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MOLECULAR EVOLUTION
EVOLUTIONARY GENETICSEvolutionary and Functional Novelty of
Pancreatic Ribonuclease: a Study of
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Pancreatic ribonuclease (*RNASE1*) is a digestive enzyme that has been one of the key models in studies of evolutionary innovation and functional diversification. It has been believed that the *RNASE1* gene duplications are correlated with the plant-feeding adaptation of foregut-fermenting herbivores. Here, we characterized *RNASE1* genes from Caniformia, which has a simple digestive system and lacks microbial digestion typical of herbivores, in an unprecedented scope based on both gene sequence and tissue expression analyses. Remarkably, the results yielded new hypotheses regarding the evolution and the function of Caniformia *RNASE1* genes. Four independent gene duplication events in the families of superfamily Musteloidea, including Procyonidae, Ailuridae, Mephitidae and Mustelidae, were recovered, rejecting previous Mustelidae-specific duplication hypothesis, but supporting Musteloidea duplication hypothesis. Moreover, our analyses revealed pronounced differences among the *RNASE1* gene copies regarding their selection pressures, pI values and tissue expression patterns, suggesting the differences in their physiological functions. Notably, the expression analyses detected the transcription of a *RNASE1* pseudogene in several tissues, raising the possibility that pseudogenes are also a potential source during the RNase functional diversification. In sum, the present work demonstrated a far more complex and intriguing evolutionary pattern and functional diversity of mammalian ribonuclease than previously thought.

As the prototype of the mammalian ribonuclease superfamily, pancreatic ribonuclease (*RNASE1*) is a digestive enzyme that has been one of the key models in studies of evolutionary innovation and functional diversification. The *RNASE1* gene has been thoroughly investigated in many mammalian herbivores having multicompartmentalized stomachs, like ruminants (e.g. ox, sheep and camel)^{1–7}, and species with ruminant-like or cecal digestions (e.g. leaf-eating colobines and elephants)^{8–15}. Whereas other mammalian species contain only a single *RNASE1* gene, these herbivorous species harbor multiple *RNASE1* genes resulting from gene duplication, which are thought to be an adaptive response to increased demands for the enzyme for digesting bacterial RNA from the gut microflora^{5–9,13,14}.

Interestingly, the *RNASE1* gene was recently found to duplicate in three species of Mustelidae family in Caniformia (dog-like carnivores), which has a simple digestive system and lacks microbial digestion in the rumen or cecum typical of herbivores¹⁶, leading to the prediction that new tissue specificity or functions of *RNASE1* genes might have developed in these species. The Caniformia *RNASE1* genes thus provided an attractive study model for understanding the evolutionary and functional novelty of the RNases in mammals.

In the present study, we characterized 94 complete protein-coding *RNASE1* gene sequences from 34 species representing 8 Caniformia families, and performed both gene sequence and tissue expression analyses to elucidate the exact origin of multiple *RNASE1* genes in Caniformia and to validate whether these genes have evolved new functions and what these functions might be. Remarkably, our analyses identified independent gene duplications in four families of Musteloidea, including Procyonidae, Ailuridae, Mephitidae and Mustelidae, rejecting the Mustelidae-specific duplication hypothesis, but supporting the Musteloidea duplication hypothesis. In addition,



our analyses revealed the pronounced differences among the *RNASE1* gene copies regarding their selection pressures, pI values and tissue expression patterns, with the finding that the highest expressions for the newly duplicated genes in the pancreas, the lung, the spleen and the muscle, in comparison with those for the original genes in the guts. Notably, the expression analyses detected the transcription of a *RNASE1* pseudogene in several tissues, raising the possibility that pseudogenes are also a potential source during the RNase functional diversification. In sum, the present work demonstrated a far more complex and intriguing evolutionary pattern and functional diversity of mammalian ribonuclease than previously thought.

Results

Caniformia *RNASE1* Sequences. A total of 94 *RNASE1* sequences with complete protein-coding region (456 bp) were identified from 34 caniformian species examined, including 3 canids (dogs), ursids (bears), the red panda, 1 procyonid (raccoons), 21 mustelids (weasels), 1 mephitid (skunks), 2 otariids (sea lions), and 1 phocid (true seals) (Table 1). Among these sequences, 12 of the open reading frames (ORFs) are interrupted by premature stop codons and thus are regarded as pseudogenes. The canids, ursids, otariids, and phocids all have a single *RNASE1* gene, whereas the procyonids, the red panda, mephitids and all 21 mustelids had multiple gene copies, ranging from 2 (procyonids, the red panda, *Gulo gulo*, *Martes americana*, and *Melogale moschata*) to 5 (*Mustela frenata*, *Mustela putorius*, *Mustela sibirica* and *Martes flavigula*) (Table 1). From this, it can be seen that there is an apparent gene expansion in the Musteloidea, a superfamily of Caniformia that includes families Procyonidae, Mustelidae, Ailuridae and Mephitinae, especially typical in Mustelidae, in contrast with those in non-Musteloidea caniformians.

We next calculated the numbers of amino acid residue differences between the functional paralogous genes in the Musteloidea species, except for *Spilogale putorius* (mephitids), which has only one putative functional gene. The amino acid residue differences between the paralogs is 9 in *Potos flavus* (procyonids), 7 in *Ailurus fulgens* (the red panda), and 24 in mustelids on average (ranging from 13 in *Gulo gulo* to 31 in *Mustela sibirica*). Therefore, they most likely represent distinct genes derived from gene duplications, rather than alleles of a single gene.

The nucleotide sequence alignment of all the 94 *RNASE1* sequences is 514 bp in length and includes the coding regions for the complete signal peptide (1–84 bp) and the mature peptide (85–514 bp). The protein alignment of 82 putative functional *RNASE1* sequences is 170 aa (Figure 1). Almost all of these sequences have the RNase A superfamily signature motif: catalytic triad (H₁₂-K₄₁-His₁₁₉, numbers according to human RNase 1 of the mature peptide) that is required for ribonuclease activity and CKXXNTF which includes the catalytic lysine residue K₄₁^{17,18}. It should be noted, however, that the histidine (H₁₂) in the catalytic triad is replaced by a proline (P₁₂) in a duplicated gene (*RNASE1D*) of *Mustela erminea*, and the asparagine (N) in the signature motif CKXXNTF is replaced by an isoleucine (I) in two duplicated genes (*RNASE1D* and *RNASE1D2*) of *Enhydra lutris* and a duplicated gene (*RNASE1D*) of *Lutra lutra* (Figure 1). The asparagine residue N45 has been thought to be involved in the catalytic mechanism of RNase A superfamily^{17,18}. Interestingly, previous studies of the RNase A superfamily found that *RNASE 9–13*, which exhibited diverse expression patterns and may have acquired novel functions related to male reproduction, are variable at these sites of the superfamily signature motif, and moreover, their isoelectric points (pIs) are lower than those of the other *RNASE* members¹⁸. The observed sequence variations in these four duplicated *RNASE1* genes (*M. erminea RNASE1D*, *E. lutris RNASE1D*, *E. lutris RNASE1D2*, and *L. lutra RNASE1D*) suggest that they may not possess ribonucleolytic or antibacterial activities, but

have acquired novel functions. Their lower pIs than the other *RNASE1* paralogs (8.147 for *M. erminea RNASE1D* vs. 8.405–9.214 for other *M. erminea RNASE1s*; 7.859 for *E. lutris RNASE1D2* and 8.179 for *E. lutris RNASE1D* vs. 8.476–8.769 for other *E. lutris RNASE1s*; 7.682 for *L. lutra RNASE1D* vs. 8.586 for *L. lutra RNASE1A*) also support this hypothesis.

Phylogenetic Inferences of Caniformia *RNASE1* Genes. We generated similar phylogenetic tree topologies with (Supplementary Data 3) and without (Figure 2) the 12 pseudogenes. In addition, results from NJ and MP analyses were quite similar with the exception of a few weakly supported terminal branches. We show the NJ tree topologies here.

As seen from Supplementary Data 3b and Figures 2, Canidae diverged earliest, followed by Ursidae (NJ BS = 70% and 73%; MP BS = 68% and 70%) and then the pinnipeds (including Otariidae and Phocidae) (NJ BS = 85% and 74%; MP BS = 80% and 70%). Ailuridae, Procyonidae, Mustelidae, and Mephitinae form the monophyletic superfamily Musteloidea (NJ BS = 85% and 74%; MP BS = 80% and 70%). Within Musteloidea, Mephitidae separate first (NJ BS = 62% and 74%; MP BS = 60% and 69%), followed by Procyonidae (NJ BS = 52% and 57%; MP BS = 50% and 53%). Ailuridae and Mustelidae are sister-taxa (NJ BS = 52% and 57%; MP BS = 50% and 53%). These results are in a general agreement with the accepted phylogeny of Caniformia families (Supplementary Data 3a), except for the relationship among Procyonidae, Mustelidae and Ailuridae within Musteloidea^{19–25}. Growing evidence has supported that Procyonidae and Mustelidae are sister-taxa, and Ailuridae was their closest relative^{22,23,26}. In the present study, the clustering of Ailuridae and Mustelidae (with a low nodal support) is perhaps caused by the small number of nucleotides used.

Phylogenetic trees for the multiple *RNASE1* sequences from Musteloidea show that they cluster by family-specific clusters with high nodal supports (NJ BS ≥ 99%; Supplementary Data 3b and Figures 2). This finding supports the conclusion that the gene duplication arose after the Musteloidea speciation and four independent gene duplication events took place in Ailuridae, Procyonidae, Mustelidae, and Mephitinae (four red circles in Supplementary Data 3b). Hence, our study not only found gene duplication events in four families of Musteloidea, but also favored independent duplication patterns in these four families, providing a new evolutionary scenario for *RNASE1* genes in Caniformia.

In addition to identifying four independent duplication events in the families of Musteloidea, our phylogenetic analyses reveal a far more complex evolutionary pattern within Mustelidae than the other three families of Musteloidea. While only one or two gene duplication and pseudogenization events are observed in the other three families of Musteloidea (Supplementary Data 3b), 77 *RNASE1* sequences are identified in Mustelidae (67 putative functional gene sequences and 10 pseudogenes) and they are consistently divided into four gene groups via three early duplication events (three blue arrows in Supplementary Data 3b and Figures 2). We named the four gene groups as Groups A–D (Group A: NJ BS = 45% and MP BS = 44%; Group B: NJ BS = 48% and MP BS = 43%; Group C: NJ BS = 81% and MP BS = 75%; Group D: NJ BS = 51% and MP BS = 50%). The phylogeny without the pseudogenes agrees with this duplication pattern (Figure 3) (Group A: NJ BS = 46% and MP BS = 43%; Group B: NJ BS = 47% and MP BS = 44%; Group C: NJ BS = 82% and MP BS = 77%; Group D: NJ BS = 50% and MP BS = 50%). In addition, within these four gene groups, at least eight additional recent and lineage-specific duplication events (five green triangles in Supplementary Data 3b and Figure 2) and pseudogenization events (three yellow diamonds in Figure 2) took place.

Evolutionary Rates and Selective Patterns in Musteloidea. To test whether the *RNASE1* genes have evolved at different rates between Musteloidea and the other Caniformia families, we first performed

Table 1 | Species examined in this study and their *RNASE1* genes [a Gene numbers (putative functional genes/pseudogenes)]

| Family | Subfamily | Scientific name | Common name | Sample source | Gene numbers ^a | GenBank Accession No. | |
|----------------------------------|-------------------|-------------------------------|--------------------------|-----------------------------------|---------------------------|-----------------------|-------------------|
| Mustelidae (weasels) | Mustelinae | <i>Mustela sibirica</i> | Himalayan weasel | China | 5(3/2) | KC884989-KC884993 | |
| | | <i>Mustela putorius</i> | Polecat | IRTA, Spain | 5(3/2) | KC884962-KC884966 | |
| | | <i>Mustela nivalis</i> | Least weasel | CGRB*, South Korea | 4(3/1) | KC885002-KC885005 | |
| | | <i>Mustela kathiah</i> | Yellow-bellied weasel | Yunnan Province, China | 4(4/0) | KC884998-KC885001 | |
| | | <i>Mustela frenata</i> | Long-tailed weasel | Royal Ontario Museum, Canada | 5(5/0) | KC885030-KC885034 | |
| | | <i>Mustela vison</i> | American mink | Royal Ontario Museum, Canada | 4(4/0) | KC884974-KC884977 | |
| | | <i>Mustela lutreola</i> | European mink | IRTA, Spain | 4(3/1) | KC884958-KC884961 | |
| | | <i>Mustela erminea</i> | Ermine | IRTA, Spain | 3(3/0) | KC884978-KC884980 | |
| | | <i>Mustela altaica</i> | Mountain weasel | IRTA, Spain | 4(3/1) | KC884954-KC884957 | |
| | | <i>Martes zibellina</i> | Sable | Neimenggu Province, China | 4(4/0) | KC884994-KC884997 | |
| | Martinae | <i>Martes americana</i> | American marten | Royal Ontario Museum, Canada | 2(2/0) | KC885025-KC885026 | |
| | | <i>Martes foina</i> | Stone Marten | China | 4(4/0) | KC884985-KC884988 | |
| | | <i>Martes flavigula</i> | Yellow-throated marten | Kunming Zoo, China | 5(4/1) | KC885006-KC885010 | |
| | | <i>Martes pennanti</i> | Fisher | Royal Ontario Museum, Canada | 3(3/0) | KC885027-KC885029 | |
| | | <i>Martes martes</i> | Pine marten | IRTA, Spain | 3(3/0) | KC884982-KC884984 | |
| | | <i>Gulo gulo</i> | Wolverine | Royal Ontario Museum, Canada | 2(2/0) | KC885023-KC885024 | |
| | | Helictidinae | <i>Melogale moschata</i> | Chinese Ferret-Badger | Yunnan Province, China | 2(2/0) | KC885021-KC885022 |
| | | Lutrinae | <i>Lutra lutra</i> | Common otter | CGRB*, South Korea | 3(2/1) | KC885018-KC885020 |
| | | | <i>Enhydra lutris</i> | Sea otter | IRTA, Spain | 4(4/0) | KC884967-KC884970 |
| | | Melinae | <i>Meles meles</i> | European badger | CGRB*, South Korea | 3(3/0) | KC885015-KC885017 |
| <i>Arctonyx collaris</i> | Hog badger | | Yunnan Province, China | 4(3/1) | KC885011-KC885014 | | |
| Procyonidae (raccoons) | | <i>Potos flavus</i> | Kinkajou | San Diego Zoo, USA | 2(2/0) | KC885035-KC885036 | |
| Mephitidae (skunks) | | <i>Spilogale putorius</i> | Eastern Spotted Skunk | IRTA, Spain | 3(1/2) | KC884971-KC884973 | |
| Ailuridae (the red panda) | | <i>Ailurus fulgens</i> | Red Panda | Kunming Zoo, China | 2(2/0) | KC885037-KC885038 | |
| Otariidae (sea lions) | | <i>Zalophus californianus</i> | California sea lion | Qingdao, Shandong Province, China | 1(1/0) | KC885040 | |
| | | <i>Callorhinus ursinus</i> | Northern fur seal | Qingdao, Shandong Province, China | 1(1/0) | KC885039 | |
| Phocidae (true seals) | | <i>Phoca vitulina</i> | Harbor seal | Qingdao, Shandong Province, China | 1(1/0) | KC885044 | |
| Ursidae (bears) | | <i>Tremarctos ornatus</i> | Spectacled bear | San Diego Zoo, USA | 1(1/0) | KC885041 | |
| | | <i>Ursus thibetanus</i> | Asiatic black bear | Yunnan Province, China | 1(1/0) | KC885042 | |
| | | <i>Ursus maritimus</i> | Polar bear | downloaded from public database | 1(1/0) | KC885048 | |
| | | <i>Ailuropoda melanoleuca</i> | Giant panda | Sichuan Province, China | 1(1/0) | KC885043 | |
| | | <i>Canis lupus</i> | Grey wolf | Haerbing, China | 1(1/0) | KC885047 | |
| Canidae (dogs) | | <i>Canis rufus</i> | Red wolf | Russia | 1(1/0) | KC885046 | |
| | | <i>Canis familiaris</i> | Dog | downloaded from public database | 1(1/0) | KC885045 | |

RRTREE analyses using all 94 sequences, with Canidae *RNASE1* sequences used as the outgroups (Table 2). The results of these analyses indicate that the substitution rate of Musteloidea was significantly higher than those of both pinnipeds (including families Otariidae and Phocidae) and Ursidae ($P = 0.014008$ and $P = 0.016164$, respectively). When the tests were conducted within Musteloidea, the family Mustelidae was found to evolve significantly faster than both pinnipeds ($p = 0.013945$) and Ursidae ($P = 0.016111$) (Table 2). By comparing group A–D of Mustelidae family with other Caniformia families, we found that groups B and D significantly rejected the hypothesis of equal rates (Table 2).

When the substitution rates are divided into nonsynonymous and synonymous rates using 82 putative functional sequences, the synonymous rates were found to be similar ($P > 0.05$), whereas the nonsynonymous rates show significant differences ($P < 0.05$) (Table 2). This suggests that the rate differences observed above are due to a difference in amino-acid substitutions rates, which are most likely to associate with the changes in selective pressures.

To examine whether the accelerated *RNASE1* nonsynonymous substitution rates in Musteloidea were produced by the action of positive selection, we performed codon-based maximum likelihood (CODEML) analyses using the NJ tree topology shown in Figure 2,

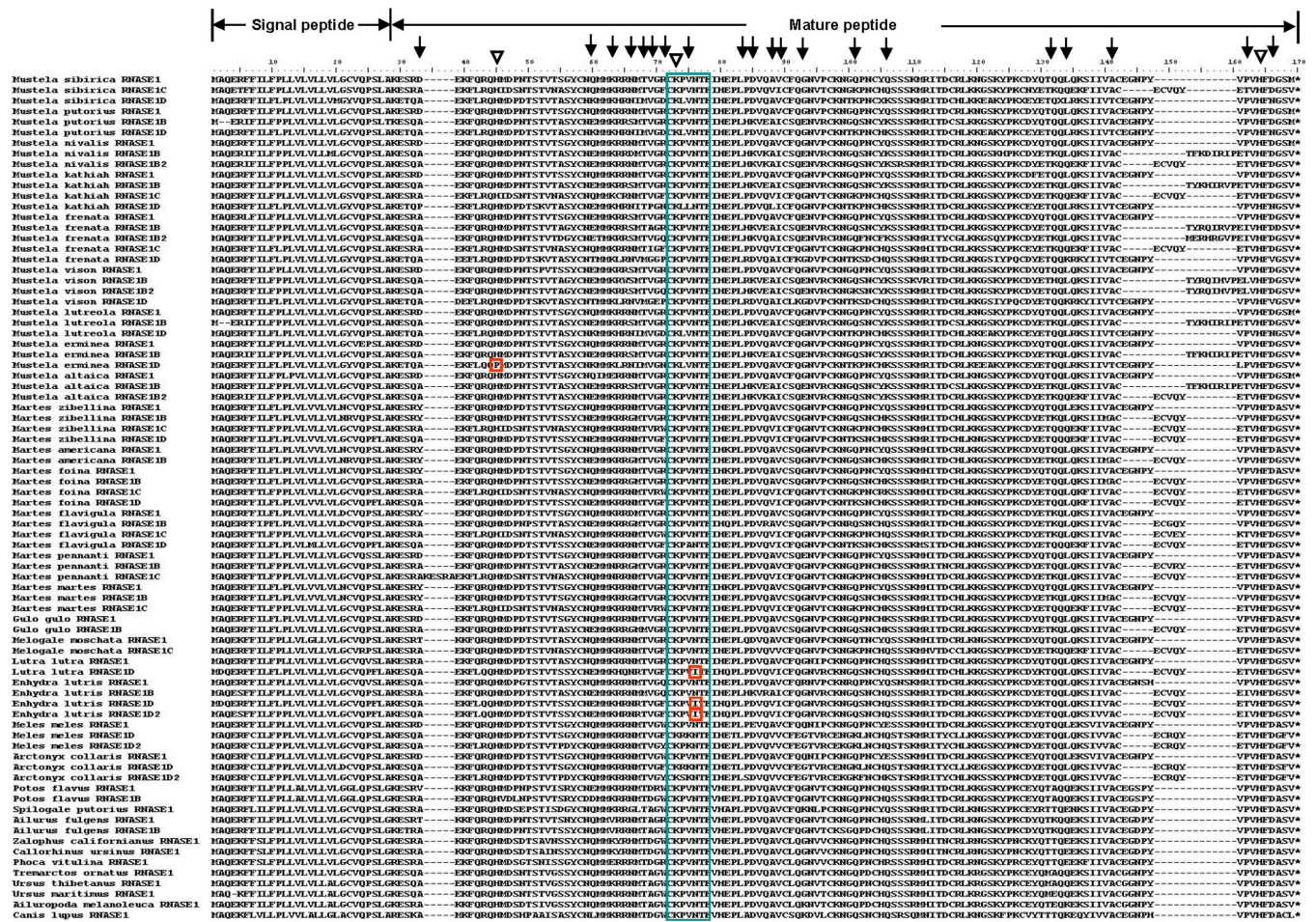


Figure 1 Amino acid alignment of 82 putative functional *RNASE1* sequences. The catalytic triad (H12-K41-His119) motif is indicated by the triangle. The CKXXNTF motif is highlighted by the blue box. Variable sites in these motifs are highlighted in the red box. Positively selected sites identified by PAML are indicated by the arrows.

with the exception of clustering Procyonidae with Mustelidae and placing Ailuridae as their sister-group, which are more consistent with the generally accepted relationships^{22,23,26}. Canidae is used for rooting. Ambiguous sites are not considered (cleandata = yes in the CODEML analyses). Considering that CODEML analyses may be sensitive to the tree topology employed, we also conducted the analyses using the MP tree topology and obtained similar results.

As summarized in Table 3, the free-ratio model, M1, revealed a significantly better fit to the data than did the one-ratio model, M0 ($P < 0.05$), suggesting that these *RNASE1* genes are likely subject to different selection pressures. To examine whether any site in *RNASE1* have been positively selected, we performed the LRT test by comparing site models. The positive-selection model (M8) provided a significantly better fit to the data than did the neutral model (M8a) ($P < 0.0001$). The Bayes empirical Bayes (BEB) analysis identified 10 positively selected sites, 6 of which (alignment positions 60, 66, 71, 93, 134, and 162 in Figure 1) had PPs > 0.95 .

We further tested the presence of positive selection and adaptive sites in the Musteloidea *RNASE1* sequences using LRTs based in the branch-site models (Table 3). We first examined those branches leading to the gene duplication events in Ailuridae (branch *a* in Figure 2), Procyonidae (branch *b* in Figure 2), and Mustelidae (branch *c-j* in Figure 2) separately. Interestingly, we detected signals for positive selection in branch *a* ($p < 0.05$), which produced two *RNASE1* gene copies in Ailuridae, and branch *e* ($p < 0.001$), which gave rise to Group C and Group D in Mustelidae (Table 3). Residue 63 was detected as a positively selected site along branch *a*, while

residues 71, 88, and 101 were positively selected sites along branch *e*, in which residue 101 had PPs > 0.95 (indicated in Figure 1 and 2). After performing Bonferroni correction for multiple testing, the LRT tests are still significant in branch *e*. Similarly, we also tested those branches of the duplicated gene copies using the branch-site model. The analyses suggest that there is significant evidence of positive selection acting along the terminal branch to one of the duplicated genes (*RNASE1D*) from *Mustela kathiah* in Group D branch *k*; $P < 0.001$, and the ancestral branch to the duplicated genes (*RNASE1B*) from three species of the *Martes* genus in Group B (branch *l*, $P < 0.001$), as well as the ancestral branch to the Group B genes of the Mustelinae and Lutrinae sub-families species (branch *m*, $P < 0.01$). The BEB analysis identified 7 positively selected sites along branch *k*, 2 of which (alignment positions 69 and 88) were predicated with PPs > 0.95 , and identified 2 positively selected sites along branch *l*, 1 of which (alignment position 33) were predicated with PPs > 0.95 , as well as 3 positively selected sites along branch *m*, of which (alignment position 85) were predicated with PPs > 0.95 (Figure 1 and 2). After performing the Bonferroni correction for multiple testing, the LRT tests were still significant.

Differences in Tissue Expression Patterns among *RNASE1* gene copies. It is known that duplicated genes evolving under positive selection often show functional divergence from the original genes. This prompted us to investigate the possibility of functional divergence caused by *RNASE1* gene duplications by characterizing

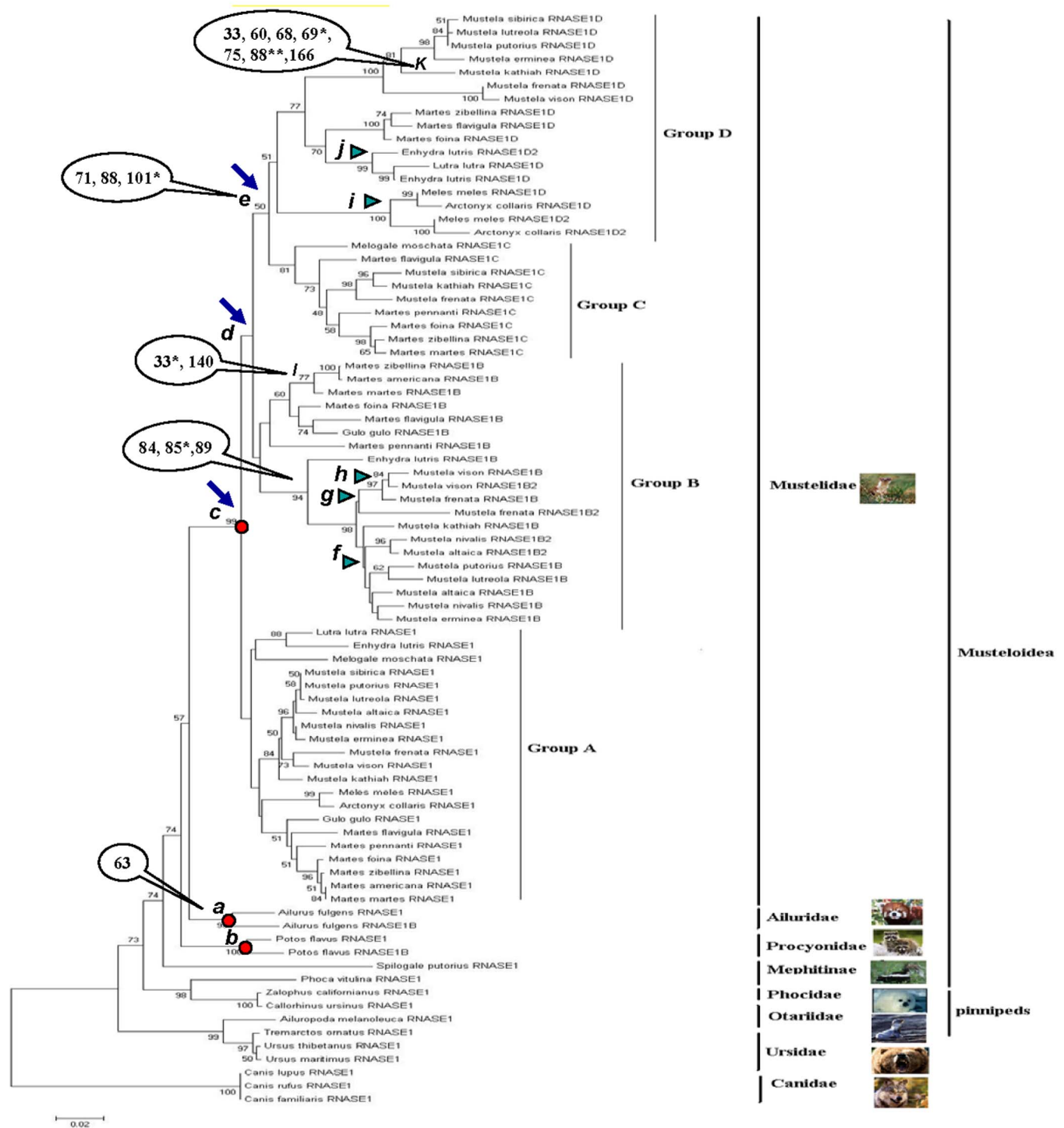


Figure 2 | Phylogenetic tree of 82 putative functional *RNASE1* sequences. The phylogenetic tree was generated from NJ analysis. Gene duplication events are indicated. Positively selected branches and sites identified in branch-site model are indicated.

the tissue expression patterns of the *RNASE1* gene copies in two mustelidae representatives, *Mustela sibirica* and *Melogale moschata*.

Through amplifying and sequencing *RNASE1* cDNAs, we found that four of five *RNASE1* genes from *Mustela sibirica* are expressed, including three putative functional genes (*RNASE1A*, *RNASE1C* and *RNASE1D*) and one pseudogene (*RNASE1Bψ1*). For *Melogale moschata*, both putative functional *RNASE1* genes (*RNASE1A* and *RNASE1C*) are expressed.

Figure 3 shows the relative mRNA expression levels in 14 tissues for each *RNASE1* gene copy in the two species studied. The level of

expression is normalized to brain tissue. Overall, we found that, for both species, the expression pattern varies considerably among the *RNASE1* gene copies. Among the three putative functional *RNASE1* genes in *Mustela sibirica* (Supplementary Data 3b), *RNASE1A* is expressed in the small intestine, the large intestine and the duodenum (Figure 3a), whereas *RNASE1C* has the highest expression in the lung (Figure 3b) and *RNASE1D* is detected in the spleen with the highest expression level (Figure 3c). By contrast, the pseudogene, *i.e.*, *RNASE1Bψ1*, is expressed highest in the pancreas and the heart (Figure 3d). For the two putative functional *RNASE1* genes in

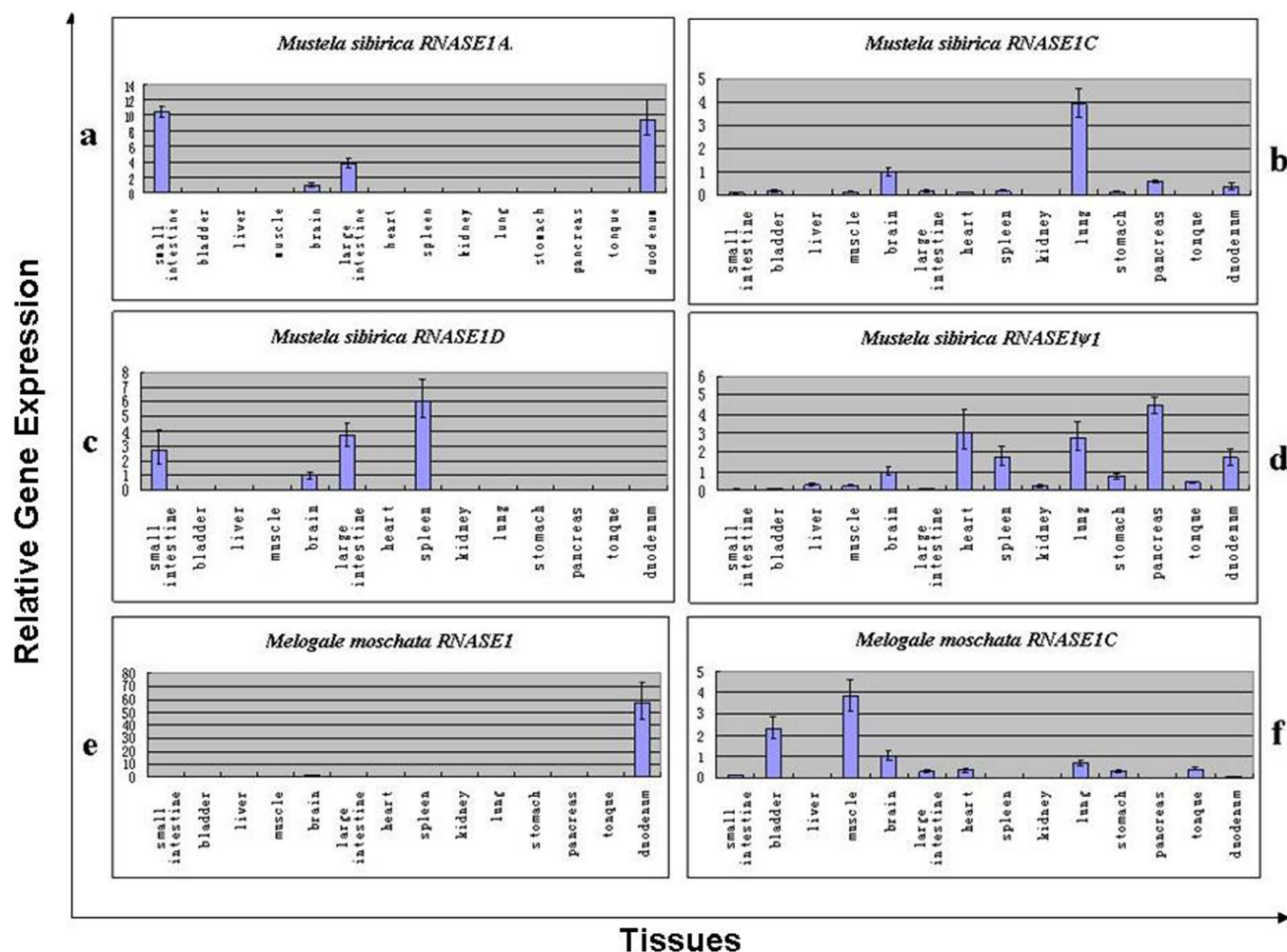


Figure 3 | Relative mRNA expression levels in the 14 tissues for each *RNASE1* gene copy of *Mustela sibirica* and *Melogale moschata*. The level of expression is normalized to brain tissue.

Table 2 | Heterogeneity in the substitution rates and nonsynonymous rates of evolution of *RNASE1* genes in Musteloidea as indicated in the two-cluster test of RRTREE

Comparisons of substitution rates

| | outgroup | lineage 1 | lineage 2 | rate1 | rate2 | P value |
|---|----------|-------------|-----------|----------|----------|----------|
| Musteloidea vs. the other Caniformia families | Canidae | Musteloidea | pinnipeds | 0.252279 | 0.208385 | 0.014008 |
| | Canidae | Musteloidea | Ursidae | 0.254481 | 0.206313 | 0.016164 |
| Families in Musteloidea vs. the other Caniformia families | Canidae | Mustelidae | pinnipeds | 0.250786 | 0.206074 | 0.013945 |
| | Canidae | Mustelidae | Ursidae | 0.252951 | 0.204029 | 0.016111 |
| Gene Groups in Mustelidae vs. the other Caniformia families | Canidae | Group B | pinnipeds | 0.255294 | 0.206487 | 0.018824 |
| | Canidae | Group B | Ursidae | 0.257445 | 0.204581 | 0.018158 |
| | Canidae | Group D | pinnipeds | 0.287246 | 0.209285 | 0.000126 |
| | Canidae | Group D | Ursidae | 0.289685 | 0.204581 | 0.000203 |

Comparisons of nonsynonymous substitution rates

| | | | | | | |
|---|---------|--------------|--------------|--------------|-----------|------------|
| Musteloidea vs. the other Caniformia families | Canidae | Musteloidea | pinnipeds | 0.216563 | 0.168543 | 0.017631 |
| | Canidae | Musteloidea | Ursidae | 0.218565 | 0.170468 | 0.01113 |
| Families in Musteloidea vs. the other Caniformia families | Canidae | Mustelidae | pinnipeds | 0.219085 | 0.168543 | 0.014985 |
| | Canidae | Mustelidae | Ursidae | 0.221114 | 0.170468 | 0.009617 |
| Gene Groups in Mustelidae vs. the other Caniformia families | Canidae | Group B | pinnipeds | 0.211949 | 0.164206 | 0.037993 |
| | Canidae | Group B | Ursidae | 0.212627 | 0.166028 | 0.027826 |
| | Canidae | Group D | pinnipeds | 0.255057 | 0.164665 | 0.000175 |
| | Canidae | Group D | Ursidae | 0.258944 | 0.166524 | 0.000119 |
| | | | <i>Wea-2</i> | <i>Bad-3</i> | 0.0986609 | 0.193321 |
| | | <i>Wea-1</i> | <i>Bad-2</i> | 0.0682123 | 0.150043 | 0.00021178 |
| | | <i>Wea-1</i> | <i>Wea-3</i> | 0.0682123 | 0.152802 | 0.00032555 |

Table 3 | CODEML analyses of the selective pattern for *RNASE1* genes in Musteloidea

| Models | $\ln L$ | Parameter Estimates | $2\Delta L$ | Positively Selected Sites |
|-------------------------------|--------------|---|---------------------------------|--|
| Branch-specific models | | | | |
| one-ratio | -5329.391141 | $\omega = 0.41926$ | | |
| free-ratio | -5227.477635 | | 203.827015 (P < 0.05) | |
| Site-specific models | | | | |
| M8a | -5163.344606 | $p0 = 0.75820$ $p = 0.89566$ $q = 3.50973$ $p1 = 0.24180$ $\omega = 1$ | | Not allowed |
| M8 | -5137.931076 | $p0 = 0.91880$ $p = 0.55837$ $q = 1.00253$ $p1 = 0.08120$ $\omega = \mathbf{2.87333}$ | 50.82706 (P < 0.0001) | 60 (0.950)* 66* (0.988) 69 (0.889) 71** (1.000) 84 (0.573) 93** (0.999) 106 (0.918) 132 (0.830) 134** (0.999) 162* (0.959) |
| Branch-site models | | | | |
| Branch a | | | | |
| M1a | -5175.657635 | $p0 = 0.64638$ $p1 = 0.33023$ $p2 = 0.02339$ $\omega0 = 0.15077$ $\omega1 = 1$ $\omega2 = \mathbf{1}$ | | |
| Model A | -5173.723937 | $p0 = 0.66160$ $p1 = 0.32765$ $p2 = 0.01075$ $\omega0 = 0.15175$ $\omega1 = 1$ $\omega2 = \mathbf{44.42402}$ | 3.867396 (P < 0.05) | 63 (0.904) |
| Branch e | | | | |
| M1a | -5174.986245 | $p0 = 0$ $p1 = 0$ $p2 = 1$ $\omega0 = 0.14937$ $\omega1 = 1$ $\omega2 = \mathbf{1}$ | | |
| Model A | -5167.787006 | $p0 = 0.64646$ $p1 = 0.33130$ $p2 = 0.02225$ $\omega0 = 0.14957$ $\omega1 = 1$ $\omega2 = \mathbf{999}$ | 14.398478 (P < 0.001) | 71 (0.839) 88 (0.930) 101* (0.950) |
| Branch k | | | | |
| M1a | -5174.401326 | $p0 = 0.4445$ $p1 = 0.22528$ $p2 = 0.33027$ $\omega0 = 0.14828$ $\omega1 = 1$ $\omega2 = \mathbf{1}$ | | |
| Model A | -5167.599321 | $p0 = 0.63957$ $p1 = 0.32576$ $p2 = 0.03467$ $\omega0 = 0.14855$ $\omega1 = 1$ $\omega2 = \mathbf{345.77645}$ | 13.60401 (P < 0.001) | 33 (0.782) 60 (0.519) 68 (0.607) 69* (0.953) 75 (0.946) 88** (0.992) 166 (0.626) |
| Branch l | | | | |
| M1a | -5175.672508 | $p0 = 0.65987$ $p1 = 0.34013$ $p2 = 0$ $\omega0 = 0.15048$ $\omega1 = 1$ $\omega2 = \mathbf{1}$ | | |
| Model A | -5172.23885 | $p0 = 0.64423$ $p1 = 0.33515$ $p2 = 0.02063$ $\omega0 = 0.15089$ $\omega1 = 1$ $\omega2 = \mathbf{999}$ | 6.867316 (P < 0.01) | 33* (0.960) 140 (0.607) |
| Branch m | | | | |
| M1a | -5174.98074 | $p0 = 0.57216$ $p1 = 0.29299$ $p2 = 0.13485$ $\omega0 = 0.14860$ $\omega1 = 1$ $\omega2 = \mathbf{1}$ | | |
| Model A | -5171.131265 | $p0 = 0.64900$ $p1 = 0.33292$ $p2 = 0.01808$ $\omega0 = 0.14879$ $\omega1 = 1$ $\omega2 = \mathbf{122.45606}$ | 7.69895 (P < 0.01) | 84 (0.641) 85* (0.976) 89 (0.655) |

Melogale moschata (Supplementary Data 3b), the expression of *RNASE1A* was significantly higher in duodenum than in other tissues (Figure 3e), whereas *RNASE1C* has the highest expression in the muscle (Figure 3f).

Discussion

In the present study, we identified and characterized new *RNASE1* gene sequences from Caniformia in an unprecedented scope based on both gene sequence and tissue expression analyses, which yielded a new hypothesis regarding the evolution and the function of these genes.

First, the gene duplication events were identified in four families of Musteloidea, including Procyonidae, Ailuridae, Mephitidae and Mustelidae, rejecting the Mustelidae-specific duplication hypothesis, but supporting the Musteloidea duplication hypothesis.

Second, the sequence analyses show the monophyly of the *RNASE1* genes in each of Mustelidae, Procyonidae, Ailuridae, and Mephitidae (Supplementary Data 3b and Figures 2), suggesting that

four independent family-specific gene duplication events occurred in Musteloidea. Interestingly, this independent diversification pattern of *RNASE1* genes in Musteloidea parallels what has been proposed for this gene in the colobine monkeys (*i.e.*, leaf-eating monkeys), in which two independent *RNASE1* gene duplication events have been found in Asian colobine and African colobine subfamilies^{12–15}. In comparison, the *RNASE1* gene duplication pattern in the ruminant artiodactyls is different, which has been reported to arise through ancient gene duplication events in the common ancestor of all ruminants^{6,27}, rather than independent duplications. Furthermore, several other lines of evidence support the independent diversifications of *RNASE1* genes in four Musteloidea families discovered here: (1) the alternative tree topology supporting a single-gene duplication event prior to the divergence of the four families of Musteloidea was significantly worse than our presented tree ($P < 0.05$) under the Kishino-Hasegawa test²⁸ and the Shimodaira-Hasegawa test²⁹; and (2) no signals of gene conversion events, which can lead to spurious independent duplications by homogenizing sequences within species, were found using GENECOVN software³⁰, suggesting that the



observed family-specific clusters were not the results of concerted evolution via gene conversion.

Third, a far more complex evolutionary pattern within Mustelidae than the other three families of Musteloidea was demonstrated. 77 *RNASE1* sequences from 21 Mustelidae species retrieved four gene groups (Groups A–D). For all tree-building methods and datasets used, Group A was found to diverge first, and Groups B–D emerge subsequently accompanied by a series of recent and lineage-specific gene duplications and pseudogenizations (Figures 2 and 3). In this light, we favor the hypothesis that Group A is the original gene copy and Groups B–D are the newly duplicated gene copies. Indeed, in support of this idea, the accelerated amino acid substitutions and the signal of positive selection have been discovered in the *RNASE1* sequences of Groups B–D.

Either way, the expansion of the *RNASE1* genes in the four Musteloidea families presents a particularly unusual path for the Caniformia *RNASE1* evolution, which raises an intriguing question about the roles of these newly duplicated *RNASE1* genes. We speculated that the duplicated Musteloid *RNASE1* genes evolved to acquire new tissue specificity or novel physiological functions, which are reflected by their selection pressures, pI values and expression patterns that are distinct from those of the original genes.

First, different selection pressures were observed among the *RNASE1* gene copies. It has long been thought that positive selection is one of the primary evolutionary forces driving functional divergence and organismal adaptation. Interestingly, both the site-specific model and the branch-site model of our maximum likelihood analyses revealed that d_N significantly exceeds d_S ($d_N/d_S > 1$) at a number of sites and on the branches leading to the duplicated *RNASE1* lineages (*i.e.*, those from Groups B–D), thereby supporting a significant contribution of positive selection on their evolution (Figure 1 and Table 3). Moreover, more than 10 amino acid substitutions that are targets of selection identified here have not been discovered in our previous study (Figure 1 and Table 3). When we mapped the positive selected residues identified in the present study on the 3-dimensional crystal structure of the molecule, most are found to locate on the surface of the *RNASE1* enzyme and extend away from the active site (Supplementary Data 4). This finding is consistent with the conclusion from previous studies of this gene¹⁶, in which this gene has been taken as an example of adaptive replacements not being solely confined to the active sites, and those replacements contribute functional divergence by affecting enzyme activities or substrate binding in an indirect or complementary way.

Second, the duplicated *RNASE1* gene copies have isoelectric points (pIs) distinct from those of the original genes. It has been suggested that there is a strong correlation between pI/net charge of RNase and its capacity to cleave double-stranded RNA. The high pI and positive charges of RNases are important for host-defense against pathogens^{3,31,32}. Therefore, a change of pI/net charge has been used as one of the evidence for the functional changes of RNases¹⁸. For instance, the pI of *RNASE3* (eosinophil-cationic protein or *ECP*) is significantly higher than that of *RNASE2* (eosinophil-derived neurotoxin or *EDN*). This is critical for RNase 3 to tightly contact to the negatively charged bacterial cell membrane, which is important for its prominent membrane-disruptive activity and anti-bacterial function^{18,33,34}. The lower pIs of *RNASE 9–13* relative to the other RNase members represent another example. This feature has been used to explain partially the loss of ribonuclease activity and the acquisition of male reproduction functions for them¹⁸. More interestingly, in a previous study of *RNASE1* from the leaf-eating monkeys, it was shown that the decrease in pI (net charge) of the duplicated *RNASE1B* relative to those of the original *RNASE1* contributed to its adaptation for a digestive role, along with the loss of catalytical activity against duplex RNA¹³. In our present study, by examining 82 putative functional *RNASE1* from Musteloidea, we found that pIs differ substantially among the gene copies, especially for those in Mustelidae (see

Supplementary Data 1). In comparison with the original gene copy, *i.e.*, Group A, Group B has the highest average pI of 8.9012 (8.418–9.214), whereas Group D has the lowest average pI of 8.1045 (7.402–8.918). Most of the species examined also have the lowest pI from Group D and the highest from group B among their *RNASE1* gene copies (*e.g.*, 8.517 vs. 9.188 for *Mustela lutreola*; 8.147 vs. 9.214 for *Mustela erminea*; 7.66 vs. 8.958 for *Martes zibellina*, etc.). Likewise, an analysis of the net charge displayed a similar trend (see Supplementary Data 1). These observations reinforce the idea that a functional divergence may have occurred through the charge change of the duplicated *RNASE1* genes in Musteloidea. We propose that, as described by the common view of the correlation between pI/net charge and catalytical activity, Group B RNases are more active on duplex RNA, whereas Group D RNases have a low activity against duplex RNA. The replacements of the amino acid residues essential for catalytic activity in some *RNASE1s* of Group D also support this hypothesis (Figure 1). Note that the average net charge and pI of Group C did not undergo significant modifications compared with Group A. This may be due to several facts. One is the highly-variable pIs among the Group C genes, in contrast with the relatively constant pIs among the Group B and D genes. The other is that a smaller number of genes in Group C (only 9 genes) are used for the pI calculation. Despite this, the acquisition of new biological roles of Group C can be seen from the tissue-specific expression patterns (see below).

Third, *RNASE1* gene copies have divergent expression pattern, which suggests differences in their physiological functions (Figure 3). For both of the species that represent the superfamily Musteloidea, genes from Group A are specifically and highly expressed in the guts, including the intestines and the duodenum, which are in accordance with the known features of RNases as a digestive enzyme, while those in Group B–D are predominantly expressed in a large amount in other tissues, *e.g.*, the pancreas, the lung, the spleen and the muscle, while expressed minimally or not at all in the guts (Figure 3). We suggest that such differential expression patterns reflect the functional changes following *RNASE1* duplication. In addition, we note that two duplicated genes in Group C of both *Mustela sibirica* and *Melogale moschata* exhibit nonoverlapping tissue-specific expression patterns. Interestingly, we found that pIs differ between *Mustela sibirica RNASE1C* (8.684) and *Melogale moschata RNASE1C* (8.115), with the latter also being the lowest among all of the *RNASE1C* genes in the analyses. Moreover, when compared to their respective original *RNASE1s*, the pI of *Mustela sibirica RNASE1C* is similar to *RNASE1* (8.684 vs. 8.574), while that of *Melogale moschata RNASE1C* shows an obvious decrease (8.115 vs. 8.754). Therefore, the distinct pIs and expression patterns between the two *RNASE1C* genes consistently indicate the functional differentiations of them, notwithstanding their high sequence similarity.

In the tissue-specific mRNA expression analyses, another notable finding is that a transcribed *RNASE1* pseudogene from *Mustela sibirica* (*RNASE1Bψ1*) in Group B is detected in several tissues, with the high expression in the pancreas and the heart (Figure 3d). The *Mustela sibirica RNASE1Bψ1* exhibits typical characteristics of a pseudogene with frame-shifting mutations and premature stop codons. In earlier studies, pseudogenes were seldom mentioned and included in evolutionary and expression analyses, mainly because they have long been considered to be “dead” and nonfunctional^{35–38}. Recently, pseudogenes have received more attention owing to growing evidence that some of them are transcriptionally “alive” or can even have biological roles^{37–43}. Interestingly, in previous studies of evolutionary and functional diversification of RNases, the bovine seminal *RNASE1*, which arose by a gene duplication of pancreatic *RNASE1*, has been proposed to be a typical case of a pseudogene reactivation producing a novel function related to immunosuppressive and cell-based activities^{41,44,45}, although this has been contested⁴⁶. The expression of *Mustela sibirica RNASE1Bψ1* here provided new



and further evidence suggesting pseudogenes as a potential source of RNase functional diversification. Further assays are needed to test whether this transcribed pseudogene plays a regulatory role or performs specific physiological functions.

Previously, in foregut-fermenting ruminants and leaf-eating monkeys where the *RNASE1* gene is duplicated^{6,7,47} and is associated with adaptation to eating plants, the duplicated genes are highly expressed in the pancreas and have few positive charges, while those expressed elsewhere show greater number of positive charges. However, that is not the case for the present study of the non-foregut-fermentation carnivores. Among the four gene groups of Mustelidae, Groups D and Group B genes have the lowest average net charge and the highest average net charge, respectively. The expression analyses of the four gene copies from *Mustela sibirica* showed that *RNASE1D* is expressed in the spleen (*Mustela sibirica*) and *RNASE1Bψ1* is expressed in the pancreas. Of course, we should note that *RNASE1Bψ1* is a pseudogene. Whether its expression patterns can represent the majority of Group B genes requires collection of more expression data for the Group B genes from other mustelid species.

In this study, we unravel the novel gene duplication events in the evolutionary history of *RNASE1* that were previously not found in carnivores and generate hypotheses for the functional implications of the duplicated *RNASE1*s, which appear to be much more complex than previously thought. Our results significantly contribute to our current knowledge of the evolutionary and functional plasticity of *RNASE1* genes in mammalian evolution. In future studies, it would be interesting to test whether there is variation in the expression profile of *RNASE1* genes among the Musteloidea families, considering that the functional changes might occur independently in these four families. It would be also intriguing to see whether the *RNASE1* pseudogene transcription is occurred in a similar manner in other Musteloidea species. Expression data from a broad taxonomic range of Musteloidea are required to address these questions.

Methods

Data Sets. 34 species belonging to 8 Caniformia families, *i.e.*, families Canidae (dogs), Ursidae (bears), Ailuridae (red panda), Procyonidae (raccoons), Mustelidae (weasels), Mephitidae (skunks), Otariidae (sea lions), and Phocidae (true seals), were examined in this study (Table 1). For each sample, total genomic DNA was isolated from blood or frozen tissues using standard proteinase K and phenol-chloroform extraction⁴⁸. Based on available *RNASE1* sequences from human, rat, mouse and dog, we designed a pair of degenerate primers MUSRNF38 (5'-TTTCYGGCTAGG-CTCKTC-3') and MUSRNR559 (5'-GGCATCTCGCTGYTCTGGC-3') to amplify the complete coding region of *RNASE1* sequence (approximately 456bp). The optimal conditions adopted in PCR reactions were 95°C hot start (5 min), 10 cycles of 94°C denaturation (1 min), 63–53°C annealing (1.5 min), 72°C extension (1 min), and finally 25 cycles of 94°C denaturation (1 min), 53°C annealing (1 min), 72°C extension (1 min), followed by a final 72°C extension (10 min). The amplified PCR products were cloned into PMD18-T vector (Takara, China) and transformed into ultracompetent *Escherichia coli* cells (Takara, China). Plasmids containing the *RNASE1* inserts were extracted using GenElute Plasmid Miniprep Kit (Sigma-Aldrich Co., Shanghai, China). About 30 clones per ligation reaction were sequenced in both directions with an ABI PRISM 3700 DNA sequencer using standard protocols provided by the manufacturer (PE Biosystems, Foster City, CA). Only those sequences with more than 3 mutations in the protein sequence and corroborated by at least 2 independent amplification and sequencing runs were used in the analysis. In total, 94 newly determined *RNASE1* gene sequences are deposited in GenBank under accession numbers KC884954-KC884980 and KC884982-KC885048 (Table 1). A *RNASE1* gene sequence was regarded as a pseudogene if its open reading frame (ORF) was disrupted.

Phylogenetic Reconstruction. The *RNASE1* gene sequences were aligned with Clustal X version 2.0⁴⁹. Phylogenetic trees were reconstructed using MEGA⁵⁰ for neighbor-joining (NJ) analysis and using PAUP*4.0b8⁵¹ for maximum parsimony (MP) analysis. In the NJ analysis, the Kimura 2-parameter model (K2P) with pairwise deletion option for gaps was used. In the MP analyses, a heuristic search strategy was employed with the tree-bisection-reconnection branch-swapping algorithm, random addition of taxa and 1,000 replicates per search. Only one of the best trees found during branch swapping was saved (MULTREES = NO in PAUP*), and zero length branches were collapsed. The reliability of the tree topologies was evaluated using bootstrap support (BS)⁵² with 1000 replicates for NJ and MP analyses. Trees were rooted with the *RNASE1* sequences from the earliest diverging Canidae family⁵³. Phylogenies were estimated from the data set with and without the pseudogenes.

Molecular clock test. The molecular clock hypothesis was examined by the relative-rate test of Li and Bousquet⁵⁴ using the program RRTREE⁵⁵. One advantage of this distance-based method is that it can divide substitution rates into nonsynonymous and synonymous rates for comparisons.

Selective pressure analyses. The nonsynonymous to synonymous rate ratio ω (d_N/d_S) provides an indication of the changes in selective pressure. A d_N/d_S ratio = 1, <1, and >1 will indicate neutral evolution, purifying selection, and positive selection on the protein, respectively. We used the codon-substitution models implemented in the CODEML program in the PAML package⁵⁶ to analyze changes in selective pressure. Different starting ω values were also used to avoid local optima on the likelihood surface⁵⁷. Two branch-specific models, “one ratio” (M0) and “free ratios” (M1), were compared to test if ω on the branches of the tree differ. The M0 model assumes the same ω ratio for all branches, whereas the M1 model assumes an independent ω ratio for each branch⁵⁸. We constructed likelihood ratio tests (LRT) to compare the two models. Significant differences between the models were evaluated by calculating twice the log-likelihood difference following a χ^2 distribution, with the number of degrees of freedom equal to the difference in the numbers of free parameters between the models. Two site-specific models, M8a and M8, were also compared using the LRT to test for the presence of individual codon sites under positive selection and to identify them^{59,60}. The M8 models allow for positively selected sites. When this positive-selection model fits the data significantly better than the corresponding null model (M8a), then the presence of sites with $\omega > 1$ is suggested. The conservative empirical Bayes approach⁶¹ is then used to calculate the posterior probabilities (PPs) of a specific codon site and identify those most likely to be under positive selection. As positive selection may act in very short episodes during the evolution of a protein⁶² and affect only a few sites along a few lineages in the phylogeny, the “branch-site” models accommodating ω ratios to vary both among lineages of interest and amino acid sites were also conducted⁶³. We used the branch-site Model A as a stringency test (test 2) and identified amino acid sites under positive selection by an empirical Bayes approach along the lineages of interest^{59,63}. The Bonferroni correction was used when multiple tests were performed^{64,65}.

Total RNA isolation. Two Musteloidea species, *Mustela sibirica* and *Melogale moschata*, were used to examine tissue-specific mRNA expression of Musteloidea *RNASE1* genes. *Mustela sibirica* was captured in Lancang (Yunnan Province, China) and *Melogale moschata* was captured along the Muyang River (Yunnan Province, China). For both species, RNA was isolated from the following fourteen tissues: bladder, brain, duodenum, heart, kidney, large intestine, liver, lung, muscle, pancreas, small intestine, spleen, stomach and tongue. Total RNA was isolated using the RNeasy-4PCR Kit (Ambion) according to the manufacturer’s instructions. Due to the inclusion of DNase I in the RNeasy-4PCR Kit (Ambion), the total RNA isolated with this kit is DNA-free. The concentration of RNA samples was ascertained by measuring their optical density at 260 nm. The quality of RNA was confirmed by detection of 18 S and 28 S bands after agarose-formaldehyde gel electrophoresis.

cDNA amplification and sequencing. To determine whether all of the *RNASE1* gene copies from *Mustela sibirica* (three putative functional genes and two pseudogenes) and *Melogale moschata* (two putative functional genes), which were identified by the direct cloning strategy (Data Sets in Materials and Methods; Table 1), are expressed, we amplified *RNASE1* cDNAs from each tissue of *Mustela sibirica* and *Melogale moschata* using the primers MUSRNF38 and MUSRNR559. The amplified cDNAs were cloned into PMD18-T vector (Takara, China) and transformed into ultracompetent *Escherichia coli* cells (Takara, China). About 30 clones per ligation reaction containing the *RNASE1* cDNA inserts were sequenced in both directions with an ABI PRISM 3730 DNA sequencer. In addition, from each tissue of these two mustelid species, we amplified the cDNA of acidic ribosomal protein gene (*ARP*) by using primers ARPF (5'-GTGGCA ATCCCTGACGCACC-3') and ARPR (5'-CAGTCTCCACAGACAARGCCAGG-3'). The *ARP* is a housekeeping gene⁶⁶ and was used to normalize mRNA expression values in the subsequent expression analyses. The amplified *ARP* cDNAs were purified and sequenced in both directions with an ABI PRISM 3730 DNA sequencer.

mRNA Expression Analyses. We used the TaqMan® MGB probes to detect the tissue-specific mRNA expression of the different *RNASE1* gene copies. Due to the high sequence specificity inherent to the MGB technology, this technique can be used to discriminate single nucleotide polymorphisms^{67–69}. The sequence-specific TaqMan® MGB probes and RT-PCR primers for the expression quantification of the *RNASE1* gene copies and *ARP* genes were designed and synthesized by using the Custom Taqman® Gene Expression Assay Service (Applied Biosystems, USA). Probes were 5'-labeled with a reporter dye FAM and 3'-labeled with a minor groove binder (MGB). The TaqMan® MGB probes and RT-PCR primers are acquired upon request. For the quantitative analysis of mRNA expression, we used the ABI Prism®7000 Sequence Detection System (Applied Biosystems, USA). The PCR conditions were conducted with one hold at 50°C for 2 min, followed by one hold at 95°C for 10 min, and 40 cycles at 95°C for 15 s and 60°C for one min, in a 20- μ L reaction volume according to the manufacturer’s conditions. All reactions had a PCR efficiency approaching 100%. The cycle number at which the fluorescence signal crosses a detection threshold is referred to as C_T and the difference of both C_T values within a sample (ΔC_T) is calculated ($\Delta C_T = C_{T-FAM} - C_{T-NFG}$). All samples were measured in triplicate using the mean for further analysis. The tissue-specific expression fold changes for each *RNASE1* gene copy against the *ARP* gene were



analyzed using SDS 1.1 software (Applied Biosystems, USA) according to the following equation: Fold difference = $2^{-\Delta C_T}$, $\Delta C_T = C_{T-RNase1} - C_{T-ARP}$, where $C_{T-RNase1}$ is the cycle number for each *RNASE1* gene copies and C_{T-ARP} is the cycle number for the *ARP* gene.

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Author contributions

Experiments were performed by J.L. Evolutionary analyses were performed by X.P.W. RT-PCR data analysis were performed by J.L. Experimental design, interpretation of data and manuscript writing were performed by L.Y., Y.P.Z., S.C., B.K.L., D.M.I., O.A.R.

Additional information

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