Neuro-Oncology

Neuro-Oncology 17(7), 942–952, 2015 doi:10.1093/neuonc/nov020 Advance Access date 2 March 2015

# Identification and functional prediction of mitochondrial complex III and IV mutations associated with glioblastoma

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**Background.** Glioblastoma (GBM) is the most common primary brain tumor in adults, with a dismal prognosis. Treatment is hampered by GBM's unique biology, including differential cell response to therapy. Although several mitochondrial abnormalities have been identified, how mitochondrial DNA (mtDNA) mutations contribute to GBM biology and therapeutic response remains poorly described. We sought to determine the spectrum of functional complex III and IV mtDNA mutations in GBM.

**Methods.** The complete mitochondrial genomes of 10 GBM cell lines were obtained using next-generation sequencing and combined with another set obtained from 32 GBM tissues. Three-dimensional structural mapping and analysis of all the nonsynonymous mutations identified in complex III and IV proteins was then performed to investigate functional importance.

**Results.** Over 200 mutations were identified in the mtDNAs, including a significant proportion with very low mutational loads. Twenty-five were nonsynonymous mutations in complex III and IV, 9 of which were predicted to be functional and affect mito-chondrial respiratory chain activity. Most of the functional candidates were GBM specific and not found in the general population, and 2 were present in the germ-line. Patient-specific maps reveal that 43% of tumors carry at least one functional candidate.

**Conclusions.** We reveal that the spectrum of GBM-associated mtDNA mutations is wider than previously thought, as well as novel structural-functional links between specific mtDNA mutations, abnormal mitochondria, and the biology of GBM. These results could provide tangible new prognostic indicators as well as targets with which to guide the development of patient-specific mitochondrially mediated chemotherapeutic approaches.

Keywords: functional prediction, glioblastoma, mitochondrial DNA (mtDNA) mutation, structural analysis, subgrouping.

Glioblastoma (GBM; World Health Organization grade IV) is the most malignant and common primary brain tumor in adults.<sup>1</sup> One percent of cases are diagnosed in patients younger than 20 years of age, 90% are primary de novo tumors, and 10% are secondary tumors that develop from lower-grade gliomas. GBM carries a dismal prognosis, with a median survival of just 14.6 months.<sup>2</sup> Effective treatment is hampered by the unique underlying heterogeneous biology of GBM, including differential cell response to current therapies. Research over the last decade has revealed the existence of 6 main subgroups, classified on the basis of cellular origin, anatomical compartment, and various molecular alterations such as aberrations in phosphatase and tensin homolog/delta-like 3 (Drosophila), epidermal growth factor receptor, neurofibromatosis type 1, platelet derived growth factor receptor  $\alpha$ /isocitrate dehydrogenase 1 (IDH1) gene expression, and more recently, H3 histone, family

#### Received 6 October 2014; accepted 23 January 2015

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Mitochondria are double-membrane organelles found in most eukaryotic cells. The inner membrane hosts the multisubunit protein complexes of the oxidative phosphorylation system (the mitochondrial respiratory chain [MRC] complexes I-IV and ATP synthase or complex V) that produces most of the ATP required for cell metabolism. Numerous other cellular processes are also linked to MRC function, including the tricarboxylic acid cycle, reactive oxygen species (ROS) signaling, generation of nicotinamide adenine dinucleotide phosphate, mitochondrial membrane potential, and apoptosis.<sup>6</sup> Mitochondrial DNA (mtDNA) is essential for producing 13 of the core catalytic MRC protein subunits. Consequently, mtDNA mutations often cause defects in the MRC, which in turn have dramatic and multiple consequences for the cell.

Although GBM cells contain several mitochondrial abnormalities compared with normal brain cells,<sup>7–9</sup> and mtDNA mutations are proposed to be at the heart of the mitochondrial abnormalities observed in cancers,<sup>10</sup> patient-specific profiles and functional roles of mtDNA mutations found in gliomas<sup>8,11–13</sup> are poorly described. Confounding issues include the analyses of incomplete genomes, inadequate ascertainment of mutation loads, and/or the difficulties associated with proving direct links between mtDNA mutation and phenotype.

Only a proportion of the multiple mtDNA molecules resident in a cell or tissue will be mutated (heteroplasmy), influencing whether the mutation exerts a functional effect. In the absence of genetic transformation methods for the mammalian mtDNA, the usual way of proving a link is to demonstrate a corresponding biochemical defect in affected tissue. However, it is not practical to do this for every mutation, and more than one nuclear or mitochondrial mutation may be present, further confounding the interpretation.

Recently, we have demonstrated that 3D structural analysis of mitochondrial proteins *in silico*, which takes into account the bigenomic, quaternary structural organization of the MRC, can be used to reveal detailed mechanistic insights into the functional role of mutations in complex III and IV mtDNA genes.<sup>14</sup>

In this study, we used deep sequencing to increase the number of entire primary GBM mtDNAs available and to highlight the current known spectrum and heteroplasmies of GBMassociated mutations of complex III and IV mtDNA genes. Finally, we applied 3D structural analysis to the mutations to predict their functional significance and reveal insights into how they could contribute to the mitochondrial dysfunction observed in gliomas and individual responses to mitochondrially mediated chemotherapy.

# **Materials and Methods**

All reagents, materials, and equipment were purchased from Sigma-Aldrich, unless stated otherwise.

#### Patients and Samples

Set A consisted of new mitochondrial mutation data generated from: 7 human biopsy-derived primary adult GBM cell lines and

1 matched blood sample, which were obtained from patients from Kings College Hospital, London, under ethics permission (REC reference number: 11/SC/0048); 2 primary pediatric GBM cell lines obtained from other laboratories; 1 commercial primary adult GBM tumor cell line; and finally, 1 commercial nonneoplastic adult astrocyte cell line (Supplementary Table S1). Details of the cell culture techniques are described in the Supplementary Materials and Methods. Set B consisted of mitochondrial mutational data provided by whole-genome sequencing of 32 primary adult GBM biopsies, each with a matched blood sample, gathered by various centers on behalf of The Cancer Genome Atlas network (Supplementary Table S2).<sup>15–17</sup>

#### Whole Mitochondrial Genome Sequence Generation

Whole mitochondrial genome libraries were generated from long PCR amplicons to avoid contaminating nuclear pseudogenes (Set A).<sup>18,19,20</sup> Whole-exome or whole-genome libraries were generated from total DNA (Set B).<sup>16</sup> Set A libraries were sequenced on an Illumina MiSeq,<sup>18</sup> while the whole-exome or whole-genome libraries were sequenced on an Illumina HiSeq 2000 or GA-IIX or Illumina GAII or HiSeq, respectively.<sup>16</sup> Library preparation and sequencing are described in detail in the Supplementary Materials and Methods.

# Assembly, Mutation Identification, and Heteroplasmy Quantification

Set A and B reads were aligned using the Burrows–Wheeler Aligner,<sup>21,23</sup> and alignments were processed using GATK Unified Genotyper<sup>24,25</sup> (Set A) and ANNOVAR<sup>15,26</sup> (Set B) to identify mutations and calculate heteroplasmy. More details on Set A and B mutational data generation are given in the Supplementary Materials and Methods.

#### 3D Structural Analysis

To obtain a more comprehensive interpretation, complex III and IV mitochondrial mutational data from Set A and B mtDNAs were combined for the subsequent functional prediction and statistical analysis. The functional significance of all nonsynonymous mitochondrial mutations in complex III and IV proteins was then predicted using the 3D structural analysis method previously developed and validated on mutations associated with a broad range of human diseases, including cancer.<sup>14</sup> Briefly, based on location in the sequence alignments, the mutations were mapped onto highly homologous bovine complex III and IV structures. Then, following the detailed analysis of the location, relative to important catalytic and/or interaction surfaces, mutations were placed into 1 of 5 structural classes: (i) frameshift, (ii) active site, (iii) binding pocket, (iv) protein interaction region, and (v) nonfunctional (Supplementary Figs. S2 and S3). In addition, ligand docking analysis was performed on the mutation F18L to determine its effect on ubiquinone binding. Further details on the 3D structural modeling (including ligand docking analysis) are provided in the Supplementary Materials and Methods.

### Statistical Analyses

As the prevalences and heteroplasmies of individual mutations were varied, we used K-means and hierarchical (unweighted pair-group average method<sup>29</sup>) cluster analyses to determine the similarity of the mutations. The similarity metric (distance measure) used by the K-means module was unscaled Euclidean distance. The data matrix used to determine similarity of mutations was "3 variables  $\times$  130 cases," where the 3 variables were (i) prevalence of each mutation in the GBM cohort (%GBM), (ii) prevalence of each mutation in the general population (%HmtDB), and (iii) heteroplasmy level of each mutation (%Het), and the 130 cases were the number of nonsynonymous and synonymous complex III and IV mutations found in the combined (Set A+B) mtDNAs. ANOVAs were used to make sure the clusters were statistically different. All analyses were performed using Statistica 10 for Windows (Statsoft UK). The HmtDB contains over 16 000 mitogenomic sequences obtained from the tissues of normal, healthy subjects (%HmtDB<sup>27,28</sup>).

# Results

# New Deep Coverage Mitochondrial Genomes Permit the Quantification of Heteroplasmy

We obtained 10 complete GBM cell line mtDNAs using long PCR and next-generation sequencing (Set A). The size of all 10 mtDNAs was similar to the revised Cambridge Reference Sequence (rCRS, NC\_012920<sup>22</sup>), that is, 16 569 bp (Supplementary Table S4), and on average, the mitochondrial genomes were assembled from 2.6 million reads (Supplementary Table S4). The mean read lengths were  $147 \pm 1$  nucleotides. The total number of reads with Q-score of 20 or above contributing to the assembled sequences was on average  $97\% \pm 1$ with a mean depth of coverage over the entire mitochondrial genome of  $22695 \pm 5838$  (Supplementary Table S4), providing the 250 reads with a minimal Q-score of at least 30 for mutation detection and heteroplasmy determination. The mean depth of coverage at mutation sites for Set B mtDNAs was >5600.<sup>15</sup> All new mtDNAs generated have been submitted to GenBank with the following accession numbers (KJ735668-KJ735679).

#### Germ-line Mutations and Heteroplasmy Are Key Features

A key question is whether mutations associated with GBM are genetically inherited events (germ-line) or sporadic somatic (non-germ-line) events. Analysis of a subset of 33 GBM samples with corresponding matched bloods in the combined cohort allowed us to determine whether the mutations were germ-line or somatic. Set A mtDNAs (n = 10) contained 193 mutations relative to the rCRS, whereas Set B (n = 32) contained 174 mutations (Fig. 1A), and the average heteroplasmy of Set A mtDNAs was lower than Set B ( $60\% \pm 39$  vs  $97\% \pm 9$ , respectively; Fig. 1B and D). The differences between the datasets were also evident at the individual patient level, where the new data display >4-fold increase in the average number of mutations observed per sample (Set A was  $52 \pm 13$  vs  $12 \pm 6$  in Set B; Fig. 1C). The ratio of germ-line to somatic mutations is roughly consistent between the datasets (~21:1 for Set A

Twenty-five mutations that cause nonsynonymous amino acid substitutions in complex III and IV proteins were found in the combined GBM mtDNA dataset (Set A + B; Table 1). Thirty-two percent (8/25) have no known disease association (Set A: C9469T, T9252C, A6692del, C6237A, T15479C, A15326G, G15048A, and C14766T); 40% (10/25) were observed in GBM previously (Set B: A15218G, T15453C, G15500A, T15693C, A15758G, G6267A, G6619A, G9655A, A9667G, and G9966A); 16% (4/25) were found in both Sets A and B at very similar heteroplasmy despite the differences in sample types and methodologies used to obtain the mutational data in each case (A14793G, T14798C, C15452A, and G9477A); and 56% of the mutations (14/25) had other disease associations (A14793G, T14798C, A15218G, G15257A, C15452A, T15693C, A15758G, G15803A, G6267A, G9300A, G9477A, G9655A, A9667G, and G9966A) (Supplementary Table S5). The ratio of nonsynonymous germ-line to somatic mutations was  $\sim$ 8:1, lower than that determined for all the different mutation types combined.

### Nine Functional Mutations Identified

Following the identification of GBM-associated mutations, and importantly their mutational load within tumor cells, we sought to determine their functional significance. We predicted that 9 mutations have significant functional impact at the level of protein structural changes, while the remaining 16 are likely to be nonfunctional (Table 1). Among the mutations classified as functional, 1 caused a frameshift in the triplet code (class 1), 3 occurred in active site regions (class 2), 1 occurred in a substrate/product binding pocket (class 3), and 4 occurred in protein interaction regions (class 4). As expected, no functional mutations were present in the non-neoplastic astrocyte control.

#### Functional Mutations Can Be Either Somatic or Germ-line

Among the subset of functional candidates, 2 in mitochondrially encoded (MT) cytochrome b (CYB) were germ-line (T14798C and G15500A), while 2 in MT-cytochrome c oxidase (CO) 1 and MT-CO3 were somatic (G6619A and G9655A, respectively). Contrary to what has been observed in some cancers, such as gastric cancer,<sup>30</sup> our results suggest that both inherited and spontaneous mtDNA mutations could play a role in mitochondrial dysfunction and the biology of GBM.

#### A Global Structural Map

All nonsynonymous amino acid substitutions in complex III and IV genes were mapped onto their corresponding structural homologs (Fig. 2). These structures, together with decades of associated systematic biochemical analysis in the field, provide a window into the functional consequences of individual mutations. To our knowledge this is the first visual compendium of mutation sites found within the MRC proteins of GBM patients and it reveals a non-uniform distribution. Although many mitochondrial-encoded subunits act as hotspots for functional mutations, subunit MT-CO2 remains mutation free.



Fig. 1. Abundance and heteroplasmy of mutations in GBM samples in 10 GBM biopsy-derived cell cultures (Set A, black bars) and 32 GBM biopsy tissues (Set B, gray bars). Combined (A and B, respectively) and in individual (C and D, respectively) profiles.

#### Patient-Specific Structural Maps

A powerful aspect of this method is the ability to easily produce maps on an individual patient basis. Structural maps (relative to the non-neoplastic astrocyte control) of the functional mitochondrial mutations (F18L, G101D, L236P, F245L, D252N, G239D, W16R, and S150N) present in individual patients were generated (Fig. 3). Altogether 16 of the 42 GBM samples (38%) carried a single functional mutation, just 2 of the 42 (~5%) carried 2 functional mutations, while 24 GBM samples (57%) did not carry a functional mutation in either complex III or complex IV. This does not preclude the possibility that mutations in other regions of the MRC, such as in complex I or V, may be contributing to the pathology and/or drug sensitivity of GBM samples. Currently, the lack of close structural homologs to human complexes I and V limits informative mapping studies.

#### Cluster Analysis Identifies 2 Distinct Groups

Across the 42 GBM patients, high variability was observed in the prevalence of individual mutations (2%-30%). A comparison with prevalence in a large group of samples from over 16 000

subjects from the general population revealed that several mutations were absent, while others were present in as much as  $\sim$ 10% of the general population. The heteroplasmy revealed a more dramatic range from as little as 8% for some mutations to as much as 100% for others (Table 1).

Two distinct groups of mutation were identified by integrating both the hierarchical (unweighted pair-group method with arithmetic mean) and nonhierarchical (K-means) outputs with the functional predictions (Fig. 4, Table 1):

- (1) Functional, GBM: A small group of almost exclusively functional mutations (5 of 6,  $\sim$ 86%) found in single patients (2.38%  $\pm$ 0.00) but 39-fold less frequently in the general human population (0.06%  $\pm$ 0.16), with low mutational loads (15.52%  $\pm$ 10.02)
- (2) Nonfunctional, mixed: A large group of almost exclusively nonfunctional mutations (3 of 124, 2.42%) found in multiple patients ( $13.94\% \pm 11.60$ ) and multiple subjects in the general human population ( $3.42\% \pm 4.04$ ), with very high heteroplasmy levels ( $98.13 \pm 3.17\%$ ).

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3D Modeling

Structural/

(nucleotide) Group Non-neoplastic Functional Prediction (gene) Astrocytes Class 0 2 0 Nonfunctional MT-CYB (mt-cyb) T7I (C14766T) 14.29 98.25 + 3.14Inherited Yes H16R (A14793G) 4.76 2.32 (blood) 98.7±1.27 2 Inherited 0 Nonfunctional No F18L (T14798C) 30.95 8.66 (blood, buccal)  $98.98 \pm 1.71$ 2 Inherited No 3 Functional 2 0 1 Functional G101D (G15048A) 2.38 17 ND No 2 T158A (A15218G) 2.38 1.90 (blood, buccal) 97.9 Inherited No 0 Nonfunctional 2.38 99.6 2 0 Nonfunctional D171N (G15257A) 1.43 (blood, buccal) ND No T194A (A15326G) 23.81 0 98.80+0.29 2 Inherited 0 Nonfunctional Yes  $96.02 \pm 3.76$ 2 L236I (C15452A) 30.95 10.18 (blood, buccal, Inherited 0 Nonfunctional Yes bone) 0.03 (blood, buccal) 98.5 2 L236P (T15453C) 2.38 Inherited No 4 Functional F245L (T15479C) 2.38 0.38 (blood) 16.4 1 ND No 4 Functional D252N (G15500A) 2.38 0 4.99 1 Inherited No 2 Functional 2 M316T (T15693C) 2.38 1.25 (blood) 99.8 Inherited No 0 Nonfunctional I338V (A15758G) 2.38 0.75 (blood, buccal) 99.8 2 Inherited No 0 Nonfunctional 2 0 Nonfunctional V353M (G15803A) 2.38 0.1 (blood) 93.6 ND No MT-CO1 L112M (C6237A) 2.38 0.08 (ns) 99.6 2 ND 0 Nonfunctional No 99.9 2 0 (mt-co1) A122T (G6267A) 2.38 0.16 (blood) Inherited Nonfunctional No 2.38 33.7 1 2 Functional G239D (G6619A) 0 Somatic No 8 Functional M271Ter (A6692del) 2.38 ND ND No 1 MT-CO3 W16R (T9252C) 2.38 0 8.6 1 4 Functional ND No (mt-co3) A32T (G9300A) 2.38 0.47 (blood) 100 2 ND No 0 Nonfunctional 2 0 T88I (C9469T) 4.76 99.2 Inherited Nonfunctional 0.06 (ns) No V91I (G9477A) 4.76 4.41 (blood)  $98.55 \pm 1.45$ 2 Inherited No 0 Nonfunctional 2.38 2 Functional S150N (G9655A) 0.01 (ns) 73.8 Somatic 4 No N154S (A9667G) 2.38 0.75 (blood) 92.6 2 Inherited 0 Nonfunctional No V254I (G9966A) 2.38 0.67 (blood, buccal) 96.8 2 Inherited 0 Nonfunctional No

Table 1. Structural classification and functional prediction, presence in control astrocytes, heritability, heteroplasmy level, and prevalence of mutations in the mtDNA complex III and IV genes identified in GBM

%Het + SD

K-means

Heritability\*\*\*

Presence in

Abbreviations: ND, not determined; ns, not specified; K-means group—mutations were stratified into 2 groups based on 3 variables: %GBM = prevalence of mutations in the GBM cohort, %HmtDB = prevalence of mutations found in the human mitochondrial database, and %Het = heteroplasmy.

\* n = 42. \*\*n = >16 000. \*\*\*Mutations listed as "inherited" were found in both tumor and matched blood samples and are therefore likely to occur in the germ-line, while those listed as "somatic" were found in the tumor samples only and are therefore likely to be spontaneous events.

Human Subunit

Human Residue

%GBM\*

%HmtDB\*\* (tissue)



**Fig. 2.** (A) Complexes III and (B) IV 3D crystallographic structural maps of GBM mitochondrial mutation sites. Functional sites (red spheres); nonfunctional sites (blue spheres). Both complete dimeric complexes are depicted as ribbon models, with the mitochondrial-encoded MT-CYB subunit colored as orange and MT-CO1, MT-CO2, and MT-CO3 colored as magenta, yellow, and cyan, respectively. Nuclear-encoded subunits are colored in gray, and key functional sites, such as metalloprotein and substrate binding pockets, are annotated.

Post-hoc ANOVA comparisons confirmed that all 3 variables differed significantly between the 2 groups: prevalence in all the GBM samples tested (%GBM, P < .02), prevalence in the general population (%HmtDB, P < .04), and heteroplasmy (%Het, P < .0000001).

#### New Mechanistic Insights

We employed 3D structural analysis and docking studies to predict the effects of mutations on MRC activity and ligand binding, respectively. In order to demonstrate the wide spectrum of functional consequences that can arise from single mtDNA point mutations, we have provided graphical examples of 3 scenarios that include the dramatic loss of a large portion of protein subunits through to extremely subtle atomic rearrangements.

Figure 5A and B depict the structural consequence of the frameshift mutation *A6692del* on complex IV. In the wild type, MT-CO1 is the central and major subunit of complex IV, the terminal enzyme of the MRC that catalyzes electron transfer from cytochrome c to oxygen, conserving the released energy as coupled transmembrane proton transfers (Fig. 5A). The deletion of a single adenine nucleotide at position 6692 of the mitochondrial genome results in a change of 5 amino acids and ultimately a stop codon being introduced at position 271 of MT-CO1. This results in the deletion of 47% of the

subunit and occurs in both halves of the dimeric complex (Fig. 5B). It is likely that the truncated MT-CO1 (Fig. 5B) will not have sufficient surface area to form stabilizing contacts with the redox groups (heme a, heme a3, and CuB) or the other subunits found within complex IV, whether mitochondrial (MT-CO2 and MT-CO3) or nuclear (COX4I1, COX5B, COX6A, COX7C, COX7A, COX6B, and COX6C), impairing complex IV assembly. This loss in quaternary structure will further compromise the activity of additional MRC complexes, as associations among complexes IV, I, and III have been demonstrated to be vital for MRC function, assembly, and stability.<sup>31</sup>

*G15500A* results in the amino acid change D252N in MT-CYB (Fig. 5C and D). MT-CYB is the central subunit of complex III, the middle enzyme of the MRC that couples the transfer of electrons from ubihydroquinone to cytochrome c while translocating protons across the inner mitochondrial membrane (Fig. S4). On the basis of atomic structure, the D252 residue, conserved in all metazoans, is proposed to be part of a proton exit route from the catalytic site ( $Q_o$  site) in complex III.<sup>32</sup> The D252 residue occupies a key position within the  $Q_o$  site, being in direct contact with the external solvent in the intermembrane space. In addition, an interaction has been proposed between residues D252 and E272 via a complex network of water-mediated hydrogen bonds. Although E272 has been proposed to be a proton acceptor, more recent mutational analysis has indicated that E272 may rather serve as a relay on the proton

A	Data Set	Sample ID	Mutation (AA/nt)	Heteroplasmy (%)	Sampled DOC	Personalised Map
		IN699	F18L/T14798C	94.00	250	
		SEBTA-008	G101D/G15048A	17.00	250	8
		SNB19	W16R/T9252C	8.60	250	
		UP015	F18L/T14798C	100.00	250	8
		UP060	G263/A6692del	8.00	250	
			F245L/T15479C	16.40	250	<u>.</u>
в		124	F18L/T14798C	99.60	7806	8
		152	F18L/T14798C	99.40	7832	8
		155	F18L/T14798C	99.80	4646	<b>E</b>
		188	L236P/T15453C	98.50	7875	1
		692	G239D/G6619A	33.70	7763	200
			F18L/T14798C	99.60	5671	8
		742	S150N/G9655A	73.80	3056	2 XX
		744	F18L/T14798C	99.50	7413	
		745	F18L/T14798C	99.50	5215	
		827	F18L/T14798C	96.80	7875	
		882	F18L/T14798C	99.00	7785	<b>2</b> 3
		1438	F18L/T14798C	99.90	7503	<u>.</u>
		1454	D252N/G15500A	4.99	2988	0
		1830	F18L/T14798C	99.70	4776	<b>P</b>

**Fig. 3.** Functional mitochondrial mutation profiles of individual GBM biopsy-derived cell cultures (Set A) and GBM biopsy tissues (Set B). Samples 124, 152, 155, 744, 745, 827, 882, 1438, 1830, UP-015, and IN699 contained just F18L; sample SEBTA-008 contained just G101D; sample 188 contained just L236P; sample 1454 contained just D252N; SNB19 contained just W16R; and 742 contained just S150N. Sample UP-060 contained F245L and *A6692del* (see Fig. 5), while 692 contained both F18L and G239D. Samples 145, 185, 208, 214, 648, 686, 786, 821, 828, 846, 861, 877, 878, 881, 1063, 1086, 1401, 1459, 1460, SEBTA-003, SEBTA-015, UP-019, UP-029, and KNS42 were essentially like the SC-1800 (non-neoplastic astrocyte control) and contained no functional mutations (and so are not shown). The depth of coverage (DOC) used to calculate the percentage heteroplasmy of the functional candidates is also indicated.

exit pathway.<sup>33</sup> Figure 5C depicts the position of D252 relative to the  $Q_o$  site and E272. In either case, whether a block in the protein exit pathway directly or disruption of the mobile E272 relay mechanism, the loss of a protonable amino acid residue in mutant D252N (Fig. 5D) is predicted to slow down the

movement of protons from the  $Q_o$  site, reduce the electron transfer rate, and thus compromise the activity of complex III.

*T14798C*, which results in an amino acid change F18L in MT-CYB (Fig. 5E to H), is an example of a binding pocket mutation and forms part of the ubiquinone (coenzyme Q) binding



**Fig. 4.** Integration of hierarchical and nonhierarchical cluster and structural analyses of complex III and IV GBM-associated mtDNA mutations reveals 2 naturally occurring subgroups using the following variables: prevalence of each mutation in GBM; prevalence of each mutation in normal, healthy subjects; and heteroplasmy level. Group 1 mutations are significantly less frequent in healthy compared with GBM samples but are almost exclusively functional. Group 2 mutations occur in multiple healthy and GBM samples but are mostly nonfunctional.

site (Q<sub>i</sub> site) of complex III (Fig. S4). According to the structure of the wild-type Q<sub>i</sub> site in complex III, ubiquinone interacts with the buried heme in a "lock and key"-style enclosed environment (Fig. 5E). The pocket has evolved to make intimate contacts to the ubiquinone to action binding, redox reaction, and dissociation. In order to model the effect of GBM mutations on binding, we first used an in silico docking approach with the wild-type pocket and the natural substrate. We were able to simulate the precise docking of ubiquinone as observed in the crystal structure (Protein Data Bank identification [PDB ID] 1NTZ<sup>34</sup>) (Fig. 5F and Supplementary Fig. S5). We then modeled the effect of mutation F18L on this interaction and revealed that the structure of the binding cavity is opened up by the loss of a bulky aromatic group leading to alternative ubiquinone binding modes (Fig. 5G and H). It is likely that these additional modes are non-optimal for the crucial balance of association and dissociation following the redox event and are likely to influence the activity of complex III.

# Discussion

Despite significant advances in our understanding and treatment of cancers, GBM patients have a very limited survival and it is clear that current treatments are woefully inadequate. Our focus here was to identify and collate specific mtDNA mutations that are likely to contribute to GBM development, progression, and/or chemosensitivity. Our results reveal that the spectrum of mtDNA mutations associated with GBM is wider than previously thought and that individual patients present a surprising diversity of mutational and heteroplasmy profiles.

#### New Methods Yield Subtle Signatures

Numerous mtDNA mutations have been reported in a range of brain tumor types,<sup>8,13,35–39</sup> including GBM,<sup>8,11–13,15</sup> although many of these studies were incomplete, as they explored small portions of the mtDNA or used nonquantitative sequencing methods of limited sensitivity (eg, Sanger sequencing). Consequently, several potentially key mtDNA mutations have almost certainly been missed and their heteroplasmies overlooked, despite the latter being an important determinant of whether an mtDNA mutation exerts a phenotype.

Using 3D structural analysis, we predicted that 9 nonsynonymous complex III/IV mutations are functional, occurring mainly in MT-CYB. This finding is consistent with a previous observation that mutations associated with neuropathies tend to occur preferentially in MT-CYB. In the only other previous study where mutations were mapped across a wide-disease cohort, cancer mutations were found solely in complex IV. It is intriguing that GBM shares a signature more closely aligned with neuropathies, rather than cancers per se. In this way GBM has an atypical profile compared with other cancers, although this may reflect the neural origins of the cells.<sup>14</sup>

Unexpectedly, 2 clearly defined subgroups of brain tumorassociated mutation were identified using a combination of statistical and structural data. Such groupings have not been previously recognized. It is generally thought that mutations that promote cancer will be selected more frequently than neutral mutations,<sup>40</sup> so the mutations in subgroup 1 (heteroplasmy)—G101D (17%), F245L (16%), D252N (5%), G239D (34%), and W16R (9%)—are of particular interest given that they are more prevalent in GBM samples compared with those obtained from healthy individuals and are predicted to be functional. However, it is of note that 90% of the control mtDNAs were obtained from blood samples. Given that pathogenic mutations can be lost in blood over time,<sup>41</sup> the possibility that potentially functional mtDNA mutations identified in GBM could be found in less proliferative tissues of controls cannot be ruled out and warrants further investigation.

# MtDNA Mutations as Novel Diagnostic and Therapeutic Targets

The extent to which mtDNA mutations contribute to tumor aggression may depend on their specific impact on MRC activity.<sup>36,42</sup> For example, mutations that mildly affect the activity of the MRC and stimulate ROS production<sup>36</sup> have been shown to promote hypoxia-inducible factor  $1\alpha$  (HIF1- $\alpha$ ) stabilization/hypoxic adaptation, leading to a more aggressive phenotype.<sup>42</sup> In contrast, mutations that severely affect the activity of the MRC and abrogate ROS production have been shown to prevent HIF1- $\alpha$  stabilization/hypoxic adaptation and increase chromosomal stability, leading to a less aggressive tumor phenotype.<sup>36,43,44</sup> Although severe complex IV deficiency has been linked to a less aggressive phenotype in GBM,<sup>45</sup> whether this was a result of an underlying mutation in mtDNA was not investigated.

In this study, we identify a single germ-line point mutation, *T14798C*, that is present in one-third of the GBM patients tested. This is a significant finding because not only is this mutation predicted to alter the binding of the natural complex III



**Fig. 5.** Structural consequences of 3 classes of functional mutation present in GBM complex III and IV mitochondrial proteins (colored as in Fig. 2). *Frameshift mutation.* (A) Wild-type (M271) residue in MT-CO1 is rendered as a space-filling model (light pink), highlighting its central position within each monomer. (B) The mutant A6692del (M271Ter) results in deletion of >47% of the MT-CO1 polypeptide chain, disrupting the interaction of multiple subunits that surround MT-CO1. *Active site mutation.* (C) Wild-type (D252) residue within the MT-CYB complex III  $Q_o$  site. (D) The mutant (N252) may affect the passage of protons from the hydroxyquinone (blue) bound at the  $Q_o$  site to the solvent phase. Residue E272 is shown in the 2 alternate conformations observed in x-ray crystal structures with the stigmatellin-inhibited complex (PDB ID 1KB9<sup>50</sup>) (cyan) and in the HHDBT (5-n-heptyl-6-hydroxy-4, 7-dioxobenzothiazole)-inhibited complex (PDB ID 1P84<sup>32</sup>) (orange) and heme  $b_L$ . The quinol binding site is inferred from the inhibitors binding site. *Binding pocket mutation in complex III Q<sub>i</sub>* site. (E) The wild-type  $Q_i$ -site pocket is shown as a surface representation with the deeply buried heme group and bound ubiquinone (PDB ID 1NTZ<sup>34</sup>) (blue). (F) The results from in silico docking studies confirm that the predicted hydroxyquinone position (yellow) corresponds closely to that observed in the crystal structure. (G) An extra cavity is shown where the F18L GBM mutation (red) opens up the binding pocket. (H) The hydroxyquinone is observed to occupy additional binding sites (white) that are not possible in the wild-type protein due to steric constraints provided by the aromatic F18 residue. Since this highly conserved pocket is tailored precisely for hydroxyubiquinone binding, such a change is likely to interfere with its association/dissociation and ultimately efficient electron transfer from heme b<sub>H</sub>.

substrate ubiquinone and thus the activity of complex III and ROS levels (complex III is a major site of ROS production), but it could alter the binding of  $Q_i$  site inhibitors. Indeed, some of the most effective respiratory inhibitors, such as antimycin and stigmatellin, have been found to act directly at the ubiquinone  $Q_i$  and  $Q_o$  sites, respectively. There is strong biochemical and structural evidence that explains the molecular basis for the mutations at these sites that confer resistance to these compounds.<sup>34,46</sup> This discovery raises the possibility that complex III inhibitors could be used to trigger mitochondrially mediated apoptosis in some subgroups of GBM patients more effectively than others. Similarly, such information also has the potential to inform the clinician which drugs are likely or unlikely to be effective given a particular mtDNA genetic background.

#### Predisposing Elements or Triggers of Tumor Formation?

Some mutations occur in the germ-line prior to tumor initiation and act as predisposing elements to neoplastic transformation but do not necessarily cause tumors independently. Other mutations occur somatically and can trigger tumor formation either alone or in combination with a predisposing element. Although both somatic and germ-line mtDNA mutations have been documented in a range of brain tumor types, studies investigating the heritability of mtDNA mutations associated with GBM are generally lacking. Gliomas are known to aggregate in some families, suggesting an inheritable genetic basis. Most of these familial cases are associated with well-known tumor syndromes (eg, neurofibromatosis, Li-Fraumeni). The discovery that 2 of the functional complex III mutations were germ-line reveals a potential genetic predisposition for a small proportion of familial gliomas that occur in the absence of known syndromes.<sup>47–49</sup> Equally, the 2 functional complex IV mutations identified as somatic are potential candidates for triggering glioma formation. It remains to be shown whether these mutations are predisposing elements or are sufficient to drive tumor formation independently. In the absence of routine methods for the genetic manipulation of mtDNA, deciphering the contribution of single mtDNA mutations to aliomagenesis will require the development of innovative new approaches.

If the proposed functional mtDNA mutations identified are shown to contribute to gliomagenesis or correlate with key clinical parameters, such as age at diagnosis, therapeutic response, and survival outcome, this will have an impact on how the main GBM subgroups, which currently focus mainly on nuclear genome aberrancies, are treated in the future. They may also provide new targets for the development of mitochondrially mediated therapeutics. Thus future studies of GBM should focus on exploring the role of these mitogenomic elements in gliomagenesis and as indicators of prognosis and drug sensitivity, which will become possible as novel models are developed and as the size of the GBM cohorts with available molecular (particularly mitogenomic) and clinical data grows.

# **Supplementary Material**

Supplementary material is available at *Neuro-Oncology Journal* online (http://neuro-oncology.oxfordjournals.org/).

### Funding

The authors R.E.L., Q.A., S.C.H., and H.L.F. were supported by Brain Tumour Research (to G.J.P.); K.K. was supported by the Headcase Cancer Trust (to G.J.P.) and the University of Portsmouth Research Development Fund (to J.E.M.).

# Acknowledgment

We thank Dr Safa Al-Sarraj, Kings College Hospital, London, for verifying the histology of the GBM biopsies.

Conflict of interest statement. None declared.

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