatitis B e antigen.

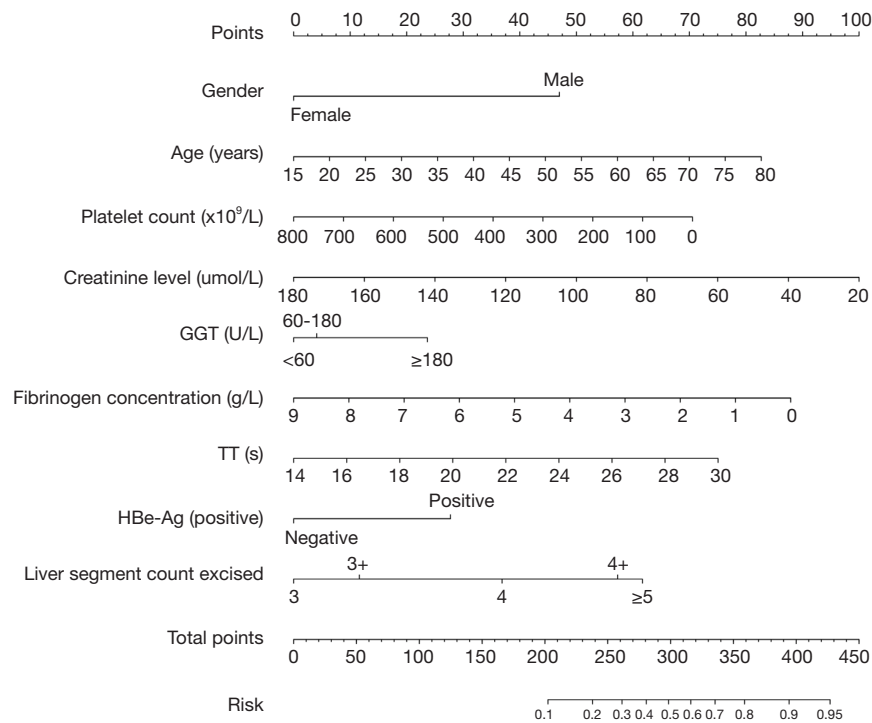
status of postoperative complications through a large cohort analysis, and attempted the feasibility of constructing a predictive model for PHLF using common information such as demographic information and clinically accessible preoperative laboratory test values.

This study has some limitations. First, the AUC value of the PHIL prediction model established in this study remains suboptimal ( $<0.80$ ). We also tried to increase the number of factors by including variables, such as intraoperative hemorrhage, whether the Pringle maneuver was performed and the total Pringle time, intraoperative hemorrhage, blood transfusion, etc., which may have significant effects on PHLF, in the analysis and established a prediction model of PHLF, but the results showed that the AUCs of the

prediction model were also less than 0.8. The above results indicate that other unclear risk factors are still affecting the occurrence of PHLF and included in the prediction model. Second, this study established a prediction model for PHLF based solely on the results of a single-center large cohort analysis. While the predictive efficacy of the model has been validated by an internal cohort, the validity and accuracy of the predictive model is subject to validation by an external cohort and further prospective studies.

### Interpretation

The liver, as a key hub for many physiological processes, is one of the vital organs of the body. Liver-regulated



**Figure 2** Nomogram for the prediction model of posthepatectomy liver failure. GGT, gamma-glutamyltransferase; TT, thrombin time.

**Table 3** Performance for prediction model of posthepatectomy liver failure

Performance index	Derivation cohort	Validation cohort	Internal validation-corrected index (95% CI)*	
			Derivation cohort	Validation cohort
AUC (95% CI)	0.726 (0.696–0.760)	0.717 (0.663–0.770)	0.711 (0.682, 0.739)	0.718 (0.666, 0.765)
Intercept	0.000	0.000	0.021 (–0.113, 0.154)	–0.012 (–0.286, 0.218)
Slope	1.000	1.000	0.92 (0.783, 1.067)	1.019 (0.76, 1.348)
Brier scaled	0.207	0.207	0.213 (0.203, 0.224)	0.209 (0.194, 0.229)
R <sup>2</sup>	0.202	0.180	0.176 (0.124, 0.223)	0.18 (0.088, 0.254)

\*, the 1,000 bootstrap resampling method was implied to perform internal validation in the derivation and validation cohort. AUC, area under receiver operating characteristic curve.

physiological functions include macronutrient metabolism, blood volume regulation, immune system support, endocrine control of growth signaling pathways, lipid and cholesterol homeostasis, and breakdown of exogenous compounds including many current drugs (13). Viral hepatitis, drug-induced liver injury, hepatectomy, and other factors can cause temporary or permanent loss of liver function, and this pathophysiological state is called liver failure. Severe liver failure increases the patient’s risk to secondary multiple organ failure and death. Currently, the residual liver volume of 25–

30% is generally used in clinical practice as the lower limit of necessary postoperative liver function in patients with normal liver function, while the minimum postoperative residual liver volume of patients with liver function impairment should not be less than 40% (14). However, it is difficult to accurately estimate the future residual liver volume before surgery using conventional imaging examination, and the risk factors associated with PHLF are not limited to the scope of hepatectomy. MLR is widely performed in hepatocellular carcinoma, hepatic hemangioma, cholelithiasis, and

**Table 4** Receiver operating characteristic analysis of prediction model for predicting the PHLF grade in total cohort

Performance index	Grade $\geq$ A	Grade $\geq$ B	Grade $\geq$ C
ROC	0.723 (0.697–0.750)	0.792 (0.735–0.850)	0.821 (0.720–0.922)
Cut-off*	172.63	188.57	209.02
Specificity	0.741 (0.706–0.777)	0.702 (0.679–0.726)	0.848 (0.830–0.867)
Sensitivity	0.604 (0.572–0.638)	0.778 (0.667–0.873)	0.714 (0.524–0.905)
Accuracy	0.661 (0.637–0.686)	0.705 (0.683–0.728)	0.847 (0.829–0.865)
Negative predictive value	0.573 (0.550–0.597)	0.986 (0.979–0.992)	0.995 (0.992–0.998)
Positive predictive value	0.765 (0.738–0.792)	0.107 (0.092–0.122)	0.066 (0.047–0.083)

\*, the optimal cut-off value of total points of prediction model were selected based on the Youden index.

other hepatobiliary diseases. Given the high volume of hepatectomy, the risk of PHH, PHLF, and even death is bound to increase, so the necessity, rationality, and related risks of MLR always affect the clinical decision-making of hepatobiliary surgery. Therefore, if the risk factors related to PHLF after MLR can be identified through preoperative routine imaging and laboratory examination and the risk of PHLF can be rapidly and accurately predicted, high-risk patients can be identified, which is essential for accurate planning of MLR.

The reported incidence of hepatectomy-related PHLF ranged from 0% to 43.1% (2). Thus far, many definitions and diagnosis systems are used for hepatectomy-related PHLF in clinical application, such as the “50-50 criteria” (15), peak total bilirubin criteria (16), and so on (6), which makes it difficult to conduct intuitive comparison and analysis of relevant studies with different standards. The unification of criteria and parameters for judging complications of hepatectomy is helpful to compare the results of different studies reliably, to evaluate the rationality of individualized hepatectomy schemes for different populations, and ultimately to provide reference for patients with the best interventional treatment. In 2010, the ISGLS defined PHLF as a postoperative deterioration in the ability of the liver to maintain its synthetic, excretory, and detoxifying functions, which are characterized by an increased international normalized ratio and concomitant hyperbilirubinemia on the operation day or days after the operation (6). Based on the analysis and study of relevant literature, ISGLS has formulated a concise and practical definition and grading of PHLF, and its rationality has been confirmed gradually by other studies (7). In this study, 1,441 MLR cases were analyzed using the ISGLS-PHLF definition and grading criteria, and the incidence of PHLF

in this group was 58.22%, implying that PHLF was not an adverse medical event with small probability in the patient population undergoing MLR. Overall, however, even if PHLF occurs following MLR, most of the patients have grade A PHLF (92.49%) that is not life threatening and does not require a change in clinical treatment pathway, and the rate of severe grade C PHLF is only 1.46%. Meanwhile, none of the other hepatectomy-related complications that required a change in clinical treatment pathway had an incidence of >10% and a total perioperative mortality rate of 1.32%.

Tzeng *et al.* found that surgical experience and volume, hospital-specific surgical safety culture, hepatectomy scope, and patient factors were the four main risk factors that were strongly associated with complications of hepatectomy (17). Compared with the results of other similar studies (i.e., perioperative mortality rate of 2.99–13.47%) (2), our study demonstrates that the incidence of MLR-related adverse events can be restricted to a low level in high-volume hepatobiliary surgery centers, which is consistent with other research findings (18,19).

Extensive hepatectomy has been found to be strongly associated with PHLF in a number of previous similar studies and has been incorporated into the PHLF prediction model (1,20–23). We have sought to achieve two main objectives in this study: (I) analyze sample data according to the ISGLS definition criteria, and we hope that more similar studies using the same research criteria will facilitate future controlled analysis of the results from different centers, (II) explore the establishment of a preoperative predictive model for liver failure after extensive hepatectomy, especially severe liver failure, using easily accessible preoperative clinical routine information on patients, and to validate the accuracy of the model to clarify the ease and

reliability of the predictive model for clinical application. In the multivariate analyses, age, gender, preoperative serum platelet, creatinine, GGT, fibrinogen, HBe antigen positive, and number of resected liver segments were independent prognostic factors of PHLF for MLR patients, which were included ultimately in the nomogram. Some of these risk factors have been reported in other previous studies.

Several studies have found that male patients are more likely to develop PHLF (21,24,25). Tzeng *et al.* (17) and Filicori *et al.* (24) both found a higher risk of serious complications after hepatectomy on older patients than on middle-aged patients. HBe antigen can accelerate liver injury by promoting the production of inflammatory cytokines (26). Liver tissue biopsies of patients who had been serum HBe antigen positive for more than 6 months revealed a state of persistent progression of liver tissue injury, even though the patients had a low serum viral load (<2,000 IU/mL) (27). Groeneveld *et al.* confirmed that the low level of plasma fibrinogen after MLR was associated with liver dysfunction and mortality in patients who underwent hepatectomy. In addition, they found that coagulation-dependent intrahepatic fibrin(ogen) deposition is a new mechanism to promote platelet aggregation and liver regeneration after partial hepatectomy (28).

Many studies reported about the correlation between preoperative serum creatinine level and PHLF (20,29-32). A study of PHLF after MLR reported that the change trend of creatinine and phosphate levels between the day of operation and the first day after operation can determine whether patients are at risk of PHLF and death (33). Similar to the above results, our statistical analysis revealed a significant correlation between preoperative serum creatinine concentration and PHLF, which was eventually incorporated into the prediction model of PHLF. However, we found that the preoperative increase in serum creatinine concentration in the univariate analysis was positively correlated with PHLF ( $P=0.027$ ), while the two presented a negative correlation after multivariate analysis. The reason may be related to Simpson's paradox caused by the difference in the PHLF composition ratio between male and female patients our study (Table S4) (34).

Recently, platelets have shown critical importance during human liver regeneration (35,36). There may be several mechanisms by which platelets promote liver regeneration after hepatectomy. A study found that the specific interaction between sinusoidal-endothelial cells and platelets was one of the key events that required sufficient regenerative response (37). Animal and human experiments

have found that platelet-derived serotonin initiated liver regeneration after hepatectomy (38-40). Han *et al.* found that platelet counts and volume of platelet transfusion during liver transplantation were positively associated with early graft regeneration and that the association between platelets and post-transplantation graft regeneration was mediated by serotonin (41). The role of platelets in promoting liver regeneration after hepatectomy was also verified in a number of studies on the relationship between thrombocytopenia and liver dysfunction or failure (9,23,42,43). In the present study, preoperative platelet levels were negatively correlated with the risk of PHLF after MLR, showing that the risk of PHLF was higher when preoperative platelet levels were lower.

GGT is a transferase, and its major function is to enable metabolism of glutathione and glutathionylated xenobiotics (44,45). In the present study, multifactorial analysis showed that GGT was strongly associated with PHLF and found that the risk of PHLF was significantly elevated when patients had preoperative GGT more than three times the upper limit of the normal value in laboratory test. A recent study also found that elevated GGT was linked to an increased risk to a multitude of diseases and conditions, including cardiovascular disease, diabetes, metabolic syndrome, and all-cause mortality. The literature from multiple population groups worldwide consistently shows strong predictive power for GGT (46). The GGT-to-platelet ratio (GPR) is a noninvasive marker for assessing liver fibrosis. Liu *et al.* found that incorporating GPR into the model for end-stage liver disease may provide a more accurate survival prediction of 90 days in patients with acute-on-chronic liver failure (47). These findings strongly suggest that GGT may be a valid biomarker of liver reserve function and should be valued prior to hepatectomy.

As strengths of the present study, we recruited a large cohort to establish a stable predictive nomogram and internally validated. Although we know that AUCs are generally used to assess the accuracy of diagnostic models, if there are limitations in the multifactorial correlation analysis of risk factors associated with prediction models, then even if the results of the AUCs based on multifactorial analysis are statistically significant, the accuracy and practical application of prediction models is still not assured. Misleading results are generally the main outcome of research that does not validate its prediction models. Therefore, to cover the potential risk factors for liver failure after hepatectomy as much as possible, we selected 48 analytic indicators for inclusion in this study based on

literature reports and clinical practice. In addition, we cross-validated both the derivation cohort and the validation cohort of the predictive model using 1,000 bootstraps. In this study, we also investigated the predicted AUCs and cut-off scores for different grades of PHLF in the whole population based on the ROC curve.

### Implications

This study elucidates the current status of the major postoperative complications of MLR and their associated risk factors based on the results of a cohort analysis of a large sample. Although studies have shown that the risk of PHLF is significantly higher in liver resection range of  $\geq 4$  liver segments than in liver resection range of  $< 4$  liver segments, the overall risk of serious adverse medical events after MLR resection that threaten patient recovery remains low. The results of the relevant studies contribute to the implementation of clinical decision-making for the rationalization of MLR.

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study conformed to the provisions of the Declaration of Helsinki (as revised in 2013). This study has been approved by the Ethics Committee of Eastern Hepatobiliary Surgery Hospital (Ethics Audit No. EHBHKY2020-K-004). Each patient signed the clinical study informed consent form in person or by proxy.

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