

In depth sequencing of a serially sampled household cohort reveals the within-host dynamics of Omicron SARS-CoV-2 and rare selection of novel spike variants

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1 **Abstract**

2 SARS-CoV-2 has undergone repeated and rapid evolution to circumvent host immunity.

3 However, outside of prolonged infections in immunocompromised hosts, within-host positive

4 selection has rarely been detected. The low diversity within-hosts and strong genetic linkage

5 among genomic sites make accurately detecting positive selection difficult. Longitudinal

6 sampling is a powerful method for detecting selection that has seldom been used for SARS-CoV-

7 2. Here we combine longitudinal sampling with replicate sequencing to increase the accuracy of

8 and lower the threshold for variant calling. We sequenced 577 specimens from 105 individuals

9 from a household cohort primarily during the BA.1/BA.2 variant period. There was extremely

10 low diversity and a low rate of divergence. Specimens had 0-12 intrahost single nucleotide

11 variants (iSNV) at >0.5% frequency, and the majority of the iSNV were at frequencies <2%.

12 Within-host dynamics were dominated by genetic drift and purifying selection. Positive

13 selection was rare but highly concentrated in spike. Two individuals with BA.1 infections had

14 S:371F, a lineage defining substitution for BA.2. A Wright Fisher Approximate Bayesian

15 Computational model identified positive selection at 14 loci with 7 in spike, including S:448 and

16 S:339. We also detected significant genetic hitchhiking between synonymous changes and

17 nonsynonymous iSNV under selection. The detectable immune-mediated selection may be

18 caused by the relatively narrow antibody repertoire in individuals during the early Omicron

19 phase of the SARS-CoV-2 pandemic. As both the virus and population immunity evolve,

20 understanding the corresponding shifts in SARS-CoV-2 within-host dynamics will be important.

21

22

23 **Introduction**

24 As SARS-CoV-2 continues to circulate, population immunity from infections and vaccinations
25 has resulted in the evolution of new variants that quickly become the dominant circulating
26 strain^{1,2}. This has contributed to decreased vaccine effectiveness, and in response, multiple
27 reformulations of the SARS-CoV-2 vaccines³⁻⁵. The continual evolution of SARS-CoV-2 as a result
28 of selection from the host adaptive immune system is likely to continue. Similar to this global
29 antigenic drift, partial immunity from previous exposure may lead to the selection of new
30 antigenic variants within hosts^{6,7}. Because all variation originates from intrahost processes,
31 understanding within-host dynamics is crucial to understanding the evolutionary trajectory of
32 SARS-CoV-2.

33
34 To date, there has been limited evidence of positive selection of immune escape variants within
35 individuals with acute, self-limited SARS-CoV-2 infections. We and others have found that SARS-
36 CoV-2 infections exhibit low genetic diversity and few *de novo* mutations that reach significant
37 frequencies⁸⁻¹¹. Select studies have identified spike variants in sites known to confer antibody
38 resistance^{8,11}. Additionally, Farjo *et al.* found nonsynonymous intrahost single nucleotide
39 variants (iSNVs) to be enriched in individuals who had been vaccinated or previously infected¹¹.
40 Regions of within-host positive selection in non-spike regions have also been detected when
41 comparing intrahost diversity of synonymous and nonsynonymous variants (p_N/p_S)¹². However,
42 genetic hitchhiking (i.e., changes in a mutation's frequency as a result of selection on a linked
43 site on the same genome/chromosome) and genetic drift make it difficult to accurately detect
44 positive selection with viruses from only a single timepoint¹³.

45

46 Most studies of serially sampled individuals come from prolonged infections in
47 immunocompromised patients, where immune escape variants have repeatedly been found^{14–}
48 ¹⁸. Prolonged infections release the virus from the frequent population bottlenecks
49 characteristic of acute infections, increasing the amount of genetic variation and allowing time
50 for selection to occur¹⁹. The selection pressures in immunocompromised individuals may differ
51 from those in immunocompetent individuals with acute infections, with selection for increased
52 cell-cell transmission and viral packaging¹⁷. Additionally, monoclonal antibodies commonly used
53 to treat immunocompromised individuals may exert more targeted selection than a polyclonal
54 response from prior exposure in immunocompetent individuals²⁰.

55

56 To more thoroughly examine the role of positive selection within hosts during acute SARS-CoV-
57 2 infections, we studied individuals from a case-ascertained household cohort, in which nasal
58 swab specimens were collected daily for 10 days after enrollment. All specimens were
59 sequenced in duplicate, allowing for robust variant calling at a very low frequency threshold
60 (0.5%). With serial sampling and low frequency variant calling, we were able to define the
61 within-host divergence of SARS-CoV-2 populations, detect genetic hitchhiking, and identify rare,
62 but potentially significant, instances of positive selection in spike.

63

64 **Methods**

65 **Cohort and Specimens**

66 Households were enrolled through the CDC-sponsored Respiratory Virus Transmission Network
67 – Sentinel (RVTN-S), a case ascertained household transmission study coordinated at Vanderbilt
68 University Medical Center. All individuals provided written, informed consent and those
69 included in the current study were enrolled in Nashville, TN from September 2021 to February
70 2022. The study was reviewed and approved by the Vanderbilt University Medical Center
71 Institutional Review Board (see 45 C.F.R. part 46.114; 21 C.F.R. part 56.114). Index cases (i.e.
72 the first household members with laboratory-confirmed SARS-CoV-2 infection) were identified
73 and recruited from ambulatory clinics, emergency departments, or other settings that
74 performed SARS-CoV-2 testing. Index cases and their households were screened and enrolled
75 within 6 days of the earliest symptom onset date within the household. Vaccination status was
76 determined by plausible self-report (report of a manufacturer and either a date or location) or
77 vaccine verification through vaccination cards, state registries, and medical records. Only
78 vaccines received more than 14 days before the date of the earliest symptom onset in the
79 household were considered.

80

81 Nasal swabs specimens were self- or parent-collected daily from all enrolled household
82 members during follow-up for 10 days and tested for SARS-CoV-2. Nasal swabs were tested by
83 transcription mediated amplification using the Panther Hologic system. All available specimens
84 were processed for sequencing as described below.

85

86 **Sequencing and Variant Calling**

87 SARS-CoV-2 positive specimens with a cycle threshold (Ct) value ≤ 32 were sequenced in
88 duplicate after the RNA extraction step. RNA was extracted using the MagMAX viral/pathogen
89 nucleic acid purification kit (ThermoFisher) and a KingFisher Flex instrument. Sequencing
90 libraries were prepared using the NEBNext ARTIC SARS-CoV-2 Library Prep Kit (NEB) and ARTIC
91 V5.3.2 primer sets. After barcoding, libraries were pooled in equal volume. The pooled libraries
92 (up to 96 specimens per pool) were size selected by gel extraction and sequenced on an
93 Illumina NextSeq (2x300, P1 chemistry).

94
95 For the first specimen with adequate sequencing, we aligned the sequencing reads to the
96 MN908947.3 reference using BWA-mem v0.7.15²¹. Primers were trimmed using iVar v1.2.1²².
97 Reads from both replicates were combined and used to make a within host consensus
98 sequence using a script from Xue et al.²³. All specimens were aligned to their respective within-
99 host consensus sequences. Intra-host single nucleotide variants (iSNV) were identified for each
100 replicate separately using iVar²² with the following criteria: average genome wide coverage
101 $> 1000x$, frequency 0.005-0.995, p-value $< 1 \times 10^{-5}$, variant position coverage depth $> 400x$. We
102 also masked ambiguous and homoplastic sites²⁴. Specific to this study, T11075C was found at
103 low frequencies in 48 individuals and also masked. Finally, to minimize the possibility of false
104 variants being detected, the variants had to be present in both sequencing replicates. Indels
105 were not evaluated. Lineages were determined with Nextclade²⁵ and Pango^{26,27}, based on the
106 within-host consensus sequence.

107

108 **iSNV Dynamics and Divergence rates**

109 We calculated the divergence rate as in Xue *et al.*²³. Briefly, we calculated the rate of evolution
110 by summing the frequencies of within-host mutations (non-consensus allele in first specimen)
111 and dividing by the number of available sites and the time since the infection began. We
112 calculated the rates separately for nonsynonymous and synonymous mutations. We used 0.77
113 for the proportion of available sites for nonsynonymous mutations and 0.23 for synonymous.
114 To determine the number of available sites, we multiplied the proportion of sites available by
115 the length of the coding sequence of the MN908947.3 reference. Because symptoms typically
116 start 2-3 days post infection and nasal swab collection occurred after symptom onset among
117 most individuals, we added 2 days to the time since symptom onset to obtain the time elapsed
118 between infection and sampling²⁸⁻³⁰. We excluded individuals who were asymptomatic from
119 the divergence rate analysis, as we are not able to date their infection by symptom onset (e.g.
120 2-3 days prior as above). Because the calculated rate of divergence varied over the course of
121 the infection, we also calculated the rate using the specimen with the highest viral load for each
122 individual. In addition, we used linear regression to estimate the divergence rates in individuals
123 with multiple specimens. We calculated per-site viral divergence for each specimen. For each
124 person, a linear regression was performed with the per specimen divergences and the days
125 post infection. A person's divergence rate was the slope of this regression line. The rate was
126 calculated for the whole genome and for each gene separately.

127

128 Mann-Whitney U tests were used to determine if the number iSNV per specimen and iSNV
129 frequencies differed by mutation type, vaccination, and age group. Kruskal-Wallis tests were
130 performed determine if the number iSNV per specimen and iSNV frequencies differed by clade

131 and days post symptom onset. Mann-Whitney U tests were used to determine if the divergence
132 rate differed by vaccination and age group. Kruskal-Wallis tests were performed to determine
133 if divergence rate differed by clade, gene and days post infection. For the linear regression
134 method, a Kruskal-Wallis test was also performed for the number of specimens available to
135 test if the amount of information impacted the divergence rate calculations. All analyses were
136 conducted using R version 4.3.1.

137

138 **Analysis of selection**

139 The study period included the Delta, BA.1, and BA.2 variant periods of the SARS-CoV-2
140 pandemic. For each of these clades, we looked at the lineage-defining mutations in spike of the
141 subsequent wave (i.e. BA.1, BA.2, and BA.4/BA.5). We compared the iSNV within our specimens
142 to these lineage defining mutations.

143

144 We also used Wright Fisher Approximate Bayesian Computation (WFABC) to estimate the
145 effective population size (N_e) and per locus selection coefficient (s) based on allele
146 trajectories³¹. Generation times of 8 hours and 12 hours were used³²⁻³⁴. To maximize the
147 number of loci used in the calculation of N_e and to avoid violating the assumption that most
148 loci are neutral, we estimated a single N_e using all loci in which the first two time points were
149 one day apart. 10,000 bootstrap replicates were performed to obtain a posterior distribution. A
150 fixed N_e was used for the per locus selection coefficient simulations, with the analysis repeated
151 for the mean N_e , and +/- 1 standard deviation estimated from the previous step. A uniform
152 prior between s of -0.5 and 0.5 was used with 100,000 simulations and an acceptance rate of

153 0.01. We estimated the 95% highest posterior density intervals using the *boa* package³⁵ in R.
154 We considered a site to be positively selected if the 95% highest posterior density did not
155 include 0 for all three effective population sizes.

156

157 To understand how within-host selection relates to between host selection, we used the SARS-
158 CoV-2 Nextstrain build³⁶ (*nextstrain/ncov*, the Nextstrain team) to examine the global
159 frequencies of iSNV that were under positive within-host selection in our study. We also
160 compared the selection coefficients we estimated to the selection coefficients that Bloom and
161 Neher³⁷ estimated from the global phylogeny.

162

163 **Data Availability**

164 Raw sequence reads are available at the NCBI Sequence Read Archive, Bioproject
165 PRJNA1159790.

166

167 **Results**

168 There were 212 SARS-CoV-2 infected individuals enrolled from September 2021 to February
169 2022 in this case-ascertained household cohort. Of these, we successfully sequenced 577/825
170 (70%) specimens from 105 individuals. Ninety nine out of 105 (94%) individuals had multiple
171 specimens successfully sequenced (Figure 1A, Table S1). Consistent with the viruses circulating
172 in the United States during this timeframe, the individuals in the study were infected with
173 Delta, BA.1, and BA.2. Depth of coverage was generally high (Figure S1) and iSNV frequency
174 was similar between replicates (Figures 1B).

175

176 **iSNV dynamics**

177 The allele frequencies of identified iSNV were generally very low, with the majority of iSNV
178 present at $\leq 2\%$ frequency (Figure 2A). In our cohort, the frequencies of iSNV in vaccinated
179 individuals were higher than in unvaccinated individuals ($p = 0.022$, Table S2), but this
180 difference was extremely small and unlikely to be biologically significant (Figure S2).

181 Frequencies of iSNV also varied by the day of sampling ($p = 0.002$, Figure S2, Table S2) but did
182 not differ based on host age, SARS-CoV-2 clade, or mutation type (i.e., nonsynonymous vs.
183 synonymous; Figure S2).

184

185 All specimens had between 0-12 iSNV identified at an allele frequency $\geq 0.5\%$ (Figure 2B).

186 Unvaccinated individuals ($p < 0.001$) and children ($p = 0.011$) had greater numbers of iSNV per
187 specimen than vaccinated individuals and adults (Figure 2C,D, Table S2). BA.1 had fewer iSNV
188 per specimen ($p < 0.001$) than BA.2 ($p = 0.033$) or Delta ($p < 0.001$) infections (Figure 2E, Table
189 S2). The number of iSNV per specimen increased as the infection progressed, and after 8-10
190 days post symptom onset, the number of iSNV decreased ($p = 0.005$, Figure 2F, Table S2). The
191 time of sampling (days post symptom onset) did not noticeably differ by vaccination status, age,
192 or clade (Figure S3).

193

194 **Within-host divergence rates**

195 We estimated within-host evolutionary rates as nucleotide divergence per site per day on a per-
196 specimen basis and by linear regression in individuals for whom we had multiple sequenced

197 specimens. The genome-wide mean divergence rate was 5.03×10^{-7} nucleotide
198 substitutions/site/day for nonsynonymous mutations and 1.08×10^{-6} for synonymous
199 mutations. Although not statistically significant, the estimated divergence rate varied according
200 to the day of sampling when using the point method (Figure 3). The divergence rate increased
201 from the onset of the infection until approximately day 5 for nonsynonymous sites and day 8
202 for synonymous sites and then decreased. For the rest of the comparisons using the point
203 method, the divergence rate from the specimen with the highest viral load was used. Children
204 had higher rates for nonsynonymous mutations, but not synonymous mutations ($p = 0.019$,
205 Figure 3C, Table S3), while rates for synonymous mutations were not associated with age. The
206 divergence rate did not differ by vaccination status or clade (Figure 3, Table S3). There were
207 significant differences in divergence rate based on gene ($p < 0.001$); notably, spike had a higher
208 divergence rate compared to ORF1a for nonsynonymous mutations, but did not differ from any
209 of the other genes (Figure 3E,F, Table S4).

210
211 Results obtained by linear regression were slightly different. The divergence rate did not differ
212 by vaccination, age, clade, or gene (Figure S4, Table S3). For synonymous mutations, individuals
213 with two specimens had a lower rate than individuals with more than two specimens ($p =$
214 0.046 , Figure S4, Table S3). In many cases in which there were only two specimens for an
215 individual, these were collected after the peak of infection giving the regression a negative
216 slope.

217

218 **Analysis of selection**

219 We analyzed selection by first looking for iSNV that anticipated mutations that defined
220 subsequent variants. Two individuals with BA.1 had an iSNV that causes S:371F, a BA.2 lineage
221 defining mutation (Table 1). These iSNV were at low frequencies, with a maximum observed
222 frequency of 0.8% and 1.8%. There were 3 additional iSNV in the codon for a lineage defining
223 mutation but resulted in a different amino acid substitution. This included a third iSNV at
224 position 371.

225
226 Using a WFABC model, we estimated a within-host effective population size of 78. Fourteen
227 iSNV from 11 individuals were under positive selection: 7 in spike, 6 in other coding regions and
228 1 in a non-coding region (Figure 4A, B, Table 2). The results were the same for 8hr and 12hr
229 generation times. Of the iSNV found in coding regions, 10 were nonsynonymous, including 6 of
230 the iSNV in spike. Two of the selected synonymous iSNV were in individuals that had
231 nonsynonymous iSNV under positive selection, suggestive of linkage as the allele trajectories of
232 the two iSNV were closely matched (Figure 4C, D).

233
234 Three of the selected spike amino acid substitutions were in the RBD (Receptor Binding
235 Domain). Outside of the RBD, two individuals shared the positively selected substitution,
236 S:D574N. A third individual had S:D574N in 4 specimens, yet without a positive selection
237 coefficient. None of the iSNV in future lineage defining codons had a positive selection
238 coefficient. However, one individual had both an iSNV in a lineage defining codon (S:547) and
239 an iSNV with a positive selection coefficient in the viral replicase (ORF8:S54L). All of the
240 nonsynonymous spike iSNV were in vaccinated individuals.

241

242 We used the SARS-CoV-2 nextstrain build to determine whether any of the iSNV with positive
243 selection coefficients were also identified as increasing in global frequency³⁶. None of the iSNV
244 or resulting amino acid changes reached more than 5% globally (data not shown). The selection
245 coefficients we estimated were only weakly related to the between host selection coefficients
246 estimated by Bloom and Neher³⁷ (Figure S5).

247

248 **Discussion**

249 In this intensive evaluation of serially sampled individuals in a longitudinal household
250 transmission study, we found that within-host SARS-CoV-2 populations are dominated by
251 purifying selection and genetic drift. This results in low levels of diversity and low rates of
252 divergence, consistent with previous studies^{8–10,38,39}. There were differences in divergence rate
253 based on age and in the frequency of iSNV based on vaccination, but these are unlikely to be
254 biologically significant. Multiple factors influenced the number of iSNV per specimen, notably
255 day of sampling. Positive selection was rare, but when present, it tended to be enriched in
256 spike and the RBD.

257

258 The low level of diversity is similar to what we and others have reported for SARS-CoV-2^{8–10,38,39}.
259 Some study-specific differences in diversity are noteworthy. For example, Farjo *et al.* (with
260 specimens from 40 individuals) observed higher numbers of iSNV in vaccinated individuals,
261 while we found higher numbers of iSNV in unvaccinated individuals¹¹. However, their quality
262 metrics differed between vaccinated and unvaccinated individuals, and their sample size was

263 smaller than the present study. Additionally Gu *et al.* found that the number of iSNV per
264 specimen was higher in VOC compared to non-VOC clades, but did not find any differences
265 between VOC clades¹². In contrast, we found Delta and BA.2 had more iSNV than BA.1. Our
266 frequency threshold for variant calling was lower, and potentially more sensitive to differences
267 in iSNV number. Variation between cohorts likely contributes to differences between studies,
268 but different study designs and methods also account for dissimilarities.

269

270 SARS-CoV-2 has comparable within-host dynamics to influenza A virus. The distribution of allele
271 frequencies is very similar in influenza A and SARS-CoV-2, with most iSNV found at very low
272 frequencies^{23,40,41}. However, compared to studies of influenza with the same iSNV threshold,
273 SARS-CoV-2 had fewer iSNV per specimen despite the genome being twice the size. SARS-CoV-2
274 also had lower divergence rates of 10^{-6} div/site/day for synonymous sites and 10^{-7} for
275 nonsynonymous sites, compared to 10^{-5} and 10^{-6} for influenza A in synonymous and
276 nonsynonymous sites respectively^{23,40}. The lower within-host diversity of SARS-CoV-2 is largely
277 attributable to the difference in mutation rates. With its proofreading capabilities, SARS-CoV-2
278 has a mutation rate of 9×10^{-7} mutations per nucleotide per replication cycle⁴² compared to $2 \times$
279 10^{-6} in influenza A (using analogous assays)⁴³. The strength of genetic drift may also contribute
280 to the observed differences. While both influenza A virus ($N_e \sim 150-300$)^{40,41} and SARS-CoV-2
281 have small effective population sizes, the smaller effective population size in SARS-CoV-2 will
282 result in stronger genetic drift. More of the variation will be lost from the population or not
283 repeatedly sampled due to changes in population structure. These within-host dynamics are
284 largely consistent with the neutral theory of evolution⁴⁴. Strongly deleterious mutations are

285 removed quickly from the population and the remaining variation is largely neutral.

286

287 Despite overall similar patterns of within-host dynamics between SARS-CoV-2 and influenza A

288 virus, there are differences in the nature of selected sites. In influenza A virus, we have not

289 found an overrepresentation of selected sites in hemagglutinin (HA), including antigenic sites,

290 or in neuraminidase (NA)⁴⁰. In contrast, in SARS-CoV-2 we found a greater number of positively

291 selected sites in spike (7/13) and in the RBD (3) than expected by chance. This is consistent with

292 selection for immune escape. Within the RBD, S:D339E was under positive selection. Although

293 this exact amino acid substitution has not previously been known to be under selection, S:339 is

294 the most variable amino acid in spike⁴⁵. Additionally, G339D is a lineage defining mutation in

295 BA.1, BA.2, BA.4, and BA.5⁴⁶, and D339H is a lineage defining mutation for BA.2.75, XBB, and

296 BA.2.86^{47,48}. Both of these amino acid substitutions have been shown to escape neutralizing

297 antibodies^{49,50}.

298

299 In the RBD, S:448 is an epitope targeted by multiple monoclonal antibodies, including

300 bebtelovimab, imdevimab, and cilgavimab⁴⁶. These monoclonal antibodies have high similarity

301 to germline encoded antibodies⁵¹⁻⁵³, making S:448 an epitope that is likely to be commonly

302 targeted across individuals. Outside of the RBD, two individuals in different households had

303 D574N under positive selection. This substitution has been observed in a long-term infection of

304 an immunocompromised patient⁵⁴ and also detected in a small proportion of BA.5 lineages.

305

306 This infrequent but detectable positive selection may be due to the timing of these infections
307 relative to viral emergence. This study enrolled individuals within approximately the first 18-24
308 months of the pandemic. At this time, only the Wuhan strain spike was used for vaccination,
309 leading to a relatively narrow antibody repertoire. A narrow antibody repertoire may cause
310 uniform selection pressure, with one or a few mutations being sufficient for SARS-CoV-2 to be
311 resistant to a majority of the host antibodies, similar to treatment with monoclonal
312 antibodies^{51,55}. In our study, six of the selected sites in spike, all of the nonsynonymous sites,
313 and all of the selected sites in the RBD occurred in vaccinated individuals. Over time as the
314 number of exposures and lineages individuals are exposed to increases, their antibody
315 repertoires also increase^{56,57}. As the antibody repertoire diversifies, individual mutations may
316 make SARS-CoV-2 resistant to only a small proportion of antibodies, leading to weaker
317 selection⁵⁷. Earlier in the pandemic there may have been low levels of selection due to lack of
318 even partial immunity, coinciding with a period of global evolutionary stasis⁴².

319

320 Despite finding immunologically relevant iSNV, our results had low predictive power for trends
321 in SARS-CoV-2 evolution globally. None of the iSNV under positive selection or the
322 corresponding amino acid substitutions reached >5% frequency globally at any time. Two
323 individuals with BA.1 infections had a lineage defining mutation, S:371F, for BA.2. However, the
324 mutation remained at very low frequencies within these two individuals. In the first individual,
325 the selection coefficient was not statistically significantly different than 0 ($s = -0.07$), and a
326 selection coefficient was unable to be calculated for the second individual due to the number of
327 specimens. With low effective population sizes and stochastic dynamics, our estimates of

328 positive selection are conservative. However, combining within-host variant data with other
329 sources (e.g. deep mutational scanning or inferred between-host selection coefficients) may be
330 fruitful for understanding the evolutionary trajectory of SARS-CoV-2.

331
332 A major strength of this study is daily sampling, with up to 9 successfully sequenced specimens
333 per individual, allowing us to examine allele trajectories. Summary statistics meant to detect
334 selection can be misleading due to genetic linkage and hitchhiking¹³. These effects are
335 especially prominent in cases where there are strong bottlenecks and low levels of
336 recombination. With serial sampling, we were able to calculate selection coefficients and detect
337 hitchhiking of synonymous mutations with a physically linked nonsynonymous mutation. To
338 illustrate, in one individual, there were three iSNV with nearly identical allele trajectories: 2
339 nonsynonymous and 1 synonymous. Most likely, the nonsynonymous iSNV in ORF1a and the
340 synonymous iSNV in ORF1b were swept along with the nonsynonymous iSNV in spike (L461I).

341
342 Our study has several limitations. First, our results may not generalize to other phases of the
343 SARS-CoV-2 pandemic. The study took place over 6 months in the second year of the pandemic
344 after the availability of a single vaccine formulation. Results may differ as vaccine and exposure
345 history become more variable across the population and as SARS-CoV-2 has had a longer
346 evolutionary history with human hosts. Indeed, we speculate that SARS-CoV-2 evolution during
347 acute infections could become more similar to the dynamics of influenza A virus within
348 hosts^{40,41}. Second, there is always the possibility of inaccurate variant calls. However, this
349 possibility was mitigated by sequencing all specimens in replicate and sequencing multiple

350 specimens per person reduces this possibility. Third, SARS-CoV-2 has significant
351 compartmentalization^{58,59}, and we are only sampling one location in the body; but when
352 compared, nasal and saliva specimens have similar within-host dynamics dominated by
353 stochastic processes¹¹.

354

355 Across studies, acute respiratory viruses have similar within-host dynamics: tight bottlenecks,
356 low genetic diversity, and populations dominated by purifying selection and genetic drift<sup>8-
357 12,19,38-41,60,61</sup>. Overall, our findings are consistent with this pattern. However, nuanced
358 differences exist between viruses, cohorts, and demographic features. In our cohort, within-
359 host positive selection was rare, but appeared to frequently be immune mediated when
360 present. As viruses adapt to human hosts and the population develops immunity, it will be
361 important to follow the shifting impacts on within-host dynamics and selective pressure.

362

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372

373 **Disclaimer**

374 The findings and conclusions in this report are those of the authors and do not necessarily
375 represent the official position of the Centers for Disease Control and Prevention (CDC).

376

377 **Author Contributions**

378 Conceptualization: Luring, Grijalva, Talbot

379 Data Curation: All authors

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381 Funding Acquisition: Luring, Martin, Grijalva, Talbot

382 Investigation: All authors

383 Methodology: All authors

384 Manuscript writing: Bendall, Luring

385 Manuscript editing: All authors

386 Project Administration: Luring, Grijalva, Talbot

387 Resources: All authors

388

389 **Conflicts of Interest**

390 All authors have completed ICMJE disclosure forms (www.icmje.org/coi_disclosure.pdf). Carlos
391 Grijalva reports grants from NIH, CDC, AHRQ, FDA, Campbell Alliance/Syneos Health, consulting
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398

399

400

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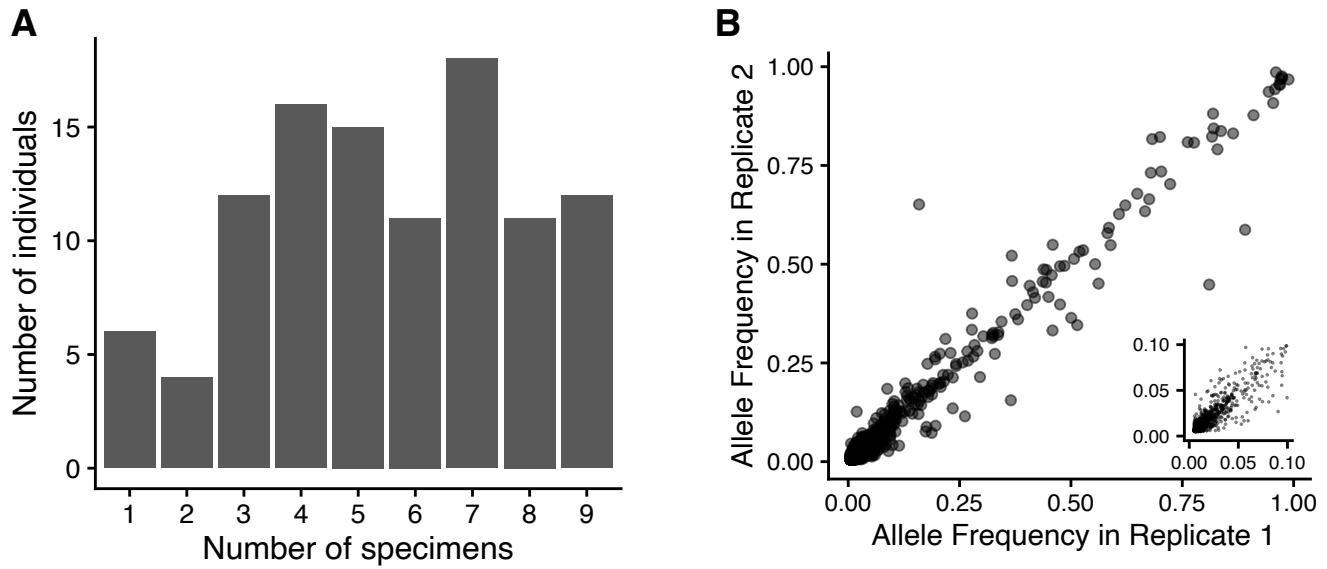


Figure 1. **(A)** The number of specimens per person. **(B)** intra-host single nucleotide variants (iSNV) frequency is consistent across replicates. The insert shows iSNV frequency up to 0.1

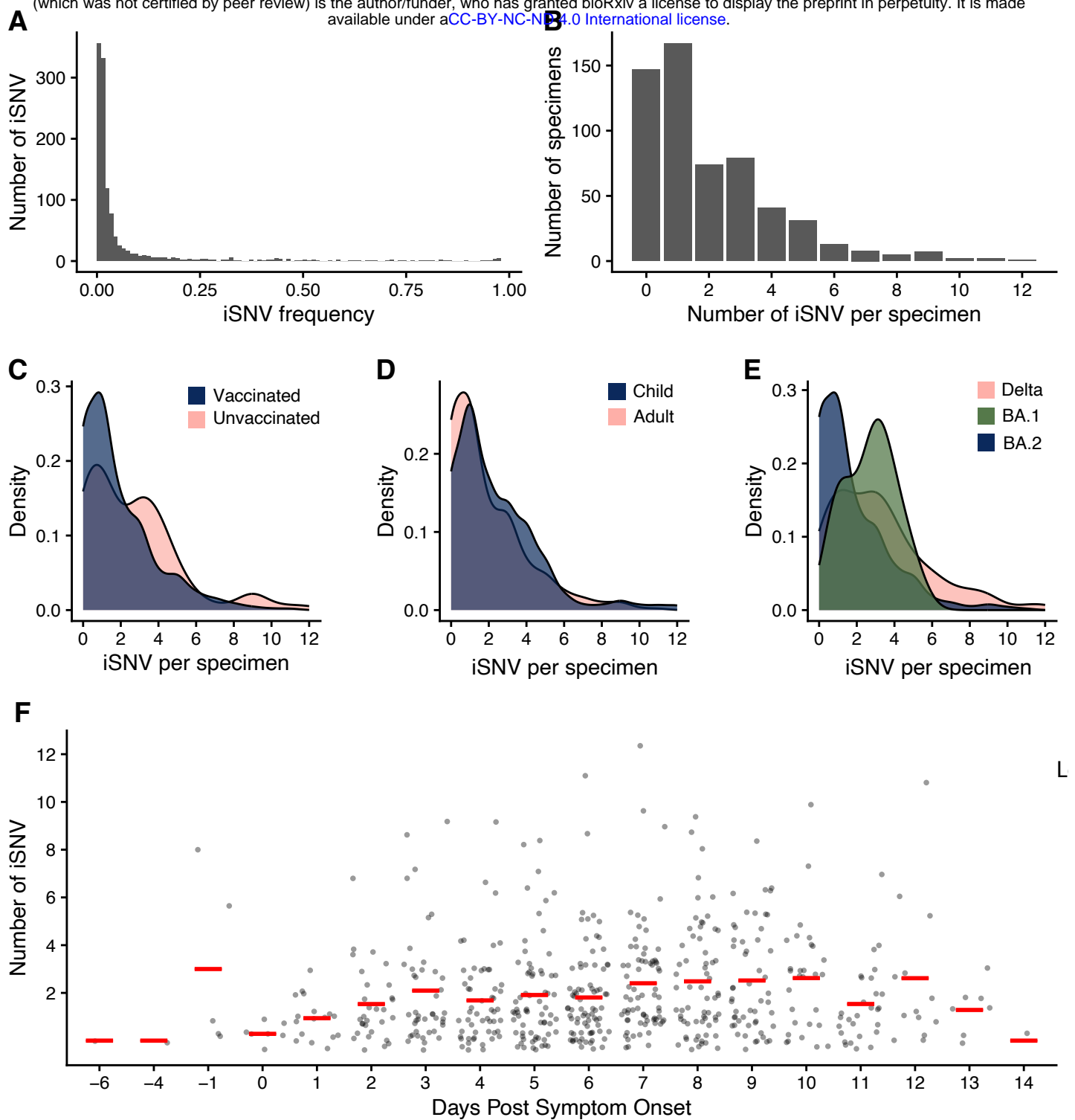


Figure 2. **(A)** iSNV frequency. **(B)** The number of iSNV per specimen. The number of iSNV per specimen by **(C)** vaccination status, **(D)** age with child <18 and adult 18+, **(E)** clade, **(F)** and days post symptom onset. The red lines are the mean.

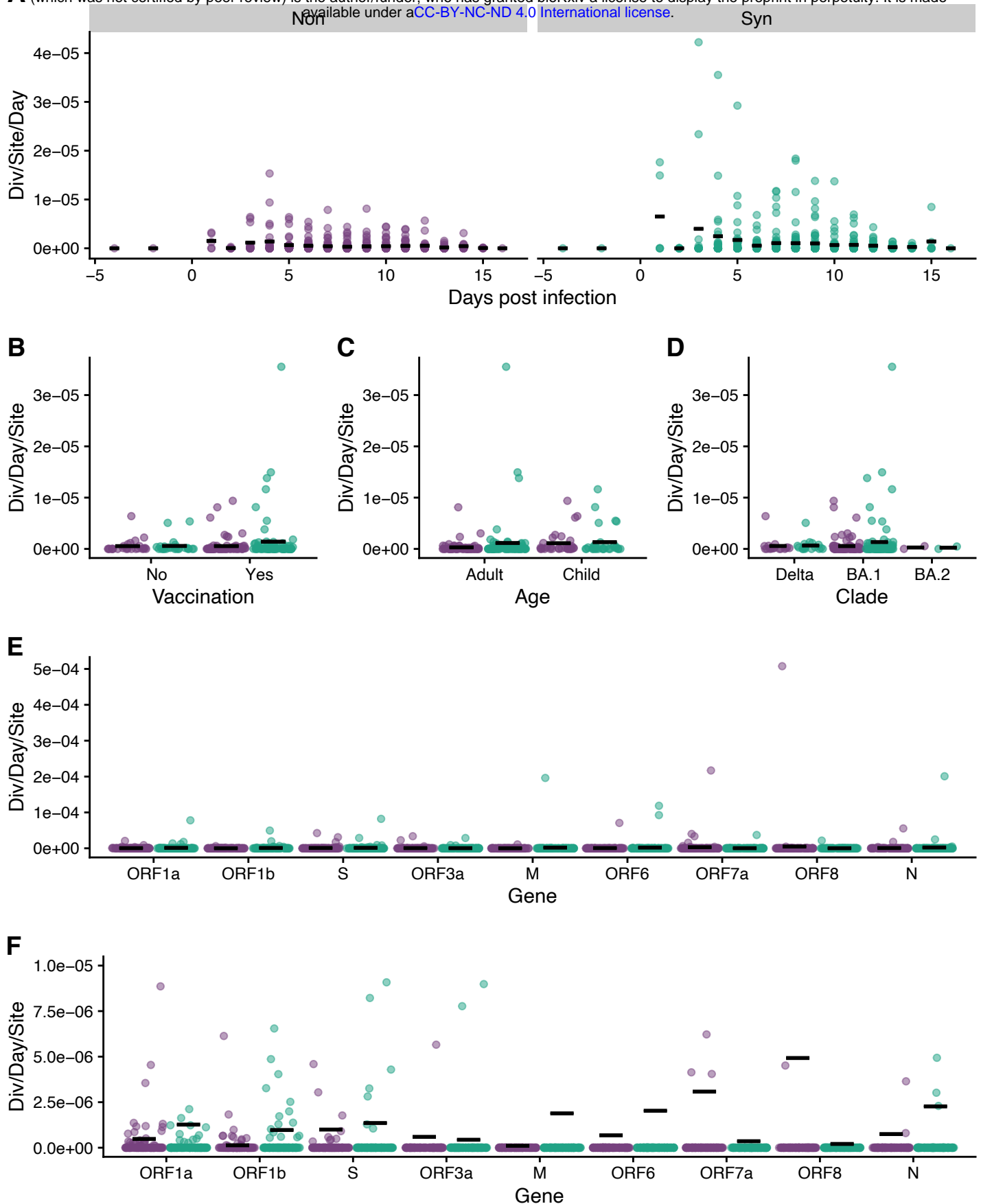


Figure 3. **(A)** Divergence rate (divergence/site/day) for all specimens by days post infection. Divergence rate (divergence/site/day) using the specimen with the highest viral titer by **(B)** vaccination status, **(C)** age, **(D)** clade, and **(E)** gene. **(F)** is a zoomed in version of **(E)**, note y-axis. Black lines are the mean divergence rate. Green is synonymous, and purple is nonsynonymous.

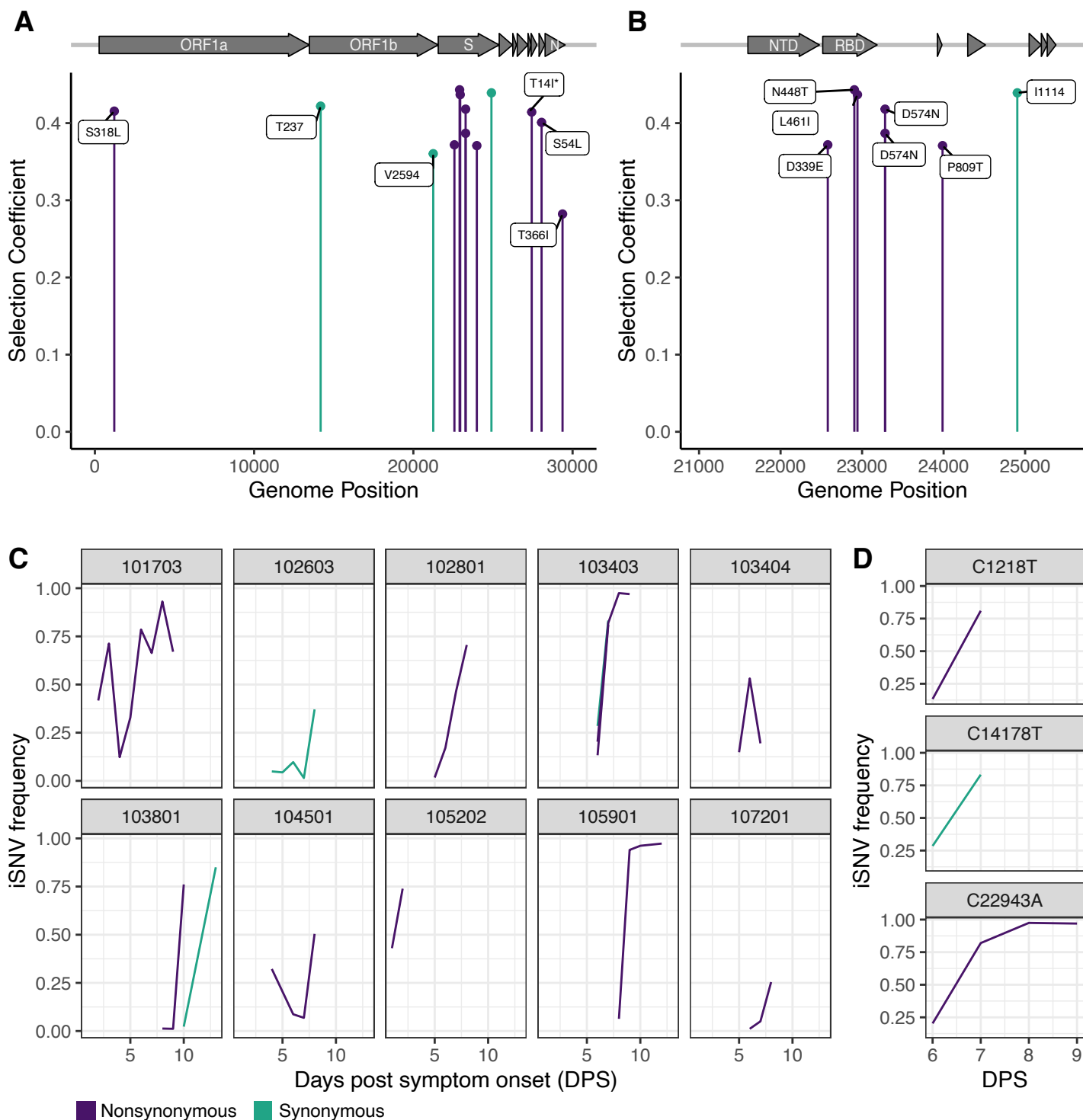


Figure 4. **(A)** WFABC selection coefficients for iSNV under positive selection for the whole genome and **(B)** for spike. **(C)** The allele trajectories of the iSNV with positive selection coefficients by individual. **(D)** The allele trajectory for iSNV in individual 103403, denoted with an asterisk in **(C)**. Green is synonymous, and purple is nonsynonymous. WFABC = Wright Fisher Approximate Bayesian Computation; iSNV = intra-host single nucleotide variants

Table 1. intra-host single nucleotide variants (iSNV) that are in the position as lineage

defining mutations in spike for the subsequent variant of concern wave .

Clade	Next Wave	Lineage Defining Mut.	Observed Mutation	Individual	Max Obs. Frequency
Delta	BA.1	N440K	N440Y	102101	0.049
Delta	BA.1	G446S	G446V	101201	0.018
Delta	BA.1	T547K	T547I	101703	0.008
BA.1	BA.2	S371F	L371I	102601	0.008
BA.1	BA.2	S371F	L371F	105701	0.018
BA.1	BA.2	S371F	L371F	107303	0.008

Table 2. iSNV with positive selection coefficient. Selection coefficient values are from the 8hr generation time results.

Gene	iSNV	AA Mutation	Mutation Type	Selection Coefficient	Individual	Age	Clade	Vaccinated
ORF1a	C1218T	S318L	Non	0.41550572	103403	42	BA.1	Yes
ORF1b	C14178T	237T	Syn	0.42198689	103403	42	BA.1	Yes
ORF1b	G21249T	2594V	Syn	0.36034801	102603	46	BA.1	Yes
S	T22579A	D339E	Non	0.37183336	105202	54	BA.1	Yes
S	A22905C	L461I	Non	0.43687486	103403	42	BA.1	Yes
S	C22943A	N448T	Non	0.44314941	105901	49	BA.1	Yes
S	G23282A	D574N	Non	0.38677793	103404	36	BA.1	Yes
S	G23282A	D574N	Non	0.41807759	102801	39	BA.1	Yes
S	C23987A	P809T	Non	0.37079572	107201	50	BA.1	Yes
S	C24904T	1114I	Syn	0.43920636	103801	47	BA.1	No
ORF7a	C27434T	T14I	Non	0.41432335	103801	47	BA.1	No
ORF8	C28054T	S54L	Non	0.40091975	101703	10	Delta	No
N	C29370T	T366I	Non	0.28217821	104501	10	BA.1	Yes
NA	G29742A	NA	NA	0.35436237	106103	36	BA.1	Yes

Footnote: iSNV = intra-host single nucleotide variants

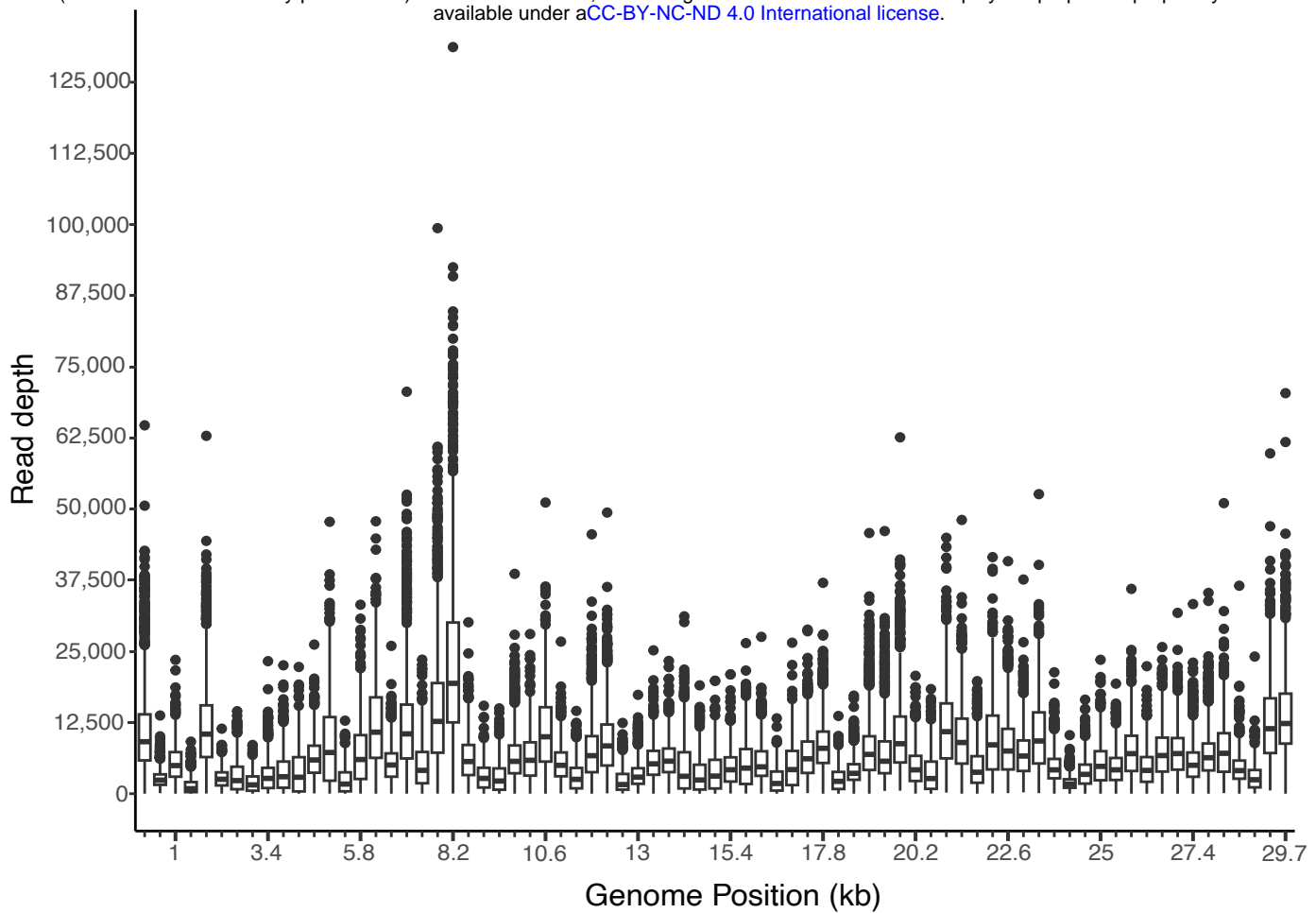


Figure S1. Boxplots of coverage across the genome in non-overlapping windows of 400 bp for specimens with high quality sequencing. The box shows the first quartile, median, and third quartile. The whiskers are 1.5x interquartile range, and the dots are the outliers.

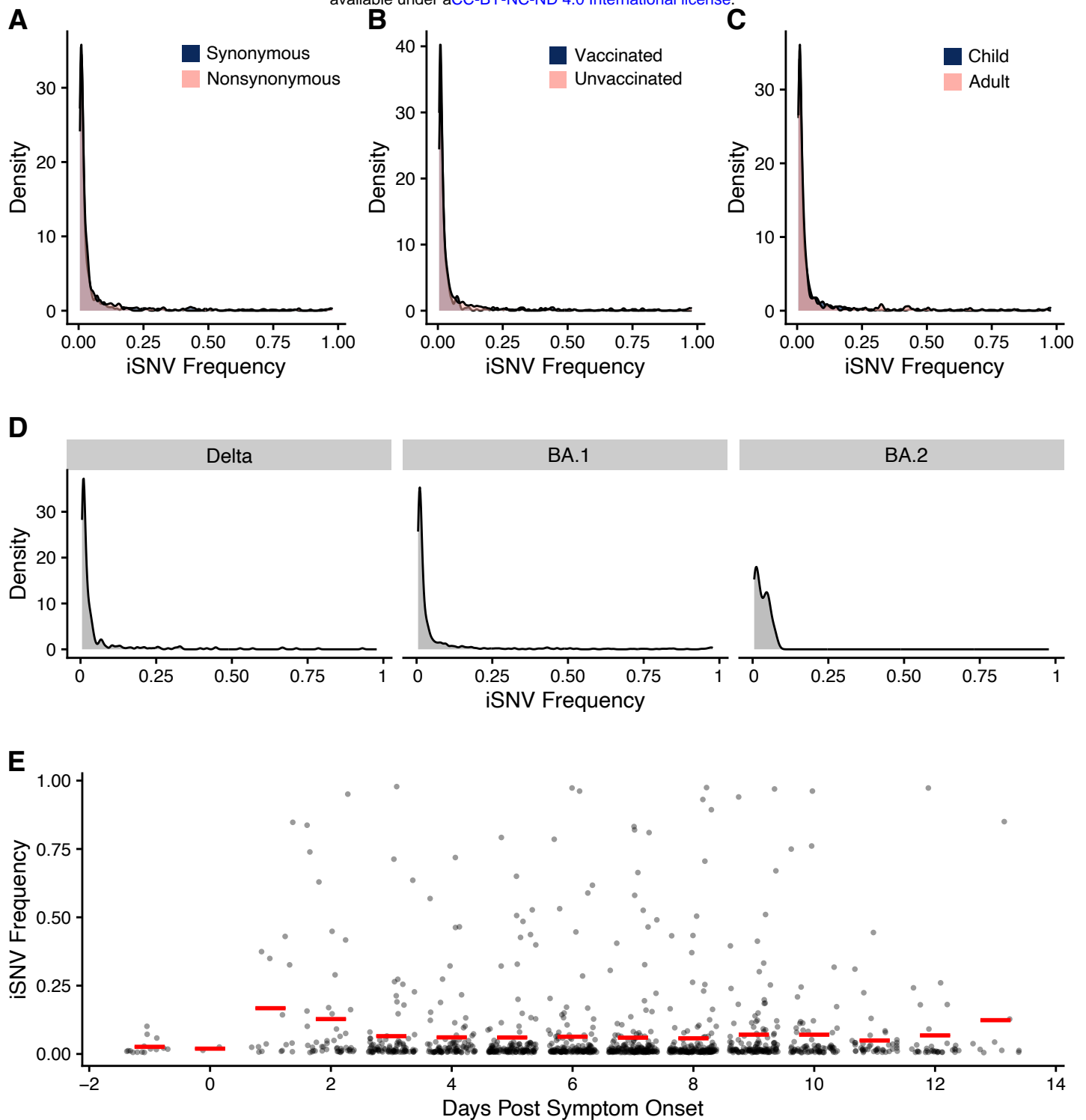


Figure S2. iSNV frequency by (A) mutation type, (B) vaccination status, (C) age with child <18 and adult 18+, (D) clade, and (E) days post symptom onset. The red lines are the mean. iSNV = intra-host single nucleotide variants.

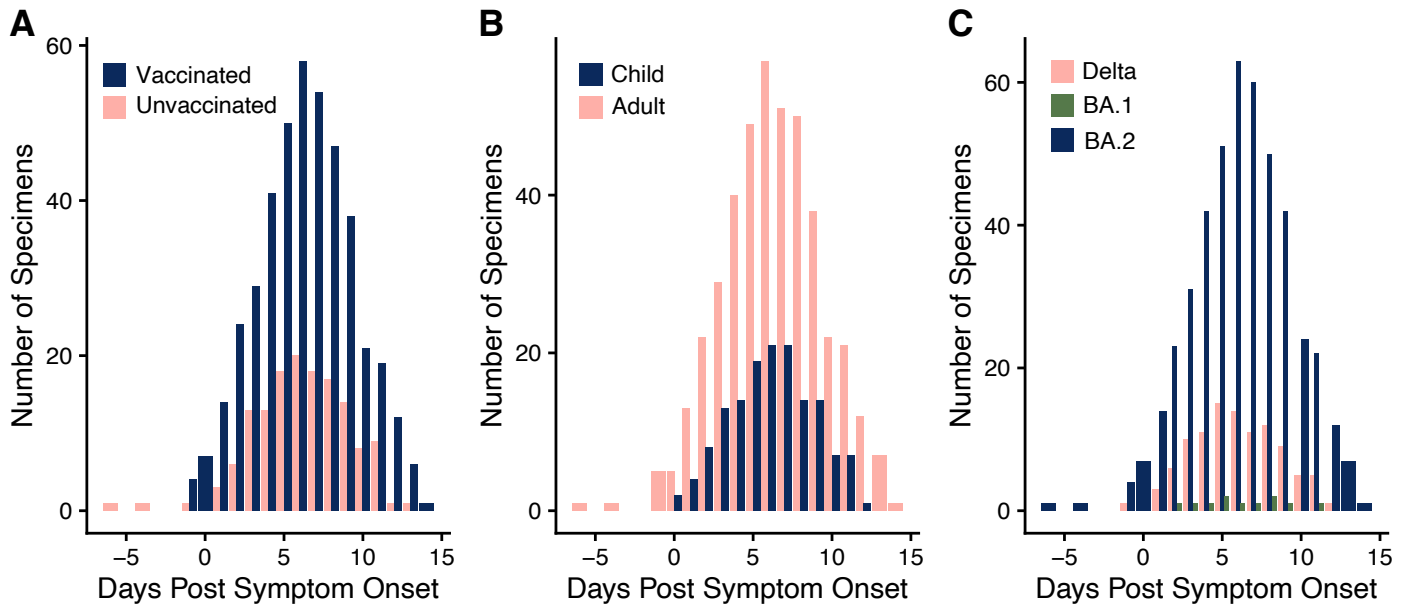


Figure S3. Number of specimens collected per day post symptom onset by **(A)** vaccination status, **(B)** age with child <18 and adult 18+, and **(C)** clade.

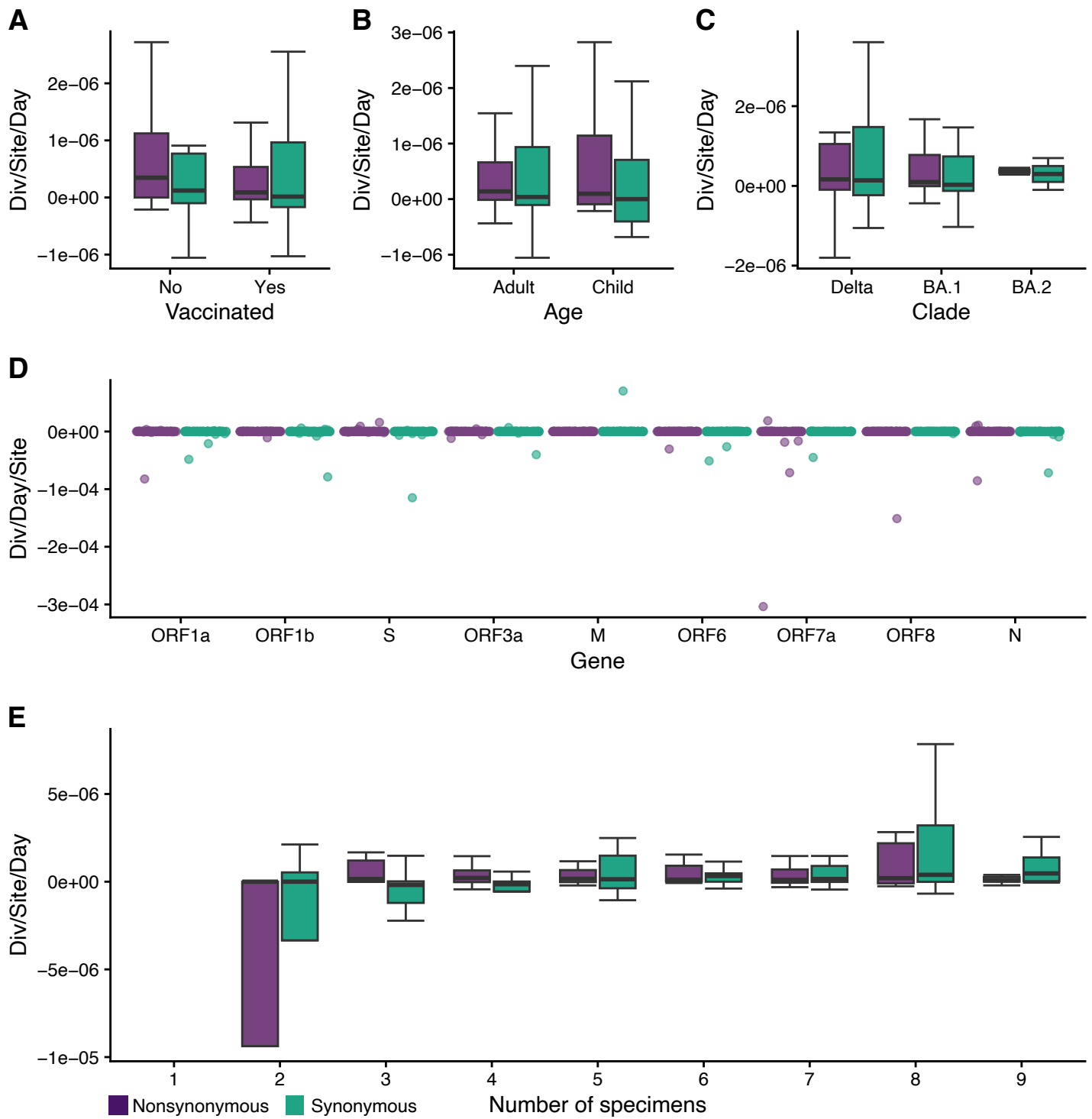


Figure S4. Divergence rate (divergence/site/day) using linear regressions by (A) vaccination status, (B) age with child <18 and adult 18+, (C) clade, (D) gene, (E) and number of specimens used in the calculation (green synonymous, purple nonsynonymous).

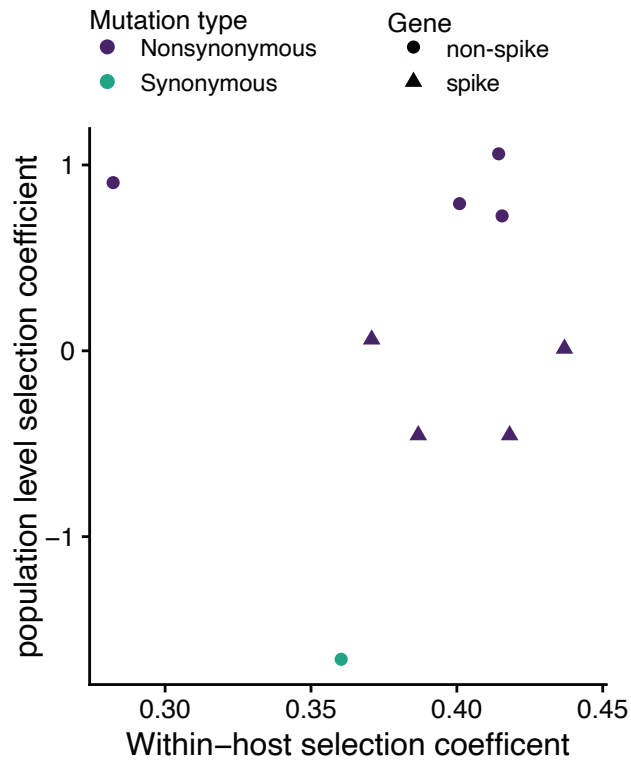


Figure S5. Comparison of the within-host selection coefficient and the population level selection coefficient for Bloom & Neher 2023. Green is synonymous and purple is nonsynonymous. Triangles are mutations in spike z circles are in non-spike genes.

Table S1. Demographic information and infection details for individuals in this study (n=105).

Characteristic		n
Age	Child (<18 years)	32
	Adult (≥18 years)	73
Clade	Delta	17
	BA.1	86
	BA.2	2
Vaccination	Yes	76
	No	23
	Missing	6
Sex	Female	57
	Male	47
	Missing	1
Symptomatic	Yes	103
	No	1
	Missing	1
Multiple specimens sequenced	Yes	99
	No	6

Table S2. Comparisons of the number of iSNV per specimen and of iSNV frequency. For statistically significant differences the p values are bolded. χ^2 test statistics are from Kruskal-Wallis rank sum tests and W test statistics are from Mann-Whitney U tests.

Number of iSNV per sample	Test statistic (χ^2 or W)	df	p value
Age	28066	1	0.011
Vaccination	37242	1	< 0.001
Days Post Symptom Onset	35.768	17	0.005
Clade	38.751	2	< 0.001
<i>Clade - Post Hoc</i>	Test statistic (Z)	p.unadj	p.adj
Delta vs BA.1	5.890058	< 0.001	< 0.001
Delta vs BA.2	-0.278536	0.781	0.781
BA.1 vs BA.2	-2.392985	0.017	0.033
iSNV Frequency	Test statistic (χ^2 or W)	df	p value
Age	144856	1	0.792
Vaccination	135509	1	0.022
Clade	2.4914	2	0.288
Days Post Symptom Onset	34.069	17	0.002

Footnote: iSNV = intra-host single nucleotide variants

Table S3. Comparisons of divergence rates. Statistically significant differences are bolded. χ^2 test statistics are from Kruskal-Wallis rank sum tests and W test statistics are from Mann-Whitney U tests.

	Nonsynonymous			Synonymous		
	Test statistic (χ^2 or W)	df	p value	Test statistic (χ^2 or W)	df	p value
<i>Point Estimate</i>						
Gene	76.888	8	<0.001	55.212	8	<0.001
Age	821.5	1	0.019	957.5	1	0.165
Days Post Symptom Onset	21.724	17	0.196	15.159	17	0.584
Vaccination	1040.5	1	0.835	1034	1	0.869
Clade	1.6218	2	0.444	3.095	2	0.213
<i>Logistic Regression</i>						
Gene	7.6549	8	0.468	12.619	8	0.126
Age	970	1	0.930	1056	1	0.440
Vaccination	1057.5	1	0.239	980	1	0.585
Clade	0.74911	2	0.688	0.044783	2	0.978
Number of Specimens	3.8194	7	0.800	14.318	7	0.046

Table S4. Post hoc (Dunn) tests for divergence rate between genes using the point

Comparison		Nonsynonymous			Synonymous		
		Z	P.unadj	P.adj	Z	P.unadj	P.adj
M	N	-0.804078	0.421	1.000	-1.1911933	0.234	1.000
M	ORF1a	-6.5913858	< 0.001	< 0.001	-4.3091874	< 0.001	< 0.001
N	ORF1a	-5.7873077	< 0.001	< 0.001	-3.1179941	0.002	0.046
M	ORF1b	-3.5232883	< 0.001	0.012	-4.6389208	< 0.001	< 0.001
N	ORF1b	-2.7192103	0.007	0.144	-3.4477275	0.001	0.015
ORF1a	ORF1b	3.06809744	0.002	0.056	-0.3297334	0.742	1.000
M	ORF3a	-0.5484495	0.583	1.000	-0.595282	0.552	1.000
N	ORF3a	0.25562853	0.798	1.000	0.59591129	0.551	1.000
ORF1a	ORF3a	6.04293627	< 0.001	< 0.001	3.71390542	< 0.001	0.006
ORF1b	ORF3a	2.97483883	0.003	0.073	4.04363881	< 0.001	0.002
M	ORF6	-0.0066613	0.995	0.995	-0.3089677	0.757	1.000
N	ORF6	0.79741668	0.425	1.000	0.88222558	0.378	1.000
ORF1a	ORF6	6.58472442	< 0.001	< 0.001	4.00021971	< 0.001	0.002
ORF1b	ORF6	3.51662698	< 0.001	0.012	4.3299531	< 0.001	< 0.001
ORF3a	ORF6	0.54178815	0.588	1.000	0.28631429	0.775	1.000
M	ORF7a	-1.6364667	0.102	1.000	0.00377557	0.997	1.000
N	ORF7a	-0.8323887	0.405	1.000	1.19496889	0.232	1.000
ORF1a	ORF7a	4.95491909	< 0.001	< 0.001	4.31296302	< 0.001	< 0.001
ORF1b	ORF7a	1.88682164	0.059	1.000	4.64269641	< 0.001	< 0.001
ORF3a	ORF7a	-1.0880172	0.277	1.000	0.5990576	0.549	1.000
ORF6	ORF7a	-1.6298053	0.103	1.000	0.31274331	0.754	1.000
M	ORF8	-0.2756125	0.783	1.000	0.00629262	0.995	1.000
N	ORF8	0.52846549	0.597	1.000	1.19748594	0.231	1.000
ORF1a	ORF8	6.31577323	< 0.001	< 0.001	4.31548007	< 0.001	0.001
ORF1b	ORF8	3.24767579	0.001	0.031	4.64521345	< 0.001	< 0.001
ORF3a	ORF8	0.27283696	0.785	1.000	0.60157465	0.547	1.000
ORF6	ORF8	-0.2689512	0.788	1.000	0.31526036	0.753	1.000
ORF7a	ORF8	1.36085415	0.174	1.000	0.00251705	0.998	0.998
M	S	-2.8735311	0.004	0.097	-2.3660258	0.018	0.396
N	S	-2.0694531	0.039	0.732	-1.1748325	0.240	1.000
ORF1a	S	3.71785465	< 0.001	< 0.001	1.94316163	0.052	0.988
ORF1b	S	0.6497572	0.516	1.000	2.27289502	0.023	0.484
ORF3a	S	-2.3250816	0.020	0.401	-1.7707438	0.077	1.000
ORF6	S	-2.8668698	0.004	0.095	-2.0570581	0.040	0.794
ORF7a	S	-1.2370644	0.216	1.000	-2.3698014	0.018	0.409
ORF8	S	-2.5979186	0.009	0.197	-2.3723184	0.018	0.424