



Ultrasonically assisted surface modified CeO₂ nanospindle catalysts for conversion of CO₂ and methanol to DMC

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ABSTRACT

This study developed a facile and effective approach to engineer the surface properties of cerium oxide (CeO₂) nanospindle catalysts for the direct synthesis of dimethyl carbonate (DMC) from CO₂ and methanol. CeO₂ nanospindles were first prepared by a simple precipitation method followed by wet chemical redox etching with sodium borohydride (NaBH₄) under high intensity ultrasonication (ultrasonic horn, 20 kHz, 150 W/cm²). The ultrasonically assisted surface modification of the CeO₂ nanospindles in NaBH₄ led to particle collisions and surface reduction that resulted in an increase in the number of surface-active sites of exposed Ce³⁺ and oxygen vacancies. The surface modified CeO₂ nanospindles showed an improvement of catalytic activity for DMC formation, yielding 17.90 mmol·g_{cat}⁻¹ with 100 % DMC selectivity. This study offers a simple and effective method to modify a CeO₂ surface, and it can further be applied for other chemical activities.

1. Introduction

Air pollution is an important cause of the greenhouse effect, which leads to climate change and global warming [1,2]. Carbon dioxide (CO₂), which is emitted upon the combustion of fuels such as natural gas, coal and petroleum from engines and industries [3,4], is reported to be the highest component in the greenhouse gases that are released into the earth's atmosphere [2,3,5]. CO₂ is a stable molecule because it has a linear and centrosymmetric structure [6]. Generally, the transformation of CO₂ into other valuable products requires high energy input as the reactions are thermodynamically unfavorable ($\Delta H = 16.74 \text{ kJ}\cdot\text{mol}^{-1}$) [7]. However, due to it being abundant, inexpensive, non-toxic, non-flammable and renewable [7–9], CO₂ is often used as a starting material in the chemical syntheses of compounds such as methane [10], methanol [11,12], formaldehyde [12], formic acid [10,12], formamides [13], methylamines [13], dimethyl ether (DME) [10,11], and dimethyl carbonate (DMC) [6–9].

DMC is an important organic compound [9,14,15] that is commonly used as an organic solvent and raw material in various chemical industries [7,14,15]. Its favorable biodegradability profile and its low toxicity to humans and the environment have made DMC into a green chemical that is suitable for sustainable production processes [14,16].

There are many applications of DMC including its role as a precursor in the synthesis of polycarbonate and isocyanate [14,17]. DMC can also be used as an electrolyte for lithium-ion batteries, as a fuel additive to increase octane number [18,19], as an intermediate in the synthesis of some antibacterial products, in veterinary drugs [20,21] and in pesticides [22,23]. Recently, the development of a green DMC production route has drawn interest as such a method can simultaneously fulfill industrial demand and tackle an environmental problem [17]. Several routes have been used for the synthesis of DMC, and traditional routes include the phosgene method, oxidative carbonylation, and the transesterification method [9]. In the phosgene method, highly toxic phosgene is used as a starting material and the byproduct, hydrogen chloride (HCl), can cause rapid reactor corrosion [24,25]. In oxidative carbonylation, methanol, oxygen, and carbon monoxide involved in the reaction are toxic and can be explosive [26,27]. Lastly, the transesterification method requires highly toxic chlorobenzene catalysts to catalyze the reaction [28,29]. Compared to these processes, the direct synthesis of DMC from methanol and CO₂ is seen as attractive as it is eco-friendly and produces only water (H₂O) as a byproduct [30–32]. However, due to thermodynamic limitations as shown, $\text{CO}_2 + 2\text{CH}_3\text{OH} \rightarrow (\text{CH}_3\text{O})_2\text{CO} + \text{H}_2\text{O}$ ($\Delta G^\circ = 26 \text{ kJ}\cdot\text{mol}^{-1}$), the conversion reaction requires energy input and the DMC yield is relatively low [33–35]. Hence,

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various metal oxide catalysts, for instance, K_2CO_3 [36,37], ZrO_2 [38,39], TiO_2 [40,41] and CeO_2 [19,42–44], have been used to increase the reaction rate and DMC formation.

Cerium oxide (CeO_2) has become the dominant catalyst for the direct synthesis of DMC from CO_2 and methanol due to its high mass density, low thermal expansion, and good physical and chemical stability [45,46]. CeO_2 can shift easily between Ce^{3+} and Ce^{4+} [47], resulting in its rich surface oxygen vacancies and high oxygen storage capacity [48–51]. Although CeO_2 has good catalytic performance in some ways, its catalytic activity in DMC production still needs to be improved [52]. The key factors that affect the catalytic activity of CeO_2 are its morphology and surface properties. Cubic fluorite CeO_2 has various morphologies including different crystal planes and surface properties [53] that can directly affect the interaction between the adsorbed species and the surface-active sites [17,54,55]. Researchers investigated the influence of various morphologies of CeO_2 such as its cubic, rod, sphere, spindle and irregular shapes on catalytic activity. It was found that CeO_2 catalysts with spindle shape morphology showed better catalytic performance in the direct synthesis of DMC from CO_2 and methanol [8,17,34]. The excellent catalytic activity of CeO_2 nanospindle catalysts in the formation of DMC was suggested to be because the surface of each cubic fluorite CeO_2 spindle consisted of an active exposed (1 1 1) plane that had a large number of surface defect sites that could activate CO_2 and methanol, and also had a high potential to absorb CO_2 [8,17,48].

The catalytic activity of CeO_2 can also be improved by engineering its surface. The surface properties play a critical role in catalyst dispersion, stabilization and reactive gas adsorption–desorption [56–58]. The CeO_2 surface can be modified by increasing the number of surface active sites by varying specific surface areas, pore sites, the ratio of Ce^{3+}/Ce^{4+} , and the number of oxygen vacancies and acid–base sites [59]. There are many processes that can be used for modifying a CeO_2 surface, such as annealing under vacuum [60], hydrogen treatment [61], thermal activation [62], thermal annealing treatment [35,63], electron beam irradiation [64], and pressure control [65]. However, these processes are difficult to control and require expensive equipment and tools. Wet chemical redox etching is an interesting process for modifying a CeO_2 surface because it is a simple, inexpensive and effective process [66–69]. Chemical redox etching of CeO_2 nanorods with reducing agents such as sodium borohydride ($NaBH_4$) [66,68,69], ascorbic acid and hydrogen peroxide (H_2O_2) [67] produced superior catalytic activity in low temperature CO oxidation due to the formation of porous and rough surfaces, and an increase in specific surface areas, surface Ce^{3+} fractions and concentration of oxygen vacancies [66–69]. The catalytic activity of CeO_2 in the CO oxidation reaction can further be improved after the chemical redox etching process by impregnation with active metals or metal oxides such as Pt [57], Ru [68], CuO_x [4,66,70], CoO_x , NiO_x , FeO_x , and MnO_x [66]. These two-step treatments can effectively improve the dispersion of the impregnated species and also prevent the migration and aggregation of these active species on the surface of CeO_2 . Wang et al. [66] reported that impregnation of CeO_2 nanorods with CuO after wet chemical redox etching with $NaBH_4$ to form CeO_2 - CuO catalysts could enhance the catalytic activity for low temperature CO oxidation reaction due to the strong interfacial interaction between Cu ions and Ce ions resulting in the formation of surface-active sites through the oxidation–reduction reaction of $Cu^+ + Ce^{4+} \leftrightarrow Cu^{2+} + Ce^{3+}$. The surface modification of CeO_2 catalysts by wet chemical redox etching has been reported to be a simple and effective route for improving the catalytic activity. Furthermore, this interesting approach has not yet been applied and investigated in the modification of spindle shape CeO_2 catalysts for the direct synthesis of DMC from CO_2 and methanol. Therefore, in this work, we proposed a new strategy to engineer the surface properties of CeO_2 nanospindle catalysts using wet chemical redox etching process followed by incorporation of CuO active species by a wet impregnation process. Moreover, the treatment processes were improved using sono-assisted ultrasonication, in which the medium solution was irradiated with a high intensity ultrasonic waves of frequency 20 kHz–15 MHz and

acoustic wavelength of 100 mm–100 μ m to increase the surface reaction between CeO_2 nanospindles and the treatment reagents [71–73]. Finally, the characterization and investigation of the catalytic performance for the direct synthesis of DMC from CO_2 and methanol of these surface modified CeO_2 nanospindles was reported.

2. Material and methods

2.1. Synthesis of cerium oxide (CeO_2) nanospindles

CeO_2 was synthesized via a precipitation method. Firstly, 12 mmol of cerium(III) nitrate hexahydrate ($Ce(NO_3)_3 \cdot 6H_2O$; purity 99.5 %, Carlo Erba) and 400 mmol of urea ($CO(NH_2)_2$; purity 99.0 %, Carlo Erba) were dissolved in 300 ml distilled water. The solution was ultrasonically irradiated with a low intensity ultrasonic wave (37 kHz, 80 W) in an ultrasonic bath at 70 °C for 1 h to obtain a white suspension. Next, the suspension was refluxed at 95 °C for 2 h in a round bottomed flask under magnetic stirring. After the reaction finished, the precipitates were centrifugally separated, dried overnight at 80 °C, and finally calcined in ambient air at 650 °C for 2 h.

2.2. Surface modification of CeO_2 nanospindles

The obtained CeO_2 nanospindles was chemically treated to modify their surface with a reducing agent, sodium borohydride ($NaBH_4$; purity 98.0 %, Acros organics) and copper(II) nitrate trihydrate ($Cu(NO_3)_2 \cdot 3H_2O$; purity 99.0 %, Carlo Erba) with the assistance of a high intensity ultrasonic wave. Firstly, 1.000x g of CeO_2 was dispersed in 194 ml distilled water in a sonochemical reaction vessel. The suspension was then ultrasonically irradiated with a high intensity ultrasonic wave (Tihorn, 20 kHz, 150 W/cm² Sonics Model VCX 750, Vibracell) for 2 min. Next, 6 ml of 4 M $NaBH_4$ or 5 wt% of $Cu(NO_3)_2 \cdot 3H_2O$ was added and the suspensions were continuously irradiated until the 30 min mark. After that the products were centrifugally separated, dried overnight at 80 °C, and finally calcined in air at 400 °C for 2 h to create a strong interaction between B- species and CeO_2 surface and to convert Cu- species into oxide form. The catalysts after chemical treatment with $NaBH_4$ and $Cu(NO_3)_2 \cdot 3H_2O$ were labeled as CeO_2 -B and CeO_2 - CuO , respectively. CeO_2 - H_2O catalyst was prepared via the same procedure except that the CeO_2 was treated in de-ionized water under a high intensity ultrasonication. The CeO_2 -B- CuO catalyst was chemically treated in two-step with 4 M $NaBH_4$, followed by 5 wt% of $Cu(NO_3)_2 \cdot 3H_2O$ and after the treatment processes, the products were calcined only once at 400 °C for 2 h. Notably, $NaBH_4$ was chosen as a reducing agent in the work as it is a strong and effective reducing agent that is commonly used in laboratory and industrial applications.

2.3. Characterization

Catalyst morphology was determined by scanning electron microscope (SEM; FEI Quanta 250, samples preparation with gold (Au) coating) and transmission electron microscope (TEM; FEI Tecnai G2 20). Elemental composition was investigated with an energy-dispersive spectroscopy (EDS, Oxford Instrument X-max 20) equipped with SEM. The particle size was estimated by measuring the size of at least 50 particles in SEM images using the ImageJ program. The powder X-ray diffraction (XRD) patterns were recorded on a Rigaku Smartlab diffractometer with a $CuK\alpha$ (40 kV, 30 mA) radiation at the diffraction angle (2θ) range of 5–90° with a scanning rate of 20.00° min⁻¹ and indexing from the JCPDS (ICDD) database. Debye-Scherrer's equation shown in Eq. (1) was used for calculation of the crystallite size (D) from XRD data [74]:

$$D = \frac{k\lambda}{\beta_{hkl}\cos\theta} \quad (1)$$

where k is a constant taken as 0.94, λ is X-ray wavelength (CuK α radiation 0.15418 nm), β_{hkl} is the full width at half maximum (FWHM) of intensity of the diffraction peak, hkl are the Miller indices of the planes being analyzed, and θ is the diffraction angle. The lattice constant (a) was calculated from the Bragg equation and the crystal spacing formula as shown in Eq. (2) and Eq. (3) [52,73]:

$$2d\sin\theta = n\lambda \quad (2)$$

$$d_{hkl} = \frac{a}{\sqrt{h^2 + k^2 + l^2}} \quad (3)$$

where n is the reflection series and d_{hkl} is the crystal spacing. Molecular structure of the catalysts was analyzed using a Fourier transform infrared spectrometer (FT-IR; Perkin Elmer, Spectrum Gx) and Raman spectrometer (Thermo Scientific, DXR Smart, 532 nm excitation solid state laser in the range of 200–1500 cm^{-1}). The Brunauer-Emmett-Teller (BET) specific surface area was tested by nitrogen adsorption-desorption with Autosorb (Autosorb 1). X-ray photoelectron spectroscopy (XPS; Kratos, Amicus with MgK α anode 20 mA and 10 kV) was employed to estimate the surface states of elemental composition by Gaussian fitting the XPS spectra using MagicPolt program. Ammonia (NH_3) and carbon dioxide (CO_2) temperature programmed desorption (TPD; Carbolite) was used to characterize acid-base properties of the catalysts. The samples were saturated with pure NH_3 or CO_2 at 50 $^\circ\text{C}$, then purged with Argon (Ar) under a flow rate of 30 $\text{ml}\cdot\text{min}^{-1}$ for 30 min to remove the physisorbed NH_3 or CO_2 and finally heated to 950 $^\circ\text{C}$ under a constant helium (He) flow rate of 30 $\text{ml}\cdot\text{min}^{-1}$ to determine concentration of NH_3 or CO_2 desorption.

2.4. Catalytic activity test for the direct synthesis of DMC from CO_2 and methanol

100 mg of each of the prepared catalyst powders and 40 ml of liquid methanol (CH_3OH ; purity 99.8 %, RCI Labscan) were charged into a 250 ml stainless-steel autoclave reactor equipped with a stirrer. The reactor was sealed and subsequently pressurized up to 4.5 MPa with CO_2 gas (purity 99.8 %, Linde). The reaction was conducted at 140 $^\circ\text{C}$ for 3 h under constant stirring at 400 rpm. After the reaction had finished, the reactor was cooled down to room temperature and this was followed by depressurizing. Each catalyst was then separated from the liquid products. The products were analyzed by gas chromatography (GC; Shimadzu, Nexis GC 2030) with a capillary column (SH-RTX-624, 30 m \times 0.25 mm, film thickness 1.40 μm). The column temperature program chosen was as follows: 50 $^\circ\text{C}$ for 4 min, then increase to 240 $^\circ\text{C}$ at a rate of 10 $^\circ\text{C}\cdot\text{min}^{-1}$. Helium (He; purity 99.8 % Linde) was used as the carrier gas with a flow rate of 1.88 $\text{ml}\cdot\text{min}^{-1}$. The products were determined using a flame ionization detector (FID). Dimethyl carbonate in methanol was added as a standard substance to quantitatively analyze the products using the calibration curve method. Finally, the DMC yield ($\text{mmol}\cdot\text{g}_{\text{cat}}^{-1}$), the CH_3OH conversion (%) and the turnover frequency (TOF, h^{-1}) were determined from the amount of initial catalyst (0.1 g), methanol (40 ml) usage, and the DMC product formation and reaction times, respectively, using Eq. (4) – Eq. (6), as follows [75–77]:

$$\text{DMC yield (mmol}\cdot\text{g}_{\text{cat}}^{-1}) = \frac{\text{DMC (mmol)}}{\text{Catalyst (g)}} \quad (4)$$

$$\text{CH}_3\text{OH conversion (\%)} = \frac{\text{DMC (mol)} \times 2}{\text{CH}_3\text{OH (mol)}} \times 100\% \quad (5)$$

$$\text{TOF (h}^{-1}) = \frac{\text{DMC (g)}}{\text{Catalyst (g)} \times \text{reaction time (h)}} \quad (6)$$

The DMC selectivity (%) was estimated from the amount of DMC formation to the amount of total liquid products except for the remaining methanol and water by product using Eq. (7) [76]:

$$\text{DMC selectivity (\%)} = \frac{\text{DMC}}{\text{DMC} + \text{by product}} \times 100\% \quad (7)$$

3. Results and discussion

3.1. Catalyst characterization

The XRD patterns of CeO_2 and surface modified CeO_2 catalysts with de-ionized water ($\text{CeO}_2\text{-H}_2\text{O}$), NaBH_4 ($\text{CeO}_2\text{-B}$), CuO ($\text{CeO}_2\text{-CuO}$) and two-step treatment with NaBH_4 followed by CuO ($\text{CeO}_2\text{-B-CuO}$) under high intensity ultrasonic irradiation are illustrated in Fig. 1. All catalyst peaks were indexed to the face centered cubic CeO_2 of fluorite structure (JCPDS 00–034–0394, space group $Fm\bar{3}m$ (225)) [17,52]. All catalysts had good crystallinity, demonstrating that modification with NaBH_4 and/or CuO had not altered the original fluorite-type structure of CeO_2 . There was no diffraction peak related to B- and Cu- phases observed in the XRD patterns of $\text{CeO}_2\text{-B}$, $\text{CeO}_2\text{-CuO}$ and $\text{CeO}_2\text{-B-CuO}$ catalysts and this was probably because only low concentrations of B- and Cu- species existed in the CeO_2 based catalysts. The calculated lattice parameters and crystallite sizes using the Debye Scherrer equation [74] of all catalysts are shown in Table 1. The lattice parameter and crystallite size of unmodified CeO_2 were 5.4165 ± 0.0022 \AA and 19.36 ± 3.28 nm, respectively. After the surface modification, the lattice parameter and crystallite size tended to decrease, varying between 5.4109 and 5.4121 \AA and 16.81–19.56 nm. The decrease of both lattice parameter and crystallite size after modification of the CeO_2 surface could have been related to the formation of surface defects caused by two major factors, firstly, the collision of catalyst particles due to an acoustic cavitation stimulated by high intensity ultrasonic irradiation [78–82] and secondly, the reduction of Ce^{4+} to Ce^{3+} due to the redox reaction of CeO_2 surface with NaBH_4 reducing agent and Cu-species, which consequently led to the formation of oxygen vacancies to maintain charge neutrality in the ionic structure [31,52,66]. Even though Ce^{3+} has a slightly larger ionic radius than Ce^{4+} (ionic radius with 8-fold coordination of $\text{Ce}^{3+} = 1.143$ \AA and $\text{Ce}^{4+} = 0.97$ \AA), the increase of surface oxygen vacancy concentration seemed to have a bigger effect as it decreased the effective anionic radius in the fluorite lattice, which resulted in the variation of the lattice parameter [81,83].

The FT-IR spectra of the CeO_2 and surface modified CeO_2 catalysts in the range of 400–4000 cm^{-1} are shown in Fig. 2. The spectra of all catalysts showed the characteristic absorption bands of Ce-O stretching vibration at 565 cm^{-1} [84]. The complex bands observed at 1300 and 1050 cm^{-1} correlated with the adsorption of carbonate species (CO_3) on the surface, which might have formed during the preparation process

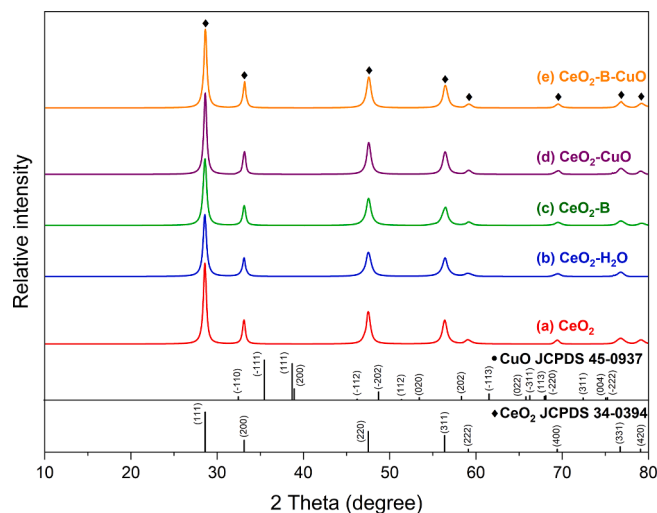
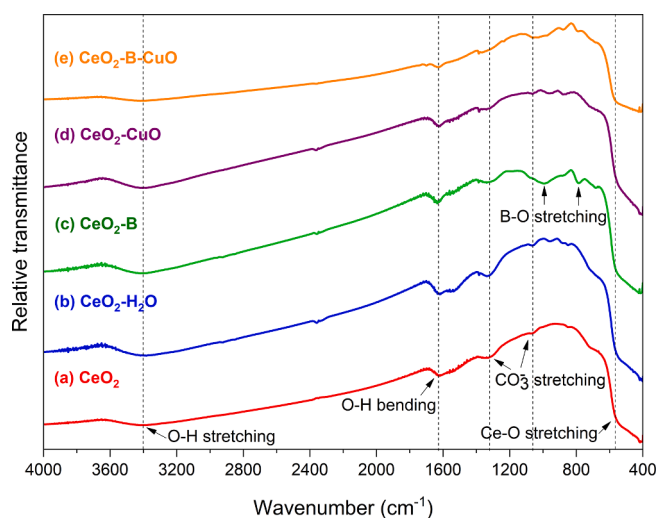


Fig. 1. XRD patterns of CeO_2 and surface modified CeO_2 .

Table 1Crystal properties, particle size and surface area of CeO₂ and surface modified CeO₂.

Catalyst	Lattice parameter (Å)	Crystallite size (nm)	Particle size (μm)		Surface area (m ² g ⁻¹)
			Width	Length	
CeO ₂	5.4165 ± 0.0022	19.36 ± 3.28	1.38 ± 0.42	5.53 ± 1.42	65.56
CeO ₂ -H ₂ O	5.4121 ± 0.0030	17.39 ± 4.66	1.16 ± 0.54	4.98 ± 1.66	75.21
CeO ₂ -B	5.4113 ± 0.0048	16.81 ± 3.62	0.81 ± 0.15	4.24 ± 0.61	70.17
CeO ₂ -CuO	5.4109 ± 0.0025	19.07 ± 3.81	1.08 ± 0.38	4.94 ± 1.67	79.32
CeO ₂ -B-CuO	5.4118 ± 0.0036	19.56 ± 4.96	1.21 ± 0.36	5.07 ± 1.26	50.50

**Fig. 2.** FTIR spectra of CeO₂ and surface modified CeO₂.

and storage [85–87]. The absorption bands of the hydroxyl (O–H) groups of physisorbed water appeared around 3400 cm⁻¹ for the O–H stretching vibration and at 1630 cm⁻¹ for the scissor bending vibration [84,88–90]. It is interesting to note that the intensity of the absorption bands related to the CO₃⁻ and O–H groups of the surface modified CeO₂ catalysts, especially in the case of CeO₂-H₂O, increased when compared with those of unmodified CeO₂, and this could have been because there had been an increase in the number of surface-active sites that absorbed foreign species after surface modification under a high intensity ultrasonic irradiation. In the case of the CeO₂-B and CeO₂-B-CuO catalysts, the absorption bands of B-O asymmetric and symmetric stretching vibrations were also observed at 988 and 798 cm⁻¹, respectively [91–93], indicating the presence of B-species in these catalysts.

The morphology of the catalysts was investigated by SEM and TEM, and the results are shown in Figs. 3 and 4, respectively. The average particle sizes obtained by measuring width at center and length of catalyst particles observed in the SEM images are summarized in Table 1. Before the surface modification (Figs. 3(a) and 4(a)), CeO₂ consisted in spindle-shaped particles with width at center of 1.38 ± 0.42 μm and length of 5.53 ± 1.42 μm. The edges of the spindles were curved, and the ends were pointy, indicating the existence of defect sites [17]. The results from the XRD and SEM study confirmed that the CeO₂ nanospindles had simply been prepared via a precipitation method. After the surface modification with H₂O, NaBH₄ and CuO under high intensity ultrasonic irradiation, significant changes on the catalyst surface, such as surface roughness or pore formation, could not be seen clearly in the SEM (Fig. 3(b)–(e)) and TEM images (Fig. 4(b)). However, some imperfect spindles and debris were observed in SEM images of the

surface modified catalysts CeO₂-H₂O, CeO₂-B, CeO₂-CuO and CeO₂-B-CuO (Fig. 3(b)–(e)). Particle collision induced by acoustic cavitation (the formation, growth, and collapse of microbubbles) created a microjet effect which led to particle breakage [78–80,94] and thus resulted in the decrease of average particle size, as shown in Table 1.

The SEM image, EDS spectrum and elemental mapping of the two-step treatment CeO₂-B-CuO catalyst are presented in Fig. 5. The EDS spectrum confirmed that the CeO₂-B-CuO catalyst consisted of Ce, O, B and Cu elements. The elemental mappings showed that the B- and Cu-species were uniformly dispersed throughout the CeO₂ phase. As in the preparation processes, the surface modified CeO₂ catalysts were calcined in air at 400 °C for 2 h after the chemical treatment with NaBH₄ and Cu(NO₃)₂·3H₂O, so the B- and Cu-species were more likely to exist as nanocrystalline B₂O₃ and CuO on CeO₂ surface.

The specific surface areas of catalysts obtained through nitrogen adsorption–desorption measurements are shown in Table 1. The surface area of CeO₂ nanospindles was 65.56 m²g⁻¹, and it increased to 75.21 m²g⁻¹ (CeO₂-H₂O), 70.17 m²g⁻¹ (CeO₂-B) and 79.32 m²g⁻¹ (CeO₂-CuO) after the surface modification. The increase of surface area after the surface modification agreed well with the reduction of particle size caused by particle collisions stimulated by high intensity ultrasonic irradiation. In case of the CeO₂-CuO catalyst, the highest specific surface area at 79.32 m²g⁻¹ could have presented due to the contribution of the high specific surface area phase of nanocrystalline CuO. In the case of the CeO₂-B-CuO catalyst, the surface area had decreased to 50.50 m²g⁻¹. This may have been due to redox etching agent NaBH₄ creating defect sites on the surface of CeO₂, which led to strong interfacial bonding between the defect sites and nanocrystalline CuO, thus resulting in the decrease of specific surface area of the CeO₂-B-CuO catalyst [57,66,95,96].

Raman spectroscopy was performed to study the defects in the catalyst structures. The Raman spectra of CeO₂ and surface modified CeO₂ nanospindle catalysts (Fig. 6) showed the characteristic Raman active bands of CeO₂ at ~464 cm⁻¹, typical of the triply degenerate F_{2g} vibrational modes of fluorite-type structures [52]. The weak bands around 255 and 600 cm⁻¹ were assigned to the double degenerate TO mode (2TA) and the defect-induced band (D band), respectively [52,66]. The Raman active bands related to peroxide species (O₂⁻) were also observed around 831 cm⁻¹ [66] and the intensity of these bands tended to decrease after the surface modification. When considered the characteristic F_{2g} bands, the band intensities were found to decrease after the surface modification and the Raman shift of these F_{2g} bands was 464, 463, 462, 457 and 456 cm⁻¹ for CeO₂, CeO₂-H₂O, CeO₂-B, CeO₂-CuO and CeO₂-B-CuO, respectively, indicative of red shift after the surface modification. The decrease of the F_{2g} band intensity and Raman shift position after the surface modification could be attributed to the variation of Ce-O bond length in the fluorite crystal due to a partial reduction of the smaller ionic radius Ce⁴⁺ cations to larger Ce³⁺ cations [52,97,98], as well as the decrease of crystallite size caused by the formation of defect sites on the CeO₂ surface [52,67]. The results of the Raman study were consistent with the change in crystal lattice as observed in the XRD analysis.

The surface states of CeO₂ and surface modified CeO₂ nanospindle catalysts were further analyzed using the XPS technique. The Ce 3d spectra, each composed of 10 peaks, are shown in Fig. 7. The 10 peaks were assigned as V and U, corresponding to the spin-orbit multiplets of Ce 3d_{5/2} and Ce 3d_{3/2}, respectively [52,99]. The peaks V⁰ (881.18 eV), V[′] (886.78 eV), U⁰ (900.38 eV), and U[′] (904.44 eV) belonged to the Ce³⁺ state, while the peaks V (883.47 eV), V^{′′} (890.37 eV), V^{′′′} (898.69 eV), U (902 eV), U^{′′} (907.94 eV), and U^{′′′} (917.47 eV) belonged to the Ce⁴⁺ state [66]. The O 1s spectra for all catalysts are illustrated in Fig. 8. In the literature, three types of peaks in the integral fitting of the O 1s spectrum were commonly ascribed to three oxygen species: lattice oxygen (O_L), oxygen vacancy (O_V), and surface-chemisorbed oxygen species or oxygen in hydroxyl groups (O_C). The peaks at 529.7, 531, and 532.5 eV were assigned to O_L, O_V, and O_C on the catalyst surface,

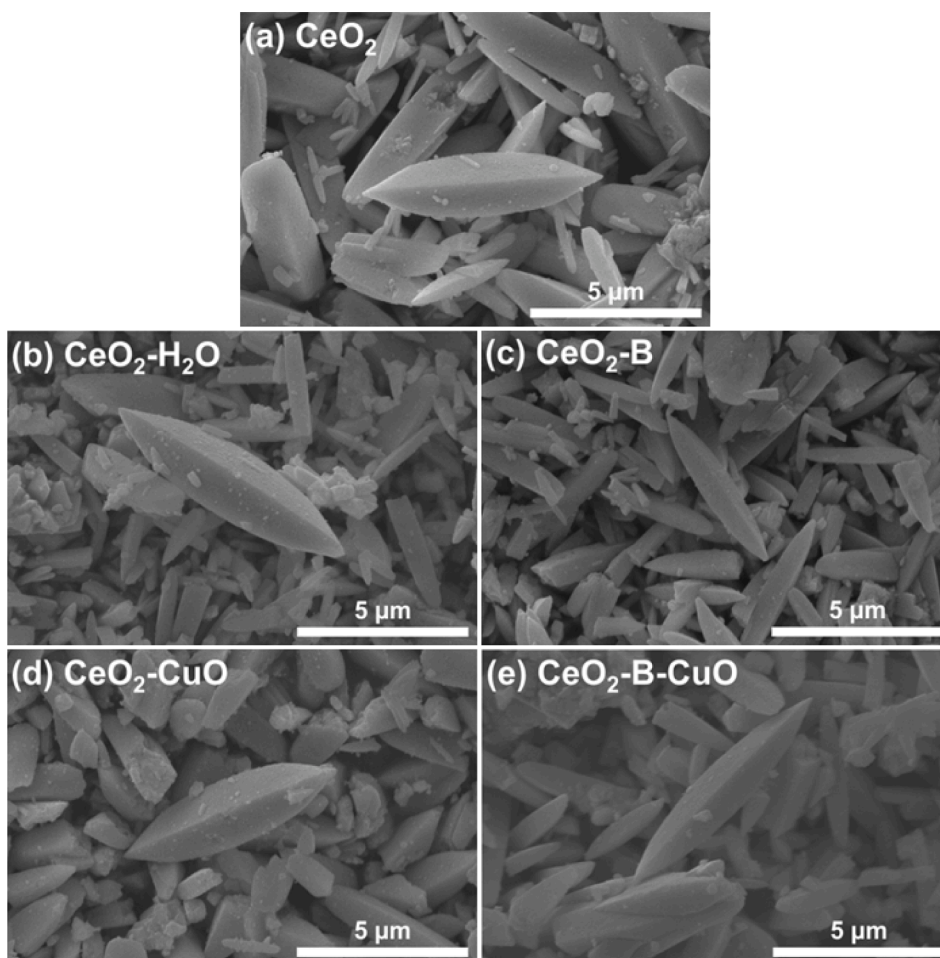


Fig. 3. SEM images of (a) CeO_2 , (b) $\text{CeO}_2\text{-H}_2\text{O}$, (c) $\text{CeO}_2\text{-B}$, (d) $\text{CeO}_2\text{-CuO}$ and (e) $\text{CeO}_2\text{-B-CuO}$.

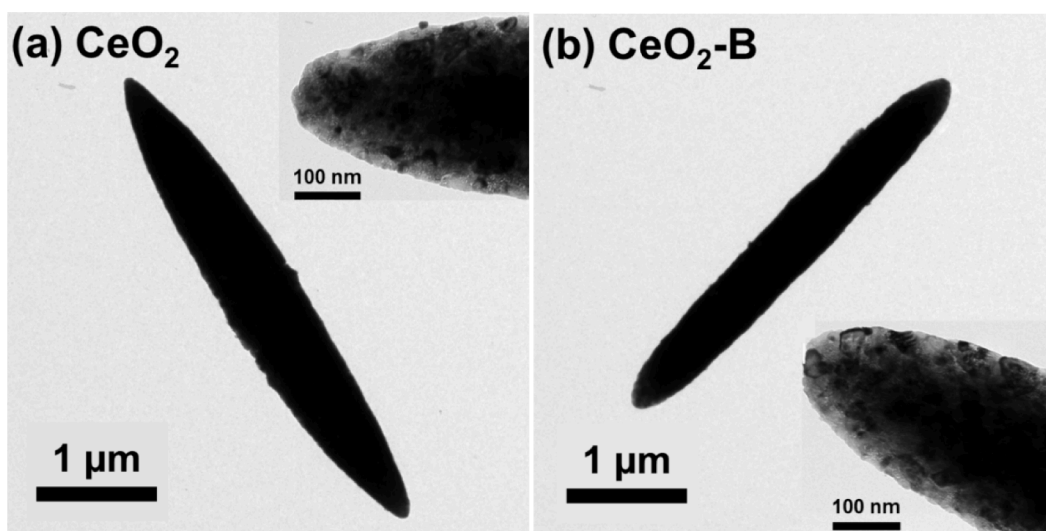


Fig. 4. TEM images of (a) CeO_2 and (b) $\text{CeO}_2\text{-B}$.

respectively [66]. For the $\text{CeO}_2\text{-B}$ catalyst, the O_C peak appeared slightly shifted to higher binding energy, and this could indicate the presence of another oxygen type of B-O which was typically observed at 533.2 eV [100]. In case of $\text{CeO}_2\text{-CuO}$ and $\text{CeO}_2\text{-B-CuO}$, the O_L peaks slightly had shifted to lower binding energy. A possible reason for the shift of the O_L peaks could have been the contribution from the $\text{O}_L(\text{Cu}^{2+})$ of CuO phase,

which was generally observed at 529 eV [101].

The quantitative assessment of the surface concentrations of Ce^{3+} ($[\text{Ce}^{3+}]$) and Ce^{4+} ($[\text{Ce}^{4+}]$) in the total Ce content was estimated from the total integrated areas of the Gaussian fitting peaks using Eq. (7) and Eq. (8) [102]. The proportion of O_V to the total surface oxygen species ($[\text{O}_V]$) was estimated using Eq. (9) [103]:

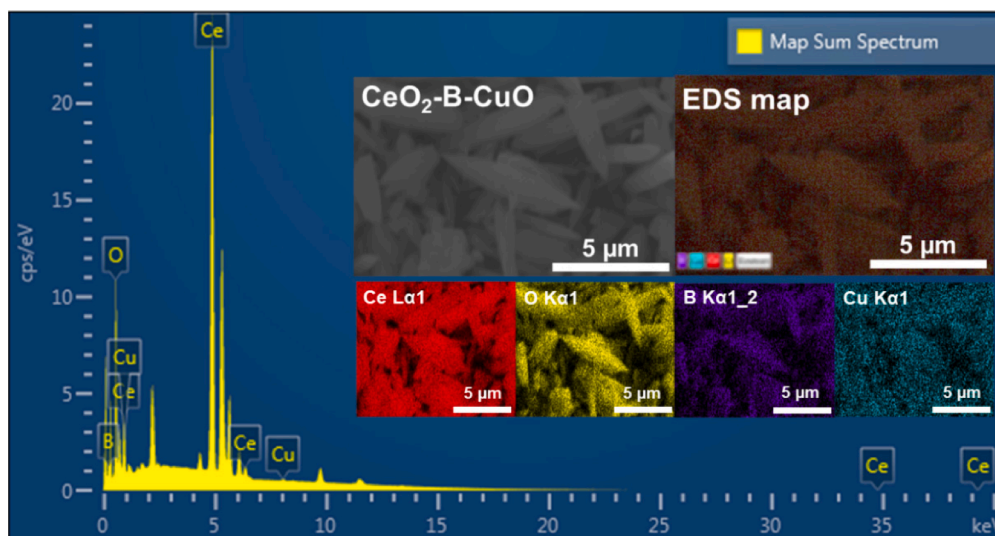


Fig. 5. EDS spectrum, SEM image and elemental mapping of CeO₂-B-CuO.

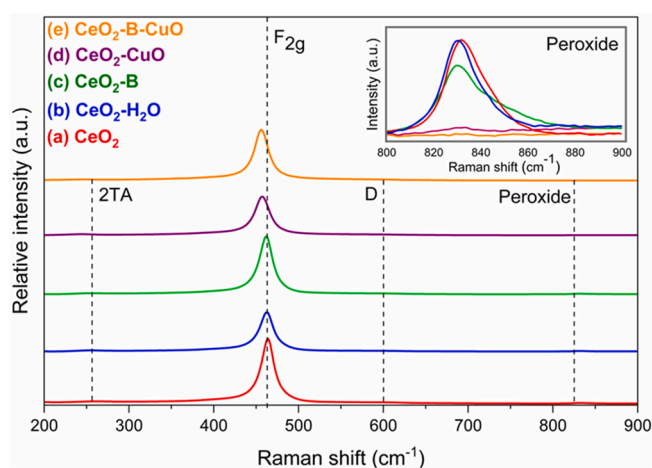


Fig. 6. Raman spectra of CeO₂ and surface modified CeO₂.

$$[\text{Ce}^{3+}] (\%) = \frac{U^0 + U' + V^0 + V'}{(U + U'' + U''' + V + V'' + V''') + (U^0 + U' + V^0 + V')} \times 100\% \quad (7)$$

$$[\text{Ce}^{4+}] (\%) = \frac{U + U'' + U''' + V + V'' + V'''}{(U + U'' + U''' + V + V'' + V''') + (U^0 + U' + V^0 + V')} \times 100\% \quad (8)$$

$$[\text{O}_v] (\%) = \frac{\text{O}_v}{(\text{O}_v + \text{O}_L + \text{O}_C)} \times 100 \quad (9)$$

The surface concentration fractions of Ce³⁺, Ce⁴⁺ and O_v on the CeO₂ and surface modified CeO₂ catalysts are shown in Table 2. The [Ce³⁺] of CeO₂ nanospindles was 9.06 %, and it increased to 9.61 %, 14.03 %, 10.16 % and 10.71 % for CeO₂-H₂O, CeO₂-B, CeO₂-CuO and CeO₂-B-CuO, respectively. The increase of [Ce³⁺] was consistent with changes in oxygen vacancy concentration, [O_v], from 20.81 % to 26.37–48.70 % after the surface modification since the reduction of Ce⁴⁺ to Ce³⁺ species resulted in the generation of oxygen vacancies to manage charge balance [99,104]. The increase of defect sites after the surface modification was due to the synergistic effects of the increase of surface-active area caused by particle collisions induced by the high intensity ultrasonic irradiation together with the reduction of Ce⁴⁺ to

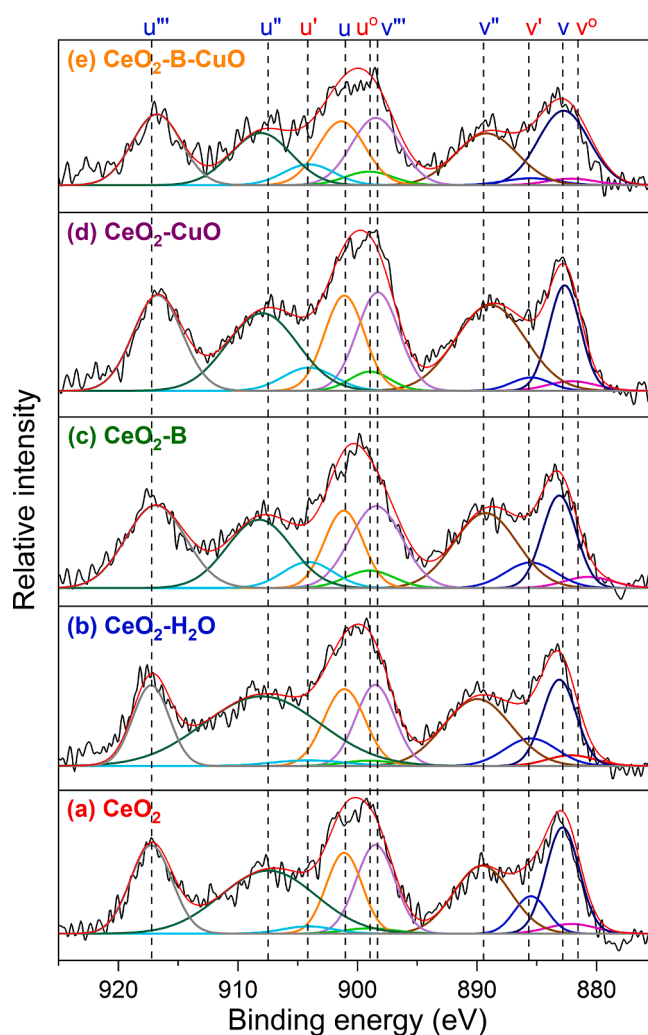


Fig. 7. XPS spectra of Ce 3d of CeO₂ and surface modified CeO₂.

Ce³⁺ from the redox reaction with NaBH₄ and CuO, as previously discussed. According to Table 2, CeO₂-B prepared by chemical redox etching with NaBH₄ possessed the highest [Ce³⁺] and [O_v] defect sites.

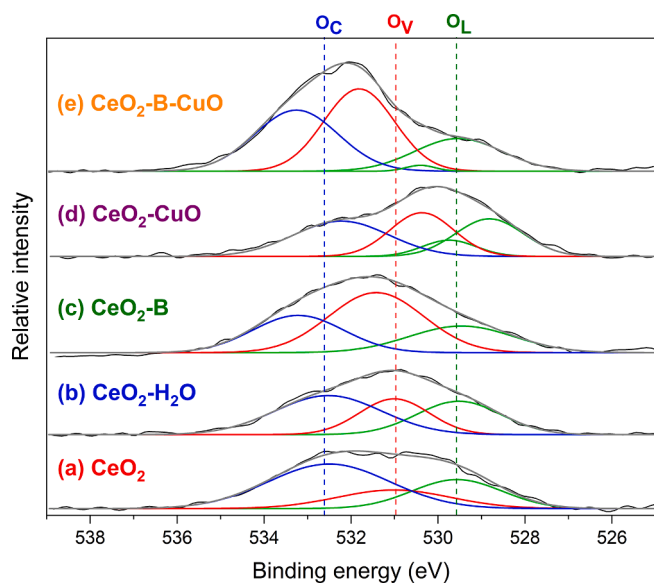


Fig. 8. XPS spectra of O 1s of CeO₂ and surface modified CeO₂.

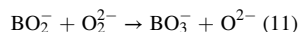
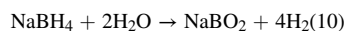
Table 2

The concentration fraction of Ce³⁺, Ce⁴⁺ and O_v of CeO₂ and surface modified CeO₂.

Catalyst	[Ce ⁴⁺] (%)	[Ce ³⁺] (%)	[Ce ³⁺ /Ce ⁴⁺] (%)	[O _v] (%)
CeO ₂	90.94	9.06	9.97	20.81
CeO ₂ -H ₂ O	90.39	9.61	10.63	26.37
CeO ₂ -B	85.97	14.03	16.32	48.70
CeO ₂ -CuO	89.84	10.16	11.31	29.30
CeO ₂ -B-CuO	89.29	10.71	11.99	41.74

The increase of [O_v] of CeO₂-B catalyst suggested the relation between the B- species and the oxygen vacancy defects [66] in which the increase of O_v concentration might have been related to the formation of active oxygen (O²⁻) caused by the reaction of borate (BO²⁻) species as shown in Eqs. (10) and (11) [66,95]. In these reactions, sodium metaborate (NaBO₂) could have formed through the hydrolysis of NaBH₄ to create the BO²⁻ species. Subsequently, the BO²⁻ species reacted with the absorbed peroxide species (O₂²⁻) on the surface of CeO₂. The reaction between BO²⁻ and O₂²⁻ was not only consuming the inactive peroxide species, but also enhancing the active oxygen (O²⁻) on the surface of

CeO₂-B and CeO₂-B-CuO catalysts [66,95]. This observation agreed well with the intensity reduction of the Raman active band of peroxide species after the surface modification as shown in Fig. 5. As the exposed Ce³⁺ coupled with oxygen vacancies on surface of CeO₂ catalysts were reported to be the active sites for the direct synthesis of DMC from CO₂ and methanol [52,57,95], modification of the CeO₂ surface with the NaBH₄ redox etching under a high intensity ultrasonication appeared to be an effective method to engineer the surface characteristics and possibly improve the catalytic activities of CeO₂ catalysts.



The NH₃ and CO₂ adsorption were examined to investigate the acid-base properties of the CeO₂ and surface modified CeO₂ nanospindle catalysts. Fig. 9 (a) and (b) show the NH₃ and CO₂-TPD profiles of all catalysts from 50 to 950 °C, respectively. The weak, moderate, and strong acid and basic sites were evaluated from the NH₃ and CO₂-TPD desorption peak areas at < 200 °C, 200–400 °C and > 400 °C, respectively [6–8]. The acidity and basicity of all catalysts are summarized in Table 3. CeO₂ and surface modified CeO₂ nanospindle catalysts can behave as bi-functional acid-base catalysts since their surface consists of both acidic and basic sites [7], which are required for the conversion of CO₂ and methanol to DMC [6–8]. The total acidity of CeO₂ nanospindles was 1.542 mmol.g⁻¹ while the total basicity was 0.474 mmol.g⁻¹. After the surface modification, the total acidity and basicity were found to have decreased to 0.739–0.905 mmol.g⁻¹ and 0.241–0.369 mmol.g⁻¹, respectively. Notable, the total acidity and basicity obtained in this study were comparable to those reported in the literature, in which the reported acidity and basicity values of CeO₂ catalysts varied between 0.25 and 0.63 and 0.08–0.73 mmol/g, respectively [8,17,103,105,106]. The decrease of acid and basic sites after the surface modification was inconsistent with the increase of surface oxygen vacancy concentration that was observed from other characterization techniques. Generally, oxygen vacancies serve as the Lewis acid sites on the CeO₂ surface, and the formation of oxygen vacancies consequently creates low coordinated oxygen anions which typically act as the Lewis basic sites [7,43]. The variation in acid-base properties observed in this study may have occurred due to the complex interaction of different types of surface species i.e., Ce⁴⁺/Ce³⁺, oxygen, B- and Cu- species with the surface adsorbates [8,103,105,106].

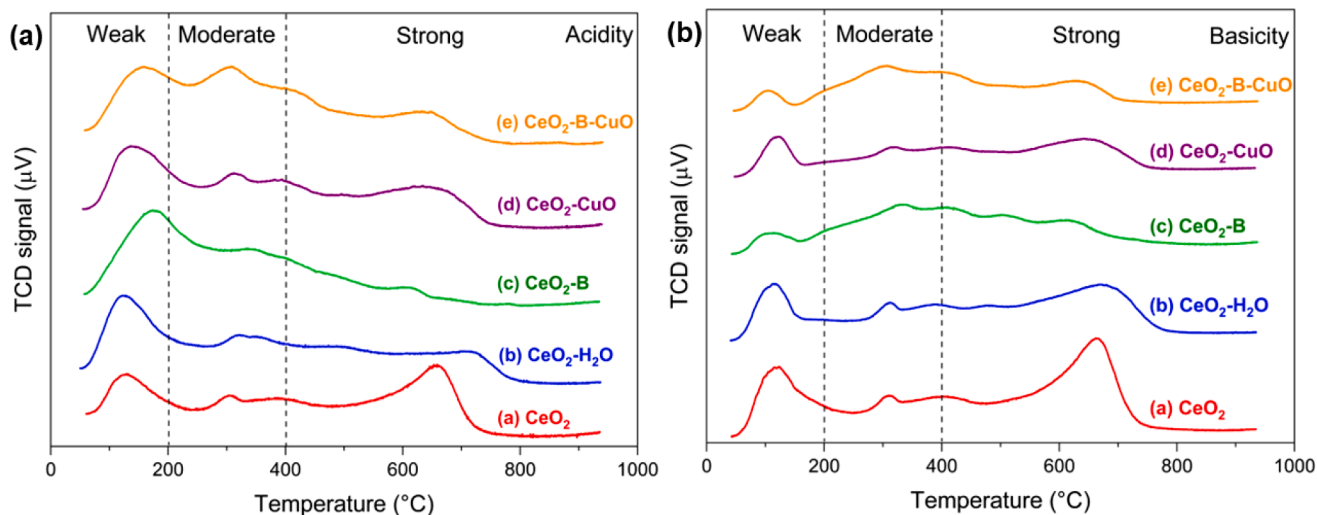


Fig. 9. TPD of (a) NH₃ and (b) CO₂-TPD profiles of CeO₂ and surface modified CeO₂.

Table 3Acidic and basic values of all catalysts determined from NH₃ and CO₂-TPD measurements.

Catalyst	Acidity (mmol.g ⁻¹ ; NH ₃ -TPD)				Basicity (mmol.g ⁻¹ ; CO ₂ -TPD)			
	Weak (<200 °C)	Medium (200–400 °C)	Strong (>400 °C)	Total	Weak (<200 °C)	Medium (200–400 °C)	Strong (>400 °C)	Total
CeO ₂	0.424	0.467	0.651	1.542	0.127	0.040	0.307	0.474
CeO ₂ -H ₂ O	0.364	0.190	0.331	0.885	0.092	0.081	0.196	0.369
CeO ₂ -B	0.372	0.227	0.140	0.739	0.025	0.109	0.176	0.310
CeO ₂ -CuO	0.301	0.278	0.273	0.852	0.038	0.101	0.117	0.256
CeO ₂ -B-CuO	0.278	0.415	0.212	0.905	0.017	0.152	0.072	0.241

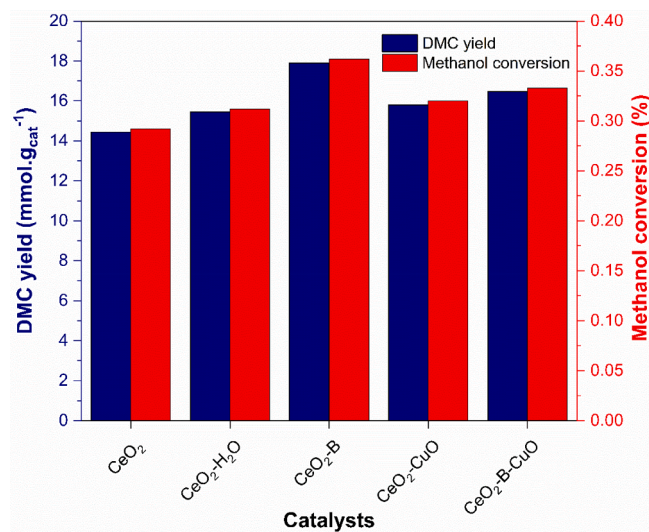
3.2. Catalytic activity

Catalytic activity tests for the direct synthesis of DMC from CO₂ and methanol were performed over the CeO₂ and surface modified CeO₂ nanospindle catalysts. The DMC yield, methanol conversion, TOF and DMC selectivity are presented in Table 4 and Fig. 10. The catalytic activity tests showed that CeO₂ nanospindles that had been simply prepared by a precipitation method could catalyze the direct synthesis of DMC from CO₂ and methanol by providing high DMC yield at 14.44 mmol.g_{cat}⁻¹, methanol conversion at 0.29 %, TOF at 0.43 h⁻¹ and 100 % DMC selectivity with only DMC, the remaining methanol, and by-product water contained in the liquid products. It should be noted that the % methanol conversion reported in this study was relatively low, which was because a high quantity of methanol feedstock at 40 ml was used in the reaction. After the surface modification, all catalysts showed higher performances than did the unmodified CeO₂, and the order of performance was CeO₂-B > CeO₂-B-CuO > CeO₂-CuO > CeO₂-H₂O > CeO₂. The DMC yield of CeO₂-H₂O was higher than the unmodified CeO₂, at 15.45 mmol.g_{cat}⁻¹, indicating that just the irradiation of the surface of CeO₂ nanospindles with a high intensity ultrasonic wave in deionized-water was able to increase the surface-active sites and consequently enhance the catalytic activity [107]. Among the surface modified catalysts, CeO₂-B exhibited the highest DMC yield at 17.90 mmol.g_{cat}⁻¹, 0.36 % methanol conversion, and TOF at 0.54 h⁻¹. The improvement of catalytic performance of CeO₂-B correlated with the rise of the concentration fraction of surface-active sites of exposed Ce³⁺ and oxygen vacancies caused by the chemical redox etching with NaBH₄ under high intensity ultrasonication [66]. The increase of surface Ce³⁺ and oxygen vacancies has been said to promote CO₂ and methanol adsorption, which are responsible for the increase of DMC yield formation [103]. The DMC yields of CeO₂-CuO (15.80 mmol.g_{cat}⁻¹) and CeO₂-B-CuO (16.47 mmol.g_{cat}⁻¹) were lower than that of CeO₂-B, but still higher than those of the unmodified CeO₂ and CeO₂-H₂O. This showed that the dispersion of nanocrystalline CuO on the CeO₂ surface was able to improve the catalytic activity due to the interaction between Cu ions and Ce ions and the formation of surface defect sites through the oxidation–reduction reaction of Cu⁺ + Ce⁴⁺ ↔ Cu²⁺ + Ce³⁺ [31,52,58]. However, the effect of CuO on the improvement of catalytic activity of CeO₂ was lower when compared to that resulting from the chemical redox etching with NaBH₄. In case of CeO₂-B-CuO, treating the CeO₂ surface with NaBH₄ before impregnating with CuO led to strong interfacial bonding between the surface defect sites and the CuO species, which resulted in the reduction of surface-active area and did not enhance the DMC formation as much as expected.

The mechanism for DMC formation from CO₂ and methanol over the CeO₂-B catalyst is shown in Fig. 11. Firstly, a CeO₂-B catalyst was

Table 4Catalytic activity of CeO₂ and surface modified CeO₂.

Catalyst	DMC yield (mmol)	DMC yield (mmol.g _{cat} ⁻¹)	Methanol Conversion (%)	TOF (h ⁻¹)	DMC selectivity (%)
CeO ₂	1.44	14.44	0.29	0.43	100
CeO ₂ -H ₂ O	1.54	15.45	0.31	0.46	100
CeO ₂ -B	1.79	17.90	0.36	0.54	100
CeO ₂ -CuO	1.58	15.80	0.32	0.47	100
CeO ₂ -B-CuO	1.65	16.47	0.33	0.49	100

**Fig. 10.** Correlation between DMC yield and methanol conversion of CeO₂ and surface modified CeO₂.

prepared by surface modification of CeO₂ nanospindles with a strong reducing agent, NaBH₄, under a high intensity ultrasonic irradiation in order to create surface-active sites of exposed Ce³⁺ and oxygen vacancies that could serve as Lewis acid sites [66,78,103]. The DMC formation began when CO₂ molecules were adsorbed onto the CeO₂-B surface through the Lewis acid-base interaction of oxygen vacancies on the catalyst surface and nonbonding electrons in the oxygen atoms of CO₂ [103,106]. Then, methanol molecules were adsorbed onto adjacent Ce³⁺ Lewis acid sites through the oxygen atoms of CH₃OH to form intermediate methoxy carbonyl ions (CH₃OCO₂⁻). After that, other methanol molecules were absorbed on the opposite Ce³⁺ sites [43]. Next, H atoms from methanol molecules and O atoms in CO₂ molecules were removed through the Lewis acid-base reaction to create DMC and water by-product. Finally, oxygen vacancies were released onto the catalyst surface [106].

Table 5 presents a comparison of the catalytic performance of CeO₂-based catalysts for the direct synthesis of DMC from CO₂ and methanol which involved similar systems to the ones used in this study. The surface modified CeO₂-B nanospindle catalysts prepared in this study showed higher DMC yields than those previously reported. These results suggested that chemical treatment of CeO₂ nanospindle surface with a redox etching reagent, NaBH₄, under a high intensity ultrasonication

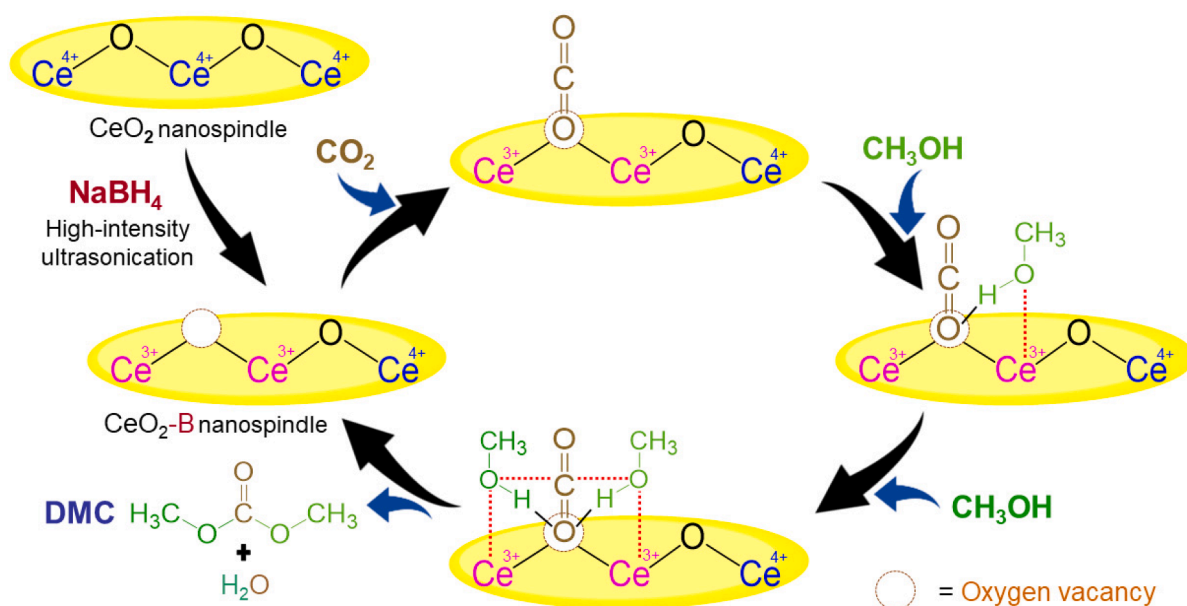


Fig. 11. Catalytic mechanism for the direct synthesis of DMC from CO₂ and methanol over CeO₂-B catalyst.

Table 5

Catalytic conversion of DMC from CO₂ and methanol using CeO₂ and surface modified CeO₂ catalysts.

Catalysts	Method		Morphology	Operation condition			DMC yield (mmol.g _{cat} ⁻¹)	Ref.
	Preparation	Modification		Cat. wt. (g)	Pressure (MPa)	Temp. (°C)		
CeO ₂	Hydrothermal	–	Spindle	0.1	5.0	140	1.38	[17]
CeO ₂	Precipitation	–	Spindle	1.0	8.0	140	13.84	[43]
CeO ₂	Hydrothermal	–	Rod	0.2	3.0	160	0.20	[44]
CeO ₂	Solvothermal	Thermal reduction under H ₂	Wire	0.2	5.0	120	16.85	[35]
CuO/CeO ₂	Hydrothermal	Impregnation	Rod	0.5	3.0	140	0.60	[70]
CeO ₂	Precipitation	–	Spindle	0.1	4.5	140	14.44	This work
CeO ₂ -B	Precipitation	Chemical redox etching	Spindle	0.1	4.5	140	17.90	This work
CeO ₂ -B-CuO	Precipitation	Chemical redox etching & Impregnation	Spindle	0.1	4.5	140	16.47	This work

was a simple and effective method to enhance the catalytic activity of CeO₂ nanospindles for the production of DMC.

4. Conclusion

In this study, we reported a simple and effective method that can be used to modify the surface properties of CeO₂ nanospindles via an ultrasonically assisted wet chemical redox etching. The CeO₂ nanospindles were first prepared via a precipitation method, and this was followed by chemical treatment with a strong reducing agent, NaBH₄, and/or Cu solution under high intensity ultrasonic irradiation. The particle collisions and surface reduction induced by the sonochemical effect and redox reaction resulted in an increase of surface-active sites of exposed Ce³⁺ and oxygen vacancies. CeO₂-B showed the highest catalytic activity for the conversion of CO₂ and methanol to DMC, which corresponded well with the increased number of surface-active sites capable of absorbing reactant species and subsequently participating in the formation reaction. These results suggest that the ultrasonically assisted wet chemical redox etching is a simple and effective method to engineer the surface properties of CeO₂ catalysts.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data availability

Data will be made available on request.

Acknowledgements

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