

# Association Between *CTSK* Gene Polymorphisms and Response to Alendronate Treatment in Postmenopausal Chinese Women with Low Bone Mineral Density

Hu Yuan<sup>1,\*</sup>, Caihong Wang<sup>2,\*</sup>, Li Liu<sup>3</sup>, Chun Wang<sup>3</sup>, Zhenlin Zhang<sup>3</sup>, Shen Qu<sup>4</sup>

<sup>1</sup>Department of Endocrinology, The Affiliated Suzhou Hospital of Nanjing Medical University, Suzhou Municipal Hospital, Gusu School, Nanjing Medical University, Suzhou, 215001, People's Republic of China; <sup>2</sup>Department of Cardiology, The Affiliated Suzhou Hospital of Nanjing Medical University, Suzhou Municipal Hospital, Gusu School, Nanjing Medical University, Suzhou, 215001, People's Republic of China; <sup>3</sup>Shanghai Clinical Research Center of Bone Disease, Department of Osteoporosis and Bone Disease, Shanghai Jiaotong University Affiliated Sixth People's Hospital, Shanghai, 200233, People's Republic of China; <sup>4</sup>Department of Endocrinology and Metabolism, Shanghai Tenth People's Hospital, Clinical Medical College of Nanjing Medical University, Shanghai, 200072, People's Republic of China

\*These authors contributed equally to this work

Correspondence: Zhenlin Zhang; Shen Qu, Email zhangzl@sjtu.edu.cn; qushencn@tongji.edu.cn

**Purpose:** The aim of this study was to explore the association between *CTSK* polymorphisms and the response to alendronate treatment in postmenopausal Chinese women with low bone mineral density.

**Patients and Methods:** In this study, 460 postmenopausal women from Shanghai were included. All of them were treated with weekly oral alendronate 70 mg, daily calcium 600 mg and vitamin D 125 IU for a year. Four tag single nucleotide polymorphisms (SNPs) in *CTSK* gene were genotyped. Bone mineral densities of lumbar spine (L1-L4), femoral neck and total hip were measured at baseline and after 12 months of treatment, respectively.

**Results:** After 1-year of treatment, there was no significant differences in BMI between baseline and follow-up. After alendronate treatment, the BMD of L1-4, femoral neck and total hip all increased significantly (all  $P < 0.001$ ), with average increases of  $4.33 \pm 6.42\%$ ,  $1.85 \pm 4.20\%$ , and  $2.36 \pm 3.79\%$ , respectively. There was no significant difference in BMD at L1-L4, the femoral neck and total hip between different genotype groups at baseline ( $P > 0.05$ ). After 1-year treatment with alendronate, rs12746973 and rs10847 were associated with the % change of BMD at L1-L4 ( $P = 0.038$ ) and % change of BMD at femoral neck ( $P = 0.038$ ), respectively. Furthermore, rs10847 was associated with BMD response at femoral neck ( $P = 0.013$ ). However, the associations were not significant after Bonferroni correction.

**Conclusion:** We concluded that the common variations of *CTSK* gene were potentially associated with the therapeutic response to alendronate treatment in Chinese women with low bone mineral density. However, further validation is needed.

**Keywords:** *CTSK* gene, alendronate, postmenopausal, low bone mineral density, osteoporosis

## Introduction

Osteoporosis is a complex disease characterized by reduction of bone mass and strength, destruction of bone micro-structure, increase in bone brittleness and susceptibility to fractures. It is generally believed to be caused by genetic and environmental factors. It tends to occur in postmenopausal and older women. A minor trauma or daily activities can lead to fracture of hip, vertebral body, distal radius and proximal humerus, which is called fragility fracture.<sup>1</sup> The hip fracture is the most important cause of disability and death in the elderly, and the disability rate within a year is 50% and the mortality rate is 20%. In China, the elderly population over 60 years has exceeded 210 million and the population over 65 years is nearly 140 million, making China the country with the largest elderly population in the world.<sup>2</sup>

Early diagnosis and effective treatment of osteoporosis, improving bone density and quality, thereby reducing the risk of fracture, are critical for the prevention and treatment of osteoporotic fractures. There are three types of drugs to treat osteoporosis. The first inhibits osteoclast function and reduces bone resorption. The second promotes the function of osteoblasts and increases bone formation. The third promotes bone formation and inhibits bone absorption.<sup>3</sup> The World Health Organization (WHO) and national guidelines recommend bisphosphonate, a drug that belongs to the first type, for the prevention and treatment of osteoporosis and alendronate is most commonly used. Many trials have suggested that treatment with 70 mg of alendronate weekly for at least one year can reduce bone resorption markers by 50% and increase bone mineral density (BMD) in lumbar spine and hip by 5–8% and 3–5%, respectively.<sup>4–7</sup> However, the efficacy and safety of alendronate vary greatly among individuals, with about 5–10% of patients having poor efficacy, no response, or even adverse reactions.<sup>4–7</sup>

The differences in the responses to alendronate may be related to differences in genetic background between individuals. Some scholars have detected the coding genes of seven key enzymes in the mevalonate pathway, including Mevalonate kinase (MK), Phosphomevalonate kinase (PMVK), Mevalonate decarboxylase (MVD), Isopentenyl pyrophosphate isomerase (IPI), Geranylgeranyl pyrophosphate synthase 1 (GGPS1), Farnesyl pyrophosphate synthase (FDPS) and Farnesyl-Diphosphate Farnesyltransferase 1 (FDFT1), and three genes of OPG/RANKL/RANK system, a total of 67 tag SNPs, and found that the response to alendronate is significantly associated with the patient's genetic background.<sup>6–11</sup> Some enzymes in other pathways of bone metabolism also showed high correlation, such as SOST.<sup>6</sup>

Cathepsins are lysosomal cysteine proteases and papain family members. There are 11 different types of cathepsins (B, C, F, H, K, L, O, S and W, V, X). Cathepsin K (CTSK) is highly expressed in osteoclasts, and is the key bone resorption protease. It is most active in degrading collagen, including collagen type I and collagen type II.<sup>12–14</sup> Osteoporosis and some other diseases of the bones are associated with genetic changes in CTSK.<sup>14–16</sup> Seven genes encoding CTSK were found to be mutated in eight families with pycnodysostosis.<sup>17–19</sup> These mutations can inhibit the degradation of collagen type I so as to increase BMD. In animal studies, mice with deficiency of the *CTSK* gene presented with osteosclerosis.<sup>14,20</sup>

Alendronate is a representative drug for bone resorption. Whether its variance in therapeutic effect is associated with the polymorphisms of the *CTSK* gene is uncertain. Our aim was to study the allelic association of *CTSK* polymorphisms and the response to alendronate treatment in postmenopausal Chinese women with low BMD. This study provides an important basis for the implementation of individualized drug regimen according to different genotypes, so as to achieve the best curative effect for osteoporosis patients, improve the safety and effectiveness of individual drug regimen, which can achieve the therapeutic purpose and also save resources, and has great clinical and social significance.

## Materials and Methods

### Subjects

All participants were recruited by the department of Osteoporosis and Bone Disease in Shanghai Jiaotong University Affiliated Sixth People's Hospital. A total of 460 women were enrolled in this study. All study subjects were postmenopausal women (Han nationality), aged 45–80 years, from Shanghai, with natural menopause for at least one year. All subjects were followed up once a month and assigned drugs. The procedures used during the study were in accordance with the guidelines of the Helsinki Declaration for human studies. This study was approved by the Ethics Committee of the Shanghai Jiao Tong University affiliated to Sixth People's Hospital. All study participants provided written informed consent.

### Inclusion and Exclusion Criteria

Criteria for inclusion (meeting either of the below criteria): (1) Lumbar spine 1–4 or femoral neck or total hip BMD lower than the peak BMD of young women 2.5SD; (2) brittle fracture (fractures from minor trauma).

Criteria for exclusion: (1) other metabolic or inherited bone mineral diseases such as hypoparathyroidism, hyperparathyroidism, deformans osteitis, osteogenesis imperfecta, osteomalacia; (2) Cushing's syndrome, hyperthyroidism, diabetes mellitus; (3) chronic liver disease, chronic obstructive pulmonary disease and chronic kidney disease with

blood creatinine  $>177$  mol/L; (4) Rheumatoid arthritis or took steroids or anticonvulsant for  $>6$  months or other drugs affecting bone metabolism; (5) suffered from gastric ulcer, Crohn's disease and chronic dysentery in recent two years; (6) non-hereditary nerve or muscle disease affecting BMD; (7) sequelae of heart or brain disease affecting limb movements; (8) fracture of non-brittle fracture sites (nose, toes, skull, mandible and phalanges) and fracture resulting from severe trauma; (9) all malignancies; (10) bilateral oophorectomy before 45 years of age; (11) menopause before 40 years of age; (12) brittle fracture with no accurate medical history and X-ray image; (13) previous treatment with calcium, vitamin D, active vitamin D, bisphosphonate, sodium fluoride, calcitonin, sodium fluoride, a selective estrogen receptor modulator, strontium ranelate, or the recombinant form of PTH or current use of hormone replacement therapy; (14) Active gastric or duodenal ulcer, reflux esophagitis or any other disease contraindicated for alendronate use; (15) unable to stand or sit for half an hour; (16) BMI  $<18$  kg/m<sup>2</sup> or  $>30$  kg/m<sup>2</sup>; (17) hypocalcemia (serum calcium (Ca)  $< 2.08$  mmol/l) or hypophosphatemia 130 (serum phosphorus (P)  $< 0.80$  mmol/l); (18) increased serum parathyroid hormone (PTH) levels (normal values: 15–65 pg/mL); (19) inability to understand and follow-up regularly.

## Drug Treatment and Observation in Study Subjects

A total of 460 participants were treated with alendronate (Fosamax, 70 mg/tablet, produced by MSD Hangzhou) one tablet per week and Caltrate D (contains 600 mg of calcium and 125 IU of vitamin D, produced by Pfizer) one tablet daily for one year. The participants were followed-up once every three months and their basic information including height, weight, diet (daily intake of calcium and vitamin D), smoking, alcohol intake, activity volume, fall history, adverse reactions to drugs, fracture and comorbid diseases were recorded.

## BMD Measurements

Lunar prodigy dual-energy X-ray absorptiometry (DXA) densitometer (GE Healthcare, Madison, WI, USA) was used to measure the BMD and size of lumbar spine1-4 (L1-4), proximal part of the femur including the total hip, femoral neck, trochanter and inter-trochanter at baseline and after one year of treatment. BMD was expressed as g/cm<sup>2</sup> and bone size was expressed as cm<sup>2</sup>. The machine was calibrated daily, and the coefficient of variability values of the dual energy X-ray absorptiometry measurements (obtained from triplicate measurements of the same 15 individuals) at L1-4, the total hip and the femoral neck were 1.39%, 0.70% and 2.22%, respectively.<sup>21</sup> The long-term reproducibility of the DXA instrument during the trial based on weekly repeated phantom measurements was 99.55%. The least significant change in BMD at L1-4, total hip and femoral neck were 3.85%, 1.94% and 6.15%.<sup>21</sup> Weight and height were measured using a calibrated balance beam scale and a calibrated stadiometer. The BMI was defined as weight/height<sup>2</sup> in kg/m<sup>2</sup>.

## Genomic DNA Extraction and SNP Testing

Four tag SNPs in *CTSK* gene (rs12085336, rs12746973, rs4379678 and rs10847) were selected from dbSNP (<http://www.ncbi.nlm.nih.gov/snp/>) and HapMap (<http://hapmap.ncbi.nlm.nih.gov/>). All of them were chosen based on the following criteria: (1) minor allele frequency (MAF)  $> 0.05$  and (2) pairwise linkage disequilibrium (LD) exceeding the threshold of 0.8 ( $r^2 > 0.8$ ).

Briefly, 2 mL of peripheral blood was collected from the selected subjects, and genomic DNA was extracted using an automatic genomic DNA instrument. After extraction, the DNA content was determined, and then stored at  $-80$  degrees after unified numbering. All the SNP sites in this study were detected by fluorescence quantitative PCR using the TaqMan probe's 5' nucleotide SNP detection technology. Real-time quantitative PCR was performed on Mx 3000P type instrument (Stratagene, USA), and the primers and probes were designed using Primer Express software and TaqMan<sup>®</sup> SNP genotyping design (Applied Biosystems, CA, USA) provided by ABI.

## Statistical Analyses

Multivariate regression method and the nonparametric test were used to analyze the relationship of BMD and bone conversion between the haploid type with the baseline and after one year treatment. Non-parametric tests (chi-square test, etc.) were used to analyze the therapeutic effect. The primary outcome was the change in BMD in the lumbar spine, hips and femoral neck. Another outcome, the response to treatment, was also observed and the least significant change (LSC)

was used to define response, as per the standards and guidelines<sup>22</sup> established by ISCD 2007 Adult and Pediatric Official Positions.

Hardy-Weinberg equilibrium (HWE) was tested for each SNP. The linkage disequilibrium (LD) coefficient  $r^2$  among all pairs of biallelic loci was tested using Haploview (version 4.2; [www.broad.mit.edu/mpg/hapview/](http://www.broad.mit.edu/mpg/hapview/)). Clinical data were expressed as the mean  $\pm$  SD for continuous variables with symmetrical distributions.

Linear regression analysis was used to test the effects of the qualified SNPs on % change in BMD under the additive genetic model using PLINK (<http://pngu.mgh.harvard.edu/~purcell/plink/>), with adjustment for age and BMI. While for the therapeutic response to alendronate treatment, the association analysis was performed with multi-variable logistic regression models. Odds ratios (ORs) and 95% confidence intervals (CIs) were estimated by using the demographic characteristics, including age and BMI, as covariates. *P*-values less than 0.05 were defined as nominal significance, *P*-value less than 0.0125 were defined as Bonferroni-corrected significance (0.05/4).

## Results

### Characteristics of the Study Subjects

A total of 460 subjects were included and completed the evaluation of biochemical and BMD measurements at baseline and after 1-year treatment, respectively.

At baseline, mean age was  $63.55 \pm 12.2$  years, mean BMI was  $22.81 \pm 3.03$  kg/m<sup>2</sup> and mean BMD of L1-4, the femoral neck, total hip was  $0.804 \pm 0.197$  g/cm<sup>2</sup>,  $0.687 \pm 0.103$  g/cm<sup>2</sup> and  $0.725 \pm 0.11$  g/cm<sup>2</sup>. After 1-year of treatment, mean BMI was  $22.89 \pm 3.05$  kg/m<sup>2</sup> and mean BMD of L1-4, the femoral neck, total hip was  $0.858 \pm 0.150$  g/cm<sup>2</sup>,  $0.701 \pm 0.103$  g/cm<sup>2</sup> and  $0.749 \pm 0.133$  g/cm<sup>2</sup>. After 1-year of treatment, there was no significant differences in BMI between baseline and follow-up. After alendronate treatment, the BMD of L1-4, femoral neck and total hip all increased significantly (all *P* < 0.001), with average increases of  $4.33 \pm 6.42\%$ ,  $1.85 \pm 4.20\%$ , and  $2.36 \pm 3.79\%$ , respectively (Table 1).

### Allele Frequencies and Haplotype Structures

Four tag SNPs in *CTSK* gene were genotyped in all participants. All tag SNPs were successfully genotyped. In the study, the population distribution of rs12085336 deviated from HWE (*P* < 0.05) and were excluded from further analysis. The other 3 SNPs (rs12746973, rs4379678 and rs10847) were compatible with HWE (Table 2). There was no haplotype block constructed from the four SNPs after linkage disequilibrium (LD) analysis.

### Association Between SNPs and the Therapeutic Response to Alendronate Treatment

There was no significant difference in BMD at L1-L4, the femoral neck and total hip between different genotype groups at baseline (*P* > 0.05). After 1-year treatment with alendronate, the results showed that rs12746973 was associated with the % change of BMD at L1-L4 (*P* = 0.038); and rs10847 was associated with the % change of BMD at femoral neck (*P* = 0.038). However, the associations were not significant after Bonferroni correction (*P* > 0.0125) (Table 3).

**Table 1** Characteristic Changes of 460 Postmenopausal Women in This Study

	Age (Years)	BMI (Kg/m <sup>2</sup> )	BMD (g/cm <sup>2</sup> )		
			Lumbar 1-4	Femoral Neck	Total Hip
Baseline	63.55 $\pm$ 12.2	22.81 $\pm$ 3.03	0.804 $\pm$ 0.197	0.687 $\pm$ 0.103	0.725 $\pm$ 0.110
1-year of Treatment	64.95 $\pm$ 12.2	22.89 $\pm$ 3.05	0.858 $\pm$ 0.150	0.701 $\pm$ 0.103	0.749 $\pm$ 0.133
Percent Change (%)	–	–	4.33 $\pm$ 6.42	1.85 $\pm$ 4.20	2.36 $\pm$ 3.79
P-value	–	0.121	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>

**Notes:** Data presented as mean  $\pm$  SD. Significant values (*P* < 0.05) are presented in bold.

**Abbreviations:** BMI, body mass index; BMD, bone mineral density.

**Table 2** Information on the 4 SNPs of *CTSK* Gene in the Study

SNP	Physical Position	Major Allele	Minor Allele	MAF	P-value of HWE
rs12085336	150775780	T	A	0.3341	0.04748
rs12746973	150779401	A	G	0.1034	0.8043
rs4379678	150780582	C	T	0.2457	0.5311
rs10847	150782797	T	C	0.2263	0.6927

**Abbreviations:** SNP, single nucleotide polymorphism; MAF, minor allele frequency; HWE, Hardy–Weinberg equilibrium.

**Table 3** The Association Between 3 SNPs of *CTSK* Gene and the % Change in BMD

SNP	Lumbar 1–4 (%)		Femoral Neck (%)		Total Hip (%)	
	Beta <sup>a</sup>	P-value	Beta <sup>a</sup>	P-value	Beta <sup>a</sup>	P-value
rs12746973	1.289	0.038	−0.513	0.324	0.498	0.249
rs4379678	−0.808	0.071	0.537	0.149	0.076	0.804
rs10847	0.810	0.075	0.792	0.038	0.389	0.217

**Note:** <sup>a</sup>Betas were estimated using linear regression model with adjustment for age and BMI.

**Abbreviations:** SNP, single nucleotide polymorphism; BMD, bone mineral density; Beta, regression coefficient.

**Table 4** The Association Between 3 SNPs of *CTSK* Gene and BMD Response

SNP	Lumbar 1–4			Femoral Neck			Total Hip		
	OR <sup>a</sup>	95% CI	P-value	OR <sup>a</sup>	95% CI	P-value	OR <sup>a</sup>	95% CI	P-value
rs12746973	1.536	0.963–2.450	0.071	0.785	0.392–1.574	0.496	1.017	0.650–1.591	0.941
rs4379678	0.800	0.580–1.104	0.175	0.887	0.553–1.424	0.620	0.968	0.705–1.330	0.841
rs10847	1.339	0.958–1.872	0.088	1.748	1.123–2.791	0.013	1.334	0.954–1.865	0.092

**Note:** <sup>a</sup>ORs were estimated using unconditional logistic regression model with adjustment for age and BMI.

**Abbreviations:** SNP, single-nucleotide polymorphism; BMD, bone mineral density; OR, odds ratio; 95% CI, 95% confidence interval.

In order to estimate the efficacy of treatment, we divided all participants into the responders group and the non-responders group according to the least significant change (LSC) in BMD (the standards and guidelines<sup>21</sup> established by ISCD 2007 Adult and Pediatric Official Positions). Only rs10847 showed association with the BMD response at femoral neck ( $P=0.013$ ), but this association was not significant ( $P>0.0125$ ) after Bonferroni correction (Table 4).

## Discussion

Alendronate is one of the first-line anti-resorption drugs commonly used in postmenopausal patients with osteoporosis or bone defects, which can increase BMD and reduce the risk of brittle fractures.<sup>23</sup> However, the response to anti-osteoporosis treatment, including alendronate, is different in different individuals, and is known to be associated with genetic differences. Genetic polymorphisms in the mevalonate pathway are associated with the different responses.<sup>8</sup> Wang et al<sup>24</sup> also found that polymorphisms of *SOST* gene were associated with the response to alendronate.

*CTSK*, encoded by cathepsin K gene (*CTSK*), is a protein composed of 329 amino acids, including three parts: amino terminus region composed of 15 amino acids, pro-peptide consisting of 99 amino acids, and catalytic unit composed of 215 amino acids.<sup>25</sup> In stationary osteoclasts far from the bone resorption lacunae, *CTSK* was present as an inactive

proenzyme. In the acidic environment, the enzyme was activated after the n-terminal signal peptide was lysed. In the activated osteoclasts, the high level of activase polarization cell fold edge was fused with lysosomal sac and CTSK was released into the extracellular space. In the bone pit, acidic environment is formed by the CLCN7 and TCIRG1, and the pH value is less than 4.5. CTSK can degrade collagen I and II in the acidic environment, especially type I collagen. Because of the important role of CTSK in bone resorption, it has become an important target for the development of drugs to treat osteoporosis.<sup>26,27</sup>

Many studies have investigated the relationship between CTSK and bisphosphonate in postmenopausal women with osteoporosis. Meier et al<sup>28</sup> found that serum Cathepsin K was significantly elevated in postmenopausal women with osteoporosis and decreased after the patients were treated with bisphosphonates. Jahn et al<sup>29</sup> also found that the levels of Cathepsin K were significantly reduced after three to six months treatment with zoledronic acid ( $P < 0.05$ ), and the level returned to baseline after one year. Recent study has shown that nitrogen-containing bisphosphonates downregulate CTSK in osteoclasts cultured in vitro.<sup>30</sup>

As far as we know, this is the first research reported a population-based association analysis of CTSK gene polymorphism and response to alendronate treatment in postmenopausal Chinese women. In this study, we investigated the *CTSK* gene as a candidate gene to explore the relationship between genetic factors and therapeutic response to alendronate. There was no association between SNP and BMD at baseline. Gao et al<sup>31</sup> also found no significant relationship between serum cathepsin K and age, BMI, BMD or bone metabolic markers (all  $P > 0.05$ ) after adjustment for age and BMI. After 12-months treatment with alendronate, the BMD at L1-L4, total hip and femoral neck was measured and large difference in the % change in BMD among all participants was observed as expected. Then, the association between % change in BMD and four SNPs was analyzed. There were correlations between rs12746973 and the % change of BMD at L1-L4, rs10847 and the % change of BMD at femoral neck. However, after Bonferroni correction, the correlation was not significant.

Furthermore, we examined BMD response as the % change cannot depict the therapeutic effect. According to the standards and guidelines<sup>14</sup> established by ISCD 2007 Adult and Pediatric Official Positions, we analyzed the LSC to eliminate the error effect. All subjects were divided into the responders group and the non-responders group depending on the LSC at L1-4, total hip and femoral neck. Only rs10847 at femoral neck appeared more frequently in responders, but this association disappeared after Bonferroni correction. Hence, it was concluded that there was no association between *CTSK* gene polymorphisms and response to alendronate treatment in postmenopausal Chinese women with low BMD. Maybe it was due to the inadequate sample size. And it is necessary to confirm the relationship between SNP variations and efficacy of alendronate therapy by expanding samples size.

The present study also had some limitations. First, bone turnover markers were not considered, which is a sensitive index to evaluate the therapeutic effect.<sup>32</sup> Second, the participants were only followed-up for one year, which is a short period for treating low BMD. Measuring more indices to reflect the improvement of bone metabolism and longer follow-up durations may be necessary to demonstrate the interaction between genotype and treatment in future studies.

## Conclusion

In summary, genetic background is considered to be important for individualized anti-reabsorption therapy. This study provided some evidence that the common variation variants of *CTSK* gene were potentially associated with does not contribute to the therapeutic response to alendronate treatment in Chinese women with low bone mineral density. However, further validation is needed.

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## Disclosure

The authors declare no conflicts of interest in this work.

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