

OPEN

# Vascular cognitive impairment associated with NOTCH3 Exon 33 mutation

# A case report

Yong Sun, MD, Yan-Jun Wei, College Degree, Ying Xing, PhD\*

#### **Abstract**

Rationale: Vascular cognitive impairment (VCI) is a common cause of dementia. Research suggests that hereditary factors (gene mutations) play an important role in the pathogenesis of VCI, and a mutation of the NOTCH3 locus is frequently identified in affected patients. Herein, we report the case of a patient with confirmed VCI associated with a NOTCH3 exon 33 gene mutation and review the relevant VCI literature.

Patient concerns: A 48-year-old man presented to our neurology clinic with gradually progressive cognitive impairment.

**Diagnoses:** Brain magnetic resonance imaging revealed multiple punctate hyperintensities in the patient's periventricular white matter. Genetic analysis showed a c.6744C>T, p. Ala2223Val substitution in exon 33 of the NOTCH3 gene. We diagnosed thepatient with VCI secondary to a NOTCH3 gene mutation.

Interventions: Donepezil (5 mg) and memantine (5 mg) daily.

Outcomes: The patient showed symptom improvement at his 3-month and 6-month follow-up appointments.

**Lessons:** This patient may have a new type of mutation that is different from the one seen in cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy, although it involves a NOTCH3 defect. We propose that the entire NOTCH3 gene should be sequenced in patients with suspected hereditary VCI. This practice could facilitate the discovery of newpathogenic mutations and diseases.

**Abbreviations:** ADL = activities of daily living, CADASIL = cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy, CSVD = cerebral small vessel disease, MMSE = Mini-Mental Status Examination, MoCA = Montreal Cognitive Assessment, VCI = vascular cognitive impairment.

Keywords: CADASIL, NOTCH3 gene, vascular cognitive impairment

#### 1. Introduction

Vascular cognitive impairment (VCI) is a common cause of dementia, [1] second only to Alzheimer's disease. The risk factors of VCI include unmodifiable characteristics (e.g., age, race, and genetic factors such as a family history of cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy [CADISIL]) and modifiable conditions (e.g., hypertension, ischemic heart disease, diabetes, hyperlipidemia, and smoking). [2] Research suggests that hereditary factors (gene mutations) play an important role in the pathogenesis of VCI, and a mutation of the NOTCH3 locus is frequently identified in affected patients. Here, we report the case of a patient with confirmed VCI associated with a NOTCH3 exon 33 gene

mutation. The Ethics Committee of China–Japan Union Hospital of Jilin University approved the publication of this case report (the approval number is 2019061802). The patient provided written informed consent for all treatment and the publication of this report.

### 2. Case

A 48-year-old man presented to our hospital outpatient clinic with a 2-year history of gradually progressive memory loss of unknown etiology and a 1-year history of diminished attention and activity, depression, and loss of interest in life and his favorite pastimes. The patient's family members reported that he misidentified his relatives and could not remember the names of other people. The family stated that the patient had developed difficulty with calculations. For example, he could not correctly subtract 7 from 100. His relatives also noted that the features of the patient's illness varied in severity throughout the 2-year course.

The patient's medical history included hypertension and gout. He did not smoke or drink alcohol. He had earned a master's degree in 1996. His family history was significant for multiple cerebral lacunar infarctions in his father and cerebral hemorrhages in his mother and older sister. On physical examination, the patient's blood pressure, heart rate, and oxygen saturation were 135/91 mmHg, 75 beats/minutes, and 100% on room air, respectively, and he was normothermic. His orientation, memory, comprehension, and calculation were impaired, but

Editor: N/A.

The authors have no conflicts of interest to disclose.

China-Japan Union Hospital of Jilin University, Changchun, China.

\* Correspondence: Ying Xing, China-Japan Union Hospital of Jillin University, Changchun, China (e-mail: 13844021603@163.com).

Copyright © 2019 the Author(s). Published by Wolters Kluwer Health, Inc. This is an open access article distributed under the Creative Commons Attribution License 4.0 (CCBY), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Medicine (2019) 98:34(e16920)

Received: 8 January 2019 / Received in final form: 31 July 2019 / Accepted: 29 July 2019

http://dx.doi.org/10.1097/MD.000000000016920

Medicine Sun et al. Medicine (2019) 98:34

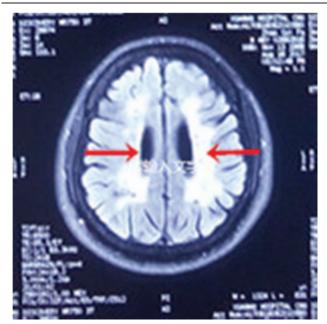


Figure 1. Fluid-attenuated inversion recovery imaging (August 17, 2017) shows multiple anomalous high signals in the parietal lobes and subcortical, lateral areas of the ventricles (red arrows).

his cranial nerve examination was unremarkable. The patient had normal muscle strength and tension. Deep and superficial sensory examinations showed no obvious abnormalities. His right biceps tendon reflex was enhanced, but other tendon reflexes were within normal limits. The patient had negative Babinski and positive Chaddock's signs bilaterally. His rapid alternating movement test results were poor in both hands. The patient scored 14/30 points on his Mini-Mental Status Examination (MMSE), 8/30 on the Montreal Cognitive Assessment (MoCA), and 30 on his activities of daily living (ADL) evaluation. Laboratory evaluations of his blood; thyroid, liver, and kidney

function; antinuclear antibody spectrum; and vitamin B12 level showed no disorder. A rheumatoid factor study was negative.

Brain magnetic resonance imaging revealed multiple punctate hyperintensities in the periventricular white matter, bilateral basal ganglia, thalamus, centrum semiovale, right occipital lobe, and bilateral frontoparietal subcortical regions. These abnormal signals were markedly hypointense on T1-weighted images, hyperintense on T2-weighted images, and showed variable intensity on fluid-attenuated inversion recovery imaging (Fig. 1). Magnetic resonance angiography showed no defects (Fig. 2). Brain metabolism evaluation using <sup>18</sup>F-labeled fluoro-2deoxyglucose (18F-FDG) imaging showed decreased tracer uptake in the patient's left frontal and temporal lobes, thalamus, and basal ganglia compared to that in the corresponding contralateral areas, with reductions in the uptake rate of 33%, 27%, 19%, and 29%, respectively. A similar phenomenon was observed in the parietal lobes, with uptake in the left lower than that in the right (Fig. 3). Analysis of the NOTCH3 gene revealed a c.6744C > T, p. Ala2223Val substitution in exon 33, but the mutation did not involve cysteine (Fig. 4). We found no granular osmiophilic material (GOM) on immunostained skin biopsies.

Based on our findings, we diagnosed the patient with vascular dementia and prescribed donepezil (5 mg/night) and memantine (5 mg/night) to improve cognitive and other symptoms. At the



Figure 2. Magnetic resonance angiography (August 16, 2017) shows no abnormalities.

2-month follow-up, the patient scored 16/30 points on the MMSE, 10/30 on the MoCA, and 45 points on the assessment of ADL. Two months later, we increased his done done to 10 mg nightly and did not change the other treatments. Six months after beginning treatment, the patient's MMSE score was 16/30, the MoCA was 10/30, and his ADL score was 45. During the

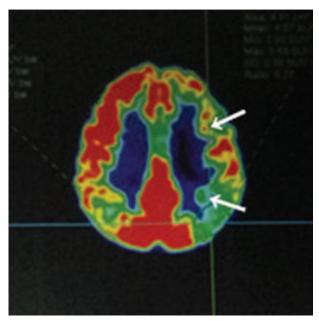


Figure 3. The brain metabolism image (August 18, 2017) shows decreased <sup>18</sup>F-labeled fluoro-2-deoxyglucose uptake rates in the left frontal lobe, temporal lobe, thalamus, and basal ganglia compared to the rates in the corresponding contralateral areas (white arrows).

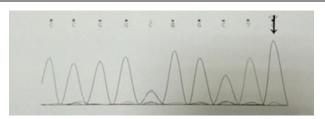


Figure 4. The NOTCH3 gene analysis revealed a c.6744C > T, p. Ala2223Val substitution in exon 33, but the mutation did not involve cysteine (black arrow).

follow-up visits at 2 and 6 months, no abnormalities were found on the patient's imaging examinations.

### 3. Discussion

Hachinski and Bowler proposed the concept of VCI in 1993, describing a range of syndromes from mild cognitive impairment to dementia. [3,4] According to the current classification standard, VCI can be broadly categorized as non-dementia VCI, vascular dementia, and mixed dementia. [2] Both acquired and inherited subcortical small vessel disease can result in VCI. [5] Genetic research shows that VCI may be caused by a gene mutation and the synergy of the resulting defect with other factors; Mutations of the apolipoprotein E (apoE) and NOTCH3 genes are frequently identified in affected patients. [6] Therefore, genetic factors play an important role in the pathogenesis of VCI.

Our patient presented with cognitive impairment, hypertension, and radiographic evidence of cerebral small vessel disease (CSVD). Therefore, we considered a diagnosis of CSVD first. Further, NOTCH3 gene sequencing revealed a C.6744C > T,

p. Ala2223Val substitution in exon 33, although the mutation did not involve cysteine. Thus, our patient had CVSD associated with a NOTCH3 substitution mutation – CADASIL is the most common example of this entity.<sup>[7]</sup>

At the time of our literature review, over 300 types of diseasecausing mutations had been reported (data from The Human Gene Mutation Database). Additionally, we found many reports about NOTCH gene mutations that did not involve cysteine (Table 1). Our review indicated that pathogenic mutations occur in extracellular encoding exons 2 to 24, most frequently in exon 3 or 4. [25,26] Joutel et al reported that 70% to 80% of French NOTCH3 gene mutations occur in exon 3 or 4, and exon 4 mutations are the most common. [27] Markus et al reported that in the UK family of CADASIL-related NOTCH3 gene mutations, 73% are located in exon 4 and 8% in exon 3.<sup>[28]</sup> German studies showed that 58.3% of mutations occur in exon 4.[29] Further, similar Chinese studies showed that the NOTCH3 gene mutation is typically in exon 3 or 4, and exon 4 has the highest mutation rate. [30] Although such mutations also occur in other exons, [11,14,19-24] there is no previous report of an exon 33 mutation. Because we suspected CADASIL, we evaluated a skin biopsy to confirm the diagnosis. However, we found no GOM deposition in the arterioles. Thus, our patient did not meet the current Japanese diagnostic criteria for CADASIL (Table 2).[31]

Positron emission tomography findings typical of VCI include asymmetric uptake of <sup>18</sup>F-FDG in the left and right cerebral cortices. Additionally, sugar metabolism in grape-decreased areas not only relates to the cerebral cortex but also lesions in the basal ganglia and thalamus. <sup>[32]</sup> Our patient's cerebral metabolic defects and symptoms fulfilled the VCI criteria. <sup>[33]</sup> Given his degree of cognitive impairment, the patient also met the criteria for the diagnosis of vascular dementia.

Table 1

NOTCH gene mutations not involve cysteine.

Number	Gene mutation	Substitution of amino acids	Replace amino acids	Exon	Author
1	p.R61W	Arg	Trp	2	Brass <sup>[8]</sup>
2	p.R75P	Arg	Pro	3	Kim, <sup>[9]</sup> Mizuno, <sup>[10]</sup> Wang, <sup>[11]</sup> Ueda <sup>[12]</sup>
3	p.D80G	Asp	Gly	3	Wollenweber <sup>[13]</sup>
4	p.R107W	Arg	Trp	3	Ungaro <sup>[14]</sup>
5	p.G149V	Gly	Val	4	Ge <sup>[15]</sup>
6	p.Q151E	Gln	Glu	4	Ungaro <sup>[14]</sup> , Ampuero <sup>[16]</sup>
7	p.H170R	His	Arg	4	Ampuero,[16] Roy[17]
8	p.A198T	Ala	Thr	4	Ungaro <sup>[14]</sup>
9	p.A202V	Ala	Val	4	Roy <sup>[17]</sup>
10	p.R207H	Arg	His	4	Ungaro <sup>[14]</sup>
11	p.R213K	Arg	Lys	4	Uchino,[14] Santa[18]
12	p.V237M	Val	Met	5	Uchino <sup>[14]</sup>
13	p.V252M	Val	Met	5	Abramycheva <sup>[19]</sup>
14	p.E309K	Glu	Lys	6	Ungaro <sup>[14]</sup>
15	p.S497L	Ser	Leu	9	Abramycheva <sup>[19]</sup>
16	p.T577A	Thr	Ala	11	Ferreira <sup>[20]</sup>
17	p.R592S	Arg	Ser	11	Ungaro <sup>[14]</sup>
18	p.V644D	Val	Asp	12	Ungaro <sup>[14]</sup>
19	p.S978R	Ser	Arg	18	Ferreira <sup>[21]</sup>
20	p.A1020P	Ala	Pro	19	Scheid <sup>[22]</sup>
21	p.T1098S	Thr	Ser	20	Wang <sup>[11]</sup>
22	p.H1133Q	His	Gln	21	Abramycheva <sup>[19]</sup>
23	p.H1235L	His	Leu	22	Ungaro <sup>[14]</sup>
24	p.L1515P	Leu	Pro	25	Fouillade <sup>[23]</sup>
25	p.V1762M	Val	Met	29	Bersano <sup>[24]</sup>

Sun et al. Medicine (2019) 98:34 Medicine

# Table 2

#### New diagnostic criteria for CADASIL in Japan.

#### Clinical criteria

#1 Age at onset (clinical symptoms #2 or white matter lesions) ≤55 years old.

#2 At least 2 of the following clinical findings:

- a. Either of subcortical dementia, long tract signs, or pseudobulbar palsy.
- b. Stroke-like episode with a focal neurological deficit.
- c. Mood disorder.
- d. Migraine.

#3 Autosomal dominant inheritance.

#4 White matter lesions involving the anterior temporal pole by MRI or CT.

#5 Exclusion of leukodystrophy (Adrenoleukodystrophy, metachromatic leukodystrophy, etc).

Genetic criteria

NOTCH3 mutations localize in exons 2 to 24 and result in the gain or loss of cysteine residues in the epidermal growth factor-like repeat domain. Cysteine-sparing variants should be carefully evaluated by skin biopsy and segregation studies

Pathological criteria

The pathological hallmark of CADASIL is granular osmiophilic material (GOM) detected by electron microscopy. Immunostaining of NOTCH3 extracellular domain is also useful. Definite

CADASIL is definite when the individual fulfills

- (1) White matter lesions by MRI or CT.
- (2) Clinical criteria #5
- (3) Genetic criteria and/or pathological criteria

Probable

CADASIL is probable when the individual fulfills clinical criteria #1 to #5.

Possible

CADASIL is possible when the individual has abnormal white matter lesions and fulfills either of

(1) <55 years old

(2) At least one of the symptoms in clinical criteria #2

This patient may have a new type of mutation that is different from the one seen in CADASIL, although it involves a NOTCH3 defect. There are 2 reasons for this conclusion. Firstly, the gene mutation in our patient is significantly different than those described in previous reports involving many CADASIL mutation sites and types. Further analysis of his family's genetic map is needed to clarify whether this mutation is hereditary. Secondly, CADASIL is a systemic disease that can be diagnosed by detecting GOM deposition in skin biopsies. Although skin biopsy examination lacks sensitivity, it is highly specific for a pathological diagnosis. [34,35] Our patient's skin biopsy had no GOM deposition. However, we need to confirm the accuracy of the results. Therefore, in this case, the diagnosis is VCI secondary to CADASIL resulting from an atypical NOTCH3 gene mutation or non-CADASIL-associated VCI secondary to a NOTCH3 gene mutation. Further research is needed to clarify the pathogenesis. Meanwhile, we propose that the NOTCH3 gene should be completely sequenced in patients with suspected hereditary VCI. This practice could facilitate the discovery of new pathogenic mutations and diseases.

## **Author contributions**

Data curation: Yong Sun. Supervision: Ying Xing.

Writing - original draft: Yong Sun.

Writing - review & editing: Yan-Jun Wei.

References

- Hachinski VC, Bowler JV. Vascular dementia. Neurology 1993;43:2159–60. 2160–2161.
- [2] Farooq U, Gorelick PB. Vascular cognitive impairment. Curr Atherosclerosis Rep 2013;15:330.

- [3] Erkinjuntti T, Gauthier S. The concept of vascular cognitive impairment. Front Neurol Neurosci 2009;24:79–85.
- [4] Iadecola C. The pathobiology of vascular dementia. Neuron 2013;80:844–66.
- [5] HC, Chui. Subcortical ischemic vascular dementia. Neurol Clin 2007;25:717–40.
- [6] Wakutani Y, Kowa H, Kusumi M, et al. Genetic analysis of vascular factors in Alzheimer's disease. Ann NY Acad Sci 2002;977:232–8.
- [7] Gary A, Rosenberg , Anders , Wallin . Consensus statement for diagnosis of subcortical small vessel disease. Int J Cereb 2016;481–96.
- [8] Brass SD, Smith EE, Arboleda-Velasquez JF, et al. Case records of the Massachusetts General Hospital. Case 12-2009. A 46-year-old man with migraine, aphasia, and hemiparesis and similarly affected family members. New Eng J Med 2009;360:1656–65.
- [9] Kim Y, Choi EJ, Choi CG, et al. Characteristics of CADASIL in Korea: a novel cysteine-sparing Notch3 mutation. Neurology 2006;66:1511-6.
- [10] Mizuno T, Muranishi M, Torugun T, et al. Two Japanese CADASIL families exhibiting Notch3 mutation R75P not involving cysteine residue. Internal Med (Tokyo, Japan) 2008;47:2067–72.
- [11] Wang Z, Yuan Y, Zhang W, et al. NOTCH3 mutations and clinical features in 33 mainland Chinese families with CADASIL. J Neurol Neurosurg Psych 2011;82:534–9.
- [12] Ueda A, Ueda M, Nagatoshi A, et al. Genotypic and phenotypic spectrum of CADASIL in Japan: the experience at a referral center in Kumamoto University from 1997 to 2014. J Neurol 2015;262:1828–36.
- [13] Wollenweber FA, Hanecker P, Bayer-Karpinska A, et al. Cysteinesparing CADASIL mutations in NOTCH3 show proaggregatory properties in vitro. Stroke 2015;46:786–92.
- [14] Ungaro C, Mazzei R, Conforti FL, et al. CADASIL: extended polymorphisms and mutational analysis of the NOTCH3 gene. J Neurosci Res 2009;87:1162–7.
- [15] Ge W, Kuang H, Wei B, et al. A novel cysteine-sparing NOTCH3 mutation in a Chinese family with CADASIL. PLoS One 2014;9: e104533.
- [16] Ampuero I, Alegre-Abarrategui J, Rodal I, et al. On the diagnosis of CADASIL. J Alzheimer's Dis 2009;17:787–94.
- [17] Roy B, Maksemous N, Smith RA, et al. Two novel mutations and a previously unreported intronic polymorphism in the NOTCH3 gene. Mutat Res 2012;732:3–8.

- [18] Santa Y, Uyama E, Chui DH, et al. Genetic, clinical and pathological studies of CADASIL in Japan: a partial contribution of Notch3 mutations and implications of smooth muscle cell degeneration for the pathogenesis. J Neurolog Sci 2003;212:79–84.
- [19] Abramycheva N, Stepanova M, Kalashnikova L, et al. New mutations in the Notch3 gene in patients with cerebral autosomal dominant arteriopathy with subcortical infarcts and leucoencephalopathy (CADA-SIL). J Neurolog Sci 2015;349:196–201.
- [20] Ferreira S, Fontoura P, Guerreiro R, et al. Novel human pathological mutations. Gene symbol: NOTCH3. Disease: cerebral autosomal dominant arteriopathy with subcortical infarcts and leucoencephalopathy (CADASIL). Human Genet 2007;121:649–50.
- [21] Homer V, George PM, du Toit S, et al. Novel human pathological mutations. Gene symbol: APOB Disease:normotriglyceridemichypobetalipoproteinemia. Hum Genet 2007;121:645–6.
- [22] Scheid R, Heinritz W, Leyhe T, et al. Cysteine-sparing notch3 mutations: cadasil or cadasil variants? Neurology 2008;71:774–6.
- [23] Fouillade C, Chabriat H, Riant F, et al. Activating NOTCH3 mutation in a patient with small-vessel-disease of the brain. Hum Mutat 2008;29:452.
- [24] Bersano A, Ranieri M, Ciammola A, et al. Considerations on a mutation in the NOTCH3 gene sparing a cysteine residue: a rare polymorphism rather than a CADASIL variant. Funct Neurol 2012;27:247–52.
- [25] Yin X, Wu D, Wan J, et al. Cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy: Phenotypic and mutational spectrum in patients from mainland China. Int J Neurosci 2015;125:585–92.

- [26] Liu X, Zuo Y, Sun W, et al. The genetic spectrum and the evaluation of CADASIL screening scale in Chinese patients with NOTCH3 mutations. J Neurolog Sci 2015;354:63–9.
- [27] Joutel A, Vahedi K, Corpechot C, et al. Strong clustering and stereotyped nature of Notch3 mutations in CADASIL patients. Lancet 1997;350:1511–5.
- [28] Markus HS, Martin RJ, Simpson MA, et al. Diagnostic strategies in CADASIL. Neurology 2002;59:1134–8.
- [29] Monet-Lepretre M, Bardot B, Lemaire B, et al. Distinct phenotypic and functional features of CADASIL mutations in the Notch3 ligand binding domain. Brain: J Neurol 2009;132:1601–12.
- [30] Wang Z, Yuan Y, Zhang W, et al. NOTCH3 mutations and clinical features in 33 mainlandChinese families with CADASIL. Journal of neurology, neurosurgery, and psychiatry 2011;82:534–9.
- [31] Ampuero I, Alegre-Abarrategui J, Rodal I, et al. On the diagnosis of CADASIL. J Alzheimers Dis 2009;17:787–94.
- [32] Depengli . Analysis of 18F-FDG PET image with Alzheimer's disease and vascular dementia. J Apoplexy Nervous Dis 2001;213–4.
- [33] Guidelines for diagnosis and treatment of vascular cognitive impairment in China. Prevention and Treatment of Cardio-Cerebral-Vascular Disease 2016;3–6.
- [34] Tikka S, Baumann M, Siitonen M, et al. CADASIL and CARASIL. Brain Pathol (Zurich, Switzerland) 2014;24:525–44.
- [35] Joutel A, Favrole P, Labauge P, et al. Skin biopsy immunostaining with a Notch3 monoclonal antibody for CADASIL diagnosis. Lancet 2001;358:2049–51.