

## Review Article



# Estrogen Receptor $\beta$ Expression and Its Clinical Implication in Breast Cancers: Favorable or Unfavorable?

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### Conflict of Interest

The author declares that they have no competing interests.

## ABSTRACT

There are two estrogen receptor (ER) genes (*ESR1/ER $\alpha$*  and *ESR2/ER $\beta$* ) in humans. Of those, ER $\beta$ , the second ER isotype identified in 1996, is differentially expressed in different phenotypes and molecular subtypes of breast cancer (BCa), and is highly expressed in ER $\alpha$ -negative BCa and triple-negative BCa (TNBC). This review summarizes the potential clinical relevance of ER $\beta$  in BCa and the challenges associated with studies on the role of ER $\beta$  in BCa. The experimental and clinical studies evaluating clinical outcomes and associations with clinical characteristics and responses to endocrine therapy on targeting ER $\beta$  reviewed herein indicate that ER $\beta$  is a clinically important biomarker in BCa. The reviewed studies also suggest that each ER $\beta$  isoform has a distinct role in BCa subtypes and the potential of novel- targeted therapies in BCa, especially ER $\alpha$ -negative BCa and TNBC. However, the findings of many studies on ER $\beta$  are inconsistent, and the exact role of ER $\beta$  in BCa remains elusive; this may potentially be attributed to the complexity of ER $\beta$  isoforms, but also to the lack of standardized testing protocol. Thus, successful clinical application of ER $\beta$  requires the development of standardized, reproducible, and objective measurement methods for ER $\beta$  that can be widely and routinely applied in clinical setting.

**Keywords:** Estrogen Receptor Beta; Patient Outcome Assessment; Prognosis; Survival Analysis; Therapeutic Uses

## INTRODUCTION

There are two estrogen receptor (ER) genes (*ESR1/ER $\alpha$*  and *ESR2/ER $\beta$* ) in humans. Of those, the first isotype, ER $\alpha$  was discovered in the late 1950s and is a strong prognostic and predictive factor for the likelihood of response to endocrine therapies in breast cancer (BCa). ER $\beta$ , the second ER isotype was first identified in 1996 [1]. ER $\alpha$  and ER $\beta$  are members of the nuclear receptor, superfamily of transcription factors and share some structural similarities, including a high degree of homology (96%) in their DNA-binding regions. However, they differ in gene structure, tissue distribution, ligand selectivity, and binding to pharmacological agents, sharing only moderate homology in the ligand-binding region; further, they have considerably distinct NH<sub>2</sub>-terminal activation function-1 regions. ER $\alpha$  contains two distinct activation domains, namely ER $\alpha$ -AF-1 and ER $\alpha$ -AF-2, whereas ER $\beta$  contains a functional AF-2 and does not contain a strong AF-1 in its amino

N-terminus but rather harbors a repressor domain. ER $\beta$  functions as a transdominant inhibitor of ER $\alpha$  transcriptional activity [2]. Furthermore, ER $\alpha$  and ER $\beta$  have been shown to form heterodimers. Thus, the relative levels of ER $\alpha$  and ER $\beta$  in BCa are likely to affect cell proliferation as well as the activities of diverse signaling pathways and their response to ER ligands and endocrine therapies in BCa [3]

*ESR2* encodes different ER $\beta$  isoforms due to exon deletions or alternative splicing of the last coding exon (exon 8) truncated at the C-terminus. It has been shown that ER $\beta$  isoforms differ from each-other in their ligand- and DNA-binding abilities, as well as their ER $\alpha$  interaction properties. The full-length ER $\beta$ 1 is the primary ER $\beta$  isoform that mediates gene expression and growth inhibition in response to estrogen or ER $\beta$ -selective ligands and is an obligatory partner in ER $\beta$  dimers, whereas the other ER $\beta$  isoforms function as variable dimer partners [4]. ER $\beta$ cx isoform (identical to ER $\beta$ 2) is the best-characterized isoform, and preferentially forms a heterodimer with ER $\alpha$  rather than with other ER $\beta$  isoforms, and shows a substantially dominant negative activity against ER $\alpha$  transactivation [5]. ER $\beta$ 5 also has estrogen-independent transcriptional properties; it might be playing a significant role in BCa [6]. Thus, each isoform can contribute to tumor progression by activating the transcription of cancer-promoting genes, independent of estrogen or growth factors in BCa or by suppressing ER $\alpha$  transcriptional activity.

An increased understanding of the molecular pathways in BCa, and the development of molecularly based diagnostic and predictive tools have uncovered a substantial biological diversity in the phenotypic and molecular heterogeneity in BCa [7]. Hierarchical cluster analysis has identified BCa subtypes based on distinct gene expression profiles, including luminal A, luminal B, basal-like, and human epidermal growth factor receptor 2 (HER2) types. ER $\alpha$ -negative tumors constitute 30% of all BCa cases; and of them, triple-negative BCa (TNBC), which lacks ER $\alpha$ , progesterone receptor (PR), and Her2/neu accounts for 5%–20% of all BCa cases and has a poor clinical outcome and aggressive clinical behavior [8].

Currently, the primary treatment for ER $\alpha$ -positive BCa is endocrine therapy with selective ER modulators and aromatase inhibitors based on positive ER $\alpha$  nuclear expression. However, ER $\alpha$  is overexpressed in 60%–70% of BCa, with approximately 50% exhibiting *de novo* resistance to estrogen modulators [9]. Patients with ER $\alpha$ -negative BCa and TNBC do not benefit from endocrine therapy; however, some patients with TNBCs do respond to standard chemotherapy. Thus, novel treatments are needed for treating ER $\alpha$ -negative BCa and TNBC. As ER $\beta$  is highly expressed in ER $\alpha$ -negative BCa and TNBC, various studies have investigated the utility of ER $\beta$  as a prognostic and/or predictive marker, and a potential therapeutic target for BCa.

In my previous study [10], I found that high ER $\beta$ 1 protein expression levels in ER $\alpha$ -negative BCa and TNBC were correlated with poor prognostic biomarkers; and thus ER $\beta$  can be considered a potentially significant marker for ER $\alpha$ -negative BCa and TNBC. This review summarizes studies on ER $\beta$  expression in BCa conducted during 1997 to 2021. These studies analyzed mRNA and/or protein expression levels of ER $\beta$ 1, ER $\beta$ 2 and/or ER $\beta$ 5 isoforms and their associations with clinical characteristics, prognosis, endocrine therapy responses, and overall survival (OS) in the entire cohort as well as in different subgroups.

## DETERMINATION AND EVALUATION METHODS OF THE MRNA AND PROTEIN EXPRESSION OF ER $\beta$ ISOFORMS

Most ER $\beta$  studies examining ER $\beta$  in BCa have focused mainly on ER $\beta$ 1 protein expression and some have considered on ER $\beta$  mRNA expression with or without ER $\beta$  protein expression. The study subjects include the entire cohorts of patients with ER $\alpha$ -positive and ER $\alpha$ -negative BCa combined, ER $\alpha$ -positive alone, few ER $\alpha$ -negative BCa, TNBC or different molecular types. The number of subjects varied from 13 to 3,093; ER $\alpha$ -positive BCa with ER $\alpha$ -negative BCa combined cases were studied in 72.3% (47/65), ER $\alpha$ -positive BCa alone in 24.6% (16/65), and TNBC/ER $\alpha$ -negative BCa in 15.4% (10/65) cases, respectively. ER $\beta$  mRNA expression in BCa has been more frequently determined from 1997 to the 2000s, whereas ER $\beta$  protein expression in more recent years, reflecting the increased commercial availability of ER $\beta$  isoform antibodies. A few studies have investigated both ER $\beta$  mRNA and protein expression.

### ER $\beta$ isoform protein expression by immunohistochemistry

The immunohistochemistry (IHC) studies have been conducted using a wide range of commercially available monoclonal and polyclonal ER $\beta$  antibodies, or in-house developed antibodies with or without *in vivo* validation (Tables 1 and 2). The validation methods of IHC involving primary and secondary antibodies, visualization system, equipment and controls

**Table 1.** Summary of ER $\beta$  isoform protein expression studies in BCas:1997–2009

Material	ER $\alpha$ status	Antibody/epitope location	ER $\beta$ isoform (% positivity)	High ER $\beta$ expression and outcome association with	References
442	ER $\alpha$ + / ER $\alpha$ -	pan-B, PPG5/10	1 (15)	Better survival in TAM treated postmenopausal and ER $\alpha$ -BCa and TNBC	Honma et al. [48]
	ER $\alpha$ + / ER $\alpha$ -	57/3	2	None	
141	ER $\alpha$ + / ER $\alpha$ -	57/3	2 (33)	Better outcome in only ER $\alpha$ + positive BCa	Vinayagam et al. [15]
150	ER $\alpha$ + / ER $\alpha$ -	poly ER $\beta$ 1	1 (33)	Increased DFS and OS, small sized, node negative tumor	Sugiura et al. [64]
	ER $\alpha$ + / ER $\alpha$ -	poly ER $\beta$ 2	2	High ER $\beta$ 2 with low grade tumor	
62	ER $\alpha$ + / ER $\alpha$ -	poly ER $\beta$	1	High ER $\beta$ with reduced carcinogenesis or progression	Shaw et al. [18]
936	ER $\alpha$ + / ER $\alpha$ -	14c8, PPG5/10	1 (11)	Increased DFS and predictive of TAM response in node-negative luminal A type but risk factor in node-positive luminal B type	Novelli et al. [61]
27	ER $\alpha$ +	N-terminus	Total	High ER $\beta$ t protein in TAM sensitive tumors	Murphy et al. [14]
181	ER $\alpha$ + / ER $\alpha$ -	PPG5/10, C-terminus	1 (28)	Increased DFS, OS in ER $\alpha$ + BCa, inverse relation to Her2/neu Less favorable DFS and OS in ER $\alpha$ -BCa, increase of TopoIIa and P53	Nakopoulou et al. [31]
353	ER $\alpha$ + / ER $\alpha$ -	14c8, PPG5/10	1 (30)	Increased OS and favorable in TAM treated ER $\alpha$ - BCa	Grubberger-Saal et al. [51]
145	ER $\alpha$ + / ER $\alpha$ -	14c8	1	In ER $\alpha$ + / PR+, high ER $\beta$ 1 with reduced fatal outcome; in ER $\alpha$ + / PR-, no association	Maehle et al. [37]
226	ER $\alpha$ + / ER $\alpha$ -	385p/AR385	1 (67)	High expression of Her2/neu and P53 in ER $\alpha$ - BCa/TNBC	Choi and Pinto [10]
210	ER $\alpha$ -	385p, polyclonal, 14C8 N-terminal	1 (58)	ER $\beta$ 1/T and high CK5/6, Ki-67, high tumor grade	Skiris et al. [65]
			2 (57)	ER $\beta$ 2 with p-c-Jun and NF-kBp65 in ER $\alpha$ - BCa	
138	ER $\alpha$ + / ER $\alpha$ -	PPG5/10, C-terminus	1 (46–92)	ER $\beta$ 1 protein with trend of worse outcome in whole cohort and ER $\alpha$ + TAM treated BCa; high Ki-67 in ER $\alpha$ - BCa ER $\beta$ 1 mRNA in TAM treated ER $\alpha$ + BCa with no correlation with outcome but large tumor in ER $\alpha$ - BCa	O'Neill et al. [17]
757	ER $\alpha$ + / ER $\alpha$ -	14c8, PPG5/10	1	No correlation with clinical parameters	Shaaban et al. [43]
	ER $\alpha$ + / ER $\alpha$ -	57/3	2	ER $\beta$ 2 nuclear expression with inverse to metastasis, vascular invasion ER $\beta$ 2 cytoplasm expression with poor outcome	
	ER $\alpha$ + / ER $\alpha$ -	ER $\beta$ 5 (home raised)	5	Increased OS with high 65% cut-off points	
34	ER $\alpha$ + / ER $\alpha$ -	06-629, N-terminus	Total	High Ki-67+ proliferating cells, cyclin A+	Jensen et al. [68]
115	ER $\alpha$ +	14c8, ER $\beta$ 2 specific Ab	2	ER $\beta$ 2+ / PR- with poor response to TAM in ER $\alpha$ + BCa, inverse with PR expression	Saji et al. [39]
36	ER $\alpha$ +	Erb1; MCA1974S, Erb2; MCA2279S	1	Not predictive of TAM therapy response	Miller et al. [47]
512	ER $\alpha$ + / ER $\alpha$ -	ER $\beta$ 1 (EMRO2)	1 (50)	Low ER $\beta$ with decreased DFS in ER $\alpha$ + BCa and co-expression might predict better response but no correlation with clinical variables or outcomes	Borgquist et al. [30]

ER = estrogen receptor; TAM = tamoxifen; BCa = breast cancer; TNBC = triple-negative breast cancer; DFS = disease-free survival; OS = overall survival; PR = progesterone receptor.

**Table 2.** Summary of ER $\beta$  protein expression studies in BCas: 2010–2021

Material	ER $\alpha$ status	Antibody/epitope location	ER $\beta$ isoform (% positivity)	Low or high ER $\beta$ isoform expression and association with	References
139	ER $\alpha$ +/ER $\alpha$ -	ER $\beta$ 1 Branched chain QuantiGene (mRNA)	1 (40) 1	ER $\beta$ protein expression with smaller tumor High ER $\beta$ mRNA with worse DFS, poorly differentiated tumor, LN+, PR-	Kim et al. [16]
3093	ER $\alpha$ +/ER $\alpha$ -	PPG5/10	1	Inverse relation to Her2/neu, CK5/6, EGFR Higher in luminal type A and B type than HER2 or basal types Large tumor, LN+, high grade tumor with absent ER $\beta$	Marotti et al. [24]
162	ER $\alpha$ +/ER $\alpha$ -	PPG5/10 57/3	1 (30) 2	Increased DFS in LN+ tumor, smaller tumor Absence ER $\beta$ 2; small size tumor, good OS	Zhang et al. [26]
78	ER $\alpha$ +/ER $\alpha$ -	14c8	1	Better TAM response in ER $\alpha$ + BCa	Madeira et al. [71]
69	ER $\alpha$ +/ER $\alpha$ -	14c8, PPG5/10, 57/3	1 (79.3)	High ER $\beta$ t, ER $\beta$ 1 and ER $\beta$ 2 in tumors with good chemotherapy responses	Wurster et al. [34]
1026	ER $\alpha$ +/ER $\alpha$ -	PPG5/10	1	Favorable chemo therapy	Elebro et al. [33]
718	ER $\alpha$ +		1 (57)	DFS benefit; Low ER $\beta$ 1 on exemestane therapy and high ER $\beta$ 1 on TAM therapy; No benefit in the whole cohort High ER $\beta$ 1 with high grade tumor, low ER $\beta$ 1 with small size tumor	Speirs et al. [36]
689	ER $\alpha$ +		2	No difference of DFS and OS	
123	ER $\alpha$ +/ER $\alpha$ -	14C8, PPG5/10 57/3	1 (62) 2	ER $\beta$ 1 nuclear expression; predictive of TAM therapy response ER $\beta$ 2 cytoplasmic expression; poor prognosis	Yan et al. [58]
177	ER $\alpha$ +	PPG5/10	1	ER $\beta$ 1 nuclear expression with prolonged-recurrence free survival; in ER $\alpha$ expression, antiproliferative, high Ki67 in TNBC	Reese et al. [69]
753	ER $\alpha$ +/ER $\alpha$ -	TCGA protocol	1, 2, 5	In HR+ BCa, high expression of ER $\beta$ 2 or ER $\beta$ 5 with an overall better DFS but not OS. ER $\beta$ 2 with no sig effect in whole cohort In TNBC, ER $\beta$ 2 with worse outcome, and upregulation of ER $\beta$ 1 with increased tumor suppression, ER $\beta$ 5 with no effect	Yan et al. [57]
81	ER $\alpha$ +	mB1C1, pB2	1, 2	ER $\beta$ 1 with low tumor size and longer DFS but ER $\beta$ 2 with shorter DFS	Dhimolea et al. [62]
459	ER $\alpha$ +	S105-ERb		High S105-ERb expression with better OS and low grade in TAM sensitive tumors but worse survival in TAM resistant tumors	Hamilton-Burke et al. [32]
492	ER $\alpha$ +/ER $\alpha$ -		1	Both ER- $\beta$ 1 and SRAP could be predictive biomarkers of tamoxifen benefit in ER- $\alpha$ -negative premenopausal early BC	Yan et al. [52]
571	TNBC	PPG5/10	1	Prolonged OS, DFS and disease free metastasis in TNBC; ER $\beta$ 1+/pAKT(-) predicted favorable prognosis in TNBC	Wang et al. [53]
689	ER $\alpha$ +		2 (55)	No difference of DFS and OS	
41	ER $\alpha$ +	PPG5/10, M7292	1	High ER $\beta$ and high ER $\alpha$ Ser167 phosphorylation with longer PFS	Motomura et al. [38]
120	ER $\alpha$ +/ER $\alpha$ -	Polyclonal ER $\beta$	Total/1	High ER $\beta$ 1 with recurrent tumor and lymphatic metastasis	Chang et al. [67]
195	ER $\alpha$ +	Poly pan ER $\beta$		Reduced DFS with endocrine therapy	Guo et al. [40]
598	ER $\alpha$ +/ER $\alpha$ -	ER $\beta$		High ER $\beta$ with lower median tumor-free survival and no benefit of endocrine therapy	Guo et al. [41]
234	TNBC+/ TNBC-	Poly ER $\beta$ (BY-0201) Poly ER $\beta$ 2	1 (43) 2 (70)	poor disease progression free survival and poor prognosis in TNBC Poor DFS with chemotherapy	Guo et al. [42]
101	ER $\alpha$ +/ER $\alpha$ -	PPG5/10 57/3	1 (80) 2 (50)	No significant effect on OS Worse DFS and OS	Baek et al. [44]
60	ER $\alpha$ +/ER $\alpha$ -	14C8	1 (15.9)	Correlated with ER $\alpha$ + and low grade tumor	Bozkurt et al. [63]
2000	ER $\alpha$ +/ER $\alpha$ - ER $\alpha$ +/ER $\alpha$ -	PPG5/10 5/25	1 (50) 5 (50)	Her2/neu + tumor ER $\beta$ 5 expression with worse prognosis in TNBC and Her2/neu positive BCa Increased co-expression in ER $\alpha$ + in BCa	Wimberly et al. [55]
19	ER $\alpha$ -	PPG5/10	1	Worse 5 year survival in AAW, increased IGF-2 with high ER $\beta$ 1 in TNBC	Hamilton et al. [66]
95	ER $\alpha$ -, TNBC	ER $\beta$ 1, C-terminus Poly ER $\beta$ 2	1 (70) 2 (70)	No association with OS Early relapse and poor DFS in post-menopausal ER $\alpha$ - BCa and TNBC	Chantzi et al. [56]
250	TNBC	PPG5/10	1 (59.3)	Lower RFS, DMFS, high IGF2 in TNBC High ER $\beta$ in AAW patients with TNBC	Austin et al. [59]
126	TNBC	PAI-313	1	High Ki-67 in a subgroup of TNBC but no association with DFS or OS	Shanle et al. [46]

ER = estrogen receptor; PR = progesterone receptor; LN = lymph node; EGFR = epidermal growth factor receptor; HER2 = human epidermal growth factor receptor 2; TAM = tamoxifen; AAW = African American women; PFS = progression-free survival; DMFS = distant metastasis -free survival; OS = overall survival; DFS = disease-free survival; TNBC = triple-negative breast cancer; RFS = relapse-free survival.

are not consistent. Scoring systems also differ substantially from positive or negative, and from qualitative to semi-quantitative approaches by combining the percentage and intensity of positive immunoreactive cells. The cut-off threshold to define ER $\beta$  positivity varies significantly and the detection rate of ER $\beta$  positivity ranges from 15.9% to 92.0% (Tables 1 and 2). ER $\beta$ 1 isoform protein expression has been analyzed using different ER $\beta$ 1

antibodies singly or combined: clone PPG5/10 in 43.5% (20/46), 14C8 in 19.6% (9/46), PA313 in 2.1% (1/46), polyclonal ER $\beta$ 1 (385p/AR385-10R) in 4.3% (2/46), both polyclonal and in-house-raised antibodies in 30.4% (14/46) of the studies, and in-house developed ER $\beta$ total antibodies in 8.7% (4/46) of the studies. ER $\beta$ 2 isoform has been analyzed using clone 57/3 in 15.2% (7/46) and other polyclonal ER $\beta$ 2 antibodies in 21.7% (10/46) of studies. ER $\beta$ 5 isoform has been investigated using clone 5/25 in 2.1% (1/46) and other ER $\beta$ 5 antibodies in 6.5% (3/46) of the studies. Among the ER $\beta$ 1 tested, the 14C8, PA1-313, and PPG5/10 ER $\beta$ 1 antibodies reportedly yield high and specific detection levels of full-length ER $\beta$ , but seem to produce reliable results, only in some studies. Our laboratory studies revealed that clone PPG5/10 from two different vendors displayed inconsistent immune reaction and 14C8 presented lower levels of detection when compared with other ER $\beta$ 1 antibodies. The polyclonal ER $\beta$ 1 (385p/AR385-10R) and ER $\beta$ 5 (5/25) antibodies produced intense nuclear staining as well as cytoplasmic staining. The differences in ER $\beta$  isoforms are toward the C-terminus of the molecule, whereas many commercially available ER $\beta$  antibodies are targeted against the N-terminus, making it unlikely that they will be able to distinguish wild-type ER $\beta$  from splice variant ER $\beta$ . Many ER $\beta$  isoform protein expression studies reviewed herein simultaneously analyzed more than one ER $\beta$  isoforms; ER $\beta$ 1 was most frequently analyzed in 82.6% (38/46), and ER $\beta$ 2, ER $\beta$ 5, and ER $\beta$ total protein expression in 39.1% (18/46), 6.5% (3/46) and 6.5% (3/46) of the studies, respectively.

### ER $\beta$ mRNA expression study

Reverse transcription-polymerase chain reaction has been mostly performed using fresh tumor tissues [11-14] as well as by using formalin-fixed paraffin embedded sections (FFPES) [15]. Kim et al. [16] demonstrated method for analyzing ER $\beta$  mRNA expression using FFPES by the branched chain QuantiGene2.0 assay. Among the ER $\beta$  mRNA expression studies, total ER $\beta$ /ER $\beta$ 1 was most frequently analyzed in 75% (12/19) of the studies, and many studies analyzed more than one ER $\beta$  isoform; including ER  $\beta$ 2, ER $\beta$  $\Delta$ 5, and ER $\beta$ 5 mRNA in 15.7% (7/19), 10.5% (2/19) and 10.5% (2/19) of the studies, respectively (**Table 3**). Although ER $\beta$

**Table 3.** Summary of ER $\beta$  mRNA expression and its association with prognostic markers and clinical characteristics in BCas

Materials	ER $\beta$ mRNA expression and association with	References
62	Down-regulation of ER $\beta$ in BCa in comparison with benign breast tissue	Shaw et al. [18]
66	Down-regulation of ER $\beta$ in BCa; Decrease of ER $\beta$ 2 is the key reason of ER $\alpha$ + breast carcinogenesis	Park et al. [35]
18	Down-regulation of ER $\beta$ and upregulation of ER $\alpha$ in ER $\alpha$ + BCa	Leygue et al. [22]
105	ER $\beta$ 2 and ER $\beta$ 5 with better RFS; ER $\beta$ 2 with better OS in patients with endocrine therapy	Davies et al. [29]
141*	High ER $\beta$ 2 mRNA with increased DFS and OS in whole cohort and LN - cases	Vinayagam et al. [15]
150	Better DFS and OS, ER $\beta$ 2 mRNA is independent prognostic factor	Sugiura et al. [64]
60	Low ER $\beta$ $\Delta$ 5 splice variant with large tumor in ER $\alpha$ + tumor High ER $\beta$ 1 and ER $\beta$ $\Delta$ 5 splice variant in ER $\alpha$ -/PR-BCa	Mandusic et al. [25]
74	Higher ER $\beta$ 1 in early onset BCa and ER $\beta$ 2 in late-onset BCa, ER $\beta$ 2 > ER $\beta$ 1 with better DFS in TAM treated late onset BCa	Mandusic et al. [28]
95 <sup>†</sup>	High ER $\beta$ with worse DFS and poorly-differentiated BCa	Kim et al. [16]
138	ER $\alpha$ + TAM treated cases: no correlation of outcomes ER $\alpha$ -/ER $\beta$ + BCa: high Ki-67, large tumor and high stage BCa	O'Neill et al. [17]
121	Poor outcome in ER $\alpha$ - BCa with chemotherapy	Markey et al. [12]
134	High ER $\beta$ 1 and ER $\beta$ 5 in ER $\alpha$ - BCa in African American women	Poola et al. [20]
43	ER $\beta$ exon 5 splice variant with grade 3 tumor	Poola et al. [11]
17	TAM-resistant breast tumors	Speirs et al. [19]
53	Higher ER $\beta$ 2& 5 > ER $\beta$ 1; associated with high grade and inflammation	Leygue et al. [45]
32	High ER $\beta$ with worse prognosis; aggressive, high grade, Ki-67, and LN+ tumor	Queslati et al. [70]
24	High ER $\beta$ in ER $\alpha$ -/PR-tumors	Iwao et al. [49]
41	ER $\beta$ mRNA 1, 2 or 5 expression and TAM responses: no correlation	Murphy et al. [14]

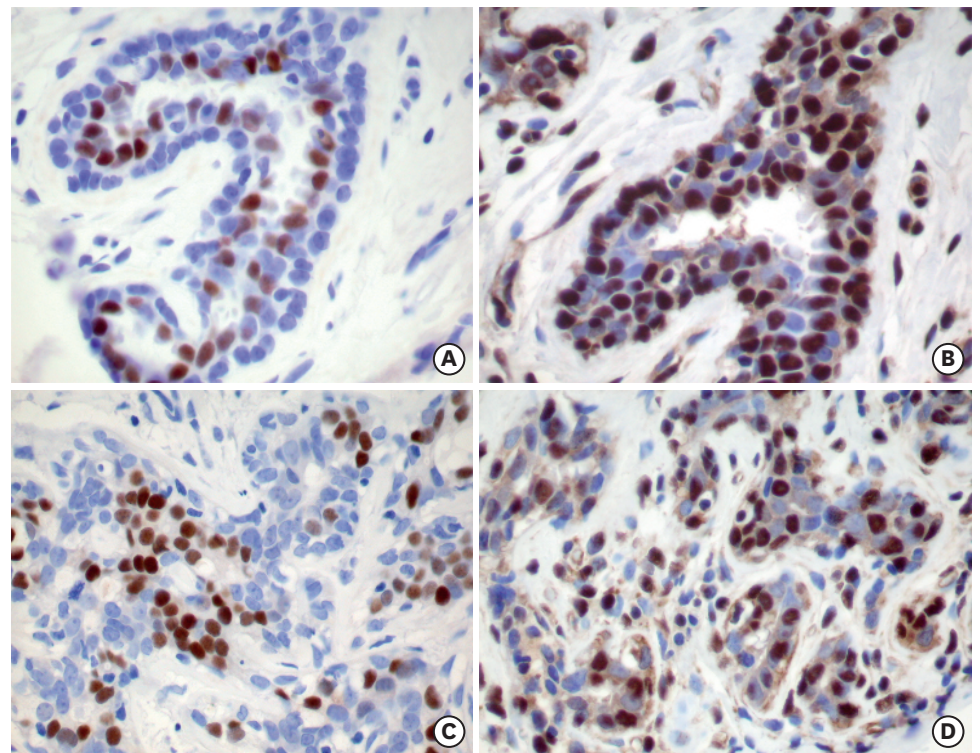
ER = estrogen receptor; BCa = breast cancer; RFS = relapse-free survival; OS = overall survival; DFS = disease-free survival; TAM = tamoxifen; PR = progesterone receptor.

\*Quantitative polymerase chain reaction in formalin fixed paraffin-embedded tissues; <sup>†</sup>Branched chain QuantiGene2.030 in formalin fixed paraffin-embedded tissue.

mRNA measurement can provide more accurate determination of ER $\beta$  at the molecular level, ER $\beta$  mRNA analysis has drawbacks in terms of its routine application; mRNA may not necessarily reflect protein expression and can be degraded to undetectable levels during processing or become contaminated with stromal cells. Thus, the level of ER $\beta$  protein expression is not always consistent with that of ER $\beta$  mRNA expression [15,17].

### ER $\beta$ ISOFORM EXPRESSION IN NORMAL BREAST TISSUE AND BCAS: DOWNREGULATION OF ER $\beta$ EXPRESSION IN BCAS

ER $\beta$ 1, ER $\beta$ 2, and ER $\beta$ 5 protein is widely expressed [10,18,19] in the nuclei, and sometimes in the cytoplasm, - of luminal epithelial- and myoepithelial cells, fibroblasts, endothelial cells, and lymphocytes of normal and benign breast tissues, whereas ER $\alpha$  is expressed only in the nuclei of epithelial cells (**Figure 1**). In BCa, ER $\beta$  is expressed in the nuclei of neoplastic epithelial cells and stromal cells, whereas ER $\alpha$  is exclusively expressed in neoplastic epithelial cells. Expression of ER $\beta$  predominated in normal breast tissues and is downregulated during progression from pre-invasive neoplastic lesions to invasive BCa compare with that in benign breast tissues, whereas ER $\alpha$  expression is up-regulated in these breast tissues, and most breast tumors expressed ER $\alpha$ , either alone or in combination with ER $\beta$  [10,18-22]. The decline or silencing of ER $\beta$  is considered a result of DNA methylation [23] and has been considered a key factor in breast carcinogenesis and the independent growth of BCa cells.

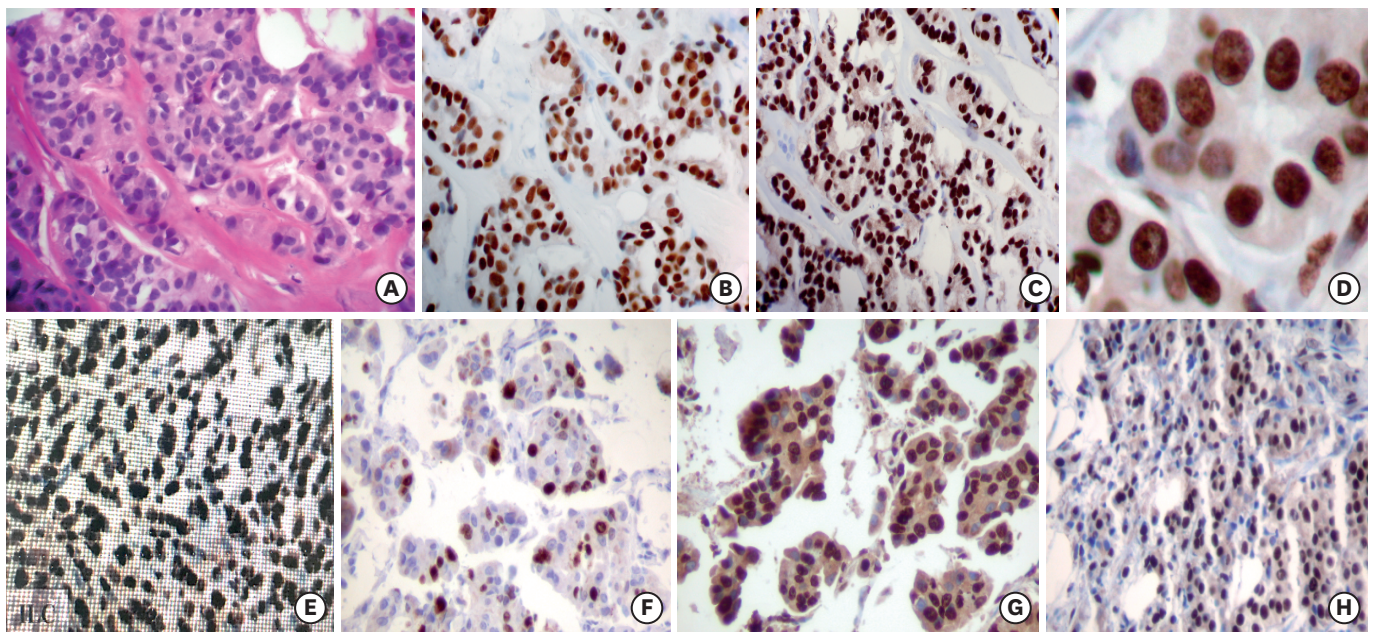


**Figure 1.** Immunohistochemistry stains of ER $\alpha$  and ER $\beta$  protein expression in normal and benign breast tissue. ER $\alpha$  is expressed only in epithelial cells (A and C). ER $\beta$  expression (B and D) is expressed in benign epithelial cells and myoepithelial cells, stromal cells, and lymphocytes, and ER $\beta$  reaction is abundant and stronger than that of ER $\alpha$  (immunohistochemistry stain using polyclonal ER $\beta$ 1 (385p/AR385-10R) antibody, original magnification  $\times 20$ ). ER = estrogen receptor.

Absence or low expression of ER $\beta$ 1 protein is associated with high cell proliferation, large tumor size, high histological grade, and lymph-node positive tumors [24]. A decrease in ER $\beta$ 1 and ER $\beta$  $\Delta$ 5 mRNA levels was associated with larger tumor size in ER $\alpha$ -positive BCa [25]. In contrast, lack of ER $\beta$ 2 protein expression is associated with good OS in patients with < 2 cm tumor in luminal subtype BCa [26].

## DIFFERENTIAL EXPRESSION OF ER $\beta$ ISOFORM MRNA AND PROTEIN IN BCAS

ER $\beta$ 1 isoform is more frequently expressed than ER $\beta$ 2 or ER $\beta$ 5. ER $\beta$  isoform protein is differentially expressed in different BCa phenotypes and with clinical characteristics (**Figure 2**). ER $\beta$ 1 protein expression is higher in well-differentiated BCa than in poorly differentiated BCa, and is higher in ER $\alpha$ -positive BCa than in ER $\alpha$ -negative BCa or TNBC [10,20,22]. In lobular BCa, ER $\beta$ 1 protein is diffusely expressed, but its expression is lost in late stages of lobular BCa leading to a highly proliferative ER $\alpha$ -positive BCa, whereas in infiltrating duct carcinoma, ER $\beta$  is gradually decreased from normal to proliferative and invasive BCa [27]. Further, a specific associations have been observed between the relative ER $\beta$ 1 and ER $\beta$ 2 mRNA levels normalized with ER $\alpha$  and tumor size; an inverse correlation of tumor size with relative ER $\beta$ 1 mRNA in 39 cases of early-onset BCa and with relative ER $\beta$ 2 mRNA levels in 35 cases of late-onset BCa; a higher level of ER $\beta$ 2 mRNA expression compared with that of ER $\beta$ 1 was associated with a better outcome in late-onset BCa, indicating that different ER $\beta$  isoforms may be involved in BCa suppression of tumor growth in early- and late- onset BCa patients [28]. ER $\beta$ 2 mRNA expression has been associated with significantly improved relapse-free survival (RFS) and OS, and ER $\beta$ 5 mRNA has been associated with improved RFS in a subset of patients receiving tamoxifen (TAM), but ER $\beta$ 1 expression was not associated with disease outcome; these findings suggest



**Figure 2.** Hematoxylin and eosin stains of infiltrating duct carcinoma of BCa tissues (A) and immunohistochemistry stains of ER $\alpha$  (B) shows positive reaction only in neoplastic epithelial cells, whereas ER $\beta$  expression (C) shows strong and diffuse immunoreaction of neoplastic epithelial cells and stromal cells (original magnification  $\times 20$ ), (D) diffuse and intense staining of ER $\beta$  expression in nuclei (original magnification  $\times 40$ ), differential expression of ER $\beta$  in BCa types, (E) infiltrating lobular carcinoma, (F) apocrine carcinoma, (G) micropapillary carcinoma, (H) mucinous carcinoma (original magnification  $\times 20$ ). BCa = breast cancer; ER = estrogen receptor.

that splice variants of ER $\beta$  are more important predictors compared to ER $\beta$ 1 alone [29]. ER $\beta$ 1 and ER $\beta$ 5 mRNA is highly expressed in ER $\alpha$ -negative BCa among African-American women [11]. A high level of ER $\beta$  exon 5 splice variant is associated with grade III tumors in post-menopausal women [20].

## **ER $\beta$ ISOFORM EXPRESSION AND CLINICAL OUTCOMES: DIFFERENTIAL ASSOCIATION WITH BREAST CANCER SUBTYPES AND CLINICOPATHOLOGICAL PARAMETERS**

Overall, the disease outcomes and clinical characteristics on ER $\beta$  expression are differentially associated with ER $\beta$  isoforms, their ER $\beta$  mRNA or protein expression and BCa subtypes. The disease outcomes or survival observed include both favorable as well as unfavorable prognostic biomarkers, survival outcomes, beneficial and poor responses to endocrine therapy, and inhibition and promotion of tumor growth and carcinogenesis. Thus, ER $\beta$  activating and inhibiting ligands have been suggested as a potential therapeutic agent.

## **ER $\beta$ ISOFORM EXPRESSION AND BCA SUBTYPES**

### **ER $\beta$ isoform expression in ER $\alpha$ -positive BCa**

ER $\alpha$  and ER $\beta$  are often co-expressed in breast tumors and form ER $\alpha$ / $\beta$  heterodimer; ER $\beta$  functions as modulator of ER $\alpha$  transcriptional activity and ER $\beta$  counteracts the stimulatory effects of ER $\alpha$  through heterodimerization of the two receptors, and ER $\alpha$ / $\beta$  heterodimers are growth inhibitory in breast cells. Thus, the relative expression level of the two isoforms is a key determinant of cellular responses to agonists and antagonists at the physiological and pathological conditions [2,3]. Owing to increased ER $\alpha$  expression during tumorigenesis and decreased ER $\beta$  expression from normal breast through ductal hyperplasia and duct carcinoma *in situ* to invasive BCa, a significantly higher ER $\alpha$ :ER $\beta$  ratio has been observed in BCa compared with normal breast tissue. The ratio between the two ERs in BCa may thus be important in cell proliferation, the activity of diverse signaling pathways, and their responses to ER ligands and endocrine therapy in ER $\alpha$ -positive BCa cells [18-20, 22, 30].

Increased ER $\beta$  together with ER $\alpha$  expression in ER $\alpha$ -positive BCa was found to be associated with more favorable disease outcomes and better responses to endocrine therapy, with increased and high expression ER $\beta$  expression. High ER $\beta$ 1 expression in ER $\alpha$ -positive BCa is significantly correlated with a favorable impact to disease-free survival (DFS) and OS in the subgroups of patients with stage 1 and II BCa [31]. ER $\beta$ 2 and ER $\beta$ 5 protein expression was inversely correlated with metastasis and vascular invasion, and co-expression of ER $\beta$ 2 and ER $\alpha$  was associated with improved OS and DFS [21]. Higher ER $\beta$ total protein expression in ER $\alpha$ -positive BCa more frequently observed in TAM-sensitive BCa than TAM-resistant BCa, and was associated with PR-positive tumors, and no disease progression [14]. Low or absent expression of ER $\beta$  in ER $\alpha$ -positive BCa was correlated with decreased DFS in ER $\alpha$ -positive BCa patients receiving endocrine treatment, suggesting co-expression of high ER $\beta$  with ER $\alpha$  might predict better response to endocrine therapy [30]. ER $\beta$ 2 mRNA in ER $\alpha$ -positive BCa significantly is correlated with better RFS and OS, and better outcome in node-negative BCa [15]. High ER $\beta$  phosphorylated at serine 105-ER $\beta$  [32] and ER $\beta$ 1 protein expression in ER $\alpha$ -positive BCa was prognostically more favorable in chemotherapeutic responses compared to absence of ER $\beta$ 1



expression [33,34]. Park et al. [35] observed that, among ER $\beta$  isoforms, ER $\beta$ 2 mRNA expression decreased significantly in ER $\alpha$ -positive BCa compared with that in the corresponding normal tissues, and that decreased ER $\beta$ 2 mRNA was associated with development of ER $\alpha$ -expressing tumors, whereas ER $\beta$ 5 mRNA expression increased significantly, especially in post-menopausal women with BCa. Speirs et al. [36] reported differential responses to endocrine therapy with different levels of ER $\beta$ 1 protein expression in ER $\alpha$ -positive BCa; including DFS benefit of exemestane over TAM in the low ER $\beta$ 1 subgroup, and the DFS benefit of TAM therapy with high ER $\beta$ 1 expression. There was a reduced risk of fatal outcomes with increasing ER $\beta$  levels in patients with ER $\alpha$ -positive/PR-positive BCa [37]. High ER $\beta$ 1 protein levels with high ER $\alpha$ -Ser 167 phosphorylation was associated with longer DFS [38].

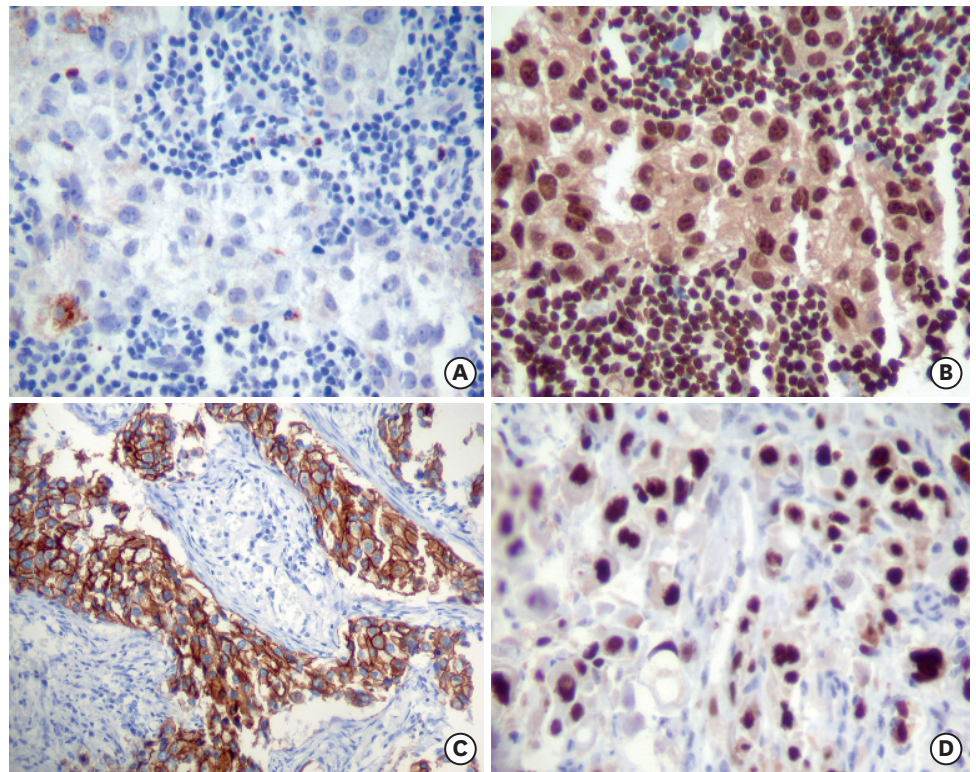
On the contrary, high ER $\beta$ 2 protein expression in ER $\alpha$ -positive BCa and reduced PR expression was correlated with poor response to TAM [39]. Higher ER $\beta$ 1 protein expression level was associated with reduced DFS, and correlated with poor prognosis in TAM-treated ER $\alpha$ -positive BCa in post-menopausal women [40] and reduced DFS with endocrine therapy [41] and poor progression-free survival in patients with TNBC [42]. High levels of ER $\beta$ 2 cytoplasmic expression alone or combined nuclear stains predicted worse OS [43]. Furthermore, high ER $\beta$ 2 protein expression in patients with endocrine therapy was associated with worse DFS and OS [44] and high ER $\beta$  mRNA expression was associated with high grade tumors [16,45]. However, others have reported no significant survival outcomes or TAM therapeutic response in tumors expressing ER $\beta$ 1 protein [30,35,43,46,47], in tumor expressing ER $\beta$ 2 protein [36,48], in tumors expressing ER $\beta$ total protein [39], and in tumor expressing high ER $\beta$  mRNA expression [14,17]. The findings indicate that each ER $\beta$  isoform protein or mRNA expression is associated with different clinical correlation and outcomes in this cohort of BCa.

### **ER $\beta$ expression in ER $\alpha$ -negative BCa and triple negative BCa**

ER $\beta$  expression is lower in ER $\alpha$ -negative and TNBC than in benign breast tissues, and that of ER $\alpha$ -positive BCa, however, ER $\beta$  is significantly detectable in ER $\alpha$ -negative BCa [49] (**Figure 3**), TNBC, and HER2 type and basal-like molecular type BCa [24]. ER $\beta$  expression in the absence of ER $\alpha$  has been implicated in estrogen-independent growth of BCa and in gene transcription independent of estrogen or growth factors.

Overall, clinical studies on ER $\beta$  expression in ER $\alpha$ -negative BCa and TNBC have reported confounding results with favorable and unfavorable clinical outcomes, and tumor suppression or tumor development [50].

The favorable outcomes included increased OS, DFS and distant metastasis-free survival, as well as beneficial TAM responses in patients with tumors expressing ER $\beta$ 1 protein [48,51-53]. ER $\beta$ 1 protein expression with negative pAKT predicted favorable prognosis in TNBC [53]. Tan et al. [54] reported a significantly improved DFS and OS in patients with tumors expressing for ER $\beta$ 1 and ER $\beta$ 2 protein expression (not ER $\beta$  mRNA expression) in ER $\alpha$ -negative BCa. The unfavorable outcomes included poor OS, decreased RFS, high grade, large tumor size and higher-stage tumors, lymph-node positivity or poor prognostic biomarkers. High ER $\beta$ 1 and ER $\beta$ 5 protein expression is associated with large tumor size and lymph node-positive BCa, and worse outcome in TNBC [55]. High ER $\beta$ 1 protein expression was associated with poor disease progression free survival and poor prognosis [42]. ER $\beta$ 2 protein expression is associated with early disease relapse, poor DFS and cell proliferation in post-menopausal patients with ER $\alpha$ -negative BCa and TNBC [56]. In addition, high ER $\beta$  mRNA expression was



**Figure 3.** Negative ER $\alpha$  staining in ER $\alpha$ -negative BCa (A), high expression of ER $\beta$  (B), co-expression of Her-2/neu (C) and P53 (D) of the same ER $\alpha$ -negative BCa cells (original magnification  $\times 20$ ). ER = estrogen receptor; BCa = breast cancer.

associated with poor outcome in chemotherapy [12]. ER $\beta 2$  mRNA expression was associated with decreased DFS and OS as well as higher stage BCa in TNBC; upregulation of ER $\beta 2$  / ER $\beta 5$  expression increased cell proliferation, while up-regulation of ER $\beta 1$  increased tumor suppression [57]. Cytoplasmic ER $\beta 2$  expression without nuclear expression was correlated with shorter survival and poor response to chemotherapy regardless of the cut-off used in familial BCa [58]. ER $\beta 1$  activation significantly increased insulin-like growth factor 2 (IGF-2) secretion, and high ER $\beta 1$  and IGF2 expression in African-American women and Hispanic patients with TNBC resulted in significantly lower RFS, irrespective of chemotherapy [59]. ER $\beta 5$  mRNA expression has been considered to contribute to poor survival observed in ER $\alpha$ -negative BCa among African-American women [11]. These observation could be related to the estrogen-independent transcriptional properties of ER $\beta 5$  isoform. Thus, the pro-oncogenic activities of ER $\beta 2$  and ER $\beta 5$  can be considered the potential therapeutic targets using their specific antagonists, to their pro-oncogenic properties in TNBC, while ER $\beta 1$  plausibly acts as a tumor suppressor and its action could be used to limit tumor growth and spread as well as to increase the drug-sensitivities of TNBC [57,60].

### ER $\beta$ expression in molecular types of BCas

ER $\beta 1$  protein expression was significantly related to molecular category of BCa; ER $\beta 1$  protein expression was more common in luminal types than basal-like or HER2 molecular types. However, ER $\beta 1$  protein was still expressed in 55% of HER2 type and 60% of basal-like cancers, indicating high ER $\beta$  expression in ER $\alpha$ -negative BCa or TNBC. ER $\beta$  expression in molecular types was inversely associated with Her2/neu, CK5/6 and epidermal growth factor receptor expression [24]. In luminal type BCa, ER $\beta 1$  protein expression was significantly

associated with good DFS, especially in lymph-node positive BCa, whereas negative ER $\beta$ 2 protein expression was associated with good OS in patients with < 2 cm tumor [26]. In contrast, ER $\beta$ 1 expression (55.5%) was evenly distributed across the four molecular BCa subtypes confirming lack of correlation between ER- $\beta$  and classical prognosticators. Furthermore, ER $\beta$ 1 was a significant discriminating factor for DFS in node- negative luminal A type BCa, predictive of response to hormonal therapy, but it was a higher risk factor of relapse in node-positive luminal B type BCa. ER $\beta$ 1 positivity was associated with more aggressive phenotypes such as HER2 type and TNBC or ER $\alpha$ /PR/Bcl2<sup>-</sup> tumors in node-positive BCa patients [61].

## ER $\beta$ ISOFORM EXPRESSION AND DIFFERENTIAL CORRELATION WITH CLINICOPATHOLOGICAL PARAMETERS

High ER $\beta$ 1 protein expression was associated with smaller tumor [26,62] and low grade tumors [63]. High ER $\beta$ 1 protein expression was associated with small tumor size, lymph-node negative tumor, and low histological grade, and ER $\beta$ 2 protein expression was correlated with positive ER $\alpha$  status and low histological grade; ER $\beta$ 1 and ER $\beta$ 2 mRNA expression correlated with longer DFS [64]. In contrast, high ER $\beta$ 1 and ER $\beta$ 5 protein expression is associated with large tumor size and lymph node-positive BCa in TNBC [54]. ER $\beta$ total and ER $\beta$ 1 protein expression are associated with high expression of CK5/6 and Ki-67, and high-grade tumor, and ER $\beta$ 2 protein expression levels are associated with p-c-Jun and NF-kBp65 expression in ER $\alpha$ -negative BCa [65]. High ER $\beta$ 1 protein was associated with increased IGF-2 in TNBC [66]. High ER $\beta$ 2/cx protein expression [63] and high ER $\beta$ 1 [67] was associated with aggressive features and presence of lymph vascular invasion and was involved in progression to invasive carcinoma. ER $\beta$ 1 positivity was associated with more aggressive phenotypes such as HER2 type and TNBC or ER $\alpha$ /PR/Bcl2<sup>-</sup> tumors in node-positive BCa patients [61]. Many studies demonstrated that high ER $\beta$ 1 protein expression is correlated with increased Ki-67 > 15% [42,46,68,69]. High ER $\beta$  mRNA is correlated with high Ki-67 positivity, large tumors and higher stage BCa in ER $\alpha$ -negative /ER $\beta$ -positive BCa [12,17]. Further, co-expression of ER $\beta$  and ER $\alpha$  was associated with BCa aggressiveness including higher-grade and positive nodal status, and high Ki-67 positivity [70]. Findings of high proliferative markers of Ki-67 in tumors expressing ER $\beta$  isoforms support that ER $\beta$  may drive proliferation and involved in carcinogenesis.

## POTENTIAL ROLE OF ER $\beta$ IN ENDOCRINE THERAPY

As summarized in **Table 4**, ER $\beta$  expression has shown to be associated with good or poor responses to endocrine therapies. High ER $\beta$ 1 protein expression in patients with ER $\alpha$ -positive or ER $\alpha$ -negative BCa or TNBC tumors is predictive of a good response to TAM therapy [26, 36, 38, 48, 53, 58, 64, 71]. ER $\beta$ 2 mRNA expression is associated with a favorable TAM response in the entire cohort [15] and with significantly improved RFS and OS, and ER $\beta$ 5 mRNA with improved RFS in a subset of patients receiving TAM [29]. High ER $\beta$ 2 protein expression is associated with a favorable response in another study [64]. Speirs et al. [36] observed DFS benefit of exemestane in the low ER $\beta$ 1 subgroup as well as DFS benefit of TAM therapy with high ER $\beta$ 1 expression compared with the low ER $\beta$ 1, but no significant difference was observed with treatment for ER $\beta$ 2 expression in either DFS or OS. Both ER $\beta$ 1 protein and steroid receptor RNA activator protein (SRAP) expression was predictive markers of TAM benefit in ER $\alpha$ -negative BCa in postmenopausal women [52]. High ER $\beta$  1 nuclear

**Estrogen Receptor  $\beta$  and Its Clinical Implications in Breast Cancers**

**Table 4.** Summary of ER $\beta$  expression and endocrine therapy responses in BCas

Materials (n)	ER $\alpha$ status*	Therapy	Antibody/ Epitope location	ER $\beta$ isoform expression (%) positivity)	ER $\beta$ isoform expression and association with	References
27	ER $\alpha$ +	TAM	polyER $\beta$ , N-terminus	Total	High expression in TMA sensitive tumor	Murphy et al. [14]
69	ER $\alpha$ +/ER $\alpha$ -	AI, CHT	14C8, PPG5/10, 57/3	1 (79.3)	Increase ER $\beta$ t, ER $\beta$ 1 and ER $\beta$ 2 in tumors with good chemotherapy responses	Wurster et al. [34]
181	ER $\alpha$ +/ER $\alpha$ -	TAM, CHT	PPG5/10	1 (28)	Increased DFS, OS in ER $\alpha$ + BC, inverse to Her2/neu positivity	Nakopoulou et al. [31]
150	ER $\alpha$ +/ER $\alpha$ -	TAM, CHT	Poly clonal ER $\beta$ 1 and ER $\beta$ 2	1 and 2 (33)	Increased DFS, OS; small size tumor, node-negative tumor and low grade tumor No difference in TAM response with ER $\beta$ 2 or ER $\beta$ 1 mRNA expression	Sugiura et al. [64]
141	ER $\alpha$ +/ER $\alpha$ -	TAM, AI	57/3	2 (33)	Better outcome only in ER $\alpha$ + BCa	Vinayagam et al. [15]
115	ER $\alpha$ +	TAM	14c8, ER $\beta$ 2 specific Ab	2	ER $\beta$ 2+/PR- with poor response to TAM in ER $\alpha$ + BCa, inverse with PR positivity	Saji et al. [39]
442	ER $\alpha$ +/ER $\alpha$ -	TAM	pan-B, PPG5/10	1 (15)	Better survival in TAM treated postmenopausal ER $\alpha$ - BCa and TNBC	Honma et al. [48]
512	ER $\alpha$ +/ER $\alpha$ -	TAM	ER $\beta$ 1 (EMRO2)	1 (50)	Low ER $\beta$ with decreased DFS in ER $\alpha$ + BCa but no correlation with clinical parameters or outcomes	Borgquist et al. [30]
718	ER $\alpha$ +	AI, TAM	PPG5/10	1 (57)	Better DFS with low ER $\beta$ 1 and exemestane therapy; High ER $\beta$ 1 with TAM therapy	Speirs et al. [36]
				2	No difference in DFS or OS	
689	ER $\alpha$ +	TAM, AI	57/3	2 (55)	ER $\beta$ 2 with no difference of DFS and OS	
162	ER $\alpha$ +/ER $\alpha$ -	TAM, AI, CHT, RT	PPG5/10, 57/3	1 (30)	Increased DFS in node+ tumor, smaller tumor	Zhang et al. [26]
				2	Absence ER $\beta$ 2 with OS	
757	ER $\alpha$ +/ER $\alpha$ -	TAM + zoladex	PPG5/10, 57/3	1	No correlation to clinical outcome	Shaaban et al. [43]
	ER $\alpha$ +/ER $\alpha$ -	TAM + zoladex	ER $\beta$ 5 (home raised)	2	ER $\beta$ 2 nuclear+ with Inverse relation to metastasis and vascular invasion	
				5	ER $\beta$ 2 Cytoplasm with poor outcome Increased OS with high (65%) cut-off positivity	
936	ER $\alpha$ +/ER $\alpha$ -	TAM, CHT	14c8, PPG5/10	1 (11)	Increased DFS and TAM response in node-negative luminal A type and Novelli et al. [61] risk factor in node-positive luminal B type BCa	Novelli et al. [61]
177	ER $\alpha$ +	TAM	PPG5/10	1	ER $\beta$ 1 nuclear expression with prolonged RFS in TAM treated ER $\alpha$ + BCa; In ER $\alpha$ -negative BCa, ER $\beta$ agonist therapy result in antiproliferative	Reese et al. [69]
753	ER $\alpha$ +/ER $\alpha$ -	TAM	TCGA protocol	1, 2, 5	In HR+ BCa, high expression of ER $\beta$ 2 or ER $\beta$ 5 with an overall better DFS but not OS; In TNBC, ER $\beta$ 2 with worse outcome, and upregulation of ER $\beta$ 1 with increased tumor suppression	Yan et al. [57]
81	ER $\alpha$ +	AI/TAM, chemo	mB1C1, pB2	1, 2	ER $\beta$ 1 with low tumor size and longer DFS but ER $\beta$ 2 with shorter DFS	Dhimolea et al. [62]
459	ER $\alpha$ +	TAM	S105-ERb		High S105-ER $\beta$ expression with better OS and low grade in TAM-sensitive tumors but worse survival in TAM-resistant tumors	Hamilton-Burke et al. [32]
492	ER $\alpha$ +/ER $\alpha$ -	TAM		1	Both ER- $\beta$ 1 and SRAP could be predictive biomarkers of tamoxifen benefit in ER- $\alpha$ -negative premenopausal early BC	Yan et al. [52]
101	ER $\alpha$ +/ER $\alpha$ -	TAM	PPG5/10, 57/3	1 (80) 2 (50)	No significant effect on OS Worse DFS and OS	Baek et al. [44]
195	ER $\alpha$ +	TAM	Poly pan ER $\beta$		Reduced DFS after endocrine therapy	Guo et al. [40]
123	ER $\alpha$ +/ER $\alpha$ -	TAM, CHT	PPG5/10	1 (36.9)	High ER $\beta$ 1 nuclear expression; predictive of TAM therapy	Yan et al. [58]
138	ER $\alpha$ +/ER $\alpha$ -	TAM	PPG5/10	1 (46-92)	ER $\beta$ 1 mRNA with no association of TMA response, but large tumor in ER $\alpha$ - BCa ER $\beta$ 1 protein with worse outcome in the whole cohort and ER $\alpha$ + BCa; High Ki-67 in ER $\alpha$ - BCa	O'Neill et al. [17]
41	ER $\alpha$ +	TAM	PCR	1, 2, 5	ER $\beta$ 1, 2 or 5 mRNA expression; no association with TAM response	Murphy et al. [14]
27	ER $\alpha$ +	TAM	N terminus	Total	High ER $\beta$ t protein in TAM sensitive tumors	Murphy et al. [14]
36	ER $\alpha$ +	TAM	MCA1974S, MCA2279S	1 2	Not predictive of TAM therapy responses None	Miller et al. [47]

ER = estrogen receptor; BCa = breast cancer; TAM = tamoxifen; DFS = disease -free survival; RFS = recurrence-free survival; AI = aromatase inhibitor; OS = overall survival; SRAP = steroid receptor RNA activator protein; CHT = chemotherapy; RT = radiation therapy; PR = progesterone receptor.

\*ER $\alpha$  expression = ER $\alpha$  + or - BCa

expression in tumors from familial BCa was predictive of TAM therapy response [58] and significant discriminating factor for DFS in node- negative luminal A type BCa predictive of response to hormonal therapy [61]. High ER $\beta$  protein or mRNA expression with Ki-67 >

15% [12,17,42,46,68,69] was considered that ER $\beta$ -dependent elevated Ki-67 level with a high tumor cell proliferation rate might render the cells more sensitive to TAM.

In contrast, ER $\beta$  protein expression was indicative of poor response or resistance to TAM therapy in patients with tumors expressing high ER $\beta$ 1 protein expression [17,42,68], tumors expressing high ER $\beta$ 2 protein [44], and tumors expressing high ER $\beta$ 2 protein with low PR in a neoadjuvant setting [39]. ER $\beta$  mRNA expression was significantly up-regulated in the TAM-resistant group as compared with the TAM-sensitive group [13]. Co-expression of ER $\alpha$  and ER $\beta$  with over-expression of ER $\beta$  lead to the failure of antiestrogen therapy. The antiestrogen-ER $\beta$  complex may inhibit gene transcription when bound to estrogen response elements, and may have agonistic effects resulting in low efficacy of hormonal therapy [19]. In a study by O'Neill et al. [17], ER $\beta$ 1 mRNA expression showed no association with outcome but ER $\beta$ 1 protein expression was associated with a trend of worse RFS outcome both within the groups as well as within the ER $\alpha$ -positive TAM treated cases. However, other studies showed no correlation between TMA responses in tumors expressing ER $\beta$ 1 protein expression [30,39,43,47] or ER $\beta$  mRNA expression [14,17,64]. Yamashita et al [72] reported that expression of ER $\beta$ 1 and ER $\beta$ cx/ $\beta$ 2 did not affect response to therapy.

## INCONSISTENT CLINICAL OUTCOMES BETWEEN ER $\beta$ MRNA AND ER $\beta$ PROTEIN EXPRESSION IN BCAS

When ER $\beta$  protein and mRNA expression was simultaneously investigated in the same study, the levels of ER $\beta$  mRNA expression correlated with ER $\beta$  protein levels, only in 34%–54% of cases. Moreover, their clinical outcomes were not always consistent [14-18], although few studies demonstrated consistent findings [64]. In a study by Kim et al. [16], ER $\beta$  mRNA was associated with worse DFS and poorly-differentiated and lymph node-positive, and PR-negative tumors; ER $\beta$  mRNA is thus considered an independent predictor of disease recurrence in ER $\alpha$ -positive BCa, whereas ER $\beta$ 1 protein was associated with smaller tumor. High ER $\beta$ 2 mRNA is associated with a favorable TAM response and improved survival in node-negative BCa, ER $\alpha$ -positive BCa and the entire cohort of TAM-treated patients, whereas ER $\beta$ 2 protein levels are associated with better outcome only in ER $\alpha$ -positive BCa [15]. High ER $\beta$ total protein expression is associated with TAM-sensitive tumors, whereas ER $\beta$  mRNA expression of ER $\beta$  isoform 1, 2 and/or 5 are not [14]. Such findings have been reported by the same research group in two different studies; in the first study, they reported TAM resistance in tumors expressing high ER $\beta$  mRNA [13], and in the second study, they demonstrated better DFS in patients on exemestane therapy with low ER $\beta$ 1 protein expression as well as better DFS in patients on TAM therapy in tumors expressing with high ER $\beta$ 1 protein expression [36].

When ER $\beta$  protein or mRNA is separately investigated in different studies, the clinical outcome findings of ER $\beta$  isoform protein or mRNA expression are not consistent. Among reviewed ER $\beta$  protein expression studies, 56.5% (26/46) of ER $\beta$  isoform expression demonstrated favorable outcomes; ER $\beta$ 1, ER $\beta$ 2, and ER $\beta$ 5 protein expression in 15.2% (7/46), 10.9% (5/46), and 4.3% (2/46), respectively. The unfavorable outcomes were observed with ER $\beta$ 1, ER $\beta$ 2, and ER $\beta$ 5 protein expression in 15.2% (7/46), 4.3% (2/46), and 2.1% (1/46), respectively. Similarly, the studies on ER $\beta$  mRNA expression showed favorable outcomes in 47.3% (9/19) of the studies and the unfavorable outcomes in 53.3% (10/19). Thus, the studies on ER $\beta$  isoform protein or mRNA expression demonstrated both favorable as well as unfavorable findings.

## CONCLUSION

The results of many studies reviewed herein on ER $\beta$  mRNA or protein expression in BCa are inconsistent. Thus, the clinical significance of ER $\beta$  in BCa remains elusive. The studies reviewed herein showed that ER $\beta$  isoform mRNA or protein expression in different BCa subtypes including ER $\alpha$ -negative BCa and TNBC is associated with both favorable or unfavorable clinical outcomes, good or poor clinical parameters and good or poor endocrine therapy responses. Each ER $\beta$  isoform seems to have different associations with clinical outcomes and clinical correlation. This may result from the complexities of ER $\beta$  isoforms, however, may also related to the lack of standardized testing guidelines for ER $\beta$  mRNA or ER $\beta$  protein expression, the heterogeneity of study subjects and varying assessment methods. Testing methods for ER $\beta$  mRNA or protein expression need to be standardized, and reproducible. Objectively measurable methods to determine ER $\beta$  as a prognostic, predictive and therapeutic target are therefore required [73]. Although IHC is the method of choice and routinely and widely applicable for ER $\beta$  protein expression analysis, the use of commercially available ER $\beta$  antibodies can produce varying results [74]. ER $\beta$  protein assays may need to be adopted as per recommended guidelines and strict validation to develop the standardized protocols. The usage of highly selective and specific antibodies is essential to ensure accurate measurement of ER $\beta$  isoforms when applied to protein immunoassay as that for ER $\alpha$  [75,76]. Recent studies on quantitative *in situ* measurement of ER $\alpha$  mRNA in FFPEs [77] have provided insights into the potential application of ER $\beta$  mRNA analysis in FFPEs.

ER $\beta$  is generally thought to have anti-proliferative roles in disease progression and is considered to be tumor suppressive in BCa [78]. However, it also exerts proliferative effects with high Ki-67 positivity in the absence of ER $\alpha$ . and may have a potential therapeutic implication in this cohorts. Thus, ER $\beta$  is not simply a surrogate marker for ER $\alpha$  but it may affect the growth and proliferation of BCa cells. The ER testing profile of BCa may thus benefit to include ER $\beta$  and its variants together with ER $\alpha$ . Further investigation of ER $\beta$  isoforms in BCa subtypes, particularly in a large cohort of ER $\alpha$ -negative BCa and TNBC is thus warranted to explore the role of ER $\beta$  as prognostic and/or predictive factor and a potential therapeutic target in this cohort of BCa. Furthermore, it remains a challenge to develop strategies for standardizing the widely and routinely applicable analytical methods and protocols for measuring ER $\beta$  expression to evaluate the clinical relevance of ER $\beta$  isoforms in BCa in depth.

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