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The application of Levulan®-based photodynamic therapy with imiquimod in the treatment of recurrent basal cell carcinoma

Authors' Contribution:

- A Study Design
- B Data Collection
- C Statistical Analysis
- D Data Interpretation
- **E** Manuscript Preparation
- F Literature Search
- **G** Funds Collection

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Summary

Background:

Common skin tumors like basal- and squamous-cell carcinoma present a serious problem in modern medicine. Exposure to ultraviolet solar radiation is the main cause of these lesions. Since application of Aldara® and PDT separately is well documented, we decided to use both methods together.

The aim of our study was to evaluate the effectiveness of local photodynamic therapy supplemented with topical application of Aldara® in basal-cell carcinoma.

Material/Methods:

Thirty-four patients ages 50 to 68 years were enrolled to the trial and underwent PDT treatment. Each case of BCC was histopathologically confirmed. Ten patients were subjected to local Levulan®-PDT and placebo (Eucerin as vehicle cream), and 24 patients were subjected to Levulan®-PDT and imiquimod. Photodynamic diagnosis (PDD) was used to detect and visualize suspicious foci (including cancer lesions).

Results:

In the group of patients who were treated using Levulan®-PDT and placebo, 6 patients (60%) were totally cured and 4 lesions (40%) significantly decreased in size. In the group of patients treated with Levulan®-PDT and imiquimod, 18 lesions totally disappeared (75%), 6 lesions significantly diminished, and in 1 patient small foci of previously excised BCC developed again in scar tissue 10 month after the first control examination.

Conclusions:

Cure was achieved without any scarring and with very good cosmetic effects. Although this is the preliminary report, the presented modification of PDT seems to be reasonable and promising in treating basal-cell carcinoma.

key words:

photodynamic therapy • Levulan® • imiquimod • Aldara® • basal-cell carcinoma

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BACKGROUND

Common skin tumors like basal- and squamous-cell carcinoma present a serious problem in modern medicine. Exposure to ultraviolet solar radiation is the main cause of these lesions. It is confirmed that a variety of biological consequences such as increases in skin cancer or cataract may result from the increased UV exposure due to ozone depletion and atmospheric ozone hole [1,2]. Sunlight is not the only source of ultraviolet rays. UV radiation may also be produced by artificial sources, for example by quartz lamps frequently used in tanning parlors. Carcinogenesis of skin tumors is very often associated with chronic exposure to UV radiation, and frequent sunburns in childhood may lead to skin cancer in later age. UV-A and UV-B radiation are responsible for photoaging, an accelerated aging of skin [3]. Photoaging is characterized by loss of skin elasticity, roughness, deep wrinkles and pigmentations. The pathogenesis of these changes is associated with free radical generation upon UV irradiation. Free radicals are responsible for damage of cellular DNA that may lead to carcinogenesis.

One of the most common malignant skin tumors is basal cell carcinoma (BCC). This constitutes a majority (70%) of all malignant skin tumors. BCC most commonly occurs in middle-aged and elderly persons (50-70 years old), mainly in Caucasians who were exposed to sunlight radiation. One of the most important biological features is low degree of malignancy and low rate of local growth; however, over time it may contribute to local destruction of surrounding tissues, resulting in deep ulcerations. BCC is mainly located on the skin exposed to UV light, especially on the head, neck and limbs. More dynamic growth is characteristic of lesions located on the nose and on eyelids. These lesions more often recur after incomplete surgical treatment, but generally BCC has a good prognosis. Surgery, cryosurgery, electrodissection and CO, laser therapy are typical treatment methods [4-8].

Photodynamic therapy (PDT) comprises 2 main agents: light and a specific chemical compound called a photosensitizer. Photosensitizers have a high affinity to malignant cells and after absorption of energy from light they shift into an excited state. A photosensitizer in the excited state causes photochemical reactions that lead to necrosis and apoptosis in pathological tissues. For many years PDT was used to detect and to treat different lesions located in the skin and mucosa [9–13]. Porphyrins are the most commonly used photosensitizers. Other widely used compounds are chlorins and Levulan®. Photosensitizers show a high affinity to rapidly proliferating cells such as atypical and cancer cells.

In the present study we used Levulan® as a natural precursor of porphyrins, which is metabolized *in vivo* into protoporphyrin IX (Pp IX). Protoporphyrin IX is an acting photosensitizer in this case. Since 1990, aminolevulinic acid (ALA) as a precursor of Protoporphyrin IX was frequently used in local PDT. At present, ALA is successfully applied in treatment of basal cell carcinoma, squamous cell carcinoma (SCC), solar keratosis, and urothelial cancer of the urinary bladder [14–16].

Aldara® is a commercial name of 5% imiquimod cream. Imiquimod belongs to the imidazochinolon family. Topical

application on the skin results in inflammation due to activation of immunological response. Tau-like receptors of dendritic cells and macrophages under the influence of imiquimod respond with secretion of cytokines and chemokines such as interferon (INF-α, INF-γ), TNF-α, and interleukins (IL-1, IL6, and IL-8). Moreover, imiquimod stimulates Langerhans cells to present antigens of transformed cells to lymphocytes T in regional lymph nodes. Using natural mechanisms of the immune system, Aldara® eliminates pathologic cells. At present, Aldara® is approved for treating condyloma of the genital organs, solar keratosis and superficial form of basal cell carcinoma (BCC). Imiquimod efficiently destroys clinical and subclinical lesions via its dual immune-modifying properties. Uniquely, imiquimod abolishes immunosuppressive phenomena generated by exogenous factors that allow precancerous and cancerous lesions to propagate.

Since the uses of imiquimod and PDT separately are well documented, we decided to combine both methods. The aim of our study was to evaluate the effectiveness of local photodynamic therapy supplemented with topical application of Aldara® in treatment of basal cell carcinoma.

MATERIAL AND METHODS

Thirty-four patients ages 50 to 68 years were enrolled into the trial and underwent PDT treatment. Each case of BCC was histopathologically confirmed. All these cases were previously treated using routine methods (cryosurgery, laser therapy or surgical excision) without satisfactory results. All patients were in good health without any systemic diseases. Patients did not use steroids (locally or systemically), interferon or chemotherapy. Lesions were located on the skin of the face (nose, nasolabial sulcus, cheek, suborbital region) with common BCC features: small, pearl-like nodules, with medium diameter of 0.5 cm, sometimes with erosions on the surface, with bleeding upon rubbing. Some lesions were located in the scar left after previous surgical treatment.

A double-blind, placebo-controlled group was used. Patients were divided into 2 random groups: 10 patients were subjected to local PDT and placebo (Eucerin as vehicle cream), and 24 patients were subjected to PDT and imiquimod. We used Levulan® (DUSA Pharmaceuticals, Inc) as the photosensitizer precursor. The photosensitizing agent was prepared immediately prior to its use. Lesions after Levulan® application were protected from direct light exposure using an occlusion dressing which was removed before irradiation. Irradiation was performed after 4 hours using halogen lamp (Teclas, Switzerland). Total time of irradiation was 30 minutes (2×15 minutes), wavelength was 635±20 nm and total energy dose was 100 J/cm². PDT was repeated after 48 hours. Imiquimod (Aldara®) was topically applied in 24 patients 72 hours after irradiation and then applied again twice a week before sleep for 5 weeks. Ten patients received placebo (vehicle only), which was applied in the same manner as imiquimod.

Photodynamic diagnosis (PDD) was used to detect and visualize suspicious sites (including cancer lesions) that were not seen during the routine examination using white light. It comprised local application of precursor, which, after an uptake by cancer cells and irradiation at 405 nm



Figure 1. The result of white light examination.

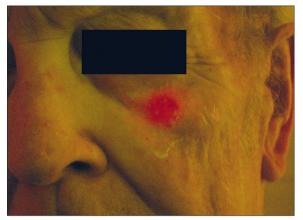


Figure 2. The effect of PDD session.

Table 1. Results of treatment of the basal cell carcinoma using photodynamic therapy with and without imiquimod.

Number of patients	Type of therapy	Results after 6 weeks (first control examination)	Number of recurrences	BCC localisation	Final time after which recurrence occurred
10	PDT and placebo	Completely cured 6 (60%)	0	_	_
		Significant reduction 4 (40%) —	1	Scar	8 months
			2	Nose	6 months
24	PDT and imiquimod (Aldara)	Completely cured 18 (75%)	1	Nose	15 months
		Significant reduction 6 (25%)	1	Scar	10 months

wavelength, emitted red fluorescence. PDD was performed using Levulan®. The source of irradiation was a Wood lamp. Lesions after application of precursor were covered using occlusion dressing and after 2 hours irradiated for 3–4 minutes. Each patient was subjected to PDD after 6 weeks from the end of therapy. PDD was repeated every 2 months during the next 14 months. Upon UV irradiation, cancer sites emitted red fluorescence and the tumor borders were found to spread beyond the area seen in the white light.

RESULTS

The size of lesions was estimated during routine examination in white light and during the PDD session. The result of white light examination is shown in Figure 1, and the effect of the PDD session is shown in Figure 2. The size of lesion during the PDD session was found to be larger and more irregular than during the white light examination.

Most patients during and after PDT complained of erythema and edema that persisted for several days. Patients complained of increasing symptoms such as burning sensation, itching, painful and large edema, and strong irritation of skin, with erosions, after each application of imiquimod. Our study reveals that combination of PDT and Aldara® may increase adverse effects of PDT, such as burning and edema. The skin erosion is attributed to Aldara® application. Due to previously mentioned reasons, 4 patients had to apply imiquimod only once a week. These adverse effects disappeared after completion of therapy with imiquimod, and treated sites scabbed. Local adverse effects

typical for imiquimod in the group of patients treated with PDT and placebo were not observed. Results of treatment are shown in Table 1.

In the group of patients who were treated using PDT and placebo, 6 patients (60%) were totally cured and 4 lesions (40%) significantly decreased in size. BCC located on the nose increased after 6 months in 2 patients and recurrent tumor in the scar left after previous surgical excision was observed in 1 patient (Table 1).

In the group of patients treated with PDT and imiquimod, 18 lesions (75%) totally disappeared, and 6 lesions significantly diminished. In 1 patient small foci of previously excised BCC developed again in the scar after 10 months from the first control examination.

Cure was achieved without any scarring and with very good cosmetic effects.

DISCUSSION

There is ample evidence supporting the dangers of UV radiation, especially within the context of skin cancer [17]. The popularity of sunbathing and tanning parlors, and chronic sun exposure associated with professional activity results in an increase in a high morbidity rate of skin cancer in middle-aged people [18]. Localization of lesions in the exposed parts of the body, especially on the face, is an important esthetic problem. Patients who are still professionally active expect to receive dermatology treatment that gives

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the best cosmetic effects. The main method of skin cancer therapy for decades was surgical excision, very often associated with skin graft transplantation. The main complication after surgical excision is scarring. CO, laser and cryosurgery were added to the arsenal of methods used against skin cancer in recent years, but each of these methods leaves scarring. Unfortunately, skin cancers are very often irregular in shape and invade beyond the tumor borders that are clearly visible in routine examination. This irregular shape and difficulties in complete removal of a lesion using standard methods may result in frequent recurrences. Surgical excision usually requires a margin 3 to 10 mm wide, but using dermoscopy-guided surgery this may be reduced to 2 mm [19]. Some authors, however, presume that wide margins of excision are not necessary [20]. Moreover, BCCs located on the nose and around the orbit are usually more aggressive than tumors located in other sites. Therefore, it is very important to find an effective therapy that also yields good cosmetic effects.

PDT, as a local treatment method, was used for many years with success in cases of skin precancerous lesions and skin cancers. Levulan®-based PDT (Levulan®-PDT) enables destruction of pathological lesions which are too small to be visible on routine examination. Singlet oxygen and free radicals generated during PDT are responsible for cytotoxic effects. PDT is also responsible for destruction of vessels and induction of immunological response against cancer cells. One of many advantages of PDT is the lack of significant adverse effects (besides burning and edema during PDT and within the next 24 hours). Other advantages are the possibility of repeating and healing without scarring and ease of use. A clear disadvantage of Levulan®-PDT is weak penetration of Levulan® into skin.

The other indication for application of Levulan®-PDT is a superficial lesion on the skin, such as solar keratosis.

PDT and PDD can be performed at almost the same time. Our study showed that PDD enables estimation of the true shape of a lesion and allows the microfoci of the tumor to be found.

Aldara® is often used in dermatological lesions, such as warts, condyloma of reproductive organs and the superficial form of BCC or SCC [21–24]. Imiquimod is a strong modulator of immunological response. It induces inflammatory reactions and is responsible for synthesis of cytokines such as interferon [25]. Due to its effects on immunological memory, imiquimod may protect patients against recurrences of lesions, and it is used as an additional agent in cases of incomplete surgical excision [26]. Cryosurgery modified by imiquimod application also showed promising results in BCC treatment [27].

Other studies indicated that PDT in treatment of superficial BCC is effective in 76–97% of cases [28–30]. PDT is generally more effective in treating superficial BCC than nodular BCC [31]. In cases of the nodular form of BCC, $\rm CO_2$ laser surgery and PDT appear to play a synergistic role in the treatment of that lesion [32].

In this study we treated patients only with recurrent form of BCC. The BCC in that localization shows more aggressive

growth with recurrences, therefore our previous efforts in BCC eradication resulted in only 60% complete responses. We decided to improve PDT efficacy with addition of a local immunomodulator [33]. This combined therapy enables induction of local natural immunological mechanisms. The 75% success rate obtained in the group treated with PDT and imiquimod confirmed our assumption. Moreover, the synergistic effect of Aldara® on immunological response cells seems to decrease the number of BCC recurrences (1 recurrence after 10 months and 1 after 15 months; see Table 1).

The combination of local PDT and imiquimod results in higher efficiency of BCC treatment in cases of previous unsuccessful treatment such as surgery, cryosurgery and laser therapy. There is one more important benefit from combined therapy – the high level of acceptance by treated patients. Very good cosmetic effect without scarring is the most important advantage of this therapy. Moreover, patients enjoyed knowing that PDT may be repeated at any time.

CONCLUSIONS

Lack of adverse effects after PDT and very good cosmetic outcomes positively influence the psychological condition of a patient. Patients confirmed their readiness to undergo repeated therapy in case of any recurrence, but they refused other treatment methods, such as routine surgical excision. At present, dermatological patients are younger than in the past and physical appearance is very important for such young people. In our opinion the combination of PDT with local immunomodulator seems to be an effective method of treatment in such group of patients.

Although this is a preliminary report, the presented modification of PDT seems to be reasonable and promising in treating basal-cell carcinoma.

Conflicts of interest

No conflicts of interest are declared.

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