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Does the choice of chemoprophylaxis affect the prevention of deep vein thrombosis in lumbar fusion surgery? A systematic review of the literature *

Sathish Muthu^{a,b,c,*}, Georgios Mavrovounis^d, Stipe Corluka^{e,o,p}, Zorica Buser^{f,n}, Giovanni Barbanti Brodano^g, Yabin Wu^h, Hans-Jorg Meiselⁱ, Jeffrey Wang^j, S. Tim Yoon^k, Andreas K. Demetriades¹, AO Spine Knowledge Forum Degenerativem

^a Department of Spine Surgery, Orthopaedic Research Group, Coimbatore, Tamil Nadu, India

^b Department of Biotechnology, Faculty of Engineering, Karpagam Academy of Higher Education, Coimbatore, Tamil Nadu, India

^e Spinal surgery Division, Department of Traumatology, University Hospital Centre Sestre milosrdnice, Zagreb, Croatia

^h Research Department, AO Spine International, Davos, Switzerland

ⁱ BG Klinikum Bergmannstrost Halle, Germany

^j Department of Orthopaedic Surgery and Neurological Surgery, Keck School of Medicine, University of Southern California, CA, USA

k Department of Orthopaedics, Emory University, Atlanta, GA, USA

¹ Edinburgh Spinal Surgery Outcome Studies Group, Department of Neurosurgery, Royal Infirmary Edinburgh, UK

^m AO Spine, AO Foundation, Davos, Switzerland

° Department of Anatomy and Physiology, University of Applied Health Sciences, Zagreb, Croatia

^p St. Catherine Specialty Hospital, Zagreb, Croatia

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ABSTRACT

Introduction: To date, the available guidance on venous thromboembolism (VTE) prevention in elective lumbar fusion surgery is largely open to surgeon interpretation and preference without any specific suggested chemoprophylactic regimen.

Research question: This study aimed to comparatively analyze the incidence of deep vein thrombosis (DVT) and pulmonary embolism (PE) with the use of commonly employed chemoprophylactic agents such as unfractionated heparin (UH) and low molecular weight heparin (LMWH) in lumbar fusion surgery.

Material and methods: An independent systematic review of four scientific databases (PubMed, Scopus, clinicalt rials.gov, Web of Science) was performed to identify relevant articles as per the preferred reporting in systematic reviews and meta-analysis (PRISMA) guidelines. Studies reporting on DVT/PE outcomes of lumbar fusion surgery in adult patients with UH or LMWH chemoprophylaxis were included for analysis. Analysis was performed using the Stata software.

Results: Twelve studies with 8495 patients were included in the analysis. A single-arm meta-analysis of the included studies found a DVT incidence of 14% (95%CI [8%–20%]) and 1% (95%CI [-6% - 8%]) with LMWH and UH respectively. Both the chemoprophylaxis agents prevented PE with a noted incidence of 0% (95%CI [0%–0.1%]) and 0% (95%CI [0%–1%]) with LMWH and UH respectively. The risk of bleeding-related complications with the usage of LMWH and UH was 0% (95% CI [0.0%–0.30%]) and 3% (95% CI [0.3%–5%]) respectively. *Discussion and conclusion:* Both LMWH and UH reduces the overall incidence of DVT/PE, but there is a paucity of evidence analyzing the comparative effectiveness of the chemoprophylaxis regimens in lumbar fusion procedures. The heterogeneity in data prevents any conclusions, as there remains an evidence gap. We recommend

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^c Department of Orthopaedics, Government Medical College, Karur, Tamil Nadu, India

^d Department of Neurosurgery, Faculty of Medicine, University of Thessaly, Larissa, Greece

^f Gerling Institute, Brooklyn, NY, USA

^g IRCCS Istituto Ortopedico Rizzoli, Bologna, IT, Italy

ⁿ Department of Orthopedic Surgery, NYU Grossman School of Medicine, New York, USA

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^{*} Corresponding author. Department of Spine Surgery, Orthopaedic Research Group, Coimbatore, Tamil Nadu, India. *E-mail address:* drsathishmuthu@gmail.com (S. Muthu).

future high-quality randomized controlled trials to investigate in this regard to help develop recommendations on thromboprophylaxis usage.

1. Introduction

Post-operative thrombo-embolic events such as deep vein thrombosis (DVT) leading to pulmonary embolism (PE) are a concern after spine surgery and an incidence up to 14% has been reported even despite prophylaxis (Fawi et al., 2017; Rokito et al., 1996; West and Anderson, 1992; Lee et al., 2000). In order to reduce the morbidity and mortality associated with venous thromboembolism (VTE), various guidelines have been developed for orthopedic trauma, hip and knee replacement surgery (National Institute for Health and Care Excellence and (NICE). 2018). With regards to spine surgery, the North American Spine Society published guidelines for antithrombotic therapies in 2009 (Bono et al., 2009). However, there remains a lack of information on the risk of thromboembolic events in specific subpopulations of spine surgery and individualized practice recommendations (Brambilla et al., 2004). Furthermore, guidance on the choice of chemoprophylactic agent, duration, dose, and route of administration were not well defined to ensure the practical application of the recommendation. This has resulted in heterogeneity in the regional, national and international

perioperative chemoprophylaxis measures for the prevention of VTE in patients undergoing spine surgery (Louie et al., 2020). About 2.1 million elective lumbar fusion procedures were performed in the United States between 2004 and 2015 with a 62.3% increase in 2015 compared to 2004 (Martin et al., 2019). Lumbar fusion represents the most commonly performed degenerative spine-related surgery (Groff, 2014). There is a considerable risk of VTE following lumbar fusion surgery necessitating preventive measures including chemoprophylaxis usage (Liu et al., 2017; Yamasaki et al., 2017). However, there is no specific guideline to recommend the ideal chemoprophylaxis regime for the prevention of DVT and PE. Hence, both unfractionated heparin (UH) and low molecular weight heparin (LMWH) have been used interchangeably as chemoprophylaxis agents with variable onset and duration.

This review aimed to comparatively analyze the incidence of DVT and PE with the use of commonly employed chemoprophylactic agents UH and LMWH in lumbar fusion surgery; and develop a recommendation on the ideal chemoprophylaxis regimen for universal usage in lumbar fusion operations.



Fig. 1. PRISMA flow diagram of inclusion of studies in the review for analysis.

Table: 1

Characteristics of studies included in the review.

First author	Publication year	Study Type	Surgery Nature (Index/ Revision)	Fusion type	Approach	Sample size	Age (Mean)	Male/ Female	Surgical Levels
Zhao et al.	2018	Prospective	NA	NA	NA	710	DVT group: 64 No DVT group: 53	172/ 538	1
Guo et al.	2017	Retrospective	NA	Intervertebral: 98 PLIF: 98	NA	196	66.8	NA	NA
Vint et al.	2021	Retrospective	Index: 199 Revision: 1	ALIF	Open	200	44.6	82/118	1: 184 2: 16
Li et al.	2019	Retrospective	NA	NA	NA	1518	66	NA	NA
Kiguchi et al. (group 1: start of chemoprophylaxis <24 h post-operatively)	2021	Retrospective	Index	ALIF, PLIF, LLIF	NA	105	57.8	36/69	NA
Kiguchi et al. (group 2: start of chemoprophylaxis >24 h post-operatively)	2021	Retrospective	Index	ALIF, PLIF, LLIF	NA	70	61.2	20/50	NA
Yang et al. (Study 1)	2015	Retrospective	Index	NA	NA	784	54	NA	1: 575, 2: 178, 3: 31
Yang et al. (Study 2)	2015	Retrospective	Index	Interbody fusion	NA	995	50	484/ 511	1: 731, 2: 218, 3: 46
Weber et al.	2014	Retrospective	Both	Posterior	NA	40	58	NA	1-7 (Median: 2)
Wei et al.	2016	Retrospective	NA	PLIF	NA	2864	DVT group: 61.3 No DVT group: 52.6	1511/ 1353	NA
Altshuler et al.	2020	Retrospective	NA	Minimally invasive group: Interbody Open group: Interbody or posterolateral	Open and Minimally invasive	596	Minimally invasive group: 61.5 Open group: 60.9	NA	Average: 1.5
Bai et al.	2019	Retrospective	NA	PLIF, TLIF	Open	277	73.4	102/ 175	1
Liu et al.	2017	Retrospective	NA	NA	NA	140	57	33/107	NA

NA: not available; DVT: deep vein thrombosis; LLIF: lateral lumbar interbody fusion; PLIF: posterior lumbar interbody fusion; ALIF: anterior lumbar interbody fusion; TLIF: transforaminal lumbar interbody fusion.

2. Methods

The present systematic review was reported according to the preferred reporting in systematic reviews and meta-analysis (PRISMA) guidelines (Page et al., 2021). The protocol of the review was registered in the prospective registry for systematic reviews (PROSPERO) before the start of the review (CRD42022372135).

2.1. Literature search

Two researchers (S.M., G.M.) independently reviewed four scientific databases (PubMed, Scopus, clinicaltrials.gov, Web of Science) to identify relevant articles. The algorithms used for the literature search included the following keywords: "DVT", "VTE", "thromboprophylaxis", "venous thrombosis", "pulmonary embolism", "heparin", and "spine fusion". Appropriate adjustments to the algorithms were made for each of the databases using Boolean operators such as "AND", "OR" and "NOT". The algorithms used in the included databases are presented in Appendix 1. The bibliographies of the identified studies were also reviewed for the identification of additional relevant studies. Any conflicts were resolved by consulting a third senior researcher (AKD).

Following the removal of the duplicates, the titles and abstracts of the identified studies were reviewed for relevance using the online platform www.rayyan.ai. The full texts of the possibly relevant studies were then examined against our inclusion criteria. Studies that fulfilled the following inclusion criteria were included in the systematic review: *Patient*: adult patients (18 years old or older) with a lumbar degenerative disease such as discogenic/facetogenic low back pain, neurogenic claudication, and radiculopathy due to foraminal stenosis.

Intervention: lumbar fusion surgery with LMWH chemoprophylaxis. *Comparison:* lumbar fusion surgery with UH chemoprophylaxis or no comparison.

Outcome: DVT/PE.

Study types: randomized controlled trials (RCT) or comparative studies with at least 10 patients per study group or non-comparative studies (did you also limit to at least 10 patients?)

We excluded observational studies with less than 10 patients, study types such as case reports, letters to the editor, brief reports, conference abstracts, and studies including patients with tumours, infections, spinal cord injuries, trauma/fractures, degenerative scoliosis, skeletal immaturity, patients younger than 18 years old, studies regarding non-fusion lumbar operations or non-lumbar operations, studies not using chemoprophylaxis with either UH or LMWH and studies not reporting on our primary outcome (DVT/PE).

2.2. Data extraction

Using an Excel form, two independent authors (S.M., G.M.) extracted the following data from the studies, if available:

Study characteristics: name of the first author, year of publication, type of study, number of participants.

Patient characteristics: age, gender, comorbidities.

Table: 2

Risk of bias in the included studies.

Domains	Selection Domain	Evaluation Domain		Causality Doma	Causality Domain						
Questions	Appropriate selection of patients?	Exposure adequately ascertained?	Outcome adequately ascertained?	Alternative cause ruled out?	challenge/re- challenge phenomenon assessed?	dose- response effect assessed?	Was the follow-up adequate?	Was the study reported to be replicable?			
Altshuler et al.	Yes	Yes	Yes	NA	NA	NA	Follow-Up Not Defined	No (no follow-up interval available)			
Bai et al.	Yes	Yes	Yes	NA	NA	NA	Yes	No (no exact regimen available)			
Guo et al.	Yes	Yes	Yes	NA	NA	NA	Yes	No (did not provide surgical approach)			
Kiguchi et al.	Yes	Yes	Yes	NA	NA	NA	Yes	No (no route of administration)			
Li et al.	Yes	Yes	Yes	NA	NA	NA	Yes	No (no route of administration)			
Liu et al.	Yes	Yes	Yes	NA	NA	NA	Follow-Up Not Defined	No (no route of administration)			
Vint et al.	Yes	Yes	Yes	NA	NA	NA	Yes	YES			
Weber et al.	Yes	Yes	Yes	NA	NA	NA	Yes	No (no route of administration)			
Wei et al.	Yes	Yes	Yes	NA	NA	NA	Yes	No (did not provide surgical approach)			
Yang et al. (study 1)	Yes	Yes	Yes	NA	NA	NA	Yes	No (no route of administration)			
Yang et al. (study 2)	Yes	Yes	Yes	NA	NA	NA	Follow-Up Not Defined	No (no route of administration)			
Zhao et al.	Yes	Yes	Yes	NA	NA	NA	Yes	No (no route of administration)			

NA - not applicable.

Procedure characteristics: approach and levels of fusion, surgery type (open/minimally invasive), dosage, duration, and route of administration for chemoprophylaxis (UH and/or LMWH), additional DVT prophylaxis measures utilized.

Outcomes: occurrence and timing of DVT/PE, the occurrence of major anticoagulation-related complications.

Any discrepancies between the reviewers were resolved by a third investigator (AKD).

2.3. Quality assessment

Quality assessment was performed using the assessment tool developed by Murad et al. (2018) for evaluating the quality of retrospective case series. The quality assessment was performed independently by two investigators (S.M., G.M.). Any discrepancy was resolved upon discussion with the third investigator (AKD).

2.4. Statistical analysis

All statistical calculations were performed using the Stata (Version 17) for Windows. The pooled incidence of DVT/PE was calculated along with a 95% Confidence Interval (CI). The random effects meta-analysis model was used for data synthesis when the heterogeneity of the studies was high (I^2 >50% and p < 0.10), otherwise the fixed effects model was implemented. Our search did not yield any direct comparative studies (LMWH versus UH), thus we could not perform any pairwise comparisons instead a single-arm meta-analysis was performed for the outcomes analyzed. We performed sensitivity analysis if heterogeneity was noted among the reported results according to approach (e.g., posterior or anterior), surgical levels (single/multi-level), index/revision surgery, number of patients enrolled, and methods employed in diagnosing DVT (clinical/ultrasound).

3. Results

3.1. Study characteristics

Following duplicate removal, 1311 studies were identified from the included databases and screened for inclusion. After an initial screening of titles and abstracts, we excluded 1261 studies. The full texts of the 50 remaining studies were then examined against our inclusion criteria, leading to the inclusion of 12 studies (Liu et al., 2017; Zhao et al., 2018; Guo et al., 2017; Vint et al., 2021; Kiguchi et al., 2022; Yang et al., 2015a, 2015b; Wei et al., 2016; Altshuler et al., 2021; Bai et al., 2019; Li et al., 2019; Weber et al., 2016) incorporating 8495 patients. The reason for the exclusion of studies from the full-text review is presented in the PRISMA flow diagram in Fig. 1. Ten of the included studies (Liu et al., 2017; Zhao et al., 2018; Guo et al., 2017; Vint et al., 2021; Yang et al., 2015a, 2015b; Wei et al., 2016; Bai et al., 2019; Li et al., 2019; Weber et al., 2016) reported the number of DVT/PE events after prophylaxis with LMWH, while the remaining two used UH (Kiguchi et al., 2022; Altshuler et al., 2021). None of the studies compared the incidence of DVT/PE in patients receiving LMWH prophylaxis versus those receiving UH prophylaxis. The characteristics of the included studies are presented in Table 1.

3.2. Risk of bias assessment

None of the studies had high risk of bias to warrant exclusion from the analysis as shown in Table 2. Of all the included studies, follow-up was not defined in three studies (Liu et al., 2017; Yang et al., 2015a; Altshuler et al., 2021). However, they had sufficient follow-up to ascertain the incidence of DVT/PE. Replicability of the included studies were limited considering the lacking information on the regimen of chemoprophylaxis with reference to the route of administration, or the surgical approach utilized in them.

Study		Effect size with 95% Cl	Weight
UFH			(70)
Altshuler		0.01 [-0.07, 0.09]	9.71
MM Kiguchi		0.02 [-0.13, 0.17]	6.72
Heterogeneity: $\tau^2 = 0.00$, $I^2 = 0.02\%$, $H^2 = 1.00$	-	0.01 [-0.06, 0.08]	
Test of $\theta_i = \theta_j$: Q(1) = 0.02, p = 0.90			
LMWH			
CM Zhao		0.12 [0.04, 0.19]	10.01
Y Guo		0.01 [-0.11, 0.12]	8.18
LLi		0.25 [0.20, 0.30]	10.94
SD Yang		0.12 [0.05, 0.19]	10.16
Si-Dong Yang		0.22 [0.16, 0.29]	10.49
HM Mayer		0.01 [-0.17, 0.19]	5.60
Weber		0.00 [-0.31, 0.31]	2.74
Wei		0.09 [0.06, 0.13]	11.38
Bai		- 0.28 [0.16, 0.40]	8.00
Liu	_	0.09 [-0.07, 0.26]	6.06
Heterogeneity: $r^2 = 0.01$, $I^2 = 81.19\%$, $H^2 = 5.32$		0.14 [0.08, 0.20]	
Test of $\theta_i = \theta_j$: Q(9) = 46.04, p = 0.00			
Overall	•	0.12 [0.06, 0.18]	
Heterogeneity: $\tau^2 = 0.01$, $I^2 = 82.34\%$, $H^2 = 5.66$			
Test of $\theta_i = \theta_j$: Q(11) = 58.69, p = 0.00			
Test of group differences: $Q_b(1) = 6.97$, p = 0.01	· · · · · · · · · · · · · · · · · · ·	٦	
	42 0 .2	.4	

Random-effects REML model

Fig. 2. Figure showing the forest plot for DVT events for studies administering unfractionated heparin and low-molecular weight heparin in their prophylaxis regimen.

3.3. DVT incidence DVT and

While including studies using only LMWH for analysis (n = 10) (Liu et al., 2017; Zhao et al., 2018; Guo et al., 2017; Vint et al., 2021; Yang et al., 2015a, 2015b; Wei et al., 2016; Bai et al., 2019; Li et al., 2019; Weber et al., 2016), the incidence of DVT was 14% (95%CI [8%–20%]); heterogeneity was identified to be 81.19% (p < 0.01) as shown in Fig. 2. Whereas, upon including only studies using UH for analysis (n = 2)^{18,22}, the incidence of DVT was 1% (95%CI [-6% - 8%]); heterogeneity was identified to be 0.02% (p = 0.98) as shown in Fig. 2.

3.4. PE incidence

While including studies using only LMWH for analysis (n = 10) (Liu et al., 2017; Zhao et al., 2018; Guo et al., 2017; Vint et al., 2021; Yang et al., 2015a, 2015b; Wei et al., 2016; Bai et al., 2019; Li et al., 2019; Weber et al., 2016), the incidence of PE was 0% (95%CI [0%–0.10%]), 4 patients out of 7724; heterogeneity was identified to be 0% (p = 0.63) as shown in Fig. 3. Similarly, upon analyzing only studies using UH (n = 2)^{18,22}, the incidence of PE was 0.40% (95%CI [0%–0.90%]), 3 out of 771 patients; heterogeneity was identified to be 0% (p = 0.96) as shown

in Fig. 3.

3.5. Complications

The most commonly reported bleeding-related complications reported include incisional hematoma/bleeding, epidural hematoma, retroperitoneal hematoma, gastrointestinal tract (GIT) bleeding, and intracranial bleeding as shown in Table 3. Other reported complications include subcutaneous ecchymosis, heparin-induced thrombocytopenia, and allergic reaction to LMWH.

The pooled incidence of epidural and retroperitoneal hematomas for all studies using LMWH was 0.20% (95% CI [0.10%–0.30%]); and heterogeneity was 0% (p = 0.98) while the only study using only UH reported the risk of epidural and retroperitoneal hematomas to 5.7% (95% CI [2.3%–9.2%]) without significant heterogeneity as shown in Fig. 4.

3.6. Subgroup analysis

We intended to stratify the included studies based on the approach utilized to identify the reported incidence of DVT based on approach but we could not delineate individual patient data with their outcomes to



Fig. 3. Showing the forest plot for PE events for studies administering unfractionated heparin and low-molecular weight heparin in their prophylaxis regimen.

make further analysis since most of the studies utilized varied approaches such as anterior, posterior, or lateral lumbar fusion among the included patients.

3.7. Sensitivity analysis

Upon further analysis into the heterogeneity in DVT outcomes noted in the studies using LMWH, it was noted that the heterogeneity could also be accounted by variability in the usage regimens among the included studies as shown in Table 3. We noted variability in the dose ranging from 2125 to 5000 IU/day for LMWH and 5000 - 1500 IU/day for UH. The commonly used dosage was 4100 IU/day for LMWH and 5000 IU/day for UH. We also noted variability in the initiation and total duration of prophylaxis in the included studies. Most of the included studies started chemoprophylaxis on the first postoperative day and continued it for 5-7 days or until the patient resumed ambulation. However, Kiguchi et al. (2022) demonstrated that initiation of chemoprophylaxis with UH within 24 h of lumbar fusion procedure demonstrated an 81% reduction in the odds of developing DVT without increased risk of bleeding complications. However, the current consensus has been obtained for Enoxaparin as the medication of choice in a fixed dose given once per day from the morning after the surgery (Zuckerman et al., 2023). Hence to further explore into the cause of heterogeneity, sensitivity analysis was performed with the studies with higher sample size and using ultrasound to diagnose DVT. However, we could not identify the cause of heterogeneity despite such clustering

method.

4. Discussion

Heparin has been extensively used as a chemoprophylactic agent either in an unfractionated or a variety of low molecular weight forms following surgical intervention (Schünemann et al., 2018; Badireddy and Mudipalli, 2022). Although from a pharmacological standpoint, LMWH seems to be much more efficient in thrombin inactivation than UH, both of them have been used for chemoprophylaxis against VTE (Prandoni, 2001). While UH has the advantage of rapid action, rapid reversal, and monitoring parameters, disadvantages include the short half-life necessitating frequent administration and the risk of heparin-induced thrombocytopenia (Prandoni, 2001). On the other hand, LMWH possesses greater bioavailability and longer anticoagulation without the need for laboratory monitoring. Hence, LMWH has been used prevalently as a chemoprophylaxis agent (Robertson and Jones, 2017). It is evident from the number of studies included for LMWH (n = 10) compared to UH (n = 2) in the analysis. Upon analyzing the chemoprophylaxis efficacy of LMWH and UH in lumbar fusion surgery, the major findings of this study include.

- 1. Incidence of DVT with LMWH was 14% with significant heterogeneity
- 2. Incidence of DVT with UH was 1% without heterogeneity

Table: 3 Chemoprophylaxis regimen and outcome measures of studies included in the review.

First author	Publication year	Chemoprophylaxis drug	Route of administration	Dosage	Duration	Additional DVT/ PE prophylaxis measure	DVT cases/all patients	Symptomatic DVT cases/all DVT cases	Method of DVT identification	PE cases/all cases	Complications
Zhao et al.	2018	LMWH	NA	5000 IU/ day	Until ambulation	Lower limb exercises	64/710	5/64	U/S: preoperatively and 7th postoperative day	2/710	Death: 1
Guo et al.	2017	LMWH	SC	6 h after surgery: 2125 IU, then 4250 IU/day	7–14 days or until ambulation	Thigh-high compression stockings with SCD Active straight-leg raising exercise or passive exercise	2/196: PLIF: 2/98 Intervertebral fusion: 0/98	0/2	U/S: preoperatively, 7th & 14th postoperative day, 4th postoperative week	0/198	Allergic reaction to LMWH: 1 SC ecchymosis: 1 Incisional bleeding: 2 Epidural hematoma: 1
Vint et al.	2021	LMWH	SC	4500 IU/ day	Starting the evening before surgery; 3–5 days (continued with aspirin)	TEDS for 6 weeks Intermittent pneumatic compression intraoperatively and 24 h after Early mobilization the morning after surgery	0/200	0/0	Clinically (followed for 52 weeks)	0/200	Incisional bleeding/ hematoma: 0 GIT bleeding: 0 Retroperitonial hematoma: 0
Li et al.	2019	LMWH	NA	5000 IU/ day	NA	Mechanical prophylaxis	349/1518	0/349	U/S: preoperatively, 1st & 2nd & 4th & 8th & 12th postoperative weeks	0/1518	NA
Kiguchi et al. (group 1: start of chemoprophylaxis <24 h post- operatively)	2021	UH	SC	5000 IU/ day	Median dose: 7	NA	2/105	2/2	Clinically (within 30 days of surgery)	0/105	Bleeding complication (e.g. epidural or retroperitoneal hematoma): 5 HIT: 1
Kiguchi et al. (group 2: start of chemoprophylaxis >24 h post- operatively)	2021	UH	SC	5000 IU/ day	Median dose: 6.5	NA	2/70	2/2	Clinically (within 30 days of surgery)	0/70	Bleeding complication (e.g. epidural or retroperitoneal hematoma): 5
Yang et al. (Study 1)	2015	LMWH	NA	4100 IU/ day	7 days post- operatively	Mechanical prophylaxis	97/784; Single level fusion: 79/575 Double level fusion: 38/178 3+ level fusion: 8/31	NA	U/S: pre- and post- operatively (exact timing not mentioned, 7th post-operative day mentioned)	0/784	Epidural hematoma: 2
Yang et al. (Study 2)	2015	LMWH	NA	4100 IU/ day	NA	Mechanical prophylaxis	223/995, Single level fusion: 156/ 731 Double level fusion: 51/218 3+ level fusion: 16/46	NA	U/S: pre- and post- operatively (exact timing not mentioned)	0/995	Epidural hematoma: 1

(continued on next page)

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Table: 3 (continued)

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First author	Publication year	Chemoprophylaxis drug	Route of administration	Dosage	Duration	Additional DVT/ PE prophylaxis measure	DVT cases/all patients	Symptomatic DVT cases/all DVT cases	Method of DVT identification	PE cases/all cases	Complications
Weber et al.	2014	LMWH	NA	Starting 4–6 h post- operatively	NA	Below the knees TEDS SCD starting preoperatively Early mobilization (postoperative day 1-2 if possible)	0/40	0/0	Clinically & U/S: 2/3 of patients on 4th or 5th post-operative day	0/40	Epidural hematoma: 0
Wei et al.	2016	LMWH	SC	4000 IU/ day	Post-operative days 1–7 (if a patient had a positive d-dimer test the first dose was given 12 h pre- operatively)	Intermittent pneumatic compression Mobilization starting on postoperative day 5	269/2864	0/269	Clinically (U/S if positive) & U/S: pre- operatively, 5th post-operative day	0/2864	Epidural hematoma: 6
Altshuler et al.	2020	UH	SC	5000 IU/8 h	Starting on post- operative day 1, duration NA	SCD Early mobilization (postoperative day 1 if possible)	7/596, Minimally invasive group: 3/406 Open group: 4/ 190	7/7	Clinically	3/596, Minimally invasive group: 0/ 406 Open group: 3/ 190	NA
Bai et al.	2019	LMWH	SC	NA	Starting 24 h post- operatively, duration NA	Passive mobilization	78/277	NA	NA (patients followed for 12–48 months)	2/277	GIT bleeding: 12 Cerebral bleeding: 1 Death: 1
Liu et al.	2017	LMWH	NA	4100 IU/ day	NA	Limb exercise	13/140	0/13	U/S: pre- and post- operatively (timing not mentioned)	0/140	Epidural hematoma: 0

DVT: deep vein thrombosis; PE: pulmonary embolism; LMWH: low molecular weight heparin; NA: not available; U/S: ultrasound; SC: subcutaneous; IU: international units; SDC: sequential compression device; PLIF: posterior lumbar interbody fusion; TEDS: thromboembolic deterrent stockings; GIT: gastrointestinal tract; UH: unfractionated heparin; HIT: heparin induced thrombocytopenia.

					Effect size Weig	ght
Study					with 95% CI (%)
UFH						
MM Kiguchi et al.	-				0.03 [0.00, 0.05] 0.0)0
Heterogeneity: $\tau^2 = 0.00$, $I^2 = .\%$, $H^2 = .$	-			-	0.03 [0.00, 0.05]	
Test of $\theta_i = \theta_j$: Q(0) = -0.00, p = .						
LMWH						
Y Guo et al.					0.01 [0.00, 0.03] 0.0)0
SD Yang	+				0.00 [-0.00, 0.01] 0.0)5
Yang Si-Dong	-				0.00 [-0.00, 0.00] 0.1	17
HM Mayer	+				0.00 [-0.00, 0.00] 0.2	25
Weber					0.00 [-0.00, 0.01] 0.0)3
Wei					0.00 [-0.00, 0.00] 99.1	14
Bai					- 0.05 [0.02, 0.07] 0.0)0
Liu	+				0.00 [-0.00, 0.00] 0.3	34
Heterogeneity: $\tau^2 = 0.00$, $I^2 = 0.00\%$, $H^2 = 1.00$					0.00 [-0.00, 0.00]	
Test of $\theta_i = \theta_j$: Q(7) = 20.70, p = 0.00						
Overall					0.00 [-0.00, 0.00]	
Heterogeneity: $\tau^2 = 0.00$, $I^2 = 0.07\%$, $H^2 = 1.00$						
Test of $\theta_i = \theta_j$: Q(8) = 25.85, p = 0.00						
Test of group differences: $Q_b(1) = 5.14$, p = 0.02						
	Ó	.02	.04	.06	.08	
Random-effects REML model						

Fig. 4. Showing the forest plot for complications noted in studies administering unfractionated heparin and low-molecular weight heparin in their prophylaxis regimen.

- 3. Both the chemoprophylaxis agents prevented PE with a noted incidence of 0% with LMWH and 1% with UH without heterogeneity
- 4. The risk of bleeding-related complications with LMWH and UH usage was 0% and 3% respectively without heterogeneity.

The risk of DVT for an individual undergoing lumbar fusion surgery can be accounted for by various factors such as patient-related and procedure-related factors that require prophylaxis. The individual variability in the risk of DVT could be assessed based on various DVT risk assessment tools (National Clinical Guideline Centre, 2010; Gould et al., 2012; Obi et al., 2015). However, for spinal fusion surgeries, factors such as higher age, female sex, higher blood loss, high preoperative d-dimer levels, and comorbidities such as diabetes, hypertension, and locomotor disabilities warrant definitive DVT prophylaxis (Liu et al., 2017; Zhao et al., 2018; Wei et al., 2016; Li et al., 2019; Wang and Wu, 2022). The recent consensus has also identified high body mass index, history of VTE, cancer, hormone therapy as high risk indicators (Zuckerman et al., 2023).

Considering lumbar fusion surgery, the risk of DVT varies with the approach utilized to achieve fusion. It has been previously noted through a propensity-matched analysis across 1147 patients that the anterior approach independently increases the risk of DVT by 4 times in lumbar fusion surgery (Cloney et al., 2022). To mitigate the risk of DVT upon utilizing the anterior approach for lumbar fusion, a chemoprophylaxis regimen was proposed by Vint et al. (2021) using LMWH for 3–5 days followed by aspirin for 4 weeks following surgery. They did not

note any VTE events or prophylaxis-related bleeding complications in 200 patients with this regimen (Vint et al., 2021). They also recommended pneumatic compression stocking intraoperatively until 24 h post-operatively followed by thromboembolic deterrent stocking for 6 weeks along with early mobilization (Vint et al., 2021). The recent consensus statement also noted anterior approach, increased operative time and need for transfusion to be a high risk indicator warranting chemoprophylaxis (Zuckerman et al., 2023).

While minimally invasive surgery (MIS) has been demonstrated to reduce postoperative pain, shorter hospital stays, and early mobilization, (Adogwa et al., 2011) its utilization in lumbar fusion among the included studies might also account for the variability in the results observed. Although Altshuler et al. (2021) did not note any statistical difference in the DVT rates among open or MIS lumbar fusion procedures (p = 0.22), they noted significantly increased PE in the open fusion compared to MIS fusion (p = 0.03). Bai et al. (2019) noted a significant reduction in the incidence of DVT in patients undergoing percutaneous transforaminal endoscopic discectomy and fusion compared to an open procedure (p = 0.03) despite following a chemoprophylaxis regimen. An increased risk of DVT was also noted with an increase in the levels of fusion (Yang et al., 2015a). The heterogeneity among the included studies concerning the surgical levels could also explain the heterogeneity in their results.

The benefit of universal usage of chemoprophylaxis for DVT in lumbar fusion surgeries must be weighed against their risk for the development of postoperative bleeding, epidural hematomas causing cord compromise, and wound complications (Dhillon et al., 2017; Alvarado et al., 2020). These inherent risks with chemoprophylaxis were the reason for the lack of universal acceptance of pharmacological thromboprophylaxis treatment among spine surgeons. However, previous systematic reviews noted the incidence of spinal epidural hematoma to be 0.2% in spine surgery patients using chemoprophylaxis with LMWH (Glotzbecker et al., 2010). The results of the current review also corroborates this finding and establishes the safety with the usage of chemoprophylaxis in lumbar spine surgeries.

Although we aimed to compare the commonly used chemoprophylaxis agents such as UH and LMWH, other upcoming agents such as direct thrombin inhibitor argatroban, (Guo et al., 2017) direct oral anticoagulants apixaban, rivaroxaban are also investigated with improved benefits in DVT prophylaxis (Finks et al., 2016). Future studies are needed to comparatively evaluate the efficacy and safety of these agents to be considered for universal chemoprophylaxis regimen in spine surgery. Apart from the chemoprophylaxis, the additional prophylactic measures followed in the included studies were lower limb exercises, compression stocking, and early mobilization of patients as shown in Table 2. The British Association of Spine Surgeons stratified the risk of DVT in patients undergoing spine surgeries incorporating the patient-related and procedure-related factors and recommended the combined usage of mechanical and chemical prophylaxis. (BOA) However, they did not precisely recommend a DVT prophylaxis regimen given the inherent variability between the individuals and procedures addressed. The recent consensus statements developed by AO Spine North America with 21 senior surgeons have thrown some light on the need for standardized VTE chemoprophylaxis regimen and resonated with the results of the current review (Zuckerman et al., 2023).

There are some limitations to the evidence analyzed. The number of available studies, especially regarding UH, were very few. The included studies were retrospective in design, which reduced the overall strength of the evidence generated from the meta-analysis. We did not find any study that directly compared the chemoprophylactic efficacy and safety of LMWH and UH in lumbar fusion surgery. Hence, the indirect evidence derived from the single-arm meta-analysis of the individual drugs must be considered with caution. Hence, we recommend future high-quality randomized controlled trial to be conducted to arrive at a definite conclusion to aid in the development of recommendations on chemoprophylaxis usage in lumbar fusion surgeries.

5. Conclusion

Both LMWH and UH reduces the overall incidence of DVT/PE, but there is a paucity of evidence analyzing the comparative effectiveness of the chemoprophylaxis regimens in lumbar fusion procedures. The heterogeneity in data prevents any comparative conclusions or recommendations, as there remains an evidence gap. We recommend future high-quality RCTs to investigate in this regard to help develop recommendations on thromboprophylaxis usage.

Conflicts of interest

All the authors declared their conflicts of interest in their respective declaration forms submitted.

Declaration of competing interest

THE authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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