ORIGINAL RESEARCH

Significance of Oncotype DX 21-Gene Test and Expression of Long Non-Coding RNA MALAT1 in Early and Estrogen Receptor-Positive Breast Cancer Patients

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Objective: To investigate the association between the recurrence score (RS) obtained by Oncotype *DX 21-gene* test and long non-coding RNA (lncRNA) *MALAT1* expression in early and estrogen receptor-positive (ER$^+$) breast cancer.

Materials and Methods: The Oncotype *DX 21-gene* test and *MALAT1* expression detection were performed in tumor samples from 76 ER$^+$ and early breast cancer patients with the Surplex liquid chip. The RS value was calculated based on the expression of total 21 genes. The level of *MALAT1* was measured in both tumor tissue and para-tumor tissue, and relatively quantified with an internal control gene. Mann–Whitney *U*-test or Kruskal–Wallis test were used to analyze the association between *MALAT1* level and different clinical pathological characteristics, including age, tumor stage, disease grade, lymph node status, Ki-67 expression, and progesterone receptor (PR) status. The association between the RS and different characteristics was analyzed by Wilcoxon rank-sum test. Correlation between two parameters was analyzed by Spearman's rank correlation analysis.

Results: The expression of *MALAT1* was more abundant in tumor tissue (2.992 ± 2.256) than that in adjacent normal tissue (1.641±1.438, *Z*=−2.594, *p*=0.009), and it was not correlated with any clinical pathological characteristics. According to the old criteria for RS stratification, 52.7% of patients were in low risk (RS<18), 36.8% of patients were in medium risk (18≤RS≤30), and 10.5% of patients were in high risk (RS>30). While under the new criteria, 18.4% were in low risk group (RS<11), 63.2% were in a medium risk group (11≤RS≤26), and 18.4% were in a high risk group (RS>26). The Oncotype *DX 21-gene* results only correlated with Ki-67 expression under both new and old criteria, and it was not related with other cancer characteristics. The expression of lncRNA *MALAT1* was significantly correlated with the Oncotype *DX 21-gene* results under the old criteria.

Conclusion: *MALAT1* is a novel breast cancer biomarker independent of tumor stage, disease grade and lymph node status. *MALAT1* level is associated with the Oncotype *DX 21-gene* RS value. Therefore, combination of *MALAT1* and the Oncotype *DX 21-gene* test may be used to predict prognosis in ER$^+$ and early stage breast cancer.

Keywords: Oncotype DX 21-gene test, MALAT1, long non-coding RNA; lncRNA, breast cancer, risk of recurrence

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Introduction

Breast cancer is the second most common and the second leading cause of death in cancer among women.[1] Estrogen exposure is considered as the most important risk

factor for breast cancer.[2] Based on the status of estrogen receptor (ER), breast cancer can be divided into two types, respectively are ER-positive (ER^+) and ER-negative (ER^-) breast cancer. Due to the highly distinctive etiological and epidemiological characteristics between ER^+ and ER^- breast cancers, the treatment and prognosis of both types are different. Genetic studies have discovered that the two types of breast cancer have their specific gene expression profiling, and some signature genes associated with tumor metastasis, chemo-resistance, and poor prognosis have been developed to guide clinical treatment.[3–7]

Oncotype *DX 21-gene* test is a genomic test that measures 21 cancer-related genes, and it has been shown to have the ability in predicting 10-year distant recurrence in patients with ER^+ and axillary lymph node-negative breast cancer. Besides, this genomic test has also been reported to be able to predict tumor response to chemotherapy and endocrine therapy. Under this test, large, prospective and randomized clinical trials are currently underway, and paraffin-embedded blocks instead of previous methods, which require fresh frozen tissue, are used as one of the advantages.[7] Many studies have validated the utility of the Oncotype *DX 21-gene* test in predicting recurrence score (RS) in ER^+ breast cancer,[8–10] while recent studies have also found that such methods can be applied to predict the outcomes of patients undergoing chemotherapy.[11–13] For early breast cancer, the Oncotype *DX 21-gene* test has been recommended to guide clinical treatment.[14]

Long non-coding RNAs (lncRNAs) are defined as transcripts longer than 200 nucleotides that have no capability of protein-coding.[15] LncRNAs are a potentially important regulator in many biological processes in physiology and disease, especially those relevant to endocrinology, reproduction, metabolism, immunology, neurobiology, muscle biology, and cancer.[16] Many lncRNA molecules have been found dysregulated in breast cancer tissue, including metastasis-associated lung adenocarcinoma transcript 1 *MALAT1*).[17] It has been reported that the expression of *MALAT1* is increased in numerous types of tumor and it exerts a significant role in regulating tumor cell proliferation, migration, invasion and apoptosis.[18] Besides, *MALAT1* performs an important role in the pathological alteration of organs which are associated with sex hormones and several reproductive system cancers. *MALAT1*is considered as a biomarker for the diagnosis and prognosis prediction of cancers, and may also serve as a therapeutic target for treatment of specific tumors.[19]

In this study, we enrolled 76 ER^+ and early breast cancer patients who were first diagnosed in our clinic. Oncotype *DX 21-gene* test and *MALAT1* expression detection in those patients were performed by using their biopsy samples. It was found that *MALAT1* level was increased in tumor tissue and its level was independent of age, tumor stage, disease grade, lymph node status and progesterone receptor (PR) status of the patients. Interestingly, the Oncotype *DX 21-gene* test results were only correlated with Ki-67 expression, while independent of disease stage, tumor grade, and lymph node status. Overall, MALAT1 level is associated with the Oncotype *DX 21-gene*, and combination of the two may be promising in predicting the prognosis of ER^+ and early breast cancer.

Materials and Methods
Study Design
This study was carried out with the approval of the Institutional Review Board of the Affiliated Tumor Hospital of Guangxi Medical University. Breast cancer patients who were initially diagnosed in our hospital from March 1, 2016 to November 31, 2017 were screened for this study, and the patients who met the following criteria were enrolled: 1) ER^+ and human epidermal growth factor receptor 2-negative ($HER2^-$) breast cancer; 2) Stage T1-T2 breast cancer; 3) Negative or with one lymph node infiltration (0–1); and 4) no sex and age limitations. Eventually, a total of 76 female patients were enrolled and all the patients had signed Informed Consent.

Oncotype DX 21-Gene Test and MALAT1 lncRNA Expression Examination
The Oncotype *DX 21-gene* test was performed via the SurPlex™ liquidchip technology by SurExam Institute (Guangzhou, China). Briefly, paraffin-embedded tissue blocks were digested by tissue lysis buffer for mRNA isolation. Target mRNA was captured by a gene-specific probe labeled with magnetic beads. PCR was performed by using the magnetic beads enriched mRNA as template. The level of the target mRNA was calculated based on fluorescence signal by Luminex. The 21 genes are comprised of proliferation-related genes (Ki67, STK15, BIRC5, CCNB1, MYBL2), metastasis-related genes (MMP11, CTSL2), HER2-related genes (GRB7, HER2),

sex hormone-related genes (ER, PGR, BCL2, SCUBE2, GSTM1, BAG1, CD68) and internal control genes (ACTB, GAPDH, GUS, RPLPO and TFRC). RS was calculated based on the Oncotype Dx® formula. According to old criteria, RS<18 represents low risk, 18≤RS≤30 represents mediate risk, and RS>30 represents high risk. After modification, a new type of criteria has been developed: RS<11 refers to low risk, 11≤RS≤26 refers to mediate risk, and RS>26 refers to high risk. Since it is not clear which standard is more accurate to represent cancer status, both criteria were referenced in this study.

*MALAT1*lncRNA expression was measured by quantitative real-time PCR. Total RNA was firstly extracted from tumor and para-tumor samples. Complementary DNA (cDNA) was synthesized by using the SuperScript® Reverse Transcriptase (Invitrogen). The SYBR® Green PCR Master Mix (Applied Biosystems) was employed for the real-time PCR and the reaction was performed with the StepOnePlus™ Real-Time PCR System (Applied Biosystems). The expression of *MALAT1* was normalized with RPLPO as the internal control.

Statistical Analysis

The comparisons of *MALAT1* expression among different sub-groups were analyzed by Mann–Whitney *u*-test or Kruskal–Wallis test. Association between two parameters was analyzed by Spearman's rank correlation analysis. SPSS 16.0 software was used for all the analysis. $P<0.05$ was considered significant.

Results
General Information of All the Subjects

Breast cancer patients who were initially diagnosed in our hospital from March 1st, 2016 to November 31st, 2017 were screened for this study. Patients who met the following criteria were enrolled: 1) ER^+ and $HER2^-$ breast cancer; 2) Stage T1-T2 breast cancer; 3) negative or with one lymph node infiltration (0–1); and 4) no sex and age limitations. Totally, 76 female breast cancer patients were selected, with the average age of 49.4-year-old (36- to 69-years-old). Among the subjects, 35.5% (27 of 76) patients were postmenopausal. All the patients were diagnosed as ER^+ breast cancer with lymph node infiltration (0 or 1), and received radical mastectomy with axillary lymph node dissections. Based on the Oncotype *DX 21-gene* test, the

patients were treated with ER^+ antagonist or chemotherapy after surgery.

Expression of MALAT1 in Breast Cancer Tissue

MALAT1 is a novel cancer biomarker and it is involved in multiple steps of gene regulation in cancer cells. It is established that *MALAT1* expression is increased in breast cancer patients, yet whether it is increased in ER^+ and early breast cancer remains unclear. Therefore, we examined the expression of *MALAT1* in our ER^+ breast cancer samples by quantitative real-time PCR. The *MALAT1* expression level was normalized using an internal control gene, and presented as relative expression.

In order to find the clinical relevance of *MALAT1* to ER^+ breast cancer, we did an association analysis between *MALAT1* expression and different characteristics of breast cancer, including age, tumor stage, disease grade, lymph node status, Ki-67 expression, and PR status. Surprisingly, *MALAT1* level was not related with any of above characteristics. As shown in Table 1, *MALAT1* level was independent of age (2.918±2.671 in age<50 vs. 3.101±1.497 in age>50, p=0.124), tumor stage (2.885±2.071 in T1 vs. 3.199±2.608 in T2, p=0.728), lymph node status (2.952±2.285 in N0 vs.

Table 1 MALAT1 Expression in Different Subgroups of Breast Cancer Patients

	N	MALAT1	Z	P value
Age			−1.538	0.124
≤50	45	2.918±2.671		
>50	31	3.101±1.497		
Tumor stage			−0.296	0.728
pT1	50	2.885±2.071		
pT2	26	3.199±2.608		
Lymph nodes			−0.876	0.381
N0	67	2.952±2.285		
N+	9	3.293±2.129		
Disease grade			3.054	0.217
II	44	2.782±2.209		
III	19	3.939±2.650		
unknown	13	2.338±1.338		
Ki-67 expression			−1.131	0.258
<14%	28	2.513±1.829		
≥14%	48	3.273±2.446		
PR status			−0.193	0.847
PR+	70	2.990±2.257		
PR-	6	3.018±2.468		

3.293±2.129 in N+, p=0.381), disease grade (2.782±2.209 in Grade Ⅱ vs. 3.939±2.650 in Grade ш, p=0.217), Ki-67 level (2.513±1.829 in cancers with low Ki-67 vs. 3.273±2.446 in cancers with high Ki-67, p=0.258), and PR status (2.990 ±2.257 in PR$^+$ cancers vs. 3.018±2.468 in PR$^-$ cancers, p=0.847).

In order to evaluate the expression of *MALAT1* in tumor and normal tissues, we isolated RNA from tumor and para-tumor samples of all the patients, and quantitative real-time PCR was used. As shown in Figure 1, *MALAT1* level was much higher in tumor tissue than that in para-tumor tissue (2.992±2.256 in tumor tissue vs. 1.641±1.438 in para-tumor tissue, *p*<0.05). Collectively, the result above indicated that *MALAT1* may be an independent biomarker for ER$^+$ and early breast cancer.
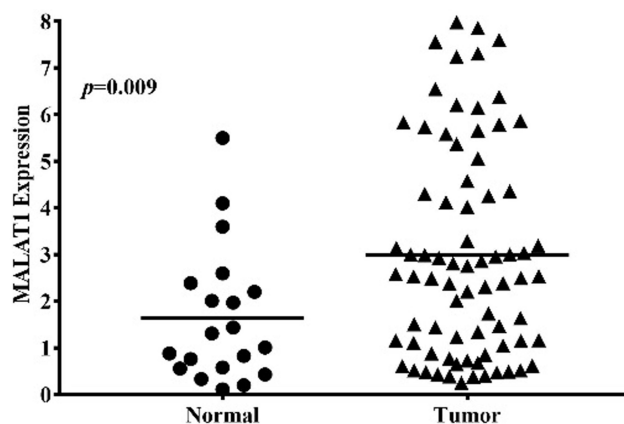

Figure 1 The expression of MALAT1 in normal and tumor tissues in breast cancer patients. Total RNA was extracted from tumor and para-tumor samples of all breast cancer patients. The RNA was reversely transcribed into cDNA, as template to amplify MALAT1 by quantitative real-time PCR.

Oncotype DX 21-Gene Test and Clinical Characteristics of Breast Cancer

According to the old criteria of the Oncotype *DX 21-gene*test, 52.7% patients (40 of 76) had RS below 18, which means low risk of recurrence; 36.8% patients (28 of 76 patients) had RS between 18 and 30, which means medium risk of recurrence; 10.5% patients (8 of 76) had RS over 30, which means high

risk of recurrence. Additionally, it was noted that the RS value was not related to age, tumor stage, lymph node status, and PR status of patients with breast cancer (Table 2), but it was significantly associated with disease grade (Z=7.628, p=0.022) and Ki-67 expression (Z=7.628, p=0.022).

Recently, the old criteria have been modified into a new one for better prognosis prediction.[20] In the new criteria, RS

Table 2 Association Between the RS of the Oncotype *DX 21-Gene* Test and Breast Cancer Clinical Characteristics

	N	Old Criteria					New Criteria				
		L	M	H	Z	P value	L	M	H	Z	P value
Age											
≤50	45	25	12	8	−0.165	0.869	8	25	12	−1.528	0.127
>50	31	15	16	0			6	23	2		
Tumor stage											
pT1	50	28	18	4	−0.977	0.328	10	36	4	−2.374	0.018
pT2	26	12	10	4			4	12	10		
Lymph nodes											
N0	67	35	24	8	−0.466	0.641	12	41	14	−1.162	0.245
N+	9	5	4	0			2	7	0		
Grade											
II	44	24	18	2	7.628	0.022	12	24	8	2.362	0.307
III	19	8	7	4			2	13	4		
unknown	13	8	3	2			0	11	2		
Ki-67											
<14%	28	20	8	0	−2.788	0.005	10	18	0	−3.892	<0.001
≥14%	48	20	20	8			4	30	14		
PR											
PR+	70	36	26	8	−0.860	0.390	14	42	14	0	1.000
PR-	6	4	2	0			0	6	0		

below 11 refers to low risk, RS over 26 refers to high risk, and RS between 11 and 26 refers to medium risk. A re-analysis was conducted according to the new criteria. It turned out that 18.4% patients (14 of 76) were with low risk of recurrence, 63.2% patients (48 of 76) were in medium risk, and 18.4% patients (14 of 76) were in high risk. Moreover, the RS was noted to be not significantly associated with age, lymph node status, disease grade, and PR status, while associated with tumor stage ($Z=-2.374$, $p=0.018$) and Ki-67 expression ($Z=-3.892$, $p<0.001$).

Although there is a recommendation recently to use the new criteria to predict breast cancer prognosis, it is still unclear which standard is better. Therefore, both criteria were used in our analysis. We found that the RS obtained via the Oncotype *DX 21-gene* test was shown to be associated with Ki-67 expression under the both two standards, but not related with other pathological characteristics of breast cancer.

Association Between the Oncotype DX 21-Gene Test and MALAT1 Expression

MALAT1 is a very important molecule that regulates gene expression in many types of cancer. As aforementioned, *MALAT1* level was significantly increased in breast cancer tissue compared with that in para-tumor tissue. Therefore, we also analyzed the association between the Oncotype *DX 21-gene* test and *MALAT1* expression. Firstly, we used the old criteria for analysis. We found that *MALAT1* level was increased along with RS. Specifically, *MALAT1* expression was 2.286 ± 2.012 in low risk group, 3.576 ± 2.111 in medium risk group, and 4.484 ± 2.840 in high risk group ($p=0.006$). Secondly, we applied the new criteria for analysis, and we found that *MALAT1* level was also increased along with RS, yet no statistically significant difference was noted when comparing 2 groups ($p=0.195$).

Discussion

Breast cancer is the second largest cancer that mainly affects women in United States and it is also the second leading cause of death in all types of cancer. The incidence of breast cancer in recent ten years has been significantly increasing, while the mortality rate has been decreasing, which is largely due to the wide use of screening procedures for early breast cancer, contributing to more early cancers identified and a better chance to perform surgical resection. Mastectomy as the main treatment procedure for breast cancer can remove a large area of breast tissue,

usually including axillary lymph nodes as well, on the basis of tumor stage. Nevertheless, this procedure alone may be detrimental to patients both physiologically and psychologically. Therefore, it is important to minimize the damage of surgery while treating patients.

To achieve this goal, we need to predict the prognosis of breast cancer in each individual patient. The Oncotype *DX 21-gene* test is a genomic test that analyzes the activity of a group of genes to reflect how a cancer is likely to behave and responds to treatment. The Oncotype *DX 21-gene* test can be used to evaluate the risk of recurrence in ER$^+$ breast cancer patients, and to assess how likely the patients to benefit from chemotherapy after surgery. The results of the Oncotype *DX 21-gene* test combined with other features of the cancer will help doctor make a more informed decision about whether or not to apply chemotherapy to treat early-stage, ER$^+$ breast cancer or radiotherapy to treat DCIS.

In this study, we enrolled 76 newly diagnosed ER$^+$ and early breast cancer patients, and we did the Oncotype *DX 21-gene* test before treatment. Based on the RS values, the risk of recurrence of the patients could be divided into three groups: low risk, medium risk and high risk. When the old criteria were considered, 52.7% patients were in low risk group, 36.8% patients were in medium risk group and 10.5% patients were in high-risk group. The distribution of each group is similar to a previous reported study.[21] In that study, there were 74,778 breast cancer patients enrolled and the Oncotype *DX 21-gene* test found that the percentages of patients in low risk, medium risk and high risk groups were 59.5%, 32.0%, and 8.5%, respectively.[21] Given the results, we found that the RS was associated with disease grade and Ki-67 expression, but not associated with other characteristics of the cancer such as age, tumor stage, lymph node status, and PR status. When the new criteria were applied, the RS was noted to be correlated with tumor stage and Ki-67 expression level, but not correlated with other factors. Although it is still unclear which criteria should be used for data analysis, the Oncotype *DX 21-gene* test result was consistently correlated with Ki-67 expression level under both criteria, suggesting that the Oncotype DX-21 gene test may reflect tumor proliferation in our ER$^+$ early-stage breast cancer patients.

LncRNA *MALAT1* is a biomarker for many types of cancer, and it regulates several critical pathways involved in cancer metastasis.[22–24] It has been reported that high level of *MALAT1* is associated with poor relapse-free survival in breast cancer patients.[25] In our study, we measured *MALAT1* expression level in our collected breast

Table 3 Association Between the RS of the Oncotype DX 21-Gene Test and lncRNA MALAT1 Expression

	RS	N	MALAT1 lncRNA Expression (x±s)	Z	P value
Old RS criteria	L	40	2.286 ± 2.012	10.349	0.006
	M	28	3.576 ± 2.111		
	H	8	4.484 ± 2.840		
New RS criteria	L	14	2.045 ± 1.632	3.273	0.195
	M	48	3.090 ± 2.290		
	H	14	3.604 ± 2.520		

cancer samples, and found that the expression of *MALAT1* was much higher in tumor tissue compared with that in para-tumor tissue. Additionally, it was observed that the *MALAT1* expression was independent of age, tumor stage, disease grade, lymph node status, PR status and Ki-67 expression (Table 3), suggesting that *MALAT1* is an independent biomarker for breast cancer.

In order to test whether the combination of *MALAT1* and the Oncotype DX21-gene test is of value in predicting prognosis of breast cancer, we firstly analyzed the association between *MALAT1* and the Oncotype DX21-gene test result. Interestingly, there was significant association between the two when the old criteria were applied, but no association was observed from the results under the new criteria, suggesting the importance of RS definition. Therefore, further studies shall need to be carried out to clarify the puzzle. Notably, our study only included 76 breast cancer samples, which limits the research on value of such biomarkers. In order to clarify the association of *MALAT1* expression to prognosis of early breast cancer in a more correct and meaningful manner, sample size should be enlarged and more indexes should be considered in further research, such as Tailor-Rx or MINDACT.

To sum up, our study identified *MALAT1* as a novel biomarker for breast cancer, and discovered that there was an association between *MALAT1* expression and RS value of the Oncotype *DX 21-gene* test. Clinically, *MALAT1* expression examination plus the Oncotype *DX 21-gene* test can be used to predict the prognosis of ER[+] and early breast cancer.

Ethical Approval

We that this study was conducted in accordance with the Declaration of Helsinki. This study was approved by hospital ethical committee. All participants were informed consent.

Disclosure

The authors report no conflicts of interest for this work.

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