

# Tricyclo[2.1.0.0<sup>2,5</sup>]pent-3-ylidene: Stereoelectronic Control of Bridge-Flapping within a Nonclassical Nucleophilic Carbene

Murray G. Rosenberg and Udo H. Brinker\*



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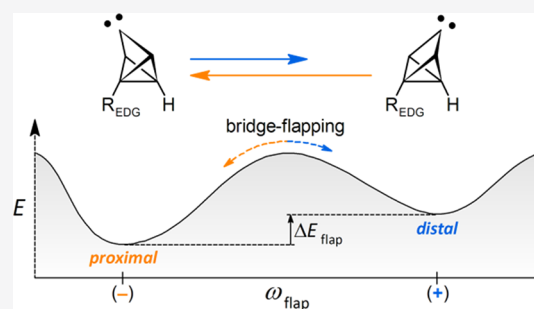


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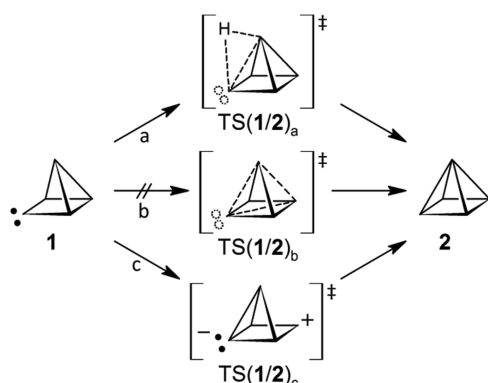
**ABSTRACT:** Tricyclo[2.1.0.0<sup>2,5</sup>]pent-3-ylidene is a carbene foreseen to rearrange to pyramidane (*c*-C<sub>4</sub>H<sub>4</sub>)C, a highly strained molecule featuring an inverted C atom. Modeling of the carbene, using the (U)MPWB1K/cc-pVTZ// (U)MPWB1K/6-311G(d) theoretical model, indicated a large singlet–triplet energy gap ( $\Delta E_{S-T} = -45$  kcal/mol), a high gas-phase proton affinity (PA = 258 kcal/mol), and a preference for electron-poor alkenes. These properties are consistent with those of nucleophilic carbenes. Structural differences between the C<sub>s</sub>-symmetric singlet ( $\omega_{\text{flap}} = \pm 44$  deg) and C<sub>2v</sub>-symmetric triplet ( $\omega_{\text{flap}} = 0$  deg) stem from nonclassical electron delocalization in the former and the lack thereof in the latter. Degenerate bridge-flapping of the singlet's main bridge, which comprises the reactive divalent C3 atom, is computed to be slow due to a high activation barrier of the C<sub>2v</sub>-symmetric transition state (TS) ( $E_a = 17$  kcal/mol). The position of the conformeric equilibrium is subject to stereoelectronic control. 1-Substituted derivatives of the carbene (R ≠ H) are sensitive to  $\sigma$  inductive effects. A *proximal* conformation is preferred when R is electron-donating and a *distal* one is favored when R is electron-withdrawing. Finally, carbene rearrangements via 1,2-C atom shift or enyne fragmentation were computed. The C<sub>2v</sub>-symmetric bridge-flapping TS has the proper geometry to initiate enyne fragmentation.



## INTRODUCTION

Tricyclo[2.1.0.0<sup>2,5</sup>]pent-3-ylidene (**1**)<sup>1–12</sup> is an enigmatic carbene<sup>13–29</sup> accessible only, as yet, via computational modeling.<sup>30</sup> The reactive intermediate has garnered much interest as a possible precursor to pyramidane (**2**),<sup>2–11,31,32</sup> an elusive target featuring an inverted C atom.<sup>33,34</sup> Direct reaction of **1** to **2** has been posited to occur either by 1,3-C–H bond insertion via TS(1/2)<sub>a</sub> (Scheme 1, path a)<sup>5</sup> or by C–C bond insertion via TS(1/2)<sub>b</sub> or TS(1/2)<sub>c</sub> (Scheme 1, paths b and c).<sup>5,35</sup> Pericyclic TS(1/2)<sub>b</sub> is unlikely (Scheme 1, path b),<sup>22</sup>

**Scheme 1. Posited Rearrangements of Carbene 1 to Pyramidane (2)**



however, because a cheletropic reaction within **1** between its C3 atom and C1–C5 bond suffers from orbital misalignment.<sup>22,36</sup> In contrast, the elementary step **1** → **2** via zwitterionic TS(1/2)<sub>c</sub> (Scheme 1, path c),<sup>3,5</sup> which stems from C–C bond heterolysis, is the likely mechanism because TS(1/2)<sub>c</sub> is lower in energy than TS(1/2)<sub>a</sub>.

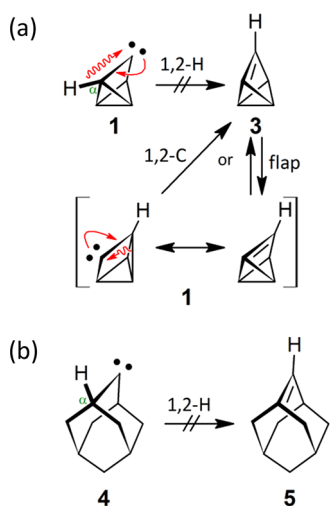
The potential role of **1** in pyramidane chemistry has been amply documented.<sup>2–11</sup> In contrast, little is known about the carbene's innate character. Thus, key properties of **1** and pertinent structures are computed in this report. These include the following: (1) singlet–triplet energy gap ( $\Delta E_{S-T}$ ),<sup>37–39</sup> (2) gas-phase proton affinity (PA),<sup>40,41</sup> (3) hard–soft acid–base (HSAB) parameters,<sup>42–45</sup> and (4) carbene–alkene cycloaddition  $\Delta\Delta E$  values.<sup>46–50</sup> These data help classify the reactive nature of carbenes (e.g., (+)-electrophilic, (–)-nucleophilic, or (±)-ambiphilic).<sup>44–52</sup>

Dialkylcarbenes undergo rapid 1,2-H atom shift reactions if a suitable  $\alpha$ -C–H bond is present.<sup>53–55</sup> This would give tricyclo[2.1.0.0<sup>2,5</sup>]pent-2-ene (**3**) in the case of **1** (Scheme 2a) and adamantene (**5**)<sup>56–60</sup> in the case of adamantylidene

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Scheme 2. [1,2]-Sigmatropic Rearrangements of Polycyclic Carbenes **1** and **4** Give Bridgehead Alkenes

(**4**)<sup>60–65</sup> (Scheme 2b). Carbene **4** does not yield **5**, however, because the bridgehead C–C double bond violates Bredt's rule.<sup>66–69</sup> Strain within **5**, caused by the severely distorted  $\pi$  bond, impedes **4**  $\rightarrow$  **5**. Orbital alignment is also unfavorable. The divalent C2 atom's empty p orbital is orthogonal to the  $\alpha$ -C–H bonds within **4**. These factors contribute to a high  $\Delta G_{4 \rightarrow 5}^\ddagger$ , computed to be 52.7 kcal/mol.<sup>60</sup> Instead of a 1,2-H atom shift, **4** reacts via a 1,3-C–H bond insertion reaction (cf. Scheme 1, path a), requiring only 11.8 kcal/mol.<sup>60</sup> Thus, orbital misalignment (Figure 1a) should preclude **1**  $\rightarrow$  **3** via a

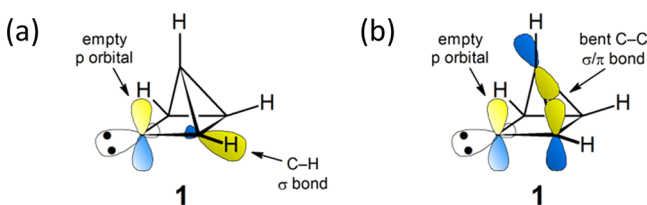


Figure 1. [1,2]-Sigmatropic rearrangements within carbene **1** depend on orbital alignment; (a) 1,2-H atom shifts should not be possible, but (b) 1,2-C atom shift should proceed (cf. Scheme 2).

1,2-H atom shift (Scheme 2a), while orbital alignment (Figure 1b) should foster **1**  $\rightarrow$  **3** via a 1,2-C atom shift reaction (Scheme 2a). Indeed, this may be so efficient that **1** and **3** may actually be mesomers (i.e., [**1**  $\leftrightarrow$  **3**]) and not isomers (i.e., **1**  $\rightleftharpoons$  **3**). The possibility is explored in this report.

Singlet adamantylidene (**14**) has a  $C_s$ -symmetric equilibrium geometry and undergoes degenerate bridge-flapping via  $C_{2v}$ -symmetric TS(4/4)<sub>flap</sub> (Scheme 3a).<sup>60,62–65</sup> An analogous conformeric equilibrium has been computed for **1** (Scheme 2a), but its  $E_a$  is considerably higher.<sup>4–7</sup> Another possible similarity between carbenes **1** and **4** has to do with the conduction of stereoelectronic effects through their polycyclic C-atom frameworks.<sup>64</sup> An example of this is shown for *prox*-**4**-R, where R is an EDG (Figure 2). The potential for **1** to do this is investigated by comparing 1-substituted tricyclo-[2.2.1.0<sup>2,5</sup>]pent-3-ylidenes (**1**-R; Scheme 3b) with  $\gamma$ -substituted adamantylidenes (**4**-R; Scheme 3a).

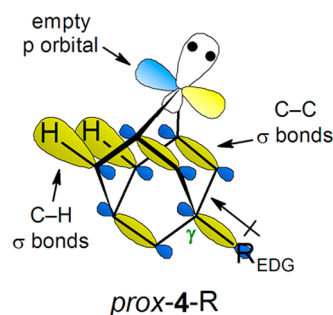
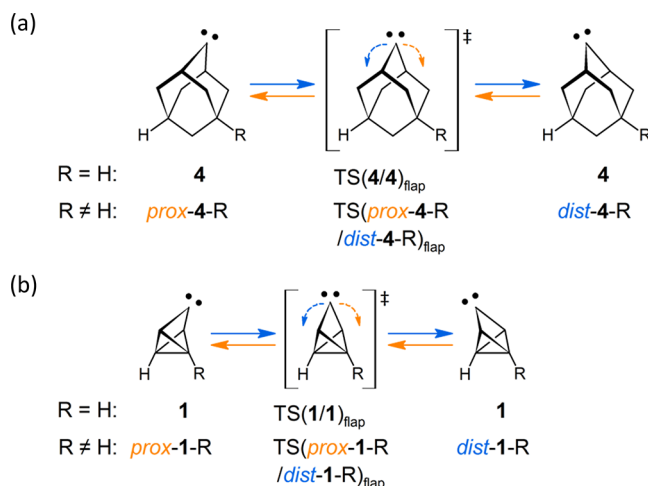
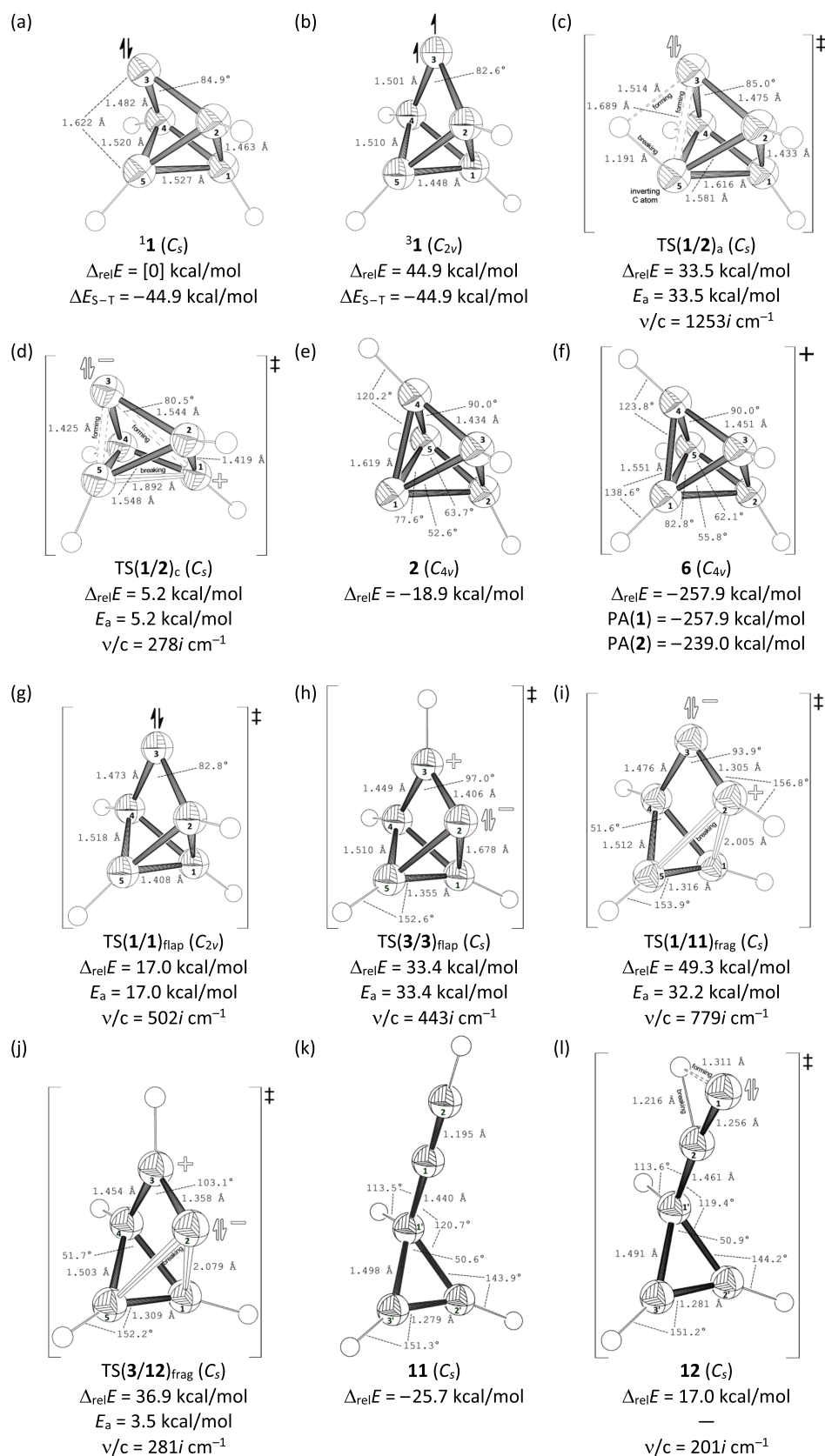
Scheme 3. Bridge-Flapping Equilibria within Substituted Polycyclic Carbenes **1**-R and **4**-R

Figure 2.  $\gamma$ -Substituted adamantylidenes (**4**-R) exhibit stereoelectronic effects based on proper orbital alignment and the  $\sigma$  inductive effect of R (e.g., R<sub>EDG</sub>).

## RESULTS AND DISCUSSION

The (U)MPWB1K/cc-pVTZ//[(U)MPWB1K/6-311G(d) + (0.9513)ZPVE] theoretical model was used to compute equilibrium geometries, transition state (TS) geometries, single-point energies, zero-point vibrational energy (ZPVE) values, and intrinsic reaction coordinates (IRCs). The MPWB1K method was chosen because it gives accurate activation energy ( $E_a$ ) values for carbene reactions.<sup>70–72</sup> The theoretical model's suitability was tested by comparing relevant TS and  $E_a$  results against the reported ones:<sup>2,3,5,7</sup> (1) **1**  $\rightarrow$  [TS(1/2)<sub>a</sub>]<sup>‡</sup>  $\rightarrow$  **2** (Scheme 1, path a) and (2) **1**  $\rightarrow$  [TS(1/2)<sub>c</sub>]<sup>‡</sup>  $\rightarrow$  **2** (Scheme 1, path c). The 1,3-C–H bond insertion reaction (Scheme 1, path a) was modeled using MPWB1K and MP2 since MP2 was used in an earlier report:<sup>5</sup>  $E_a = 32.7^{73}$  and 33.5<sup>74</sup> kcal/mol. The transition state TS(1/2)<sub>a</sub> was confirmed herein by an IRC (see the Supporting Information) and leaves no doubt that the earlier  $E_a$  is erroneous.<sup>7,73</sup> The conversion of **1** to **2** via zwitterionic TS(1/2)<sub>c</sub> (Scheme 1, path c) requires much less energy:  $E_a = 2.2,$ <sup>4,5,7</sup> 3.5,<sup>2,3</sup> and 5.2<sup>74</sup> kcal/mol. The transition state TS(1/2)<sub>c</sub> was confirmed by a plateau-type IRC (see the Supporting Information), which can indicate ring opening within strained hydrocarbons.<sup>75</sup>

The computed structures for **1**, **3**, TS(1/2)<sub>a</sub>, and TS(1/2)<sub>c</sub> are presented in Figure 3a–d. Fundamental properties of carbene **1**, such as  $\Delta E_{S-T}$ , were computed (Table 1). The difference between the computed and experimental  $\Delta E_{S-T}$  values for CH<sub>2</sub> was used to obtain corrected  $\Delta E_{S-T}$  values (eq



**Figure 3.** (a–l) Structures of **1**, **2**, **3**, **6**, **11**, **12**, and related molecules computed using the (U)MPWB1K/cc-pVTZ//(U)MPWB1K/6-311G(d) + (0.9513)ZPVE theoretical model. (ORTEP structures are 50% ellipsoids.)

**1**). The singlet ground state **1** is 45 kcal/mol below the triplet state **3**. The remarkably large  $\Delta E_{S-T}$  is due to two factors.

First, **3** is destabilized because the bond angle  $\theta_{\text{carbene}}$  centered on the divalent C3 atom, is exceedingly small at

Table 1. Singlet vs Triplet Carbene **1**<sup>a</sup>

Carbene Aspect/Property	<b>1</b>	<b>3</b>
spin multiplicity (2S + 1)	1	3
electron configuration	$1(\sigma^2p^0)$	$3(\sigma^1p^1)$
symmetry point group	$C_s$	$C_{2v}$
$\theta_{\text{carbene}}^b$	84.9	82.6
$\Delta_{\text{rel}}E$	[0] <sup>c</sup>	44.9 kcal/mol <sup>c</sup>
$\omega_{\text{flap}}^d$	$\pm 43.5$ deg	0 deg

<sup>a</sup>Computed using the (U)MPWB1K/cc-pVTZ//((U)MPWB1K/6-311G(d) + (0.9513)ZPVE theoretical model. <sup>b</sup>Bond angle of C2–C3–C4. <sup>c</sup> $\Delta E_{S-T} = -44.9$  kcal/mol. <sup>d</sup> $\omega_{\text{flap}} = \frac{1}{2}[\omega(\text{C1–C2–C4–C3}) - \omega(\text{C5–C4–C2–C3})]$ .

just 83 deg. This is far less than that in free  $^3\text{CH}_2$  (i.e., 134 deg).<sup>30,76,77</sup> Second, **1** is stabilized by propitious orbital alignment within a bent  $C_s$ -symmetric structure. The considerable  $\Delta E_{S-T}$  of  $-45$  kcal/mol strongly suggests that **1** is a nucleophilic carbene. This classification is somewhat paradoxical since the reactive C3 atom of **1** is formally hypovalent.<sup>78</sup>

$$\Delta E_{S-T} = \Delta E_{S-T(\text{comp})} - 4.6 \text{ kcal/mol} \quad (1)$$

The main bridge of  $C_s$ -symmetric **1**, which comprises the reactive divalent C3 atom, is torsionally bent by  $\omega_{\text{flap}} = \pm 43.5$  deg from the  $\sigma_v$  mirror plane within  $C_{2v}$ -symmetric **3**,<sup>74</sup> which also exists within  $\text{TS}(\mathbf{1}/\mathbf{1})_{\text{flap}}$ . The degenerate conformerism  $\mathbf{1} \rightleftharpoons [\text{TS}(\mathbf{1}/\mathbf{1})_{\text{flap}}]^\ddagger \rightleftharpoons \mathbf{1}$  (Scheme 3b; R = H) entails a  $b_1$ -

symmetric vibration  $\nu/c = 502i \text{ cm}^{-1}$ ) wherein the C3 atom flaps toward and away from C1 (Scheme 3b). Bridge-flapping within **4** is well established (Scheme 3a).<sup>60,63,64</sup> Its main bridge is bent to a lesser extent:  $\omega_{\text{flap}} = \pm 15.1$ ,<sup>64,65</sup>  $\pm 18.5$ ,<sup>63</sup> and  $\pm 19.7$ <sup>74</sup> deg.

Table 2 lists important aspects for carbenes **1** and **4**. They share many similar properties, such as symmetry, ground-state multiplicity, polarity, *prox*  $\rightleftharpoons$  *dist* flapping equilibria, and gas-phase PA values that are comparable to those of other nucleophilic carbenes. Given these similarities, carbenes **1-R** should exhibit  $\pi$ -facial selectivity, as with carbenes **4-R**, because tilting of the main bridge exposes one side while shielding the other.<sup>64,65</sup>

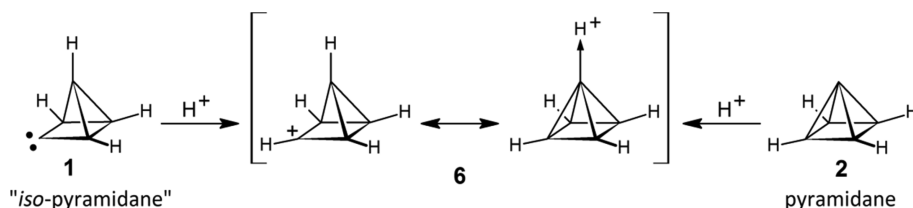
However, there are some distinct differences between carbenes **1** and **4** (cf. Table 2). The bond angle  $\theta_{\text{carbene}}$  centered on the divalent C atom of **1** is much lower than that of **4**. This leads to  $\Delta E_{S-T}$  values for **1** and **4** that are vastly different, as mentioned (Table 1). The *prox*  $\rightleftharpoons$  *dist* flapping rate for **1** will be much slower than that for **4**, especially at low temperatures. This is inferred from their disparate  $E_a$  values (Table 2). Thus, product selectivities based on reaction kinetics will differ greatly for **1** and **4**.<sup>64</sup> Carbene **1** is not anticipated to undergo diastereoselective C–H bond insertion reactions despite its  $\pi$ -facial selectivity. In contrast to **4**, **1** lacks the axial  $\beta$  C–H bonds that sterically orient the intermolecular transition states computed for **4**.<sup>64,65</sup>

Carbene **1** has a gas-phase PA of 258 kcal/mol (Table 2 and eq 2). This is in the range of *N*-heterocyclic carbene (NHC) ylides.<sup>40,78–83</sup> Its PA is unusually high for a hydrocarbon and exceeds that of **2** (PA = 231,<sup>7,84</sup> 233,<sup>7</sup> and 239<sup>74</sup> kcal/mol) by their  $\Delta_r H^\circ$  difference. That is because they share the same conjugate acid,<sup>40,41</sup>  $C_{4v}$ -symmetric carbocation **6** (Scheme 4).

Table 2. Key Comparisons between Carbenes **1-R** and **4-R**<sup>a</sup>

Carbene Aspect/Property	<b>1-R</b>	<b>4-R</b>
CH <sub>2</sub> -groups ( $\Sigma n$ )	0 <sup>b</sup>	5
symmetry point group	$C_s$ ( <b>1</b> ) $C_{2v}$ ( <b>3</b> )	$C_s$ ( <b>4</b> ) $C_{2v}$ ( <b>3</b> )
$\theta_{\text{carbene}}$	85 deg ( <b>1</b> ) 83 deg ( <b>3</b> )	111 deg ( <b>4</b> ) 118 deg ( <b>3</b> )
$\Delta E_{S-T}$	$-44.9$ kcal/mol	$-6.0$ kcal/mol
dipole moment ( $\mu$ )	4.2 D ( <b>1</b> ) 2.4 D ( <b>3</b> )	2.6 D ( <b>4</b> ) 1.1 D ( <b>3</b> )
gas-phase PA	258 kcal/mol	265 kcal/mol
$\omega_{\text{flap}}$	$\pm 43.5$ deg <sup>c</sup>	$\pm 19.7$ deg <sup>d</sup>
bridge-flapping $\nu/c$	502i $\text{cm}^{-1}$	323i $\text{cm}^{-1}$
bridge-flapping $E_a$	17.0 kcal/mol	1.1 kcal/mol
<i>prox</i> $\rightleftharpoons$ <i>dist</i> rate	slower	faster
$\pi$ -facial selectivity	yes	yes

<sup>a</sup>Computed using the (U)MPWB1K/cc-pVTZ//((U)MPWB1K/6-311G(d) + (0.9513)ZPVE theoretical model. <sup>b</sup>See ref 1 (a). <sup>c</sup> $\omega_{\text{flap}} = \frac{1}{2}[\omega(\text{C1–C2–C4–C3}) - \omega(\text{C5–C4–C2–C3})]$ . <sup>d</sup> $\omega_{\text{flap}} = \frac{1}{2}[\omega(\text{C5–C3–C1–C2}) - \omega(\text{C7–C1–C3–C2})] = [180 \text{ deg} - \omega(\text{C6–C3–C1–C2})]$ .

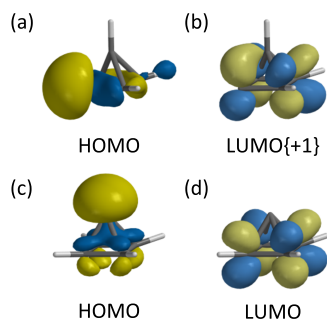
Scheme 4. Protonation of 1 or 2 Gives Carbocation 6<sup>a</sup>

<sup>a</sup>Note that carbocation 6 represents both Brønsted–Lowry conjugate acids  $1\text{H}^+$  and  $2\text{H}^+$ , which are identical to each other (i.e.,  $1\text{H}^+ \equiv 2\text{H}^+$ ), according to quantum chemical computations.

Indeed, one may view 1 as “*iso*-pyramidane” (i.e.,  $1 \equiv \text{iso-}2$ ),<sup>1d</sup> because protonation of either 1 or 2 gives 6 (i.e.,  $1\text{H}^+ \equiv 2\text{H}^+ \equiv 6$ ; cf. Scheme 4).<sup>2,9,10</sup> This notion is also supported by comparing their frontier MOs.

$$\text{PA} = H^\circ_{\text{B}} - H^\circ_{\text{BH}^+} \text{ for } \text{B:} + \text{H}^+ \rightarrow [\text{B} - \text{H}]^+ \quad (2)$$

An MO correlation diagram between  $1$  and  $2$  has been reported at the MINDO/3 level of theory.<sup>11,12</sup> However,  $1$  was treated as having  $C_{2v}$  symmetry<sup>11</sup> instead of the  $C_s$  symmetry found using *ab initio* methods. Thus, a new comparison was warranted. Frontier MO details for  $C_s$ -symmetric  $1$  are shown in Figure 4a,b and Table 3 and



**Figure 4.** Frontier molecular orbitals for (a, b) carbene 1 and (c, d) pyramidane. Computed using the MPWB1K/cc-pVTZ//MPWB1K/6-311G(d) theoretical model (isosurface values = 0.064).

those for  $C_{4v}$ -symmetric  $2$  are found in Figure 4c,d and Table 4. The LUMO{+1} of  $1$  and LUMO of  $2$  are essentially the same. In contrast, their HOMOs are spatially different. The electron lone-pair density within each HOMO resides mainly in the protruding lobe of each one's  $\sigma$  orbital, whose position is governed by symmetry. These high-lying HOMOs are

ostensibly the source of the hydrocarbons' large gas-phase PA values. Note that the HOMO of  $2$  corresponds with the HOMO{−5} of  $1$  (Figure 5). Inspection of the HOMO{−1} level of  $2$  reveals a doubly degenerate pair of perpendicular MOs that feature in-between  $\sigma/\pi$  overlap (Table 4), which is the source of its banana-like bent bonds.<sup>85,86</sup> The degeneracy within  $2$  is broken in  $1$ , however, due to the presence of the carbene's electron lone pair, which is orthogonal in the HOMO{−1} but aligned in the HOMO{−2} (Table 3). Once again,  $1$  may be viewed as “*iso*-pyramidane” given these frontier MO similarities.<sup>1d</sup>

The very large  $\Delta E_{\text{S-T}}$  value for  $1$  of −45 kcal/mol (Table 1) means that the ground state  $1$  should react as a nucleophile. This can be demonstrated, for example, from pericyclic [ $\pi_2\text{s} + \omega_2\text{a}$ ] cycloadditions<sup>36</sup> of  $1$  with a representative set of alkenes.<sup>46–49</sup> The smaller  $\Delta E$  value for each of the two possible donor (D)–acceptor (A) interactions denotes which one is dominant (eqs 3 and 4):<sup>49</sup> (1)  $D_{\text{carbene}}(\sigma)$  with  $A_{\text{alkene}}(\pi^*)$  or (2)  $D_{\text{alkene}}(\pi)$  with  $A_{\text{carbene}}(\text{p})$ . The sign of the resulting  $\Delta\Delta E$  value, found using eq 5, indicates whether the carbene acts as an (+)-electrophile or a (−)-nucleophile.<sup>87</sup> A 2-D chart comparing carbene  $1$  with other alkenophiles is then assembled to reveal philicity trends (Table 5).<sup>51,52</sup> They indicate that  $1$  is more nucleophilic than  $4$ ,<sup>88</sup>  $2$ , and *dist*-1-Br and almost as nucleophilic as the nonclassically bent carbene bicyclo[2.2.1]hept-2-en-7-ylidene.<sup>51,87,89–91</sup>

$$\Delta E_{(\sigma \rightarrow \pi^*)\text{nucleophilic}} = (E_{\pi^*} - E_{\sigma}) \quad (3)$$

$$\Delta E_{(\text{p} \leftarrow \pi)\text{electrophilic}} = (E_{\text{p}} - E_{\pi}) \quad (4)$$

$$\Delta\Delta E = \Delta E_{(\sigma \rightarrow \pi^*)\text{nucleophilic}} - \Delta E_{(\text{p} \leftarrow \pi)\text{electrophilic}} \quad (5)$$

Stereoelectronic control has been modeled computationally and observed experimentally when carbene  $4$  bears the remote  $\gamma$ -substituent R.<sup>64</sup> Specifically,  $\sigma$  inductive effects bias the *pro*-

**Table 3.** Frontier MOs of Carbene 1 (“*iso*-Pyramidane”)<sup>a</sup>

Occupancy		$\uparrow\downarrow$	$\uparrow\downarrow$	$\uparrow\downarrow$	—
Orbital		HOMO{−2}	HOMO{−1}	HOMO	LUMO{+1}
$E$		−9.31 eV	−9.18 eV	−6.74 eV	2.17 eV

<sup>a</sup>Computed using the MPWB1K/cc-pVTZ//MPWB1K/6-311G(d) + (0.9513)ZPVE theoretical model.

Table 4. Frontier MOs of Pyramidane (2)<sup>a</sup>

Occupancy	$\uparrow\downarrow$	$\uparrow\downarrow$	$\uparrow\downarrow$	—
Orbital	HOMO{-1}	HOMO{-1}	HOMO	LUMO
<i>E</i>	-8.82 eV	-8.82 eV	-7.85 eV	2.07 eV

<sup>a</sup>Computed using the MPWB1K/cc-pVTZ//MPWB1K/6-311G(d) + (0.9513)ZPVE theoretical model.

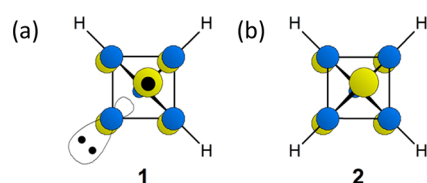


Figure 5. The (a) HOMO{-5} of carbene 1 corresponds to the (b) HOMO of pyramidane (2), according to the MPWB1K/cc-pVTZ//MPWB1K/6-311G(d) theoretical model.

4-R:*dist*-4-R ratio (cf. Scheme 3a). This, in turn, influences both intramolecular and intermolecular product selectivities (Table 6). The nature of R is the determining factor: (1)  $\sigma_I < 0$  (-) if R = R<sub>EDG</sub> and (2)  $\sigma_I > 0$  (+) if R = R<sub>EWG</sub>.<sup>92</sup> A similar

Table 6. Selective C–H Bond Insertions of  $\gamma$ -Substituted Adamantylidenes<sup>a</sup>

carbene 4-R	R <sub>EDG</sub>	R <sub>EWG</sub>
favoured conformer	<i>prox</i>	<i>dist</i>
intramolecular <sup>b</sup>	<i>as</i>	<i>s</i>
intermolecular <sup>c</sup>	<i>anti</i>	<i>syn</i>

<sup>a</sup>cf. Ref 64. <sup>b</sup>Monosubstituted 2,4-didehydroadamantane product: asymmetric (*as*) or symmetric (*s*). <sup>c</sup> $\gamma$ -Disubstituted adamantane solvolysis product: *anti* or *syn*.

situation for carbenes 1-R was researched. Its bridge-flapping may be subject to  $\sigma$  inductive effects too. Note that carbenes 1-R would not be diastereoselective, however, since they lack

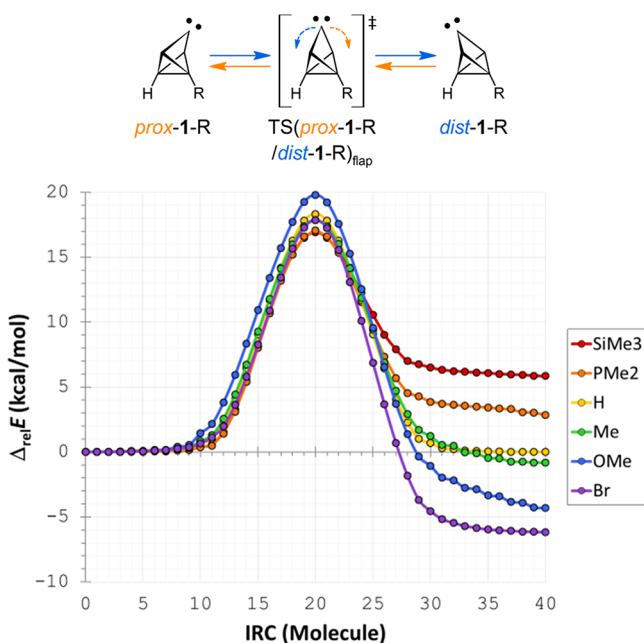
Table 5. Differences in Frontier MO Energy Gaps ( $\Delta\Delta E$ ) between Carbenes and Alkenes<sup>a,b</sup>

Reactants	Alkenes					
	Me <sub>2</sub> C=Me <sub>2</sub> (D <sub>2</sub> )	F-BuC=CH <sub>2</sub> (C <sub>s</sub> )	H <sub>2</sub> C=CH <sub>2</sub> (D <sub>2h</sub> )	ClC=CH <sub>2</sub> (C <sub>s</sub> )	NC=CH <sub>2</sub> (C <sub>s</sub> )	CN=CH <sub>2</sub> (C <sub>2h</sub> )
	5.55	4.12	3.33	3.19	0.87	-1.13
	5.31	3.88	3.09	2.95	0.63	-1.37
	1.92	0.49	-0.30	-0.44	-2.76	-4.76
	0.45	-0.98	-1.77	-1.91	-4.23	-6.23
	0.37	-1.06	-1.85	-1.99	-4.31	-6.31
	-0.75	-2.18	-2.97	-3.11	-5.43	-7.44
	-0.85	-2.28	-3.07	-3.21	-5.53	-7.53

<sup>a</sup>Computed using the MPWB1K/cc-pVTZ//MPWB1K/6-311G(d) + (0.9513)ZPVE theoretical model and eqs 3–5. <sup>b</sup>Units in eV.

sterically directing CH<sub>2</sub> groups. Moreover, their flapping equilibria are much slower. The bridge-flapping  $E_a$  for **1** ( $E_a = 12.8,^{2,9} 13.5,^{2,9} 14.6,^{4,5,7} 16.2,^3$  and  $17.0^{7,4}$  kcal/mol) is significantly higher than that for **4** ( $E_a = 1.1^{7,4}$  kcal/mol).

Bridge-flapping transition states  $TS(1/1)_{flap}$  and  $TS(prox-1-R/dist-1-R)_{flap}$  were computed for **1** and a set of 1-substituted derivatives **1-R**, respectively, and verified by IRC analyses (Figure 6). Conformational effects caused by the following R-



**Figure 6.** The  $prox \rightleftharpoons dist$  flapping equilibrium for carbenes **1-R** reverses if  $R_{EDG}$  is replaced by  $R_{EWG}$  (computed using the MPWB1K/6-311G(d)//MPWB1K/6-311G(d) theoretical model).

group substituents were explored: SiMe<sub>3</sub>, PMe<sub>2</sub>, H, Me, OMe, and Br. When R = H, the degenerate step  $1 \rightarrow [TS(1/1)_{flap}]^{\ddagger} \rightarrow 1$  gives a symmetric curve since  $\Delta E_{flap} = [0]$  (Figure 6). However, when R  $\neq$  H, then  $\Delta E_{flap} \neq 0$  (eq 6) because the distinct conformers  $prox-1-R$  and  $dist-1-R$  have different energies (i.e.,  $E_{prox} \neq E_{dist}$ ). For example,  $\Delta E_{flap}$  increases by 4.3 kcal/mol with **1-SiMe<sub>3</sub>** but decreases by 6.7 kcal/mol with **1-Br** (cf. eq 6, Table 7, and Figure 6). The preference for a proximal or distal conformation is influenced by the  $\sigma$  inductive effect of substituent R, which can be quantified by a  $\sigma_I$  value.<sup>92</sup> Hence, a conformational bias based on the proximity of C3 to substituted C1 or unsubstituted C5 is observed. A proximal ( $prox$ ) conformation is established when the divalent C atom ( $>C:$ ) leans toward substituted C1, while a distal ( $dist$ ) conformation exists when  $>C:$  is tilted toward

unsubstituted C5. It is evident from the energy profiles that  $prox-1-R$  is energetically preferred when R is an EDG, while  $dist-1-R$  is favored when R is an EWG. Hence,  $\Delta E_{flap}$  decreases as R becomes more electron-withdrawing. These trends accord well with those of carbenes **4-R**.

$$\Delta E_{flap} = E_{dist} - E_{prox} \quad (6)$$

The dipole moment of **1** ( $\mu = 4.2$  D) is high for a hydrocarbon. It is readily understood when one considers the intramolecular MO interaction between the carbene's empty p orbital and its high-lying HOMO{-1} (Table 3), which features bent C-C bonds having mixed  $\sigma/\pi$ -character (Figure 1b). Such donor-acceptor interactions are common within classical singlet carbenes (e.g., CCl<sub>2</sub>) and nonclassical ones (e.g., bicyclo[2.2.1]hept-2-en-7-ylidene)<sup>51,87,89-91</sup> when the relevant orbitals are nearby, close in energy, and properly aligned. However, NHCs are such extreme examples of singlet carbenes that they are better described as ylides. The large  $\Delta E_{S-T}$  value of  $-44.9$  kcal/mol for **1** (Table 2) and the polycyclic carbene's reluctance to form the strained bridgehead alkene **5**, as depicted in Scheme 2a and Figure 1a, mean that the nonclassical carbene **1** has considerable ylidic character. Indeed, **1** is better described (and presented)<sup>3</sup> by the two equivalent resonance forms shown in Scheme 5. The singlet carbene is a "gem-zwitterion"<sup>93</sup> and its ylidic forms are 1,2-zwitterions. It is easy to see from Scheme 5 how  $TS(1/2)_c$ , which is a 1,3-zwitterion with  $\mu = 4.1$  D, is readily attained from **1** (Scheme 1, path c;  $E_a = 2.2,^{4,5,7} 3.5,^{2,3}$  and  $5.2^{7,4}$  kcal/mol). In contrast, the ylidic nature of **1** might be responsible for the high relative energy of  $TS(1/2)_a$  (Scheme 1, path a;  $E_a = 32.7^{7,3}$  and  $33.5^{7,4}$  kcal/mol), which is less polar with  $\mu = 2.8$  D.

Carbene **1** and alkene **3** are functional isomers (i.e.,  $1 \rightleftharpoons 3$ ) according to classical force-field modeling (Figure 7a). However, they are identical molecules (i.e.,  $1 \equiv 3$ ) according to quantum chemical computations. They are not two species separated by a 1,2-C atom shift TS (i.e., no  $1 \rightarrow [TS(1/3)]^{\ddagger} \rightarrow 3$ ), which explains why none could be found. Structures **1** and **3** are resonance forms of the same entity (i.e.,  $[1 \leftrightarrow 3]$ ). They share the same set of Cartesian coordinates and ylide resonance forms (Scheme 5). The equivalence of **1** and **3** points toward a key relationship between  $TS(1/1)_{flap}$  (Figure 3g) and  $TS(3/3)_{flap}$  (Figures 3h and 7c). The IRC for bridge-flapping within so-called **3** reveals that it is actually a reaction path for degenerate *transverse* bridge-flapping within **1** (Figure 8b). Drawing **1** in ylide form recasts the motion represented by the IRC as straightforward bridge-flapping.

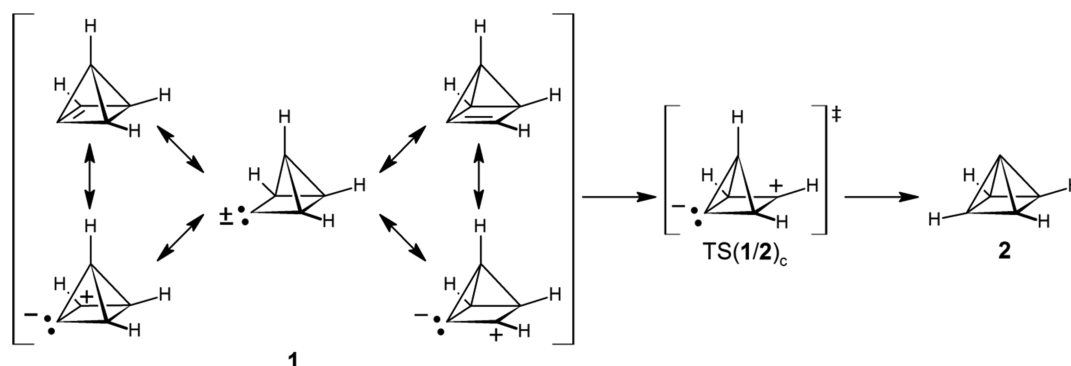
Carbene **1** comprises (cyclopropyl)carbene (**7**)<sup>94-102</sup> and cyclobutylidene (**8**)<sup>100-109</sup> units and should react according to their known rearrangement types. Examples of these include

**Table 7.** Bridge-Flapping Equilibria of Carbenes **1-R**<sup>a</sup>

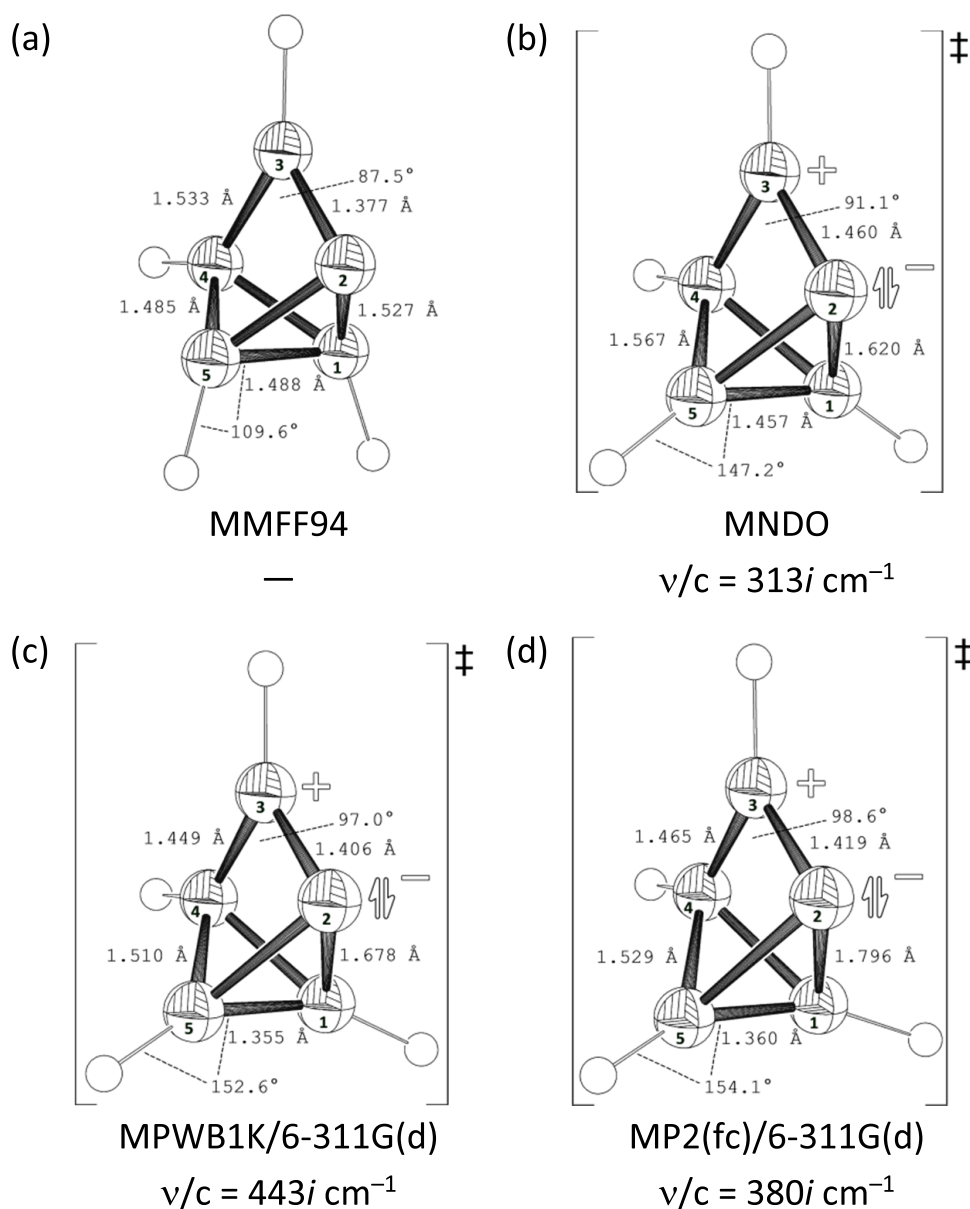
R	$\omega_{flap,prox-1-R}$ (deg)	$\omega_{flap,TS(prox-1-R/dist-1-R)}$ (deg)	$\omega_{flap,dist-1-R}$ (deg)	$\Delta E_{flap,R}^b$ (kcal/mol)	$E_{a,prox-1-R}$ (kcal/mol)	$E_{a,dist-1-R}$ (kcal/mol)
SiMe <sub>3</sub>	-42.5	0.8	41.4	4.3	15.8	11.4
PMe <sub>2</sub>	-43.0	0.1	42.2	1.6	15.8	14.1
H	$\pm 43.5^c$	[0]	$\pm 43.5^c$	[0]	17.0 <sup>c</sup>	17.0 <sup>c</sup>
Me	-43.3	0.0	44.9	-1.5	16.8	18.3
OMe	-45.6	-0.2	45.9	-5.6	18.7	24.3
Br	-44.5	-1.4	44.7	-6.7	16.7	23.5

<sup>a</sup>Computed using the MPWB1K/cc-pVTZ//MPWB1K/6-311G(d) + (0.9513)ZPVE theoretical model. <sup>b</sup>See eq 6. <sup>c</sup>The  $prox$  and  $dist$  stereodescriptors do not apply when R = H.

Scheme 5. Nonclassically Delocalized Carbene 1 Is Polar and Gives Pyramidane (2) via Low-Barrier 1,3-Zwitterionic Transition State  $TS(1/2)_c$ <sup>a</sup>

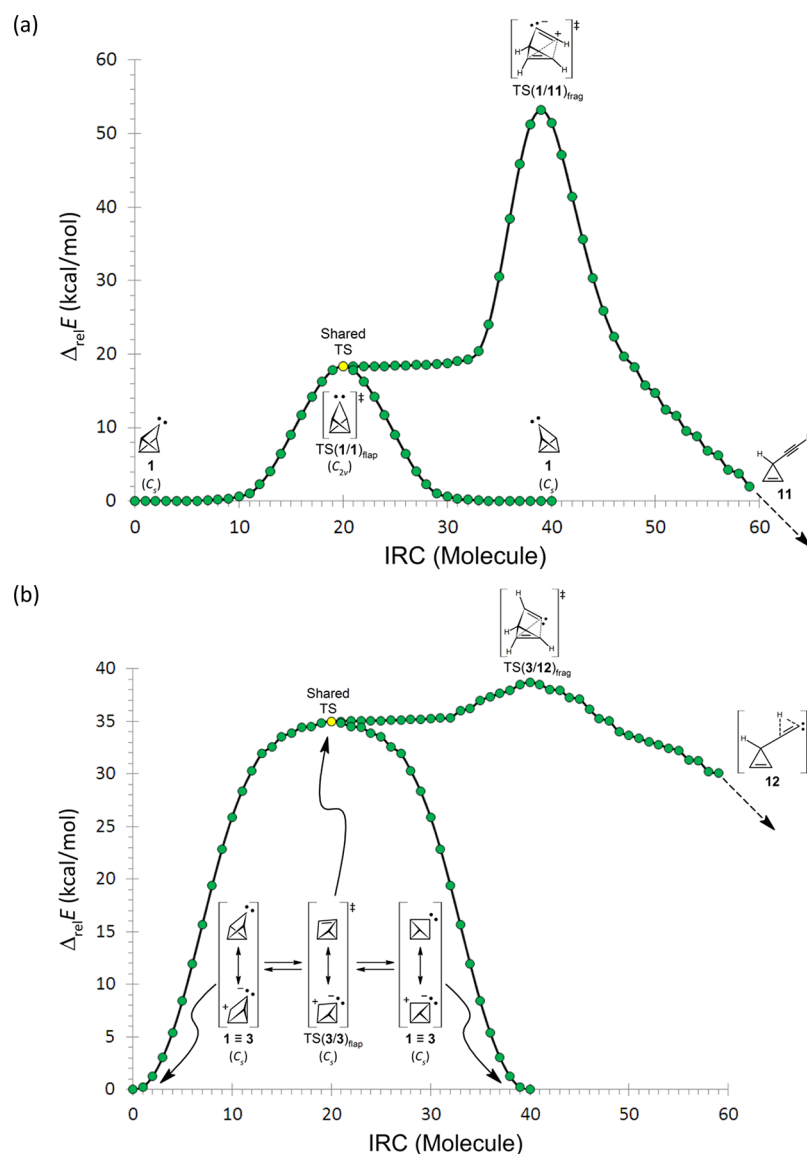


<sup>a</sup>Note that tricyclo[2.1.0.0<sup>2,5</sup>]pent-3-ylidene (1) is identical to tricyclo[2.1.0.0<sup>2,5</sup>]pent-2-ene (3), according to quantum chemical computations.



**Figure 7.** The bridgehead alkene tricyclo[2.1.0.0<sup>2,5</sup>]pent-2-ene (3) was optimized with the (a) MMFF94 method and used as the starting geometry for (b–d) quantum chemical models, which were bridge-flapping transition states  $TS(3/3)_{flap}$ . (ORTEP structures are 50% ellipsoids.)

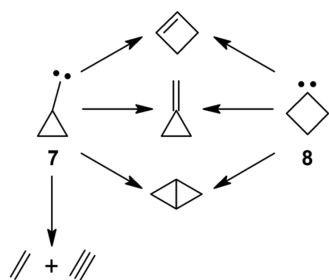




**Figure 8.** The yellow bifurcation points represent shared transition states. The combined IRCs show that (a) bridge-flapping  $TS(1/1)_{flap}$  is the starting point for enyne fragmentation to **11**, while (b) transverse bridge-flapping within **1**, or  $TS(3/3)_{flap}$ , is the starting point for a different enyne fragmentation (MPWB1K/6-311G(d)//MPWB1K/6-311G(d)).

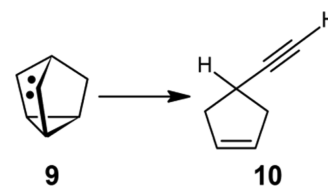
ring enlargement or ring contraction via a 1,2-C atom shift reaction and cyclopropanation via a 1,3-C–H bond insertion reaction (Scheme 6). (Cyclopropyl)carbenes can also react via enyne fragmentation reactions when structural circumstances are favorable. The most important of these is orbital

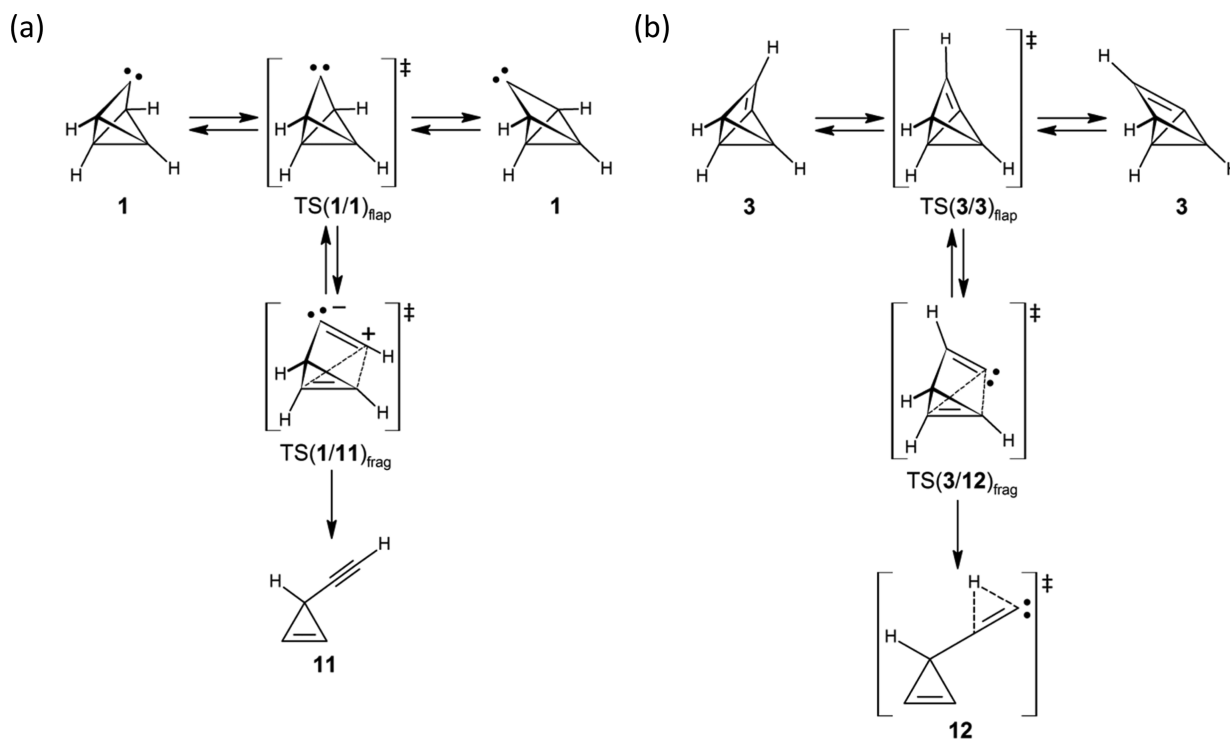
#### Scheme 6. Rearrangements of (Cyclopropyl)carbene (7) and Cyclobutylidene (8)



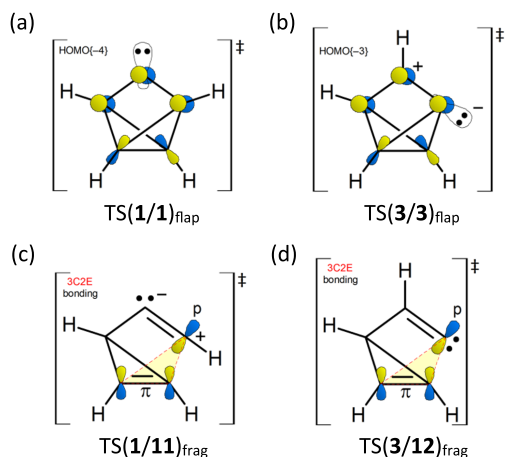
alignment.<sup>96</sup> The rigid  $C_s$ -symmetric carbene nortricyclidene (**9**) is a perfect example of this and yields the  $C_s$ -symmetric enyne (cyclopent-3-en-1-yl)ethyne (**10**) almost exclusively (Scheme 7).<sup>94–96</sup> Presently, IRC calculations show that (cycloprop-2-en-1-yl)ethyne (**11**) is formed from the  $C_s$ -symmetric carbene **1** via a shared TS,<sup>75,110</sup> namely,  $C_{2v}$ -symmetric  $TS(1/1)_{flap}$ . At this bifurcation point, either bridge-flapping will continue or enyne fragmentation will commence toward  $TS(1/11)_{frag}$  (Scheme 8a and Figure 8a). Depictions of

#### Scheme 7. Enyne Fragmentation within Nortricyclidene (9)



Scheme 8. Regular and Transverse Bridge-Flapping and Enyne Fragmentations for Carbene **1**

the properly aligned orbitals of the combined bridge-flapping/enyne fragmentation transition states are found in Figure 9.



**Figure 9.** Important similarities between carbene **1** and alkene **3** are revealed from orbital descriptions of (a, b) bridge-flapping and (c, d) enyne fragmentation.

The Walsh orbitals of  $\text{TS}(1/1)_{\text{flap}}$  (Figure 9a) and  $\text{TS}(3/3)_{\text{flap}}$  (Figure 9b) combine with the p orbital of the divalent C atom and trivalent C atom, respectively, to give extended  $\pi$ -systems that stabilize the shared transition states. Stabilization is achieved also with  $\text{TS}(1/11)_{\text{frag}}$  (Figure 9c) and  $\text{TS}(3/12)_{\text{frag}}$  (Figure 9d). Nonclassical three-center two-electron (3C2E) bonding arises from intramolecular  $\pi \rightarrow p$  electron donation. Finally, the ostensible H atom-bridged TS **12** does not involve a hydride migration. Instead, TS animation of the  $\nu/c = 201i \text{ cm}^{-1}$  vibrational normal mode (Figure 3l) shows H atom wagging. The IRC for this motion was limited and inconclusive

since it did not lead to a 1,2-H atom shift TS or a 1,2-C atom shift TS that would ultimately give enyne **11**.

## CONCLUSIONS

Inherent characteristics of tricyclo[2.1.0.0<sup>2,5</sup>]pent-3-ylidene (**1**) were computed using DFT. The divalent C atom of singlet **1** is stabilized by nonclassical electron delocalization from flanking bent bonds comprising well-aligned  $\sigma/\pi$ -type orbitals. This interaction is responsible for the singlet carbene's bent  $C_s$ -symmetric structure. Its main bridge is tilted  $\pm 43.5$  deg from a  $C_{2v}$ -symmetric geometry, which triplet **1** and the bridge-flapping TS do have. The energy barrier for flapping ( $E_a = 17.0$  kcal/mol) is much higher than that computed for adamantylidene ( $E_a = 1.1$  kcal/mol), whose main bridge is tilted only  $\pm 19.7$  deg from a  $C_{2v}$ -symmetric geometry. Thus, bridge-flapping conformerism within singlet **1** is relatively slow.

The sizeable  $\Delta E_{S-T}$  ( $-44.9$  kcal/mol) and gas-phase PA (258 kcal/mol) values computed for **1** suggest that the singlet is nucleophilic. This is further supported by a 2-D philicity chart of tabulated  $\Delta\Delta E$  values obtained from the frontier MO energies of singlet **1** and representative sets of carbenes and alkenes. Computations reveal that singlet **1** will react more quickly and completely with electron-poor alkenes than with electron-rich alkenes.

When singlet **1** is substituted at C1, the corresponding carbene **1-R** adopts a proximal conformation as the divalent C atom leans toward C1 and a distal one as it bends toward C5. The thermodynamic preference for a *prox* or *dist* conformation depends on the  $\sigma$  inductive effect of the R-group. A proximal conformation is preferred when R is an EDG, while a distal one is favored when R is an EWG. This controllable stereo-electronic behavior parallels that for  $\gamma$ -adamantylidenes, which consequently undergo intramolecular and intermolecular reactions with high diastereoselectivity.

Rearrangements of polar carbene **1** were investigated. The formation of pyramidane (**2**) via a 1,3-C–H bond insertion TS or via a 1,3-zwitterionic TS was conclusively proven by their IRCs. That for **1** → **2** is very flat and requires a supplemental geometry optimization to reach **2**. Formation of tricyclo-[2.1.0.0<sup>2,5</sup>]pent-2-ene (**3**) via [1,2]-sigmatropic transformations of **1**, for example, is unlikely since bridgehead alkenes have high strain energies. The IRC computed for bridge-flapping within **3** was found to be identical to that for *transverse* bridge-flapping within **1**. Hence, **1** and **3** are the same molecule. This is seen from their shared ylidic resonance form, which increases in importance as carbene nucleophilicity increases, as with NHCs. Although TS(3/3)<sub>flap</sub> violates Bredt's rule, strain is mitigated during *transverse* bridge-flapping within **1** by a lengthening of the cyclopropane unit's C–C bonds to 1.678 Å.

The enyne fragmentation of singlet **1** was also modeled. An IRC analysis of the enyne TS showed that the elementary step begins from a TS—that for singlet **1** bridge-flapping. Thus, the C<sub>2v</sub>-symmetric TS is a *shared* TS and bifurcation point. Such stringent orbital alignment will preclude enyne formation in the case of **1**.

Overall, the results anticipate that **1** is a nonclassically delocalized carbene. Its electron lone pair is a source of substantial Lewis basicity, making the carbene as nucleophilic as most NHCs. The carbene will react faster and more completely with electron-poor alkenes than with electron-rich ones. Also, its 1-substituted derivatives are subject to stereoelectronic control in a similar way as for  $\gamma$ -substituted adamantylidenes. Finally, carbene **1** and bridgehead alkene **3** are the same entity. They have the same set of Cartesian coordinates despite having different Lewis structures.

## COMPUTATIONAL METHODS

Quantum chemical calculations were performed using the Spartan'14 Parallel Suite (v. 1.1.8) computer program.<sup>111</sup> The restricted or unrestricted SCF wave functions of molecular equilibrium geometries and transition states were computed using the DFT method (U)MPWB1K<sup>112</sup> with a 6-311G(d) basis set. Frozen-core second-order Møller–Plesset perturbation theory (MP2(fc)) with a 6-31G(d) basis set was used to check earlier works.<sup>5,73</sup> Normal-mode vibrational analyses were performed at the level of geometry optimization. The harmonic frequencies were used to obtain temperature-independent zero-point vibrational energy ( $E_{ZPVE}$ )<sup>113</sup> and temperature-dependent thermal vibrational energy ( $\Delta_{\text{vib}}H$ ) values. Each reaction transition state (TS) had one, and only one, imaginary frequency  $\nu/c$  whose normal mode was animated to verify the motions expected for the elementary step. Intrinsic reaction coordinates (IRCs) were subsequently generated to follow conformational bridge-flapping, enyne fragmentation, etc. Single-point energy ( $E$ ) values were computed using the (U)MPWB1K/cc-pVTZ theoretical model. All  $E_{ZPVE}$  values were scaled by  $z = 0.9513$ <sup>114</sup> before being added to  $E$  ( $T = 0$  K;  $p = 0$  atm). Relative energy values ( $\Delta_{\text{rel}}E$ ) are specified with regard to tricyclo[2.1.0.0<sup>2,5</sup>]pent-3-ylidene, the 1-substituted carbene's proximal conformer, etc., which were set equal to [0]. Conversion of  $E$  values to enthalpy ( $H_T$ ) values was done according to eq S1 (see the Supporting Information; computational standard state:  $T = 298.15$  K;  $p = 1$  atm; cf. Table S1). All  $\Delta_{\text{vib}}H$  values were scaled by  $h = 0.9462$ <sup>114</sup> before being added to the ZPVE-corrected  $E$  values. The increase in kinetic energy, due to translations ( $3(1/2)RT$ ) and rotations ( $3(1/2)RT$ ), for each nonlinear molecule was then added. Finally,  $RT$  (i.e., “ $pV$  work” needed to expand 1 mol of ideal gas to  $V = 24.465$  L at  $T = 298.15$  K and  $p = 1$  atm) was added to obtain  $H_T$  (eq S1).

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.joc.0c02414>.

Computational methods, Cartesian coordinates, and energies/enthalpies (PDF)

Energy results/data (XLSX)

Transition-state IRCs (XLSX)

Conformer IRCs (XLSX)

$\Delta\Delta E$  calculations (XLSX)

## AUTHOR INFORMATION

### Corresponding Author

Udo H. Brinker – Institute of Organic Chemistry, University of Vienna, A-1090 Vienna, Austria; Department of Chemistry, The State University of New York at Binghamton, Binghamton, New York 13902-6000, United States; [orcid.org/0000-0002-2859-6577](https://orcid.org/0000-0002-2859-6577); Email: [udo.brinker@univie.ac.at](mailto:udo.brinker@univie.ac.at), [ubrinker@binghamton.edu](mailto:ubrinker@binghamton.edu)

### Author

Murray G. Rosenberg – Department of Chemistry, The State University of New York at Binghamton, Binghamton, New York 13902-6000, United States; [orcid.org/0000-0001-8353-1736](https://orcid.org/0000-0001-8353-1736)

Complete contact information is available at: <https://pubs.acs.org/10.1021/acs.joc.0c02414>

### Notes

The authors declare no competing financial interest.

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