

# Whole-genome sequencing reveals a coding non-pathogenic variant tagging a non-coding pathogenic hexanucleotide repeat expansion in *C9orf72* as cause of amyotrophic lateral sclerosis

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**Motor neuron degeneration in amyotrophic lateral sclerosis (ALS) has a familial cause in 10% of patients. Despite significant advances in the genetics of the disease, many families remain unexplained. We performed whole-genome sequencing in five family members from a pedigree with autosomal-dominant classical ALS. A family-based elimination approach was used to identify novel coding variants segregating with the disease. This list of variants was effectively shortened by genotyping these variants in 2 additional unaffected family members and 1500 unrelated population-specific controls. A novel rare coding variant in *SPAG8* on chromosome 9p13.3 segregated with the disease and was not observed in controls. Mutations in *SPAG8* were not encountered in 34 other unexplained ALS pedigrees, including 1 with linkage to chromosome 9p13.2–23.3. The shared haplotype containing the *SPAG8* variant in this small pedigree was 22.7 Mb and overlapped with the core 9p21 linkage locus for ALS and frontotemporal dementia. Based on differences in coverage depth of known variable tandem repeat regions between affected and non-affected family members, the shared haplotype was found to contain an expanded hexanucleotide (GGGGCC)<sub>n</sub> repeat in *C9orf72* in the affected members. Our results demonstrate that rare coding variants identified by whole-genome sequencing can tag a shared haplotype containing a non-coding pathogenic mutation and that changes in coverage depth can be used to reveal tandem repeat expansions. It also confirms (GGGGCC)<sub>n</sub> repeat expansions in *C9orf72* as a cause of familial ALS.**

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## INTRODUCTION

Amyotrophic lateral sclerosis (ALS) is a neurodegenerative disorder clinically characterized by muscular weakness due to degeneration of upper and lower motor neurons. Median survival is limited by respiratory failure to 3 years after disease onset (1). Extra-motor involvement such as frontal executive deficits or behavioral problems is seen in up to 50% of patients, but the clinical criteria for frontotemporal dementia (FTD) are met in only ~5% of patients (2). Adequate treatments are lacking. Familial and sporadic cases are clinically indistinguishable. In most instances, accumulations of the protein TDP-43 are encountered at post-mortem examination of the brain and spinal cord (3). Although only 10% of ALS is familial, the discovery of disease genes accelerated research into the pathogenic mechanism of motor neuron degeneration (4). Three genes are relatively frequently encountered in familial ALS. Mutations in *SOD1* are responsible for ~20% of familial ALS (5) and mutations in *TARDBP* and *FUS* are each encountered in 3–5% (6–9). Mutations in *ANG*, *VAPB*, *OPTN* and *VCP* are less often causing ALS (10–13). Roughly 70% of ALS families remain unexplained after routine genetic testing. Using linkage analysis in larger ALS kindreds, loci at chromosome 18q (14) and 20p (15) have been identified. In addition, several families with ALS and FTD have been linked to chromosome 9q (16) and 9p (17–26). Next-generation sequencing techniques such as exome-sequencing or whole-genome sequencing will accelerate the discovery of disease genes.

In this study, we used whole-genome sequencing in a small kindred of familial ALS in which mutations in *SOD1*, *TARDBP*, *FUS*, *ANG*, *ATXN2* and *GRN* were previously excluded (27–29). We sought for genetic variants that segregated with the disease in this family and were absent in controls.

## RESULTS

### Description of the pedigree

A small two-generation pedigree with classical ALS was studied (Fig. 1). The index patient (III:2) developed dysarthria and dysphagia at the age of 40. A progressive bulbar and facial weakness was noted, followed by weakness of the right hand 4 months later. No changes in cognition or personality were apparent, but a pseudobulbar affect with easy laughing was present. On clinical examination, a combination of upper and lower motor neuron signs were found in the bulbar region and in the limbs. Signs of lower motor neuron degeneration were present in three body regions on electrodiagnostic testing. The muscle weakness was steadily progressive and the patient died 22 months after disease onset. Around that time, ALS was diagnosed in one of the parents at the age of 72 (II:3). Subject II:3 suffered from progressive bulbar weakness with dysphagia and dysarthria. After 1 year, progressive muscle weakness and atrophy in the limbs with upper motor neuron signs were noted. Lower motor neuron signs were present in three body regions on electrodiagnostic testing. Death occurred at the age of 74. Three years later, at the age of 47, subject III:1 presented with difficulty walking caused by muscle weakness and atrophy in the right leg. At presentation, signs of lower and upper motor neuron loss in three body regions confirmed the diagnosis.

Electrodiagnostic testing confirmed lower motor neuron involvement in three body regions. The disease progressed to the upper limbs and survival was limited to 16 months after disease onset. There was no history of ALS in generation I. I:1 and I:2 died at the age of 83 and 90, respectively. I:2 developed dementia presenting with memory complaints at the age of 76 years, but no motor problems were observed. Three siblings of generation two developed dementia. In 2 of them (II:7 and II:8), this was around the age of 60 years. Detailed clinical information and DNA was only available from subjects II:1–4 and subjects III:1–3.

### Whole-genome sequencing

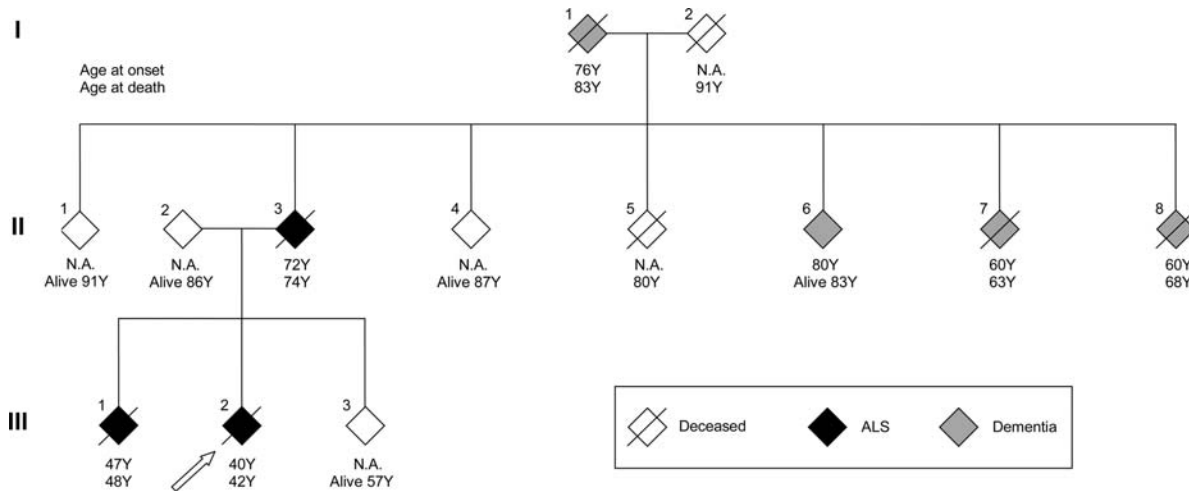
We performed whole-genome sequencing on the parents (II:2 not affected and II:3 affected) and three siblings (III:1 and III:2 affected, III:3 not affected) from this small kindred with classical rapidly progressive ALS. The inheritance pattern appeared to be autosomal dominant. Sequencing was performed at Complete Genomics (Mountain View, CA, USA), read mapping and variant detection was performed using the CGA tools<sup>®</sup>. Mapped bases after reference assembly and *de novo* assembly ranged from 188.4 to 338.2 Gb. The fraction of the genome sequenced in the five subjects was 89.8% on average (Supplementary Material, Table S1). The exome coverage was 96.6% on average and was consistently higher than the genome coverage for all five subjects. The average haploid coverage ranged from 44,1x to 74,2x (Supplementary Material, Table S1). At least 84.8% of the genome and 93.5% of the exome was sequenced with good coverage in all five subjects. The total single nucleotide variant (SNV) and small insertions/deletions (indels) count ranged from 3 045 998 to 3 280 751 and from 332 116 to 402 583, respectively, in the different subjects (Supplementary Material, Table S2).

First, the coding part of the genome was analyzed. The analysis flow is shown in Supplementary Material, Figure S1. In the coding region of each genome, 7947–8666 missense variants, 24–56 nonsense variants and 302–496 frameshift variants were identified (Supplementary Material, Table S2). Using a family-based elimination approach, 414 missense mutations, 9 nonsense mutations and 8 frameshift mutations were retained that segregated with the disease in this family. After removing the variants also present in the most recent release of the 1000 Genomes Project or in dbSNP or in 9 previously sequenced genomes, 39 missense, 1 nonsense and 2 frameshift novel variants were selected as putative candidate causative mutations (Table 1).

### Validation of novel coding variants segregating with disease

Next, a Sequenom MassAssay was performed for all candidate mutations. To check the quality of the results obtained from the whole-genome sequencing, the assay was run on the five original DNA samples. This assay failed in validating four variants due to the primer design. These variants were checked by Sanger sequencing or Taqman assay. All variants identified in the original whole-genome sequencing study were confirmed (Table 1).

In order to limit the list of candidate mutations, controls were analyzed. For this purpose, we used three groups of



**Figure 1.** Pedigree of family with autosomal-dominant inheritance of ALS. The index patient is shown by the arrow. Age at disease onset and age at death are shown. Several other family members suffered from dementia, but no detailed clinical information or DNA was available from these family members. N.A. denotes not applicable.

population-specific controls (900 healthy controls and 600 breast cancer controls with self-declared Flemish ethnicity for 3 generations, and 2 additional non-affected family members, being subject II:1 and II:4). We hypothesized that the novel variants (segregating with disease and not previously reported) that are also encountered in population controls or in two elderly healthy family members are unlikely to be the causative mutation. More likely, such variants represent very rare or population-specific variants. Using this strategy, all but two variants could be excluded. Both sets of controls provided complementary information, 27 variants could be excluded by genotyping the population controls and 28 by genotyping two additional unaffected family members (Table 1). The A956V variant in *RNF123* (ring finger protein 123) and the I121V variation in *SPAG8* (sperm-associated antigen 8) were not encountered in any of the controls. Of interest, *SPAG8* is located on chromosome 9p13.3, just outside the narrow locus shared by all ALS-FTD families linked to 9p21 (17–26), but inside the larger region linked to ALS-FTD in several families. We therefore further studied the *SPAG8* gene in ALS.

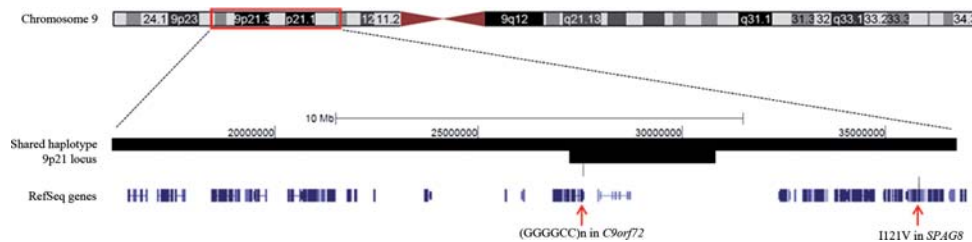
We sequenced the gene in a cohort of unexplained familial ALS patients seen at the neuromuscular reference center in Leuven, in whom mutations in *SOD1*, *TARDBP*, *FUS*, *ANG*, *ATXN2* and *GRN* were previously excluded (27–29). The 8 exons and intron–exon boundaries of *SPAG8* were sequenced in 43 ALS patients belonging to 33 unrelated pedigrees. Three additional missense changes (D37A, S110G and R349S) were found in two different pedigrees, but none of them appeared to be pathogenic. The D37A variant was found in ALS patients belonging to a pedigree with slowly progressive ALS, and the S110G and the R349S variant were observed in a family with dementia and ALS. The R349S variant was also observed in 5/1073 controls. The other two variants were absent in controls, but did not segregate with disease within the respective pedigrees. In addition, no mutations in *SPAG8* were found in an ALS patient from a family with proven linkage to the 9p13.2–21.3 locus (19). Therefore, mutations in *SPAG8* are unlikely to be pathogenic.

### Fine-mapping of the shared haplotype around the *SPAG8* gene

Since *SPAG8* is located near a locus shared by all ALS-FTD families with linkage to 9p21, we hypothesized that this variant was tagging a causal non-coding mutation in this family. We determined the shared haplotype around the I121V variant by analyzing the known SNPs on chromosome 9p. The shared haplotype containing the variant was 22.7 Mb in size (14 041 725–36 734 729) and overlapped with the core 9p21 locus shared by all ALS-FTD families (Fig. 2). Within the overlapping region of the segregating haplotype and the core 9p linkage region, which consisted of 3.6 Mb (27 228 617–30 809 382), we analyzed all the novel non-coding variants. Nineteen novel variants segregating with disease were identified, of which none was located in conserved regions. It is therefore unlikely that any of these variants is causing the disease phenotype. We then assessed structural variants predicted by the CGA tools®. None of the structural variants identified was segregating with disease. Finally, since simple tandem repeats can also be involved in causing neurodegenerative diseases, we assessed whether variable tandem repeat expansions were present in affected family members. Since tandem repeats are often missed by paired-end short-read sequencing technologies due to mapping errors, leading to changes in coverage depth in and around tandem repeats (30), we assessed coverage depth within the core 9p linkage region. Theoretically, two different types of mapping errors can occur when assessing tandem repeat extensions: (i) if both ends of the paired-end read can effectively be mapped, the coverage depth in and around the repeat will be increased, or (ii) if one end of the paired-end read fails to map in the tandem repeat, there will be low coverage within the repeat region but increased coverage around the repeat site due to correct mapping of the other paired-end outside of the repeat region. We therefore investigated both scenarios in all intra-genic repeat regions within the core 9p linkage region. For the first scenario, seven tandem repeats with increased coverage at the repeat site segregating with disease in our pedigree

**Table 1.** Novel coding variants segregating with ALS in pedigree FALS3

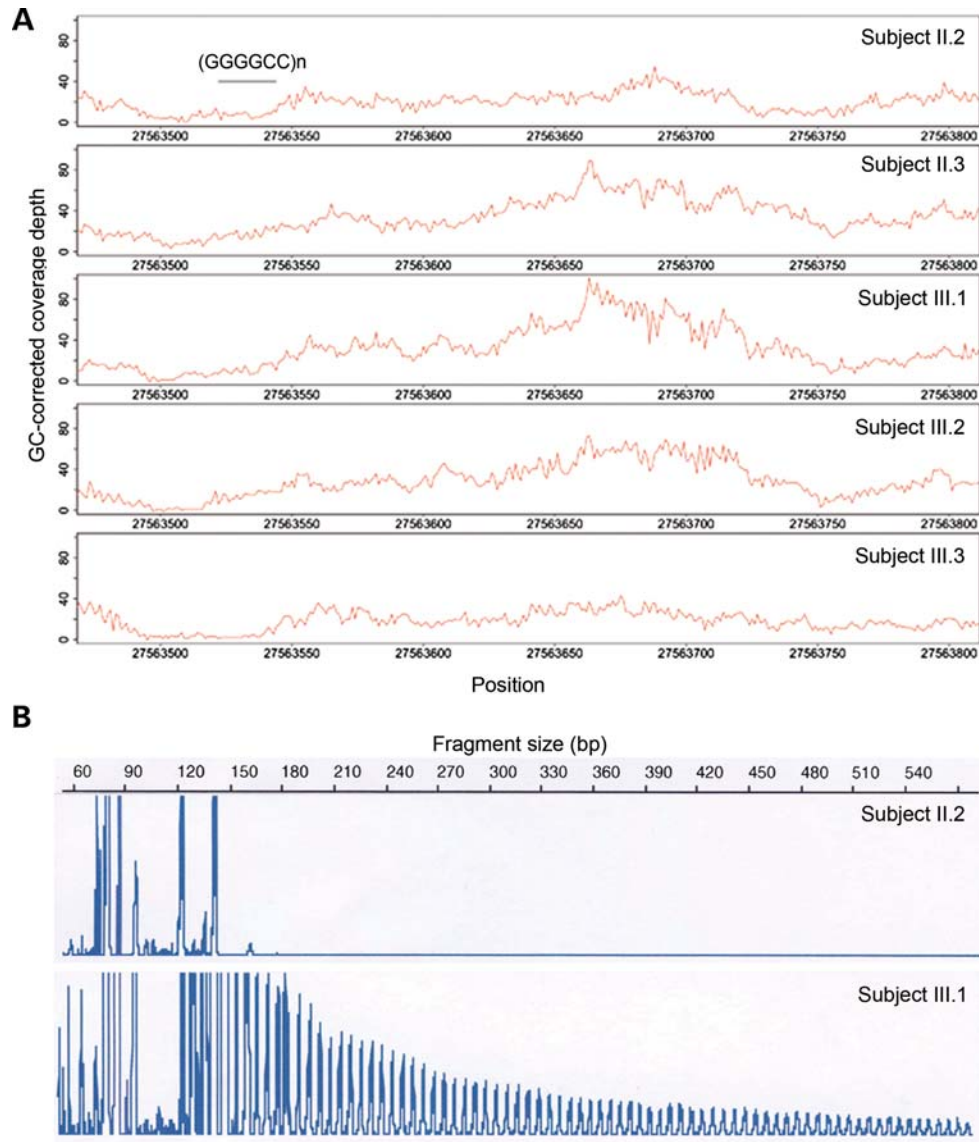
Gene	Gene name	Chrom	Variant	Confirmed	Present in 1500 population controls	Present in 2 family controls
<i>NUP210L</i>	nucleoporin 210kDa-like	1	p.V1196I	Yes	Yes	No
<i>PMF1</i>	Polyamine-modulated factor 1	1	p.R149W	Yes	Yes	No
<i>KIF1B</i>	Kinesin family member 1B	1	p.R18Q	Yes	No	Yes
<i>KIF1B</i>	Kinesin family member 1B	1	p.E1006G	Yes	Yes	Yes
<i>RNF115</i>	Ring finger protein 115	1	p.E239D	Yes	Yes	No
<i>MAP3K6</i>	Mitogen-activated protein kinase 6	1	p.R196W	Yes	No	Yes
<i>C1orf59</i>	Chromosome 1 open reading frame 59	1	p.V12I	Yes	Yes	Yes
<i>SCMH1</i>	Sex comb on midleg homolog 1	1	p.P266S	Yes	Yes	Yes
<i>TDRD10</i>	Tudor domain containing 10	1	p.R250C	Yes	Yes	No
<i>AGXT</i>	Alanine-glyoxylate aminotransferase	2	p.A280E	Yes	No	Yes
<i>MYO7B</i>	Myosin VIIb	2	p.T1124K	Yes	Yes	Yes
<i>CCDC148</i>	Coiled-coil domain containing 148	2	p.Y307F	Yes	Yes	Yes
<i>ATG4B</i>	ATG4 autophagy related 4 homolog B	2	p.R90Q	Yes	Yes	Yes
<i>RNF123</i>	Ring finger protein 123	3	p.A956V	Yes	No	No
<i>DPPA2</i>	Developmental pluripotency associated 2	3	p.A157S	Yes	Yes	Yes
<i>SH3TC1</i>	SH3 domain and tetratricopeptide repeats 1	4	p.L1088M	Yes	Yes	Yes
<i>BRD2</i>	Bromodomain containing 2	6	p.G565D	Yes	No	Yes
<i>TULP1</i>	Tubby like protein 1	6	p.A496T	Yes	No	Yes
<i>CUTA</i>	CutA divalent cation tolerance homolog	6	p.C22X	Yes	–	Yes
<i>PSORS1C2</i>	Psoriasis susceptibility 1 candidate 2	6	280delC	Yes	–	Yes
<i>CCDC132</i>	Coiled-coil domain containing 132	7	p.V770I	Yes	No	Yes
<i>SPAG8</i>	Sperm-associated antigen 8	9	p.I121V	Yes	No	No
<i>IFNA7</i>	Interferon, alpha 7	9	p.V129L	Yes	Yes	No
<i>NDOR1</i>	NADPH dependent diflavin oxidoreductase 1	9	p.R30G	Yes	–	Yes
<i>GPR133</i>	G protein-coupled receptor 133	12	p.S265Y	Yes	Yes	Yes
<i>MTMR15</i>	Myotubularin related protein 15	15	p.E240K	Yes	Yes	Yes
<i>ICT1</i>	Immature colon carcinoma transcript 1	17	p.E171D	Yes	No	Yes
<i>DNAH17</i>	Dynein, axonemal, heavy chain 17	17	p.Q4387E	Yes	No	Yes
<i>IFT20</i>	Intraflagellar transport 20 homolog	17	p.R105Q	Yes	Yes	Yes
<i>DDX52</i>	DEAD (Asp-Glu-Ala-Asp) box polypeptide 52	17	p.D5G	Yes	Yes	Yes
<i>EVI2A</i>	Ecotropic viral integration site 2A	17	p.G187S	Yes	Yes	Yes
<i>LRRC37B</i>	Leucine rich repeat containing 37B	17	p.E510Q	Yes	–	Yes
<i>KRTAP4–8</i>	Keratin associated protein 4–8	17	p.C30X	Yes	Yes	No
<i>ZNF229</i>	Zinc finger protein 229	19	p.G513R	Yes	Yes	No
<i>CCDC8</i>	Coiled-coil domain containing 8	19	p.N318K	Yes	Yes	No
<i>ZNF534</i>	Zinc finger protein 534	19	1510delC	Yes	Yes	Yes
<i>PLIN4</i>	Perilipin 4	19	p.V917I	Yes	Yes	No
<i>SLCO4A1</i>	Solute carrier organic anion transporter family, member 4A1	20	p.V263I	Yes	Yes	No
<i>ARFGAP1</i>	ADP-ribosylation factor GTPase activating protein 1	20	p.K278E	Yes	Yes	No
<i>COL9A3</i>	Collagen, type IX, alpha 3	20	p.P476R	Yes	Yes	No
<i>TSPYL2</i>	Testis-specific Y-encoded-like protein 2	X	p.R353C	Yes	Yes	Yes
<i>FAM47B</i>	Family with sequence similarity 47, member B	X	p.G14V	Yes	Yes	Yes

**Figure 2.** Shared haplotype containing I121V variant in *SPAG8* map of chromosome 9, showing the location of the core 9p21 locus containing the *MOBK2B*, *IFNK* and *C9orf72* genes and the shared haplotype containing the I121V variant in *SPAG8*.

were identified (Supplementary Material, Table S3). For the second scenario, only one tandem repeat with increased coverage depth around the repeat site was found. In particular, a peak of increased coverage depth in the 300 bps following the repeat was observed in affected family members (II:3, III:1 and III:2) but not in non-affected members (II:2 and III:3) (Fig. 3A). The repeat identified turned out to be the

very recently described hexanucleotide (GGGGCC)<sub>n</sub> repeat in intron 1 of *C9orf72* (31–33) as a novel cause of familial ALS and FTD. The repeat expansion in *C9orf72* could not be observed in the aligned sequences. However, when amplifying the repeat region using polymerase chain reaction (PCR) affected family members were homozygous for a short repeat (repeat size of 5), whereas non-affected family members were





**Figure 3.** Expanded (GGGGCC)<sub>n</sub> repeat in *C9orf72* in affected family members. **(A)** GC-corrected coverage depth reveals region with increased coverage depth in affected family members only in region following (GGGGCC)<sub>n</sub> repeat in *C9orf72*. **(B)** PCR products of repeat-primed PCR demonstrate repeat expansion in affected family members by the presence of a tail of stutter amplification. An example of a non-affected and affected family member is shown.

heterozygous for two different repeat lengths (repeat size of 2 and 5). An unamplifiable repeat expansion can explain this observation. Using repeat-primed PCR, the expanded hexanucleotide repeat was revealed and was shown to segregate with disease (Fig. 3B). Although this technique does not allow accurate measurements of the repeat size, it was estimated to be ~80 in this kindred, which is within the range described in ALS-FTD patients (range 30 to >100, up to 23 in controls) (31,32).

## DISCUSSION

Next-generation sequencing technologies are expected to accelerate the discovery of novel disease genes (34). In patients with familial ALS or ALS-FTD, ~50% of pedigrees remain

unexplained. Very few studies using next-generation sequencing have been performed in neurodegenerative disorders. Exome sequencing has revealed mutations in *VCP* in familial ALS (13), mutations in *VPS35* in Parkinson's disease (35,36), mutations in *DNMT1* in a rare form of dementia with hearing loss and neuropathy (37) and mutations in *DNAJC5* in adult-onset neuronal ceroid lipofuscinosis (38). No whole-genome studies in neurodegenerative disorders have been reported.

Because of the challenge of filtering and interpreting the large number of identified variants, the few whole-genome sequencing studies performed have focused on missense mutations, nonsense mutations or small frameshift variants (insertions or deletions), similar to exome sequencing studies (39,40). Due to the mapping of short reads, other genetic variants, such as structural variants of 50–500 bp or repeat

expansions, are difficult to extract from the aligned sequences. In our small kindred of autosomal-dominant ALS, whole-genome sequencing data of just five individuals (three affected and two unaffected) were used to find coding variants that segregated with disease. The list of candidates was dramatically reduced by the use of family controls and population-specific controls. Although we could not demonstrate that the most promising rare variant represents a pathogenic mutation, the availability of whole-genome sequencing data allowed us to delineate a shared haplotype of 22.7 Mb tagged by this rare coding variant. This haplotype completely overlapped with the core 9p linkage region and was shown to contain the very recently described hexanucleotide (GGGGCC)<sub>n</sub> repeat expansion in intron 1 of *C9orf72* (31–33). This repeat expansion was not apparent from the aligned sequences, but was identified when assessing changes in coverage depth of known variable tandem repeat regions segregating with disease. The differences in coverage depth between affected and non-affected family members are possibly due half-mapped reads. In contrast to the studies that only very recently identified an expanded (GGGGCC)<sub>n</sub> repeat in *C9orf72* using established linkage regions in large families with ALS-FTD, our whole-genome sequencing was able to pinpoint the same region using only a small kindred.

*C9orf72* encodes an uncharacterized nuclear protein. How (GGGGCC)<sub>n</sub> in *C9orf72* cause disease is unknown. The repeat expansion leads to loss of an alternatively spliced transcript, possibly to reduced protein expression and to the formation of nuclear RNA foci (31,32). Further studies are required to elucidate the disease mechanism of this novel cause of ALS-FTD with TDP-43 pathology.

Our study illustrates caveats in the interpretation of rare variants identified by current strategies for whole-genome sequencing and how rare variants can be useful in tagging a nearby non-coding true disease-causing mutation and how changes in coverage depth can reveal repeat expansions. In addition, the pathogenic nature of (GGGGCC)<sub>n</sub> repeat expansion in *C9orf72* is further supported by our results.

## MATERIALS AND METHODS

### Subjects

Between 1994 and 2010, DNA samples from patients with familial ALS and controls seen at the neuromuscular clinic in Leuven were collected (28). Patients met the revised El Escorial (41) and Awaji criteria (42) of probable ALS. Blood samples were obtained after informed consent, and this study was approved by the local ethical committee of the KU Leuven. After excluding mutations in *SOD1*, *TARDBP*, *FUS*, *ANG*, *ATXN2* and *GRN* (27–29), the cause of ALS remained unexplained in 46 patients belonging to 34 families. Three affected family members from pedigree FALS3 were used for whole-genome sequencing. Index patients from the other unexplained families and from an ALS pedigree with proven linkage to 9p (19) were used for validating the results obtained. Population-specific controls consisted of 900 healthy controls and 600 breast cancer controls.

### Whole-genome sequencing

Sample DNA was extracted from whole blood using standard methods and sequenced at Complete Genomics (Mountain View, CA, USA) using unchained combinatorial probe anchor ligation chemistry on self-assembling DNA nanoballs (DNBs) (43). Raw reads were aligned to the reference genome (National Center for Biotechnology Information (NCBI) build 36). Mapped reads were assembled using their CGA Tool. Variants were called and scored using a local *de novo* assembly approach. Sequencing alignment and variant calling were also performed at Complete Genomics.

### Bio-informatic analysis

*Variants annotation.* All variants from each genome were annotated independently using *Annovar* Tool. Coding variants were selected for further investigation. Coding variants include missense variants, nonsense variants and frameshift variants (insertions or deletions).

*Family-based elimination approach.* The analysis was performed under the assumption that the causative mutation should be shared by the three affected subjects (II:3, III:1 and III:2) but not present in the two unaffected subjects (II:2 and III:3). This approach was applied to all the coding variants.

*Novel variants selection.* To eliminate common germline polymorphisms from consideration, variants reported in dbSNP130 or in 1000 genome project 2010 July release were removed. Variants also identified in nine in-house Complete Genomics whole-genome sequenced germline DNA samples from gynecological cancer patients of Flemish origin were considered population-specific variants. They were removed as well from consideration. Only the variants not previously identified were considered putative candidate causative mutations.

*Detection of the shared haplotype around the I121V variant in SPAG8.* To determine this haplotype, we analyzed SNPs on chromosome 9. We first filtered out SNPs which were identical in both parents or for which neither of the parents were heterozygous. Using this list of unique heterozygous SNPs in the parents, the parental origin for each of these SNPs in the siblings can be determined. An analysis of consecutive SNPs in both parents and the three siblings was used to make an estimation of the haplotype blocks.

*Detection of short tandem repeats in shared haplotype.* Short tandem repeats are regions in the genome of minimum six consecutive bases built up by at least two identical blocks of 2–6 bp. To locate all short tandem repeats in the haplotype block, we used a tool called ‘grepseq’ (<http://code.google.com/p/grepseq/>). This tool scans fasta-files in search for positions in the genome that match a given pattern (e.g. ‘short tandem repeat’). The coverage depth was analyzed in and around short tandem repeats of at least two consecutive repeats of 4–6 bp. The coverage depth is a number provided by Complete Genomics that indicates the amount of times a given base has been sequenced by different reads. After

correcting the coverage depth for the GC bias using a script from Complete Genomics (UCSC GC-track), we searched for short tandem repeats with unexpected coverage depth.

## Genotyping

Variants identified by whole-genome sequencing were confirmed using a Sequenom MassAssay system (Sequenom, San Diego, CA, USA).

Variants that failed genotyping using Sequenom assays were typed in family and unrelated controls using bidirectional dideoxy sequencing of amplified products or Taqman genotyping assays-by-design run on a 7300 Sequence Detection System (Applied Biosystems, Foster City, CA, USA) according to the manufacturer's instructions.

The hexanucleotide repeat region in intron 1 of *C9orf72* was typed by PCR and repeat-primed PCR as previously described (31,32). The length of fragments generated by repeat-primed PCR was determined after running on an ABI3130xl sequencer, using GeneMapper software version 4.0 (Applied Biosystems).

## SUPPLEMENTARY MATERIAL

Supplementary Material is available at *HMG* online.

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*Conflict of Interest statement.* None declared.

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