



# Preoperative systemic immune-inflammation index and prognostic nutritional index predict prognosis of patients with pulmonary neuroendocrine tumors after surgical resection

Huayu He<sup>#</sup>, Wei Guo<sup>#</sup>, Peng Song, Lei Liu, Guochao Zhang, Yalong Wang, Bin Qiu, Fengwei Tan, Qi Xue, Shugeng Gao

Department of Thoracic Surgery, National Cancer Center/National Clinical Research Center for Cancer/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing 100021, China

*Contributions:* (I) Conception and design: H He, W Guo; (II) Administrative support: S Gao; (III) Provision of study materials or patients: H He, W Guo, P Song, L Liu, G Zhang; (IV) Collection and assembly of data: H He, W Guo; (V) Data analysis and interpretation: Y Wang, B Qiu, F Tan, Q Xue; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

<sup>#</sup>These authors contributed equally to this work.

*Correspondence to:* Shugeng Gao. Department of Thoracic Surgery, National Cancer Center/National Clinical Research Center for Cancer/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, 17, Panjiayuan Nan Li Road, Beijing 100021, China.

Email: gaoshugeng@vip.sina.com.

**Background:** Pulmonary neuroendocrine tumors (PNETs) are a special subtype of lung cancer with treatment methods are limited and prognostic indicators are insufficient. The preoperative systemic immune-inflammation index (SII) and prognostic nutritional index (PNI) are effective tumor biomarkers that have important significance for the prognosis of many malignant tumors. However, there is no similar research on the predictive value of SII and PNI for operable PNETs. Our study aimed to clarify the predictive value of SII and PNI in PNETs patients after surgical resection.

**Methods:** This study retrospectively analysed the relevant clinical data of PNETs patients who received surgical treatment from 2005 to 2015, which was obtained from patient's clinical records, blood test results recorded on admission before surgical treatment, and follow-up by hospital records.

**Results:** A total of 381 PNETs patients were enrolled in this study. Preoperative PNI was associated with age ( $P=0.001$ ), T stage ( $P=0.001$ ), tumor length ( $P=0.002$ ), drinking status ( $P=0.013$ ) and smoking status ( $P=0.049$ ), while SII was significantly associated with T stage ( $P=0.001$ ), tumor length ( $P=0.001$ ) and TNM stage ( $P=0.001$ ). There was significant difference between high SII and low PNI and worse OS of PNETs ( $P=0.001$  and  $P<0.001$ ). SII ( $P=0.002$ ), neutrophil/lymphocyte ratio (NLR) ( $P<0.001$ ), platelet/lymphocyte ratio (PLR) ( $P=0.001$ ), lymph node metastasis ( $P<0.001$ ), operation time ( $P=0.034<0.05$ ), treatment ( $P<0.001$ ) and PNI ( $P=0.044<0.05$ ) were independent prognostic factors for PNETs identified by multivariate Cox regression analysis.

**Conclusions:** High SII and low PNI indicated poor prognosis of patients with PNETs. Both of SII and PNI can predict the prognosis of PNETs and stratify patients for better treatment.

**Keywords:** Pulmonary neuroendocrine tumors (PNETs); systemic immune-inflammation index (SII); prognostic nutritional index (PNI); prognosis

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## Introduction

Lung cancer is one of the most common malignant tumors, which has attracted more and more attention due to its high morbidity and mortality. Pulmonary neuroendocrine tumors (PNETs) are a special subtype of lung cancer and their incidence is about 25% of primary lung cancer, and also account for 20–25% of primary NETs (1). Small cell lung cancer (SCLC), large cell neuroendocrine carcinoma (LCNEC), atypical carcinoid (AC) and typical carcinoid are recognized as PNETs. SCLC account for 20% of lung cancer, LCNEC 3%, AC 0.3% and typical carcinoid 2% (2,3). PNETs have a variety of clinical manifestations of pulmonary symptoms, and diagnosis is often delayed. A minority (3–5%) of patients may have hormone-related symptoms, but limited information for the diagnosis of PNETs (4). The treatment of PNETs is mainly based on surgical excision, while adjuvant treatment has therapeutic value for advanced patients (4). Nevertheless, the clinical progress of PNETs is not easy to predict due to the limitations of histopathologic value and the low number of histological or blood biomarkers that can effectively predict the prognosis (5).

The interaction between immune system and inflammation with cancer cells not only affects the occurrence, proliferation, development and metastasis of tumors (6-8), but also affects the treatment of malignant tumors, especially the use of immunotherapy for tumors (9,10). In recent years, biomarkers of inflammatory have attracted much attention. In addition to the finding that the neutrophil-lymphocyte ratio (NLR) and platelet-lymphocyte ratio (PLR) are effective for the early prognosis prediction of solid tumors, like hepatocellular carcinoma, oesophageal squamous cell carcinoma, breast cancer and colorectal cancer (11-17), researchers have also reported that the systemic immune-inflammation index (SII), which is a composite index integrating platelet counts, neutrophil and lymphocyte, can better predict the prognosis of solid neoplasms, such as oesophageal squamous cell carcinoma, hepatocellular carcinoma, and germ cell tumors (18-20). Additionally, the prognostic nutritional index (PNI) was found effectively in predicting the survival of colorectal cancer, oesophageal squamous cell carcinoma and other tumors by former researches (21-23). Similarly, NLR, PLR, SII and PNI were also found to have predictive value in NSCLC and SCLC after surgery. Researchers have also found that increases in the NLR, PLR, SII and PNI usually indicate a poor prognosis (24,25).

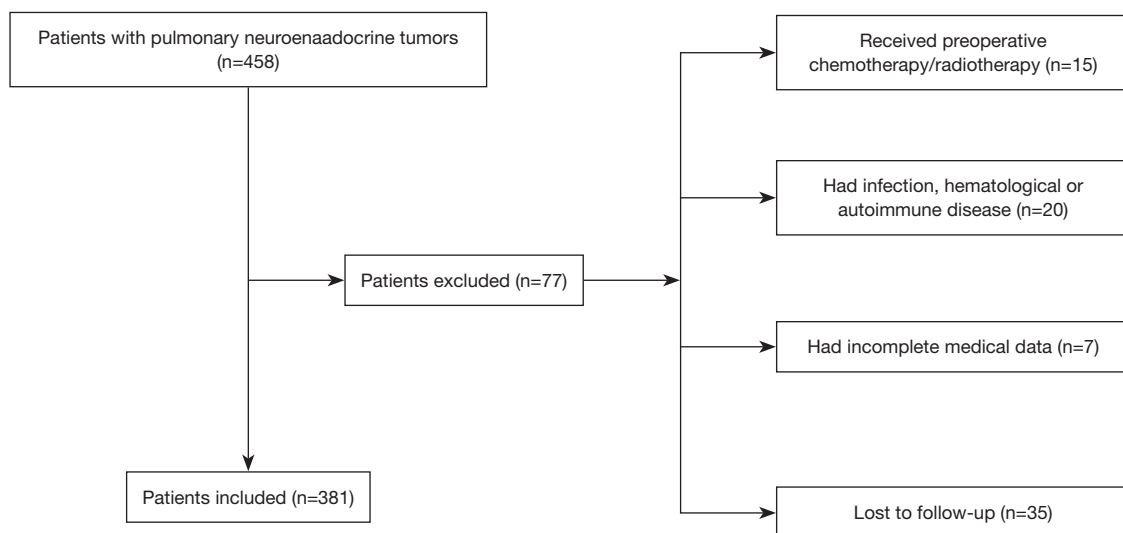
According to our best knowledge of the literature, we found that there are no relevant research reports on NLR, PLR, SII, PNI for predicting the prognosis of PNETs so far. To find new predictive indicators, we would like to focus on the predictive value of SII and PNI in the prognosis of PNETs through this retrospective study. We present the following article in accordance with the STROBE reporting checklist (available at <http://dx.doi.org/10.21037/atm-19-4476>).

## Methods

### Patients

The clinical records of 381 patients with surgically resected PNETs (143 LCNEC, 181 SCLC, and 57 AC) between 2005 and 2015 was retrospectively reviewed. Patients who met the following criteria were initially included in the study: (I) histopathological diagnosis of PNETs, which included LCNEC, SCLC, and AC; and (II) availability of preoperative serum laboratory results 5 days before operation. Patients with the following conditions will be excluded from the study: (I) the patient had accepted chemotherapy and/or radiotherapy before surgery; (II) the patient had chronic and/or acute infection; (III) the patient had haematological or autoimmune disease; (IV) the patient had hepatic disease or urinary disease; (V) the patient lacked detailed clinical information; and (VI) the patient was failed to postoperative follow-up. Of the 77 cases excluded, 15 cases accepted radiotherapy and/or chemotherapy before surgery; 20 cases had acute and/or chronic infection, haematological or autoimmune conditions; 7 cases lacked complete clinical data; and 35 cases were failed to post-operation follow-up. A total of 381 patients were included in this study at last (*Figure 1*).

All included subjects provided written informed consent before surgery. And this retrospective study was approved by the ethics committee of National Cancer Center/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College. All patients were recommended to have a follow-up visit every 3 months in the first 2 years, and half a year or once a year after 2 years. During the follow-up, the patient's condition was recorded in detail, and physical examination and computed tomography were performed normally. In addition, some patients needed additional examination, like brain/bone scanning or PET-CT scanning. In this study, the deadline for follow-up was July 31, 2019, and the primary endpoint was the 5-year



**Figure 1** The flowchart of the enrollment process

overall survival (OS).

### *Clinicopathological parameters*

From clinical records, sex, age, smoking status, drinking history, histopathologic result, tumor size, TNM stage, lymph node metastasis status, distant metastasis status, intraoperative blood loss, treatment strategies and operation time of patients as clinicopathological parameters were included in our study. We assessed the histopathologic results, T stage, N stage, and M stage of tumors according to the 8th edition of the tumor, node, and metastasis (TNM) classification of lung cancer.

### *Blood sample analysis and PLR, SII, NLR, and PNI evaluations*

We retrospectively extracted the laboratory data on complete blood count and plasma albumin from the patients' medical records. Platelet count/lymphocyte count, neutrophil count/lymphocyte count, platelet count  $\times$  neutrophil count/lymphocyte count and albumin level (g/L)  $+ 5 \times$  total lymphocyte count ( $10^9/L$ ) were defined as PLR, NLR, SII and PNI respectively.

### *Statistical analysis*

The statistical analysis of the data in our study through the SPSS version 23.0 (IBM Corp., Armonk, NY, USA). The

receiver operating characteristic (ROC) curves was used to find out the cut-off values for PLR, NLR, SII and PNI with the highest Youden's index. Categorical variables were compared using the Pearson Chi-square test. The Kaplan-Meier method was used for survival analysis through univariate analysis, and log-rank test was used to assess the difference. Cox regression model was used for multivariate analysis to explore the independent risk factors associated with PLR, NLR, SII and PNI. The correlation degree between the factors and OS was assessed by hazard ratios (HRs) and 95 percent confidence intervals (CIs). P value  $<0.05$  was regarded as Statistical difference significantly.

## **Results**

### *Samples' characteristics*

A total of 381 patients underwent surgery for PNETs, 294 (77.2%) patients were male and 87 (22.8%) patients were female. The median age was 60 years old, ranging from 19 to 94 years (*Table 1*). Among these patients, 266 patients (69.8%) had smoking experience, while 115 patients (30.2%) never smoked. The median maximum tumor diameter was 4 cm. Based on the pathologic results, there were 143 (37.5%) LCNEC, 181 (47.5%) SCLC and 57 (15.0%) AC tumors. Based on the standard of the 8th TNM staging system, 128 (33.6%), 150 (39.4%), 61 (16.0%) and 42 (11.0%) patients were classified as pT1, pT2, pT3 and pT4 disease, respectively. A total of 190 (49.9%), 86 (22.6%) and 105 (27.6%) patients were classified as pN0, pN1 and pN2,

**Table 1** The characteristics of the 381 patients grouped by SII, NLR, PLR and PNI

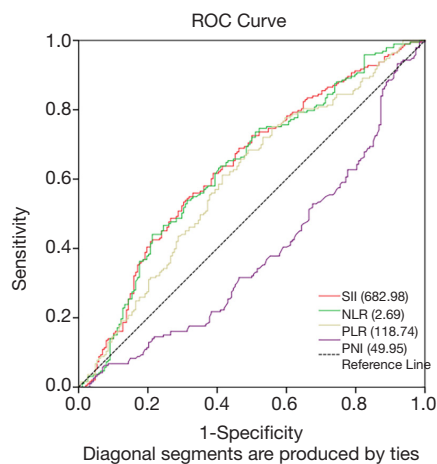
Variables	Cases (number, %)	SII (number)		P value	NLR (number)		P value	PLR (number)		P value	PNI (number)		P value
	381 (100)	<682.98	≥682.98		<2.69	≥2.69		<118.74	≥118.74		<49.95	≥49.27	
Gender				0.895			0.436			0.903			0.101
Male	294 (77.2)	202	92		194	100		142	152		113	181	
Female	87 (22.8)	61	26		62	25		43	44		25	62	
Age (years)				0.377			0.231			1			0.001
≤60	194 (50.9)	138	56		136	58		94	100		54	140	
>60	187 (49.1)	125	62		120	67		91	96		84	103	
Smoking				0.401			0.123			0.504			0.049
Ever	266 (69.8)	180	86		172	94		126	140		105	161	
Never	115 (30.2)	83	32		84	31		59	56		33	82	
Drinking				0.119			0.066			0.588			0.013
Ever	253 (66.4)	169	84		162	91		120	133		103	35	
Never	128 (33.6)	94	34		94	34		65	63		150	93	
Tumor length				0.001			0.001			0.001			0.002
≤4	221 (58.0)	174	47		166	55		124	97		65	156	
>4	160 (42.0)	89	71		90	70		61	99		73	87	
Tumor type				0.136			0.001			0.027			0.291
LCNEC	143 (37.5)	90	53		82	61		60	83		57	86	
SCLC	181 (47.5)	132	49		126	55		101	80		65	116	
AC	57 (15.0)	41	16		48	9		24	33		16	41	
T stage				0.001			0			0.001			0.001
T1	128 (33.6)	113	15		106	22		81	47		33	95	
T2	150 (39.4)	96	54		91	59		72	78		59	91	
T3	61 (16.0)	35	26		38	23		22	39		20	41	
T4	42 (11.0)	19	23		21	21		10	32		26	16	
N stage				0.077			0.123			0.745			0.896
N0	190 (49.9)	140	50		137	53		96	94		71	119	
N1	86 (22.6)	59	27		53	33		40	46		30	56	
N2	105 (27.6)	64	41		66	39		49	56		37	68	
M stage				1			0.6			0.359			0.623
M0	377 (99.0)	260	117		254	123		182	195		136	241	
M1	4 (1.0)	3	1		2	2		3	1		2	2	

**Table 1** (continued)

Table 1 (continued)

Variables	Cases (number, %)		SII (number)		P value	NLR (number)		P value	PLR (number)		P value	PNI (number)		P value
	381 (100)		<682.98	≥682.98		<2.69	≥2.69		<118.74	≥118.74		<49.95	≥49.27	
TNM stage					0.001			0.007			0.038			0.135
I	142 (37.3)		111	31		107	35		81	61		48	94	
II	93 (24.4)		68	25		66	27		42	51		27	66	
III	142 (37.3)		81	61		81	61		59	83		61	81	
IV	4 (1.0)		3	1		2	2		3	1		2	2	
Operation time (min)					0.293			0.367			0.918			0.127
<180	294 (77.2)		207	87		201	93		138	156		100	194	
≥180	87(22.8)		56	31		55	32		47	40		38	49	
Intraoperative blood loss (mL)					0.314			0.061			0.273			0.519
<200	216 (56.7)		154	62		154	62		104	112		75	141	
≥200	165 (43.3)		109	56		102	63		81	84		63	102	
Treatment					0.419			0.363			0.669			0.579
Surgery only	247 (64.8)		174	73		170	77		122	125		87	160	
Surgery with adjuvant therapy	134 (35.2)		89	45		86	48		63	71		51	83	

NLR, neutrophil-lymphocyte ratio; PLR, platelet-lymphocyte ratio; SII, systemic immune-inflammation index; PNI, prognostic nutritional index; LCNEC, large cell neuroendocrine carcinoma; SCLC, small cell lung cancer; AC, atypical carcinoid



**Figure 2** Receiver operating characteristic curve analysis for the optimal cut-off value of SII, NLR, PLR and PNI. NLR, neutrophil-lymphocyte ratio; PLR, platelet-lymphocyte ratio; SII, systemic immune-inflammation index; PNI, prognostic nutritional index; ROC, receiver operated characteristics.

respectively. A total of 377 (99.0%) and four (1.0%) patients were classified as pM0 and pM1, respectively. In addition, 142 (37.3%) cases were in stage I, 93 (24.4%) cases were in stage II, 142 (37.3%) cases were in stage III, and only four (1.0%) cases were in stage IV. The median PLR, NLR, SII and PNI value were 134.6 (range, 42.31–427.1), 2.52 (range, 0.72–13.93), 592.3 (range, 108.04–3,765.8) and 51.78 (range, 32.75–66.35), respectively.

#### Optimal cut-off points for the PLR, NLR, SII and PNI

The optimal cut-off point of inflammation related indexes was determined by using ROC curve and OS as the end point. The optimal cut-off points for the prediction survival were 118.74, 2.69, 682.98 and 49.95 for PLR, NLR, SII and PNI, respectively. The area under the curve (AUC) for OS were 0.598, 0.611, 0.614, and 0.585 for PLR, NLR, SII and PNI, respectively (*Figure 2*). Therefore, based

on the optimal cut-off value, we divided all patients into high-level group and low-level group. As shown in *Table 1*, 118 patients (31.00%) had  $SII \geq 682.98$ , 125 patients (33.97%) had  $NLR \geq 2.69$ , 196 patients (53.26%) had  $PLR \geq 118.74$ , and 243 patients (66.03%) had  $PNI \geq 49.27$ .

#### *The relationship between the PLR, NLR, SII and PNI with characteristics of PNETs*

As shown in *Table 1*, we take age, sex, smoking status, drinking history, tumor length, histopathological results, TNM stage, T stage, N stage, M stage, operation time, treatment strategies and intraoperative blood loss as important clinicopathological features to analyse their correlation with PLR, NLR, SII and PNI. Preoperative PLR has a significant correlation with tumor length ( $P=0.001$ ), tumor type ( $P=0.027$ ), T stage ( $P=0.001$ ) and TNM stage ( $P=0.038$ ). Preoperative NLR has a significant correlation with tumor length ( $P=0.001$ ), tumor type ( $P=0.001$ ), TNM stage ( $P=0.007$ ), and T stage ( $P=0.000$ ). Preoperative SII has a significant correlation with T stage ( $P=0.001$ ), tumor length ( $P=0.001$ ) and TNM stage ( $P=0.001$ ). Preoperative PNI has a significant correlation with age ( $P=0.001$ ), smoking status ( $P=0.049$ ), drinking status ( $P=0.013$ ), tumor length ( $P=0.002$ ), and T stage ( $P=0.001$ ). However, the other parameters did not show statistical significance with PLR, NLR, SII and PNI.

#### *Prognostic values of the PLR, NLR, SII and PNI for PNETs and subgroups*

To explore whether SII, NLR, PLR and PNI affect the prognosis of pulmonary neuroendocrine carcinoma, we used Kaplan-Meier methodology to depict the 5 year OS of the 381 patients. The results showed that there was significant statistical difference between high SII and high NLR with poor prognosis ( $P=0.001$  and  $P=0.001$ ) (*Figure 3A,B*), while, low PLR and low PNI suggest poor prognosis ( $P=0.001$  and  $P<0.001$ ) (*Figure 3C,D*).

Then, we further analysed whether PLR, NLR, SII and PNI have predictive value in three subtypes of pulmonary neuroendocrine carcinoma (LCNEC, SCLC and AC). The total survival time of the three subgroups was also used Kaplan-Meier methodology and the log-rank test to describe. We found that high PLR, high NLR and high SII were significantly associated with worse 5-year OS ( $P=0.001$ ,  $P=0.001$  and  $P<0.001$ , respectively) for LCNEC (*Figure 4A,B,C*), while low PNI has significant statistical difference

with worse 5-year OS ( $P=0.002$ ) (*Figure 4D*). Elevated PLR, NLR and SII were significantly associated with poor 5-year OS of SCLC ( $P=0.003$ ,  $P=0.001$  and  $P=0.001$ , respectively) (*Figure 5A,B,C*), but this association does not exist with PNI ( $P=0.055$ ) (*Figure 5D*). As shown in *Figure 6A,B,C,D*, SII, NLR, PLR and PNI were not significantly different for the OS values of AC patients.

#### *Predictive ability of coSII-PNI for the prognosis of PNETs and its subgroups*

Considering that SII and PNI are two dimensional composite parameters, which have their own predictive value, in order to increase the accuracy and stability of prognosis prediction for PNETs and its subgroups, we further combine these two parameters, namely coSII-PNI. Elevated SII and reduced PNI were recorded as 0, Elevated SII and PNI or deduced SII and PNI were recorded as 1, and reduced SII and elevated PNI were recorded as 2. After calculated by the K-M curves and tested by log-rank test, the PNET patients were classified into three different groups according to the coSII-PNI values ( $P<0.001$ ). In addition, both the subgroup of patients with coSII-PNI =1 and the subgroup of patients with coSII-PNI =2 have a better prognosis than the subgroup of patients with coSII-PNI =0 ( $P<0.001$ ) (*Figure 7A*).

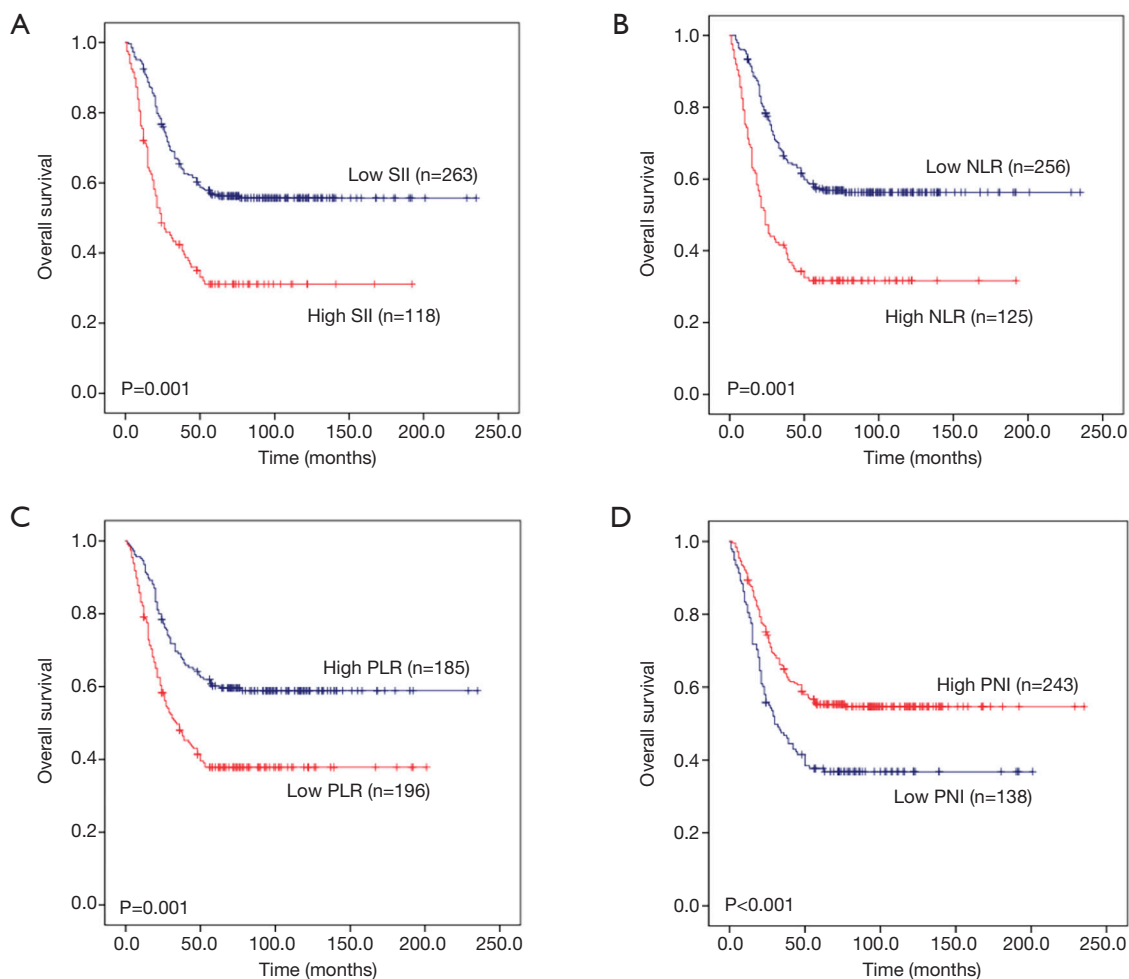
We then evaluated the prognostic value of CosII-PNI in patients with LCNEC, SCLC and AC. As shown in *Figure 7B*, for LCNEC patients, the coSII-PNI =1 group and the coSII-PNI =2 group have better prognosis than the coSII-PNI =0 group ( $P=0.001$ ). Similarly, for SCLC patients, the coSII-PNI =1 group and the coSII-PNI =2 group have better prognosis than the coSII-PNI =0 group ( $P=0.004$ ) (*Figure 7C*). However, this kind of statistical difference among the three subgroups was no found in AC patients from our study ( $P=0.552$ ) (*Figure 7D*).

All patients were included in the follow-up plan after operation, and the follow-up deadline was death or to July 31, 2019. The median survival of these patients was 48 months, ranging from 1 to 235 months.

From *Table 2*, there were nine factors significantly associated with 5-year OS through the univariate Cox regression analysis. These factors included neoplasms size ( $P=0.002$ ), T stage ( $P=0.001$ ), lymph node status ( $P<0.001$ ), TNM stage ( $P<0.001$ ), operation time ( $P=0.028$ ), PLR ( $P<0.001$ ), NLR ( $P<0.001$ ), SII ( $P<0.001$ ) and PNI ( $P<0.001$ ).

Moreover, in the multivariate analysis, lymph node metastasis ( $P<0.001$ ), PLR ( $P=0.001$ ), NLR ( $P<0.001$ ), SII





**Figure 3** Kaplan-Meier curves of overall survival (OS) according to SII (A), NLR (B), PLR (C) and PNI (D) for 381 patients. NLR, neutrophil-lymphocyte ratio; PLR, platelet-lymphocyte ratio; SII, systemic immune-inflammation index; PNI, prognostic nutritional index.

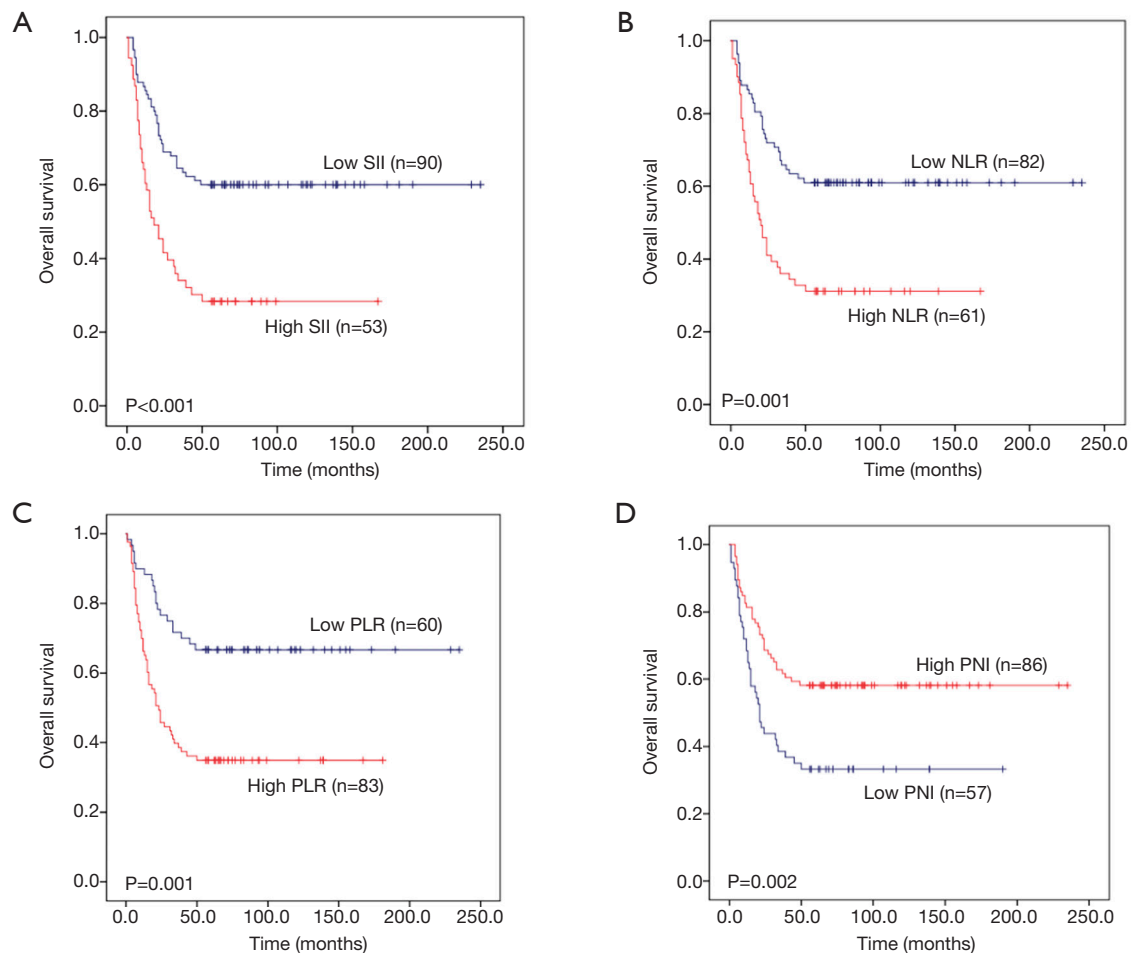
( $P=0.002$ ), treatment ( $P<0.001$ ), operation time ( $P=0.034$ ), and PNI ( $P=0.044$ ) were found as independent risk factors for 5-year OS in this study.

## Discussion

With the increasing incidence and morbidity of PNETs (26), there are not enough biomarkers to forecast the prognosis of patients, except Ki67, which can provide some prognostic value (27). Therefore, patients with high-risk recurrence factors or poor prognosis cannot be well identified before or after surgery and often miss the timeframe for appropriate and effective adjuvant therapy, which can improve the prognosis of patients (28). This indicates that there is an urgent requirement to seek effective prognostic biomarkers

for positive and suitable treatment. Fortunately, NLR, PLR, SII and PNI were verified to have prognostic value for patients with PNETs after surgery in the current study. Although several studies have already confirmed that PLR, NLR, SII and PNI have predictive value in other solid tumors, which including hepatocellular carcinoma, oesophageal cancer and colorectal cancer (12,19,21,23,29), no similar study has focused on PNETs.

It is well known that to effectively apply these predictors in clinical practice, we need to determine the optimal cut-off point of the corresponding tumors for patient stratification. Previous studies on NSCLC calculated the appropriate values for SII, NLR, and PLR were 395.4–660, 1.9–3.57 and 108.0–147, respectively (30–33), and the optimal value for PNI was 45–52.95 (32,34,35). In current



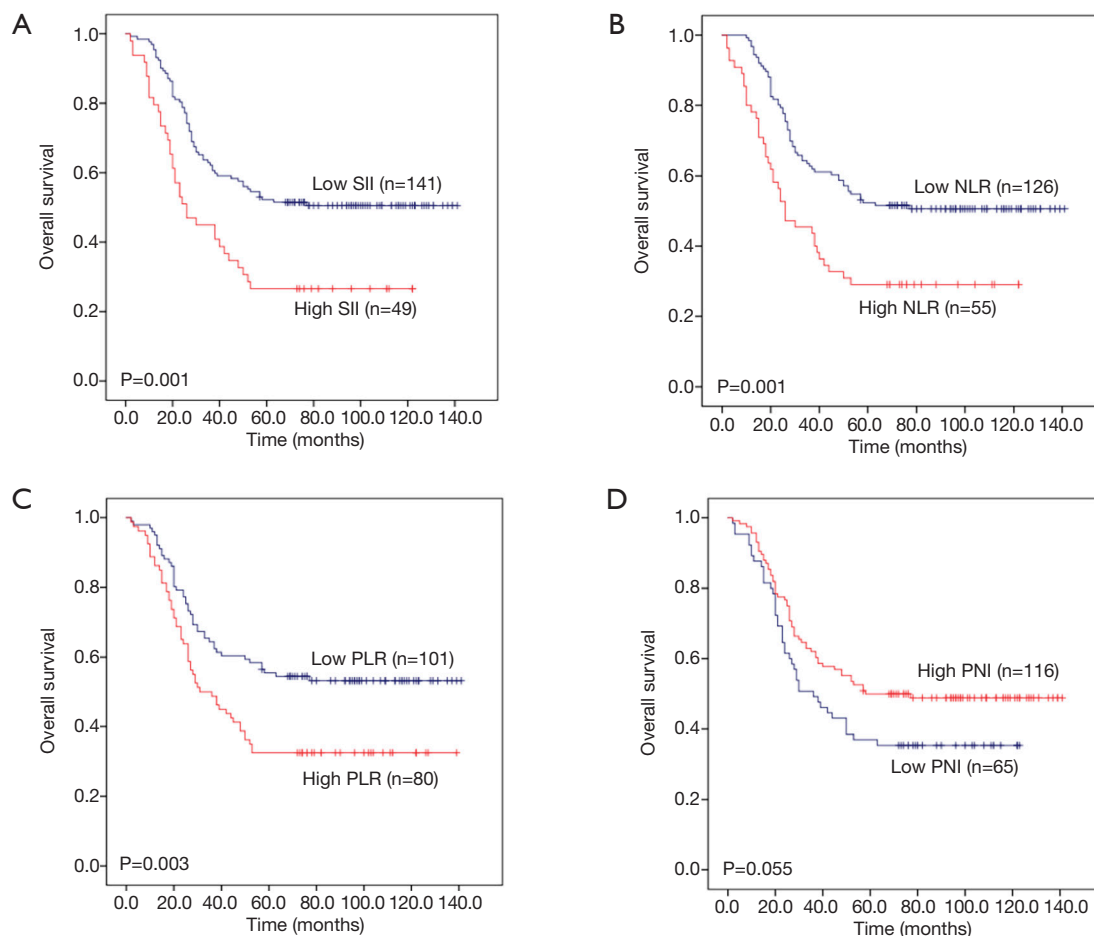
**Figure 4** Kaplan–Meier curves of overall survival (OS) according to SII (A), NLR (B), PLR (C) and PNI (D) for 143 LCNEC patients. NLR, neutrophil-lymphocyte ratio; PLR, platelet-lymphocyte ratio; SII, systemic immune-inflammation index; PNI, prognostic nutritional index; OS, overall survival; LCNEC, large cell neuroendocrine carcinoma.

research, the optimal cut-off values for PLR, NLR, SII and PNI were 118.74, 2.69, 682.98 and 49.95, respectively. This finding indicates that the optimal cut-off values for PLR, NLR, SII and PNI in our study of PNETs are consistent with the results of NSCLC. In addition, the optimal cut-off values for PLR, NLR and SII in the research by Suzuki *et al.* on SCLC are similar to those in other studies (36). However, the optimal cut-off values for PLR, NLR and SII in the research by Hong *et al.* on SCLC are slightly higher than those in other studies, while the cut-off value for PNI is closer to that in previous studies (37).

Neutrophils, platelets and other cells can promote the malignant proliferation, invasion and drug resistance of tumors in local tumor environments. Besides, these immune-inflammatory cells also assist the extravasation of

tumor cells, survival in peripheral blood and subsequent distant dissemination for tumor metastasis (38). Neutrophils are involved in enhancing the proliferation diffusion of cancer cells, and helping tumor cells escape from surveillance (39). Platelets deliver adenosine triphosphate to the circulation and promote tumorigenesis (40). Lymphocytes use their cytolytic activity to participate in the inhibition of tumor proliferation, and also recruit other immune cells to assist in this process (39,41). Therefore, lymphocytopenia is a marker of impaired immune surveillance and a favourable environment for the spread of tumors. This also explains the findings of our study. NLR, PLR and SII are mainly integrated inflammatory indicators consist of neutrophil, platelet and lymphocyte. Elevated SII and NLR were associated with worse prognosis in



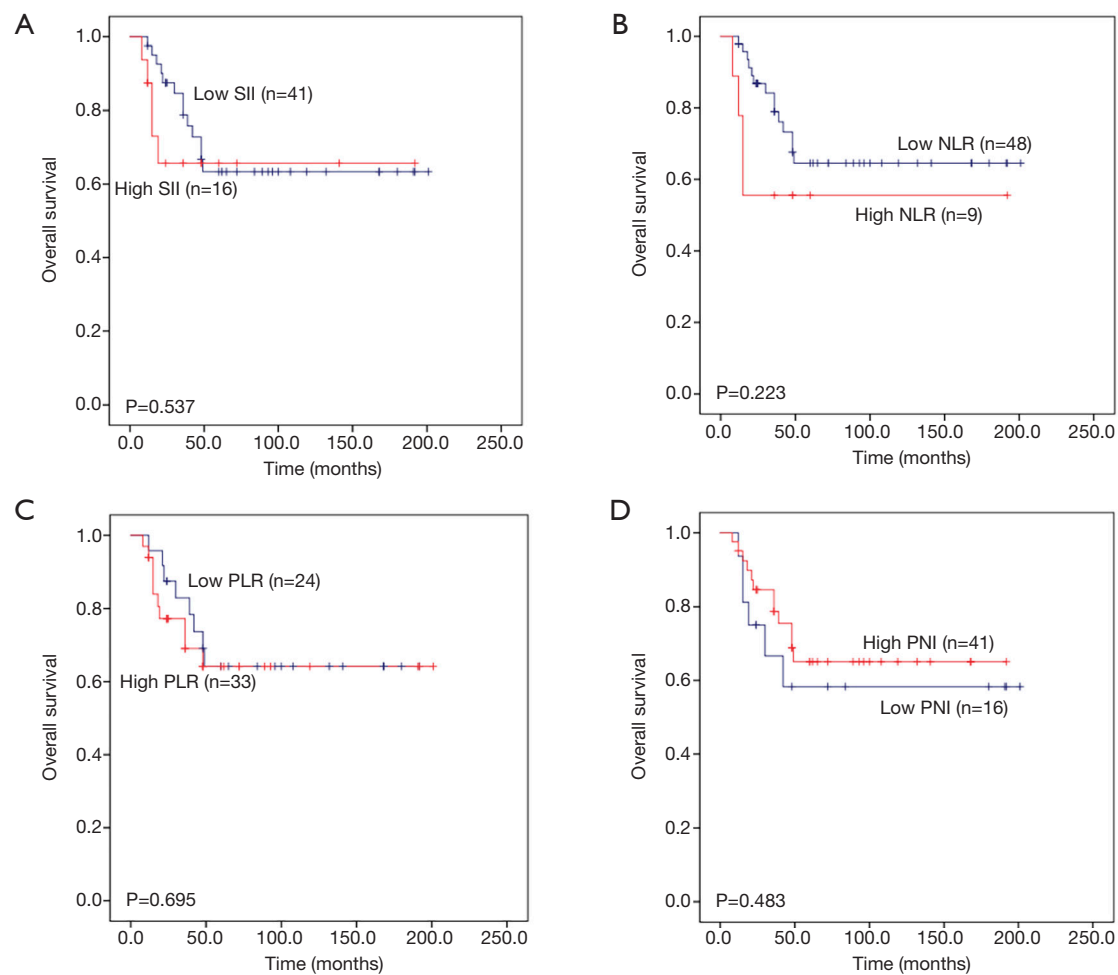


**Figure 5** Kaplan–Meier curves of overall survival (OS) according to SII (A), NLR (B), PLR (C) and PNI (D) for 181 SCLC patients. NLR, neutrophil-lymphocyte ratio; PLR, platelet-lymphocyte ratio; SII, systemic immune-inflammation index; PNI, prognostic nutritional index; OS, overall survival; SCLC, small cell lung cancer.

PNETs ( $P < 0.05$ ). The same results were found in the subgroups. High SII, high NLR and high PLR suggested poor prognosis, which is consistent with previous studies on hepatocellular carcinoma, lung cancer and other solid tumors (12,29). Compared with PLR and NLR, SII consists of three peripheral blood parameters. It can reflect the balance between host immunity and the inflammatory state comprehensively and is an objective index with good predictive reliability for prognosis. In addition, SII changes dynamically in tumor progression and treatment (12), so detection of SII can obtain information about host inflammation, immune response and clinical response to treatment. Therefore, SII index can work as an effective index to predict the prognosis of PNET patients.

Growing evidence suggests that the preoperative status, especially the nutritional and immune status, is associated

with the general prognosis of aggressive tumors (42). PNI is calculated based on albumin and lymphocytes, which can reflect the inflammation status and nutritional status (43). Albumin plays a vital role in binding and transporting metabolites, scavenging free radicals, inhibiting platelet function and providing an anti-thrombosis effect (44). Malnutrition is often associated with hypoproteinaemia. It was found that IL-1, IL-6 and other cytokines play an important role in the formation of albumin, and also participate in neovascularization and tumor proliferation (45). In addition, different subtypes of lymphocytes have different effects on cancer, and some studies suggest that lymphocytes are involved in the cell-mediated immune destruction of cancer cells (46). This indicates that there is an important relationship between nutritional status and inflammatory status, and PNI is an important indicator of nutritional



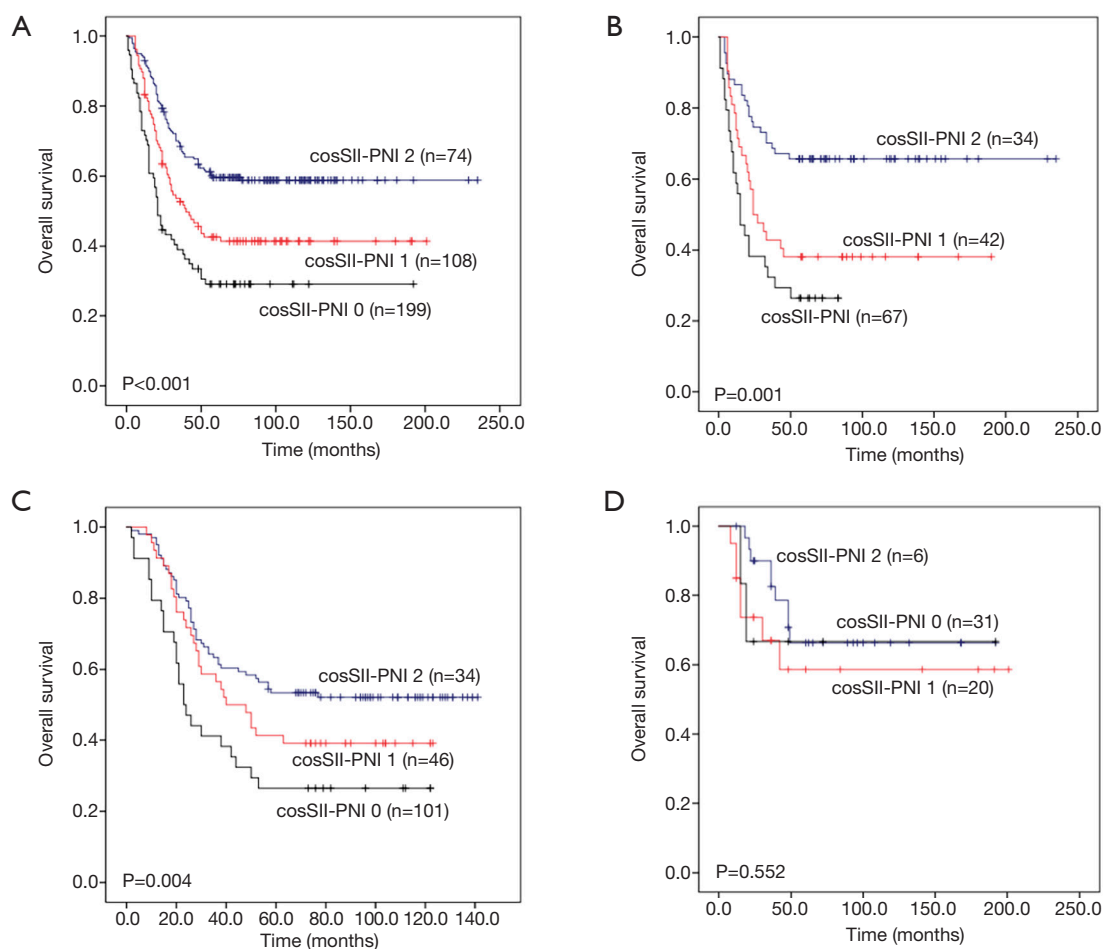
**Figure 6** Kaplan–Meier curves of overall survival according to SII (A), NLR (B), PLR (C), and PNI (D) for 57 atypical carcinoid patients. NLR, neutrophil-lymphocyte ratio; PLR, platelet-lymphocyte ratio; SII, systemic immune-inflammation index; PNI, prognostic nutritional index.

status and immune status. Previous studies found that low PNI predicts better survival in several solid tumors, like colorectal cancer, NSCLC and oesophageal carcinoma (21,23,32). For patients with PNETs, elevated PNI suggests that patients can survive longer. Moreover, in the LCNEC subgroup, elevated PNI also suggests a better prognosis of patients. However, in the SCLC and AC subgroups, elevated PNI did not show an advantage in patient OS ( $P>0.05$ ). Perhaps this may be due to the limited number of patients.

Additionally, PLR, NLR, SII and PNI are all independent predictive indicators for PNETs through the univariate and multivariate survival analyses in our study. Considering that PNI has some limitations in the prognostic prediction of SCLC and AC, this study also assessed the predictive value of coSII-PNI index in patients with PNETs. And we found

that in both LCNEC and SCLC subgroups, elevated PNI and reduced SII mean better prognosis, and vice versa. Therefore, the coSII-PNI index can be better used to predict the prognosis of PNET patients.

Recently, immunotherapy has made breakthroughs in cancer treatment, which makes the research on immune-specific biomarkers more urgent. It was found that there has a significant difference between elevated PLR and NLR levels with shorter OS and PFS in patients with advanced NSCLC treated with nivolumab (46). Pre-treatment PNI has also been found to be an independent predictive biomarker for NSCLC patients after treatment with immune checkpoint inhibitors and may help to identify which patients can obtain good therapeutic effect from immune checkpoint inhibitors (47). Although



**Figure 7** Kaplan-Meier curves of overall survival (OS) according to cosSII-PNI for 381 patients with pulmonary neuroendocrine tumors (A), 143 LCNEC patients (B), 181 SCLC patients (C), and 57 AC patients (D). LCNEC, large cell neuroendocrine carcinoma; SCLC, small cell lung cancer; AC, atypical carcinoid; NLR, neutrophil-lymphocyte ratio; PLR, platelet-lymphocyte ratio; SII, systemic inflammation index; PNI, prognostic nutritional index.

there is no similar study on PNETs, immunotherapy is gradually emerging in SCLC research. It was found that acetazolamide monoclonal antibody could improve OS and PFS of SCLC patients more effectively than chemotherapy alone (48). Therefore, NLR, PLR, SII and PNI will be potential biomarkers for predicting the future immunotherapy of PNETs based on SCLC.

However, there are several limitations in our research. First, because of the inherent limitations of retrospective studies and non multicenter research, the bias of patient selection and the differences between subgroups inevitably exist. Second, SII, NLR, PLR, PNI and coSII-PNI did not show prognostic value in the AC subgroup, which may be due to insufficient sample size included in the study, or to the fact that only AC was included in our study, but no

typical carcinoid cases. A larger sample of studies on AC and typical carcinoid tumors will help to verify the predictive value of these inflammatory markers for prognosis. Third, owing to the lack of patient disease-free survival data, this study did not analyse the correlation between SII and PNI and patient disease-free survival. In addition, despite similar histopathological findings, LCNEC, AC, typical carcinoid and SCLC still have differences in incidence, treatment and prognosis, which need further study and analysis.

## Conclusions

In conclusion, our study confirmed that PLR, NLR, SII and PNI have an effect predictive value for prognosis of patients with PNETs after surgery, specifically for those in the LCNEC

**Table 2** Univariate analysis and multivariate analysis with regard to OS in 381 patients with pulmonary neuroendocrine tumors

Variables	Univariate analysis			Multivariate analysis		
	P value	HR	95% CI	P value	HR	95% CI
Gender (female, male)	0.476	0.882	0.625–1.245	0.850	1.042	0.680–1.598
Age ( $\leq 60$ , $>60$ )	0.430	1.120	0.845–1.486	0.117	1.270	0.941–1.714
Smoking history (ever, never)	0.219	1.221	0.888–1.678	0.888	0.958	0.529–1.737
Drinking history (ever, never)	0.101	1.295	0.950–1.764	0.327	1.314	0.761–2.271
Tumor size ( $\leq 4$ cm, $>4$ cm)	0.002*	1.577	1.188–2.092	0.708	1.080	0.723–1.612
T stage (T1–T2, T3–T4)	0.001*	1.646	1.216–2.227	0.291	1.270	0.815–1.979
Lymph node metastasis (negative, positive)	$<0.001^*$	2.127	1.589–2.848	$<0.001^*$	2.307	1.554–3.427
Distant metastasis (negative, positive)	0.241	1.978	0.632–6.191	0.090	2.829	0.849–9.429
TNM stage (I/II, III/IV)	$<0.001^*$	2.167	1.632–2.876	0.059	1.530	0.984–2.378
Operation time ( $<200$ min, $\geq 200$ min)	0.028*	1.426	1.039–1.956	0.034*	1.432	1.028–1.994
Intraoperative blood loss ( $<200$ mL, $\geq 200$ mL)	0.354	1.143	0.861–1.518	0.397	0.878	0.650–1.186
Treatment (surgery only, surgery with adjuvant therapy)	0.869	1.025	0.763–1.378	$<0.001^*$	0.476	0.334–0.679
SII ( $<479.72$ , $\geq 479.72$ )	$<0.001^*$	2.189	1.641–2.920	0.002*	1.657	1.199–2.291
NLR ( $<2.27$ , $\geq 2.27$ )	$<0.001^*$	2.284	1.717–3.038	$<0.001^*$	1.817	1.331–2.479
PLR ( $<117.05$ , $\geq 117.05$ )	$<0.001^*$	1.942	1.453–2.597	0.001*	1.707	1.235–2.361
PNI ( $<0.19$ , $\geq 0.19$ )	$<0.001^*$	0.582	0.438–0.774	0.044*	0.721	0.524–0.991

\*, P less than 0.05 is significant. CI, confidence interval; OS, overall survival; HR, hazard ratio; SII, systemic immune-inflammation index; NLR, neutrophil-lymphocyte ratio; PLR, platelet-lymphocyte ratio; PNI, prognostic nutritional index

and SCLC subgroups. However, there is no predictive value in AC. It is noteworthy that these inflammatory indicators have the advantages of low cost, simple calculation, good repeatability and easy implementation.

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### Footnote

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**Ethical Statement:** The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. Our study protocol was reviewed and approved by the ethics committee of National Cancer Center/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College (approval number: 20/044-2240). Written informed consent was obtained from all subjects in our study.

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