

RESEARCH Open Access

Stage II/III rectal cancer with intermediate response to preoperative radiochemotherapy: Do we have indications for individual risk stratification?

Thilo Sprenger*1, Hilka Rothe², Klaus Jung³, Hans Christiansen⁴, Lena C Conradi¹, B Michael Ghadimi¹, Heinz Becker¹ and Torsten Liersch¹

Abstract

Background: Response to preoperative radiochemotherapy (RCT) in patients with locally advanced rectal cancer is very heterogeneous. Pathologic complete response (pCR) is accompanied by a favorable outcome. However, most patients show incomplete response. The aim of this investigation was to find indications for risk stratification in the group of intermediate responders to RCT.

Methods: From a prospective database of 496 patients with rectal adenocarcinoma, 107 patients with stage II/III cancers and intermediate response to preoperative 5-FU based RCT (ypT2/3 and TRG 2/3), treated within the German Rectal Cancer Trials were studied. Surgical treatment comprised curative (R0) total mesorectal excision (TME) in all cases. In 95 patients available for statistical analyses, residual transmural infiltration of the mesorectal compartment, nodal involvement and histolologic tumor grading were investigated for their prognostic impact on disease-free (DFS) and overall survival (OS).

Results: Residual tumor transgression into the mesorectal compartment (ypT3) did not influence DFS and OS rates (p = 0.619, p = 0.602, respectively). Nodal involvement after preoperative RCT (ypN1/2) turned out to be a valid prognostic factor with decreased DFS and OS (p = 0.0463, p = 0.0236, respectively). Persistent tumor infiltration of the mesorectum (ypT3) and histologic tumor grading of residual tumor cell clusters were strongly correlated with lymph node metastases after neoadjuvant treatment (p < 0.001).

Conclusions: Advanced transmural tumor invasion after RCT does not affect prognosis when curative (R0) resection is achievable. Residual nodal status is the most important predictor of individual outcome in intermediate responders to preoperative RCT. Furthermore, ypT stage and tumor grading turn out to be additional auxiliary factors. Future clinical trials for risk-adapted adjuvant therapy should be based on a synopsis of clinicopathologic parameters.

Background

Multimodal treatment strategies and optimized surgical procedures with total mesorectal excision (TME) led to a significant improvement in rectal cancer therapy within the last 15 years [1-5]. Nevertheless, a postulation of more individualized approaches in rectal cancer treatment exists for some time. To some extent this postulation is realized in stage dependant therapy as

preoperative RCT is recommended only in locally advanced (stage II/III) rectal cancer [6,7].

After preoperative RCT, therapy-induced downsizing effects have widely been described as important prognostic factors [8,9]. Local response to neoadjuvant long-term RCT is very heterogeneous and varies between no morphologic alteration and complete shrinkage with pathologic complete response (pCR). Anyway, in most patients a moderate local response with variable residual tumor infiltration depth (ypT2/3) results [10]. This group of patients with intermediate response is of particular interest as it represents the largest subcategory, which prognostically is difficult to classify. Within this group, tumor

¹ Department of General and Visceral Surgery, University Medical Center Göttingen, Georg-August-University, Göttingen, Germany Full list of author information is available at the end of the article



^{*} Correspondence: tsprenger@chirurgie-goettingen.de

transgression of the actual rectal wall and infiltration of the mesorectal compartment (\geq ypT3) constitutes a distinction with unknown impact on prognosis. Subclassification of pT3 rectal cancers has already turned out to be a reliable risk factor for cancer recurrence in patients undergoing primary surgery [11-13] but its prognostic relevance after preoperative RCT is still unclear.

According to TNM classification [14], tumor invasion depth of the mesorectal compartment is divided into subgroups depending on the precise infiltration depth: (y)pT3a to (y)pT3d. Therefore (y)pT3 category spans the invasion of only a few tumor cells beyond the muscularis propria to a complete infiltration of the mesorectum, nearby reaching the visceral peritoneum or contiguous organs [14].

A risk-adapted stratification of patients after preoperative RCT and TME-based surgery is crucial for adjuvant treatment strategies in individual patients. Currently, a beneficial impact of adjuvant chemotherapy (CT) is discussed controversely [15,16]. To date, standardized application of adjuvant CT is guaranteed only within randomized clinical trials and clinicopathologic indications for risk stratification in patients after multimodal therapy are extensively missing.

In this study we investigated 107 patients with intermediate local response to preoperative 5-FU based RCT (ypT2/3) and curative (R0) surgery. The aim of this investigation was to clarify the impact of residual tumor infiltration of the mesorectal compartment (\geq ypT3b), nodal status (ypN) and histologic tumor grading on DFS and OS and to evaluate their relevance within an individual risk stratification model in intermediate responders to RCT.

Methods

Eligibility

This study included patients with locally advanced rectal cancer (stage II/III) and moderate RCT-induced histopathologic tumor regression (TRG 2 and 3 according to the Dworak classification[17]) and concomitant residual ypT2/3 status. All tumors were located not more than 16 cm from the anal verge, measured by rigid rectoscopy.

Patients with clinical evidence of distant metastatic disease were excluded from the actual investigation and received individual multimodal treatment.

Clinical Assessments

Pretherapeutical staging procedures consisted of rigid rectoscopy, flexible colonoscopy, endorectal ultrasound (ErUS), magnetic resonance imaging (MRI) of the pelvis and computed tomography (CT) scans of chest, liver and pelvis. Staging results were conferred and interdisciplinary discussed before initiation of multimodal treatment.

Clinical tumor stages (cT, cN, cUICC) were determined by ErUS, pelvic MRI, and CT scans.

Multimodal Treatment

Preoperative treatment included fractional radiation with cumulative 50.4 Gy (28×1.8 Gy) in 3- or 4-field technique. Concomitant chemotherapy consisted of either 5-Fluorouracil (5-FU) monotherapy in 84 patients or a combined 5-FU + Oxaliplatin regime in 23 patients. Six weeks after completion of neoadjuvant treatment all patients underwent standardized TME-based surgery. Subsequently, postoperative systemic therapy was applied according to the preoperative treatment regimen (5-FU monotherapy or combined 5-FU + Oxaliplatin) and the actual study protocol.

Pathologic Assessment

Quality assessment of the surgical specimens was performed according to the MERCURY criteria[18] and was followed by standardized pathological diagnostics of the specimens by an experienced gastrointestinal pathologist. The complete tumor area and all detectable mesorectal lymph nodes were paraffin-embedded and investigated using hematoxylin and eosin staining.

Pathological Staging/Grading

Pathological staging included ypTNM stage according to the current TME classification[14], tumor differentiation grading, evaluation of proximal, distal and circumferential resection margins and intra- and extramural vascular and perineural invasion. Nodal staging included histological evaluation of all detected lymph nodes and statement of lymph node ratio in all cases with regard to the consensual minimum number of 12 nodes per specimen [14,19]. RCT-induced tumor regression was denoted on the basis of a semi-quantitative 5 point grading system according to established methods [10,17]. Subdivision of ypT3 sta-

Table 1: Subdivision of yp T3 status

урТ3а	Residual Tumor Infiltration into the Mesorectum < 1 mm
ypT3b	Residual Tumor Infiltration into the Mesorectum > 1 - 5 mm
урТ3с	Residual Tumor Infiltration into the Mesorectum > 5 - 15 mm
ypT3d	Residual Tumor Manifestation into the Mesorectum > 15 mm

tus was performed in accordance to subdivision of pT3 status [20] and is shown in Table 1.

Histopathologic differentiation of *residual tumor cells* was evaluated after preoperative RCT and subdivided into two categories (Figure 1):

High Grade Differentiation: well and moderate differentiated residual tumor cell clusters with preserved glandular growth pattern

Low Grade Differentiation: less and poorly/undifferentiated residual tumor cell clusters with non-glandular growth pattern

Follow-up

Follow-up assessments included measurement of blood parameters including serum carcinoembryonic antigen and abdominal ultrasound every 3 months. Rectoscopy and CT scans were performed every 6 months within the first 3 years and every 12 months thereafter. Local recurrence was defined as cancer relapse within the pelvic region or the site of the anastomosis. Distant metastatic disease appeared as any tumor manifestation outside the pelvis.

Statistical Methods

DFS and OS probabilities were estimated by the Kaplan-Meier method and compared between the different levels of clinicopathologic parameters (ypT, ypN, cN and tumor differentiation grading) by a Cox proportional hazards regression model. The ypT and ypN parameters were additionally evaluated in a multivariate analysis.

The distributions of ypN status within the two subgroups of ypT (ypT2/3a and ypT3b-d) were compared by Fisher's exact test. The number of detected lymph nodes between nodal positive and negative patients was com-

pared with the Mann-Whitney-U test. The significance level was set to $\alpha = 5\%$ for all tests. All analyses were performed with the free software R (version 2.6, http://www.r-project.org).

Results

Patient Population

Between January 1998 and June 2008 496 patients with histologically confirmed adenocarcinoma of the rectum were treated at our department. Of these, 153 patients with locally advanced (stage II/III) rectal cancer received preoperative RCT within the German rectal cancer trials (CAO/ARO/AIO-94 [6], XelOx [21] and the ongoing CAO/ARO/AIO-04 trial) and underwent quality assessed curative (R0) TME-based surgery. The approval from the medical ethics committee of the University of Göttingen and informed consent from all subjects were obtained prior to enrolment into the respective study. Following TME, 107 patients (70%) were defined as intermediate responders to neoadjuvant RCT. Of these, 95 were included in the present analysis (Figure 2).

At the time of surgery, 3 patients without previous evidence of distant metastatic disease presented with synchronous liver metastases (stage IV), as detected by manual liver palpation and intraoperative ultrasound. These patients likewise had evidence of residual mesorectal lymph node metastases within the surgical specimen and were excluded from survival analysis.

During a median follow-up period of 42 months (range: 4 - 126 month), 9 of the 107 patients died of non-cancer-related disease and were excluded from cancer specific survival analyses. Seventeen (15.9%) patients had cancer relapse, with 15 cases of separate distant metastatic disease and 2 cases of local recurrence combined with syn-

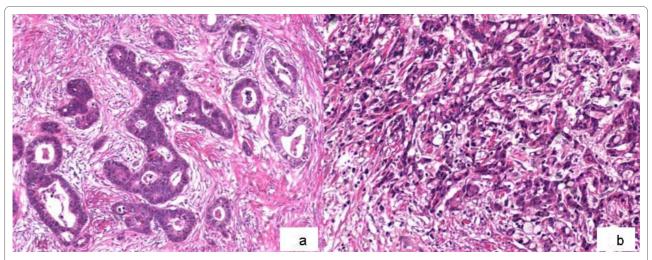


Figure 1 Histopathologic differentiation: well/moderate differentiated residual tumor cell clusters after RCT with preserved glandular growth pattern (High Grade Tumors; Figure 1a). Poorly differentiated residual tumor cells with non-glandular formations (Low Grade Tumors; Figure 1b).

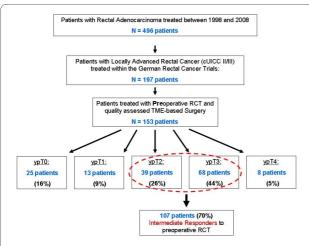


Figure 2 Distribution of ypT stage in 153 patients treated with preoperative RCT within clinical phase II/III trials. 107 patients (70%) manifested as intermediate responders with irradiation-induced tumor regression (TRG 2/3)[17] and ypT2 and ypT3 category.

chronous distant metastases. No isolated local recurrence occurred. Three patients failed statistical analyses due to occult synchronous hepatic metastases detected during surgery (ypUICC IV). In summary, 95 patients were included into survival analysis.

Patient characteristics, pretherapeuthical staging results and treatment procedures of all 107 intermediate responders to preoperative RCT are presented in Table 2. The postsurgical and pathological staging results are summarized in Table 3.

Pathological Staging Results

When comparing pretherapeuthical clinical staging with pathological staging results, RCT-induced T-Level downsizing was achieved in 42% of patients (n = 45). Eight tumors, initially staged as cT4 were downsized to ypT2 (n = 2), ypT3b (n = 2), ypT3c (n = 3) and ypT3d (n = 1). Thirty-seven tumors, previously staged as cT3 were downsized to ypT2 status. Nodal downstaging from cUICC III to ypUICC II stage was achieved in 41% of patients (n = 44).

The median number of detected and histopathologically evaluated lymph nodes per specimen was 21 (range: 6 - 79). In 68% of specimens, lymph node yield accounted for ≥ 18 nodes. Fewer than 12 nodes, which is the consensual number according to TNM criteria, were found in a total of 5 specimens (4.7%).

In patients with extended lymph node recovery, lymph node metastases were detected more frequently. However, this finding was not statistically significant (p = 0.06). In detail, the median number of lymph nodes found in the ypN0 group was 19 (range: 6 - 79) compared to 24 (range: 7 - 77) in the ypN1/2 group.

Of 95 patients included in cancer specific survival analyses, 63 (66.3%) were classified as node negative (ypN0), and 32 (33.7%) patients presented with residual lymph node metastases (ypN1/2) after RCT. Fifty patients (54.3%) had intramural tumor infiltration with a maximal infiltration of ≤ 1 mm beyond the muscularis propria (ypT2/3a). Forty-two patients (45.7%) had advanced ypT status with distinct (>1 mm) tumor invasion into the mesorectal compartment (ypT3b-d).

Pathologic Staging Parameters: Correlation with Survival

Compared to the ypT2/3a stage, advanced residual infiltration into the mesorectal compartment (ypT3b-d) after preoperative RCT was not associated with a significantly decreased DFS (77% vs. 85%, p = 0.619) and OS (84% vs. 94%, p = 0.602) (Figure 3a and 3c). However, residual nodal involvement after preoperative RCT (ypN1/2) appeared as an important parameter for abbreviated DFS (88% vs. 64%, p = 0.0463) as well as OS (95% vs. 80%, p = 0.0236) (Figure 3b and 3d). In multivariate analyses, a persistent positive nodal status could be confirmed as an independent factor for poor DFS (p = 0.035). For OS, the significance failed however in the multivariate approach (p = 0.053) (Table 4).

The probability of cancer relapse and distant metastases was stage-dependent. There was no significant difference within the group of nodal-negative patients with stage I and II disease (ypT2/3a N0 and ypT3b-d N0: 91% and 88%) or within the group of stage III patients (ypT2/3a N+ and ypT3a-d N+: 55% and 67%).

Residual mesorectal tumor infiltration (ypT3b-d) - though without immediate impact on survival - was significantly associated with occurrence of metastatic lymph node involvement after preoperative RCT (p < 0.001), which itself is an independent prognostic factor for survival.

Histologic tumor differentiation grading after RCT had a significant influence on DFS (p = 0.04), whereas patients with well and moderate tumor differentiation (high grade residual tumors) showed a tendency for prolonged OS (94% vs. 71%, p = 0.09). Furthermore, histologic tumor differentiation grading after RCT correlated with residual lymph node metastases (p < 0.001) as well as mesorectal tumor infiltration (ypT3b-d) (p = 0.0001).

ypN0: Relevance of Pretherapeuthical Nodal Status?

When evaluating the 63 patients with ypN0 status for their pretherapeuthical nodal status (cN), staged by ErUS and MRI, 29% (n = 18) of patients had previous cN0 status and 71% (n = 45) presented with cN+ status. DFS and OS did not significantly differ in patients who initially presented with clinical evidence of mesorectal lymph node involvement but resulted in ypN0 after RCT (p = 0.46 and p = 0.54, respectively). Patients with clinically

Table 2: Clinical findings and treatment procedures

Feature	Number of Patients (n = 107)	% *		
Gender				
Male	83	78		
Female	24	22		
Age (years)				
Median	62.3	62.3		
Range	36 - 81	36 - 81		
Tumor Distance from Anal Verge (cm)				
0-6	53	50		
>6-12	47	44		
>12-16	7	7		
cT Stage				
1	0	0		
2	1	1		
3	98	92		
4	8	7		
cN Stage				
Positive	82	77		
Negative	25	23		
cUICC Stage				
1	0	0		
II	25	23		
III	82	77		
IV	0	0		
Neoadjuvant Treatment				
50.4 Gy + standard 5-FU	84	79		
50.4 Gy + intensified 5-FU/Oxaliplatin	23	21		
Surgical Procedure (including TME)				
Low Anterior Resection (incl. laparoscopic)	63 (2)	59 (2)		
Abdominoperineal Resection (incl. laparoscopic)	43 (1)	40 (1)		
Hartmann's Procedure	1	1		

Table 3: Pathological findings

Feature	Number of Patients (n = 107)	%	
ypT Stage			
2	39	36	
3a	16	15	
3b	30	28	
3c	20	19	
3d	2	2	
ypN Stage			
0	69	64	
1	28	26	
2	10	9	
ypUICC Stage			
2	69	64	
3	35	33	
4*	3	3	
Resection Status			
RO	107	100	
R1	0	0	
Tumor Regression Grading ¹⁷			
Grade 2	59	55	
Grade 3	48	45	
Circumferential Resection Margin			
Negative	107	100	
Positive	0	0	
Histologic Differentiation Grading after RCT			
High Grade Differentiation	73	68	
Low Grade Differentiation	34	32	
Nodal Yield (nodes)			
0-12	5	5	
12-18	30	28	
18-30	50	47	
>30	22	21	

Table 3: Pathological findings (Continued)

Cancer Recurrence		
Total	17	16
Local	0	0
Local + Distant	2	2
Distant	15	14

^{*} Patients were not included in statistical analysis

staged III rectal cancers therefore showed no higher risk of cancer relapse and cancer-related death than initially node-negative patients, as long as sterilization of lymph node metastases can be achieved with RCT.

Discussion

Recent results from the randomized multicenter trial CAO/ARO/AIO-94 showed an enhanced local control and sphincter preservation with concurrently decreased toxicity after preoperative long-term RCT compared to postoperative RCT [6]. These results led to the recommendation of preoperative RCT in locally advanced (stage II/III) rectal cancers [7]. Preoperative RCT results in a very heterogeneous tumor response, which can be measured by various response parameters such as T-level downsizing, tumor downstaging, elimination of lymph node metastases, and pathomorphologic tumor regression.

Of 153 patients with stage II/III rectal cancer who received standardized preoperative RCT within randomized clinical trials, pCR as a major response criterion, was achieved in 16% (n = 10) of patients. pCR rates vary between 10 and 20% and were associated with a favorable outcome [8,10]. Nevertheless the majority of rectal can-

cers (70% of the actual collective) show intermediate response with residual tumor either within (ypT2) or beyond (ypT3) the rectal wall (Figure 2).

It remains unclear which subgroup of patients with intermediate response can be considered as cured after preoperative RCT and subsequent TME surgery. Conversly, it is of enormous clinical interest to know which subgroup necessitates adjuvant systemic therapy.

Involvement of circumferential resection margins (CRM) has recently been described as a very strong prognostic factor after preoperative short term radiation [22]. Although this is distinctly reasonable, fortunately only a considerable small group of patients is affected by positive CRM after preoperative long-term RCT. In our study, 7% of patients (n = 8) presented with cT4 status and potential CRM involvement in pretherapeuthical imaging. RCT-induced tumor downsizing was achieved in all cases, resulting in a maximal residual mesorectal infiltration of ≥ 1.5 cm (ypT3d) in 2 patients (2%). Pathologically confirmed complete (R0) resection with negative (>1 mm) CRM after RCT was accomplished in all patients including those previously classified as high-risk for positive CRM.

Table 4: Comparison of DFS and OS with respect to ypT, ypN status and Tumor Grading

Parameter	Variable	Estimated 5 Year DFS Probability (%)	p-value: *univariate **multivariate	Estimated 5 Year OS Probability (%)	p-value: *univariate **multivariate
урТ	2/3a	85	*0.6	94	*0.6
_	3b-d	77	**0.56	84	**0.7
урN	0	88	*0.04	95	*0.02
1/2	64	**0.03	80	**0.053	
Residual Tumor Differentiation	High	88	*0.04	94	*0.09
	Low	62		71	

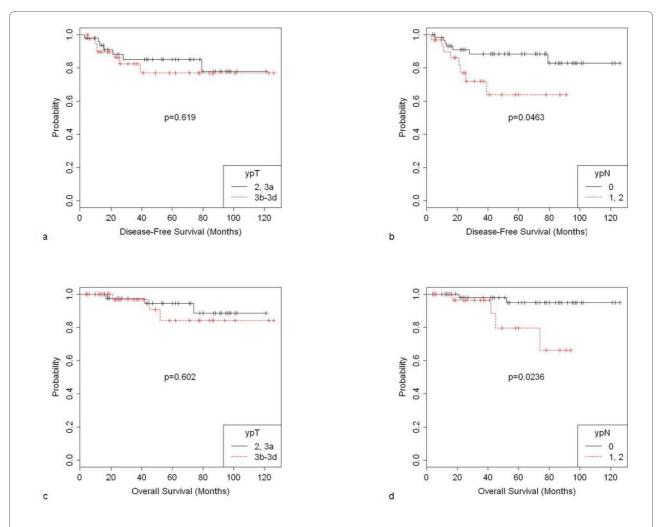


Figure 3 DFS in patients with rectal cancer and intermediate response to preoperative RCT stratified by ypT stage (3a) and ypN stage (3b).OS in patients with rectal cancer and intermediate response to preoperative RCT stratified by ypT stage (3c) and ypN stage (3d).

Prior to implementation of neoadjuvant strategies for rectal cancer, a tumor invasion of ≥ 5 mm into the mesorectal compartment, besides circumferential involvement, was described as a significant prognostic factor [23]. The decision to apply postoperative radiation or radiochemotherapy, was based on tumor invasion as well as a positive nodal status, and led to reduced recurrence rates and prolonged survival [24].

We therefore evaluated the impact of intramural depth of tumor invasion (ypT2) together with minimal (<1 mm) transgression of the muscularis propria (ypT3a) compared to a distinct transmural tumor invasion into the mesorectum (>1 mm; ypT3b-d). Since patients with ypT3a status show only an extremely marginal infiltration of the mesorectal compartment (<1 mm) we consider them to prognostically belong to the ypT2 group rather than to the tumors with distict mesorectal infiltration. Our results underline this assumption showing an

increased incidence of nodal metastases in ypT3b-d patients compared to ypT2/3a patients.

In the patients presenting with previous cT3/4 rectal cancers (only 1 patient had cT2 N+ status, according stage III) the RCT-induced regression of tumor invasion depth to ypT2/3a status had no impact on prolonged DFS and OS. Thus, residual tumor transgression into the mesorectum after preoperative RCT showed no significant influence on cancer recurrence, providing that complete resection with negative CRM is achieved by adequate TME surgery.

Tumor downsizing from the extramural mesorectal compartment into the actual rectal wall therefore seems to be of importance only when tumor-free CRM and R0-resection cannot be guaranteed (former T3d/4 status).

In contrast to ypT, nodal status after preoperative CRT (ypN) significantly influenced cancer recurrence and overall survival in stage II/III rectal cancer patients with

intermediate response within our investigation. This finding coincides with previous results and supports recent investigations with considerable numbers of patients [25,26] but it is based on a collective of patients with highly standardized diagnostic and treatment procedures according to the protocols of the respective clinical phase II and III trials of the German Rectal Cancer Study Group.

In agreement with other authors [25,27], we observed that pretherapeutical nodal involvement (cN+) has no impact on the prognosis of patients, in which ypN0 status can be achieved. Patients with evidence of lymph node involvement in pretreatment staging can therefore not categorically be considered as high risk for cancer relapse.

Anyway, patients with ypN+ status should be considered for upcoming trials with intensified adjuvant CT regimes as this might be more efficient in preventing systemic tumor relapse. Nonetheless, mesorectal tumor invasion (ypT3b-d) was significantly associated with residual lymph node metastases after RCT in our study (p < 0.001). We interpret this finding with a generally lower response to RCT regarding both downsizing of the primary tumor and sterilization of lymph node metastases. This might be due to improved biological behavior and enhanced resistance to RCT in individual cancers. The prognostic impact of mesorectal tumor infiltration remains unclear. We could not show straight effects on tumor recurrence and survival but are well aware that this might be due to the relative small number of patients underlying this investigation.

Neoadjuvant RCT has repeatedly been accused of reducing lymph node yield in rectal cancer specimens [28-31]. It has also been reported that the number of detected nodes in stage II rectal cancer patients influences survival [32-34]. Within our investigation, we evaluated a median number of 21 lymph nodes per specimen. In contrast to other investigations [35], we found no significant difference in lymph node yield between ypN0 patients with and those without subsequent development of distant metastases and tumor-related death. This might be explained by the implementation of extensive lymph node recovery at our institution and a minor variance of evaluated lymph node numbers between both groups.

While histologic tumor grading in colorectal cancers after primary surgery has been ascertained as a prognostic factor [13], its prognostic relevance following preoperative RCT remains unclear and currently does not belong to standard pathologic staging in rectal cancer specimens. Our results show that histologic grading of residual tumor cells is a reliable parameter, which correlates with advanced tumor biology and has straight impact on DFS despite RCT-induced histomorphologic alterations of the tumor. Thus, histopathologiclogic grading of residual

tumor cells should be considered within risk stratification in rectal cancers after RCT.

Not unexpectedly, lymph node status displays as the major criterion for therapy stratification after application of preoperative RCT within our study and several recent investigations and might subdivide patients with need of intensified adjuvant treatment from those who can be considered as cured after surgery. In contrast Collette et al. [15], who reported the results of the EORTC 22921 trial, underlined that only patients with RCT-induced tumor downsizing to ypT1/2N0 status benefited from adjuvant CT. They interpret their results with an increased sensitivity to preoperative RCT as well as postoperative CT in this subgroup. However, 5-FU monotherapy was used in both, neoadjuvant and adjuvant setting in this trial. This might explain the failure of adjuvant CT in patients with a minor response to preoperative RCT. Thus, in the adjuvant setting an intensified or combined CT should be applied with different antitumoral mechanisms (e.g. FOLFOX/FOLFIRI regime ± targeted therapy) in patients with minor response to neoadjuvant treatment.

To date, most patients with positive nodal status after preoperative RCT will intuitively get adjuvant CT. Prospective randomized clinical trials should therefore clarify the impact of adjuvant treatment in patients undergoing preoperative RCT and radical surgery. For ypN0 patients 5-FU based adjuvant CT was shown as a potential overtreatment and had no significant effect on survival [36,37].

Nevertheless, in our actual study population, 7 patients with ypN0 status developed distant metastases during follow-up. All 7 had poorly differentiated residual tumors (low grade). Poor differentiation of residual tumor cell clusters after RCT and advanced invasion depth turned out to be predictors of lymph node metastases and may be indicators of occult nodal (micro-) metastases in patients classified as ypN0. Both parameters should thus be taken into account in ypN0 patients, particularly in cases of minor lymph node recovery, and might have influence on the decision for adjuvant CT.

Although this investigation is based on a homogeneous collective of patients treated within randomized clinical trials with replicable and standardized diagnostic and therapeutic procedures, its principal limitations are the retrospective character and the relatively small number of patients. Thus this study does not want to claim to ultimately answer the question which subgroup of patients need adjuvant CT after preoperative multimodal treatment and subsequent R0-resection. Prospective randomized trials will have to clarify the debatable role of postoperative CT in rectal cancer patients after preoperative RCT and radical TME surgery. The clinicopathologic parameters investigated in this study might give indica-

tions to stratify patient groups with lower and higher individual risk of tumor relapse and tumor-related death within future clinical trials.

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

TS prepared the study design, assembled and analysed the data and drafted the manuscript. HR carried out the pathological diagnostics of the rectal cancer specimens and reviewed the manuscript. KJ carried out the statistical analyses. HC contributed the radiation therapy data and reviewed the manuscript. LC participated in assembling of the data and reviewed the manuscript. BMG and HB reviewed the manuscript. TL supervised the study and data assembling and critically reviewed the manuscript. All authors read and approved the final manuscript.

Acknowledgements

This work was supported by the Deutsche Forschungsgemeinschaft (KFO 179: Biological basis of individual tumor response in patients with rectal cancer) The authors would like to thank Birgit Jünemann for excellent technical and organisational assistance.

Author Details

¹Department of General and Visceral Surgery, University Medical Center Göttingen, Georg-August-University, Göttingen, Germany, ²Department of Pathology, University Medical Center Göttingen, Georg-August-University, Göttingen, Germany, ³Department of Medical Statistics, University Medical CenterGöttingen, Georg-August-University, Göttingen, Germany and ⁴Department of Radiotherapy and Radiooncology, University Medical Center Göttingen, Georg-August-University, Göttingen, Germany

Received: 19 January 2010 Accepted: 13 April 2010 Published: 13 April 2010

References

- Heald RJ, Husband EM, Ryall RD: The mesorectum in rectal cancer surgery - the clue to pelvic recurrence? Br J Surg 1982, 69:613-6.
- MacFarlane JK, Ryall RD, Heald RJ: Mesorectal excision for rectal cancer. Lancet 1993, 341:457-60.
- Kapiteijn E, Marijnen CA, Nagtegaal ID, Putter H, Steup WH, Wiggers T, Rutten HJ, Pahlman L, Glimelius B, van Krieken JH, Leer JW, Velde CJ van de, Dutch Colorectal Cancer Group: Preoperative radiotherapy combined with total mesorectal excision for resectable rectal cancer. N Engl J Med 2001, 345:638-46.
- Improved survival with preoperative radiotherapy in resectable rectal cancer. Swedish Rectal Cancer Trial. N Engl J Med 1997, 336:980-7.
- Lavery IC, Fazio VW, Lopez-Kostner F: Radiotherapy for rectal cancer. N Engl J Med 1997, 337:346-7. author reply 347-8
- Sauer R, Becker H, Hohenberger W, Rödel C, Wittekind C, Fietkau R, Martus P, Tschmelitsch J, Hager E, Hess CF, Karstens JH, Liersch T, Schmidberger H, Raab R, German Rectal Cancer Study Group: Preoperative versus postoperative chemoradiotherapy for rectal cancer. N Engl J Med 2004, 351:1731-40.
- Schmiegel W, Reinacher-Schick A, Arnold D, Graeven U, Heinemann V, Porschen R, Riemann J, Rödel C, Sauer R, Wieser M, Schmitt W, Schmoll HJ, Seufferlein T, Kopp I, Pox C: [Update S3-guideline "colorectal cancer" 2008]. Z Gastroenterol 2008, 46:799-840.
- Theodoropoulos G, Wise WE, Padmanabhan A, Kerner BA, Taylor CW, Aguilar PS, Khanduja KS: T-level downstaging and complete pathologic response after preoperative chemoradiation for advanced rectal cancer result in decreased recurrence and improved disease-free survival. Dis Colon Rectum 2002, 45:895-903.
- Kaminsky-Forrett MC, Conroy T, Luporsi E, Peiffert D, Lapeyre M, Boissel P, Guillemin F, Bey P: Prognostic implications of downstaging following preoperative radiation therapy for operable T3-T4 rectal cancer. Int J Radiat Oncol Biol Phys 1998, 42:935-41.
- Rodel C, Martus P, Papadoupolos T, Füzesi L, Klimpfinger M, Fietkau R, Liersch T, Hohenberger W, Raab R, Sauer R, Wittekind C: Prognostic

- significance of tumor regression after preoperative chemoradiotherapy for rectal cancer. *J Clin Oncol* 2005, **23**:8688-96.
- Miyoshi M, Ueno H, Hashiguchi Y, Mochizuki H, Talbot IC: Extent of mesorectal tumor invasion as a prognostic factor after curative surgery for T3 rectal cancer patients. Ann Surg 2006, 243:492-8.
- Willett CG, Badizadegan K, Ancukiewicz M, Shellito PC: Prognostic factors in stage T3N0 rectal cancer: do all patients require postoperative pelvic irradiation and chemotherapy? Dis Colon Rectum 1999, 42:167-73.
- Steel MC, Woods R, Mackay JM, Chen F: Extent of mesorectal invasion is a prognostic indicator in T3 rectal carcinoma. ANZ J Surg 2002, 72:483-7.
- 14. Sobin LH: TNM, sixth edition: new developments in general concepts and rules. Semin Surg Oncol 2003, 21:19-22.
- 15. Collette L, Bosset JF, den Dulk M, Nguyen F, Mineur L, Maingon P, Radosevic-Jelic L, Piérart M, Calais G, European Organisation for Research and Treatment of Cancer Radiation Oncology Group: Patients with curative resection of cT3-4 rectal cancer after preoperative radiotherapy or radiochemotherapy: does anybody benefit from adjuvant fluorouracil-based chemotherapy? A trial of the European Organisation for Research and Treatment of Cancer Radiation Oncology Group. J Clin Oncol 2007, 25:4379-86.
- Gerard JP, Conroy T, Bonnetain F, Bouché O, Chapet O, Closon-Dejardin MT, Untereiner M, Leduc B, Francois E, Maurel J, Seitz JF, Buecher B, Mackiewicz R, Ducreux M, Bedenne L: Preoperative radiotherapy with or without concurrent fluorouracil and leucovorin in T3-4 rectal cancers: results of FFCD 9203. J Clin Oncol 2006, 24:4620-5.
- Dworak O, Keilholz L, Hoffmann A: Pathological features of rectal cancer after preoperative radiochemotherapy. Int J Colorectal Dis 1997, 12:19-23.
- Nagtegaal ID, Velde CJ van de, Worp E van der, Kapiteijn E, Quirke P, van Krieken JH, Cooperative Clinical Investigators of the Dutch Colorectal Cancer Group: Macroscopic evaluation of rectal cancer resection specimen: clinical significance of the pathologist in quality control. J Clin Oncol 2002, 20:1729-34.
- Greene FL, Brierley J, O'Sullivan B, Sobin LH, Wittekind C, International Union Against Cancer and American Joint Committee on Cancer: On the use and abuse of X in the TNM classification. Cancer 2005, 103:647-9.
- UICC: TNM Supplement 2001. In A commentary on uniform use 2nd edition. Edited by: Wittekind Ch, Henson DE, Hutter RVP, Sobin LH. John Wiley & Sons, New York; 2001.
- Rodel C, Liersch T, Hermann RM, Arnold D, Reese T, Hipp M, Fürst A, Schwella N, Bieker M, Hellmich G, Ewald H, Haier J, Lordick F, Flentje M, Sülberg H, Hohenberger W, Sauer R: Multicenter phase II trial of chemoradiation with oxaliplatin for rectal cancer. J Clin Oncol 2007, 25:110-7
- Gosens MJ, Klaassen RA, Tan-Go I, Rutten HJ, Martijn H, Brule AJ van den, Nieuwenhuijzen GA, van Krieken JH, Nagtegaal ID: Circumferential margin involvement is the crucial prognostic factor after multimodality treatment in patients with locally advanced rectal carcinoma. Clin Cancer Res 2007, 13:6617-23.
- Merkel S, Mansmann U, Papadopoulos T, Wittekind C, Hohenberger W, Hermanek P: The prognostic inhomogeneity of colorectal carcinomas Stage III: a proposal for subdivision of Stage III. Cancer 2001, 92:2754-9.
- Krook JE, Moertel CG, Gunderson LL, Wieand HS, Collins RT, Beart RW, Kubista TP, Poon MA, Meyers WC, Mailliard JA: Effective surgical adjuvant therapy for high-risk rectal carcinoma. N Engl J Med 1991, 324:709-15.
- Chang GJ, Rodriguez-Bigas MA, Eng C, Skibber JM: Lymph node status after neoadjuvant radiotherapy for rectal cancer is a biologic predictor of outcome. Cancer 2009, 115:5432-40.
- Kim TH, Chang HJ, Kim DY, Jung KH, Hong YS, Kim SY, Park JW, Oh JH, Lim SB, Choi HS, Jeong SY: Pathologic Nodal Classification Is the Most Discriminating Prognostic Factor for Disease-Free Survival in Rectal Cancer Patients Treated with Preoperative Chemoradiotherapy and Curative Resection. Int J Radiat Oncol Biol Phys 2009 in press.
- Quah HM, Chou JF, Gonen M, Shia J, Schrag D, Saltz LB, Goodman KA, Minsky BD, Wong WD, Weiser MR: Pathologic stage is most prognostic of disease-free survival in locally advanced rectal cancer patients after preoperative chemoradiation. Cancer 2008, 113:57-64.
- Baxter NN, Morris AM, Rothenberger DA, Tepper JE: Impact of preoperative radiation for rectal cancer on subsequent lymph node evaluation: a population-based analysis. Int J Radiat Oncol Biol Phys 2005, 61:426-31.

- Habr-Gama A, Perez RO, Proscurshim I, Rawet V, Pereira DD, Sousa AH, Kiss D, Cecconello I: Absence of lymph nodes in the resected specimen after radical surgery for distal rectal cancer and neoadjuvant chemoradiation therapy: what does it mean? *Dis Colon Rectum* 2008, 51:277-83.
- Wichmann MW, Muller C, Meyer G, Strauss T, Hornung HM, Lau-Werner U, Angele MK, Schildberg FW: Effect of preoperative radiochemotherapy on lymph node retrieval after resection of rectal cancer. *Arch Surg* 2002, 137:206-10.
- 31. Wijesuriya RE, Deen KI, Hewavisenthi J, Balawardana J, Perera M:
 Neoadjuvant therapy for rectal cancer down-stages the tumor but
 reduces lymph node harvest significantly. Surg Today 2005, 35:442-5.
- Tepper JE, O'Connell MJ, Niedzwiecki D, Hollis D, Compton C, Benson AB, Cummings B, Gunderson L, Macdonald JS, Mayer RJ: Impact of number of nodes retrieved on outcome in patients with rectal cancer. J Clin Oncol 2001. 19:157-63.
- Morris EJ, Maughan NJ, Forman D, Quirke P: Identifying stage III
 colorectal cancer patients: the influence of the patient, surgeon, and
 pathologist. J Clin Oncol 2007, 25:2573-9.
- 34. Earle CC, Weiser MR, Ter Veer A, Skibber JM, Wilson J, Rajput A, Wong YN, Benson AB, Shibata S, Romanus D, Niland J, Schrag D: Effect of lymph node retrieval rates on the utilization of adjuvant chemotherapy in stage II colon cancer. J Surg Oncol 2009, 100:525-8.
- Kim YW, Kim NK, Min BS, Lee KY, Sohn SK, Cho CH: The influence of the number of retrieved lymph nodes on staging and survival in patients with stage II and III rectal cancer undergoing tumor-specific mesorectal excision. *Ann Surg* 2009, 249:965-72.
- Fietkau R, Barten M, Klautke G, Klar E, Ludwig K, Thomas H, Brinckmann W, Friedrich A, Prall F, Hartung G, Küchenmeister U, Kundt G: Postoperative chemotherapy may not be necessary for patients with ypN0-category after neoadjuvant chemoradiotherapy of rectal cancer. Dis Colon Rectum 2006, 49:1284-92.
- 37. Huh JW, Kim HR: Postoperative chemotherapy after neoadjuvant chemoradiation and surgery for rectal cancer: Is it essential for patients with ypT0-2N0? J Surg Oncol 2009, 100:387-391.

doi: 10.1186/1477-7819-8-27

Cite this article as: Sprenger *et al.*, Stage II/III rectal cancer with intermediate response to preoperative radiochemotherapy: Do we have indications for individual risk stratification? *World Journal of Surgical Oncology* 2010, **8**:27

Submit your next manuscript to BioMed Central and take full advantage of:

- Convenient online submission
- Thorough peer review
- No space constraints or color figure charges
- Immediate publication on acceptance
- Inclusion in PubMed, CAS, Scopus and Google Scholar
- Research which is freely available for redistribution

Submit your manuscript at www.biomedcentral.com/submit

