




# Autologous Hematopoietic Stem Cell Transplantation (AHSCT): An Evolving Treatment Avenue in Multiple Sclerosis

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**Abstract:** Autologous hematopoietic stem cell transplantation (AHSCT) is considered as the novel approach to improve multiple sclerosis (MS) patients with disease-modifying therapies (DMTs)-resistance. The results obtained from different studies indicate that AHSCT increases the life quality of MS patients. Several factors are known to be influenced on the successful rate of AHSCT in patients with MS. The individuals aged <40 years with a short duration of MS disease have been demonstrated to show a better response to AHSCT administration. Furthermore, this treatment approach was more effective in relapsing remitting MS (RRMS) patients than progressive MS (PMS). Different clinical trials revealed that AHSCT with a low density conditioning regimen could be suggested as a suitable candidate approach in the management of MS. Several molecular and cellular mechanisms are known to be involved in the resetting of the immune system following the AHSCT infusion in MS patients. These mechanisms play a role in the depletion of auto-reactive lymphocytes and immune system renewal. In the present review, we discuss different clinical and molecular aspects of AHSCT application in the alleviation of MS symptoms.

**Keywords:** autologous hematopoietic stem cells, transplantation, multiple sclerosis, molecular mechanisms, resetting immune system

## Introduction

Multiple sclerosis (MS) is known as a chronic, immune-mediated, neurodegenerative disease with the malfunctioning immune system. The degeneration of the myelin surrounding axons in the central nervous system (CNS) is associated with life-long disability and devastating the quality-of-life in the patients affected by MS. The affected individuals with MS presented with a broad spectrum of the symptoms, including fatigue, muscle incoordination, mobility limitations, and cognitive and ocular impairments.<sup>1,2</sup> More than 2 million individuals, especially young adults, have been estimated to be affected by this disorder worldwide. However, the prevalence of this disorder varies in different populations.<sup>2,3</sup> The development of the immune and nervous systems is distinctive between females and males, leading to more prevalence of this disease in women than men.<sup>4</sup> Although MS is usually found in females, with an earlier onset than males, the disease progressed more slowly in the affected women as compared with the males affected by MS.<sup>5</sup>

The abnormal activation of CD4+ and CD8+ T-cell and the induction of inflammatory responses in the CNS have been known as the pathophysiology

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mechanism of MS. Furthermore, B cell dysfunction was effective in the MS etiology through overexpression of cytokines including IL-17 and IFN- $\gamma$ . Several disease-modifying therapies (DMTs) are available to manage MS disease. They play an immunosuppressive or immunomodulatory role. Some of them function through the reconstruction of the immune system. The results obtained from different studies indicate that some patients may show no improvement in the symptoms or present with side-effects after taking DMTs. Furthermore, the cost of these drugs was also high.<sup>6–9</sup>

The immune reconstitution (IR) therapies were also suggested to reset the immune system. The results obtained from different studies indicated that the administration of these therapy approaches was associated with long-term remission.<sup>10,11</sup> Autologous hematopoietic stem cell transplantation (AH SCT) after immunoablation was considered as the suitable IR therapy method in the patients affected by MS.<sup>12–14</sup>

The American Society for Blood and Marrow Transplantation (ASBMT), the National Multiple Sclerosis Society (NMSS), and the European Group for Blood and Marrow Transplantation (EBMT) Autoimmune Disease Working Party (ADWP) have recently published guidelines about AH SCT administration for MS patients. This treatment approach has been selected as a suggested option for active relapsing remitting MS (RRMS) patients with failing in the response to DMTs, while the first line of treatment for aggressive RRMS was AH SCT.<sup>15–18</sup> DMTs-resistant was an indication to use AH SCT in the treatment of MS patients.<sup>19–22</sup> In the 1990s, AH SCT was administered to the patients with MS for the first time.<sup>23,24</sup> The registration of more than 1,000 AH SCT infusions has been performed in the EBMT Registry. This database provided some evidence about the administration of AH SCT for different forms of MS.<sup>15</sup>

## The Efficiency of AH SCT in MS Therapy

Zhukovsky et al<sup>25</sup> performed an observational study to evaluate the efficiency of AH SCT vs. A lemtuzumab (ALZ) in the management and treatment of RRMS. Their results showed that AH SCT and ALZ had the potential for improvement and stability of disease activity. However, AH SCT plays a more effective role than ALZ in MS patients. Boffa et al also obtained similar results. They found that the relapse rate diminished in the AH SCT-

treated patients as compared with the patients with the experience of ALZ administration.<sup>26</sup>

The evaluation of randomized MS patients showed that AH SCT decreased the Expanded Disability Status Scale (EDSS), while DMT increased these scores in the patients. However, increased EDSS has been observed in some patients. Therefore, AH SCT possibly decreased the rate of disability progression but this approach can not completely cease the neurodegeneration in the patients with MS severe form.<sup>27–30</sup>

The administration of DMTs have been revealed to be associated with several adverse events (AEs) including skin necrosis (IFN $\beta$ /GLAT), headache, nausea, progressive multifocal leukoencephalopathy (Natalizumab), pregnancy problems, bradycardia, hypotension, and lymphopenia (Fingolimod).<sup>31–36</sup> In the recent years, the improvement of AH SCT procedure led to a decrease in the rate of treatment-related mortality (TRM) from 7.3% to less than 0.5%.<sup>29,37</sup> Recovery of the disease over 10 years has been also observed in 50% of the patients treated with AH SCTs.<sup>38</sup>

The EBMT has reported that AH SCT improves the disease conditions in more than 90% of MS patients.<sup>15</sup> The advantages and disadvantages of this transplantation were investigated in different trials.<sup>23,27,28,30,39</sup> The results obtained from a Phase II, randomized trial showed that AH SCT significantly decreased the formation of new T2 lesions in RRMS patients as compared with the patients treated with mitoxantrone (MTX). However, no important effect on the disability progression was found.<sup>40</sup>

In many studies, the patients were followed for less than 5 years.<sup>30,39,41,42</sup> Several studies were performed to evaluate AH SCT efficiency in MS patients for longer periods.<sup>12,43,44</sup> The patients with no new disease symptoms during 3 years post-AH SCT have been revealed to have better improvement in the response to the treatment.<sup>39</sup> The results obtained from different studies indicated that AH SCT decreased the establishment of new T2 in more than 70% of patients affected by MS. This stability remained in the patients for at least 5 years after the administration of hematopoietic stem cells.<sup>14,39,45</sup> The brain atrophy and the volume of T2 lesion also decreased in the MS patients following AH SCT.<sup>30,42,45</sup> Long-term follow-up indicated that the rate of brain atrophy could even reach the rate of normal aging in the patients after AH SCT.<sup>12</sup> The results of a meta-analysis performed by Sormani et al<sup>37</sup> have shown that no evidence of disease activity (NEDA) was observed in more than

80% of MS patients at 2 years after AHSCT. However, the evaluation of NEDA in some long-term studies indicated that disease-free survival could be maintained even for more than 10 years, while the administration of DMTs was associated with less efficiency (less than 30% NEDA) for almost 5 years in MS patients.<sup>46–49</sup>

## AHSCT and Different Forms of MS

A significant difference was observed between progressive MS (PMS) and RRMS in the response to AHSCT. The studies indicated that AHSCT had a transient effect and the disease symptoms returned over time in the patients affected by PMS.<sup>29,43</sup> RRMS patients showed the durable alleviation of the symptoms and complications.<sup>44</sup> The results obtained from some studies revealed that AHSCT had the potential to improve disability in RRMS patients and this remission was maintained for at least 5 years.<sup>41,44</sup> The comparison of the results obtained from different trials showed that AHSCT had more efficiency in RRMS patients with the lower baseline EDSS than these patients with high EDSS scores.<sup>41,50</sup>

## Factors Influencing the Success of AHSCT

The neurological function assessment indicated that improvement of neurological disability was maintained for at least 2 years after AHSCT. However, the observation of neurological improvement was dependent to the life quality in MS patients.<sup>50</sup> It has been revealed that the age of patients (less than 40 years), the severity of disability and disease duration (less than 10 years) play an important role in the success of AHSCT in the treatment of MS patients.<sup>15,51</sup> Furthermore, the efficiency of AHSCT was observed to be higher in the patients with Gd+ lesions at baseline than other MS patients. This factor may provide the suitable conditions to infiltrate the hematopoietic stem cells into the central nervous system (CNS).<sup>39</sup>

Muraro et al<sup>29</sup> reported the results obtained from a multicenter cohort study with 281 patients affected by MS. They found that younger patients with RRMS and shorter history of immunotherapy showed the more suitable response to AHSCT. The administration of AHSCT into the patients with lower disability had the better efficiency in 5years follow-up of MS patients. Furthermore, the survey of trial data indicated that the amount of inflammation was effective in the success rate of AHSCT. It was less likely that new gadolinium-enhancing lesions were established in the patients with

active inflammation at baseline following AHSCT. These patients showed the better response to this treatment approach.<sup>39</sup>

Different studies indicated that the success of AHSCT in treatment of MS patients was dependent to the intensity of conditioning regimens administrated before AHSCT. The high density of these regimens was associated with the higher mortality rate in the transplanted patients. The administration of low density regimens of immunoablation has been shown to have more suitable efficiency in the treatment of MS patients.<sup>13,52</sup> Shevchenko et al<sup>53</sup> investigated the efficiency and safety of AHSCT along with reduced intensity BEAM-like conditioning regimen in the patients with different forms of MS including RRMS, secondary progressive MS (SPMS), primary progressive MS (PPMS), and progressive relapsing MS (RPMS). They evaluated the disease status in the patients for more than 3 years. Their results showed the stability of EDSS scale without disease progression in almost 50% of patients after administration of AHSCT + reduced intensity conditioning regimen. Mancardi et al also obtained similar results in Italian patients. They found that the administration of AHSCT with BEAM/ATG (an intermediate intensity conditioning regimen) was associated with the stability of disease course during 4 years in MS patients.<sup>28</sup>

## AHSCT Procedure

AHSCT for MS treatment was performed in some different steps. At first, hematopoietic stem cells (HSCs) and hematopoietic progenitor cells (HPCs) were mobilized. To do it, the stimulating agents including cyclophosphamide (Cyc) and granulocyte colony-stimulating factor (G-CSF) were used to proliferated HSCs/HPCs and deplete the lymphocytes. Then, these cells were collected. In the next step, the administration of the conditioning regimen and the infusion of HSCs/HPCs were done. After that, the post-transplantation care needs to support the patients from possible complications. The clinical conditions of the patients were also evaluated in the pre-transplantation and the function of different systems of the body was checked. Prior to the transplantation, no symptoms of different infections should be in MS patients.<sup>54</sup>

In the conditioning regimen, the chemotherapy agents were used to remove the immune system. This process enhanced the AHSCT efficiency in the treatment of MS patients.<sup>54</sup> Immunosuppression before AHSCT removed the auto-reactive lymphocytes. The depletion of

lymphocytes has been demonstrated to improve the recovery rate and diminish the complications associated with AHSCT.<sup>55</sup>

Following ablation of immune cells, the hematopoietic system restoration was done by infusion of autologous hematopoietic stem cells (AHSCs). Successful results have been obtained from the application of this method in MS patients.<sup>56,57</sup> RRMS was considered as the best candidate for immunosuppressive therapy with AHSCT. The improved EDSS has been reported in RRMS patients following the administration of immunosuppressive drugs and AHSCT.<sup>45</sup> The mortality rate was observed to decrease into less than 5% in the RRMS after treatment with immunosuppressive drugs and AHSCT.<sup>12,45</sup> Furthermore, the relapse-free survival was reported to increase into more than 80% in MS patients treated with AHSCT and conditioning regimen.<sup>39,41</sup>

The success of this treatment approach was not observed in the individuals with SPMS. These patients experienced progressive neurodegeneration. The progression of severe disability in these individuals is possibly associated with decreasing the AHSCT efficiency in the alleviation of MS symptoms.<sup>58</sup>

## Molecular and Cellular Mechanisms of AHSCT

AHSCT has been demonstrated to be involved in MS remission through several immune mechanisms. Different studies indicated that these cells were effective in lymphocytes elimination, lymphopenic state induction, tolerant immune system establishment, and functional renewal of the TCR repertoire.<sup>59–62</sup> MS patients with higher peripheral blood CD4+/CD8+ T-cells before treatment had been revealed to show the better response to the administration of the conditioning regimen with AHSCT. The expression profiling indicated that the CD+8 T-cells population profile of MS patients showed the similarity in expression data with healthy individuals at 2 years post-AHSCT. Furthermore, the obtained results demonstrated that AHSCT modulated the expression level of 27 different genes with role in T-cell activation.<sup>63</sup> The number of PD-1 expressing T-cells also increased following AHSCT in the patients with MS. PD-1 expressing T-cells was effective in the regulation of lymphocyte hemostasis. PD-1 functions as a negative regulator in proliferation and survival of T-cells. This immune mechanism possibly established immune self-tolerance and limited auto-reactivity response.<sup>61,64</sup> The enhanced expression of PD-1 has been observed to be important in

the clinical outcomes obtained from MS patients following AHSCT (Table 1).<sup>65</sup> Th1/Th17.1 effector cells ratio was also enhanced after the administration of AHSCT. This reconstitution of T-cell subsets could be considered as a substantial mechanism to improve clinical symptoms in the MS patients after AHSCT.<sup>61</sup>

Molecular analysis revealed that AHSCT modulated the immune system in MS patients through the regulation of miRNA expression. Different studies indicated that miR-142-3p and miR-16 expression enhanced in MS patients. AHSCT has been known to decrease the expression of miR-142-3p and miR-16 in the subjects affected by MS. The diminished expression of these miRNAs was associated with the up-regulation of FOXO1 and FOXP3 expression, inducing T<sub>reg</sub> activity. The decreased expression of miR-16 was also associated with the increased expression of PDCD1, suppressing the inflammation (Table 1). These observations suggested that the epigenetic changes through AHSCT play an immune-regulatory role in MS patients.<sup>65–67</sup>

The evaluation of the intrinsic apoptosis pathway showed that there was the downregulation of BAK and BAX expression as well as the upregulation of BCL2 in MS patients. The results obtained from RNA and protein analysis showed that AHSCT restored the expression of apoptotic genes to the normal level after at least 2 years.<sup>68–70</sup> Furthermore, the downregulation of extrinsic

**Table 1** The Effect of AHSCT on the Molecular Mechanisms Involved in MS Pathogenesis

| Genes                             | The Expression at Post-AHSCT  | Mechanism                                    | References |
|-----------------------------------|---|--|------------|
| PD-1                              | Up-regulation   | The regulation of lymphocyte hemostasis      | [65]       |
| FOXO1<br>FOXP3                    | Up-regulation   | The induction of T <sub>reg</sub> activity   | [65–67]    |
| PDCD1                             | Up-regulation   | The suppression of inflammation              | [65–67]    |
| BAK<br>BAX<br>Bcl2<br>FAS<br>FASL | Up-regulation<br>Up-regulation<br>Down-regulation<br>Up-regulation<br>Up-regulation | The suppression of auto-reactive lymphocytes | [68–70]    |

apoptosis pathway genes was observed in the untreated MS patients. The expression of FAS and FASL increased following the administration of conditioning regimen in MS patients (Table 1). These findings suggested that the activation of this pathway plays an important role in autoreactive lymphocytes depletion.<sup>70</sup>

## AHSCT and Resetting the Immune System

AHSCT has been suggested to reset the immune system in MS patients through different mechanisms. In the pre-transplantation, the pathogenic T-cells were removed and the autoreactive T-cells turned into the tolerant phenotype. The immunoregulatory network was restored to the normal level in the post-transplantation.<sup>71</sup> Harris et al sequenced T cell repertoires in peripheral blood and cerebrospinal fluid (CSF) of MS patients. They found that pre-exciting T cell clones were replaced with new clones in RRMS patients following AHSCT administration. This mechanism removed the pathogenic T cells.<sup>72</sup>

AHSCT has been revealed to establish the balance between pro-inflammatory and regulatory immune cells. This function suppressed the inflammation in the CNS of MS patients. A T-cell subset known as CD8<sup>+</sup> MAITs has also been reported to be depleted in MS patients at post-AHSCT. These cells had the ability to produce the pro-inflammatory cytokines including IL-17, TNF- $\alpha$ , and IFN- $\gamma$ . Therefore, depletion of these cells at post-transplantation was suggested to have an important role in the downward trend of inflammation in the MS patients.<sup>73</sup>

## Conclusion and Future Perspectives

The results obtained from the clinical trials indicated that the accuracy in the selection criteria of MS patients established suitable conditions for success in AHSCT. The molecular analysis has revealed that AHSCT recovered the expression profile into the healthy state. Different studies showed the apoptotic pathways and different cytokines involved in the pathogenesis of MS. The evaluation of these molecules at post-transplantation could provide better sight about the efficiency of AHSCT with conditioning regimen in the management of MS disease symptoms.

## Disclosure

The authors report no conflicts of interest in this work.

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