



## RESEARCH ARTICLE

**REVISION** Optimization of extraction of genomic DNA from archived dried blood spot (DBS): potential application in epidemiological research & bio banking [version 3; peer review: 2 approved, 1 approved with reservations]

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### Abstract

**Background:** Limited infrastructure is available to collect, store and transport venous blood in field epidemiological studies. Dried blood spot (DBS) is a robust potential alternative sample source for epidemiological studies & bio banking. A stable source of genomic DNA (gDNA) is required for long term storage in bio bank for its downstream applications. Our objective is to optimize the methods of gDNA extraction from stored DBS and with the aim of revealing its utility in large scale epidemiological studies.

**Methods:** The purpose of this study was to extract the maximum amount of gDNA from DBS on Whatman 903 protein saver card. gDNA was extracted through column (Qiagen) & magnetic bead based (Invitrogen) methods. Quantification of extracted gDNA was performed with a spectrophotometer, fluorometer, and integrity analyzed by agarose gel electrophoresis.

**Result:** Large variation was observed in quantity & purity (260/280 ratio, 1.8-2.9) of the extracted gDNA. The intact gDNA bands on the electrophoresis gel reflect the robustness of DBS for gDNA even after prolonged storage time. The extracted gDNA amount 2.16 – 24 ng/μl is sufficient for its PCR based downstream application, but unfortunately it can't be used for whole genome sequencing or genotyping from extracted gDNA. Sequencing or genotyping can be achieved by after increasing template copy number through whole genome amplification of extracted gDNA. The obtained results create a base for future research to develop high-throughput research and extraction methods from blood samples.

**Conclusion:** The above results reveal, DBS can be utilized as a potential and robust sample source for bio banking in field epidemiological studies.

### Open Peer Review

Reviewer Status

	Invited Reviewers		
	1	2	3
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## Keywords

Dried blood spot (DBS), Whatman 903 cards, FTA cards, Human genomic DNA, Bio-banking, Epidemiology

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**REVISED Amendments from Version 2**

Revised the article as per comments raised by 2 reviewers. Made some corrections in subject enrolment section, [Table 1](#), Results section, [Table 2](#), [Table 3](#), [Figure 3](#) & add statistical analysis section.

**Any further responses from the reviewers can be found at the end of the article**

## Introduction

The concept of using dried blood spot (DBS) in new born screening was presented by Guthrie and Susie in 1963<sup>1</sup>. DBS has been used for the last 5 decades by researcher in medical research. In field epidemiological studies there is a need for robust sample sources so they can be stored for long periods without any damage or spoilage. DBS is a much better option as compared to venous blood in low resource field setups for large epidemiological studies. Biomarkers reveals biological information from normal to disease condition and provide information about the disease condition, as it also acts as a prognostic marker. The collection of DBS is simple compared to venous blood collection as it only requires a finger prick, compared to venous puncture via needle for venous blood collection. Today DBS samples are utilized to test for a variety of health related markers including; infectious pathogens, HbA1c, total cholesterol, creatinine, uric acid, low density lipoprotein (LDL), high density lipoprotein (HDL), very low density lipoprotein (VLDL), Triglyceride and many more<sup>2-4</sup>. DBS is prepared by spotting 40–50µl of whole blood on Whatman 903 protein saver cards, air dried for 2 hours by hanging or by placing in a rack, and then packed it in sealed ziplock bags with desiccant. Other cards available for blood sampling include Ahlstrom, Whatman No.6, DMPK, FTA etc. however the Whatman 903 card is US Food and Drug Administration (FDA) approved for medical research<sup>5</sup>. In epidemiological research the protein saver cards act as information storage devices in terms of blood based analytes and provide genetic, environmental, immunological information. Genomic DNA (gDNA) is a very robust and stable biological sample when stored on paper cards, and has been used for many decades<sup>3,4</sup>. RNA, which is less stable than gDNA in solution, appears to also be stable on DBS<sup>6</sup>. Due to the small amount of blood in DBS, the obtained concentration of genetic material is also low but this problem can be overcome by amplification of the whole genome, and yield high quality DNA for performing assays, such as sequencing and genotyping arrays, at low cost<sup>7</sup>. Limited studies are available regarding the use of DBS for downstream SNP genotyping following whole genome amplification<sup>6,8</sup>.

This study was performed with the aim of extracting maximal gDNA using archived DBS cards obtained from the Centre for Global Health Research (CGHR) Bangalore unit to establish its feasibility for downstream applications and biobanking in large scale epidemiological studies.

## Methods

### Ethical considerations and consent

The study was ethically approved by Institutional Ethics Review Board (IERB) of St. John's Medical College and Hospital,

Bangalore (India) with approval number IERB/1/77/05. After explaining the study to participants, informed written consent (as per norms of Indian Council of Medical Research (ICMR) Government of India) was obtained from volunteer participants.

### Subjects enrollment

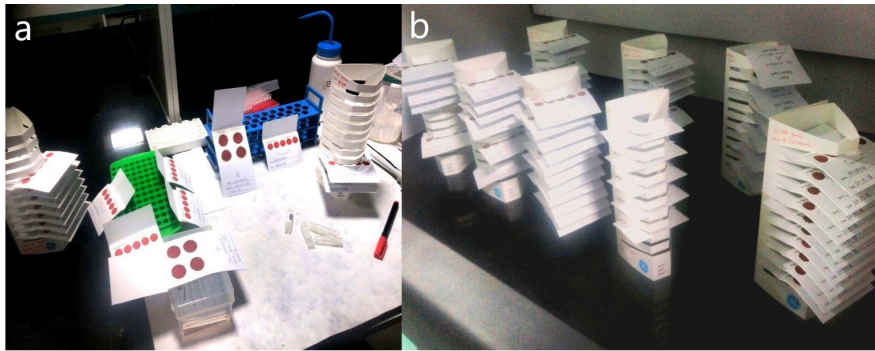
This was part of a multicenter study involving the Centre for Global Health Research (CGHR) Bangalore unit and Tata Memorial Centre, Mumbai unit, who worked together to conduct study DBS. DBS samples were collected at health checkup camps in rural and urban areas of Bangalore city through a sample registration system (SRS). 3000 DBS samples were prepared during health checkup at Bangalore Centre. DBS samples were collected between years 2005–2007 & stored at 4°C, but later on it transported to Mumbai at ambient temperature in year 2013 while laboratory experiments were conducted in year 2016. DBS samples were prepared through finger prick method by using lancet (Accu Chek Softclix Lancet, Roche), puncture the finger site using lancet, drop of blood form which is lightly touch the circle of filter paper cards (GE Health Care Life Science, Catalog no. 10534612) and form valid DBS during health checkup camp by CGHR at Bangalore unit and transported to Tata memorial Centre (TMC) Mumbai for further analysis. Samples were collected between the years 2005–2007, but samples transported to Mumbai from Bangalore at ambient temperature in year 2013 and laboratory experiments conducted in 2016. DBS samples were collected by trained staff. Systematic random samples (n=40) were selected from top to bottom order from collected DBS. The following anthropometric measurements were recorded; height, weight, waist-to-hip ratio, blood pressure with gender and age. The complete study was explained to the subjects, and only voluntary participants aged between 18–49 years were included in this study after obtaining written informed consent as per the norms of Indian Council of Medical Research (ICMR) Government of India.

### Sample collection, transport and storage

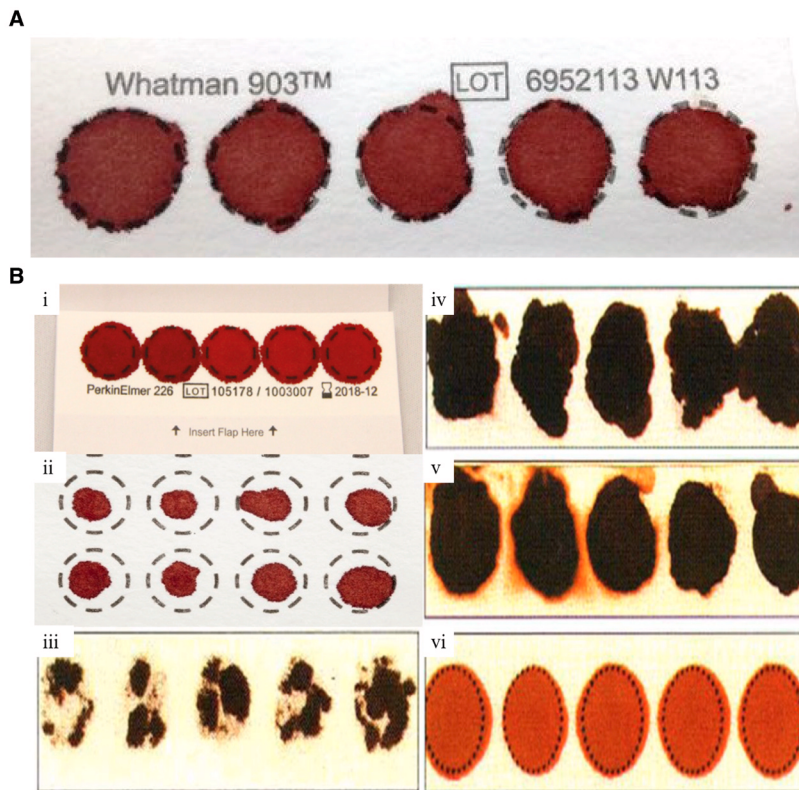
**Finger prick blood DBS Preparation.** Finger prick blood was collected using a lancet to puncture the fingertip. Once a full small drop of blood is formed it was lightly touched to the center of the circle on the filter paper (GE Health Care Life Science, Catalog no. 10534612) to form valid DBS. These were collected from study participants during health checkup organized at government schools, and at the center of villages. Cards were dried for 2 hours in velcro rack and packed in sealed ziplock bag with 1–2gm desiccant sachet ([Figure 1](#)). Only valid DBS samples were used for gDNA extraction determined by the blood sample completely saturating each circle on the card, and not overlapping or merging with other blood circles. Prepared DBS samples were transported to the laboratory at the Centre for Cancer Epidemiology, Tata Memorial Centre, where they were stored in a -80°C refrigerator.

### Sample quality & validity

We have used only good quality DBS samples for gDNA extraction, it is defined as the complete saturation of whole blood over the complete circle of blood collection card<sup>9</sup>, blood card should be labelled and blood should adsorb on both side of the card. We have used only valid samples for gDNA extraction ([Figure 2](#)).



**Figure 1. Preparation and drying of dried blood spot (DBS) cards.** (a) Prepared DBS cards. (b) Drying of blood spots at room temperature.



**Figure 2. Valid / Invalid dried blood spot (DBS) specimens.** (A) **Valid specimen.** DBS with complete filled circle with proper air dry with no hemolyzed blood or serum ring. (B) **Invalid DBS Specimen.** (i) DBS with overlapping of spotted blood. (ii) DBS with insufficient filled blood. (iii) DBS with incomplete absorption which reduces blood volume. (iv) DBS that is potentially rubbed and develop scratches. (v) DBS with hemolyzed or contaminated blood. (vi) DBS with improper air drying before packaging in ziplock bags.

### DBS processing

A generic single hole 6mm punch plier was used to cut the blood spots from the Whatman 903 paper card. A punch of diameter 6mm represents approximately  $8.7 \pm 1.9 \mu\text{l}$  of blood spotted<sup>10</sup>. 1 – 4 blood spots of size 6 mm punch was added to an eppendorf tube and incubated with 200 $\mu\text{l}$  PBS (readymade PBS buffer used with pH 7.4 supplied by Gibco with Ref No.

10010-023) overnight at room temperature. Our major aim was to extract the maximum amount of gDNA, therefore we have used 6mm  $\times$  1 spot to 6mm  $\times$  4 spots for extracting gDNA from DBS (Table 1). We have used phosphate buffer saline (PBS) for extraction of completely dry blood matrix on Whatman 903 for easy gDNA extraction, because once the surface of blood spot becomes wet, it is easy to extract DNA from the adsorbed blood.



**Table 1. Average genomic DNA (gDNA) concentration with different number of blood spots.**

Number of blood spots used of size 6mm	Average gDNA concentration (ng/μl) + SE <sup>M</sup>	Average 260/280 ratio	Total elution volume	Total gDNA Yield
6mm × 1 spot (n=10)	3.43 ± 0.2893	1.93	30μl	102.9 ng
6mm × 2 spots (n=10)	6.38 ± 0.3540	2.18	30μl	191.4 ng
6mm × 3 spots (n=10)	7.23 ± 0.2491	2.30	30μl	216.9 ng
6mm × 4 spots (n=10)	8.91 ± 1.6863	2.84	30μl	267.3 ng

### Genomic DNA extraction methods

We have applied 2 methods for gDNA extraction from DBS. (1) Column based (QIAamp DNA kit, Qiagen) (2) Magnetic bead based (ChargeSwitch Forensic DNA Purification Kit, Invitrogen).

**Column based gDNA extraction from DBS.** We used the QIAamp DNA kit (Qiagen, Catalog no. 56304). 1 – 4 blood spots 6mm in size were added with 180 μL of cell lysis buffer ATL (Lysis buffer supplied with Qiagen kit), and incubated in a waterbath (Trishul Equipment, Sr. No. 5460311) at 85°C for 10 min. 20 μL Proteinase K was added and incubated it at 56°C for 1 hour to denature the proteins. 3–4 μL RNase was added immediately after to degrade RNA, then 200 μL buffer AL (Lysis buffer supplied with Qiagen kit) was added & mixed thoroughly by vortexing and incubated at 70°C in a waterbath (Trishul Equipment with Sr. No. 5460311) for 10 min. Buffer AL helps in complete cell lysis and binding of gDNA with the silica gel of the column provided in the Qiagen kit. gDNA was then immediately precipitated by adding 200 μL of 70% v/v ethanol. The solution was then transferred into a spin column (supplied with Qiagen kit) and centrifuged (Eppendorf 5810R) at 8000 rpm for 1 min. The spin column has the capacity to load approximately 600 microliter sample at a time, but generally we had approximately 1.2 or 1.4 ml of solution, we therefore performed the process 2–3 times. In this process gDNA becomes bound with the column, impurities are then washed out with 700 μL buffer AW1 (Wash buffer with a low concentration of quinidine) followed by 700 μL of AW2 (Wash buffer with Tris based ethanol solution used for removal of salts) buffer. Buffers AW1 & AW2 remove unwanted impurities from the gDNA. The empty tube was then centrifuged at 14000 rpm for 3 min for complete removal of ethanol from the gDNA. Finally the gDNA was eluted with 30 μl of pre-incubated elution buffer (AE).

**Magnetic bead based gDNA extraction from DBS.** We used the ChargeSwitch Forensic DNA Purification Kit (ThermoFisher, Catalog No. CS11200). Processed DBS samples were added to 1ml lysis buffer with 10 μL of Proteinase K in a tube, vortexed, and incubated at 55°C in a waterbath (Trishul Equipment Sr. No. 5460311) for 1 hour. Blood spots were removed after complete cell lysis and 200 μL of purification buffer added, followed by 20 μL magnetic beads. The solution was then gently mixed, left for 5 minutes, and then incubated on a Magna Rack (ThermoFisher, catalog no. AM10027) for 1 minute. The supernatant was removed after complete binding of the pellet to the

magnet of the Magna Rack. The pellet containing gDNA was then washed with 500 μL wash buffer (W12) 3 times and finally DNA eluted with 30–60 μL Elution Buffer (E5). We have followed the protocol as per manufacturer recommendation with some modifications; incubation time was increased from 4 hours to overnight for complete extraction of eluate from filter paper, and we also increased the time for proper binding of gDNA with the spin column.

### Genomic DNA quantification

Concentration of extracted gDNA was measured using a Qubit 3.0 fluorometer and purity was measured by a spectrophotometer. Quality and integrity of gDNA was checked by performing a 0.8% Agarose gel electrophoresis.

Qubit 3.0 Fluorometer (ThermoFisher, Catalog No. Q32850) was used to measure gDNA concentration by taking 1 μl gDNA sample in 0.2ml PCR tube with 200 μl buffer (199μl dsDNA BR buffer + 1 μl Ethidium Bromide dye) after proper mixing for 1min. Concentration was then measured with a fluorometer.

A spectrophotometer at wavelength 260/280 ratio (NanoDrop 2000 ThermoFisher) was used to check purity of gDNA, by applying 1 μl gDNA sample directly to the device and measuring the 260/280 ratio.

Agarose gel electrophoresis was performed following preparation of a 0.8% agarose gel which was loaded with 5 μl gDNA in wells and run at 70–80 volts for 2 hours. A gel photograph was captured using a gel dock (Model: UVP EC3-Imaging System).

### Statistical analysis

All the data analysis done by using excel to calculate average, total yield and standard error of mean ( $SE_M: SD/\sqrt{N}$ ), where SD is standard deviation, N is total number of samples

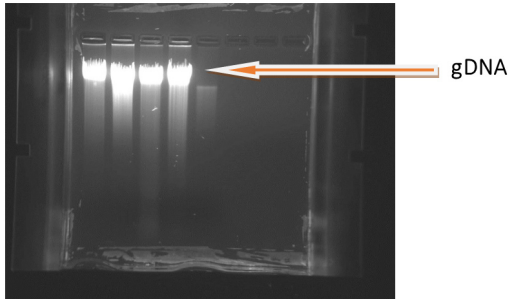
## Results

### Genomic DNA extraction efficiency

Table 1 showed, average amount of gDNA extracted from DBS with their average yield. In our findings, we observed large variation in the concentration, from 2.16ng/μl to 24ng/μl, of the extracted gDNA (Table 2). The integrity of gDNA was checked using 0.8% agarose gel electrophoresis, and highly intense single bands were observed on the gel (Figure 3). The purity of gDNA was measured at an absorbance of 260/280nm (1.8–2.0) ratio. If it is less than 1.8 or greater than 2.0 it

**Table 2. Genomic DNA quantification (gDNA) by Fluorometer on stored dried blood spot (DBS) at standard condition.**

Type of filter paper	gDNA concentration range (ng/μl)	Elution volume	Total Yield (ng)
Whatman 903 card	2.16 ng/μl - 24 ng/μl	30 μl	64.8 ng – 720 ng



**Figure 3. Agarose gel electrophoresis of extracted gDNA from dried blood spots.** Figure shows highly intense bands which are mostly intact with little smear. 5μl DNA loaded in lane1 & lane3 with concentration 7.19 ng/μl & 5μl DNA loaded in lane2 & lane 4 with concentration 8.7 ng/μl.

indicates the presence of impurities in the genetic material. gDNA concentration of each DBS with different number of blood spots, with their purity and total gDNA yield are presented in Table 3.

This amount of gDNA extracted can be used for polymerase chain reaction (PCR), and PCR based molecular assays such as PCR based sequencing, PCR based genotyping, but can't be used for whole genome sequencing or genotyping<sup>11</sup>.

**Discussion**

For the last 5 decades, DBS sample have been collected and stored in bio-banks to conduct field epidemiological studies worldwide. DBS collection on filter paper is more applicable and acceptable method in epidemiological research as compared with standard venous blood. The advantage of DBS over venous blood collection include less discomfort for the subject, especially if many samples are needed within a short period of time, only a small amount of blood is needed to perform the assay. Our findings shows that, we can extract the gDNA from dried blood spots. Previously, studies have been performed to compare genomic DNA extraction methods to examine its feasibility in genetic studies<sup>12</sup>. As per obtained results, we have found good concentration of total gDNA, In this study, our target was to improve the maximum extraction of gDNA from DBS. We followed 2 methods; one column based and one magnetic bead based. Before proceeding to cell lysis process, we had treated the blood spots with PBS (pH 7.4) & kept it overnight at 37°C to elute the complete matrix from the Whatman for efficient & complete cell lysis. DNA samples can be stable on filter paper for many years if it is stored in dry conditions<sup>8</sup>. Our main objective was to extract the maximum amount of gDNA from DBS irrespective of methodology use, therefore we have used 2

**Table 3. Genomic DNA (gDNA) concentration of each dried blood spot (DBS) from different number of blood spots.**

Total number of blood spots used	DNA Yield (ng/μl)	260/280 ratio	Total Elution Volume	Total Yield
6mm × 1 spot	3.4	1.84	30	102
6mm × 1 spot	3.52	1.9	30	105.6
6mm × 1 spot	4.52	1.8	30	135.6
6mm × 1 spot	4.7	1.39	30	141
6mm × 1 spot	2.16	2.4	30	64.8
6mm × 1 spot	2.19	1.98	30	65.7
6mm × 1 spot	2.12	2.1	30	63.6
6mm × 1 spot	4.66	2.23	30	139.8
6mm × 1 spot	3.56	2.21	30	106.8
6mm × 1 spot	3.47	1.5	30	104.1
6mm × 2 spots	4.98	2.4	30	149.4
6mm × 2 spots	5.95	2.2	30	178.5
6mm × 2 spots	6.36	2.23	30	190.8
6mm × 2 spots	6.4	2.13	30	192
6mm × 2 spots	8.98	2.28	30	269.4
6mm × 2 spots	8.06	2.32	30	241.8
6mm × 2 spots	5.4	2.1	30	162
6mm × 2 spots	5.49	1.82	30	164.7
6mm × 2 spots	6.4	2.15	30	192
6mm × 2 spots	5.82	2.21	30	174.6
6mm × 3 spots	6.86	2.45	30	205.8
6mm × 3 spots	8.08	2.61	30	242.4
6mm × 3 spots	5.72	2.28	30	171.6
6mm × 3 spots	7.13	2.41	30	213.9
6mm × 3 spots	6.94	2.21	30	208.2
6mm × 3 spots	7.18	2.51	30	215.4
6mm × 3 spots	8.7	1.98	30	261
6mm × 3 spots	6.43	2.31	30	192.9
6mm × 3 spots	8.12	2.38	30	243.6
6mm × 3 spots	7.19	1.95	30	215.7
6mm × 4 spots	9.9	2.9	30	297
6mm × 4 spots	10.9	2.84	30	327
6mm × 4 spots	7.6	2.93	30	228
6mm × 4 spots	8.23	2.74	30	246.9
6mm × 4 spots	9.25	2.62	30	277.5
6mm × 4 spots	24	2.89	30	720
6mm × 4 spots	3.36	2.84	30	100.8
6mm × 4 spots	7.62	2.91	30	228.6
6mm × 4 spots	4.17	2.86	30	125.1
6mm × 4 spots	4.12	2.96	30	123.6

methods to extract gDNA to evaluate from which method we have got more gDNA but unfortunately, we have not found any difference in gDNA concentration with between both methods. We did assay randomly from both methods with full focus on maximum quantity of gDNA extraction from DBS. We have

tried gDNA extraction with direct cell lysis of DBS by using lysis buffer and also blood spots treated with PBS overnight to complete elution of eluate. We have done these experiments to evaluate gDNA concentration but unfortunately there are no such yield increases with these modifications. As our results show, there is large variation in the concentration and purity (260/280) of extracted gDNA in both the methods. This variation might be due to the small volume of blood, long term storage, loss during assays, cell debris, cellulosic component of the Whatman card etc. In the case of column (QIAamp) based gDNA extraction, 5% loss is predicted by the manufacturer, where as in magnetic bead based DNA extraction, some 5–10% beads are lost during assay, results there is loss of gDNA. The obtained purity also shows variation, 260/280 ratio 1.8–2.9, this might be due to interference of cellulosic components of Whatman paper. It is true that a 260/280 ratio >2.0 indicates impurities. But due to limitation of blood spots we have not increased the number of spots beyond 4. As I have mentioned that we have got DNA concentration in a range 64.8ng – 720ng. This amount of DNA can be used in downstream applications and we can remove the impurities by gel purification method.

gDNA concentration depends on the blood matrix on spots. In a study using DBS stored for 6 years and they found reduced gDNA concentration in quality and quantity.<sup>13</sup>, but some other studies reported that gDNA is stable for at least 11 years under ambient tropical conditions<sup>8</sup>. We have performed some modification to the protocol for the extraction, we increased the time for cell lysis, binding of DNA with column & beads, washing with buffer & final elution. Due to regular successive research on DBS, today DBS samples are used for genetic analysis, proteome research, vitamins estimation, infection agent, epigenetic research, nucleic acid research<sup>14–17</sup>.

A punch of diameter 6mm represents approximately 8.7±1.9µl of blood spotted. This difference in blood volume from a single spot might be due to the presence of hematocrit, because due to increased percentage of hematocrit in blood, the blood becomes very viscous and it can't spread homogeneously over the Whatman circle which results the concentration of DNA and blood on 6mm spot changes accordingly. Composition of hematocrit value influence the gDNA concentration with different number of blood spots. Filter paper contains cellulosic fibers, probably referred as cotton linters, while extracting

gDNA from Whatman card, the cellulosic composition of filter paper interfere the concentration and purity of gDNA because during thermal agitation and vortex steps in protocol, these cotton linters are also present in supernatant and interfere with final DNA elution steps.

Our findings show, approximately 64.8ng – 720ng gDNA is extracted from Whatman 903 card >50 ng is sufficient for PCR based applications<sup>18</sup>. DBS extracted gDNA can be used in downstream applications such as polymerase chain reaction (PCR), PCR based sequencing, PCR based genotyping, disease diagnosis, molecular basis of disease etiology and study of genetic variants. the extracted amount of gDNA, however, cannot be used for whole genome sequencing, but this can be overcome by whole genome amplification of extracted gDNA, as this will increase the concentration of gDNA by increasing the copy number of templates. DBS is a suitable and applicable sample source in large scale epidemiological studies and biobanking. Further study is warranted to explore DBS efficiency in high throughput research to reveal other biochemical analytes stability on filter paper card to replace venous blood collection in future epidemiological studies.

## Conclusion

Analyte stability on filter paper in dry form is a good biological sample source to perform molecular epidemiological based assays. Dried blood spot on paper card act as a potential and robust sample source for biobanking in large scale epidemiological studies.

## Data availability

Data underlying this study is available from Open Science Framework. Dataset 1: Optimization of extraction of gDNA from DBS: Potential application in epidemiological research & biobanking. <http://doi.org/10.17605/OSF.IO/FZYTMM><sup>19</sup>

Data is available under a CC0 1.0 Universal license.

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## Open Peer Review

Current Peer Review Status:   

Version 3

Reviewer Report 05 December 2019

<https://doi.org/10.21956/gatesopenres.14229.r28253>

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**Nicklas H. Staunstrup** 

Department of Biomedicine, University of Aarhus, Aarhus, Denmark

Kumar and authors compare two gDNA extraction approaches from dried blood spots (DBSs) with the intent to optimize their usability in epidemiological studies.

I have the following comments:

- Description of biomarkers in the introduction is too simplistic. Biomarkers can both be of e.g. prognostic, diagnostic, or predictive value and they are not always associated with disease but e.g. exposure or any normal phenotype. Please revise.
- The statement that there is a limited knowledge concerning the use of DBSs for genotyping is not true. Several published works by the iPSYCH and PGC consortium proves otherwise. Please revise.
- In general references are often outdated, leading to false conclusions. The authors should revise their reference list.
- Describe the process of picking randomized samples in greater detail. Also, the wording “systematic random samples” is contradictive. Please revise.
- Why did the authors record meta-data (height etc.) on the participants? There appears to be no correction for any of these parameters.
- The paragraphs “subjects enrollment” and “sample transportation...” should be merged as essentially the same samples are being introduced twice, making it difficult to follow.
- There seems to be no actual comparison between the two extraction methods applied, which appears strange as this is the main aim? A t-test or ANOVA should be performed (depending on set-up) comparing the two methods.

- Where does the conc. range 64.8-720ng come from? How does it compare to table 1?
- How does the used methods and outcome compare to other used approaches, e.g. the one used by Hollegaard (ref 7 and 13)?
- Rephrase the last paragraph in results section. Sequencing e.g. MeDIP-seq and MBD-seq has been performed on unamplified DBS gDNA and genotyping (as the authors also write) can be done on amplified gDNA. So, both approaches are feasible.
- Removing impurities by gel purification is not an optimal approach as DNA is lost and impurities from the gel material will be present.
- The language in general requires improvement, there are many grammar and spelling mistakes.

**Is the work clearly and accurately presented and does it cite the current literature?**

Partly

**Is the study design appropriate and is the work technically sound?**

Partly

**Are sufficient details of methods and analysis provided to allow replication by others?**

Partly

**If applicable, is the statistical analysis and its interpretation appropriate?**

No

**Are all the source data underlying the results available to ensure full reproducibility?**

Yes

**Are the conclusions drawn adequately supported by the results?**

Partly

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Epigenetics, NGS, Array, Psychiatric Disorders

**I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.**

Reviewer Report 28 November 2019

<https://doi.org/10.21956/gatesopenres.14229.r28232>

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**Anubhav Tripathi**

Center for Biomedical Engineering, School of Engineering, Brown University, Providence, RI, USA

The revised version addresses all the issues raised by the reviewers.

**Is the work clearly and accurately presented and does it cite the current literature?**

Partly

**Is the study design appropriate and is the work technically sound?**

Partly

**Are sufficient details of methods and analysis provided to allow replication by others?**

Partly

**If applicable, is the statistical analysis and its interpretation appropriate?**

Partly

**Are all the source data underlying the results available to ensure full reproducibility?**

Partly

**Are the conclusions drawn adequately supported by the results?**

Partly

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Applied Genomics

**I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.**

Reviewer Report 28 November 2019

<https://doi.org/10.21956/gatesopenres.14229.r28231>

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**Lakshmy Ramakrishnan** 

Department of Cardiac Biochemistry, All India Institute of Medical Sciences (AIIMS), New Delhi, India

**Ransi Ann Abraham**

Department of Cardiac Biochemistry, All India Institute of Medical Sciences (AIIMS), New Delhi, India

Grammar still needs to be corrected in several places.

**Is the work clearly and accurately presented and does it cite the current literature?**

Partly

**Is the study design appropriate and is the work technically sound?**

Partly

**Are sufficient details of methods and analysis provided to allow replication by others?**

Partly

**If applicable, is the statistical analysis and its interpretation appropriate?**

Partly

**Are all the source data underlying the results available to ensure full reproducibility?**

Partly

**Are the conclusions drawn adequately supported by the results?**

Partly

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Biochemistry, diagnostics, dried blood technology, epidemiology

**We confirm that we have read this submission and believe that we have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.**

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## Version 2

Reviewer Report 15 October 2019

<https://doi.org/10.21956/gatesopenres.14166.r27922>

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### Anubhav Tripathi

Center for Biomedical Engineering, School of Engineering, Brown University, Providence, RI, USA

The paper “Optimization of extraction of genomic DNA from archived Dried Blood Spot (DBs): potential application in epidemiological research and bio-banking” is a well characterized study of gDNA extraction from epidemiological samples. However, the reviewer still has some significant concerns with the material presented as outlined below:

Concerns:

- **Innovation:**

- This paper deals specifically with gDNA extraction from DBS in biobanking and epidemiology. However, it remains unclear to the reviewer how this work significantly builds upon the substantive literature on DNA extraction and longevity in DBS that exists in the literature (some of which is nicely summarized within this work).



- The stated gap in knowledge the authors are looking to address is “the use of DBS for downstream SNP genotyping following whole genome amplification”. How does this differ from previous works that have investigated DBS quality in relation to SNP genotyping (such as Rajatileka *et al.*, 2013<sup>1</sup>)?

- The reasons for needing a separate optimized process are not made clear within the paper.

- **Methods:**

- Please add a section outlining calculations and statistical methods.

- How was total yield calculated?

- How many blood spots were processed for each method? Replicates?

- The addition of statistical methods (e.g. Standard error of the mean) would greatly aid readers in interpretation of datasets.

- **Figures:**

- All figures and tables: The process of extraction used in each sample needs to be clearly represented on all figures and tables.

- Table 2: The purpose of table 2 is unclear as this information is already displayed in both tables 1 and 3.

- Figure 3:

- It is unclear if these four samples are different blood spots, different testing methods or just replicates. Please label on gel image.

- Lane 2 does look degraded – more so than the other lanes. Is there a reason for this degradation?

- **Minor comments:**

- Table 3 — The units on the column marked total yield are missing.

## References

1. Rajatileka S, Luyt K, El-Bokle M, Williams M, Kemp H, Molnár E, Váradi A: Isolation of human genomic DNA for genetic analysis from premature neonates: a comparison between newborn dried blood spots, whole blood and umbilical cord tissue. *BMC Genet.* 2013; **14**: 105 [PubMed Abstract](#) | [Publisher Full Text](#)

**Is the work clearly and accurately presented and does it cite the current literature?**

Yes

**Is the study design appropriate and is the work technically sound?**

Yes

**Are sufficient details of methods and analysis provided to allow replication by others?**

Yes

**If applicable, is the statistical analysis and its interpretation appropriate?**

Yes

**Are all the source data underlying the results available to ensure full reproducibility?**

No source data required

**Are the conclusions drawn adequately supported by the results?**

Yes

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Applied Genomics

**I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.**

Reviewer Report 26 September 2019

<https://doi.org/10.21956/gatesopenres.14166.r27514>

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**Lakshmy Ramakrishnan**

Department of Cardiac Biochemistry, All India Institute of Medical Sciences (AIIMS), New Delhi, India

**Ransi Ann Abraham**

Department of Cardiac Biochemistry, All India Institute of Medical Sciences (AIIMS), New Delhi, India

The authors have attempted to answer the queries raised by us.

1. Since two methods of DNA extraction were employed we expected two sets of values in Table 3 (DNA yield both the methods separately).
2. It is not clear at what temperature the DBS samples were stored in Bangalore from 2006-2007 till 2013 when the samples were shifted to Mumbai.
3. The grammar and language still needs correction in many places, for instance it is not clear what the authors want to convey in the statement "We obtained average gDNA concentrations of 6mm x 1 spot to 6mm x 4 spots from DBS cards" under the results section or the sentence "3000 DBS samples were prepared through finger prick method by using lancet (Accu Chek Softclix Lancet, Roche), puncture the finger site using lancet, drop of blood form which is lightly touch the circle of filter paper cards (GE Health Care Life Science, Catalog no. 10534612) and form valid DBS during

health checkup camp by CGHR at Bangalore unit and transported to Tata memorial Centre (TMC) Mumbai for further analysis. Samples were collected between the years 2005–2007, but samples transported to Mumbai from Bangalore at ambient temperature in year 2013 and laboratory experiments conducted in 2016" under subject enrollment.

**Is the work clearly and accurately presented and does it cite the current literature?**

Yes

**Is the study design appropriate and is the work technically sound?**

Yes

**Are sufficient details of methods and analysis provided to allow replication by others?**

Yes

**If applicable, is the statistical analysis and its interpretation appropriate?**

Yes

**Are all the source data underlying the results available to ensure full reproducibility?**

Yes

**Are the conclusions drawn adequately supported by the results?**

Yes

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Biochemistry, diagnostics, dried blood technology, epidemiology

**We confirm that we have read this submission and believe that we have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however we have significant reservations, as outlined above.**

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## Version 1

Reviewer Report 28 November 2018

<https://doi.org/10.21956/gatesopenres.13936.r26761>

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**Lakshmy Ramakrishnan** 

Department of Cardiac Biochemistry, All India Institute of Medical Sciences (AIIMS), New Delhi, India

**Ransi Ann Abraham**

Department of Cardiac Biochemistry, All India Institute of Medical Sciences (AIIMS), New Delhi, India

Blood collected and stored as DBS is a very attractive and non-invasive alternative for measurement of several analytes. The only caveat is that the analyte of interest should be stable on drying and should be selectively eluted from blood with least interference from other contaminants.

The paper by Kumar et al. describes the utility of DBS as a source of gDNA for PCR based molecular assay. The authors have extracted DNA by two methods: column based gDNA extraction and magnetic based gDNA extraction. It is not clear as to why two methods of DNA extraction was employed. The results do not show comparison of yield or quality of DNA by the two methods, Was one method superior to other? If all the 40 samples were extracted by both the methods the result should indicate the 260/280 ratio and yield for both the methods. It is also not clear if the DBS sample processed by overnight incubation in PBS was used as starting material for both the methods. In the column based method it is mentioned that spots were added to lysis buffer whereas in the magnetic bead based method processed DNA samples were added.

A 260/280 ratio >2.0 is indicative of impurity as mentioned by the authors also. Most samples showed ratio >2.0 specially when the number of spots were increased. How is this likely to influence the downstream applications should be mentioned in the discussion. Since the authors have not demonstrated that the extracted DNA is suitable for downstream applications by actually performing some assays, this should be mentioned as limitation of the study.

Several papers have been published on extraction of DNA from DBS, some authors have described increasing the yield by employing various means <sup>1-2-3</sup>. A mention of these papers in the discussion would help the readers.

In the method section the following information would be useful - since the spotted blood samples were left at room temperature for drying, the ambient temperature during collection period should be mentioned. It is not clear as to how long the samples were kept at Bangalore before transportation to Mumbai and at what temperature? At what temperature were the DBS samples when they were transported to Mumbai?

The manuscript need to be edited to avoid repetitions of information and also language and grammar editing would improve the quality of the paper.

## References

1. Choi EH, Lee SK, Ihm C, Sohn YH: Rapid DNA extraction from dried blood spots on filter paper: potential applications in biobanking. *Osong Public Health Res Perspect.* 2014; **5** (6): 351-7 [PubMed Abstract](#) | [Publisher Full Text](#)
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3. Sirdah MM: Superparamagnetic-bead Based Method: An Effective DNA Extraction from Dried Blood Spots (DBS) for Diagnostic PCR. *J Clin Diagn Res.* 2014; **8** (4): FC01-4 [PubMed Abstract](#) | [Publisher Full Text](#)

**Is the work clearly and accurately presented and does it cite the current literature?**

Partly

**Is the study design appropriate and is the work technically sound?**

Partly



**Are sufficient details of methods and analysis provided to allow replication by others?**

Partly

**If applicable, is the statistical analysis and its interpretation appropriate?**

Not applicable

**Are all the source data underlying the results available to ensure full reproducibility?**

Partly

**Are the conclusions drawn adequately supported by the results?**

Partly

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Biochemistry, diagnostics, dried blood technology, epidemiology

**We confirm that we have read this submission and believe that we have an appropriate level of expertise to state that we do not consider it to be of an acceptable scientific standard, for reasons outlined above.**

Author Response 17 Jun 2019

**Prabhat Jha**, Tata Memorial Centre, Navi Mumbai, India

**Our article 12855 – responses to comments by reviewers Dr. Lakshmy Ramakrishnan (AIIMS, New Delhi) and Dr. Ransi Ann Abraham (AIIMS, New Delhi)**

**Dr. Lakshmy and Dr. Ransi:** Blood collected and stored as DBS is a very attractive and non-invasive alternative for measurement of several analytes. The only caveat is that the analyte of interest should be stable on drying and should be selectively eluted from blood with least interference from other contaminants.

**Dr. Lakshmy and Dr. Ransi:** The paper by Kumar et al. describes the utility of DBS as a source of gDNA for PCR based molecular assay. The authors have extracted DNA by two methods: column based gDNA extraction and magnetic based gDNA extraction. It is not clear as to why two methods of DNA extraction was employed. The results do not show comparison of yield or quality of DNA by the two methods, Was one method superior to other? If all the 40 samples were extracted by both the methods the result should indicate the 260/280 ratio and yield for both the methods. It is also not clear if the DBS sample processed by overnight incubation in PBS was used as starting material for both the methods. In the column based method it is mentioned that spots were added to lysis buffer whereas in the magnetic bead based method processed DNA samples were added.

**Reply by Abhinendra:** Our main objective was to extract the maximum amount of gDNA from DBS irrespective of methodology use, therefore we have used 2 methods to extract gDNA to evaluate from which method we have got more gDNA but unfortunately we have not found any difference in gDNA concentration with between both methods. We did assay randomly from both methods with full focus on maximum quantity of gDNA extraction from DBS. We have tried gDNA extraction with direct cell lysis of DBS by using lysis buffer and also blood spots treated with PBS overnight to complete elution of eluate. We have done these experiments to evaluate gDNA concentration but unfortunately there are no such yield increases with these modifications.

**Dr. Lakshmy and Dr. Ransi:** A 260/280 ratio >2.0 is indicative of impurity as mentioned by the authors also. Most samples showed ratio >2.0 specially when the number of spots were increased. How is this likely to influence the downstream applications should be mentioned in the discussion. Since the authors have not demonstrated that the extracted DNA is suitable for downstream applications by actually performing some assays, this should be mentioned as limitation of the study.

**Reply by Abhinendra:** Yes it is true that a 260/280 ratio >2.0 indicates impurities. But due to limitation of blood spots we have not increased the number of spots beyond 4. As I have mentioned that we have got DNA concentration in a range 64.8ng – 720ng. This amount of DNA can be used in downstream applications and we can remove the impurities by gel purification method.

**Dr. Lakshmy and Dr. Ransi:** Several papers have been published on extraction of DNA from DBS, some authors have described increasing the yield by employing various means [1-2-3](#). A mention of these papers in the discussion would help the readers. In the method section the following information would be useful - since the spotted blood samples were left at room temperature for drying, the ambient temperature during collection period should be mentioned. It is not clear as to how long the samples were kept at Bangalore before transportation to Mumbai and at what temperature? At what temperature were the DBS samples when they were transported to Mumbai?

The manuscript need to be edited to avoid repetitions of information and also language and grammar editing would improve the quality of the paper.

**Reply by Abhinendra:** DBS samples were transferred from Bangalore to Mumbai at ambient temperature.

**Competing Interests:** No competing interests were disclosed.

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## Comments on this article

### Version 2

Author Response 11 Nov 2019

**Abhinendra Kumar**, Homi Bhabha National Institute, Training School Complex, Anushaktinagar, Mumbai, India

We have done revisions to our published article version 2 (12855) based on reviewer comments, but some comments required only reply and not revision. We want to reply to those comments through this section.

**Comment 1 (By Dr. Anubhav):** This paper deals specifically with gDNA extraction from DBS in biobanking and epidemiology. However, it remains unclear to the reviewer how this work significantly builds upon the substantive literature on DNA extraction and longevity in DBS that exists in the literature (some of which is nicely summarized within this work).

**Reply:** Our major objective was to extract maximum amount of genomic gDNA from DBS, therefore we used 1 spot to 4 spots to evaluate how concentration changes while increasing the number of spots. As

confirmed with results, we can say that on an average how much gDNA can be extracted from single blood spot.

**Comment 2 (By Dr Anubhav):** The stated gap in knowledge the authors are looking to address is “the use of DBS for downstream SNP genotyping following whole genome amplification”. How does this differ from previous works that have investigated DBS quality in relation to SNP genotyping (such as Rajatileka *et al.*, 2013<sup>1</sup>)?

**Reply:** We have evaluated the reference and found the difference between cited reference and this reference (Rajatikela *et al.* 2013). In cited reference, researchers used wgaDNA (whole genome amplified DNA) for genotyping & found it is reliable for genotyping of 610000 SNPs, while in Rajatileka *et al.* paper, they used genomic DNA (gDNA) for genotyping & found 6% and 14% was unsuccessful for detection of re1835740 & rs4354668.

**Comment 3 (By Dr Anubhav):** The reasons for needing a separate optimized process are not made clear within the paper.

**Reply:** We have optimized the methodology to extract maximum amount of genomic DNA, but unfortunately we have not found any difference in both the methodology.

**Comment 4 (By Dr Anubhav):** How many blood spots were processed for each method? Replicates?

**Reply:** We have processed 1 – 4 blood spots for each method & 2 spots for each replicate, but results are similar.

**Comment 5 (By Dr Lakshmy):** Grammar mistakes

**Reply:** Corrected some sentences grammatically.

**Competing Interests:** No competing interests were disclosed.

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